# Pupillometry shows the effort of auditory attention switching a)

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Running title: Pupillometry and attention switching

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## **ABSTRACT**

Successful speech communication often requires selective attention to a target stream amidst competing sounds, as well as the ability to switch attention among multiple interlocutors. However, auditory attention switching negatively affects both target detection accuracy and reaction time, suggesting that attention switches carry a cognitive cost. Pupillometry is one method of assessing mental effort or cognitive load. Two experiments were conducted to determine whether the effort associated with attention switches is detectable in the pupillary response. In both experiments, pupil dilation, target detection sensitivity, and reaction time were measured; the task required listeners to either maintain or switch attention between two concurrent speech streams. Secondary manipulations explored whether switch-related effort would increase when auditory streaming was harder. In Experiment 1, spatially distinct stimuli were degraded by simulating reverberation (compromising across-time streaming cues), and target-masker talker gender match was also varied. In Experiment 2, diotic streams separable by talker voice quality and pitch were degraded by noise vocoding, and the time alloted for mid-trial attention switching was varied. All trial manipulations had some effect on target detection sensitivity and/or reaction time; however, only the attention-switching manipulation affected the pupillary response: greater dilation was observed in trials requiring switching attention between talkers.

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Keywords: auditory attention, attention switching, listening effort, pupillometry

## 22 I. INTRODUCTION

The ability to selectively attend to a target speech stream in the presence of competing sounds is required to communicate in everyday listening environments. Evidence suggests 24 that listener attention influences auditory stream formation; <sup>1</sup> for listeners with peripheral 25 hearing deficits, changes in the encoding of stimuli often result in impaired stream selection 26 and consequent difficulty communicating in noisy environments. <sup>2</sup> In many situations (e.g., 27 a debate around the dinner table), it is also necessary to rapidly switch attention among 28 multiple interlocutors — in other words, listeners must be able to continuously update what 29 counts as foreground in their auditory scene, in order to keep up with a lively conversation. 30 Prior results show that when cueing listeners in a target detection task to either maintain 31 attention to one stream or switch attention to another stream mid-trial, switching attention 32 both reduced accuracy and led to longer response latency even on targets prior to the 33 attentional switch. This suggests that the act of preparing or remembering to switch imposes 34 some degree of mental effort or cognitive load that can compromise the success of the listening 35 task. Given that listeners are aware of linguistic cues to conversational turn-taking, 4 the 36 pre-planning of attention switches (and associated hypothesized load) may be part of ordinary 37 listening behavior in everyday conditions, not just an artifact of laboratory experimentation. 38 Pupillometry, the tracking of pupil diameter, has been used for over five decades to measure 39 cognitive load in a variety of task types.<sup>5,6</sup> Pupil dilation is an involuntary, time-locked. 40 physiological response that is present from infancy in humans and other animal species. In 41 general, as the cognitive demands of a task increase, pupil dilation of up to about 5-6 mm 42 can be observed up to 1 second after onset of relevant stimuli. 5-7 While this task-evoked 43 pupillary response is slow (~1 Hz), recent results show that it is possible to track attention and cognitive processes with higher temporal resolution (~10 Hz) with deconvolution of the pupillary response.<sup>8,9</sup> 46

Prior work has shown that the pupillary response co-varies with differences in memory demands, <sup>10</sup> sentence complexity, <sup>11</sup> lexical frequency of isolated written words, <sup>12</sup> or difficulty 48 of mathematical operations. 13 In the auditory domain, larger pupil dilations have been 49 reported in response to decreased speech intelligibility due to background noise. 14 speech maskers versus fluctuating noise maskers, 15 and severity of spectral degradation of spoken 51 sentences. 16 The pupillary response has also emerged as a measure of listening effort, which 52 has been defined as "the mental exertion required to attend to, and understand, an auditory 53 message." 17 or, more broadly, as "the deliberate allocation of mental resources to overcome 54 obstacles in goal pursuit when carrying out a task" involving listening. 18 In this guise, 55 pupillometry has been used in several studies to investigate the effects of age and hearing 56 loss on listening effort. 16,19,20 57 Recent evidence suggests that the pupillary response is also sensitive to auditory attention. 58 Dividing attention between two auditory streams is known to negatively affect performance 59 in psychoacoustic tasks; <sup>21,22</sup> greater pupil dilation and later peak pupil-size latency have also 60 been reported for tasks in which listeners must divide their attention between both speech 61 streams present in the stimulus instead of attending only one of the two, <sup>22</sup> or when the 62 expected location or talker of a speech stream were unknown as opposed to predictable. <sup>23</sup> 63 However, it is unknown whether the greater pupil dilation in divided attention tasks is due 64 to the demands of processing more information, or the effort of switching attention back and 65 forth between streams (or both). The present study was designed to test whether auditory 66 attention switches in a strictly selective attention task would elicit mental effort that was 67 detectable using pupillometry. Both experiments involve selective attention to one of two 68 auditory streams (spoken alphabet letters), and a pre-trial cue indicating (1) which stream 69 to attend to and (2) whether to maintain attention on that stream throughout the trial, or 70 switch attention to the other stream at a designated mid-trial gap. In this way, there is no 71need or advantage for listeners to try to attend both streams throughout the trial, so any 72

increase in pupil dilation seen in the switch attention trials should index the effort due to attention switching, rather than effort due to processing two streams' worth of information. 74 On the assumption that the divided attention results of Koelewijn and colleagues 22 were 75 at least partially due to listeners switching back and forth between streams, we predicted 76 greater pupil dilation on trials that required attention switching. 77 Additionally, the two experiments include manipulations of the stimuli designed to compromise auditory streaming, and thereby make the task of maintaining or switching attention more 79 difficult. We thus expected that the pupillary response would be larger in trials with more degraded stimuli, trials where target and masker streams were harder to distinguish, or 81 trials where the time allocated for switching between streams was shorter. Secondarily, these 82 manipulations provide a test of whether the kind of pupillary response seen in previous 83 studies that required semantic processing of meaningful sentences might also be seen in 84 a simpler, closed-set target detection task. Based on findings showing that harder pitch 85 discrimination trials elicit larger dilations than easier trials, <sup>24</sup> and based on findings from 86 Winn and colleagues that differences in dilation to sentences with different degrees of spectral 87 degradation occurred during sentential stimuli as well as in the post-stimulus delay and 88 response period, <sup>16</sup> we expected that the stimulus degradations in and of themselves might 89

## 92 II. EXPERIMENT 1

stream selection).

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Experiment 1 involved target detection in one of two spatially separated speech streams.

In addition to the maintain- versus switch-attention manipulation, there was a stimulus

manipulation previously shown<sup>25</sup> to cause variation in task performance: degradation of

binaural cues to talker location (implemented as presence/absence of simulated reverberation).

also yield larger dilations (in addition to any effect the degradations might have on auditory

Reduced task performance and greater pupil dilation were predicted for the reverberant condition. This manipulation was incorporated into the pre-trial cue (i.e., on reverberant trials, the cue was also reverberant). Additionally, the voice of the competing talker was varied (either the same male voice as the target talker, or a female voice); this manipulation was not signalled in the pre-trial cue. The same-voice condition was expected to degrade the separability of the talkers<sup>26</sup> and therefore decrease task performance and increase pupil dilation.

# 104 A. Methods

### 105 1. Participants

Sixteen adults (ten female, aged 21 to 35 years, mean 25.1) participated in Experiment 1. All participants had normal audiometric thresholds (20 dB HL or better at octave frequencies from 250 Hz to 8 kHz), were compensated at an hourly rate, and gave informed consent to participate as overseen by the University of Washington Institutional Review Board.

