### Semantics of Functional Programming

PCF and its Operational Semantics

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Formosan Summer School on Logic, Language, and Computation 2014

#### Why semantics?

The hitch is that defining a language a posteriori, i.e. after its design has been frozen by the existence of implementations and uses, can hardly improve it. To create a good programming language, semantics must be used a priori, as a design tool that embodies and extends the intuitive notion of uniformity.

— John C. Reynolds

#### C++

- Implementation-led design.
- C++ The International Standard, 1338 pp., 2012. Note that the committee consists of 200+ people.
- 1900+ language issues!

#### Standard ML

- Semantics-led design.
- R. Milner, M. Tofte, and R. Harper, *The Definition of Standard ML (Revised)*, 128 pp., 1997.
- Standard ML is a safe, modular, strict, functional, polymorphic programming language ... and a formal definition with a proof of soundness.

#### Overview

In this lecture, we will present simply typed lambda calculus in a different manner, where terms and typing rules are introduced separately. In this approach, terms might not be well-typed at all.

Then, we discuss its computational meaning by **one-step reduction** and define many-step reduction. Later we introduce the concept of **type safety**.

Finally, we extend simply typed lambda calculus with natural numbers and general recursion. This extension is called **PCF**, *Programming Computable Functional*. We formalise new features by what we have learnt later.

# 1 Simply typed lambda calculus à la Curry

#### The approach $\dot{a}$ la Curry

We introduce a different approach to simply typed lambda calculus where terms and typing rules are introduced separately.

$$\frac{x \text{ var}}{x \text{ term}}$$

$$\frac{x \text{ var}}{\Gamma, x : \sigma, \Delta \vdash x : \sigma} \text{ (var)}$$

$$\frac{x \text{ var} \quad M \text{ term}}{\lambda x. \text{ M term}}$$

$$\frac{\Gamma, x : \sigma \vdash M : \tau}{\Gamma \vdash \lambda x. M : \sigma \to \tau} \text{ (abs)}$$

$$\frac{M \text{ term} \quad N \text{ term}}{M \text{ N term}}$$

$$\frac{\Gamma \vdash M : \sigma \to \tau \quad \Gamma \vdash N : \sigma}{\Gamma \vdash M \text{ N} : \tau} \text{ (app)}$$

#### The existence of ill-typed terms

In contrast the approach  $\grave{a}$  la Church where every term is introduced with a type, there are ill-typed terms in the approach  $\grave{a}$  la Curry:

Example 1.  $(\lambda x. x x)$  is a term if x is a variable, because

$$\begin{array}{c|c} x & var \\ \hline x & term \\ \hline x & var \\ \hline x & var \\ \hline \lambda x. x & x & term \\ \end{array}$$

However,  $(\lambda x. x \ x)$  cannot be assigned a type unless  $\sigma \to \sigma = \sigma.$ 

#### Reduction

One-step reduction relation → between terms is introduced to describe the flow of computation from a term to another term in a single step, regardless of types. We introduce two rules for applications:

$$\frac{\frac{\mathsf{M} \leadsto \mathsf{M}'}{\mathsf{M} \; \mathsf{N} \leadsto \mathsf{M}' \; \mathsf{N}} \; (\leadsto\text{-lapp})}{(\lambda x. \; \mathsf{M}) \; \mathsf{N} \leadsto \mathsf{M}[\mathsf{N}/x]} \; (\leadsto\text{-app})$$

These two rules formalise what we call *call-by-name* evaluation strategy, where its arguments are evaluated only if used at least once. This allows us to feed a non-terminating argument and produce a terminating result.

In most of programming languages such as  $\mathbf{C}$ , arguments are evaluated to values before applications, and this evaluation strategy is called *call-by-value*. Example 2.  $(\lambda x. \lambda y. x)$  M N can be reduced to M by the following derivation

$$\frac{\overline{(\lambda x. \lambda y. x) \ \mathsf{M} \leadsto (\lambda y. \mathsf{M})}}{((\lambda x. \lambda y. x) \ \mathsf{M}) \ \mathsf{N} \leadsto (\lambda y. \ \mathsf{M}) \ \mathsf{N}} (\leadsto \text{-lapp})$$

#### Many-step reduction

As we will mostly discuss a sequence of reductions, it is convenient to define another relation  $\leadsto^*$  so that  $M \leadsto^* N$  means M reduces to N in finitely many steps.

