



# CS 1550

Week 4 – Synchronization with xv6

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# CS 1550 – Announcement

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- Project 1
  - put struct **cs1550\_sem** declaration inside a header file names **sem.h**
  - add **#include "sem.h"** into the test cases
  - The sem.h file should be in the **same folder** as the test case file when compiling
  - Two more test cases are posted:
    - **trafficsim-mutex.c**
      - *gcc -m32 -lm -o trafficsim-mutex*
    - **trafficsim-strict-order.c**

## Keep in mind the different qemu

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- qemu with xv6 (Labs) - Refer to Lab 1 if needed!
- qemu-x86 (Project 1)

# Locks – Processes without sharing CPU

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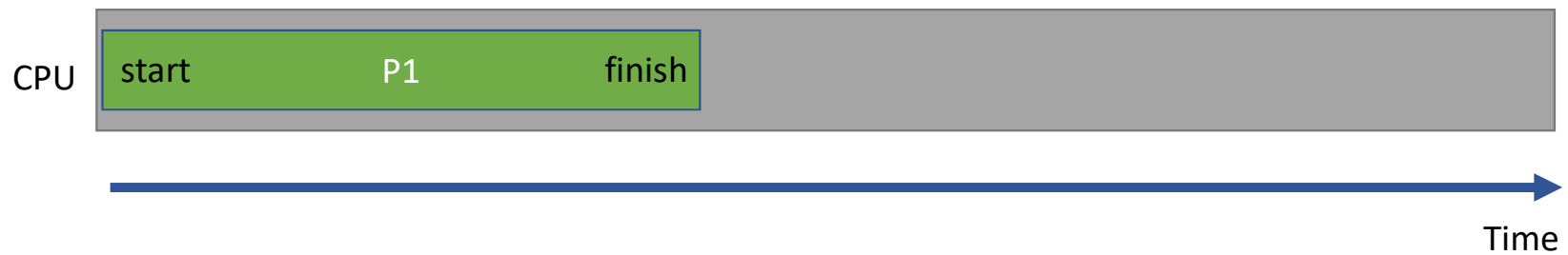
# Locks – Processes without sharing CPU

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# Locks – Processes without sharing CPU

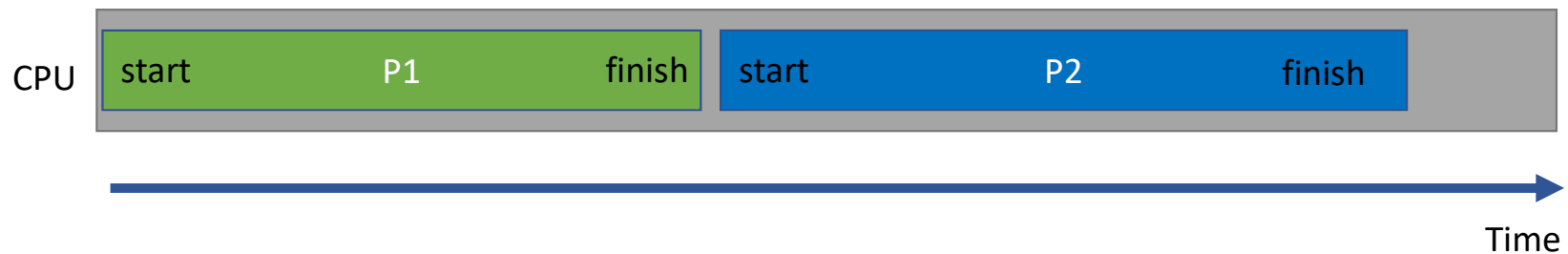
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# Locks – Processes without sharing CPU

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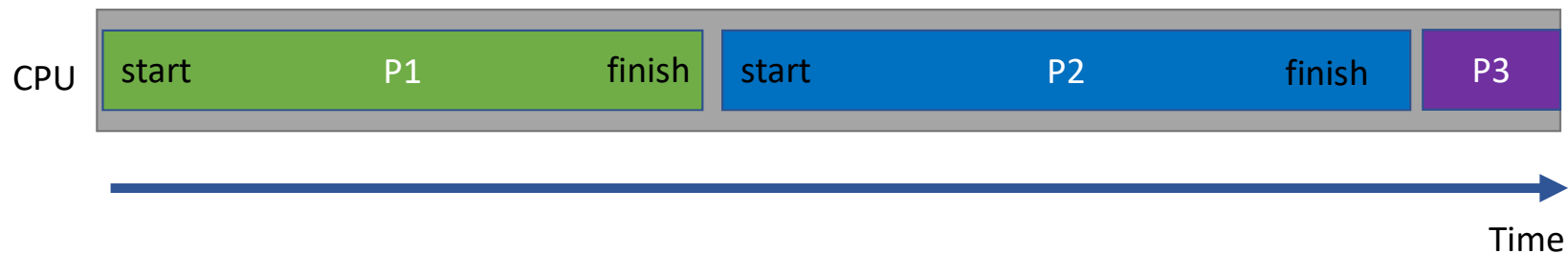
- **OS** chooses another processes to execute once the first finishes



