

# Graph theory

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## Introduction

These are my lecture notes on the course Graph theory in the year 2023/24. The lecturer that year was izr. prof. PhD Csilla Bujtás.

The notes are not perfect. I did not write down most of the examples that help with understanding the course material. I also did not formally prove every theorem and may have labeled some as trivial or only wrote down the main ideas.

I have most likely made some mistakes when writing these notes – feel free to correct them.

## Common notation

Unless otherwise specified, we use the following notation:

$G$	Graph $G$ with vertices $V$ and edges $E$
$n(G)$	Number of vertices in $G$ , $n(G) =  V $ (if not ambiguous also just $n$ )
$m(G)$	Number of edges in $G$ , $m(G) =  E $ (if not ambiguous also just $m$ )
$G[S]$	Subgraph of $G$ with vertices in $S$
$G[E']$	Subgraph of $G$ with edges in $E'$ , $G[E'] = (\cup E', E')$
$\delta(G)$	Minimal degree in $G$ , $\delta(G) = \min_{v \in V} \deg(v)$
$\Delta(G)$	Maximal degree in $G$ , $\Delta(G) = \max_{v \in V} \deg(v)$
$N(S)$	Neighbouring vertices of $S \subseteq V$

# 1 Matchings

## 1.1 Independence, matchings and covers

**Definition 1.1.1.** A set  $S \subseteq V$  is *independent* if  $G[S]$  contains no edges. We denote the maximal cardinality of an independent set, the independence number, by  $\alpha(G)$ .

**Definition 1.1.2.** A set  $T \subseteq V$  is a *vertex cover* if it contains a vertex of each edge. We denote the minimal cardinality of a vertex cover, the vertex cover number, by  $\beta(G)$ .

**Proposition 1.1.3.** The equality in  $\alpha(G) + \beta(G) = n$  holds.

*Proof.* The complement of an independent set is a vertex cover and vice-versa.  $\square$

**Definition 1.1.4.** A set  $M \subseteq E$  is a *matching* if no two of its edges contain the same vertex. We denote the maximal cardinality of a matching, the matching number, by  $\alpha'(G)$ .

**Definition 1.1.5.** A set  $C \subseteq E$  is an *edge cover* if  $\bigcup C = V$ . If  $\delta(G) \geq 1$ , we denote the minimal cardinality of an edge cover, the edge cover number, by  $\beta'(G)$ .

**Proposition 1.1.6.** We have  $\alpha'(G) \leq \beta(G)$ .

*Proof.* We must choose a vertex from each edge of a matching to get a vertex cover.  $\square$

**Proposition 1.1.7.** We have  $\alpha(G) \leq \beta'(G)$ .

*Proof.* Every edge of an edge cover contains at most one vertex of an independent set.  $\square$

**Proposition 1.1.8.** We have  $\alpha'(G) \leq \frac{n}{2} \leq \beta'(G)$ .

*Proof.* The proof is obvious and need not be mentioned.  $\square$

**Theorem 1.1.9** (Gallai). If  $\delta(G) \geq 1$ , then  $\alpha'(G) + \beta'(G) = n$ .

*Proof.* Take a maximum matching  $M$  on  $G$  and let  $S$  be its vertex set. We can construct an edge cover from  $M$  by adding an edge for each missing vertex, resulting in  $x$  new vertices. Then

$$\alpha'(G) + \beta'(G) \leq |M| + x \leq 2 \cdot |M| + |S^c| = n.$$

Now let  $C$  be a minimum edge cover. Note that each of its edges covers a vertex that is not covered by any other edge in  $C$ . That is, the graph  $G[S]$  is a forest of  $k$  stars. To construct a matching, we can choose an arbitrary edge of each star, which gives

$$\alpha'(G) + \beta'(G) \geq k + (n - k) = n. \quad \square$$

**Definition 1.1.10.** Let  $M$  be a matching. A path is an  *$M$ -alternating path* if its edges alternate between  $M$  and  $M^c$ .

**Definition 1.1.11.** An  $M$ -alternating path is called  *$M$ -augmenting* if its ends are not covered by  $M$ .

**Proposition 1.1.12.** Maximum matchings do not contain  $M$ -augmenting paths.

*Proof.* We can construct a larger matching  $M' = M \oplus P$ , where  $P$  is an  $M$ -augmenting path.  $\square$

**Theorem 1.1.13** (König). Let  $G$  be a bipartite graph. Then  $\alpha'(G) = \beta(G)$ . If  $M$  is a matching in  $G$  that contains no  $M$ -augmenting path, then it is a maximum matching.

*Proof.* Let  $M$  be a matching such that no  $M$ -augmenting path exists in  $G$ , and let  $A$  and  $B$  be the parts of  $G$ . Denote  $X = A \setminus V(M)$  and  $Y = B \setminus V(M)$ . Now let  $A_1$  and  $B_1$  be the set of vertices in  $A$  and  $B$  respectively that can be reached via an  $M$ -alternating path from  $X$ . Furthermore, let  $A_2 = A \setminus (A_1 \cup X)$  and  $B_2 = B \setminus (B_1 \cup Y)$ . Then  $A_2 \cup B_1$  is a vertex cover, as there are no edges in the pairs  $(X, Y)$ ,  $(X, B_2)$ ,  $(A_1, B_2)$  and  $(A_1, Y)$ . We constructed a vertex cover of the same cardinality as  $M$ , hence  $M$  must be a maximum matching and  $\alpha'(G) = \beta(G)$ .  $\square$

**Corollary 1.1.13.1.** If  $G$  is a bipartite graph, then  $\alpha(G) = \beta'(G)$ .

*Proof.* We have

$$\alpha(G) = n - \beta(G) = n - \alpha'(G) = \beta'(G).$$

$\square$

**Theorem 1.1.14** (Hall). Let  $G$  be a bipartite graph with parts  $A$  and  $B$ . Then the equality  $\alpha'(G) = |A|$  holds if and only if  $|S| \leq |N(S)|$  for all  $S \subseteq A$ .

*Proof.* The first implication is evident. Suppose now that  $\alpha'(G) \neq |A|$  and take a maximum matching  $M$  in  $G$ . Using the notation from König's theorem, let  $S = A_1 \cup X$ . Then  $N(S) = B_1$ , therefore

$$|N(S)| = |B_1| = |A_1| < |S|.$$

$\square$

**Definition 1.1.15.** A matching  $M$  is *perfect* if it covers all vertices.

**Corollary 1.1.15.1.** In a bipartite graph  $G$  a perfect matching exists if and only if  $|A| = |B|$  and Hall's condition holds.

**Definition 1.1.16.** Let  $S \subseteq A$  in a bipartite graph. The *deficiency* of  $S$  is defined as

$$\text{def}(S) = |S| - |N(S)|.$$

**Theorem 1.1.17.** In a bipartite graph  $G$ , we have

$$\alpha'(G) = |A| - \max_{S \subseteq A} (\text{def}(S)).$$

**Theorem 1.1.18.** If  $G$  is a regular bipartite graph, it has a perfect matching.

*Proof.* The proof is obvious and need not be mentioned.  $\square$

**Theorem 1.1.19.** Suppose  $M$  is a matching in  $G$ . Then there exists an  $M$ -augmenting path in  $G$  if and only if  $M$  is not a maximum matching.