**Definition 3.** The many-step reduction relation  $\leadsto^*$  is defined inductively by

**Proposition 4** (Reflexivity of  $\leadsto^*$ ). For every term M, M  $\leadsto^*$  M.

For example, one has

$$(\lambda x. \lambda y. x) \text{ M N} \leadsto^* (\lambda y. \text{M}) \text{ N}$$

by the derivation

**Exercise**. Evaluate the following terms (formally or informally).

- 1.  $(\lambda x. x) y$
- 2.  $(\lambda x. x x) (\lambda x. x x)$
- 3.  $(\lambda x. \lambda y. \lambda z. y) \mathsf{M}_0 \mathsf{M}_1 \mathsf{M}_2$

#### Induction on derivation

Every instance of  $M \leadsto^* N$  must be constructed by one of the cases, so we can analyse its structure case by case.

**Proposition 5** (Transitivity of  $\rightsquigarrow^*$ ). For every three terms  $M_1$ ,  $M_2$ , and  $M_3$ , if  $M_1 \rightsquigarrow^* M_2$  and  $M_2 \rightsquigarrow^* M_3$ , then  $M_1 \rightsquigarrow^* M_3$ .

Given derivations of  $M_1 \rightsquigarrow^* M_2$  and  $M_2 \rightsquigarrow^* M_3$ , we do case analysis on the derivation of  $M_1 \rightsquigarrow^* M_2$ . Also, we can assume that the premise satisfy this property, that is, the induction hypothesis.

*Proof.* 1. For  $\overline{M_1 \leadsto^* M_1}$ , it unifies  $M_2$  to  $M_1$ , so the given derivation  $M_2 \leadsto^* M_3$  is just the goal derivation as  $M_1 = M_2$ .

2. For  $\frac{\mathsf{M}_1 \leadsto \mathsf{M} \quad \mathsf{M} \leadsto^* \mathsf{M}_2}{\mathsf{M}_1 \leadsto^* \mathsf{M}_2}$ , we infer that  $\mathsf{M} \leadsto^* \mathsf{M}_3$  by induction hypothesis, so we derive the goal

$$\frac{\mathsf{M}_1 \rightsquigarrow \mathsf{M} \qquad \mathsf{M} \rightsquigarrow^* \mathsf{M}_3}{\mathsf{M}_1 \rightsquigarrow^* \mathsf{M}_3}$$

Similarly, we can do induction on the formulation of terms, typing rules, and any other inductive definitions.

**Exercise.** Show that if  $M \rightsquigarrow^* M'$  then  $M N \rightsquigarrow^* M'$  N for any term N by induction on the derivation of  $M \rightsquigarrow^* M'$ .

#### Reductions on ill-typed terms

Reductions can be applied to ill-typed terms and sometimes it reduces to a well-typed closed term!

$$(\lambda x. \lambda y. x) (\lambda x. x) (\lambda x. x) \leadsto^* (\lambda x. x)$$

On the other hand, the reduction of ill-typed terms may not stop at all.

$$(\lambda x. x x) (\lambda x. x x) \rightsquigarrow (x x)[(\lambda x. x x)/x]$$
  
=  $(\lambda x. x x) (\lambda x. x x) \rightsquigarrow \cdots$ 

## Type safety: well-typed programs don't go wrong

In contrast to ill-typed terms, well-typed closed terms have some nice properties. First, every welltyped closed term can be reduced further or it is a value.

**Theorem 6** (Progress Theorem). *If*  $\vdash M : \tau$ , *then either*  $M \leadsto M'$  *for some* M' *or*  $M = \lambda x$ . M'.

To show this property, we do structural induction on the derivation of  $\vdash M : \tau$  and either produce a derivation of  $M \leadsto M'$  or show that  $M = \lambda x. M'$ .

*Proof.* 1.  $\vdash$  M :  $\tau$  cannot be given by  $\overline{\Gamma, x : \sigma, \Delta \vdash x : \sigma}$ , since the context is empty.

