

Lecture E1: Review

CS 1555: Database Management Systems

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<http://db.cs.pitt.edu/courses/cs1555/current.term/>

Feb 28, 2019, 16:00-17:15
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Lectures based: P. Chrysanthis & N. Farnan Lectures

ACID

- Atomicity
 - Either all the operations associated with a transaction happen or none of them happens
- Consistency Preservation
 - A transaction is a correct program segment. It satisfies the database's integrity constraints at its boundaries
- Isolation
 - Transactions are independent, the result of the execution of concurrent transactions is the same as if transactions were executed serially, one after the other
- Durability (a.k.a. Permanency)
 - The effects of completed transactions become permanent surviving any subsequent failure(s)



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Useful Terms

- Cardinality of a relation $r(R)$: # of tuples in $r(R)$ (denoted by $|r(R)|$)
- Arity or degree of $r(R)$: # of attributes in R (denoted by $|R|$)

$$|r(R)| = 3$$

$$|R| = 4$$

<i>SID</i>	<i>Degree</i>	<i>Major</i>	<i>Year</i>
123	BS	Math	1992
064	BA	History	1991
445	PhD	CS	1999

- ◆ $|r(R)| \geq 0$ And $|R| > 0$
- ◆ **Cardinality** is property of a relation
- ◆ **Arity** is property of relation schema or a relation



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Relational Database Schema

- A **database schema** is a set of relation schemas and a set of **integrity constraints**



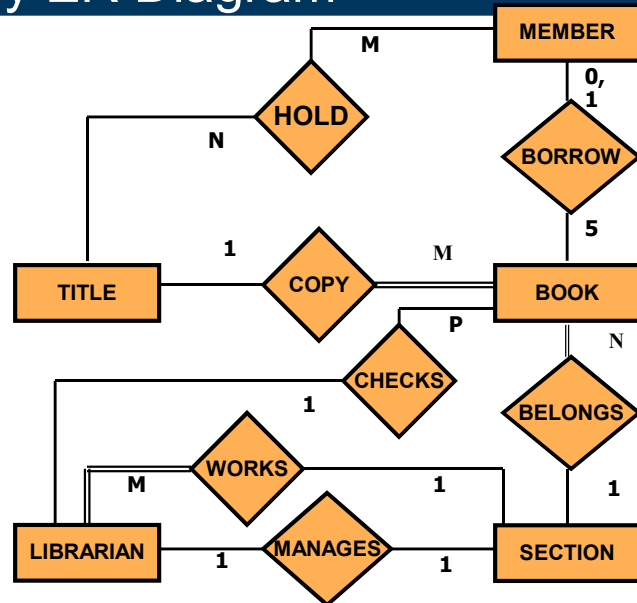
- Integrity Constraints
 - **Structural** Integrity Constraints
 - **key** constraints: uniqueness of keys
 - **entity integrity** constraint: no primary key value can be **NULL**
 - **referential integrity** constraint
 - **Semantic** Integrity Constraints
 - E.g., ??



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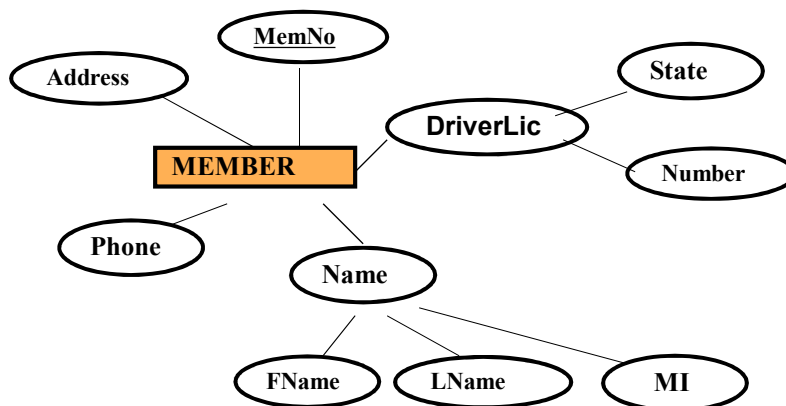
Library ER Diagram



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Library ER Diagram...



