Day 3 - Joint Application of TRS and CKM in R

ESTP Course on SDC Methods and Tools for Census 2021

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0. Preparation

Load R-packages

```
library(data.table)
library(recordSwapping)
library(cellKey)
library(ptable)
```

Load (micro) data

```
dat <- fread("test_data_10k.csv.gz")</pre>
```

Be sure, that there are record keys:

```
# first we need a PID (personal identifier; similar to HID)
dat$PID <- 1:nrow(dat)

# draw the record-key
dat$rkey <- ck_generate_rkeys(dat = dat, seed = 123)

# extract PID and the record-key variable
dat_rkey <- dat[, .(PID, rkey)]

# the remaining variables without the record-key
dat <- dat[,!"rkey"]</pre>
```

In a future upgrade you won't have to remove the record-key before applying TRS and attach it afterwards.

1. Targeted record swapping (TRS)

1.1 Prepare the data

```
# transform data
# extract X and Y coordinates
dat[,Y_coord:=as.integer(substr(L001000,5,8))]
dat[,X_coord:=as.integer(substr(L001000,10,13))]
dat[1,.(L001000,X_coord,Y_coord)]
           L001000 X_coord Y_coord
## 1: 1kmN2793E4656 4656
                              2793
dat[,L001000:=NULL] # drop column
dat[,AGE.M:=as.integer(factor(AGE.M))]
dat[,Size:=pmin(5,Size)]
dat[!duplicated(HID),.N,by=.(Size)][order(Size)]
##
     Size
## 1: 1 1693
## 2: 2 2719
## 3: 3 1956
## 4: 4 2035
## 5: 5 1597
```

1.2 Set the parameters

```
# parameter
hierarchy <- c("NUTS1","NUTS2")

# hid column
hid <- "HID"

# risk variables
risk_variables <- c("COC.M","POB.M")
k_anonymity <- 3
swaprate <- 0.05
similar <- "Size"
seed <- 2021681</pre>
```

1.3 Apply the targeted record swapping

```
NUTS1 NUTS2 NUTS3
                                          HID Size SEX AGE.H AGE.M TPH.H HST EDU SIE
##
                                  LAU2
##
                      12
                           121 121025
                                                  4
                                                       2
                                                              1
                                                                     1
                                                                         212
                                                                               11
                                                                                    11
                                                                                          6
        1:
                1
                                            1
                                                       2
##
        2:
                      12
                           121 121025
                                                  4
                                                             21
                                                                     5
                                                                         212
                                                                               11
                                                                                     4
                                                                                          6
       3:
                      12
                           121 121025
                                                             47
                                                                                          6
##
                1
                                                  4
                                                       1
                                                                    10
                                                                         212
                                                                               11
                                                                                     5
                                             1
                                                       2
##
        4:
                1
                      12
                           121 121025
                                             1
                                                  4
                                                             55
                                                                    13
                                                                         212
                                                                               11
                                                                                     4
                                                                                          1
##
        5:
                3
                      31
                           312 312074
                                                       1
                                                             49
                                                                    10
                                                                           11 121
                                                                                     4
                                                                                          1
                                             2
                                                  1
##
## 30574:
                           334 334009 10000
                2
                      22
                                                  5
                                                       1
                                                             68
                                                                    15
                                                                            4
                                                                               21
                                                                                     5
                                                                                          6
##
   30575:
                2
                      22
                           334 334009 10000
                                                  5
                                                       2
                                                             71
                                                                    16
                                                                            4
                                                                               21
                                                                                     5
                                                                                          6
  30576:
                2
                      22
                           334 334009 10000
                                                  5
                                                       2
                                                             98
                                                                               21
                                                                                     5
                                                                                          6
##
                                                                    21
                                                                            4
   30577:
                2
                      22
                           334 334009 10000
                                                  5
                                                       2
                                                             82
                                                                    18
                                                                               21
                                                                                    10
                                                                                          6
                2
                      22
                           334 334009 10000
                                                  5
                                                       2
                                                             76
                                                                    17
                                                                                          6
##
   30578:
                                                                               21
                                                                            4
                                                                                     4
           INCOME_Q COC.ISO3N COC.L COC.M COC.H POB.ISO3N POB.L POB.M POB.H
##
                                                                                         PID
##
                                                2102
                                                              40
        1:
                   0
                            276
                                    21
                                           21
                                                                      1
                                                                             1
                                                                                    1
                                                                                           1
##
        2:
                            191
                                    21
                                           21
                                                2105
                                                              40
                                                                      1
                                                                             1
                                                                                    1
                                                                                           2
                   1
##
        3:
                   3
                            276
                                    21
                                            21
                                                2102
                                                              40
                                                                      1
                                                                             1
                                                                                    1
                                                                                           3
##
        4:
                   0
                             40
                                                              40
                                                                                           4
                                     1
                                            1
                                                    1
                                                                      1
                                                                             1
                                                                                    1
##
        5:
                   4
                             40
                                     1
                                            1
                                                    1
                                                              40
                                                                      1
                                                                             1
                                                                                           5
##
                   2
                                                             729
                                                                           222 22218 30574
## 30574:
                             40
                                     1
                                            1
                                                   1
                                                                     22
##
  30575:
                   4
                            348
                                    21
                                           21
                                                2106
                                                              40
                                                                      1
                                                                             1
                                                                                    1 30575
## 30576:
                   3
                            276
                                    21
                                            21
                                                2102
                                                              40
                                                                      1
                                                                             1
                                                                                    1 30576
## 30577:
                   3
                            642
                                    21
                                           21
                                                2101
                                                              40
                                                                                    1 30577
                                                                      1
                                                                             1
## 30578:
                   4
                              40
                                      1
                                             1
                                                              40
                                                                      1
                                                                             1
                                                                                    1 30578
##
           Y_coord X_coord HID_swapped
##
       1:
              2793
                        4656
                                         1
##
        2:
              2793
                        4656
                                         1
##
        3:
              2793
                        4656
                                         1
              2793
##
        4:
                        4656
                                         1
                                         2
##
        5:
              2781
                        4608
##
       ___
## 30574:
              2688
                        4394
                                      6688
## 30575:
              2688
                        4394
                                      6688
## 30576:
              2688
                        4394
                                      6688
## 30577:
              2688
                        4394
                                      6688
## 30578:
              2688
                        4394
                                      6688
```