*Proof.* One implication is precisely proposition 1.1.12. Suppose now that  $M$  is a non-maximum matching. That is, there exists a matching  $M'$  with  $|M'| > |M|$ . Consider  $G' = G[M \oplus M']$ . The maximal degree in  $G'$  is clearly at most 2, hence every component is either a path or a cycle. As we have no odd cycles, by  $|M'| > |M|$  there exists an odd-length path in  $G'$  with both extreme edges are in  $M'$ , which that is an  $M$ -augmenting path.  $\square$

**Remark 1.1.19.1.** Maximum matchings can be found in polynomial time.

**Theorem 1.1.20** (Tutte). Denote by  $\sigma(G)$  the number of odd components in  $G$ . A graph  $G$  has a perfect matching if and only if the inequality

$$|S| \geq \sigma(G[V \setminus S])$$

holds for every  $S \subseteq V$ .

*Proof.* Suppose  $G$  has a perfect matching. Then every odd component of  $G[V \setminus S]$  is matched to a distinct vertex in  $S$ , hence Tutte's condition holds.

Now suppose that Tutte's condition holds for  $G$ . Note that this implies that  $2 \mid n$ , as we can take  $S = \emptyset$ . Furthermore, suppose that  $G$  is a maximal counterexample, that is, adding any edge to  $G$  produces a graph that either breaks Tutte's condition or contains a perfect matching. We can check that the former is actually impossible, as adding edges can only decrease the number  $\sigma(G[V \setminus S])$ .

Denote  $U = \{x \in V \mid \deg(x) = n - 1\}$ . Clearly,  $G[U]$  is a complete graph, and hence  $U \neq V$ . We consider two cases:

- i) Every component  $H$  of  $G[U^c]$  induces a complete graph. In this case, just take a maximum matching of each component and match the last remaining vertex in odd components with vertices in  $U$ . This can clearly be done by Tutte's condition.
- ii) Some component  $H$  of  $G[U^c]$  is not complete. Take  $x, y \in H$  with  $d(x, y) = 2$ , and let  $xz, yz \in E$ . As  $z \notin U$ , there exists some vertex  $w \in V$  such that  $zw \notin E$ . Consider the graphs  $G_1$  and  $G_2$  that we get by adding edges  $xy$  and  $zw$  to  $G$ , respectively. By our assumption they have perfect matchings  $M_1$  and  $M_2$ . Clearly they contain  $xy$  and  $zw$  respectively.

Now consider  $M_1 \oplus M_2$ . As every vertex has degree 0 or 2, the graph  $G' = G[M_1 \oplus M_2]$  splits into isolated vertices and cycles. Clearly, the cycles have even length. If  $xy$  and  $zw$  belong to different cycles, we can just switch the edges of  $M_1$  in the cycle containing  $xy$ , which produces a perfect matching in  $G$ .

Now suppose that the same cycle contains both  $xy$  and  $zw$ . We choose the edge  $xz$  or  $yz$ , such that the cycle splits into two even components. We can clearly produce a perfect matching in both components. By adding the edges of  $M_1$  from every other component, we have in fact constructed a perfect matching.  $\square$

**Theorem 1.1.21** (Berge-Tutte formula). The maximum matching leaves exactly

$$\max_{S \subseteq V} (\sigma(G[S^c]) - |S|)$$

vertices uncovered.

**Definition 1.1.22.** A *factor* of a graph is a spanning subgraph. A  $k$ -factor is a  $k$ -regular spanning subgraph.

**Remark 1.1.22.1.** A 1-factor is just a perfect matching.

**Theorem 1.1.23** (Peterson). Every bridgeless cubic<sup>1</sup> graph has a perfect matching.

*Proof.* We will prove that Tutte's condition holds for every set  $S \subseteq V$ . Denote by  $E(S, S^c)$  the edges between  $S$  and  $S^c$ . Clearly,  $|E(S, S^c)| \leq 3|S|$ . By the handshake lemma, we can see that every odd component  $H$  of  $G[S^c]$  is connected to  $S$  by an odd number of edges. As the graph is bridgeless, we can infer that  $|E(V(H), S)| \geq 3$ . Therefore

$$3|S| \geq |E(S, S^c)| \geq 3\sigma(G[S^c]). \quad \square$$

**Theorem 1.1.24.** If  $G$  is a cubic graph with at most one bridge, then  $G$  has a perfect matching.

*Proof.* Repeating the proof of Peterson's theorem, we find that

$$3|S| \geq |E(S, S^c)| \geq 3\sigma(G[S^c]) - 2. \quad \square$$

**Theorem 1.1.25.** If  $G$  is a cubic graph and all cut edges lie on the same path, then  $G$  has a perfect matching.

**Theorem 1.1.26.** If  $G$  is a  $k$ -regular graph and  $k$  is even, then  $G$  splits into 2-factors.

*Proof.* It suffices to find one 2-factor and proceed by induction. It is clearly enough to consider connected graphs. By Euler's theorem there exists an Eulerian circuit  $C$  in  $G$ , which induces a directed graph. Define a bipartite graph  $F_G$  by taking  $A = \{a_i \mid i \leq n\}$ ,  $B = \{b_i \mid i \leq n\}$ , and take  $a_i b_j$  as an edge in  $F_G$  if  $v_i v_j \in E(\vec{G})$ , where  $v_k$  are vertices in  $G$ . This is a regular bipartite graph. Its perfect matching coincides with a 2-factor of  $G$ .  $\square$

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<sup>1</sup> 3-regular.



## 2 Connectivity

### 2.1 Connectivity number

**Definition 2.1.1.** The *connectivity number*  $\kappa(G)$  is the minimum number of vertices such that we get either a disconnected graph or one vertex upon removing them. We say that  $G$  is *k-connected* if  $\kappa(G) \geq k$ .

**Remark 2.1.1.1.** We see that  $\kappa(G) \leq \delta(G)$ .

**Remark 2.1.1.2.** As an independent set is always disconnected (or just one vertex), we see that

$$\kappa(G) \leq n - \alpha(G) = \beta(G).$$

**Theorem 2.1.2.** The minimal number of edges in a  $k$ -connected graph of order  $n$  is  $\left\lceil \frac{nk}{2} \right\rceil$ .

*Proof.* We see that  $k \leq \kappa(G) \leq \delta(G)$ , hence

$$m(G) = \frac{1}{2} \sum_{v \in V} \deg(v) \geq \frac{nk}{2}.$$

It remains to show that the bound  $\left\lceil \frac{nk}{2} \right\rceil$  is achievable. We consider the following graphs:

- i) If  $k$  is even, take  $H_{n,k} = C_n^{\frac{k}{2}}$ .<sup>2</sup>
- ii) If  $k$  is odd and  $n$  is even, take  $H_{n,k}$  to be  $C_n^{\frac{k-1}{2}}$  with additional edges between every pair of diametrically opposite vertices.
- iii) If both  $n$  and  $k$  are odd, take  $H_{n,k}$  to be  $C_n^{\frac{k-1}{2}}$  with additional edges between  $v_i$  and  $v_{i+\frac{n-1}{2}}$  for  $i \leq \frac{n+1}{2}$ .

It is clear that  $m(H_{n,k}) = \left\lceil \frac{nk}{2} \right\rceil$ . Next, we prove that each of these graphs is  $k$ -connected. Consider the graph  $H_{n,k}$  with  $k-1$  vertices removed.

- i) Note that we can always go from one vertex to the next one left in the cycle, unless we removed  $\frac{k}{2}$  consecutive vertices. But that can only happen once in the whole cycle, meaning we can just take the other way around.
- ii) We can again try to go to the next vertex in the cycle. To have two breaks in the cycle, all  $k-1$  removed vertices must be in the breaks. But the two components are still connected by a diameter.
- iii) Same as the previous case. □

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<sup>2</sup> Here  $G^k$  is the graph with the same vertices as  $G$ , and  $xy \in V(G^k)$  if and only if  $d(x, y) \leq k$  in  $G$ .