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Functional dependencies

- How do we split a bad table?
- How do we recognize a bad table?
- Set of rules: *normal forms*
- **Functional Dependencies (FD)**
- Let $R=(A_1, A_2, \dots, A_n)$ and $X \subseteq R$ and $Y \subseteq R$
 $X \rightarrow Y$ if the value of X **uniquely** determines a value of Y (If $t1[X]=t2[X]$, then $t1[Y]=t2[Y]$)
 - X functionally determines Y
 - Y is functionally dependent on X



Normal Forms

- 1NF: First Normal Form
Every attribute has a single atomic value.
- 2NF: Second Normal Form
It is in 1NF and does not have partial dependencies.
 - Counter Example: 1NF but not 2NF
SUPPLY (SID, PID, DID, SCity, DCity, Qty)
- 3NF: Third Normal Form
It is in 2NF and does not have transitive dependencies to attributes that are not part of a key.
 - If $X \rightarrow A$ is an FD then (a) it is trivial, or (b) X is a superKey, or (c) A is a subset of a **candidate** Key.
 - Counter Example: 2NF but not 3NF
EMP (SSN, EName, Bdate, Salary, DID, DName, MGRSSN)



Relational Algebra

- Operations on entire relations
– Operands are (constant or variable) relations
– Result is a relation
- Set theory operations:
– Union, Intersection, Difference and Cartesian Product (product for short)
- Specific relational operations:
– Selection, Projection, Join and Division
- Complete set of relational algebra operations:
– Select, project, product, union and difference
- SQL is based on concepts from relational algebra



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Relational Algebra

- Suppose relation Student has 20 tuples. What is the minimum and maximum number of tuples in the result of this expression:

$$\rho_{s1(i1,n1,g,h)} Student \bowtie \rho_{s2(i2,n2,g,h)} Student$$

- a) minimum = 0, maximum = 400
- b) minimum = 20, maximum = 20
- c) minimum = 20, maximum = 400 ✓
- d) minimum = 40, maximum = 40



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Relational Algebra

- Which of the following English sentences describes the result of this expression:

$$\pi_{cName} College - \pi_{cName} (Apply \bowtie (\pi_{sID} (\sigma_{GPA > 3.5} Student) \cap \pi_{sID} (\sigma_{major = 'CS'} Apply)))$$

- a) All colleges with no GPA>3.5 applicants who applied for a CS major at that college
- b) All colleges with no GPA>3.5 applicants who applied for a CS major at any college ✓
- c) All colleges where all applicants either have GPA>3.5 or applied for a CS major at that college
- d) All colleges where no applicants have GPA>3.5 or no applicants applied for a CS major at that college



Outer Join Examples

- STUDENT(SID, Name, Class, Major)
- ENROLLS(CID, SID, Term, Grade)

Q1:

```
SELECT *
FROM (STUDENT S LEFT OUTER JOIN ENROLLS E
      ON S.SID=E.SID)
ORDER BY S.SID;
```

Q2:

```
SELECT SID, S.Name, S. Major
FROM STUDENT S NATURAL LEFT OUTER JOIN ENROLLS E
WHERE E.Term IS NULL;
```



Outer Join Q1 Execution

Students

<i>SID</i>	<i>Name</i>	<i>Class</i>	<i>Major</i>
123	John	3	CS
124	Mary	3	CS
999	Newman	1	CS

Enroll

<i>SID</i>	<i>CID</i>	<i>Term</i>	<i>Grade</i>
123	CS1520	Fall 10	3.75
124	CS1520	Fall 10	4
123	CS1555	Fall 10	4
124	CS1555	Fall 10	NULL

Q1 RESULT

<i>S.SID</i>	<i>S.Name</i>	<i>S.Class</i>	<i>S.Major</i>	<i>E.SID</i>	<i>E.CID</i>	<i>E.Term</i>	<i>E.Grade</i>
123	John	3	CS	123	CS1520	Fall 10	3.75
123	John	3	CS	123	CS1555	Fall 10	4
124	Mary	3	CS	124	CS1520	Fall 10	4
124	Mary	3	CS	124	CS1555	Fall 10	NULL
999	Newman	1	CS	NULL	NULL	NULL	NULL