#### 110 **2.** Stimuli

Stimuli comprised spoken English alphabet letters from the ISOLET v1.3 corpus<sup>27</sup> from one 111 female and one male talker. Mean fundamental frequencies of the unprocessed recordings 112 were 103 Hz (male talker) and 193 Hz (female talker). Letter durations ranged from 351 to 113 478 ms, and were silence-padded to a uniform duration of 500 ms, RMS normalized, and 114 windowed at the edges with a 5 ms cosine-squared envelope. Two streams of four letters each 115 were generated for each trial, with a gap of 600 ms between the second and third letters 116 of each stream. The letters "A" and "B" were used only in the pre-trial cues (described 117 below); the target letter was "O" and letters "IJKMQRUXY" were non-target items. To 118

allow unambiguous attribution of button presses, the letter "O" was always separated from another "O" (in either stream) by at least 1 second; thus there were between zero and two "O" tokens per trial. The position of "O" tokens in the letter sequence was balanced across trials and conditions, with approximately 40% of all "O" tokens occuring in the third letter slot (just after the switch gap, since that slot is most likely to be affected by attention switches), and approximately 20% in each of the other three timing slots.

Reverberation was implemented using binaural room impulse responses (BRIRs) recorded by Shinn-Cunningham and colleagues. <sup>28</sup> Briefly, an "anechoic" condition was created by processing the stimuli with BRIRs truncated to include only the direct impulse response and exclude reverberant energy, while stimuli for the "reverberant" condition were processed with the full BRIRs. In both conditions, the BRIRs recorded at  $\pm 45^{\circ}$  for each stream were used, simulating a separation of 90° azimuth between target and masker streams.

#### 131 3. Procedure

All procedures were performed in a sound-treated booth; illumination was provided only 132 by the LCD monitor that presented instructions and fixation points. Auditory stimuli were 133 delivered via a TDT RP2 real-time processor (Tucker Davis Technologies, Alachula, FL) 134 to Etymotic ER-2 insert earphones at a level of 65 dB SPL. A white-noise masker with 135  $\pi$ -interaural-phase was played continuously during experimental blocks at a level of 45 dB 136 SPL, yielding a stimulus-to-noise ratio of 20 dB. The additional noise was included to provide 137 masking of environmental sounds (e.g., friction between subject clothing and earphone tubes) 138 and to provide consistency with follow-up neuroimaging experiments (required due to the 139 acoustic conditions in the neuroimaging suite). 140

Pupil size was measured continuously during each block of trials at a 1000 Hz sampling frequency using an EyeLink1000 infra-red eye tracker (SR Research, Kanata, ON). Participants'

heads were stabilized by a chin rest and forehead bar, fixing their eyes at a distance of 50 cm from the EyeLink camera. Target detection accuracy and response time were also recorded for comparison with pupillometry data and the results of past studies.

Participants were instructed to fixate on a white dot centered on a black screen and maintain 146 this gaze throughout test blocks. Each trial began with a 1 s auditory cue (spoken letters 147 "AA" or "AB"); the cue was always in a male voice, and its spatial location prompted the 148 listener to attend first to the male talker at that location. The letters spoken in the cue 149 indicated whether to maintain attention to the cue talker's location throughout the trial 150 ("AA" cue) or to switch attention to the talker at the other spatial location at the mid-trial 151 gap ("AB" cue). The cue was followed by 0.5 s of silence, followed by the main portion of the 152 trial: two concurrent 4-letter streams with simulated spatial separation and varying talker 153 gender (either the same male voice in both streams, or one male and one female voice), with 154 a 600 ms gap between the second and third letters. The task was to respond by button 155 press to the letter "O" spoken by the target talker while ignoring "O" tokens spoken by the 156 competing talker (Figure 1). 157

Before starting the experimental task, participants heard 2 blocks of 10 trials for familiarization 158 with anechoic and reverberant speech (one with a single talker, one with two simultaneous 159 talkers). Next, listeners did 3 training blocks of 10 trials each (one block of "maintain" 160 trials, one block of "switch" trials, and one block of randomly mixed "maintain" and "switch" 161 trials). Training blocks were repeated until participants achieved >50\% of trials correct on 162 the homogenous blocks and  $\geq 40\%$  of trials correct on the mixed block. During testing, the 163 three experimental conditions (maintain/switch, anechoic/reverberant speech, and male-male 164 versus male-female talker combinations) were counterbalanced, intermixed within each block, 165 and presented in 10 blocks of 32 trials each, for a total of 320 trials. 166

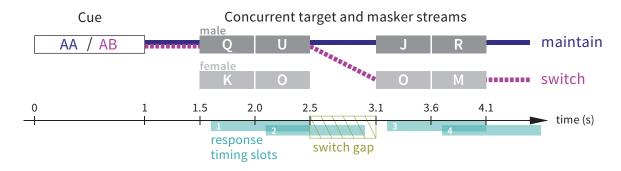


Figure 1: (Color online) Illustration of "maintain" and "switch" trial types in Experiment 1. In the depicted "switch" trial (heavy dashed line), listeners would hear cue "AB" in a male voice, attend to the male voice ("QU") for the first half of the trial, switch to the female voice ("OM") for the second half of the trial, and respond once (to the "O" occurring at 3.1–3.6 s). In the depicted "maintain" trial (heavy solid line), listeners would hear cue "AA" in a male voice, maintain attention to the male voice ("QUJR") throughout the trial, and not respond at all. In the depicted trials, a button press anytime during timing slot 2 would be counted as response to the "O" at 2–2.5 s, which is a "foil" in both trial types illustrated; a button press during slot 3 would be counted as response to the "O" at 3.1–3.6 s (which is considered a target in the switch-attention trial and a foil in the maintain-attention trial), and button presses at any other time would be counted as non-foil false alarms. Note that "O" tokens never occurred in immediately adjacent timing slots (unless separated by the switch gap) so response attribution to targets or foils was unambiguous.

#### 167 4. Behavioral analysis

Listener responses were labeled as "hits" if the button press occurred between 100 and 168 1000 ms after the onset of "O" stimuli in the target stream. Responses at any other time 169 during the trial were considered "false alarms." False alarm responses occurring between 100 170 and 1000 ms following the onset of "O" stimuli in the masker stream were additionally labeled 171 as "responses to foils" to aid in assessing failures to selectively attend to the target stream. 172 As illustrated in Figure 1, the response windows for adjacent letters partially overlap in time; 173 responses that occurred during these overlap periods were attributed to an "O" stimulus if 174 possible (e.g., given the trial depicted in Figure 1, a button press at 3.8 s was assumed to be 175 in response to the "O" at 3.1–3.6 s, and not to the "M"). If no "O" tokens had occurred in 176 that period of time, the response was coded as a false alarm for the purpose of calculating 177 sensitivity, but no reaction time was computed (in other words, only responses to targets and 178 foils were considered in the reaction time analyses). 179

Listener sensitivity and reaction time were analyzed with (generalized) linear mixed-effects 180 regression models. A model for listener sensitivity was constructed to predict probability 181 of button press at each timing slot (four timing slots per trial, see Figure 1) from the 182 interaction among the fixed-effect predictors specifying trial parameters (maintain/switch, 183 anechoic/reverberant, and talker gender match/mismatch) and an indicator variable encoding 184 whether a target, foil, or neither was present in the timing slot. A random intercept was also 185 estimated for each listener. An inverse probit link function was used to transform button 186 press probabilities (bounded between 0 and 1) into unbounded continuous values suitable 187 for linear modeling. This model has the convenient advantage that coefficient estimates are 188 interpretable as differences in bias and sensitivity on a d' scale resulting from the various 189 experimental manipulations. <sup>29–31</sup> Full model specifications are given in the supplementary 190 material, Equations 1 and 3: the general form of this model is given in Equation 1, where 191  $\Phi^{-1}$  is the inverse probit link function, Pr(Y=1) is the probability of button press, X is the 192

design matrix of trial parameters and indicator variables, and  $\beta$  is the vector of parameter coefficients to be estimated.