## 2.2 Edge connectivity

**Definition 2.2.1.** A set  $F \subseteq E$  is a *disconnecting set* if  $G \setminus F$  is disconnected.

**Definition 2.2.2.** Let  $A \subseteq V$ . The set of edges  $E(A, A^c)$  is called an *edge cut*.

**Remark 2.2.2.1.** Every nontrivial edge cut is a disconnecting set. Every minimal disconnecting set is an edge cut.

**Definition 2.2.3.** The *edge connectivity number* of  $G$  is the minimum number of edges in a disconnecting set in an edge cut. We denote it by  $\kappa'(G)$ .

**Definition 2.2.4.** A graph  $G$  is *k-edge-connected* if the removal of less than  $k$  edges results in a connected graph. Equivalently,  $k \leq \kappa'(G)$ .

**Theorem 2.2.5.** Let  $G$  be a simple graph with  $n \geq 2$  with  $n \geq 2$ . Then

$$\kappa(G) \leq \kappa'(G) \leq \delta(G).$$

*Proof.* The second inequality results from the edge cut with  $A = \{v\}$ , where  $v$  is a vertex of minimal degree.

Let  $F \subseteq E(G)$  be an edge cut in  $G$  with minimal cardinality, that is  $|F| = \kappa'(G)$ . We consider two cases:

i) If  $F$  forms a complete bipartite graph, then

$$\kappa'(G) = |A| \cdot |A^c| = |A| \cdot (n - |A|) \geq n - 1 \geq \kappa(G).$$

ii) If  $F$  does not form a complete bipartite graph, consider vertices  $x \in A$  and  $y \in A^c$  with  $xy \notin E$ . For each edge in  $F$ , choose an endpoint that is different from  $x$  and  $y$ . They clearly form a vertex cut of cardinality at most  $|F|$ , hence  $\kappa(G) \leq \kappa'(G)$ .  $\square$

**Corollary 2.2.5.1.** The minimal number of edges in a  $k$ -edge-connected graph on  $n$  vertices is  $\left\lceil \frac{kn}{2} \right\rceil$  when  $n > k \geq 2$ .

*Proof.* Note that  $k \leq \kappa'(G) \leq \delta(G)$ . By the handshake lemma, we find that  $m(G) \geq \frac{nk}{2}$ . As  $H_{n,k}$  is  $k$ -connected, it is also  $k$ -edge-connected.  $\square$

## 2.3 2-connected graphs

**Theorem 2.3.1** (Whitney). If  $G$  is a 2-connected graph, then for every distinct vertices  $u, v \in G$  there exist two internally disjoint  $uv$ -paths.

*Proof.* Suppose the statement is false. Take a counterexample with minimal  $k = d(u, v)$ . Note that  $k \geq 2$ , as otherwise  $G$  is not 2-edge-connected, and hence is not 2-connected.

Let  $w$  be a vertex with  $d(u, w) = k - 1$  and  $d(v, w) = 1$ . Note that there exists a  $uv$ -path  $P$  not containing  $w$  since  $G$  is 2-connected. Now consider two  $uw$ -paths, which exist by minimality. If  $v$  is in this cycle, we trivially get two  $uv$ -paths. Otherwise, we get three  $uv$ -paths. To get two disjoint paths, travel along  $P$  until the first intersection with one of the other paths, then switch to that one.  $\square$

**Lemma 2.3.2** (Expansion). Let  $G$  be a  $k$ -connected graph. If we construct a graph by adding a new vertex and connecting it to at least  $k$  vertices of  $G$ , the resulting graph is again  $k$ -connected.

*Proof.* The proof is obvious and need not be mentioned.  $\square$

**Theorem 2.3.3.** If  $G$  is a graph with  $n \geq 3$ , the following statements are equivalent:

- i) The graph  $G$  is 2-connected.
- ii) The graph  $G$  is connected with no cut-vertex.
- iii) For every vertices  $u$  and  $v$  there exist at least two internally vertex-disjoint paths between them.
- iv) There exists a cycle through any two vertices.
- v) There exists a cycle through any two edges and  $\delta(G) \geq 1$ .

*Proof.* Using Whitney's theorem, we see that the first four statements are clearly equivalent. Suppose now that  $G$  is 2-connected consider two distinct edges  $e$  and  $f$ . Expand  $G$  by adding new vertices  $w$  and  $w'$ , where  $w$  is connected to the vertices of  $e$  and  $w'$  is connected to the vertices of  $f$ . By the expansion lemma, there exists a cycle through  $w$  and  $w'$ , which induces the sought cycle in  $G$ . If  $e = f$ , take another edge  $e'$ . By the above argument, there exists a cycle through  $e$  and  $e'$ , which is the required cycle.

Suppose now that the last condition holds. In particular,  $G$  has no isolated edges. For any vertices  $u, v \in G$ , we can therefore take distinct edges  $e, f \in E$  with  $u \in e$  and  $v \in f$ . Since any cycle through  $e$  and  $f$  is also a cycle through  $u$  and  $v$ ,  $G$  is 2-connected.  $\square$

**Lemma 2.3.4** (Subdivision). Let  $G'$  be a graph from  $G$  that is obtained by subdividing an edge with a vertex. Then  $G'$  is 2-connected if and only if  $G$  is 2-connected.

*Proof.* For any two edges in  $G'$ , take the corresponding edges in  $G$  (instead of taking subdivisions, take the whole edge). Cycles in  $G'$  containing these two edges correspond precisely with cycles in  $G$  containing the corresponding edges.  $\square$

## 2.4 Ear decomposition of graphs

**Definition 2.4.1.** In a graph  $G$ , a path  $P$  is an *open ear* if all internal vertices of  $P$  are of degree 2, while the endpoints have degree at least 3 in  $G$ .

**Definition 2.4.2.** An *open ear decomposition* of  $G$  is a sequence  $P_0, P_1, \dots, P_k$ , where  $P_0$  is a cycle in  $G$  and  $P_i$  is an ear for  $i > 0$  in the graph

$$G_i = \bigcup_{j=0}^i P_j$$

and  $G_k = G$ . Furthermore, we require that  $P_i$  be edge-disjoint.<sup>3</sup>

**Theorem 2.4.3.** A graph  $G$  is 2-connected if and only if it admits an ear decomposition.

*Proof.* Suppose that  $G$  has an ear decomposition. By induction, we can prove that  $G_i$  is 2-connected, as we can apply the expansion and subdivision<sup>4</sup> lemmas.

Now suppose that  $G$  is 2-connected. Set  $P_0$  to be an arbitrary cycle in  $G$ . If  $G_i$  is not an induced graph of  $G$ , let  $P_{i+1}$  be a missing edge. Otherwise, choose a vertex  $u$  not in  $G_i$ . Take two edges, one in  $G_i$  and one with vertex  $u$ . These lie in a cycle, which includes an ear containing  $u$ , which is our  $P_{i+1}$ . As we cover some edges on each step, the process is finite.  $\square$

**Proposition 2.4.4.** A graph  $G$  is 2-edge-connected if and only if it is connected and every edge of  $G$  lies in a cycle.

*Proof.* The proof is obvious and need not be mentioned.  $\square$

**Definition 2.4.5.** In a graph  $G$ , a cycle  $P$  is a *closed ear* if all but one vertex of  $P$  are of degree 2, while the last one has degree at least 4 in  $G$ .

