



Chapter 3: Abstract Data Type (ADT) and Object-Oriented Programming (OOP)

3.2 Designing Specification

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Outline

- 1. Function/method in programming language
- 2. Specification: Programming for communication
 - Why specification is needed
 - Behavioral equivalence
 - Specification structure: pre-condition and post-condition
- 3. Designing specifications
 - Classifying specifications
 - Diagramming specifications
 - Quality of a specification
- 4. Summary

Objective of this lecture

- Understand preconditions and postconditions in method specifications, and be able to write correct specifications
- What preconditions and postconditions are, and what they mean for the implementor and the client of a method.
- Understand underdetermined specs, and be able to identify and assess nondeterminism
- Understand declarative vs. operational specs, and be able to write declarative specs
- Understand strength of preconditions, postconditions, and specs;
 and be able to compare spec strength
- Be able to write coherent, useful specifications of appropriate strength





1 Functions & methods in programming languages

Method

```
public static void threeLines() {
      STATEMENTS;
public static void main(String[] arguments){
      System.out.println("Line 1");
      threeLines();
      System.out.println("Line 2");
```

Parameters

```
[...] NAME (TYPE NAME, TYPE NAME) {
    STATEMENTS
}

To call:
    NAME(arg1, arg2);
```

Attention: parameter type mismatch when calling a method – static checking

Return Values

```
public static TYPE NAME() {
    STATEMENTS;
    return EXPRESSION;
}

void means "no type"
```

Methods: Building Blocks

- Big programs are built out of small methods
- Methods can be individually developed, tested and reused
- User of method does not need to know how it works --- this is called "abstraction"

A complete method

```
public class Hailstone {
  /**
   * Compute a hailstone sequence.
   * @param n Starting number for sequence. Assumes n > 0.
   * @return hailstone sequence starting with n and ending with 1.
   */
  public static List<Integer> hailstoneSequence(int n) {
    List<Integer> list = new ArrayList<Integer>();
   while (n != 1) {
        list.add(n);
        if (n % 2 == 0) {
            n = n / 2;
        } else {
            n = 3 * n + 1;
    list.add(n);
    return list;
```





2 Specification: Programming for communication





(1) Documenting in programming

Java API documentation: an example

java.util

Class LinkedList<E>

java.lang.Object
java.util.AbstractCollection<E>
java.util.AbstractList<E>
java.util.AbstractSequentialList<E>
iava.util.LinkedList<E>

Type Parameters:

E - the type of elements held in this collection

All Implemented Interfaces:

Serializable, Cloneable, Iterable<E>, Collection<E>, Deque<E>, List<E>, Queue<E>

```
public class LinkedList<E>
extends AbstractSequentialList<E>
implements List<E>, Deque<E>, Cloneable, Serializable
```

Doubly-linked list implementation of the List and Deque interfaces. Implements all optional list operations, and permits all elements (including null).

All of the operations perform as could be expected for a doubly-linked list. Operations that index into the list will traverse the list from the beginning or the end, whichever is closer to the specified index.

Note that this implementation is not synchronized. If multiple threads access a linked list concurrently, and at least one of the threads modifies the list structurally, it must be synchronized externally. (A structural modification is any operation that adds or deletes one or more elements; merely setting the value of an element is not a structural modification.) This is typically accomplished by synchronizing on some object that naturally encapsulates the list. If no such object exists, the list should be "wrapped" using the Collections. synchronizedList method. This is best done at creation time, to prevent accidental unsynchronized access to the list.

```
List list = Collections.synchronizedList(new LinkedList(...));
```

The iterators returned by this class's iterator and listIterator methods are fail-fast. If the list is structurally modified at any time after the iterator is created, in any way except through the Iterator's own remove or add methods, the iterator will throw a ConcurrentModification. Thus, in the face of concurrent modification, the iterator fails quickly and cleanly, rather than risking arbitrary, non-deterministic behavior at an undetermined time in the future.

Note that the fail-fast behavior of an iterator cannot be guaranteed as it is, generally speaking, impossible to make any hard guarantees in the presence of unsynchronized concurrent modification. Fail-fast iterators throw ConcurrentModificationException on a best-effort basis. Therefore, it would be wrong to write a program that depended on this exception for its correctness: the fail-fast behavior of iterators should be used only to detect bugs.