- 2. For that case  $\frac{x:\sigma \vdash \mathsf{M}:\tau}{\vdash \lambda x.\,\mathsf{M}:\sigma \to \tau}\, \text{(abs)} \ ,$   $(\lambda x.\,\mathsf{M}') \leadsto^* (\lambda x.\,\mathsf{M}) \text{ we have already given a}$  term in this form  $\lambda x.\,\mathsf{M}.$
- 3. For  $\frac{\vdash \mathsf{M} : \sigma \to \tau \qquad \vdash \mathsf{N} : \sigma}{\vdash \mathsf{M} \; \mathsf{N} : \tau}$  (app), by introduction hypothesis either  $\mathsf{M} \leadsto \mathsf{M}'$  for some  $\mathsf{M}'$  or  $\mathsf{M} = \lambda x. \; \mathsf{M}'$ . For the former case, we apply  $(\leadsto\text{-lapp})$ :

$$\frac{\mathsf{M} \rightsquigarrow \mathsf{M}'}{\mathsf{M} \; \mathsf{N} \rightsquigarrow \mathsf{M}' \; \mathsf{N}}$$

For the latter case, we apply  $(\leadsto$ -app)

$$(\lambda x. \mathsf{M}') \mathsf{N} \leadsto \mathsf{M}'[\mathsf{N}/x]$$

Moreover, the type of a well-typed closed term is always preserved by reductions:

**Theorem 7** (Preservation Theorem). *If*  $\vdash M : \tau$  and  $M \leadsto M'$ , then  $\vdash M' : \tau$ .

However, to show this property, we need the following lemma saying that types are preserved by substitution.

**Lemma 8** (Substitution Lemma). *If*  $\Gamma$ ,  $x : \sigma \vdash M : \tau$  and  $\Gamma \vdash N : \sigma$ , then  $\Gamma \vdash M[N/x] : \tau$ .

By the introduction on the derivation of  $\vdash M : \tau$  and  $M \rightsquigarrow M'$  at the same time.

Proof of Preservation Theorem. 1.  $\vdash M : \tau$  cannot be constructed by (var), since the context is empty.

- 2. For  $\frac{x: \sigma \vdash \mathsf{M}: \tau}{\vdash \lambda x.\,\mathsf{M}: \sigma \to \tau}$ , there is no reduction rule for  $\lambda x.\,\mathsf{M}$ , so a derivation  $(\lambda x.\,\mathsf{M}) \leadsto \mathsf{M}'$  cannot exist.
- 3. For  $\frac{\vdash \mathsf{M} : \sigma \to \tau \qquad \vdash \mathsf{N} : \sigma}{\vdash \mathsf{M} \; \mathsf{N} : \tau} \;, \; \text{we do induction on the derivation of } \mathsf{M} \; \mathsf{N} \leadsto \mathsf{M}'.$

#### Summary

To define a language, we specify following sets of rules

Syntax type, term, and typing rules.

**Semantics** reduction rules.

In particular, well-typed closed terms share type safety:

**Progress Theorem** for every well-typed closed term, it either can be reduced further or is a value;

**Preservation Theorem** for every well-typed closed term, its type is preserved by reduction.

Next, we add some features to simply typed lambda calculus and type safety remains.

### 2 Programming with typed recursion

#### Introduction to PCF

PCF, which stands for Programming Computable Functionals, is a functional programming language and it consists of

- 1. simply typed lambda calculus,
- 2. natural numbers, and
- 3. general recursion (to be explained).

We will introduce the latter two features step by step.

It has two rules of type formulation:

Still, 'set' is a synonyms of 'type'.

## Term formulation, typing, and reduction for natural numbers

Every natural number is either zero or a successor of some natural number.

| zero term | M term | suc M term | T ⊢ zero: nat | (z)

$$\frac{\Gamma \vdash \mathsf{M} : \mathtt{nat}}{\Gamma \vdash \mathtt{suc} \; \mathsf{M} : \mathtt{nat}} \; (s)$$

The reduction of  $(\operatorname{\mathtt{suc}}\ \mathsf{M})$  is given by its subterm  $\mathsf{M}$ :

$$\frac{\mathsf{M} \rightsquigarrow \mathsf{M}'}{\mathsf{suc} \; \mathsf{M} \rightsquigarrow \mathsf{suc} \; \mathsf{M}'} \, (\rightsquigarrow \mathsf{-suc})$$

#### Values: canonical elements

Values are basic forms of terms of each kind of types and they are defined independent of their types in the approach  $\grave{a}$  la Curry.