**Definition 2.4.6.** A *closed ear decomposition* of  $G$  is a sequence  $P_0, P_1, \dots, P_k$ , where  $P_0$  is a cycle in  $G$  and  $P_i$  is an open or closed ear for  $i > 0$  in the graph

$$G_i = \bigcup_{j=0}^i P_j$$

and  $G_k = G$ . Furthermore, we require that  $P_i$  be edge-disjoint.

**Theorem 2.4.7.** A graph  $G$  is 2-edge-connected if and only if it has a closed ear decomposition.

*Proof.* Analogous as theorem 2.4.3.  $\square$

**Definition 2.4.8.** A directed graph  $\vec{G}$  is *strongly connected* if for every  $u, v \in V(\vec{G})$  there exists a directed path from  $u$  to  $v$ . A *strong orientation* of a graph  $G$  is a directed graph  $\vec{G}$  which is strongly connected.

**Theorem 2.4.9** (Robbin). A graph  $G$  has a strong orientation if and only if it is 2-edge connected.

<sup>3</sup> Not stated in the lectures, but removes the edge case where  $P_k = P_{k+1}$ , which sounds annoying.

<sup>4</sup> Possibly the converse!

*Proof.* If  $G$  has a strong orientation, it is clearly connected and every edge lies in a cycle. Now suppose that  $G$  is 2-edge connected. Let  $P_0, P_1, \dots, P_k$  be a closed ear decomposition of  $G$ . Direct the edges of the cycle in a cycle and along each ear in a path. It is clear that the resulting orientation is strong.  $\square$

## 2.5 Minimal cuts

**Definition 2.5.1.** Let  $x, y \in V$  be non-adjacent vertices in  $G$ . A set  $S \subseteq V$  is an  $x, y$ -cut if  $x$  and  $y$  belong to different components of  $G \setminus S$ . We denote the minimum size of an  $x, y$ -cut by  $\kappa_G(x, y)$ .

**Definition 2.5.2.** For  $x, y \in V$ , we denote by  $\lambda_G(x, y)$  the maximal number of pairwise internally vertex-disjoint  $x, y$ -path.

**Theorem 2.5.3** (Menger). Suppose that  $x$  and  $y$  are non-adjacent vertices in  $G$ . Then  $\kappa_G(x, y) = \lambda_G(x, y)$ .

*Proof.* For convenience, we denote the above numbers by  $\kappa$  and  $\lambda$  respectively. Clearly  $\kappa \geq \lambda$ , as we need to select at least one vertex from each disjoint  $x, y$ -paths to disconnect them.

To prove the reverse inequality, we induct on  $n$ . For  $n = 2$ , we clearly have  $\kappa = \lambda = 0$ .

Suppose now that  $n \geq 3$  and consider two cases:

- i) There exists a minimum  $x, y$ -cut  $S$  such that  $S \neq N(x)$  and  $S \neq N(y)$ . Let  $V_x$  denote the set of vertices that can be reached from  $x$  by a path with no internal vertices from  $S$ , and define  $V_y$  analogously. By the minimality of  $S$ , we find that  $S = V_x \cap V_y$ .

Let  $G_x$  be the graph obtained from  $G[V_1]$  by adding a vertex  $y'$  that is adjacent to precisely the vertices in  $S$ . Note that, as  $S \neq N(y)$ , the number of vertices decreased, and that  $S$  is a minimum  $x, y'$ -cut in  $G_x$ . It follows that  $\kappa = \kappa_G(x, y) = \kappa_{G_x}(x, y') = \lambda_{G_x}(x, y')$  by the induction hypothesis. Analogously,  $\kappa = \lambda_{G_y}(x', y)$ . By pairing up the  $x, y'$ -paths with  $x', y$  paths according to the visited vertex in  $S$ , we obtain  $\kappa$  internally vertex-disjoint  $x, y$ -paths, hence  $\lambda \geq \kappa$ .

- ii) The only minimum  $x, y$ -cuts are  $N(x)$  and/or  $N(y)$ . If  $x$  and  $y$  have a neighbour  $z$  in common, we can remove it from  $G$  and apply the induction hypothesis. Note that removing  $z$  reduced both the number of  $x, y$ -paths and the minimum size of an  $x, y$ -cut by 1, hence equality holds for  $G$  as well.

Suppose then that  $N(x)$  and  $N(y)$  are disjoint. If  $N(x) \cup N(y) \cup \{x, y\} = V$ , we can construct a bipartite graph  $H$  with sets  $N(x)$  and  $N(y)$  (we disregard internal edges in both  $N(x)$  and  $N(y)$ ). The number of internally vertex-disjoint  $x, y$ -paths is clearly equal to the size of the maximum matching in  $H$ . Without loss of generality suppose that  $N(x)$  is a minimum  $x, y$ -cut. Note that for every  $A \subseteq N(x)$ , we have  $|N_H(A)| \geq |A|$ , as otherwise we could obtain a smaller  $x, y$ -cut by replacing the vertices in  $A$  with those in  $N_H(A)$ . By Hall's theorem, there exists a perfect matching, hence  $\lambda = \alpha'(H) = |N(x)| = \kappa$ .

Finally, if there exists a vertex  $v \neq x, y$  with  $v \notin N(x) \cup N(y)$ , then  $v$  does not belong to any minimum  $x, y$ -cuts, therefore  $\kappa_{G \setminus v}(x, y) = \kappa$ . Applying the induction hypothesis, we can find  $\kappa$  internally vertex-disjoint  $x, y$ -paths in  $G \setminus v$ . Since these are also valid in  $G$ , we conclude  $\lambda \geq \kappa$ .  $\square$

**Definition 2.5.4.** Let  $x, y \in V$  be vertices in  $G$ . A set  $R \subseteq E$  is an  $x, y$ -edge cut if  $x$  and  $y$  belong to different components of  $G \setminus R$ .

**Definition 2.5.5.** For  $x, y \in V$ , we denote by  $\kappa'_G(x, y)$  the minimal cardinality of an  $x, y$ -edge cut in  $G$ , and by  $\lambda'_G(x, y)$  the maximal number of edge-disjoint  $x, y$ -path.

**Definition 2.5.6.** The *line graph*  $L(G)$  of a graph  $G$  has vertices representing the edges of  $G$ . Two vertices in  $L(G)$  are connected if and only if they share a vertex in  $G$ .

**Theorem 2.5.7** (Menger). For every  $x, y \in V$  we have  $\kappa'_G(x, y) = \lambda'_G(x, y)$ .

*Proof.* Define a new graph  $G'$  by adding vertices  $u$  and  $v$  to  $G$ , which are connected to  $x$  and  $y$  respectively, and consider its line graph. Note that any path between the new edges in  $L(G')$  corresponds to a path between  $x$  and  $y$  in  $G$ . In particular, vertex-disjoint paths in  $L(G')$  correspond to edge-disjoint paths in  $G$ . Hence

$$\lambda_{L(G')}(xu, yv) = \lambda'_G(x, y).$$

By Menger's theorem, we know that

$$\lambda_{L(G')}(xu, yv) = \kappa_{L(G')}(xu, yv).$$

Finally, by definition of a line graph, a vertex cut in  $L(G')$  that separates  $xu$  and  $yv$  corresponds to an edge cut in  $G$  that separates  $x$  and  $y$ , hence

$$\kappa_{L(G')}(xu, yv) = \kappa_G(x, y). \quad \square$$

**Lemma 2.5.8.** For each edge  $e \in E$ , we have

$$\kappa(G) - 1 \leq \kappa(G \setminus \{e\}) \leq \kappa(G).$$

*Proof.* The second inequality follows from the fact that each vertex cut in  $G$  is also a vertex cut in  $G \setminus \{e\}$ .