195 (1) 
$$\Phi^{-1}(Pr(Y=1 \mid X)) = X'\beta$$

Reaction time was analyzed using linear mixed-effects regression (i.e., with identity link 196 function) but was otherwise analyzed similarly to listener sensitivity. Significance of predictors 197 in the reaction time model was computed via F-tests using the Kenward-Roger approximation 198 for degrees of freedom; significance in the sensitivity model was determined by likelihood 199 ratio tests between models with and without the predictor of interest (as the Kenward-Roger 200 approximation has not been demonstrated to work with non-normally-distributed response 201 variables, i.e., when modeling probabilities). See supplementary material, Sections III.A and 202 III.B and Tables I-III, for full details. 203

# 204 5. Analysis of pupil diameter

Recordings of pupil diameter for each trial were epoched from -0.5 to 6 s, with 0 s defined 205 as the onset of the pre-trial cue. Periods where eye blinks were detected by the EyeLink 206 software were linearly interpolated from 25 ms before blink onset to 100 ms after blink offset. 207 Epochs were normalized by subtracting the mean pupil size between -0.5 and 0 s on each 208 trial, and dividing by the standard deviation of pupil size across all trials (to allow pooling 209 across subjects). Normalized pupil size data were then deconvolved with a pupil impulse 210 response kernel.<sup>8,9</sup> Briefly, the pupil response kernel represents the stereotypical time course 211 of a pupillary response to an isolated stimulus, modeled as an Erlang gamma function with 212 empirically-determined parameters  $t_{\text{max}}$  (latency of response maximum) and n (Erlang shape 213 parameter). The parameters used here were  $t_{\text{max}} = 0.512s$  and n = 10.1, following previous 214 literature. 7,9 215

Fourier analysis of the subject-level mean pupil size data and the deconvolution kernel

indicated virtually no energy at frequencies above 3 Hz, so for computational efficiency the 217 deconvolution was realized as a best-fit linear sum of kernels spaced at 100 ms intervals (similar 218 to downsampling both signal and kernel to 10 Hz prior to deconvolution), as implemented in 219 the pyeparse software. 32 After deconvolution, the resulting time series can be thought of as 220 an indicator of mental effort that is time-aligned to the stimulus (i.e., the response latency of 221 the pupil has been effectively removed). Statistical comparison of deconvolved pupil dilation 222 time series (i.e., "effort" in Figures 4 and 8) was performed using a non-parametric cluster-223 level one-sample t-test on the within-subject differences in deconvolved pupil size between 224 experimental conditions (clustering across time only), <sup>33</sup> as implemented in mne-python. <sup>34</sup> 225

# 226 B. Results

### 227 1. Sensitivity

Over all trials, sensitivity (d') ranged across subjects from 1.7 to 4.2 (first quartile 1.9, median 228 2.4, third quartile 3.0). Box-and-swarm plots displaying quartile and individual differences 229 in d' values between experimental conditions are shown in Figure 2. Note that d' is an 230 aggregate measure of sensitivity that does not distinguish between responses to foil items 231 versus other types of false alarms; however, the statistical model does separately estimate 232 significant differences between experimental conditions for both target response rate and foil 233 response rate, and also estimates a bias term for each condition that captures non-foil false 234 alarm response rates. 235 The model indicated significant main effects for all three trial type manipulations, as seen 236

in Figure 2a, with effect sizes around 0.2 to 0.3 on a d' scale. Model results indicate that the attentional manipulation led to more responses to both targets (Wald z=5.23, p<0.001) and foils (Wald z=2.82, p=0.005) in maintain- versus switch-attention trials, though the net effect was an increase in d' in the maintain attention condition for nearly all listeners. The

model also showed a significant difference in response bias in the attentional contrast (Wald 241 z=-2.57, p=0.01), with responses more likely in the switch- than the maintain-attention 242 condition. In fact, there were slightly fewer total button presses in the switch-attention trials, 243 but there were more non-foil false alarm responses in those trials. This suggests that the bias 244 term is in fact capturing a difference in non-foil false alarm responses (i.e., presses that are 245 not captured by terms in the model equation encoding responses to targets and foils). 246 Regarding reverberation, listeners were better at detecting targets in the anechoic trials 247 (Wald z=3.08, p=0.002), but there was no significant difference in response to foils between 248 anechoic and reverberant trials. Regarding talker gender (mis)match, the model indicated 249 both better target detection (Wald z=2.43, p=0.015) and fewer responses to foils (Wald 250 z=-2.31, p=0.021) when the target and masker talkers were different genders. The model 251 also indicated a two-way interaction for target detection between reverberation and talker 252 gender (Wald z=-2.09, p=0.036); this can be seen in Figure 2b: the difference between 253 anechoic and reverberant trials was smaller when the target and masker talkers were of 254 different genders. The three-way interaction among attention, reverberation, and talker 255 gender was not significant. 256 To address the concern that listeners might have attempted to monitor both streams, and 257 especially that they might do so differently in maintain-versus switch-attention trials, the 258 rate of listener response to foil items was examined separately for each timing slot. Foil 259 response rates ranged from 1-4% for slots 1 and 2 (before the switch gap), and from 9-15% 260 for slots 3 and 4 (after the switch gap), but showed no statistically reliable difference between 261 maintain- and switch-attention trials for any of the four slots (see supplementary material, 262

Section III.D.1, for details).

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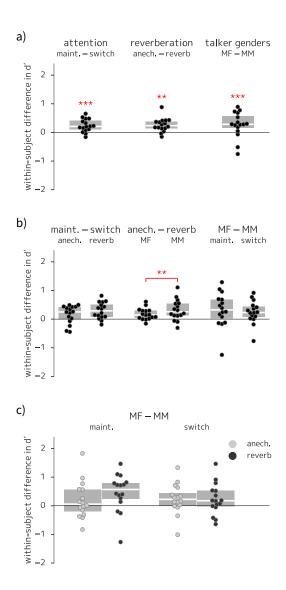


Figure 2: (Color online) Box-and-swarm plots of between-condition differences in listener sensitivity for Experiment 1. Boxes show first & third quartiles and median values; individual data points correspond to each listener; asterisks indicate comparisons with corresponding coefficients in the statistical model that were significantly different from zero. (a) Main effects of attention (higher sensitivity in maintain than switch trials), reverberation (higher sensitivity in anechoic than reverberant trials), and talker gender (mis)match (higher sensitivity in trials with different-gendered target and masker talkers). (b) Two-way interactions; the difference between anechoic and reverberant trials was significantly larger in the gender-match (MM) than in the gender-mismatch (MF) condition. (c) Three-way interaction (no statistically significant differences). \*\* = p < 0.01; \*\*\* = p < 0.001.