# Locks – Processes without sharing CPU

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- **OS** chooses another processes to execute once the first finishes

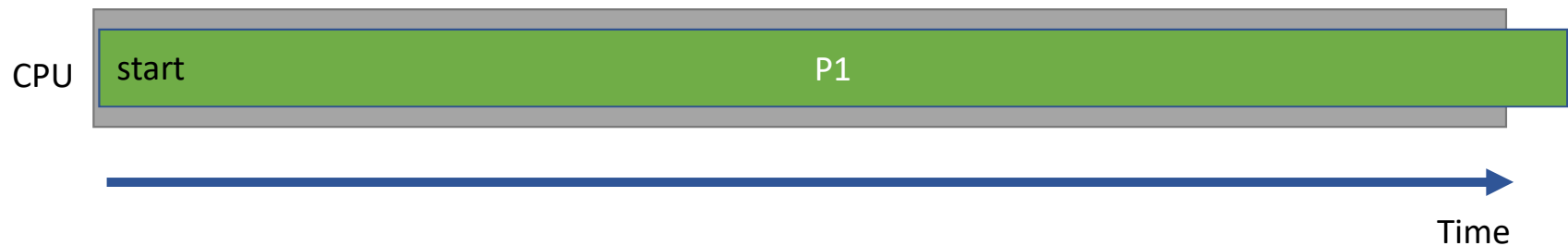




# Locks – Processes without sharing CPU

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- What if P1 is a big process?



# Locks – Processes sharing CPU

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- Solution switch processes during their execution.



# Locks – Processes sharing CPU

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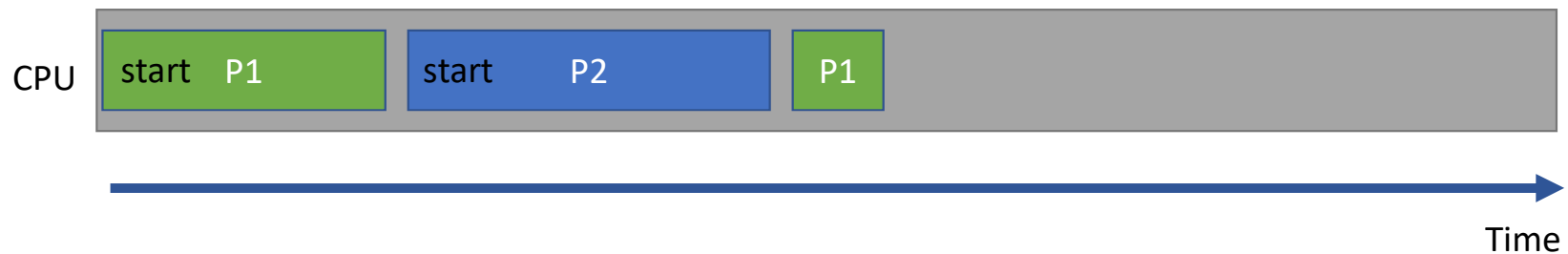
- Solution switch processes during their execution.



# Locks – Processes sharing CPU

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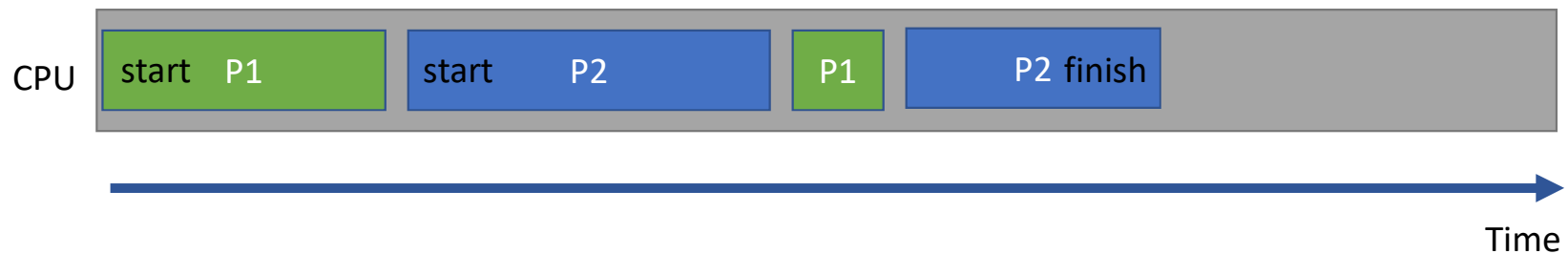
- Solution switch processes during their execution.