Suppose that  $\kappa(G \setminus \{e\}) < \kappa(G)$ . Let  $S$  be a minimum vertex cut in  $G' = G \setminus \{e\}$ . If any of vertices  $x$  and  $y$  has degree at least two, we can add it to  $S$  to get a vertex cut in  $G$ . Otherwise, we find that  $|S| = n - 2$ , hence  $S \cup \{x\}$  is a vertex cut in  $G$ .  $\square$

**Theorem 2.5.9** (Menger). In any graph  $G$  with at least two vertices, the following statements hold:

- i) We have  $\kappa'(G) = \min_{x \neq y} \lambda'_G(x, y)$ .
- ii) We have  $\kappa(G) = \min_{x \neq y} \lambda_G(x, y)$ .

*Proof.* The only non-trivial part is showing that we can take the minimum over all  $x \neq y$  in ii), not just non-adjacent ones.<sup>5</sup> It suffices to show that for every adjacent vertices  $x$  and  $y$  we have  $\lambda_G(x, y) \geq \kappa(G)$ . Denote  $G' = G \setminus \{xy\}$  and note that

$$\lambda_G(x, y) = \lambda_{G'}(x, y) + 1.$$

Applying Menger's theorem and the above lemma, we get

$$\lambda_G(x, y) = \kappa_{G'}(x, y) + 1 \geq \kappa(G). \quad \square$$

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<sup>5</sup> Note that the equality clearly holds for complete graphs.

## 3 Colourings

### 3.1 Vertex colourings

**Definition 3.1.1.** Let  $G$  be a simple graph. A  $k$ -colouring of  $G$  is a map  $\varphi: V \rightarrow [k]$  such that for all  $xy \in E$  we have  $\varphi(x) \neq \varphi(y)$ . The graph  $G$  is  $k$ -colourable<sup>6</sup> if it has a  $k$ -colouring.

**Definition 3.1.2.** The *chromatic number*  $\chi(G)$  is the smallest integer  $k$  such that  $G$  is  $k$ -colourable.

**Definition 3.1.3.** Denote by  $\omega(G)$  the order of the largest clique in  $G$ .

**Proposition 3.1.4.** In a graph  $G$ , the inequality

$$\omega(G) \leq \chi(G) \leq \Delta(G) + 1$$

holds.

*Proof.* The proof is obvious and need not be mentioned. □

**Proposition 3.1.5.** In a graph  $G$ , we have

$$\frac{n}{\alpha(G)} \leq \chi(G).$$

*Proof.* As every colour class is an independent set, it contains at most  $\alpha(G)$  vertices. □

**Theorem 3.1.6** (Welsh-Powell). If  $d_1 \geq d_2 \geq \dots \geq d_n$  are the degrees of vertices in  $G$ , then

$$\chi(G) \leq 1 + \max_{i \leq n} (\min(d_i, i - 1)).$$

*Proof.* Colour the vertices in sequence  $v_1, v_2, \dots, v_n$ , always using the smallest possible number at each step. □

**Proposition 3.1.7.** We have the following characterisations:

- i) For a graph  $G$ ,  $\chi(G) = 1$  if and only if  $E = \emptyset$ .
- ii) For a graph  $G$ ,  $\chi(G) = 2$  if and only if  $G$  is bipartite and  $E \neq \emptyset$ .

*Proof.* The proof is obvious and need not be mentioned. □

**Theorem 3.1.8** (Brooks). Suppose  $G$  is a connected graph that is neither a complete graph nor an odd cycle. Then  $\chi(G) \leq \Delta(G)$ .

*Proof.* Denote  $\Delta(G) = k$ . Suppose first that  $G$  is not regular and let  $\deg(v) \leq k - 1$ . Colour the vertices greedily in decreasing order of distance from  $v$ . As we coloured at most  $k - 1$  neighbours of a vertex in each step, it is clear we need at most  $k$  colours.

Now consider regular graphs. For  $k \leq 2$ , excluding the special cases, the inequality clearly holds. Now assume  $k \geq 3$ . We consider three cases:

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<sup>6</sup> Also  $k$ -partite.



- i) We have  $\kappa(G) = 1$ , that is, there exists a cut vertex  $x$  that splits  $G$  into parts  $V_1$  and  $V_2$ . Denote  $G_i = G[V_i \cup \{x\}]$ . Note that  $G_i$  is not  $k$ -regular, as  $\deg_{G_i}(x) \leq k - 1$ . We can colour both  $V_1$  and  $V_2$  with  $k$  colours. By joining them at  $x$ , we find a  $k$ -colouring of  $G$ .
- ii) Suppose that  $G \setminus \{x, y\}$  is disconnected. Again, denote  $G_i = G[V_i \cup \{x, y\}]$  and note that  $G_i$  is not  $k$ -regular. As above, we colour both graphs and attempt to join the colourings. This is not possible only when every colouring of  $G_1$  assigns the same colour to both vertices, while every colouring of  $G_2$  assigns different colours to them, or vice-versa. In particular,  $G_1 + xy$  is not  $k$ -colourable. We deduce that  $\Delta(G_1 + xy) = k$ , hence it is a  $k$ -regular graph. But then  $\deg_{G_2}(x) = \deg_{G_2}(y) = 1$ . As  $k \geq 3$ , we can colour  $x$  and  $y$  with the same colour in  $G_2$ , hence this case is not possible.
- iii) Finally, consider  $\kappa(G) \geq 3$ . As  $G \neq K_n$ , we can find vertices  $x$  and  $y$  such that  $d(x, y) = 2$ . Let  $z \in N(x) \cap N(y)$ . Note that  $G \setminus \{x, y\}$  is connected, hence there exists a path which contains neither from  $z$  to any other vertex. We proceed to colour vertices greedily. First, colour  $x$  and  $y$  with the same colour. Then proceed to colour the vertices in decreasing order of distance from  $z$  in  $G \setminus x, y$ . As we coloured at most  $k - 1$  neighbours of a vertex in each step, we need at most  $k$  colours for every vertex. The exception is  $z$ , but two of its neighbours are already coloured with the same colour.  $\square$

**Definition 3.1.9.** A *Mycielski construction* of a graph  $G$  with  $V = \{v_i \mid i \leq n\}$  is a graph  $M(G)$  with

$$V(M(G)) = V \cup \{u_i \mid i \leq n\} \cup \{z\}$$

and

$$E(M(G)) = E \cup \{u_i v_j \mid v_i v_j \in E\} \cup \{z u_i \mid i \leq n\}.$$

**Theorem 3.1.10.** If  $G$  is a graph with at least one edge, then  $\chi(M(G)) = \chi(G) + 1$  and  $\omega(M(G)) = \omega(G)$ .

*Proof.* Let  $\chi(G) = k$ . Note first that  $M(G)$  is  $(k + 1)$ -colourable. Indeed, we can copy a  $k$ -colouring of  $G$  to both  $v_i$  and  $u_i$ , then colour  $z$  with a new colour. It is clear that this satisfies the conditions of a colouring.

Suppose that  $\chi(M(G)) \leq k$  and consider a  $k$ -colouring  $\varphi$  of  $M(G)$  where  $\varphi(z) = k$ . Denote  $S = \{v_i \mid \varphi(v_i) = k\}$ . We can define a new colouring on  $G$  as

$$\psi(v_i) = \begin{cases} \varphi(u_i), & v_i \in S, \\ \varphi(v_i), & v_i \notin S. \end{cases}$$

It is easy to see that this is a  $(k - 1)$ -colouring of  $G$ , as  $\varphi(u_i) \neq k$  for all  $i$ . This is a contradiction as  $\chi(G) = k$ , hence  $\chi(M(G)) = k + 1$ .