#### 264 2. Reaction time

Over all correct responses, median reaction time for each subject ranged from 434 ms to 265 692 ms after the onset of the target letter. Box-and-swarm plots showing quartile and 266 individual differences in reaction time values between experimental conditions are shown in 267 Figure 3. The statistical model indicated a significant main effects of attentional condition, 268 reverberation, and talker gender mismatch. Faster response times were seen for targets in 269 maintain-attention trials (9 ms faster on average, F(1, 5868.1)=4.45, p=0.035), anechoic 270 trials (13 ms faster, F(1, 5868.1)=9.35, p=0.002), and trials with mismatched talker gender 271 (25 ms faster, F(1, 5868.2) = 35.74, p < 0.001). The model showed no significant interactions in 272 reaction time among these trial parameters. 273 Post-hoc analysis of reaction time by response slot showed showed no significant differences for 274 the reverberation contrast. For the talker gender (mis)match contrast and the maintain-versus 275 switch-attention contrasts, there were significant differences only in slot 3 (see supplementary 276 material, Section III.D.2 for details). This is consistent with a view that the act of attention 277 switching creates a lag or slow-down in auditory perception.<sup>3</sup> 278

#### 279 3. Pupillometry

Mean deconvolved pupil diameter as a function of time for the three stimulus manipulations 280 (reverberant/anechoic trials, talker gender match/mismatch trials, and maintain/switch 281 attention trials) are shown in Figure 4. Only the attentional manipulation shows a significant 282 difference between conditions, with "switch attention" trials showing greater pupillary response 283 than "maintain attention" trials in the time range from 1.0 to 5.5 seconds ( $t_{crit} = 2.13$ , p < 0.001; 284 see supplementary material, Section III.C, and supplementary Table IV, for full statistical 285 details). The time courses diverge as soon as listeners have heard the cue, and the response 286 remains significantly higher in the switch-attention condition throughout the remainder of 287

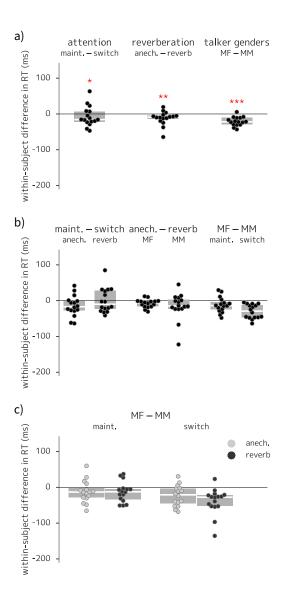


Figure 3: (Color online) Box-and-swarm plots of between-condition differences in reaction time for Experiment 1. Boxes show first & third quartiles and median values; individual data points correspond to each listener; asterisks indicate comparisons with corresponding coefficients in the statistical model that were significantly different from zero. (a) Main effects of attention (faster reaction time in maintain than switch trials), reverberation (faster reaction time in anechoic than reverberant trials), and talker gender (mis)match (faster reaction time in trials with trials with different-gendered target and masker talkers). (b) Two-way interactions (no statistically significant differences). (c) Three-way interaction (no statistically significant difference). \* = p < 0.05; \*\* = p < 0.01; \*\*\* = p < 0.001; MM = matching talker genders; MF = mismatched talker genders.

288 the trial.

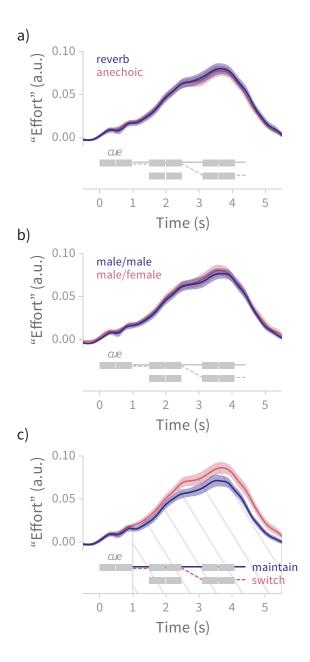


Figure 4: (Color online) Deconvolved pupil size (mean  $\pm 1$  standard error across subjects) for (a) reverberant versus anechoic trials, (b) talker gender-match versus -mismatch trials, and (c) maintain- versus switch-attention trials, with trial schematics showing the timecourse of stimulus events (compare to Figure 1). Hatched region shows temporal span of statistically significant differences between time series. The onset of statistically significant divergence (vertical dotted line) of the maintain/switch conditions is in close agreement with the end of the cue. a.u. = arbitrary units (see Section II.A.5 for explanation of "effort").

## 289 C. Discussion

The models of listener sensitivity and reaction time showed main effects in the expected 290 directions for all three manipulations: put simply, listener sensitivity was better and responses 291 were faster when the talkers had different voices, when there was no reverberation, and when 292 mid-trial switching of attention was not required. The difference between anechoic and 293 reverberant trials was smaller in trials where the talkers had different voices, suggesting that 294 the advantage of anechoic conditions and the advantage due to talker voice differences are not 295 strictly additive. A possible explanation for this finding is that either talker voice difference 296 or anechoic conditions are sufficient to support auditory source separation and streaming, <sup>25,26</sup> 297 but the presence of both conditions cannot overcome difficulty arising from other aspects of 298 the task. Conversely, one might say that both segregating two talkers with the same voice 299 and segregating two talkers in highly reverberant conditions are hard tasks, which when 300 combined make for a task even more difficult than would be expected if the manipulations 301 were additive (i.e., reverberation hurt performance more when both talkers were male). 302 Unlike listener sensitivity and reaction time, the pupillary response differed only in response 303 to the attentional manipulation. Interestingly, the difference in pupillary response was seen 304 across the entire trial, whereas the reaction time difference for the maintain-versus-switch 305 contrast was restricted to slot 3 (the immediately post-switch time slot). The fact that 306 patterns of pupillary response do not recapitulate patterns of listener behavior would make 307 sense if, for normal hearing listeners, reverberation and talker gender mismatch are not severe 308 enough degradations to cause sufficient extra mental effort or cognitive load to be observable 309 in the pupil (in other words, the pupillary response may reflect the same processes as the 310 behavioral signal, but may not be as sensitive). However, the magnitude of the effect size 311 in d' is roughly equal for all three trial parameters (see Figure 2a); if behavioral effect size 312 reflects degree of effort or load, then the explanation that pupillometry is just "not sensitive 313 enough" seems unlikely. Another possibility is that the elevated pupil response is simply due 314

to a higher number of button presses in the switch trials: motor planning and execution 315 are known to cause pupillary dilations. 35 However, as mentioned in Section II.B.1, the total 316 number of button presses is in fact higher in the maintain-attention condition. A third 317 possibility is that the pupil dilation only reflects certain kinds of effort or load, and that 318 stimulus degradations that mainly affect listener ability to form and select auditory streams 319 are not reflected in the pupillary response, whereas differences in listener attentional state, 320 such as preparing for a mid-trial attention switch, are reflected by the pupil. Experiment 2 321 tests this latter explanation, by repeating the maintain/switch manipulation while increasing 322 stimulus degradation, to further impair formation and selection of auditory streams. 323

## 324 III. EXPERIMENT 2

Since no effect of talker gender on pupil dilation was seen in Experiment 1, in Experiment 2 325 the target and masker talkers were always of opposite gender, and their status as initial 326 target or masker was counterbalanced across trials. Since no effect of reverberation on 327 pupillary response was seen in Experiment 1, Experiment 2 also removed the simulated 328 spatial separation of talkers and involved a more severe cued stimulus degradation known to 329 cause variation in task demand: spectral degradation implemented as variation in number 330 of noise-vocoder channels, 10 or 20. Based on results from Winn and colleagues showing 331 increased dilation for low versus high numbers of vocoder channels with full-sentence stimuli, <sup>16</sup> 332 greater pupil dilation was expected here in the (more difficult, lower-intelligibility) 10-channel 333 condition. As in Experiment 1, a pre-trial cue indicated whether to maintain or switch 334 attention between talkers at the mid-trial gap; here the cue also indicated whether spectral 335 degradation was mild or severe (i.e., the cue underwent the same noise vocoding procedure 336 as the main portion of the trial). 337

338 Additionally, in Experiment 2 the duration of the mid-trial temporal gap provided for attention

switching was varied (either 200 ms or 600 ms). Behavioral and neuroimaging research suggest that the time course of attention switching in the auditory domain is around 300-400 ms; <sup>3,36</sup> accordingly, we expected the short gap trials to be challenging and thus predicted greater pupil dilation in short-gap trials (though only in the post-gap portion of the trial). The duration of the gap was not predictable from the pre-trial cue.

