

1 set up

1.1 Lagrangian

Following the notation [1611.03827] by Bhupal et al. and without specifying the basis,

$$-\mathcal{L} \supset y_{ak} \bar{N}_{Ra} \tilde{\phi}^\dagger L_k + \frac{1}{2} \bar{N}_{Ra} M_{ab} N_{Rb}^c + \text{h.c.} \quad (1.1)$$

$$\begin{aligned} \phi &= (\phi^+, \phi^0)^T \\ \tilde{\phi} &= i\sigma_2 \phi^* = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} \phi^- \\ \phi^{0*} \end{pmatrix} = \begin{pmatrix} \phi^{0*} \\ -\phi^- \end{pmatrix} \\ \tilde{\phi}^\dagger &= (i\sigma_2 \phi^*)^\dagger = (\phi_0 \quad -\phi^+) \end{aligned}$$

$$-\mathcal{L} \supset y_{ak} \bar{N}_{Ra} (\phi^0 \nu_k - \phi^+ l_k) + \frac{1}{2} \bar{N}_{Ra} M_{ab} N_{Rb}^c + \text{h.c.} \quad (1.2)$$

Neutrino mass matrix is better shown in two-component $N_R = \begin{pmatrix} 0 \\ n^\dagger \end{pmatrix}$:

$$-\mathcal{L} \supset \langle \phi_0 \rangle (y)_{ai} n_a \nu_i + \frac{1}{2} M_{ab} n_a n_b + \text{h.c.} \quad (1.3)$$

$$= \frac{1}{2} \begin{pmatrix} \nu_i & n_a \end{pmatrix} \begin{pmatrix} 0_{ij} & \langle \phi_0 \rangle (y)_{bi} \\ \langle \phi_0 \rangle (y)_{aj} & M_{ab} \end{pmatrix} \begin{pmatrix} \nu_j \\ n_b \end{pmatrix} + \text{h.c.} \quad (1.4)$$

or we will write down, assuming the notation is understood,

$$M_\nu = \begin{pmatrix} 0 & \langle \phi_0 \rangle y^T \\ \langle \phi_0 \rangle y & M \end{pmatrix} \quad (1.5)$$

and perform Autonne–Takagi diagonalization:

$$U_0^T M_\nu U_0 = \text{diag}(m_1, m_2, m_3, M_1, M_2) \quad (1.6)$$

1.2 Casas–Ibarra parameterization

We split this Autonne–Takagi diagonalization procedure to two steps:

$$U_1^T M_\nu U_1 = \begin{pmatrix} A & 0 \\ 0 & B \end{pmatrix}, \quad (1.7)$$

$$\begin{pmatrix} U_2 & 0 \\ 0 & U_3 \end{pmatrix}^T U_1^T M_\nu U_1 \begin{pmatrix} U_2 & 0 \\ 0 & U_3 \end{pmatrix} = \begin{pmatrix} m_{\text{diag}} & 0 \\ 0 & M_{\text{diag}} \end{pmatrix}, \quad (1.8)$$

where $m_{\text{diag}} = \text{diag}(m_1, m_2, m_3)$ and $M_{\text{diag}} = \text{diag}(M_1, M_2)$. The result of the first step is well-known in series-expanded form:

$$U_1 \simeq \begin{pmatrix} 1 - \frac{\langle \phi_0 \rangle^2}{2} y^\dagger (M M^*)^{-1} y & \langle \phi_0 \rangle y^\dagger M^{*-1} \\ -\langle \phi_0 \rangle M^{-1} y & 1 - \frac{\langle \phi_0 \rangle^2}{2} M^{-1} y y^\dagger M^{*-1} \end{pmatrix}, \quad (1.9)$$

$$A \simeq -\langle \phi_0 \rangle^2 y^T M^{-1} y, \quad (1.10)$$

$$B \simeq M + \frac{\langle \phi_0 \rangle^2}{2} \left(y y^\dagger M^{*-1} + M^{*-1} y^* y^T \right) \quad (1.11)$$

The second step is expressed by

$$U_2^T A U_2 = m_{\text{diag}}, \quad U_3^T B U_3 = M_{\text{diag}}. \quad (1.12)$$