As  $G$  is a subgraph of  $M(G)$ , we obviously have  $\omega(G) \leq \omega(M(G))$ . If  $z$  is in a clique of  $M(G)$ , then it has order at most 2. Otherwise, if it contains a vertex  $u_i$ , it contains neither  $v_i$  nor any other vertex  $u_j$ . By replacing  $u_i$  with  $v_i$ , we preserve the clique. For every clique in  $M(G)$ , we found a corresponding clique in  $G$  of the same size, hence  $\omega(M(G)) \leq \omega(G)$ .  $\square$

**Theorem 3.1.11.** If  $G$  is a graph with  $\chi(G) = k$ , then  $m(G) \geq \binom{k}{2}$ .

*Proof.* There is at least one edge between each pair of colours. □

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### 3.2 Turan's theorem and chordal graphs

**Definition 3.2.1.** A graph  $G$  is a *complete  $k$ -partite graph* if all pairs of vertices from different colour classes are connected. We denote it by  $K_{n_1, \dots, n_k}$ , where  $n_i$  are sizes of the partite classes.

**Definition 3.2.2.** The *Turan graph*  $T_{n,k}$  is the complete  $k$ -partite graph on  $n$  vertices such that each of the partite classes is of size  $\lfloor \frac{n}{k} \rfloor$  or  $\lceil \frac{n}{k} \rceil$ .

**Theorem 3.2.3** (Turan). If  $G$  is a graph of order  $n$  with  $\omega(G) \leq r$ , then

$$m \leq m(T_{n,r}).$$

*Proof.* We induct on  $r$ . If  $r = 1$ , the inequality clearly holds. Now suppose  $r \geq 2$  and denote  $\Delta(G) = k$ . In particular, let  $\deg(v) = k$ .

Now let  $G' = G[N(v)]$ . We can see that  $\omega(G') \leq r - 1$ . By the induction hypothesis, there are at most  $m(T_{k,r-1})$  edges in  $G'$ .

Construct another graph  $H$  as follows – add  $n - k$  vertices to  $T_{k,r-1}$  and connect each of these vertices to each vertex in  $T_{k,r-1}$ . Note that  $n(H) = n$  and  $\omega(H) = r$ . Observe that

$$m \leq \sum_{v \notin G'} \deg(v) + m(G') \leq (n - k) \cdot k + m(T_{k,r-1}) = m(H).$$

Furthermore,  $H$  is a complete  $r$ -partite graph, hence

$$E(H) = \sum_{i \neq j} |V_i| \cdot |V_j| = \frac{1}{2} \cdot \left( n^2 - \sum_{i=1}^r |V_i|^2 \right).$$

By Karamata's inequality, this is greatest when  $H$  is a Turan graph.<sup>7</sup> □

**Remark 3.2.3.1.** The bound is sharp with equality if and only if  $G \cong T_{n,r}$ .

**Corollary 3.2.3.2.** If  $G$  is a graph of order  $n$  with  $\chi(G) = r$ , then

$$m \leq m(T_{n,r}).$$

The equality holds if and only if  $G \cong T_{n,r}$ .

*Proof.* The proof is obvious and need not be mentioned. □

**Definition 3.2.4.** Denote by  $\text{ex}(n, F)$  the maximum number of edges in a graph  $G$  with  $n(G) = n$  such that  $G$  does not contain  $F$  as a subgraph.

**Definition 3.2.5.** A graph  $G$  is a *chordal graph* if it has no induced subgraph that is isomorphic to a cycle  $C_k$  with  $k \geq 4$ .

**Definition 3.2.6.** A vertex  $v$  is a *simplicial vertex* in  $G$  if  $N(v)$  is a clique.

**Definition 3.2.7.** A *simplicial elimination ordering* in  $G$  is an order  $v_1, \dots, v_n$  of vertices such that  $N(v_i) \cap \{v_j \mid j \geq i\}$  induces a clique.

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<sup>7</sup> For non-Turan graphs, the number of edges increases upon moving a vertex from a class  $V_i$  into a class  $V_j$  if  $|V_i| \geq |V_j| + 2$ .

**Theorem 3.2.8** (Voloshin's lemma). If  $G$  is a chordal graph, then for every  $x \in V(G)$  there exists a simplicial vertex among the ones farthest from  $x$ .

*Proof.* We induct on  $n$ . For  $n = 1$ , the statement trivially holds. For  $n \geq 2$ , consider an arbitrary vertex  $x$ . If  $x$  is a universal vertex in  $G$ , apply the induction hypothesis to  $G \setminus x$ . Otherwise, let  $T$  be the set of vertices farthest from  $x$ . Denote by  $H$  a component of  $G[T]$  and let  $S = N(H) \setminus H$ . Finally, let  $Q$  be the component of  $G \setminus S$  containing  $x$ .

We claim that  $S$  induces a clique. Let  $u, v \in S$  be distinct. Each vertex in  $S$  clearly has neighbours both in  $H$  and in  $Q$ . Since both  $H$  and  $Q$  induce connected subgraphs, we can find  $u, v$ -paths with internal vertices in  $H$  and one with internal vertices in  $Q$ . Consider shortest such paths. These paths form a cycle of order  $k \geq 4$ , hence it also contains a chord. But by the above conditions, the only possible chord is  $uv$ . Applying this argument to all possible pairs of vertices in  $S$ , we conclude that  $S$  is a clique.

We now apply the induction hypothesis to  $G[S \cup H]$ . If this graph is a clique, then every vertex in  $H$  is simplicial. Otherwise, take a vertex  $u \in S$  such that  $H \not\subseteq N(u)$ . Then the induction hypothesis supplies us with a simplicial vertex in  $H$ . Since any simplicial vertex in  $G[S \cup H]$  is also simplicial in  $G$ , we found a simplicial vertex in  $T$ .  $\square$

**Theorem 3.2.9.** A graph  $G$  is chordal if and only if there exists a simplicial elimination ordering of the vertices in  $G$ .

*Proof.* Suppose first that  $G$  is chordal. Applying Voloshin's lemma, we find that  $G$  has a simplicial vertex  $v_1$ . We can then apply Voloshin's lemma to  $G \setminus v_1$ . Repeating this process  $n$  times, we get a simplicial elimination ordering  $v_1, v_2, \dots, v_n$ .

Suppose now that  $G$  is not chordal – that is, it contains an induced cycle  $C_k$  with  $k \geq 4$ . Then no vertex in this cycle can be the first one to appear in a simplicial elimination ordering, therefore it cannot exist.  $\square$

**Theorem 3.2.10.** If a graph  $G$  is chordal, then  $\chi(G) = \omega(G)$ .

*Proof.* Recall that  $\chi(G) \geq \omega(G)$ , hence we need only prove the reverse inequality. Let  $v_1, v_2, \dots, v_n$  be a simplicial elimination ordering of  $G$ . Consider the greedy colouring of vertices in reverse order. It is clear that we only need  $\omega(G)$  colours, as we coloured at most  $\omega(G) - 1$  vertices of the considered vertex in each step.  $\square$

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### 3.3 Perfect graphs and chromatic index

**Definition 3.3.1.** A graph  $G$  is *perfect* if  $\chi(H) = \omega(H)$  holds for every induced subgraph  $H$  of  $G$ .