# Locks – Processes sharing CPU

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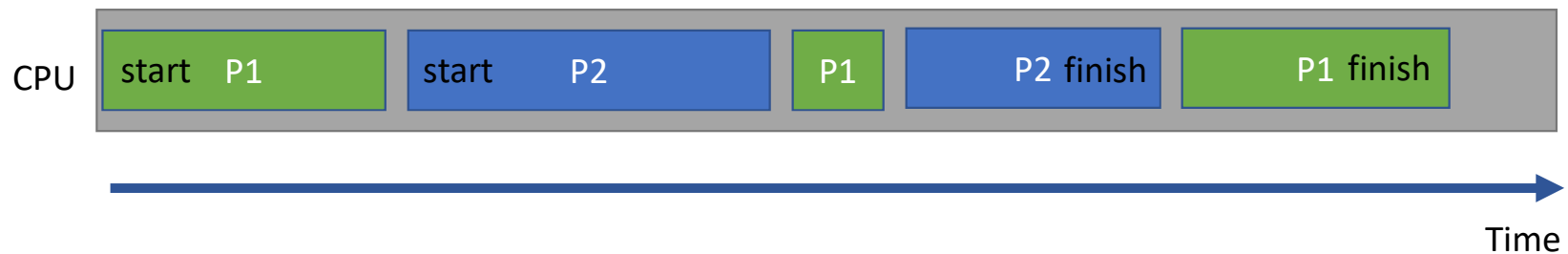
- Solution switch processes during their execution.



# Locks – Processes sharing CPU

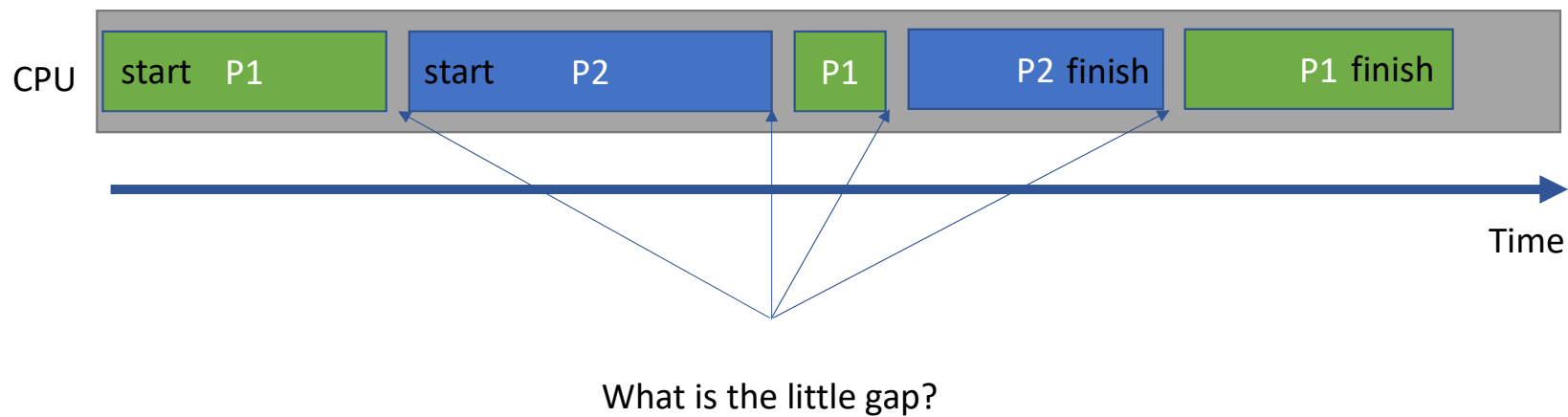
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- Solution switch processes during their execution.