**Definition 9.** A **value** is a term of the following form:

$$\frac{\text{Zero val}}{\text{zero Nval}} = \frac{\text{M val}}{\text{suc M val}} = \frac{\text{M term}}{\lambda x. \text{M val}}$$

Define numerals  $\underline{0}$  for zero and  $\underline{n+1}$  for suc  $\underline{n}$  inductively.

*Example* 10. By this formulation, we have well-typed values  $\operatorname{suc}(\operatorname{suc}\operatorname{zero})$ ,  $\lambda x.\operatorname{suc} x$ , and  $\lambda x.x$ , and also ill-typed values  $\operatorname{suc}\lambda x.x$ ,  $\lambda y.y.y.$ 

Moreover, we can do branching according to the argument is zero or not.

$$\frac{\text{M term} \quad M_0 \text{ term} \quad x \text{ var} \quad M_1 \text{ term}}{\text{ifz}(M; M_0; x. M_1) \text{ term}}$$

$$\frac{\Gamma \vdash \mathsf{M} : \mathtt{nat} \quad \Gamma \vdash \mathsf{M}_0 : \tau \quad \Gamma, x : \mathtt{nat} \vdash \mathsf{M}_1 : \tau}{\Gamma \vdash \mathtt{ifz}(\mathsf{M}; \mathsf{M}_0; x . \, \mathsf{M}_1) : \tau} \text{ (ifz)}$$

accompanying with three reductions rules

$$\begin{split} \frac{\mathsf{M} \leadsto \mathsf{M}'}{\mathsf{ifz}(\mathsf{M}; \mathsf{M}_0; x.\, \mathsf{M}_1) \leadsto \mathsf{ifz}(\mathsf{M}'; \mathsf{M}_0; x.\, \mathsf{M}_1)} \, (\leadsto \mathsf{-} \mathsf{ifz}) \\ \frac{}{\mathsf{ifz}(\mathsf{zero}; \mathsf{M}_0; x.\, \mathsf{M}_1) \leadsto \mathsf{M}_0} \, (\leadsto \mathsf{-} \mathsf{ifz}_0) \\ \frac{\mathsf{suc} \; \mathsf{M} \; \mathsf{val}}{\mathsf{ifz}(\mathsf{suc} \; \mathsf{M}; \mathsf{M}_0; x.\, \mathsf{M}_1) \leadsto \mathsf{M}_1[\mathsf{M}/x]} \, (\leadsto \mathsf{-} \mathsf{ifz}_1) \end{split}$$

#### Example: predecessor

The predecessor of natural numbers can be defined as

$$\mathtt{pred} := \lambda x.\,\mathtt{ifz}(x;0;y,y):\mathtt{nat} \to \mathtt{nat}$$

with the following typing derivation:

$$\frac{\Gamma \vdash x : \mathtt{nat} \quad \Gamma \vdash \underline{0} : \mathtt{nat} \quad \Gamma, y : \mathtt{nat} \vdash y : \mathtt{nat}}{\Gamma \vdash \mathtt{ifz}(x; \underline{0}; y. y) : \mathtt{nat}}$$

$$\vdash \lambda x. \mathtt{ifz}(x; \underline{0}; y. y) : \mathtt{nat} \rightarrow \mathtt{nat}}$$

where  $\Gamma := x : \mathtt{nat}$ .

#### Exercise.

- 1. Show that pred  $\underline{0} \leadsto^* \underline{0}$  and pred  $\underline{n+1} \leadsto^* \underline{n}$  by induction on  $\underline{0}$ .
- 2. Define flip: nat  $\rightarrow$  nat such that flip  $\underline{0} \rightsquigarrow^* \underline{1}$  and flip  $n+1 \rightsquigarrow^* \underline{0}$ .

