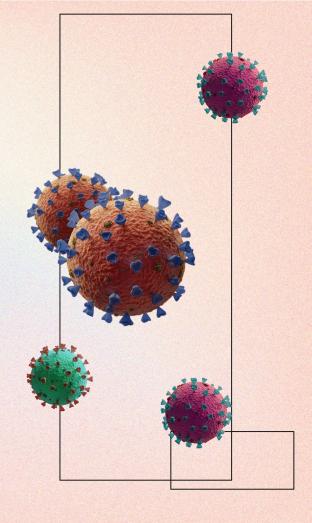
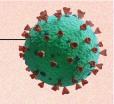


# Predicting H1N1 and Seasonal Flu Vaccination

Lavanya Acharya Katrin Ayrapetov Sean Li





BACKGROUND AND PROBLEM STATEMENT

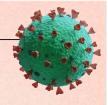
02 EXPLORATORY DATA ANALYSIS

03 INITIAL MODEL FITTING

04
FEATURE SELECTION

05 PRODUCTION MODEL





DI BACKGROUND AND PROBLEM STATEMENT

EXPLORATORY
DATA ANALYSIS

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MODEL

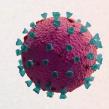


The 2009 flu pandemic in the United States was caused by a novel strain of the Influenza H1N1 virus, commonly referred to as "swine flu."

From April 2009 to April 2010, the CDC estimates in the United States there were

- 60.8 million cases
- 274,304 hospitalizations
- and 12,469 deaths

A vaccine for the H1N1 flu virus became publicly available in October 2009.





A view of a newspaper headline near Times Square in New York, New York, USA, on 27 April 2009. Photo by EPA/BGNES

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An H1N1 flu vaccination clinic held in San Francisco in December 2009, Justin Sullivan/Getty Images

From August 2009 to May 2010, one or more doses of the seasonal flu vaccine were administered to

- □ 31.6 million children
- 91.6 million adults

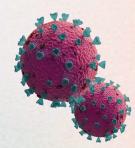
From August 2009 to May 2010, one or more doses of the H1N1 vaccine were administered to

- 29.1 million children
- 80.8 million adults



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The US National Center for Health Statistics conducted National H1N1 Flu Survey.





The phone survey asked respondents whether they had received the H1N1 and seasonal flu vaccines, in conjunction with questions about themselves.

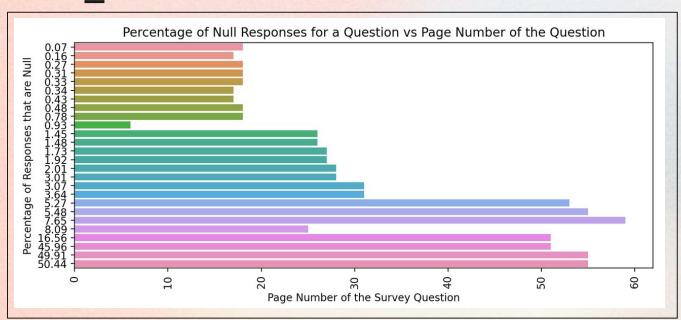
The questions covered the respondents' social, economic, and demographic background, opinions on vaccine effectiveness and risks, and behaviors towards mitigating transmission.











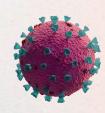
## The data had null values in two cases:

- Respondent choosing "no response" or "do not know" as a response
- ☐ Respondent not answering the question

The survey is sixty pages long. The later a particular question appeared in the survey, the more null values there were for the responses.



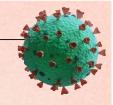
# 01 PROBLEM STATEMENT



#### Goals:

- Predict whether respondents received the H1N1 and seasonal flu vaccines, using the US National Center for Health Statistics survey data.
- Identify the most predictive features for choosing not to vaccinate.
- 3. Using these, create an abridged version of the survey that has the same predictive power as the original full size survey.