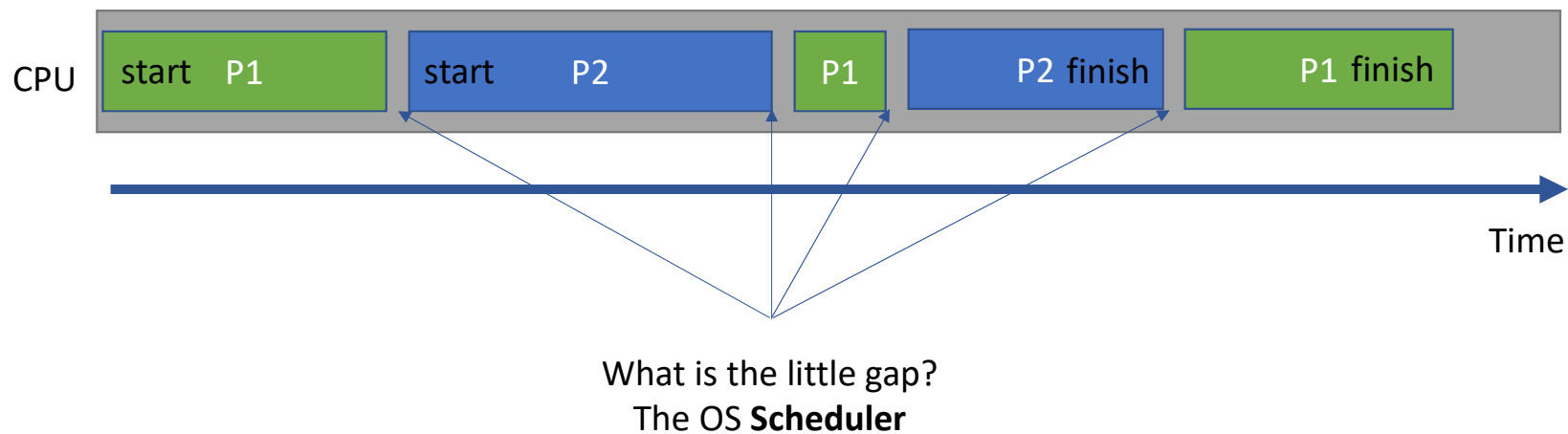
# Locks – Processes sharing CPU

- Solution switch processes during their execution.



# Locks – Processes sharing CPU

- Solution switch processes during their execution.

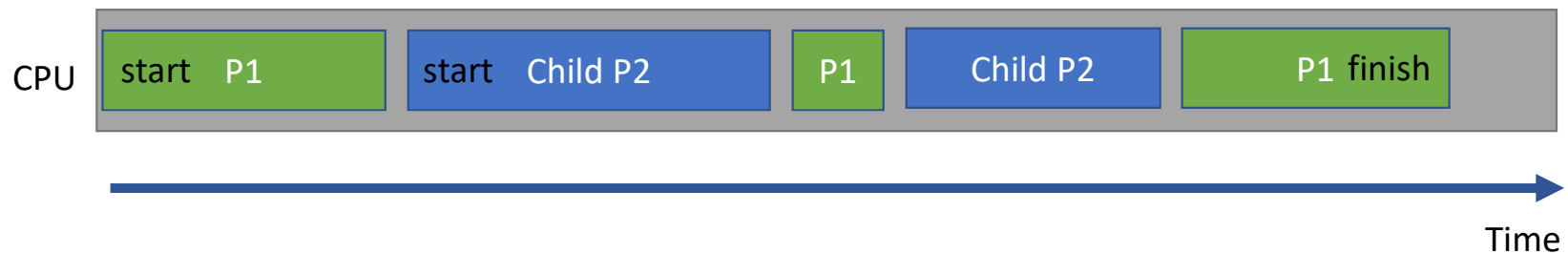




# Locks – Processes sharing CPU

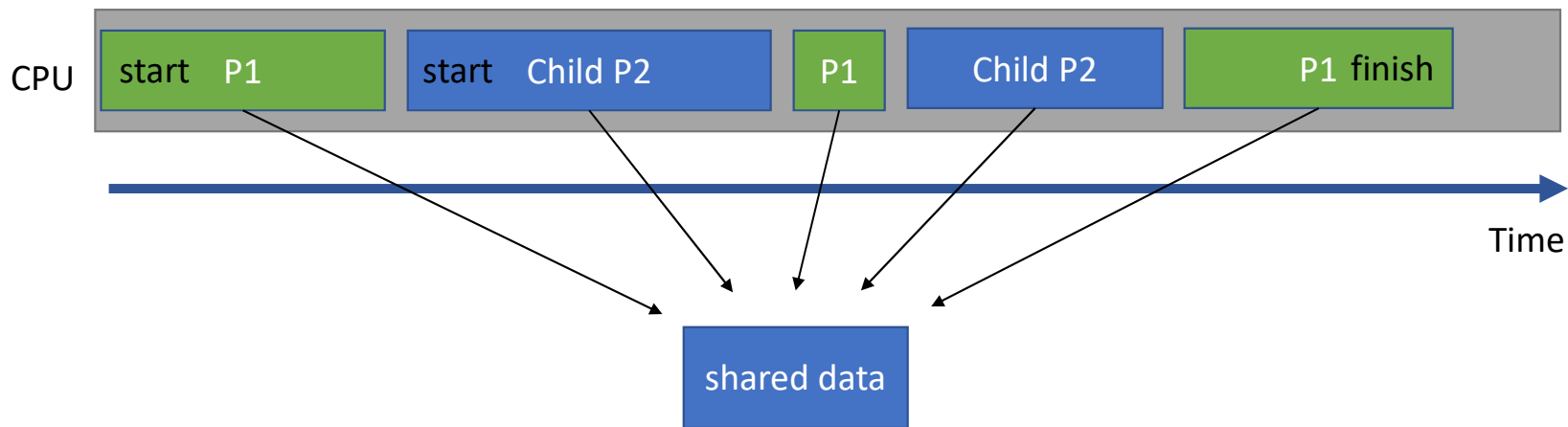
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- What happens in Parent-Child Process scenario?