This class is a member of the Java Collections Framework.

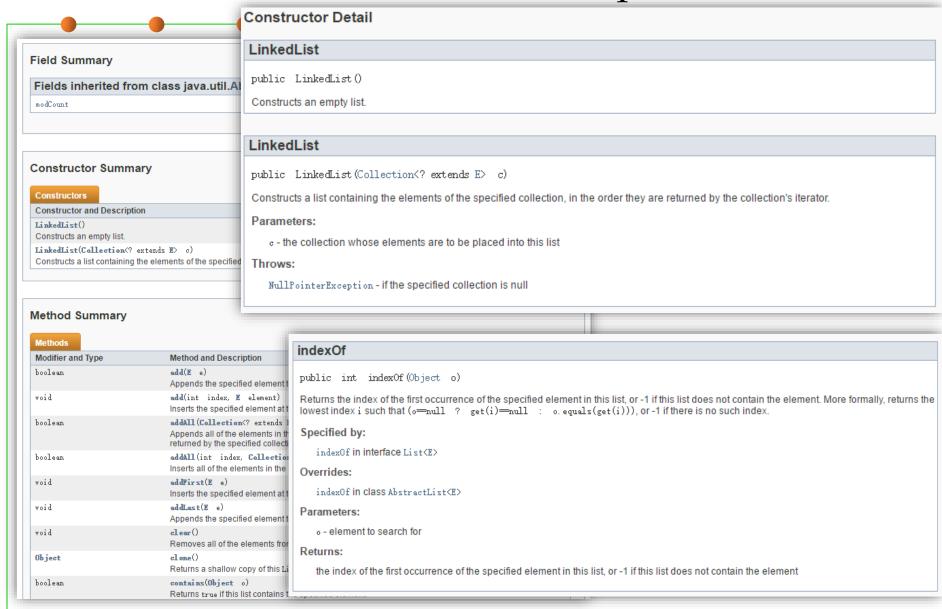
Since:

1.2

See Also:

List, ArrayList, Serialized Form

Java API documentation: an example



Java API documentation: an example

- Class hierarchy and a list of implemented interfaces.
- Direct subclasses, and for an interface, implementing classes.
- A description of the class
- Constructor summary
- Method summary lists all the methods we can call
- Detailed descriptions of each method and constructor
 - The method signature: we see the return type, the method name, and the parameters. We also see *exceptions*. For now, those usually mean errors the method can run into.
 - The full description.
 - Parameters: descriptions of the method arguments.
 - And a description of what the method returns.

Documenting Assumptions

- Writing the type of a variable down documents an assumption about it: e.g., this variable will always refer to an integer.
 - Java actually checks this assumption at compile time, and guarantees that there's no place in your program where you violated this assumption.
- Declaring a variable final is also a form of documentation, a claim that the variable will never change after its initial assignment.
 - Java checks that too, statically.

Programming for communication

Why do we need to write down our assumptions?

 Because programming is full of them, and if we don't write them down, we won't remember them, and other people who need to read or change our programs later won't know them. They'll have to guess.

Programs have to be written with two goals in mind:

- Communicating with the computer. First persuading the compiler that your program is sensible – syntactically correct and type-correct. Then getting the logic right so that it gives the right results at runtime.
- Communicating with other people. Making the program easy to understand, so that when somebody has to fix it, improve it, or adapt it in the future, they can do so.