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BACKGROUND AND
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02 EXPLORATORY DATA ANALYSIS

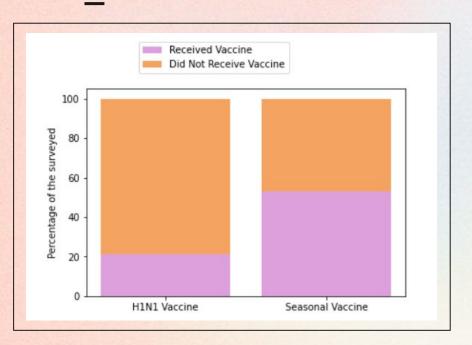
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#### **02 SUMMARY OF TARGET VARIABLES**



In the training data set:

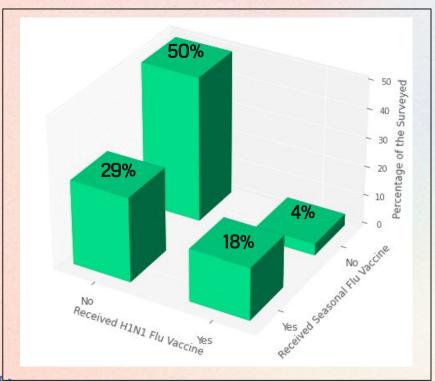
- □ 21% received the H1N1 vaccine
- 79% did not receive the H1N1 vaccine
- 53% received the seasonal vaccine
- □ 47% did not receive the seasonal vaccine







#### **02 SUMMARY OF TARGET VARIABLES**



The two target variables have been shown to have statistically significant dependance.

The probability that a randomly selected respondent:

- □ Received the seasonal vaccine is 47%
- Received the seasonal vaccine given they received the H1N1 vaccine is 82%





#### **02 OVERVIEW OF FEATURE VARIABLES**

There are 35

features and

observations.

26,707



Ordinal features which give the survey taker's opinions like their feelings about the vaccine efficacy and risk.

Nulls were imputed with the median of the responses.

Categorical features which give information about the survey taker's behaviors like hand washing and mask wearing.

Nulls were imputed with "no response".

Numeric features are the number of adults and number of kids in the survey taker's household

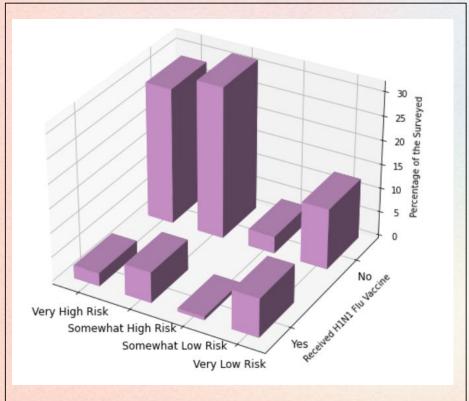
Categorical features
which give
demographic
information about like
the survey taker's age,
employment status and
income.

Nulls were imputed with "no response".



#### **02 OVERVIEW OF FEATURE VARIABLES**



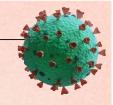


There is statistically significant dependence between respondents' perceived risk of the H1N1 vaccine and whether or not they chose to receive it.

The probability that a randomly selected respondent:

- □ Received the H1N1 vaccine is 21%
- Received the H1N1 vaccine given they found it to be very risky or somewhat risky vaccine is 13%





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#### **03 OVERVIEW OF APPROACH**

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ID	Age	Marital Status		H1N1 Vaccine	Seasonal Flu Vaccine
0	55 - 64 Years	Not Married	•••	yes	no
1	35 - 44 Years	Not Married	•••	no	yes
2	18 - 34 Years	Not Married		no	yes
3	65+ Years	Not Married		no	yes
4	45 - 54 Years	Married	•••	yes	yes
5	65+ Years	Married		no	no

We approached the multilabel classification problem by converting the data set into two single class binary datasets and fitting a binary classification model to each data set.