#### 344 A. Methods

### 345 1. Participants

Sixteen adults (eight female, aged 19 to 35 years, mean 25.5) participated in Experiment 2.

All participants had normal audiometric thresholds (20 dB HL or better at octave frequencies

from 250 Hz to 8 kHz), were compensated at an hourly rate, and gave informed consent to

participate as overseen by the University of Washington Institutional Review Board.

#### 350 **2.** Stimuli

Stimuli were based on spoken English alphabet letters from the ISOLET v1.3 corpus<sup>27</sup> from 351 the same female and male talkers used in Experiment 1, with the same stimulus preprocessing 352 steps (padding, amplitude normalization, and edge windowing). Two streams of four letters 353 each were generated for each trial, with a gap of either 200 or 600 ms between the second 354 and third letters of each stream. The letters "A" and "U" were used only in the pre-trial 355 cues (described below); the target letter was "O" and letters "DEGPV" were non-target 356 items. The cue and non-target letters differed from those used in Experiment 1 in order to 357 maintain discriminability of cue, target, and non-target letters even under the most degraded 358 (10-channel vocoder) condition. Specifically, the letters were chosen so that the vowel nuclei 359 differed between the cue, target, and non-target letters: representations of the vowel nuclei in 360

the International Phonetic Alphabet are /e/ and /u/ (cues "A" and "U"), /o/ (target "O") and /i/ (non-target letters "DEGPV").

Spectral degradation was implemented following a conventional noise vocoding strategy. <sup>37</sup> 363 The stimuli were fourth-order Butterworth bandpass filtered into 10 or 20 spectral bands of 364 equal equivalent rectangular bandwidths. <sup>38</sup> This filterbank ranged from 200 to 8000 Hz (low 365 cutoff of lowest filter to high cutoff of highest filter). Each band was then half-wave rectified 366 and filtered with a 160 Hz low-pass fourth-order Butterworth filter to extract the amplitude 367 envelope. The resulting envelopes were used to modulate corresponding noise bands (created 368 from white noise filtered with the same filterbank used to extract the speech bands). These 369 modulated noise bands were then summed, and presented diotically at 65 dB SPL. As in 370 Experiment 1, a simultaneous white-noise masker was also presented (see Section II.A.3). 371

#### 372 3. Procedure

Participants were instructed to fixate on a white dot centered on a black screen and maintain 373 such gaze throughout test blocks. Each trial began with a 1 s auditory cue (spoken letters 374 "AA" or "AU"); the cue talker's gender indicated whether to attend first to the male or female 375 voice, and additionally indicated whether to maintain attention to that talker throughout 376 the trial ("AA" cue) or to switch attention to the other talker at the mid-trial gap ("AU" 377 cue). The cue was followed by 0.5 s of silence, followed by the main portion of the trial: two 378 concurrent, diotic 4-letter streams (one male voice, one female voice), with a variable-duration 379 gap between the second and third letters. The task was to respond by button press to the 380 letter "O" spoken by the target talker (Figure 5). To allow unambiguous attribution of button 381 presses, the letter "O" was always separated from another "O" (in either stream) by at least 382 1 second, and its position in the letter sequence was balanced across trials and conditions. 383 Distribution of targets and foils across timing slots was equivalent to Experiment 1. 384

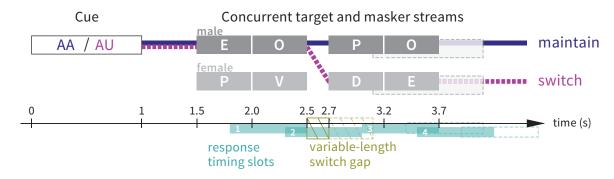


Figure 5: (Color online) Illustration of "maintain" and "switch" trial types in Experiment 2. The short-gap version is depicted; timing of long-gap trial elements (where different) are shown with faint dashed lines. In the depicted "switch" trial (heavy dashed line), listeners would hear cue "AU" in a male voice, attend to the male voice ("EO") for the first half of the trial and the female voice ("DE") for the second half of the trial, and respond once (to the "O" occurring at 2–2.5 seconds). In the depicted "maintain" trial (heavy solid line), listeners would hear cue "AA" in a male voice, attend to the male voice ("EOPO") throughout the trial, and respond twice (once for each "O").

Before starting the experimental task, participants heard 2 blocks of 10 trials for familiarization 385 with noise-vocoded speech (one with a single talker, one with the two simultaneous talkers). 386 Next, they did 3 training blocks of 10 trials each (one block of "maintain" trials, one block of 387 "switch" trials, and one block of randomly mixed "maintain" and "switch" trials). Training 388 blocks were repeated until participants achieved >50% of trials correct on the homogenous 389 blocks and >40\% of trials correct on the mixed block. During testing, the three experimental 390 conditions (maintain/switch, 10/20 channel vocoder, and 200/600 ms gap duration) were 391 counterbalanced, intermixed within each block, and presented in 10 blocks of 32 trials each, 392 for a total of 320 trials. 393

#### 394 4. Behavioral analysis

As in Experiment 1, listener responses were labeled as "hits" if the button press occurred within a defined temporal response window after the onset of "O" stimuli in the target stream, and all other responses were considered "false alarms." However, unlike Experiment 1, the designated response window for targets and foil items ran from 300 to 1000 ms after the

onset of "O" stimuli (in Experiment 1 the window ranged from 100 to 1000 ms). This change 399 resulted from a design oversight, in which the placement of target or foil items in both of 400 slots 2 and 3 (on either side of the switch gap) yielded a period of overlap of the response 401 windows for slots 2 and 3 in the short gap trials, in which presses could not be unambiguously 402 attributed. However, in Experiment 1 (where response times as fast as 100 ms were allowed) 403 the fastest response time across all subjects was 296 ms, and was the sole instance of a 404 sub-300 ms response. Therefore, raising the lower bound on the response time window to 405 300 ms for Experiment 2 is unlikely to have disqualified any legitimate responses (especially 406 given the more severe signal degradation, which is likely to increase response times relative to 407 Experiment 1), and eliminates the overlap between response slots 2 and 3 on short-gap trials. 408 Statistical modeling of sensitivity used the same approach as was employed in Experiment 1: 409 predicting probability of button press in each timing slot based on fixed-effect predictors 410 (maintain/switch, 10- or 20-channel vocoder, and short/long mid-trial gap duration), a 411 target/foil/neither indicator variable, and a subject-level random intercept. Statistical 412 modeling of response time also mirrored Experiment 1, in omitting the indicator variable and 413 considering only responses to targets and foils. See supplementary material, Sections IV.A 414 and IV.B and Tables VI-VIII, for full details. 415

# 416 5. Analysis of pupil diameter

Analysis of pupil diameter was carried out as in Experiment 1: trials epoched from -0.5 to 6 s, linear interpolation of eye blinks, per-trial baseline subtraction and per-subject division by standard deviation of pupil size. Deconvolution and statistical analysis of normalized pupil size data was also carried out identically to Experiment 1.