Outer Join Q2 Execution

❑ **SELECT** *SID*, *S.Name*, *S.Major*
FROM STUDENT *S* NATURAL LEFT OUTER JOIN ENROLLS *E*
WHERE *E.Term* IS NULL;

Students

<i>SID</i>	<i>Name</i>	<i>Class</i>	<i>Major</i>
123	John	3	CS
124	Mary	3	CS
999	Newman	1	CS

Enroll

<i>SID</i>	<i>CID</i>	<i>Term</i>	<i>Grade</i>
123	CS1520	Fall 10	3.75
124	CS1520	Fall 10	4
123	CS1555	Fall 10	4
124	CS1555	Fall 10	NULL

Q2 RESULT

<i>S.SID</i>	<i>S.Name</i>	<i>S.Major</i>
999	Newman	CS



Pattern Matching...

- Retrieve all students with *local* phone numbers (any area code) which start with 6 and whose third digit is 3.

```
SELECT Name
FROM STUDENT
WHERE Phone LIKE '____6_3%';
```

- Escape defines the escape character that causes SQL to interpret a wildcard char (%) as itself in a string:

```
SELECT VideoName
FROM RENTALS
WHERE Discount LIKE E'10&%';
```



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Natural JOIN

- Suppose relation R(A,C) has the following tuples and relation S(B,C,D) has the following tuples:
- Compute the natural join of R and S. Which of the following tuples is in the result? Assume each tuple has schema (A,B,C,D).

A	C
3	3
6	4
2	3
3	5
7	1

B	C	D
5	1	6
1	5	8
4	3	9

- a) (6, 4, 3, 9)
- b) (2, 4, 3, 9) ✓
- c) (2, 3, 1, 6)
- d) (5, 1, 6, 4)



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Theta JOIN

- Suppose relation $R(A,C)$ has the following tuples and relation $S(B,C,D)$ has the following tuples:
- Compute the theta-join of R and S with the condition $R.B = S.B \text{ AND } R.A < S.C$. Which of the following tuples is in the result? Assume each tuple has schema $(A, R.B, S.B, C, D)$.

A	B
1	a
7	t
2	g
4	c
9	t

B	C	D
c	5	6
a	7	8
t	8	9

- a) (2, g, c, 5, 6)
- b) (4, c, c, 7, 8)
- c) (1, a, c, 5, 6)
- d) (4, c, c, 5, 6) ✓



Projection

- Suppose relation $R(A,B,C)$ has the following tuples
- Compute the projection $\pi_{C,B}(R)$. Which of the following tuples is in the result?

A	B	C
1	2	3
4	2	3
4	5	6
2	5	3
1	2	6

- a) (6,2) ✓
- b) (5,6)
- c) (5,3)
- d) (2,6)



Difference

- Suppose relation $R(A,B,C)$ has the following tuples and relation $S(A,B,C)$ has the following tuples:
- Compute $(R - S)$ union $(S - R)$, often called the "symmetric difference" of R and S . Which of the following tuples is in the result?

A	B	C
1	2	3
4	2	3
4	5	6
2	5	3
1	2	6

A	B	C
2	5	3
2	5	4
4	5	6
1	2	3

- a) (1,2,3)
- b) (2,5,4) ✓
- c) (4,5,6)
- d) (1,5,6)



Intersection

- Suppose relation $R(A,B,C)$ has the following tuples and relation $S(A,B,C)$ has the following tuples:
- Compute the intersection of the relations R and S . Which of the following tuples is in the result?