**Theorem 3.3.2.** Every chordal graph is perfect.

*Proof.* The proof is obvious and need not be mentioned.  $\square$

**Theorem 3.3.3.** Bipartite graphs are perfect.

*Proof.* All induced subgraphs of  $G$  are clearly bipartite as well, hence we only need to show that  $\chi(G) = \omega(G)$ , which is clear – they are both 1 if  $E = \emptyset$ , otherwise they are both equal to 2.  $\square$

**Definition 3.3.4.** An *edge colouring* of  $G$  is a function  $c: E(G) \rightarrow \mathbb{N}$  such that every two distinct edges  $e$  and  $f$  with a vertex in common satisfy  $c(e) \neq c(f)$ . The *chromatic index*  $\chi'(G)$  is the minimum number of colours needed for an edge colouring.

**Theorem 3.3.5** (Vizing). For every graph  $G$  we have  $\Delta(G) \leq \chi'(G) \leq \Delta(G) + 1$ .

**Theorem 3.3.6.** If  $G$  is a bipartite graph, then  $\chi'(G) = \Delta(G)$ .

*Proof.* We consider two cases:

- i) If  $G$  is regular, then we can find a perfect matching. We colour all the edges in this matching with one colour and remove them. We can repeat this process  $\Delta(G)$  times to obtain the desired colouring.
- ii) Suppose that  $G$  is not regular. First, add vertices to  $G$  in such a way that both its parts have the same size. Repeat the following process until the graph is regular: Choose a vertex in each part with degree less than  $k = \Delta(G)$ . Add the graph  $K_{k,k}$  with one missing edge to  $G$ , then connect the chosen vertices to the endpoints of the missing edge. As

$$\sum_{v \in G} (k - \deg(v))$$

is a decreasing monovariant, the process eventually stops. We can thus colour the edges in the resulting graph with  $k$  colours, which induces a colouring of our initial graph.  $\square$

**Theorem 3.3.7.** If  $G$  is a bipartite graph, then its line graph is perfect.

*Proof.* Note that  $\chi(L(G)) = \chi'(G)$ . Since  $G$  is bipartite, we have

$$\chi'(G) = \Delta(G) = \omega(L(G)),$$

since there are no  $K_3$  subgraphs in  $G$ . Thus  $\chi(L(G)) = \omega(L(G))$ . Note that every induced subgraph of a line graph is a linegraph of a subgraph of the original graph. In particular, any induced subgraph  $H$  of  $L(G)$  is a line graph of a subgraph of  $G$ , hence it is a line graph of a bipartite graph as well. As above,  $\chi(H) = \omega(H)$ , hence  $L(G)$  is perfect.  $\square$

**Theorem 3.3.8** (Perfect graph theorem). A graph  $G$  is perfect if and only if  $\overline{G}$  is perfect.

**Theorem 3.3.9** (Strong perfect graph theorem). A graph  $G$  is perfect if and only if neither  $G$  nor  $\overline{G}$  has an induced odd cycle of length  $k \geq 5$ .

**Definition 3.3.10.** A graph  $G$  is  $(\beta, \alpha')$ -perfect if every induced subgraph  $H$  of  $G$  satisfies  $\beta(H) = \alpha'(H)$ .

**Theorem 3.3.11.** A graph  $G$  is  $(\beta, \alpha')$ -perfect if and only if  $G$  is bipartite.

*Proof.* Bipartite graphs are clearly  $(\beta, \alpha')$ -perfect by König's theorem. Suppose that  $G$  is not bipartite and consider the shortest odd cycle in  $G$ . It must have no chords, hence the graph  $H$  induced by these vertices is an odd cycle, therefore

$$\beta(H) = \left\lceil \frac{n(H)}{2} \right\rceil \neq \left\lfloor \frac{n(H)}{2} \right\rfloor = \alpha'(H). \quad \square$$

**Theorem 3.3.12** (Gallai-Roy-Vitaver). Let  $G$  be a simple graph. Then

$$\chi(G) = \min_{\vec{D} \text{ is an orientation}} \left( \max_{p \text{ is a path}} \ell(p) + 1 \right).$$

*Proof.* Let  $\vec{D}$  be an orientation on  $G$ . Choose a maximal acyclic subgraph  $\vec{D}'$ . Let  $d(v)$  be the length of the longest directed path in  $\vec{D}'$  that ends in  $v$  and define  $c(v) = d(v) + 1$ . We claim that this is a proper colouring. If  $\vec{uv} \in \vec{D}'$ , then clearly  $c(u) \neq c(v)$ . If  $\vec{uv} \in \vec{D} \setminus \vec{D}'$ , then by adding  $\vec{uv}$  to  $\vec{D}'$  we'd get a cycle, hence there exists a path from  $v$  to  $u$ . As such,  $c(u) \neq c(v)$ . This shows that

$$\chi(G) \leq \max_{p \text{ is a path}} \ell(p) + 1.$$

It remains to prove that the inequality is reversed for a particular orientation  $\vec{D}$ . Let  $c$  be a colouring of  $G$  with  $\chi(G)$  colours and orient edges in  $G$  such that  $\vec{uv} \in \vec{D}$  if and only if  $c(u) < c(v)$ . Then clearly  $\ell(p) + 1 \leq \chi(G)$  for all directed paths  $p$ .  $\square$

## 4 Planar graphs

### 4.1 Definition and Euler's formula

**Definition 4.1.1.** Let  $G$  be a graph. The *drawing of  $G$  into a plane* is a function  $h$  on  $V(G) \cup E(G)$  such that  $h(v) \in \mathbb{R}^2$  for all  $v \in V$  and  $h(uv)$  is a continuous  $h(u)h(v)$ -curve for each  $uv \in E$ .

**Definition 4.1.2.** A *planar embedding*<sup>8</sup> of a graph  $G$  is a drawing where the curves corresponding to edges intersect only in the common end vertices.

**Definition 4.1.3.** A graph  $G$  is *planar* if it admits a planar embedding.

**Theorem 4.1.4** (Jordan). Every closed simple curve in the plane divides it into exactly two regions.

**Definition 4.1.5.** Let  $G$  be a plane graph. A *face* of  $G$  is a maximal region that contains no points from the image of the embedding function.

**Definition 4.1.6.** A *dual graph* of a plane graph  $G$  is a graph  $G^*$  with faces of  $G$  as vertices, in which two vertices are connected if and only if their corresponding faces have an edge in common.

**Remark 4.1.6.1.** A dual graph need not be simple, even if  $G$  is.

**Definition 4.1.7.** The *length*  $\ell(F)$  of a face  $F$  in a plane graph  $G$  is the total length of walks along the boundary of  $F$ .

**Theorem 4.1.8.** Let  $G$  be a plane graph. The following statements are equivalent:

- i) The graph  $G$  is bipartite.
- ii) Every face of  $G$  has even length.
- iii) The graph  $G^*$  is Eulerian.

*Proof.* If  $G$  is bipartite, then every face must clearly have even length. If  $G$  is not bipartite, let  $C$  be an odd cycle. Then

$$\sum_{F \text{ is inside } C} \ell(F) = \ell(C) + 2 \cdot \sum_{e \text{ is inside } C} 1 \equiv 1 \pmod{2},$$

therefore at least one face has odd length.

Now we'll prove that the last two statements are equivalent. First note that  $G^*$  is connected. As lengths of faces coincide with degrees in  $G^*$ , this is just Euler's theorem.  $\square$

**Theorem 4.1.9.** Let  $G$  is a plane graph and  $D \subseteq E(G)$ . The set  $D$  is a set of edges of a cycle if and only if the corresponding dual edge set  $D^*$  is a minimal edge cut.

*Proof.* If  $D$  is a cycle, then  $D^*$  separates faces inside the cycle from the ones outside. It is clear that it is a minimal edge cut. If  $E(C)$  is a proper subset of  $D$ , then  $D^*$  is not a minimal cut. If  $D$  does not contain a cycle, then  $D^*$  is not even an edge cut.  $\square$

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<sup>8</sup> Also *plane graph*.

