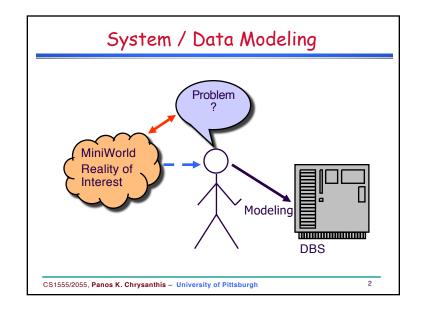
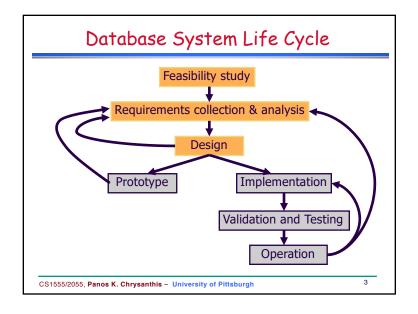
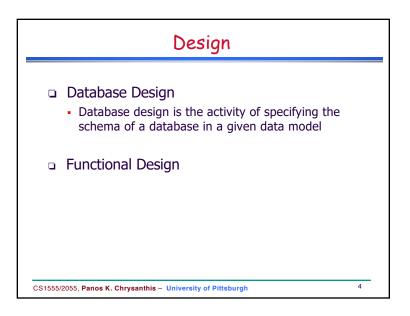
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Functional Design

- High-level specification of Transactions
 - DBMS-independent
 - Even diagrams, UML
- Application program design
 - DBMS-specific (db Schema together with DML)
 - Language and environment-specific

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Relational Database Design: The Good, The Bad and The Ugly

- Bad design & anomalies
 - Normal forms
- Universal Relational Approach
- ◆ Decomposition normalization

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Relational Database Design

- One single, large table
- □ Simple ?
- □ Good ? or Bad? Or just Ugly?



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Goal

- □ Design 'good' tables
 - define what 'good' means
 - fix 'bad' tables
- in short: "we want tables where the attributes depend on the primary key, on the whole key, and nothing but the key"
- □ Let's see why, and how:

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Bad Design

□ Relation Schema:

takes1 (ssn, cid, grade, name, address)

- □ 'Bad' why?
- \square because: ssn \rightarrow (name, address)

ssn	cid	grade	name	address
123	413	A	smith	Main
123	415	В	smith	Main
123	211	A	smith	Main

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Insertion Anomaly

- □ "jones" registers, but takes no class
- □ Where do you store his address!?!

ssn	cid	grade	name	address
123	413	A	smith	Main
				•••
234	null	null	jones	Forbes

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Pitfalls

- □ Redundancy
 - Waste of space (Repeated values, NULL)
 - Update anomalies (inconsistencies)

ssn	cid	grade	name	address
123	413	A	smith	Elm
123	415	В	smith	Main
123	211	A	smith	Main

Insertion & Deletion anomalies

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Deletion Anomaly

- delete the last class record of 'smith'
- □ What about the address !?!
 - (we lose his address!)

ssn	cid	grade	name	address
123	413	A	smith	Main
123	415	В	smith	Main
123	211	A	smith	Main

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Solution: decomposition

□ Split offending table in two (or more)

ssn	cid	grade	name	address
123	413	A	smith	Main
123	415	В	smith	Main
123	211	A	smith	Main



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Functional dependencies

- □ How do we split a bad table?
- □ How do we recognize a bad table?
- □ Set of rules: *normal forms*
- □ Functional Dependencies (FD)
- □ Let R=(A₁, A₂,..., A_n) and X⊆R and Y⊆R
 X → Y if the value of X uniquely determines a value of Y
 - X functionaly determines Y
 - Y is functionally dependent on X

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Functional Dependencies Examples

- ☐ They are not a property of a particular relation state
 - Property of R, not r(R)
- \square They cannot be automatically inferred from r(R)
 - It can show which dependencies may exist
 - And show which dependencies cannot exist
 - Must have knowledge of the semantics of the attributes of R for the full story

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Functional Dependency Examples

Α	В	С	D
a1	b1	c1	d1
a1	b2	c1	d2
a2	b2	c2	d2
a2	b2	c2	d3
a3	b3	c2	d4

 $\Box A \rightarrow C$? YES

 \Box C \rightarrow A ? NO (line 5)

 \Box B \rightarrow C? NO (line 3)

 \Box D \rightarrow B? YES

 \square AB \rightarrow D? NO (line 4)

- Loans (<u>loan_number</u>, branch, customer, amount)
 - loan_number → amount is satisfied
 - loan_number → branch is satisfied
 - amount → branch is not satisfied

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Decompositions

- ☐ There are careless, 'bad' decompositions
- □ There are correct decompositions
 - lossless
 - dependency preserving



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Decomposition: lossless

- Non-lossy decomposition is called lossless or non-additive decompositions
- □ Definition:

Let R schema R, with FD 'F'.

R1, R2 is a lossless decomposition of R if for all relations r(R), r1(R1) and r2(R2)

$$r1 \bowtie r2 = r$$

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Decomposition: lossy

R1(<u>ssn</u>, grade, name, address)

ssn	grade	name	address
123	A	smith	Main
123	В	smith	Main
234	A	jones	Forbes

ssn	cid	grade	name	address
123	413	A	smith	Main
123	415	В	smith	Main
234	211	A	jones	Forbes
123	211	Α	Smith	Main

R2(<u>cid</u>, grade)

cid	grade
413	A
415	В
211	A

 $ssn \rightarrow (name, address)$

(ssn, cid) → grade (ssn, cid)

can not recover original table with a join!