A	B	C
1	2	3
4	2	3
4	5	6
2	5	3
1	2	6

A	B	C
2	5	3
2	5	4
4	5	6
1	2	3

- a) (2,2,6)
- b) (2,5,4)
- c) (1,2,3) ✓
- d) (2,4,3)



Transactions

T_1 : UPDATE **Accounts** SET **balance= balance + 100**
WHERE **client=7**

T_2 : UPDATE **Accounts** SET **balance= balance + 500**
WHERE **client=7**

- ☐ Assume that initially, balance = \$1000
- ☐ What is the balance after executing T_1 & T_2 ?
 - ☐ should be \$1600

However things might go wrong!!



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Interleaved Transactions

T_1 : UPDATE **Accounts** SET **balance= balance + 100** WHERE **client=7**

T_2 : UPDATE **Accounts** SET **balance= balance + 500** WHERE **client=7**

- ☐ Update (balance) =
Read (**balance**); Modify (**balance**); Write (**balance**)
- ☐ Again, assume that initially balance = \$1000
- ☐ What happens if T_1 and T_2 are executed concurrently and they both issue Read (**balance**) at the same time?
 - ☐ If T_1 finishes last; balance = **\$1100**
 - ☐ If T_2 finishes last, balance = **\$1500**
 - ☐ And both values are incorrect!



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Query Rewriting

View

```
CREATE VIEW CS_Students AS  
SELECT name, age  
FROM Student  
WHERE Major = 'CS';
```



Original Query (user)

```
SELECT name  
FROM CS_Students  
where age > 19;
```



Modified Query (DBMS)

```
SELECT name  
FROM Student  
WHERE Major = 'CS'  
AND age > 19;
```



Views

- Consider tables $R(A,B)$ and $S(B,C)$ and a view $V = \text{select } A,C \text{ from } R,S \text{ where } R.B=S.B$. Suppose $R=\{(1,5),(2,5)\}$ and $S=\{(5,10)\}$, so $V=\{(1,10),(2,10)\}$. The user wants to delete tuple $(2,10)$ from V . Which of the following modifications to R and/or S does NOT correctly reflect this modification?
- a) delete $(2,5)$ from R
- b) update $(2,5)$ to $(2,6)$ in R
- c) update $(2,5)$ to $(1,6)$ in R
- d) delete $(5,10)$ from S



Views

- Consider tables $R(A,B)$ and $S(B,C)$ and a query $Q = \text{select } A,C \text{ from } R,S \text{ where } R.B=S.B \text{ and } A < 10 \text{ and } C > 20$. Which of the following materialized views can NOT be used to help evaluate Q ?
- a) $V1 = \text{select } A,C \text{ from } R,S \text{ where } R.B=S.B$
 - b) $V2 = \text{select } A,C \text{ from } R,S \text{ where } A < 10 \text{ and } C > 20$ ✓
 - c) $V3 = \text{select } A,R.B,S.B,C \text{ from } R,S \text{ where } A < 10 \text{ and } C > 20$
 - d) $V4 = \text{select } * \text{ from } R \text{ where } A < 10$



Access control examples

- DBA:
 - GRANT SELECT,INSERT ON Students TO Alice WITH GRANT OPTION;
 - CREATE ROLE Readers;
 - GRANT Readers TO Bob;
 - GRANT Readers TO Charlie;
 - GRANT SELECT ON Students TO Readers;
- Alice:
 - GRANT SELECT,INSERT ON Students TO Bob, Charlie;
- DBA:
 - REVOKE Readers FROM Bob;
 - REVOKE Readers FROM Charlie;
 - GRANT SELECT ON Students TO Charlie;
 - REVOKE ALL PRIVILEGES ON Students FROM ALICE CASCADE;



Chicken and Egg problem

```
CREATE TABLE Chicken (ID INT PRIMARY KEY, eID INT);  
CREATE TABLE Egg(ID INT PRIMARY KEY, cID INT);  
  
ALTER TABLE Chicken ADD CONSTRAINT Chicken_FK  
    FOREIGN KEY (eID) REFERENCES Egg(ID)  
    DEFERRABLE INITIALLY IMMEDIATE;  
  
ALTER TABLE Egg ADD CONSTRAINT Egg_FK  
    FOREIGN KEY (cID) REFERENCES Chicken(ID)  
    DEFERRABLE INITIALLY IMMEDIATE;
```