# Locks – Processes sharing CPU

- What happens in Parent-Child Process scenario?
- How to keep integrity/correctness on race conditions?



# Locks – Processes sharing CPU

---

```
struct list {  
    int data;  
    struct list *next;  
};
```

# Locks – Processes sharing CPU

---

```
struct list {  
    int data;  
    struct list *next;  
};  
  
struct list *list = 0;
```

# Locks – Processes sharing CPU

---

```
struct list {
    int data;
    struct list *next;
};

struct list *list = 0;

void
insert(int data) {
    struct list *l;
    l = malloc(sizeof *l);
    l->data = data;
    l->next = list;
    list = l;
}
```

# Locks – Processes sharing CPU

---

```
struct list {  
    int data;  
    struct list *next;  
};
```

```
struct list *list = 0;
```

```
void  
insert(int data) {  
    struct list *l;  
    l = malloc(sizeof *l);  
    l->data = data;  
    l->next = list;  
    list = l;  
}
```

CPU

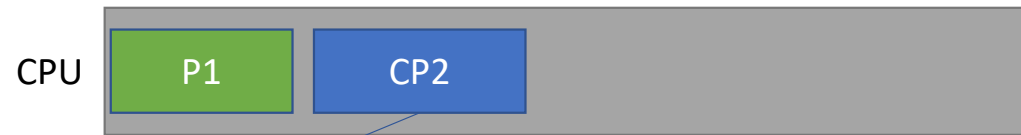
P1

P1 stops here the  
OS switches to P2

P1 stopped

# Locks – Processes sharing CPU

```
struct list {  
    int data;  
    struct list *next;  
};  
  
struct list *list = 0;  
  
void  
insert(int data) {  
    struct list *l;  
    l = malloc(sizeof *l);  
    l->data = data;  
    l->next = list;  
    list = l;  
}
```

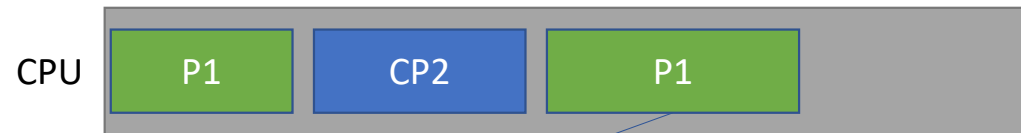


P1 stopped

# Locks – Processes sharing CPU

---

```
struct list {  
    int data;  
    struct list *next;  
};  
  
struct list *list = 0;  
  
void  
insert(int data) {  
    struct list *l;  
    l = malloc(sizeof *l);  
    l->data = data;  
    l->next = list;  
    list = l;  
}
```



CP2 stopped



# Locks – Processes sharing CPU

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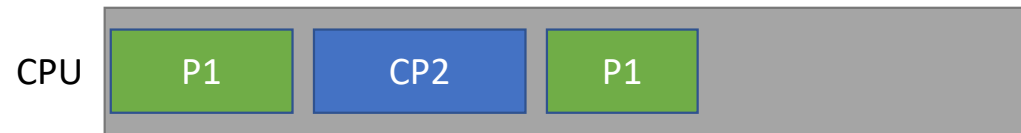
- Sharing CPU among processes
- Ensuring data integrity/correctness
- Ensure that a **critical section** of your code is only executed by one process

# Locks – Processes sharing CPU

---

```
struct list *list = 0;  
struct lock listlock;
```

```
void  
insert(int data)  
{  
    struct list *l;  
  
    acquire(&listlock);  
    l = malloc(sizeof *l);  
    l->data = data;  
    l->next = list;  
    list = l;  
    release(&listlock);  
}
```



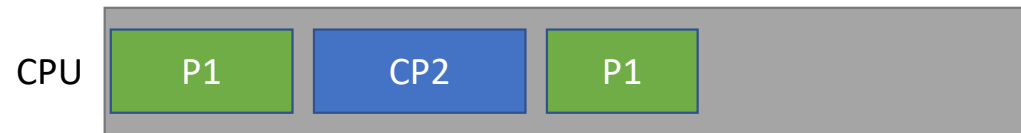
# Locks – Processes sharing CPU

---

```
struct list *list = 0;
struct lock listlock;

void
insert(int data)
{
    struct list *l;

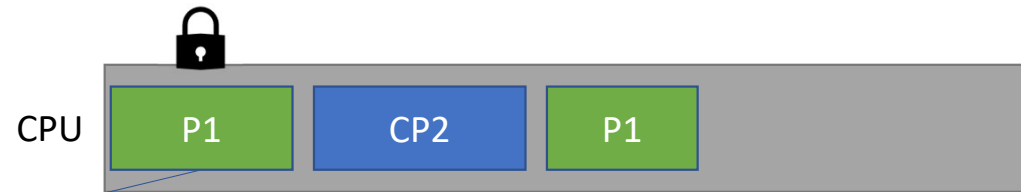
    acquire(&listlock);
    l = malloc(sizeof *l);
    l->data = data;
    l->next = list;
    list = l;
    release(&listlock);
}
```