(2) Specification and Contract (of a method)

Specifications (or called Contract)

- Specifications are the linchpin of teamwork. It's impossible to delegate responsibility for implementing a method without a specification.
- The specification acts as a contract: the implementer is responsible for meeting the contract, and a client that uses the method can rely on the contract.
 - States method's and caller's responsibilities
 - Defines what it means for implementation to be correct
- Like real legal contracts, specifications place demands on both parties: when the specification has a precondition, the client has responsibilities too.
 - If you pay me this amount on this schedule...
 - I will build a with the following detailed specification
 - Some contracts have remedies for nonperformance

An example of specification

A method add() of a Java class BigInteger

Specification from the API documentation:

```
public BigInteger add(BigInteger val)
Returns a BigInteger whose value is (this + val) .

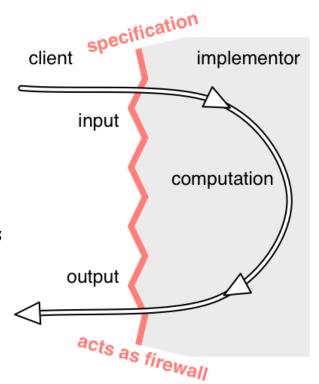
Parameters:
val - value to be added to this BigInteger.

Returns:
this + val
```

Method body from Java 8 source:

Specification (contract)

- Specifications are good for the implementer of a method because they give the implementor freedom to change the implementation without telling clients.
- Specifications can make code faster, too.
- The contract acts as a *firewall* between client and implementor.
 - It shields the client from the details of the workings of the unit.
 - It shields the implementor from the details of the usage of the unit.
 - This firewall results in *decoupling*, allowing the code of the unit and the code of a client to be changed independently, so long as the changes respect the specification.





(3) Behavioral equivalence

Behavioral equivalence

• To determine **behavioral equivalence**, the question is whether we could substitute one implementation for the other.

```
static int findFirst(int[] arr, int val) {
    for (int i = 0; i < arr.length; i++) {
        if (arr[i] == val) return i;
    }
    return arr.length;
}

static int findLast(int[] arr, int val) {
    for (int i = arr.length -1; i >= 0; i--) {
        if (arr[i] == val) return i;
    }
    return -1;
}
```

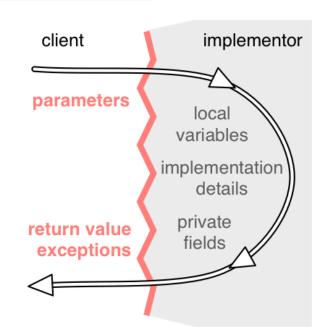
The notion of equivalence is in the eye of the client.

Behavioral equivalence

In order to make it possible to substitute one implementation for another, and to know when this is acceptable, we need a specification that states exactly what the client depends on.

```
static int find(int[] arr, int val)
  requires: val occurs exactly once in arr
  effects: returns index i such that arr[i] = val
```

 Note: specification should never talk about local variables of the method or private fields of the method's class.







(4) Specification structure: pre-condition and post-condition

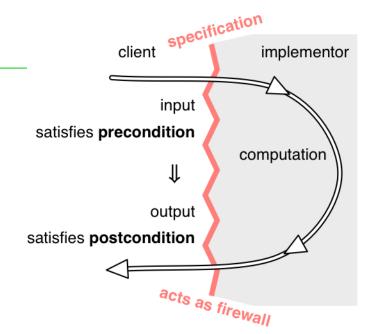
Specification Structure

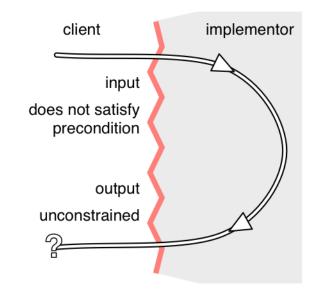
- A specification of a method consists of several clauses:
 - Precondition , indicated by the keyword requires
 - Postcondition , indicated by the keyword effects
 - Exceptional behavior: what it does if precondition violated
- The precondition is an obligation on the client (i.e., the caller of the method). It's a condition over the state in which the method is invoked.
- The postcondition is an obligation on the implementer of the method. If the precondition holds for the invoking state, the method is obliged to obey the postcondition, by returning appropriate values, throwing specified exceptions, modifying or not modifying objects, and so on.

Specification Structure

• The overall structure is a logical implication: if the precondition holds when the method is called, then the postcondition must hold when the method completes.

• If the precondition does *not* hold when the method is called, the implementation is *not* bound by the postcondition. It is free to do anything, including not terminating, throwing an exception, returning arbitrary results, making arbitrary modifications, etc.