## 421 B. Results

### 422 1. Sensitivity

Over all trials, sensitivity (d') ranged across subjects from 1.4 to 4.2 (first quartile 1.8, median 423 2.2, third quartile 2.7). Box-and-swarm plots displaying quartile and individual differences in 424 d' values between experimental conditions are shown in Figure 6. Again, note that d' is an 425 aggregate measure of sensitivity that does not distinguish between responses to foil items 426 versus other types of false alarms, but the statistical model does estimate separate coefficients 427 for target response rate, foil response rate, and a bias term capturing non-foil false alarm 428 responses. The model indicated significant main effects for all three trial type manipulations, 429 as seen in Figure 6a. Specifically, model results indicate no significant difference in target 430 detection between maintain- and switch-attention trials (Wald z=1.07, p=0.284), but did 431 show fewer responses to foils in maintain-attention trials (Wald z=-2.54, p=0.011; estimated 432 effect size 0.15 d'); a corresponding increase in d' in the maintain attention condition is seen 433 for nearly all listeners in Figure 6a, left column. Regarding spectral degradation, listeners 434 were better at detecting targets in 20-channel trials (Wald z=4.09, p<0.001; estimated effect 435 size 0.19 d'), but there was no significant difference in response to foils for the spectral 436 degradation manipulation (Wald z=0.69, p=0.489). For the switch gap length manipulation, 437 the model indicated much lower response to target items (Wald z=-7.51, p<0.001; estimated 438 effect size 0.35 d') and much greater response to foil items (Wald z=9.24, p<0.001; estimated 439 effect size 0.56 d') in the long gap trials. 440 The model also showed two-way interactions between gap duration and spectral degradation 441 (lower sensitivity in 10-channel long-gap trials; Figure 6b, middle column), and between gap 442 duration and the attentional manipulation (lower sensitivity in maintain-attention long-gap 443 trials; Figure 6b, right column). The interaction between gap duration and the attentional 444 manipulation showed increased responses to foil items in maintain-attention long-gap trials 445

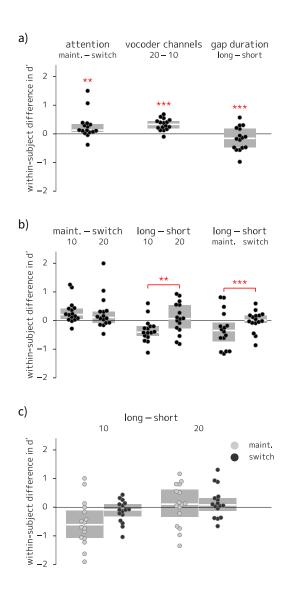


Figure 6: (Color online) Box-and-swarm plots of between-condition differences in listener sensitivity for Experiment 2. Boxes show first & third quartiles and median values; individual data points correspond to each listener; asterisks indicate comparisons with corresponding coefficients in the statistical model that were significantly different from zero. (a) Main effects of attention (higher sensitivity in maintain than switch trials), spectral degradation (higher sensitivity in 20-channel than 10-channel vocoded trials), and switch gap duration (higher sensitivity in trials with a short gap). (b) Two-way interactions: the difference between long-and short-gap trials was greater (more negative) in the 10-channel-vocoded trials and in the maintain-attention trials. (c) Three-way interaction (not significant). \* = p < 0.05; \*\*\* = p < 0.01; \*\*\* = p < 0.001.

(Wald z=2.98, p=0.003). The terms modeling interaction between gap duration and spectral 446 degradation were not significantly different from zero at the p<0.05 level when targets and 447 foils are modeled separately (Wald z=1.66, p=0.097 for targets; Wald z=-1.92, p=0.055 for 448 foils), but the exclusion of these terms from the model did significantly decrease model fit 449 according to a likelihood ratio test ( $\chi^2(2)=11.38$ , p=0.003). 450 Post-hoc analysis of target detection accuracy showed no significant differences by slot when 451 correcting for multiple comparisons, but the trend suggested that the two-way interaction 452 between gap duration and spectral degradation was driven by the first time slot, while the 453 two-way interaction between gap duration and attentional condition was predominantly 454 driven by the *last* time slot (paired t-tests by slot on logit-transformed hit rates all p>0.04; 455

#### 457 2. Reaction time

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Bonferroni-corrected significance level 0.00625).

Over all correct responses, median reaction time for each subject ranged from 493 ms to 458 689 ms after the onset of the target letter. Box-and-swarm plots showing quartile and 459 individual differences in reaction time values between experimental conditions are shown in 460 Figure 7. The statistical model indicated a significant main effects of spectral degradation 461 and switch gap length. Faster response times were seen for targets in trials processed with 462 20-channel vocoding (35 ms faster on average, F(1, 4605.0) = 21.79, p < 0.001), and trials with 463 a long switch gap (66 ms faster, F(1, 4606.9) = 77.52, p < 0.001). The model also showed a 464 significant interaction between spectral degradation and switch gap length (44 ms faster with 465 20-channel vocoding and long gaps, F(1, 4604.4) = 8.57, p = 0.003). 466 As in Experiment 1, post-hoc tests of reaction time difference between maintain- and switch-467 attention trials by slot showed a significant difference localized to slot 3 (the immediately 468

post-gap slot), with faster reaction times in maintain-attention trials (28 ms faster on average).

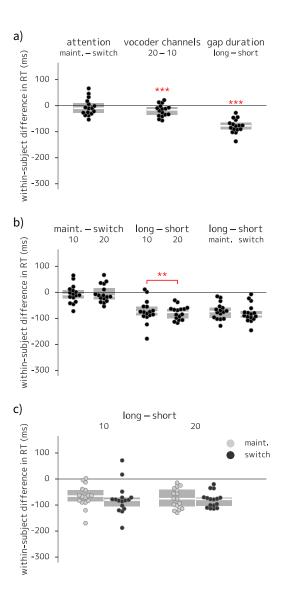


Figure 7: (Color online) Box-and-swarm plots of between-condition differences in reaction time for Experiment 2. Boxes show first & third quartiles and median values; individual data points correspond to each listener; asterisks indicate comparisons with corresponding coefficients in the statistical model that were significantly different from zero. (a) Main effects of attention, spectral degradation, and gap duration (faster response time in trials with 20-channel vocoding, and in long-gap trials). (b) Two-way interactions (larger difference in reaction times between long- and short-gap trials in the 10- versus the 20-channel condition). (c) Three-way interaction (no statistically significant difference). \*\*\* = p < 0.001.

For the spectral degradation contrast, a significant difference was seen only in slot 1, with 470 faster reaction times in the 20-channel trials (68 ms faster on average); this pattern of results 471 could arise if listener adaptation to the level of degradation was incomplete when the trial 472 started, but was in place by the end of slot 1. For the gap length manipulation, significantly 473 faster reaction times were seen in the long-gap trials for slot 3 (155 ms faster on average) 474 and slot 4 (135 ms faster on average), and significantly slower reaction times in the long-gap 475 trials for slot 1 (261 ms slower on average). The faster reaction times in the long-gap trials 476 in slots 3 and 4 are expected given that listeners had additional time to process the first 477 half of the trial and/or prepare for the second half in the long-gap condition. However, the 478 difference in reaction time in slot 1 is unexpected and inexplicable given that the gap length 479 manipulation was uncued. See supplementary material, Section IV.D.1 for details. 480

### 481 3. Pupillometry

Mean deconvolved pupil diameter as a function of time for the three stimulus manipulations 482 (10/20 vocoder channels, gap duration, and maintain/switch attention trials) is shown in 483 Figure 8. Similar to Experiment 1, the attentional manipulation shows a significant difference 484 between conditions, with switch-attention trials showing greater pupillary response than 485 maintain-attention trials in the time range from 0.9 to 5.6 s ( $t_{crit} = 2.13, p < 0.001$ ); in 486 Experiment 1, the significant difference spanned 1.0 - 5.5 s. Also as in Experiment 1, the 487 time courses diverge as soon as listeners have heard the cue, and the response remains higher 488 in the switch-attention condition throughout the rest of the trial. There is also a significant 489 difference in the time course of the pupillary response between long- and short-gap trials in 490 the time range 3.9 - 5.0 s ( $t_{crit} = 2.13$ , p < 0.01), with the signals diverging around the onset 491 of the mid-trial gap (though only differing statistically in the final ~1 s of the trial). See 492 supplementary material, Section IV.C and Table IX, for full details. 493