We also have the expression of the mass eigenstates:

$$\text{lighter : } U_2^\dagger \left[\nu - \langle \phi_0 \rangle y^\dagger M^{*-1} n - \frac{\langle \phi_0 \rangle^2}{2} y^\dagger (M M^*)^{-1} y \nu \right] \quad (1.13)$$

$$\text{heavier : } U_3^\dagger \left[n + \langle \phi_0 \rangle M^{-1} y \nu - \frac{\langle \phi_0 \rangle^2}{2} M^{-1} y y^\dagger M^{*-1} n \right] \quad (1.14)$$

$$(1.15)$$

Combining them,

$$m_{\text{diag}} = U_2^T A U_2 \quad (1.16)$$

$$= -\langle \phi_0 \rangle^2 U_2^T y^\dagger M^{-1} y U_2 + \mathcal{O}(M\epsilon^4) \quad (1.17)$$

$$= -\langle \phi_0 \rangle^2 U_2^T y^\dagger B^{-1} y U_2 + \mathcal{O}(M\epsilon^4) \quad (1.18)$$

$$= -\langle \phi_0 \rangle^2 U_2^T y^\dagger U_3 M_{\text{diag}}^{-1} U_3^T y U_2 + \mathcal{O}(M\epsilon^4) \quad (1.19)$$

$$=: R'^T R' + \mathcal{O}(M\epsilon^4) \quad (1.20)$$

with $\mathcal{O}(M\epsilon^n) \sim \mathcal{O}(\langle \phi_0 \rangle^n / M_1^{n-1})$ and $R' := -i\langle \phi_0 \rangle M_{\text{diag}}^{-1/2} U_3^T y U_2$. Now, the Yukawa coupling is given by $y = i\langle \phi_0 \rangle^{-1} U_3^* M_{\text{diag}}^{1/2} R' U_2^\dagger$.

Here, for two heavy neutrino scenarios, R' can be parameterized as

$$R'_{\text{NH}} = \begin{pmatrix} 0 & +\sqrt{m_2} \cos z & \zeta \sqrt{m_3} \sin z \\ 0 & -\sqrt{m_2} \sin z & \zeta \sqrt{m_3} \cos z \end{pmatrix} = \begin{pmatrix} 0 & +\cos z & \zeta \sin z \\ 0 & -\sin z & \zeta \cos z \end{pmatrix} \sqrt{m_{\text{diag}}}, \quad (1.21)$$

$$R'_{\text{IH}} = \begin{pmatrix} +\sqrt{m_1} \cos z & \zeta \sqrt{m_2} \sin z & 0 \\ -\sqrt{m_1} \sin z & \zeta \sqrt{m_2} \cos z & 0 \end{pmatrix} = \begin{pmatrix} +\cos z & \zeta \sin z & 0 \\ -\sin z & \zeta \cos z & 0 \end{pmatrix} \sqrt{m_{\text{diag}}} \quad (1.22)$$

for normal hierarchy (NH; $m_1 = 0 < m_2 < m_3$) and inverted hierarchy (IH; $m_3 = 0 < m_1 < m_2$) cases. Therefore, the Yukawa couplings are given by

$$y = i\langle \phi_0 \rangle^{-1} U_3^* \sqrt{M_{\text{diag}}} R \sqrt{m_{\text{diag}}} U_2^\dagger, \quad (1.23)$$

which is the Casas–Ibarra parameterization in general basis; R is given by

$$R_{\text{NH}} = \begin{pmatrix} 0 & +\cos z & \zeta \sin z \\ 0 & -\sin z & \zeta \cos z \end{pmatrix}, \quad R_{\text{IH}} = \begin{pmatrix} +\cos z & \zeta \sin z & 0 \\ -\sin z & \zeta \cos z & 0 \end{pmatrix}. \quad (1.24)$$