Specifications in Java

- Java's static type declarations are effectively part of the precondition and postcondition of a method, a part that is automatically checked and enforced by the compiler.
- The rest of the contract must be described in a comment preceding the method, and generally depends on human beings to check it and guarantee it.
- Parameters are described by @param clauses and results are described by @return and @throws clauses.
- Put the preconditions into @param where possible, and postconditions into @return and @throws.

Specifications in Java

```
static int find(int[] arr, int val)
  requires: val occurs exactly once in arr
  effects: returns index i such that arr[i] = val
```

```
/**
 * Find a value in an array.
 * @param arr array to search, requires that val occurs exactly once
 * in arr
 * @param val value to search for
 * @return index i such that arr[i] = val
 */
static int find(int[] arr, int val)
```

Specifications for mutating methods

Example 1: a mutating method

```
static boolean addAll(List<T> list1, List<T> list2)
  requires: list1 != list2
  effects: modifies list1 by adding the elements of list2 to the end of
        it, and returns true if list1 changed as a result of call
```

Example 2: a mutating method

Example 3: a method that does not mutate its argument

```
static List<String> toLowerCase(List<String> lst)
  requires: nothing
  effects: returns a new list t where t[i] = lst[i].toLowerCase()
```

Specifications for mutating methods

- If the effects do not explicitly say that an input can be mutated, then we assume mutation of the input is implicitly disallowed.
- Virtually all programmers would assume the same thing. Surprise mutations lead to terrible bugs.

Convention:

- Mutation is disallowed unless stated otherwise .
- No mutation of the inputs
- Mutable objects can make simple specification/contracts very complex
- Mutable objects reduce changeability

- Mutable objects make the contracts between clients and implementers more complicated, and reduce the freedom of the client and implementer to change.
 - In other words, using *objects* that are allowed to change makes the *code* harder to change.
- An example: a method to looks up a username in database and returns the user's 9-digit identifier

```
/**
  * @param username username of person to look up
  * @return the 9-digit MIT identifier for username.
  * @throws NoSuchUserException if nobody with username is in MIT's database
  */
public static char[] getMitId(String username) throws NoSuchUserException {
    // ... look up username in MIT's database and return the 9-digit ID
}
```

A client using this method to print out a user's identifier:

```
char[] id = getMitId("bitdiddle");
System.out.println(id);
```

- Now both the client and the implementor separately decide to make a change. The client is worried about the user's privacy, and decides to obscure the first 5 digits of the id:
- The implementer is worried about the speed and load on the database, so the implementer introduces a cache that remembers usernames that have been looked up:

What will happen?

```
char[] id = getMitId("bitdiddle");
for (int i = 0; i < 5; ++i) {
    id[i] = '*';
}
System.out.println(id);</pre>
```

```
private static Map<String, char[]> cache = new HashMap<String, char[]>();

public static char[] getMitId(String username) throws NoSuchUserException {
    // see if it's in the cache already
    if (cache.containsKey(username)) {
        return cache.get(username);
    }

    // ... look up username in MIT's database ...

// store it in the cache for future lookups
    cache.put(username, id);
    return id;
}
```

- Sharing a mutable object complicates a contract.
- Who's to blame here?
 - Was the client obliged not to modify the object it got back?
 - Was the implementer obliged not to hold on to the object that it returned?

A possible way of clarifying the spec:

How about this spec?

– It's a lifetime contract!

How about this one?

- This spec at least says that the array has to be fresh.
- But does it keep the implementer from holding an alias to that new array? Does it keep the implementer from changing that array or reusing it in the future for something else?

How about this one?

- The immutable String return value provides a *guarantee* that the client and the implementer will never step on each other the way they could with char arrays.
- It doesn't depend on a programmer reading the spec comment carefully.
- String is *immutable*. Not only that, but this approach (unlike the previous one) gives the implementer the freedom to introduce a cache a performance improvement.