**Definition 4.1.10.** The planar graph  $G$  is *outerplanar* if there exists an embedding such that the outer face contains all vertices.

**Remark 4.1.10.1.** If  $G$  is outerplane and 2-edge-connected, then it is Hamiltonian.

**Theorem 4.1.11.** If  $G$  is a simple outerplanar graph then  $\delta(G) \leq 2$ .

*Proof.* The statement clearly holds for  $n \leq 3$ . For  $n \geq 4$ , we prove a stronger statement with induction – there exist two distinct non neighbouring vertices  $u$  and  $v$  with degrees at most 2. Since  $K_4$  is not outerplanar, the statement holds for  $n = 4$ . For general  $n$ , consider two cases:

- i) There is a cut vertex  $v$ . Let  $G_i$  be the graphs obtained by adding back  $v$  to the components of  $G \setminus v_i$ . As every graph  $G_i$  is outerplanar, they all contain at least one vertex of degree at most 2 that is distinct from  $v$ . Taking one from each from  $G_1$  and  $G_2$ , we satisfy all conditions.
- ii) There is no cut vertex in  $G$ . In particular, there is no cut edge in  $G$ , hence the outer face is a Hamiltonian cycle. If  $G$  is a cycle, the statement clearly holds. Otherwise, consider a chord  $xy$ . It splits the graph into two outerplanar graph, each containing a vertex of degree at most 2 that is distinct from  $x$  and  $y$ . Furthermore, there is clearly no edge between such two vertices.  $\square$

**Theorem 4.1.12** (Euler's formula). Let  $G$  be a plane graph with  $k$  components. Then

$$n + f - e = k + 1.$$

**Remark 4.1.12.1.** If  $G$  is a simple planar graph, then  $e \leq 3n - 6$ . Furthermore, if  $G$  is  $K_3$ -free, then  $e \leq 2n - 4$ .

**Definition 4.1.13.** A *subdivision* of  $G$  is a graph that is obtained by replacing some edges of  $G$  with internally vertex-disjoint paths.

**Remark 4.1.13.1.** A subdivision of  $G$  is planar if and only if  $G$  is planar.

**Definition 4.1.14.** A *Kuratowski graph* is a subdivision of  $K_5$  or  $K_{3,3}$ .

**Proposition 4.1.15.** If  $G$  is a planar graph, it contains no Kuratowski graph.

*Proof.* Neither  $K_5$  or  $K_{3,3}$  are planar graphs.  $\square$

**Lemma 4.1.16.** Let  $G$  be a planar graph and  $e \in E$ . Then there exists an embedding of  $G$  such that  $e$  is on the boundary of the outer face.

*Proof.* Apply an inversion with center inside one of the neighbouring faces.  $\square$

**Lemma 4.1.17.** If  $G$  is a minimal non-planar graph, then  $G$  is 2-connected.

*Proof.* Note that  $G$  is clearly connected. Suppose that  $G$  has a cut-vertex  $v$ , and let  $G_1, G_2, \dots$  denote the subgraphs containing  $v$  and a connected component of  $G \setminus v$ . By the minimality assumption, these are all planar. By the previous lemma, each has an embedding such that  $v$  is on the edge of the outer face. Using an appropriate transformation, we can connect all these embeddings into an embedding of  $G$ .  $\square$



**Lemma 4.1.18.** If  $S = \{x, y\}$  is a minimum vertex-cut in  $G$  and  $G$  is non-planar, then  $G \setminus S$  contains a component  $G_i$  such that the  $S$ -lobe  $H_i$  with the added edge  $xy$  is non-planar.

*Proof.* Otherwise, embed each such  $H_i$  into the plane such that  $xy$  is on the boundary of the outer face. Using suitable transformations we can attach such embeddings using  $x$  and  $y$ . This gives us an embedding of  $G \cup xy$ , which induces an embedding for  $G$ .  $\square$

**Lemma 4.1.19.** If  $G$  is a non-planar graph without Kuratowski subgraphs and has the minimum number of edges among such graphs, then  $G$  is 3-connected.

*Proof.* As  $G$  is minimal, it is 2-connected. Suppose that  $S = \{x, y\}$  is a vertex cut. Using the notation from the previous lemma, there exists a graph  $H_i$  which is not planar. By the minimality of  $m(G)$ , it has a Kuratowski subgraph  $F$ , which must contain  $xy$ . But since there exists a path between  $x$  and  $y$  in  $G \setminus F$ , we can replace  $xy$  with this path to obtain a Kuratowski subgraph in  $G$ .  $\square$

**Definition 4.1.20.** A *contraction*  $G \cdot e$  is the graph  $G/xy$ .

**Theorem 4.1.21.** If  $G$  is a 3-connected graph with  $n \geq 5$ , then there exists an edge  $e \in E$  such that  $G \cdot e$  is 3-connected.

*Proof.* Suppose otherwise. Let  $S$  be a vertex cut of  $G \cdot e$  with 2 vertices. If  $w = [x] \notin S$ , then  $S$  remains a vertex cut in  $G$ , which is not possible. Hence the minimum vertex cut contains  $w$ . Thus there exists a vertex cut  $S' = \{x, y, z\}$  in  $G$ .

We consider an edge  $f = uv$  such that  $G \setminus \{u, v, z\}$  has the largest possible component  $G_i$ .

Let  $z'$  be a vertex adjacent to  $z$  which is not in  $S \cup G_i$ . Then there exists a vertex  $z^*$  such that  $\{z, z', z^*\}$  is a vertex cut.

Denote by  $H$  the subgraph induced by  $G_i \cup \{u, v\}$ . We consider three cases:

- i) If  $z^* \in V(H)$  and  $H \setminus z^*$  is disconnected, then  $\{z, z^*\}$  is a vertex cut in  $G$ , which is not possible.
- ii) If  $z^* \in V(H)$  and  $H \setminus z^*$  is connected, then  $\{z, z'\}$  is a vertex cut in  $G$ , which is again not possible.
- iii) If  $z^* \notin V(H)$ , we get a contradiction with maximality.  $\square$

**Lemma 4.1.22.** If  $G$  contains no Kuratowski subgraph, then  $G \cdot e$  contains no Kuratowski subgraph for any edge  $e$ .

*Proof.* Suppose otherwise. Then the Kuratowski subgraph  $F$  clearly contains  $w$ . We consider the following cases:

- i) If  $\deg(w) = 2$ , then  $G$  clearly already contained a Kuratowski subgraph.
- ii) If  $\deg(w) \geq 3$  and at most one neighbour of  $w$  is not a neighbour of  $x$ , we can replace  $w$  with  $x$  to get a Kuratowski subgraph in  $G$ .

- iii) In all other cases, we find that  $\deg_F(w) \geq 4$ , but as  $F$  is a Kuratowski subgraph, we deduce that  $\deg_F(w) = 4$  and that  $F$  is a subdivision of  $K_5$ . It is easy to see that  $G$  then contains a subdivision of  $K_{3,3}$ .  $\square$

**Definition 4.1.23.** An embedding is *convex* if the boundary of every face is a convex polygon.

**Theorem 4.1.24.** If  $G$  is a 3-connected graph without Kuratowski subgraphs, there exists a convex embedding of  $G$  such that no three vertices are on a line.

*Proof.* We induct on  $n$ . The statement clearly holds for  $n = 4$ .  $\square$

**Theorem 4.1.25** (Kuratowski). A graph  $G$  is planar if and only if it contains no Kuratowski subgraph.

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