# Locks – Processes sharing CPU

```
struct list *list = 0;  
struct lock listlock;
```

```
void  
insert(int data)  
{  
    struct list *l;  
  
    acquire(&listlock);  
    l = malloc(sizeof *l);  
    l->data = data;  
    l->next = list;  
    list = l;  
    release(&listlock);  
}
```



P1 gets locks the lock

# Locks – Processes sharing CPU

```
struct list *list = 0;  
struct lock listlock;
```

```
void  
insert(int data)  
{
```

```
    struct list *l;
```

```
    acquire(&listlock);
```

```
    l = malloc(sizeof *l);
```

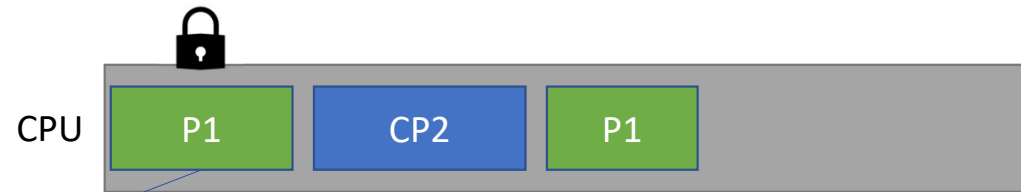
```
    l->data = data;
```

```
    l->next = list;
```

```
    list = l;
```

```
    release(&listlock);
```

```
}
```



P1 gets locks the lock

# Locks – Processes sharing CPU

```
struct list *list = 0;  
struct lock listlock;
```

```
void  
insert(int data)  
{
```

```
    struct list *l;
```

```
        acquire(&listlock);
```

```
        l = malloc(sizeof *l);
```

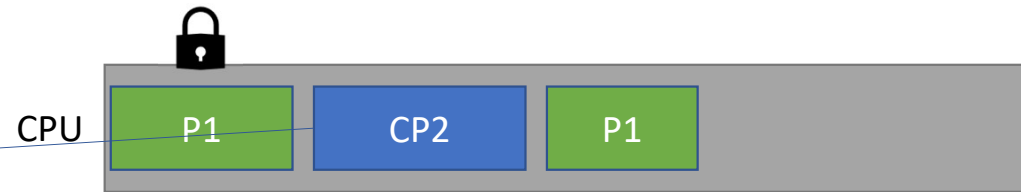
```
P1 stopped l->data = data;
```

```
        l->next = list;
```

```
        list = l;
```

```
        release(&listlock);
```

```
}
```

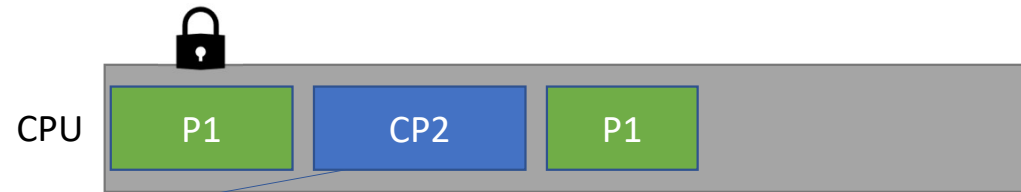


When the OS schedule CP2

# Locks – Processes sharing CPU

```
struct list *list = 0;  
struct lock listlock;
```

```
void  
insert(int data)  
{  
    struct list *l;  
  
    acquire(&listlock);  
    l = malloc(sizeof *l);  
    P1 stopped l->data = data;  
    l->next = list;  
    list = l;  
    release(&listlock);  
}
```

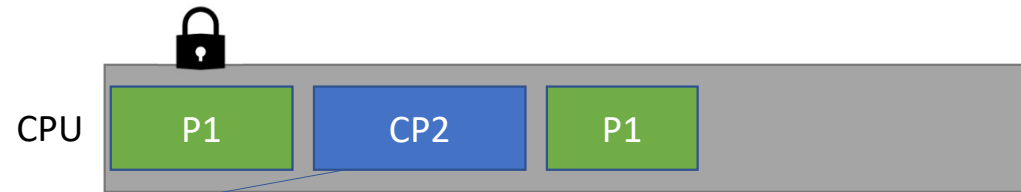


It will try to get the lock but won't.