(5) Testing and verifying specifications

Formal contract specification

Java Modelling Language (JML)

precondition postcondition

This is a theoretical approach with advantages

- Runtime checks generated automatically
- Basis for formal verification
- Automatic analysis tools

Disadvantages

- Requires a lot of work
- Impractical in the large
- Some aspects of behavior not amenable to formal specification

Textual specification - Javadoc

- Practical approach
- Documenting every parameter, return value, every exception (checked and unchecked), what the method does, including Purpose, side effects, any thread safety issues, any performance issues
- Do not document implementation details

Testing

- Executing the program with selected inputs in a controlled environment
- Goals
 - Reveal bugs, so they can be fixed (main goal)
 - Assess quality
 - Clarify the specification, documentation

Chapter 7 Robustness

"Beware of bugs in the above code; I have only proved it correct, not tried it."

— — Donald Knuth, 1977

Black-box testing

- Black-box testing: to check if the tested program follow the specified specification in an implementation-independent way.
- An example specification:

```
static int find(int[] arr, int val)
  requires: val occurs in arr
  effects: returns index i such that arr[i] = val
```

The test case:

```
int[] array = new int[] { 7, 7, 7 };
assertEquals(0, find(array, 7)); // bad test case: violates the spec
assertEquals(7, array[find(array, 7)]); // correct
```





3 Designing specifications



(1) Classifying specifications

Comparing specifications

- How deterministic it is. Does the spec define only a single possible output for a given input, or allow the implementor to choose from a set of legal outputs?
- How **declarative** it is. Does the spec just characterize *what* the output should be, or does it explicitly say *how* to compute the output?
- How strong it is. Does the spec have a small set of legal implementations, or a large set?
- "What makes some specifications better than others?"

Deterministic vs. underdetermined specs

- **Deterministic**: when presented with a state satisfying the precondition, the outcome is completely determined.
 - Only one return value and one final state is possible.
 - There are no valid inputs for which there is more than one valid output.

```
static int find<sub>First</sub>(int[] arr, int val) {
    for (int i = 0; i < arr.length; i++) {
        if (arr[i] == val) return i;
    }
    return arr.length;
}</pre>
static int find<sub>Last</sub>(int[] arr, int val) {
    for (int i = arr.length - 1; i >= 0; i--) {
        if (arr[i] == val) return i;
    }
    return -1;
}
```

```
static int find<sub>ExactlyOne</sub>(int[] arr, int val)
  requires: val occurs exactly once in arr
  effects: returns index i such that arr[i] = val
```

Deterministic vs. underdetermined specs

 Under-deterministic: specification allows multiple valid outputs for the same input.

```
static int find<sub>OneOrMore,AnyIndex</sub>(int[] arr, int val)
  requires: val occurs in arr
  effects: returns index i such that arr[i] = val
```

- Nondeterministic: sometimes behaves one way and sometimes another, even if called in the same program with the same inputs (e.g., depending on random or timing)
- To avoid the confusion, we'll refer to specifications that are not deterministic as **underdetermined** .
- Underdeterminism in specifications offers a choice that is made by the implementor at implementation time.
 - An underdetermined spec is typically implemented by a fullydeterministic implementation.

Declarative vs. operational specs

- Operational specifications give a series of steps that the method performs; pseudocode descriptions are operational.
- Declarative specifications don't give details of intermediate steps. Instead, they just give properties of the final outcome, and how it's related to the initial state.
- Declarative specifications are preferable.
 - They're usually shorter, easier to understand, and most importantly, they don't inadvertently expose implementation details that a client may rely on.
- Why operational spec. exists?
 - Programmers use the spec comment to explain the implementation for a maintainer.
 - Don't do that. When it's necessary, use comments within the body of the method, not in the spec comment.

Declarative spec.

Standard: the clearest, for clients and maintainers of the code.

```
static boolean startsWith(String str, String prefix)
  effects: returns true if and only if there exists String suffix
      such that prefix + suffix == str
```

- How to compare the behaviors of two specifications to decide whether it's safe to replace the old spec with the new spec?
- A specification S2 is stronger than or equal to a specification S1 if
 - S2's precondition is weaker than or equal to S1's
 - S2's postcondition is stronger than or equal to S1's, for the states that satisfy S1's precondition.

Then an implementation that satisfies S2 can be used to satisfy S1 as well, and it's safe to replace S1 with S2 in your program.