ID	Age	Marital Status		H1N1 Vaccine
0	55 - 64 Years	Not Married		yes
1	35 - 44 Years	Not Married		no
2	18 - 34 Years	Not Married		no
3	65+ Years	Not Married		no
4	45 - 54 Years	Married		yes
5	65+ Years	Married	•••	no

ID	Age	Marital Status	•••	Seasonal Flu Vaccine
0	55 - 64 Years	Not Married		no
1	35 - 44 Years	Not Married		yes
2	18 - 34 Years	Not Married	•••	yes
3	65+ Years	Not Married		yes
4	45 - 54 Years	Married	•••	yes
5	65+ Years	Married		no
			•••	



# 03 PRELIMINARY MODELING

Using a gridsearch, we used all the features to see how a basic model would perform. Tests were ran for both seasonal flu and H1N1, using accuracy and AUC as metrics on logistic regression, *k*-NN, multinomial Naive Bayes, and random forest classifiers for a total of 16 tests.





Logistic regression			
	Seasonal Flu	H1N1	
Accuracy	0.779	0.848	
AUC	0.854	0.856	

#### **03 USING SEASONAL FLU AS A PREDICTOR**

Next we tried using seasonal flu vaccines as a predictor for H1N1, which offered a small but significant performance boost



	Preliminary Model	Using seasonal flu as a predictor
Logistic Regression	0.855	0.884
k-NN	0.798	0.820
mNB	0.789	0.803
Random Forest	0.854	0.886

Sadly, using predicted seasonal flu did not yield the same results, and the models performed similarly to the preliminary models



#### **03 BACK TO THE DRAWING BOARD: METRICS**

Because we are more interested in people who did not get the vaccine, we made them our positive class.

1: Did not receive the vaccine

0: Received the vaccine

Accuracy

The percentage of respondents classified correctly as having received the vaccine or not

Recall

The percentage of respondents who did not receive the vaccine who were classified correctly.

**AUC** 

Measure of overall classification performance

Precision

The percentage of respondents were classified as not having received the vaccine who did not receive the vaccine.