## Term formulation, typing rule, and reduction for general recursion

The Y operator, used to do general recursion, has the same term formulation as  $\lambda$ -abstraction and a similar typing rules.

$$\frac{x \text{ var} \quad \text{M term}}{\text{Y}x. \, \text{M term}}$$

$$\frac{\Gamma, x : \sigma \vdash \mathsf{M} : \sigma}{\Gamma \vdash \mathsf{Y}x.\,\mathsf{M} : \sigma} \,(\mathsf{Y})$$

Each occurrence of Yx. M reduces to an substitution of x in M by itself:

$$\overline{ \text{Y}x. M \rightsquigarrow M[\text{Y}x. M/x]}$$
 ( $\rightsquigarrow$ -fix)

Example 11 (Divergent term). Consider the term  $\forall x. x$  which never reduces to any value

$$\mathbf{Y}x. x \rightsquigarrow x[\mathbf{Y}x. x] = \mathbf{Y}x. x \rightsquigarrow \mathbf{Y}x. x \rightsquigarrow \cdots$$

#### Example: calculating the factorials

The factorial of n is usually defined recursively

$$fact: n \mapsto \begin{cases} 1 & \text{if } n = 0 \\ n \times fact(n') & \text{if } n = n' + 1 \end{cases}$$

This is a *fixpoint* of the higher-order function  $F: (\mathbb{N} \to \mathbb{N}) \to (\mathbb{N} \to \mathbb{N})$  defined by

$$F(f) \colon n \mapsto \begin{cases} 1 & \text{if } n = 0 \\ n \times f(n') & \text{if } n = n' + 1 \end{cases}$$

for any  $f: \mathbb{N} \to \mathbb{N}$ , satisfying  $F(\mathtt{fact}) = \mathtt{fact}$ . The higher-order function  $F: (\mathbb{N} \to \mathbb{N}) \to (\mathbb{N} \to \mathbb{N})$  can be presented in **PCF** as

$$\lambda.f\,F := \lambda f.$$
 
$$\lambda n.$$
 
$$\mathtt{ifz}(n;1;m,n\times (f\,m))$$

with the type  $(\mathtt{nat} \to \mathtt{nat}) \to (\mathtt{nat} \to \mathtt{nat})$ . A fixpoint of  $\lambda.fF$  can be given by  $\mathtt{Y}.fF$  as the evaluation of  $(\lambda f.F)(\mathtt{Y}f.F)$  and  $\mathtt{Y}f.F$ 

$$(\lambda f. F)(Yf. F) \leadsto F[(Yf. F)/f]$$
  
 $Yf. F \leadsto F[(Yf. F)/f]$ 

shows that they reduce to the same term. We will explain this in more details in the lectures on denotational semantics. **Exercise**. Show that fact  $\underline{n} \rightsquigarrow^* \underline{n!}$  by induction on  $\underline{n}$ .

#### Type safety for PCF

**Theorem 12** (Progress Theorem). If  $\vdash M : \tau$  then either M is a value or there exists M' such that  $M \rightsquigarrow M'$ .

**Theorem 13** (Preservation Theorem). *If*  $\vdash M : \tau$  and  $M \leadsto N$  then  $\vdash N : \tau$ .

All follow the same pattern in the situtaiton for simply typed lambda calculus.<sup>1</sup>

### 3 Big-step semantics

#### Another reduction relation

Instead of the one-step reduction relation  $\leadsto$ , we turn to the **big-step** reduction relation  $\Downarrow$  between terms, formulating the notion that a term M reduce to a value V eventually.

• simply typed lambda calculus

$$\overline{\lambda x. \mathsf{M} \Downarrow \lambda x. \mathsf{M}}$$
 ( $\Downarrow$ -lam)

$$\frac{\mathsf{M} \Downarrow \lambda x.\,\mathsf{E} \qquad \mathsf{E}[\mathsf{N}/x] \Downarrow \mathsf{V}}{\mathsf{M} \;\mathsf{N} \Downarrow \mathsf{V}} \; (\Downarrow\text{-app})$$

• natural numbers

$$\frac{\mathsf{M} \Downarrow \mathsf{V}}{\mathsf{suc} \; \mathsf{M} \Downarrow \mathsf{suc} \; \mathsf{V}} \; (\Downarrow \text{-suc})$$

• if-zero test

$$\frac{\mathsf{M} \Downarrow \mathtt{zero} \quad \mathsf{M}_0 \Downarrow \mathsf{V}}{\mathtt{ifz}(\mathsf{M}; \mathsf{M}_0; x.\, \mathsf{M}_1) \Downarrow \mathsf{V}} \, (\Downarrow \mathtt{-ifz}_0)$$

$$\frac{\mathsf{M} \Downarrow \mathsf{suc} \; \mathsf{N} \qquad \mathsf{M}_1[\mathsf{N}/x] \Downarrow \mathsf{V}}{\mathsf{ifz}(\mathsf{M}; \mathsf{M}_0; x. \; \mathsf{M}_1) \Downarrow \mathsf{V}} \; (\Downarrow\text{-}\mathsf{ifz}_1)$$