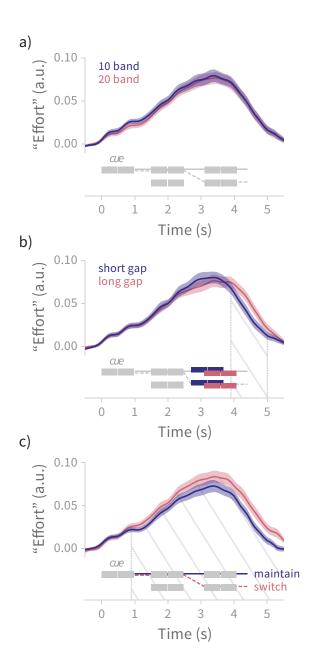


Figure 8: (Color online) Deconvolved pupil size (mean  $\pm 1$  standard error across subjects) for (a) 10- versus 20-band vocoded stimuli, (b) 200 versus 600 ms mid-trial switch gap durations, and (c) maintain- versus switch-attention trials, with trial schematics showing the timecourse of stimulus events (compare to Figure 5). Hatched region shows temporal span of statistically significant differences between time series. The late-trial divergence in (b) is attributable to the delay of stimulus presentation in the long-gap condition; the onset of divergence in (c) aligns with the end of the cue, as in Experiment 1 (see Figure 4c). a.u. = arbitrary units (see Section II.A.5 for explanation of "effort").

## 494 C. Discussion

The model of listener sensitivity for Experiment 2 showed main effects of the spectral degra-495 dation and attentional manipulations in the expected directions (based on past literature <sup>16,22</sup> 496 and the results of Experiment 1): listener sensitivity was better when there were more 497 vocoder channels (better spectral resolution) and when mid-trial switching of attention 498 was not required. However, the results of the gap duration manipulation were unexpected; 499 based on past findings that auditory attention switches take between 300 and 400 ms, <sup>3,36</sup> we 500 hypothesized that a gap duration of 200 ms would cause listeners to fail to detect targets 501 in the immediate post-gap position (i.e., timing slot 3). We did see slower reaction time 502 in the short-gap trials, but sensitivity was actually better in the short-gap trials than in 503 the long-gap ones for most listeners (Figure 6a, right column). However, according to the 504 statistical model this effect appears to be restricted to the 10-channel and maintain-attention 505 trials (see Figure 6b, middle and right columns, and 6c, left column). Interestingly, the model 506 coefficient estimates indicated that the interactions were more strongly driven by a difference 507 in responses to foil items, not targets. 508

A possible explanation for the elevated response to foils in the long-gap condition is that the 509 long-gap condition interfered with auditory streaming, the 10-channel condition also interfered 510 with streaming, and when both conditions occurred simultaneously there was a strong effect 511 on listener ability to group the pre- and post-gap letters into a single stream (i.e., to preserve 512 stream identity across the gap). Using minimally processed stimuli (monotonized, but without 513 intentional degradation), Larson and Lee showed a similar "drop off" in performance in their 514 maintain-attention trials when the gap duration reached 800 ms;<sup>3</sup> perhaps the spectral 515degradation in our stimuli decreased listeners' tolerance for gaps in the stream, causing 516 performance to drop off at shorter (600 ms) gap lengths. However, this explanation still does 517 not account for the finding that the 10-channel plus long-gap difficulty seems to occur only 518 in the maintain-attention trials. One might speculate that the act of switching attention 519

at the mid-trial gap effectively "fills in" the gap, making the temporal disconnect between 520 pre- and post-gap letters less noticeable, and thereby preserving attended stream identity 521 across a longer gap duration than would be possible if attention were maintained on a single 522 source. In other words, if listeners must conceive of the "stream of interest" as a source 523 that undergoes a change in voice quality partway through the trial, the additional mental 524 effort required to make the switch might result in more accurate post-gap stream selection, 525 whereas the putatively less effortful task of maintaining attention to a consistent source could 526 lead to less accurate post-gap stream selection when stream formation is already difficult 527 (due to strong spectral degradation) and stream interruptions are long. Further study of the 528 temporal dynamics of auditory attention switching is needed to clarify how listeners' intended 529 behavior affects stream stability across temporal caesuras of varying lengths, and how this 530 process interacts with signal degradation or quality. 531

If this speculation is correct — that signal degradation reduces listener tolerance of gaps 532 in auditory stream formation and preservation — then this finding may have important 533 implications for listeners experiencing both hearing loss and cognitive decline. Specifically, 534 poor signal quality due to degradation of the auditory periphery could lead to greater difficulty 535 in stream preservation across long gaps, but cognitive decline may make rapid switching 536 difficult. In other words, the cognitive abilities of older listeners might require longer pauses 537 to switch attention among multiple interlocutors, but the longer pauses may in fact make it 538 harder to preserve focus in the face of degraded auditory input. 539

It is also interesting that the post-hoc analyses suggested possibly different temporal loci for the effects of different stimulus manipulations (i.e., affecting pre- versus post-gap time slots). This might indicate that differences in the strength of sensory memory traces of the stimuli played a role. However, it is important to note that we attempted to include time slot as an additional (interacting) term in the statistical model, but those more complex models were non-convergent; therefore we hesitate to draw any strong conclusions from the post-hoc t-tests.

Regarding the pupillary response, we again saw a difference between maintain- and switchattention trials, with the divergence beginning as soon as listeners heard the attentional cue.
We also saw a significant difference in the pupillary response to long- versus short-gap trials,
though the difference appears to be a post-gap delay in the long-gap trials (mirroring the
stimulus time course), rather than a vertical shift indicating increased effort. Contrary to our
hypothesis, there was no apparent effect of spectral degradation on the pupillary response.

#### 553 IV. GENERAL DISCUSSION

The main goal of these experiments was to see whether the pupillary response would reflect 554 the mental effort of switching attention between talkers who were spatially separated (Exper-555 iment 1), or talkers separable only by talker voice quality and pitch (Experiment 2). The 556 overall finding was that attention switching is clearly reflected in the pupillary signal as an 557 increase in dilation that begins either as soon as listeners are aware that a switch will be 558 required, or perhaps as soon as they begin planning the switch; since we did not manipulate 559 the latency between the cue and the onset of the switch gap these two possibilities cannot be 560 disambiguated. 561

A secondary goal of these experiments was to reproduce past findings regarding the pupillary 562 response to degraded sentential stimuli, but using a simpler stimulus paradigm (spoken letter 563 sequences) and (in Experiment 1) relatively mild stimulus degradations like reverberation. In 564 fact, we failed to see any effect of stimulus degradation in the pupillary response, neither 565 when degrading the temporal cues for spatial separation through simulated reverberation, 566 nor with more severe degradation of the signal's spectral resolution through noise vocoding 567 (Experiment 2). We believe the key difference lies in our choice of stimuli: detecting a target 568 letter in a sequence of spoken letters is not the same kind of task as computing the meaning 569

of a well-formed sentence, and our results suggest that simply detecting targets among a 570 small set of possible stimulus tokens does not engage the same neural circuits or invoke the 571 same kind of mental effort or cognitive load that is responsible for pupillary dilations seen 572 in the sentence comprehension tasks of Zekveld and colleagues (showing greater dilation 573 to sentences with lower signal-to-noise ratios [SNRs]) 14,19 or Winn and colleagues (showing 574 greater dilation to sentences with more severe spectral degradation). <sup>16</sup> Taking those findings 575 together with the results of the present study, one might say that signal degradation itself 576 was not the proximal cause of pupil dilation in those sentence comprehension experiments; 577 rather, it was the additional cogitation or effort needed to construct a coherent linguistic 578 meaning from degraded speech that led to the pupillary responses they observed. 579