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Decomposition: lossless...

- What about an easier criterion?
- □ Theorem:

A decomposition is lossless if the joining attribute is a *superkey* in at least one of the new tables

□ Formally:

$$R1 \cap R2 \rightarrow R1 \text{ or}$$

 $R1 \cap R2 \rightarrow R2$

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Example: Loseless Decomposition

81 ssn cid grade

123 413 A 123 415 B 234 211 A

 $(ssn, cid) \rightarrow grade$

R2

ssnnameaddress123smithMain234jonesForbes

ssn → (name, address)

ssn	cid	grade	name	address
123	413	A	smith	Main
123	415	В	smith	Main
234	211	A	jones	Forbes

 $ssn \rightarrow (name, address)$ $(ssn, cid) \rightarrow grade$

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Dependency Preservation & Canonical Cover

- ☐ We don't want the original FDs to span two tables.
- ☐ More specifically: ... the FDs of the canonical cover
- Canonical Cover is the minimum set of FDs without any trivial, extraneous and implied FDs
- Example:

fd.1 $A \rightarrow B$

fd.2 $B \rightarrow C$

fd.3 AB \rightarrow C

What is the canonical cover?
Keep only fd.1 & fd.2: A → B & B → C

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Rules of Inference: Armstrong's Axioms

- □ IR1 Reflexivity rule:
 - if $B \subset A$, then $A \to B$
 - e.g., If {ssn,name}⊇name then {ssn,name} → name
- □ IR2 Augmentation rule:
 - if $A \rightarrow B$, then $AC \rightarrow BC$
 - $e.g., if ssn \rightarrow name then \{ssn,age\} \rightarrow \{name,age\}$
- □ IR3 Transitive rule:
 - if $A \rightarrow B$ and $B \rightarrow C$, then $A \rightarrow C$
 - e.g., if $ssn \rightarrow Dno$ and $Dno \rightarrow Dname$ then $ssn \rightarrow dname$

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More Rules of Inference

- □ IR4 Decomposition rule:
 - if $A \rightarrow BC$, then $A \rightarrow B$ and $A \rightarrow C$
 - e.g., if ssn →{name, age} then ssn → name and ssn → age
- □ IR5 Union rule:
 - if $A \rightarrow B$ and $A \rightarrow C$, then $A \rightarrow BC$
 - e.g., if $ssn \rightarrow name$ and $ssn \rightarrow age$ then $ssn \rightarrow \{name, age\}$
- □ IR6 Pseudotransitivity, Composition:
 - if $A \rightarrow B$ and $CB \rightarrow D$ implies $CA \rightarrow D$
 - e.g., if isbn → title και {author, title} → pubdate then {author, isbn} → pubdate
- \square IR7 Self-determination: $A \rightarrow A$

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Decomposition: non-dependency preserving

- Dependency preserving: the original FDs should not span across tables
- □ Non-dependency preserving example:

S#	address	status
123	London	E
125	Paris	E
234	Pgh	A

 $S# \rightarrow (address, status)$ address → status

s#	address
123	London
125	Paris
234	Pgh

s#	status
123	E
125	E
234	A

 $S# \rightarrow address$ S# → status

quiz: is it lossless?

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Dependency Preserving Decomposition

□ Example

s#	address	status
123	London	E
125	Paris	E
234	Pgh	A

 $S# \rightarrow (address, status)$ $address \rightarrow status$

s#	address
123	London
125	Paris
234	Pgh

address status London Paris Pgh

S#→address address→status but: $S# \rightarrow status$?

• why is dependency preservation good?

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Why Dependency Preservation?

Record the status for Athens to be A:

s#	address	status
123	London	E
125	Paris	E
234	Pgh	A

 $S# \rightarrow (address, status)$ $address \rightarrow status$

s#	address
123	London
125	Paris
234	Pgh

London Paris Pgh Athens 333 Athens

address status

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S#→address address→status

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Decomposition - conclusions

- □ decompositions should always be lossless
 - joining attribute -> superkey
- Decompositions should be dependency preserving whenever possible

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Testing for Loseless Decomposition

- □ Given
 - relation schema R (A1, A2, ..., An),
 - a set of functional dependencies F and
 - decomposition p = {R1, R2,..., Rm}
- Steps:
- 1. Construct an m x n table S,

with a column for each of the *n* attributes in R and row for each of the *m* relations in the decomposition.

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Testing for Loseless Decomposition...

- 2. For each cell S(i,j) of S,
 - If the attribute for the column Aj is in the relation for the row Ri,

then set S(i,j) = k(j) to indicate *known* value else set S(i,j) = u(i,j) to indicate *unknown* value

- Consider each FD, X → Y F until no more changes can be made to S.
 - Look for rows whose X-column agrees
 - EQUATE Y-column
- 4. After all possible changes have been made to S,
 - If a row is made up entirely of symbols k(1), k(2), .., k(n) the join is lossless.
 - Otherwise, if there is no such row, the join is lossy.

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Example

- □ R (A,B,C,D,E)
- □ Decomposition: R1(A,C), R2(A,B,D), R3(D,E)
- \square FDs: A \longrightarrow C, AB \longrightarrow D, D \longrightarrow E

	A	В	С	D	E
R1(A,C)					
R2(A,B,D)					
R3(D,E)					

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Example

- □ R (A,B,C,D,E)
- □ Decomposition: R1(A,C), R2(A,B,D), R3(D,E)
- \square FDs: A \longrightarrow C, AB \longrightarrow D, D \longrightarrow E

	Α	В	С	D	E
R1(A,C)	К	U	K	U	U
R2(A,B,D)	K	K	U	K	U
R3(D,E)	U	U	U	K	K

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