#### **03 INITIAL MODELS: H1N1 Vaccine**

\_



Logistic Regression Model

Accuracy: 0.803

**AUC: 0.789** 

Precision: 0.928 Recall: 0.813

XGBoost Model

Accuracy: 0.8518

AUC: 0.730

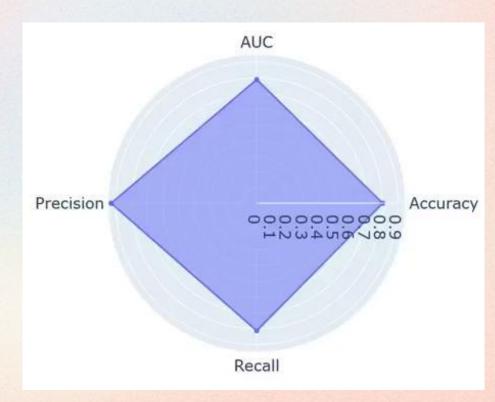
Precision: 0.879 Recall: 0.942

Neural Network Model

Accuracy: 0.823

AUC: 0.897

Precision: 0.790 Recall: 0.873





#### **03 INITIAL MODELS: Seasonal Vaccine**



#### Logistic Regression Model

Accuracy: 0.789

**AUC: 0.788** 

Precision: 0.804 Recall: 0.799

XGBoost Model

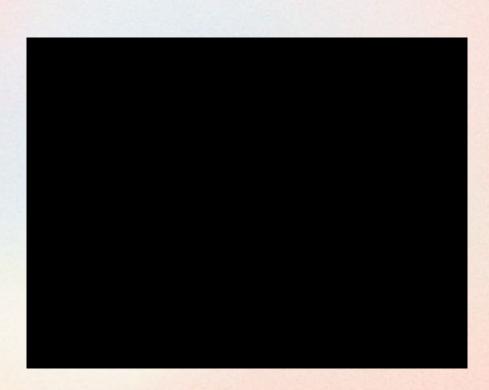
Accuracy: 0.797 AUC: 0.794

Precision: 0.800 Recall: 0.825

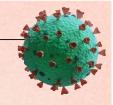
Neural Network Model

Accuracy: 0.800 AUC: 0.864

Precision: 0.800 Recall: 0.834







DI BACKGROUND AND PROBLEM STATEMENT

02 EXPLORATORY DATA ANALYSIS

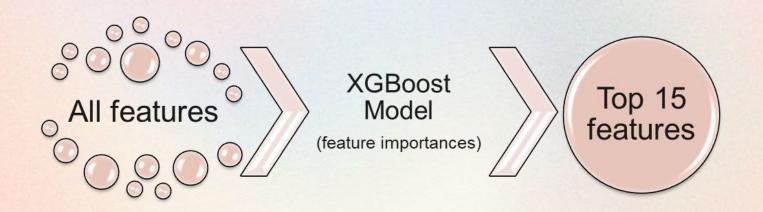
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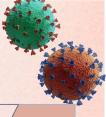
O5 PRODUCTION MODEL



#### **Feature Selection**



#### 04 FEATURE IMPORTANCE: H1N1



Whether the respondent has health insurance

How effective the respondent perceives the vaccine to be

How risky the respondent perceives the vaccine to be

How much does the respondent know about the H1N1 flu

Does the respondent rent or own their home

Whether the doctor recommended the vaccine

Respondent's employment industry

The marital status of the respondent

Whether the respondent avoids large gatherings

Whether the respondent avoids touching their face

Whether the respondent avoids members of other households.

Whether the respondent avoids large gatherings.

## 04 FEATURE IMPORTANCE: Seasonal Flu





Whether the respondent has health insurance

How effective the respondent perceives the vaccine to be

How risky the respondent perceives the vaccine to be

How much does the respondent know about the H1N1 flu

Does the respondent rent or own their home

Whether the doctor recommended the vaccine

Respondent's employment industry **and status** 

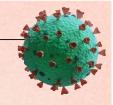
The highest level of education achieved by respondent

Whether the respondent has a chronic medical condition

Respondent's age

Whether the respondent is a health worker or works in a hospital

Whether the respondent fears getting sick from the vaccine



BACKGROUND AND PROBLEM STATEMENT

02 EXPLORATORY DATA ANALYSIS

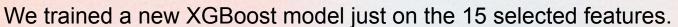
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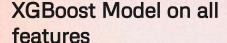
04
FEATURE SELECTION

05 PRODUCTION MODEL



#### **05 PRODUCTION MODEL: H1N1**







Accuracy: 0.8518

AUC: 0.730

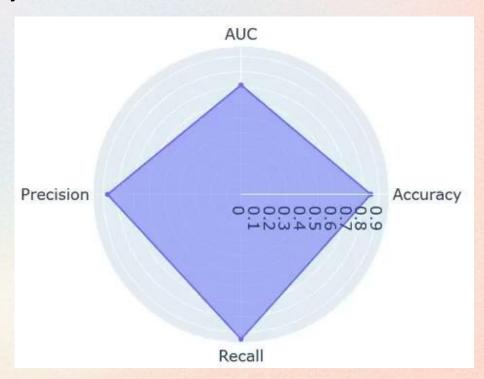
Precision: 0.879 Recall: 0.942

XGBoost Model on 15 selected features

Accuracy: 0.847

AUC: 0.714

Precision: 0.871 Recall: 0.945





#### **05 PRODUCTION MODEL: Seasonal Vaccine**



We trained a new XGBoost model just on the 15 selected features.