Notably, Winn and colleagues showed a sustained pupillary response in cases where listeners 580 failed to answer correctly, suggesting that continued deliberation about how to respond may 581 be reflected by pupil size. Similarly, Kuchinsky and colleagues<sup>20</sup> showed greater pupillary 582 response in word-identification tasks involving lower SNRs when lexical competitors were 583 present among response choices; their results show a sustained elevation in the time course 584 of the pupillary response in the harder conditions (as well as a parallel increase in reaction 585 time). Both sets of findings suggest that the pupillary response reflects effort exerted by 586 the listener, as do the sustained large dilations seen in Koelewijn and colleagues' divided 587 attention trials (where listeners heard two talkers presented dichotically, and had to report 588 both sentences).<sup>23</sup> 589

The present study, on the other hand, shows that for an experimental manipulation to elicit
a larger pupillary response than other tasks, it is not enough that the task simply be made
harder. Rather, there is an important distinction between a task being harder and a listener
trying harder; or what, in the terms of a recent consensus paper from a workshop on hearing
impairment and cognitive energy, might be described as the difference between "demands"
and "motivation." In this light, we can understand why our stimulus manipulations yielded

no change in pupillary response: our task required rapid-response target identification, in 596 which listeners had little opportunity to ponder a distorted or partial percept, nor could they 597 later reconstruct whether a target had been present based on surrounding context. Thus, the 598 listener has no recourse by which to overcome the increased task demands, and consequently 599 there should be no difference in motivation, no difference in effort, and no difference in the 600 pupillary response. In contrast, our behavioral "maintain/switch" manipulation did provide 601 an opportunity for the listener to exert effort (in the form of a well-timed mid-trial attention 602 switch) to achieve task success, and the difference in pupillary responses between maintain-603 and switch-attention trials reflects this difference. 604

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# 722 LIST OF FIGURES

1 (Color online) Illustration of "maintain" and "switch" trial types in Experiment 1. 723 In the depicted "switch" trial (heavy dashed line), listeners would hear cue "AB" in 724 a male voice, attend to the male voice ("QU") for the first half of the trial, switch to 725 the female voice ("OM") for the second half of the trial, and respond once (to the "O" 726occurring at 3.1–3.6 s). In the depicted "maintain" trial (heavy solid line), listeners 727 would hear cue "AA" in a male voice, maintain attention to the male voice ("QUJR") 728 throughout the trial, and not respond at all. In the depicted trials, a button press 729 anytime during timing slot 2 would be counted as response to the "O" at 2-2.5 s, 730 which is a "foil" in both trial types illustrated; a button press during slot 3 would 731 be counted as response to the "O" at 3.1–3.6 s (which is considered a target in the 732 switch-attention trial and a foil in the maintain-attention trial), and button presses 733 at any other time would be counted as non-foil false alarms. Note that "O" tokens 734 never occurred in immediately adjacent timing slots (unless separated by the switch 735 gap) so response attribution to targets or foils was unambiguous. 736

- 2 (Color online) Box-and-swarm plots of between-condition differences in listener 737 sensitivity for Experiment 1. Boxes show first & third quartiles and median values; 738 individual data points correspond to each listener; asterisks indicate comparisons 739 with corresponding coefficients in the statistical model that were significantly different 740 from zero. (a) Main effects of attention (higher sensitivity in maintain than switch 741 trials), reverberation (higher sensitivity in anechoic than reverberant trials), and 742 talker gender (mis)match (higher sensitivity in trials with different-gendered target 743 and masker talkers). (b) Two-way interactions; the difference between anechoic 744 and reverberant trials was significantly larger in the gender-match (MM) than in 745 the gender-mismatch (MF) condition. (c) Three-way interaction (no statistically 746 significant differences). \*\* = p < 0.01; \*\*\* = p < 0.001. 747
- 3 (Color online) Box-and-swarm plots of between-condition differences in reaction 748 time for Experiment 1. Boxes show first & third quartiles and median values; 749 individual data points correspond to each listener; asterisks indicate comparisons with 750corresponding coefficients in the statistical model that were significantly different from 751 zero. (a) Main effects of attention (faster reaction time in maintain than switch trials), 752reverberation (faster reaction time in anechoic than reverberant trials), and talker 753 gender (mis)match (faster reaction time in trials with trials with different-gendered 754target and masker talkers). (b) Two-way interactions (no statistically significant 755 differences). (c) Three-way interaction (no statistically significant difference). \* 756= p < 0.05; \*\* = p < 0.01; \*\*\* = p < 0.001; MM = matching talker genders; MF = 757 mismatched talker genders. 758

- 4 (Color online) Deconvolved pupil size (mean  $\pm 1$  standard error across subjects) for 759 (a) reverberant versus anechoic trials, (b) talker gender-match versus -mismatch 760 trials, and (c) maintain-versus switch-attention trials, with trial schematics showing 761 the timecourse of stimulus events (compare to Figure 1). Hatched region shows 762 temporal span of statistically significant differences between time series. The onset 763 of statistically significant divergence (vertical dotted line) of the maintain/switch 764 conditions is in close agreement with the end of the cue. a.u. = arbitrary units (see 765 Section II.A.5 for explanation of "effort"). 766
- 5 (Color online) Illustration of "maintain" and "switch" trial types in Experiment 2. 767 The short-gap version is depicted; timing of long-gap trial elements (where different) 768 are shown with faint dashed lines. In the depicted "switch" trial (heavy dashed line), 769 listeners would hear cue "AU" in a male voice, attend to the male voice ("EO") for 770 the first half of the trial and the female voice ("DE") for the second half of the trial, 771 and respond once (to the "O" occurring at 2-2.5 seconds). In the depicted "maintain" 772trial (heavy solid line), listeners would hear cue "AA" in a male voice, attend to the 773 male voice ("EOPO") throughout the trial, and respond twice (once for each "O"). 774
- 6 (Color online) Box-and-swarm plots of between-condition differences in listener 775 sensitivity for Experiment 2. Boxes show first & third quartiles and median values; 776 individual data points correspond to each listener; asterisks indicate comparisons 777 with corresponding coefficients in the statistical model that were significantly different 778 from zero. (a) Main effects of attention (higher sensitivity in maintain than switch 779 trials), spectral degradation (higher sensitivity in 20-channel than 10-channel vocoded 780 trials), and switch gap duration (higher sensitivity in trials with a short gap). (b) 781 Two-way interactions: the difference between long- and short-gap trials was greater 782 (more negative) in the 10-channel-vocoded trials and in the maintain-attention trials. 783 (c) Three-way interaction (not significant). \* = p < 0.05; \*\* = p < 0.01; \*\*\* = p < 0.001. 784

7 (Color online) Box-and-swarm plots of between-condition differences in reaction 785 time for Experiment 2. Boxes show first & third quartiles and median values; 786 individual data points correspond to each listener; asterisks indicate comparisons 787 with corresponding coefficients in the statistical model that were significantly different 788 from zero. (a) Main effects of attention, spectral degradation, and gap duration 789 (faster response time in trials with 20-channel vocoding, and in long-gap trials). (b) 790 Two-way interactions (larger difference in reaction times between long- and short-gap 791 trials in the 10- versus the 20-channel condition). (c) Three-way interaction (no 792 statistically significant difference). \*\*\* = p < 0.001. 793

8 (Color online) Deconvolved pupil size (mean  $\pm 1$  standard error across subjects) for 794 (a) 10- versus 20-band vocoded stimuli, (b) 200 versus 600 ms mid-trial switch gap 795 durations, and (c) maintain-versus switch-attention trials, with trial schematics 796 showing the timecourse of stimulus events (compare to Figure 5). Hatched region 797 shows temporal span of statistically significant differences between time series. The 798late-trial divergence in (b) is attributable to the delay of stimulus presentation in 799 the long-gap condition; the onset of divergence in (c) aligns with the end of the cue, 800 as in Experiment 1 (see Figure 4c). a.u. = arbitrary units (see Section II.A.5 for 801 explanation of "effort"). 802