

Semantics of Functional Programming

Formalising PCF in Dependent Type Theory

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Formalising PCF

Terms, types, and lists are introduced as (non-dependent) types. For example, the type for **PCF** types are introduced as:

- Formation:

$$\frac{}{\vdash \mathbf{Type} : \mathcal{U}}$$

- Introduction:

$$\frac{}{\vdash \mathbf{nat} : \mathbf{Type}} \quad \frac{\vdash \tau_1 : \mathbf{Type} \quad \vdash \tau_2 : \mathbf{Type}}{\vdash \tau_1 \Rightarrow \tau_2 : \mathbf{Type}}$$

Exercise. Define types **Term**, **Type**, **Cxt** for **PCF** terms, **PCF** types, and contexts respectively in **Agda**.

Predicates

In case that you have been polluted by set theory, we distinguish a few *set-theoretic* and *type-theoretic* notions.

In set theory

A **predicate** P over a set X is a subset $P \subseteq X$.

In type theory

A term P is a **predicate** over a type A if and only if

$$\Gamma \vdash P : A \rightarrow \mathcal{U}$$

A term f is a **membership function** if and only if

$$\Gamma \vdash p : A \rightarrow \mathbf{Bool}$$

An example of predicates

In set theory, an **even number** n is commonly defined as a natural number satisfying $n = 2k$ for some natural number k , i.e.

$$E_{\mathbb{N}} = \{ n \in \mathbb{N} \mid \exists k \in \mathbb{N}. n = 2k \}.$$

In type theory, it can be defined inductively as a predicate **even** : $\mathbb{N} \rightarrow \mathcal{U}$ by

- Formation:

$$\frac{}{\Gamma \vdash \mathbf{even} : \mathbb{N} \rightarrow \mathcal{U}}$$

- Introduction:

$$\frac{}{\Gamma \vdash \mathbf{e-zero} : \mathbf{even} \ \mathbf{zero}} \quad \frac{\Gamma \vdash p : \mathbf{even} \ n}{\Gamma \vdash \mathbf{e-suc} \ p : \mathbf{even} \ (\mathbf{suc} \ (\mathbf{suc} \ n))}$$

where the elimination rule and the computational rule are omitted. **Exercise.** Define **Val** : **Term** $\rightarrow \mathcal{U}$ for values of **PCF** terms.

Set-theoretic relations

A **relation** over a set X is a subset $R \subseteq X \times X$, and $(x_1, x_2) \in R$ is written as

$$x_1 \ R \ x_2.$$

A relation $R \subseteq X \times X$ is

- **reflexive** if $x \ R \ x$ for every $x \in X$.
- **transitive** if $x \ R \ z$ whenever $x \ R \ y$ and $y \ R \ z$

A **reflexive transitive closure** of a relation R is the smallest reflexive transitive relation R^* containing R :

$$R^* := \bigcap \{ S \subseteq X \times X \mid R \subseteq S \text{ and } S \text{ is reflexive and transitive} \}$$

Type-theoretic relations

A **relation** over a type (set) A is a judgement

$$\Gamma \vdash R : A \rightarrow A \rightarrow \mathcal{U}.$$

A relation is

- **reflexive** if and only if

$$\Pi[x : A] \ R \ x \ x$$

- **transitive** if and only if

$$\Pi[x : A] \ \Pi[y : A] \ \Pi[z : A] \ R \ x \ y \rightarrow R \ y \ z \rightarrow R \ x \ z$$

Exercise. Define the one-step reduction $_ \rightsquigarrow _$ over **Term**.

A **reflexive transitive closure** R^* of a relation R over A :

- Formation:

$$\frac{\Gamma \vdash A : \mathcal{U} \quad \Gamma \vdash R : A \rightarrow A \rightarrow \mathcal{U}}{\Gamma \vdash R^* : A \rightarrow A \rightarrow \mathcal{U}}$$

- Introduction:

$$\frac{\Gamma \vdash x : A}{\Gamma \vdash \text{refl } x : R^* x x}$$

$$\frac{\begin{array}{l} \Gamma \vdash x : A \\ \Gamma \vdash y : A \quad \Gamma \vdash t : R x y \quad \Gamma \vdash u : R^* y z \\ \Gamma \vdash z : A \end{array}}{\Gamma \vdash \text{trans } t u : R^* x z}$$

where the elimination rule and the computation rule are omitted. **Exercise.** Show the following statements in **Transitive-Closure.agda**.

1. R^* is reflexive and transitive for every relation R over A .
2. R^* is the “smallest” transitive reflexive relation containing R .

Judgements in type theory

A **judgement** is a ternary predicate

$$_ \vdash _ : \text{Cxt} \rightarrow \text{Term} \rightarrow \text{Type} \rightarrow \mathcal{U}.$$

in type theory. The introduction rule for **suc** in **PCF** is formalised as

Exercise. Define a type of the typing rules of **PCF**.

Progress Theorem in type theory

Recall Progress Theorem in **PCF**:

Every closed well-typed **PCF** term M is either a value or there exists another term M' such that $M \rightsquigarrow M'$.

which corresponds to a witness of

$$\Pi[M : \text{Term}] \Pi[\tau : \text{Type}] \\ [] \vdash M : \tau \rightarrow (\text{Val } M) + \Sigma[M' : \text{Term}] M \rightsquigarrow M'$$

Preservation Theorem in type theory

Similarly, Preservation Theorem

For every closed well-typed **PCF** term M of type τ with $M \rightsquigarrow N$, the term N is also of type τ .

is translated to a term of type

$$\Pi[M : \text{Term}] \Pi[N : \text{Term}] \Pi[\tau : \text{Type}] \\ [] \vdash M : \tau \rightarrow M \rightsquigarrow N \rightarrow [] \vdash N : \tau$$

Exercise. Finish **PCF_blank.agda**.