• general recursion

$$\frac{\mathsf{M}[\mathsf{Y}x.\,\mathsf{M}/x] \Downarrow \mathsf{V}}{\mathsf{Y}x.\,\mathsf{M} \Downarrow \mathsf{V}} \,(\Downarrow\text{-fix})$$

#### Exercise.

- 1. Show that fact  $\underline{0} \downarrow \underline{1}$ .
- 2. Show that flip  $0 \downarrow 1$  and flip  $n+1 \downarrow 0$ .

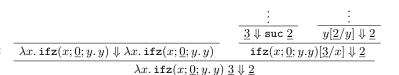


Figure 1: Derivation of pred  $3 \downarrow 2$ 

#### Reduction on values

We shall justify the intended meaning. Whenever  $M \Downarrow V$ , the term V is always a value; every value is in its simplest form.

**Lemma 14.** For every terms M and V, the term V is a value if  $M \Downarrow V$ .

*Proof.* By induction on the derivation of  $M \Downarrow V$ .  $\square$ 

**Lemma 15.** *If* V *is a value, then*  $V \Downarrow V$ .

*Proof.* By induction on the derivation of V val.  $\square$ 

Agreement of big-step and one-step semantics

**Theorem 16.** For every term M and V,  $M \Downarrow V$  if and only if  $M \rightsquigarrow^* V$  with V val.

*Proof sketch.* 1. Show that if  $M \Downarrow V$  then  $M \rightsquigarrow^* V$  by induction on  $\Downarrow$  and  $\rightsquigarrow^*$ .

- 2. Show that if  $M \rightsquigarrow N$  and  $N \Downarrow V$  then  $M \Downarrow V$ .
- 3. Show that if  $M \rightsquigarrow^* N$  and  $N \Downarrow V$  then  $M \Downarrow V$ . In particular, every  $M \rightsquigarrow^* V$  with V val, has  $V \Downarrow V$ , so it follows that  $M \Downarrow V$ .

**Corollary 17** (Preservation Theorem for  $\Downarrow$ ). *If*  $\vdash M : \tau \ and \ M \Downarrow V \ then \ \vdash V : \tau$ .

#### Exercises

- 1. Define the following programs in **PCF**.
  - (a) Addition and multiplication of natural numbers
  - (b) Fibonacci numbers;
  - (c) Parity test, i.e. a function determines whether the given argument is an odd or even number. Return zero if even, suc zero otherwise.
- 2. Let bool be a type with two constructors:

true: bool

<sup>&</sup>lt;sup>1</sup> To be proved in **Agda** formally.

(a) Provide the typing rule for the conditional construct if:

$$\frac{?}{\Gamma \vdash \mathtt{if}(\mathsf{M}_0; \mathsf{M}_1; \mathsf{M}_2) : \tau}$$

- (b) Provide its one-step semantics such that  $if(M_0, M_1, M_2)$  reduces to  $M_1$  if  $M_0$  is true; or  $M_2$  otherwise.
- (c) Show that Progress Theorem and Preservation Theorem hold for PCF with bool.
- 3. Define primitive recursion in **PCF**

$$\mathtt{rec}:\tau\to(\mathtt{nat}\to\tau\to\tau)\to\mathtt{nat}\to\tau$$
 such that

$$\operatorname{rec} e_0 f \operatorname{zero} \qquad \leadsto^* e_0$$
 $\operatorname{rec} e_0 f (\operatorname{suc} M) \qquad \leadsto^* f M (\operatorname{rec} e_0 f M)$ 

respectively

$$\frac{x \text{ var} \quad \text{M term}}{\lambda x. \text{ M term}}$$

$$\frac{\text{M term} \quad \text{N term}}{\text{M N term}}$$

$$\frac{\text{M N term}}{\text{zero term}}$$

$$\frac{\text{M term}}{\text{suc M term}}$$

$$\frac{\text{M term}}{\text{suc M term}}$$

$$\frac{\text{M term}}{\text{ifz}(\text{M}; \text{M}_0; x. \text{M}_1) \text{ term}}$$

$$x \text{ var} \quad \text{M term}$$

x var

x term

Figure 2: Term formulation rules for PCF

Yx. M term

#### Reference

Denotational Semantics and this lecture are based on the following two books:

- Thomas Streicher, Domain-Theoretic Foundations of Functional Programming, World Scientific, 2006
- Robert Harper, Practical Foundations for Programming Languages, Cambridge University Press, 2012

Their preprints are available on the Internet.

$$\frac{\Gamma, x : \sigma, \Delta \vdash x : \sigma}{\Gamma, x : \sigma \vdash \mathsf{M} : \tau} \text{ (abs)}$$

$$\frac{\Gamma, x : \sigma \vdash \mathsf{M} : \tau}{\gamma \vdash \lambda x. \, \mathsf{M} : \sigma \to \tau} \text{ (abs)}$$

$$\frac{\Gamma \vdash \mathsf{M} : \sigma \to \tau \qquad \Gamma \vdash \mathsf{N} : \sigma}{\Gamma \vdash \mathsf{M} \, \mathsf{N} : \tau} \text{ (app)}$$

$$\frac{\Gamma \vdash \mathsf{M} : \mathsf{nat}}{\Gamma \vdash \mathsf{suc} \, \mathsf{M} : \mathsf{nat}} \text{ (s)}$$

$$\frac{\Gamma \vdash \mathsf{M} : \mathsf{nat}}{\Gamma \vdash \mathsf{suc} \, \mathsf{M} : \mathsf{nat}} \text{ (s)}$$

$$\frac{\Gamma \vdash \mathsf{M} : \mathsf{nat}}{\Gamma \vdash \mathsf{ifz}(\mathsf{M}; \mathsf{M}_0; x. \, \mathsf{M}_1) : \tau} \text{ (ifz)}$$

$$\frac{\Gamma, x : \sigma \vdash \mathsf{M} : \sigma}{\Gamma \vdash \mathsf{Y}x. \, \mathsf{M} : \sigma} \text{ (Y)}$$

Figure 3: Typing rules for **PCF** 

$$\frac{\mathsf{M} \rightsquigarrow \mathsf{M}'}{\mathsf{M} \ \mathsf{N} \rightsquigarrow \mathsf{M}' \ \mathsf{N}} (\rightsquigarrow \text{-lapp})$$

$$\frac{\mathsf{M} \rightsquigarrow \mathsf{M}'}{(\lambda x. \ \mathsf{M}) \ \mathsf{N} \rightsquigarrow \mathsf{M}[\mathsf{N}/x]} (\rightsquigarrow \text{-app})$$

$$\frac{\mathsf{M} \rightsquigarrow \mathsf{M}'}{\mathsf{suc} \ \mathsf{M} \rightsquigarrow \mathsf{suc} \ \mathsf{M}'} (\rightsquigarrow \text{-suc})$$

$$\frac{\mathsf{M} \rightsquigarrow \mathsf{M}'}{\mathsf{suc} \ \mathsf{M} \rightsquigarrow \mathsf{suc} \ \mathsf{M}'} (\rightsquigarrow \text{-sifz})$$

$$\frac{\mathsf{M} \rightsquigarrow \mathsf{M}'}{\mathsf{ifz}(\mathsf{M}; \mathsf{M}_0; x. \ \mathsf{M}_1) \rightsquigarrow \mathsf{ifz}(\mathsf{M}'; \mathsf{M}_0; x. \ \mathsf{M}_1)} (\rightsquigarrow \text{-ifz})$$

$$\frac{\mathsf{suc} \ \mathsf{M} \ \mathsf{val}}{\mathsf{ifz}(\mathsf{suc} \ \mathsf{M}; \mathsf{M}_0; x. \ \mathsf{M}_1) \rightsquigarrow \mathsf{M}_1[\mathsf{M}/x]} (\rightsquigarrow \text{-ifz}_1)$$

$$\frac{\mathsf{suc} \ \mathsf{M} \ \mathsf{val}}{\mathsf{ifz}(\mathsf{suc} \ \mathsf{M}; \mathsf{M}_0; x. \ \mathsf{M}_1) \rightsquigarrow \mathsf{M}_1[\mathsf{M}/x]} (\rightsquigarrow \text{-fix})$$

Figure 4: Reduction rules for  $\mathbf{PCF}$