# Locks – Processes sharing CPU

```
struct list *list = 0;  
struct lock listlock;
```

```
void  
insert(int data)  
{  
    struct list *l;  
  
    acquire(&listlock);  
    l = malloc(sizeof *l);  
    P1 stopped l->data = data;  
    l->next = list;  
    list = l;  
    release(&listlock);  
}
```



It will try to get the lock but won't.

It will be constantly try to get it ( in a loop).  
Until the OS switches back to P1

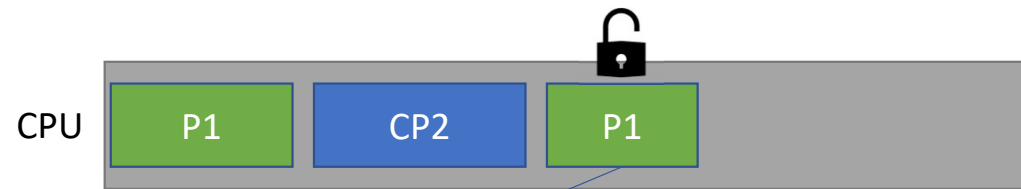


# Locks – Processes sharing CPU

```
struct list *list = 0;  
struct lock listlock;
```

```
void  
insert(int data)  
{  
    struct list *l;
```

```
CP2 stopped  acquire(&listlock);  
              l = malloc(sizeof *l);  
              l->data = data;  
              l->next = list;  
              list = l;  
              release(&listlock);  
}
```



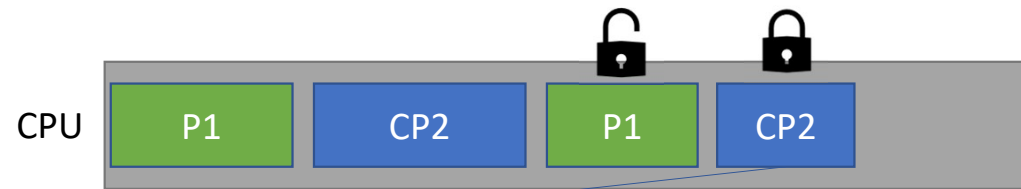
P1 release the lock P2 will finally be able to execute, once scheduled

# Locks – Processes sharing CPU

```
struct list *list = 0;  
struct lock listlock;
```

```
void  
insert(int data)  
{  
    struct list *l;
```

```
    CP2 proceeds acquire(&listlock);  
    l = malloc(sizeof *l);  
    l->data = data;  
    l->next = list;  
    list = l;  
    release(&listlock);  
}
```



P1 release the lock P2 will finally be able to execute, once scheduled

# Locks – Processes sharing CPU

---

- SpinLock

```
Void  
acquire(struct spinlock *lk)  
{  
    for(;;) {  
        if(!lk->locked) {  
            lk->locked = 1;  
            break;  
        }  
    }  
}
```

- Keep spinning until find lock is released
- But we can have the same issue as before
- We need to check and lock atomically

# Locks – Processes sharing CPU

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- Xv6 relies on a special 386 hardware instruction, `xchg`
- Atomically check and change a register value
  - `xchg(&lk->locked, 1)`

# Locks – Processes sharing CPU

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- Swap a word in memory with the contents of a register
- In acquire function:
  - Repeats xchg instruction in a loop
  - Each round atomically read lock and set the lock to 1

```
void  
acquire(struct spinlock *lk)  
{  
    pushcli(); // disable interrupts to  
    avoid deadlock.
```

```
    ...
```

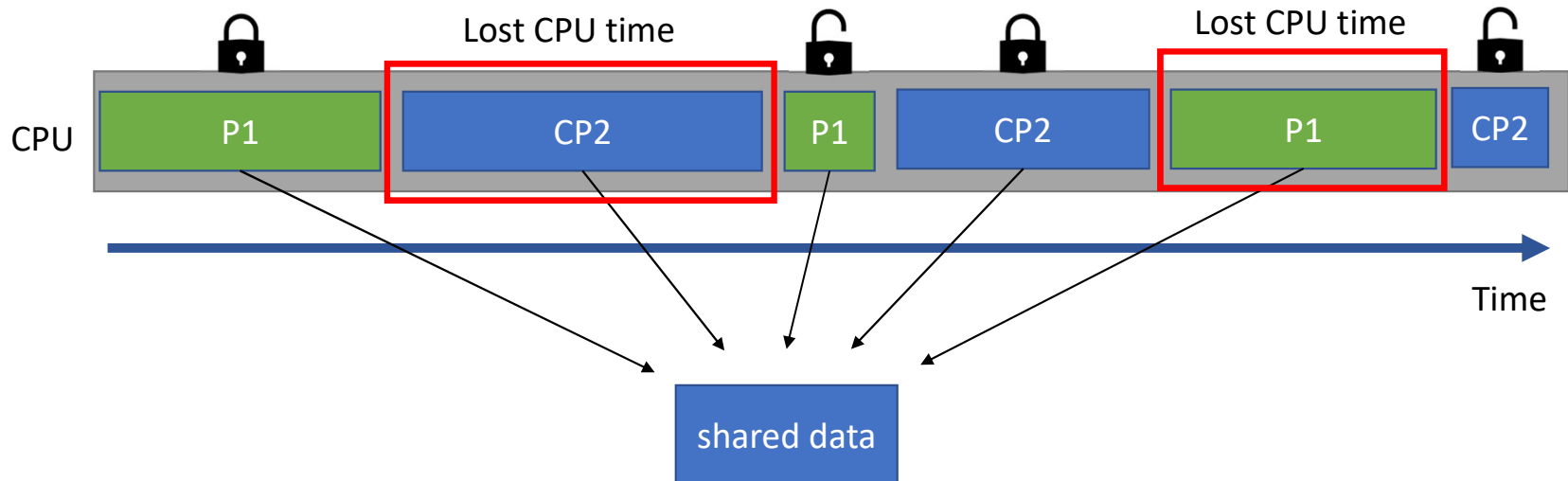
```
// The xchg is atomic.  
    while(xchg(&lk->locked, 1) != 0);
```

```
    ...
```

```
    // Record info about lock acquisition for  
    debugging.  
    lk->cpu = mycpu();  
    getcallerpcs(&lk, lk->pcs);  
}
```