Now attach (merge) the record-key by PID:

```
dat_swapped <- merge(dat_swapped, dat_rkey)
dat_swapped</pre>
```

```
##
             PID NUTS1 NUTS2 NUTS3
                                        LAU2
                                                HID Size SEX AGE.H AGE.M TPH.H HST EDU
##
                            12
                                 121 121025
                                                        4
                                                            2
                                                                              212
       1:
               1
                      1
                                                  1
                                                                   1
                                                                         1
                                                                                   11
                                                                                        11
##
       2:
               2
                      1
                           12
                                 121 121025
                                                  1
                                                       4
                                                            2
                                                                  21
                                                                         5
                                                                              212
                                                                                   11
                                                                                         4
##
               3
                           12
                                 121 121025
                                                        4
                                                            1
                                                                  47
                                                                              212
                                                                                   11
                                                                                         5
       3:
                      1
                                                  1
                                                                        10
##
       4:
               4
                      1
                           12
                                 121 121025
                                                  1
                                                        4
                                                            2
                                                                  55
                                                                        13
                                                                              212
                                                                                   11
                                                                                         4
##
       5:
               5
                      3
                           31
                                 312 312074
                                                        1
                                                                  49
                                                                               11 121
                                                  2
                                                            1
                                                                        10
                                                                                         4
##
## 30574: 30574
                      2
                           22
                                 334 334009 10000
                                                       5
                                                            1
                                                                  68
                                                                        15
                                                                                4
                                                                                   21
                                                                                         5
## 30575: 30575
                      2
                           22
                                 334 334009 10000
                                                       5
                                                            2
                                                                  71
                                                                                4
                                                                                   21
                                                                                         5
                                                                        16
## 30576: 30576
                      2
                           22
                                 334 334009 10000
                                                       5
                                                            2
                                                                        21
                                                                                   21
                                                                  98
                                                                                4
                                                                                         5
                                                            2
## 30577: 30577
                      2
                           22
                                 334 334009 10000
                                                                  82
                                                                        18
                                                                                4
                                                                                   21
                                                                                        10
```

```
## 30578: 30578
                                 334 334009 10000
                      2
                            22
                                                        5
                                                            2
                                                                  76
                                                                         17
##
           SIE INCOME_Q COC.ISO3N COC.L COC.M COC.H POB.ISO3N POB.L POB.M POB.H
             6
##
       1:
                       0
                                276
                                        21
                                               21
                                                   2102
                                                                 40
                                                                         1
##
       2:
             6
                                191
                                        21
                                                   2105
                                                                 40
                                                                                      1
                       1
                                               21
                                                                         1
                                                                                1
##
       3:
             6
                       3
                                276
                                        21
                                               21
                                                   2102
                                                                 40
                                                                         1
                                                                                1
                                                                                      1
##
       4:
             1
                       0
                                 40
                                         1
                                                1
                                                                 40
                                                                         1
                                                                                1
                                                                                      1
                                                       1
##
       5:
             1
                       4
                                 40
                                         1
                                                1
                                                       1
                                                                 40
                                                                         1
                                                                                      1
##
## 30574:
             6
                       2
                                 40
                                         1
                                                1
                                                       1
                                                                729
                                                                        22
                                                                             222 22218
## 30575:
             6
                       4
                                348
                                        21
                                               21
                                                   2106
                                                                 40
                                                                         1
                                                                               1
                                                                                      1
## 30576:
             6
                       3
                                276
                                        21
                                               21
                                                   2102
                                                                 40
                                                                         1
                                                                               1
                                                                                      1
## 30577:
                                642
                                        21
             6
                       3
                                               21
                                                   2101
                                                                 40
                                                                         1
                                                                                1
                                                                                      1
   30578:
##
             6
                       4
                                 40
                                         1
                                                1
                                                                 40
                                                                         1
                                                                                1
                                                                                      1
##
           Y_coord X_coord HID_swapped
                                                rkey
##
              2793
                       4656
                                        1 0.2875775
       1:
##
       2:
              2793
                       4656
                                        1 0.7883051
##
       3:
              2793
                       4656
                                        1 0.4089769
##
       4:
              2793
                       4656
                                        1 0.8830174
##
       5:
              2781
                       4608
                                        2 0.9404673
##
## 30574:
              2688
                       4394
                                     6688 0.9132392
## 30575:
              2688
                       4394
                                     6688 0.4338734
                                     6688 0.1884718
## 30576:
              2688
                       4394
## 30577:
              2688
                       4394
                                     6688 0.9772621
## 30578:
              2688
                       4394
                                     6688 0.9600212
```