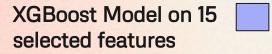
XGBoost Model on all features



Accuracy: 0.797

AUC: 0.794

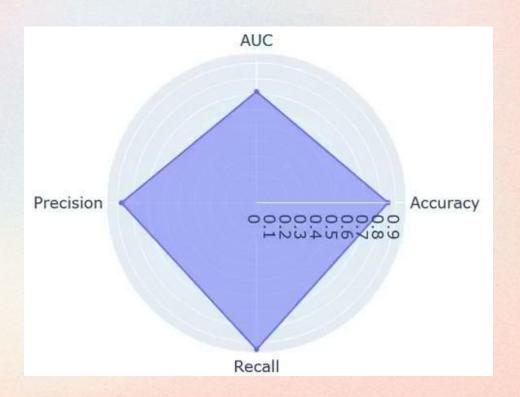
Precision: 0.800 Recall: 0.825

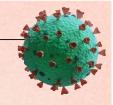


Accuracy: 0.849

AUC: 0.717

Precision: 0.872 Recall: 0.946





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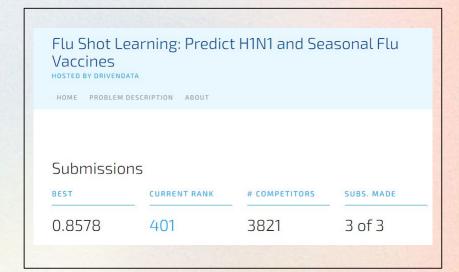


# 06 ADDITIONAL CONSIDERATIONS

The data set was obtained from an ongoing Data Science competition on DrivenData.com.

The metric used for the competition is AUC.

We were able to submit estimates from our Neural Network with AUC metric of 0.8578 and the current leading AUC metric is 0.8658.



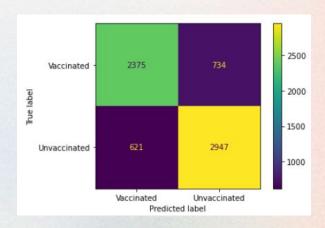


#### **06 CONCLUSIONS**

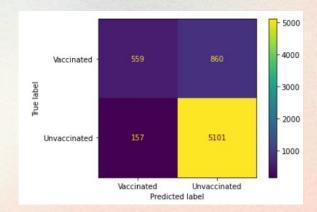
A better understanding of how these characteristics are associated with personal vaccination patterns can provide guidance for future public health efforts.

Goal 1: Predict which respondents refused vaccination. We compared multiple optimized models and were able to make predictictions with a recall of

- 0.94 for H1N1, and
- 0.83 for seasonal flu



#### Seasonal Flu Vaccine



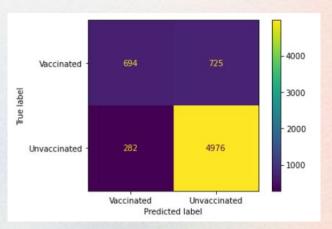




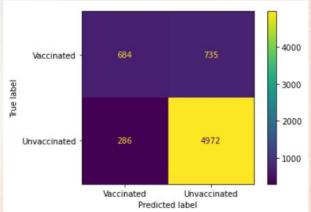
### **06 CONCLUSIONS**

Goal 2: identify the features in the dataset that were most predictive of whether a person refused vaccination.

Using 15 of 35 features, our models predict whether or a person was unvaccinated with a <u>recall of 0.94</u> for both H1N1 and seasonal flu vaccines.



#### Seasonal Flu Vaccine







#### **06 RECOMMENDATIONS**

Goal 3: Recommend areas of focus to shorten the survey

Our models identified the most predictive features as:

- availability of health insurance,
- perception of the vaccine (effectiveness, risks),
- knowledge of H1N1,
- kind of dwelling,
- doctor's recommendation,
- employment,
- marital status,
- Behaviors,
- level of education,
- overall health
- age.





# THANKS!

Do you have any questions?

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