Rules:

- Weaken the precondition: placing fewer demands on a client will never upset them.
- Strengthen the post-condition, which means making more promises.

Original spec:

```
static int find<sub>ExactlyOne</sub>(int[] a, int val)
  requires: val occurs exactly once in a
  effects: returns index i such that a[i] = val
```

A stronger spec:

```
static int find<sub>OneOrMore,AnyIndex</sub>(int[] a, int val)
  requires: val occurs at least once in a
  effects: returns index i such that a[i] = val
```

A much stronger spec:

```
static int find<sub>OneOrMore,FirstIndex</sub>(int[] a, int val)
  requires: val occurs at least once in a
  effects: returns lowest index i such that a[i] = val
```

• How about these two?

```
static int find<sub>OneOrMore,FirstIndex</sub>(int[] a, int val)
  requires: val occurs at least once in a
  effects: returns lowest index i such that a[i] = val
```

- If S3 is neither stronger nor weaker than S1, there specs. might overlap (such that there exist implementations that satisfy only S1, only S3, and both S1 and S3) or might be disjoint.
- In both cases, S1 and S3 are incomparable.

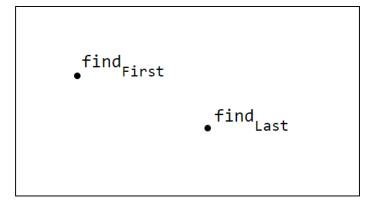


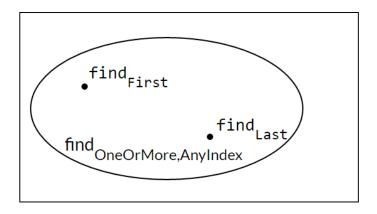


(2) Diagramming specifications

Diagramming specifications

Each point in this space represents a method implementation.

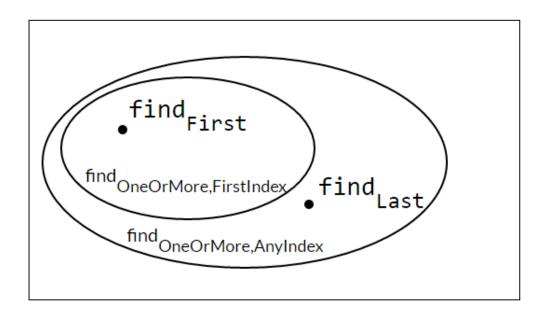




- A specification defines a region in the space of all possible implementations.
- A given implementation either behaves according to the spec, satisfying the precondition-implies-postcondition contract (it is inside the region), or it does not (outside the region).

Diagramming specifications

 When S2 is stronger than S1, it defines a smaller region in this diagram; a weaker specification defines a larger region.







(3) Designing good specifications

Quality of a specification

- What makes a good method? Designing a method means primarily writing a specification.
- About the form of the specification: it should obviously be succinct, clear, and well-structured, so that it's easy to read.
- The content of the specification, however, is harder to prescribe.
 There are no infallible rules, but there are some useful guidelines.

The specification should be coherent

 The spec shouldn't have lots of different cases. Long argument lists, deeply nested if-statements, and boolean flags are all signs of trouble.

```
static int sumFind(int[] a, int[] b, int val)
    effects: returns the sum of all indices in arrays a and b at which
        val appears

public static int LONG_WORD_LENGTH = 5;
public static String longestWord;

/**
    * Update longestWord to be the longest element of words, and print
    * the number of elements with length > LONG_WORD_LENGTH to the console.
    * @param words list to search for long words
    */
public static void countLongWords(List<String> words)
```

 In addition to terrible use of global variables and printing instead of returning, the specification is not coherent — it does two different things, counting words and finding the longest word.

• How to improve:

 Separating those two responsibilities into two different methods will make them simpler (easy to understand) and more useful in other contexts (ready for change).

The results of a call should be informative

- If null is returned, you can't tell whether the key was not bound previously, or whether it was in fact bound to null.
- This is not a very good design, because the return value is useless unless you know for sure that you didn't insert null.