2. Cell Key method (CKM)

2.1 Design the perturbation table

```
ptab1 <- create_cnt_ptable(D = 2, V = 1.08, js = 1, mono = c(T,T,F,T))</pre>
```

2.2 Specify the variables (dimensions and hierarchies)

```
d_sex <-
hier_create(
    nodes = c("1","2"),
    root = "Total"
);

coc.m_cat <- unique(as.character(dat$COC.M))

d_coc.m <-
hier_compute(
    inp = coc.m_cat,
    dim_spec = c(1,1,1),
    root = "Total",
    method = "len"
);</pre>
```

2.2 Define the table

```
tab <- ck_setup(
    x = dat_swapped, # Important: Use the already swapped data
    rkey = "rkey",
    dims = list(SEX = d_sex, COC.M = d_coc.m)
)</pre>
```

2.3 Prepare and apply the CKM

```
ptab_input <- ck_params_cnts(ptab = ptab1)
tab$params_cnts_set(val = ptab_input, v = "total")</pre>
```

--> setting perturbation parameters for variable "total"

```
# run the perturbation
tab$perturb(v = "total")
```

Count variable "total" was perturbed.

```
# the CKM post-perturbed frequency table of the TRS pre-perturbed micro data
tab$freqtab(v="total")
```

```
##
        SEX COC.M vname
                          uwc
                                wc puwc
                                           pwc
   1: Total Total total 30578 30578 30578 30578
   2: Total
                1 total 25761 25761 25761 25761
   3: Total
                2 total 4817 4817 4818 4818
## 4: Total
             21 total 2698 2698
                                    2696
                                          2696
## 5: Total 22 total 2119 2119 2120 2120
              221 total 1382 1382 1383
##
  6: Total
                                         1383
   7: Total
##
              222 total
                          91
                                91
                                      90
                                            90
## 8: Total
              223 total
                          44
                                44
                                      44
                                            44
## 9: Total
              224 total
                          51
                                51
                                      51
                                            51
## 10: Total
              225 total
                         544
                               544
                                     544
                                           544
## 11: Total
              226 total
                           7
                                 7
                                       7
## 12:
          1 Total total 15307 15307 15308 15308
## 13:
          1
               1 total 12869 12869 12868 12868
## 14:
                2 total 2438 2438 2438 2438
          1
## 15:
          1
               21 total 1382 1382 1382
                                          1382
## 16:
              22 total 1056 1056 1057
                                          1057
              221 total
## 17:
                         688
                               688
                                     688
                                           688
          1
## 18:
              222 total
                          42
                                42
                                      40
                                            40
## 19:
              223 total
                                21
                                      20
                                            20
          1
                          21
## 20:
              224 total
                          26
                                26
                                      28
                                            28
## 21:
              225 total
                          277
                               277
                                     276
                                           276
          1
## 22:
              226 total
                           2
                                 2
                                       3
## 23:
          2 Total total 15271 15271 15272 15272
## 24:
                1 total 12892 12892 12892 12892
## 25:
                2 total 2379 2379 2379
```

##	26:	2	21	total	1316	1316	1316	1316
##	27:	2	22	total	1063	1063	1064	1064
##	28:	2	221	total	694	694	693	693
##	29:	2	222	total	49	49	47	47
##	30:	2	223	total	23	23	22	22
##	31:	2	224	total	25	25	25	25
##	32:	2	225	total	267	267	266	266
##	33:	2	226	total	5	5	6	6
##		SEX	COC.M	vname	uwc	WC	puwc	рис