# Locks – Processes sharing CPU

- But the we have another issue
  - Busy waiting



# Locks – Processes sharing CPU

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- SpinLock
  - Busy waiting
  - Useful for short critical sections
    - E.g. increment a counter, access an array element, etc.
  - Not useful, when the period of wait is unpredictable or will take a long time
    - E.g. read page from disk

# Locks – Processes sharing CPU

---

- Sleep Locks
  - For code need to hold a lock for a long time (read/write to disk)
- Avoids the schedule of “spin locked” processes



# Locks – Processes sharing CPU

---

- Sleep Locks
  - For code need to hold a lock for a long time (read/write to disk)
- Avoids the schedule of “spin locked” processes

```
void
acquiresleep(struct sleeplock *lk)
{
    acquire(&lk->lk);
    while (lk->locked) {
        sleep(lk, &lk->lk);
    }
    lk->locked = 1;
    lk->pid = myproc()->pid;
    release(&lk->lk);
}
```

```
void
releasesleep(struct sleeplock *lk)
{
    acquire(&lk->lk);
    lk->locked = 0;
    lk->pid = 0;
    wakeup(lk);
    release(&lk->lk);
}
```

# Locks – Processes sharing CPU

---

- Sleep Locks
  - For code need to hold a lock for a long time (read/write to disk)
- Avoids the schedule of “spin locked” processes

```
void
acquiresleep(struct sleeplock *lk)
{
    acquire(&lk->lk) ;
    while (lk->locked) {
        sleep(lk, &lk->lk);
    }
    lk->locked = 1;
    lk->pid = myproc()->pid;
    release(&lk->lk) ;
}
```

```
void
releasesleep(struct sleeplock *lk)
{
    acquire(&lk->lk) ;
    lk->locked = 0;
    lk->pid = 0;
    wakeup(lk);
    release(&lk->lk) ;
}
```

# Locks – Processes sharing CPU

---

- Put one process to sleep waiting for event
- Mark current process as sleeping
- Call **sched()** to release the processor

```
void  
sleep(void *chan, struct spinlock *lk)  
{  
    struct proc *p = myproc();  
    ...  
    p->state = SLEEPING;  
  
    sched() ;  
    ...  
}
```

### Sanity checks

- Must be a current process
- Must have been passed a lock



```
void
sleep(void *chan, struct spinlock *lk)
{
    struct proc *p = myproc();

    if(p == 0)
        panic("sleep");

    if(lk == 0)
        panic("sleep without lk");

    if(lk != &ptable.lock){
        acquire(&ptable.lock);
        release(lk);
    }
    p->chan = chan;
    p->state = SLEEPING;

    sched();
    p->chan = 0
    if(lk != &ptable.lock){
        release(&ptable.lock);
        acquire(lk);
    }
}
```

Hold the ptable.lock, it is safe to release lk



# Locks – Processes sharing CPU

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- Wake up process when event happened
- Mark a waiting process as runnable

```
static void
wakeup(void *chan)
{
    acquire(&ptable.lock);
    wakeup1(chan);
    release(&ptable.lock);
}
```

```
static void
wakeup1(void *chan)
{
    struct proc *p;
    for(p = ptable.proc; p < &ptable.proc[NPROC]; p++)
        if(p->state == SLEEPING && p->chan == chan)
            p->state = RUNNABLE;
}
```

# Locks – Processes sharing CPU

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- Who needs to be a syscall?
  - SpinLocks
  - SleepLocks