The specification should be strong enough

- The spec should give clients a strong enough guarantee in the general case it needs to satisfy their basic requirements. We must use extra care when specifying the special cases, to make sure they don't undermine what would otherwise be a useful method.
- For example, there's no point throwing an exception for a bad argument but allowing arbitrary mutations, because a client won't be able to determine what mutations have actually been made. Here's a specification illustrating this flaw (and also written in an inappropriately operational style):

```
static void addAll(List<T> list1, List<T> list2)
  effects: adds the elements of list2 to list1,
        unless it encounters a null element,
        at which point it throws a NullPointerException
```

• If a NullPointerException is thrown, the client is left to figure out on their own which elements of list2 actually made it to list1.

The specification should also be weak enough

```
static File open(String filename)
  effects: opens a file named filename
```

- This is a bad specification.
 - It lacks important details: is the file opened for reading or writing? Does it already exist or is it created?
 - It's too strong, since there's no way it can guarantee to open a file. The
 process in which it runs may lack permission to open a file, or there might
 be some problem with the file system beyond the control of the program.
- **Instead, the specification should say something much weaker**: it attempts to open a file, and if it succeeds, the file has certain properties.

The specification should use abstract types

- Abstract notions like a List or Set
- Particular implementations like ArrayList or HashSet.
- Writing our specification with abstract types gives more freedom to both the client and the implementor.
- In Java, this often means using an interface type, like Map or Reader, instead of specific implementation types like HashMap or FileReader.

```
static ArrayList<T> reverse(ArrayList<T> list)
  effects: returns a new list which is the reversal of list, i.e.
      newList[i] == list[n-i-1]
      for all 0 <= i < n, where n = list.size()</pre>
```

Precondition or postcondition?

• Whether to use a precondition, and if so, whether the method code should attempt to make sure the precondition has been met before proceeding?

For programmer:

 The most common use of preconditions is to demand a property precisely because it would be hard or expensive for the method to check it.

> If to check a condition would make a method unacceptably slow, a precondition is often necessary.

Precondition or postcondition?

- For user: A non-trivial precondition inconveniences clients, because they have to ensure that they don't call the method in a bad state (that violates the precondition); if they do, there is no predictable way to recover from the error. So users of methods don't like preconditions.
 - Thus, Java API classes tend to specify (as a postcondition) that they throw unchecked exceptions when arguments are inappropriate.
 - This makes it easier to find the bug or incorrect assumption in the caller code that led to passing bad arguments.
 - In general, it's better to fail fast, as close as possible to the site of the bug, rather than let bad values propagate through a program far from their original cause.



- A specification acts as a crucial firewall between the implementor of a procedure and its client.
- It makes separate development possible: the client is free to write code that uses the procedure without seeing its source code, and the implementor is free to write the code that implements the procedure without knowing how it will be used.

Safe from bugs

- A good specification clearly documents the mutual assumptions that a client and implementor are relying on. Bugs often come from disagreements at the interfaces, and the presence of a specification reduces that.
- Using machine-checked language features in your spec, like static typing and exceptions rather than just a human-readable comment, can reduce bugs still more.

Easy to understand

 A short, simple spec is easier to understand than the implementation itself, and saves other people from having to read the code.

Ready for change

 Specs establish contracts between different parts of your code, allowing those parts to change independently as long as they continue to satisfy the requirements of the contract.

- Declarative specifications are the most useful in practice.
- Preconditions (which weaken the specification) make life harder for the client, but applied judiciously they are a vital tool in the software designer's repertoire, allowing the implementor to make necessary assumptions.

Safe from bugs.

- Without specifications, even the tiniest change to any part of our program could be the tipped domino that knocks the whole thing over.
- Well-structured, coherent specifications minimize misunderstandings and maximize our ability to write correct code with the help of static checking, careful reasoning, testing, and code review.

Easy to understand

 A well-written declarative specification means the client doesn't have to read or understand the code.

Ready for change

- An appropriately weak specification gives freedom to the implementor,
 and an appropriately strong specification gives freedom to the client.
- We can even change the specs themselves, without having to revisit every place they're used, as long as we're only strengthening them: weakening preconditions and strengthening postconditions.



The end

March 1, 2019