We have not yet defined the lepton basis. We can assume that we have been using, from the beginning, the charged lepton mass basis for L . Then, we identify the PMNS matrix (U_{li} in Eq. (14.1) of PDG2018, where i for mass and l for gauge indices) as

$$U_{\text{PMNS}} \simeq U_2. \quad (1.25)$$

Similarly, the basis for N_R is such that $U_3 \simeq 1$, which corresponds to

$$M = B + \mathcal{O}(\epsilon^2) = U_3^* M_{\text{diag}} U_3^\dagger + \mathcal{O}(\epsilon^2) = M_{\text{diag}} + \mathcal{O}(\epsilon^2), \quad (1.26)$$

i.e., the basis in which $M_{ab} \simeq \text{diag}(M_1, M_2)$ with $0 < M_1 \leq M_2$. These basis choice gives the well-known Casas–Ibarra parameterization,^{*1}

$$y = i\langle\phi_0\rangle^{-1} \sqrt{M_{\text{diag}}} R \sqrt{m_{\text{diag}}} U_{\text{PMNS}}^\dagger, \quad (1.27)$$

where

$$U_{\text{PMNS}} = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & +c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ +s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix} \times \text{diag}(1, e^{i\alpha_{21}/2}, e^{i\alpha_{31}/2}). \quad (1.28)$$

1.3 Higgs potential

Following [1611.03827],

$$V = -\mu^2(\phi^\dagger\phi) + \lambda(\phi^\dagger\phi)^2, \quad (1.29)$$

which gives $m_h^2 = 2\mu^2 = 2\lambda v^2$ with $\langle\phi\rangle = v/\sqrt{2}$.

We calculate the threshold correction to μ^2 and λ by matching the effective potential. The difference of the EFT, in which the heavy neutrinos are integrated out, and the full theory is given by **[TODO: Here M_a is physical mass]**

$$\Delta V(\phi; Q) = V_{\text{full}}(\phi; Q) - V_{\text{EFT}}(\phi; Q) \quad (1.30)$$

$$= \sum_{a=1,2} \frac{-2}{64\pi^2} M_a(\phi)^4 \left(\frac{M_a(\phi)^2}{Q^2} - \frac{3}{2} \right), \quad (1.31)$$

where Q is the matching scale and M_a is the physical masses of the heavier neutrinos. Expanding ΔV in terms of ϕ ,

$$\Delta V = (\text{const.}) - \Delta\mu^2|\phi|^2 + \Delta\lambda|\phi|^4 + \mathcal{O}(|\phi|^6), \quad (1.32)$$

where **[TODO: Now M_a is the diagonal majorana masses...]**

$$\Delta\mu^2 = - \sum_{a=1,2} \frac{H_a}{8\pi^2} M_a^2 \left(1 - \log \frac{M_a^2}{Q^2} \right), \quad (1.33)$$

$$\Delta\lambda = -\frac{1}{16\pi^2} \left[f_1 \text{Tr}(YY^\dagger Y^* Y^T) + f_2 \text{Tr}(YY^\dagger YY^\dagger) + f_3 H_1^2 + f_4 H_2^2 \right]; \quad (1.34)$$

^{*1} If we took the basis in which $M_{ab} \simeq \text{diag}(-M_1, -M_2)$, then $U_3 = \text{diag}(-i, -i)$ and we can remove i , but it is less plausible and we put i in the parameterization.

the coefficients are $H_1 = (YY^\dagger)_{11}$, $H_2 = (YY^\dagger)_{22}$, and

$$\begin{aligned} f_1 &= \frac{2M_1M_2}{M_2^2 - M_1^2} \log \frac{M_2}{M_1}, & f_2 &= \frac{M_2^2 \log(M_2^2/Q^2) - M_1^2 \log(M_1^2/Q^2)}{M_2^2 - M_1^2} - 1, \\ f_3 &= 2 - \frac{2M_2 \log(M_2/M_1)}{M_2 - M_1}, & f_4 &= 2 - \frac{2M_1 \log(M_2/M_1)}{M_2 - M_1}; \end{aligned}$$

for $M_2 \simeq M_1$, they approach to $f_1 = 1$, $f_2 = \log(M_1^2/Q^2)$, and $f_3 = f_4 = 0$, which gives

$$\Delta\mu^2 \simeq -\frac{M_1^2}{8\pi^2} \text{Tr}(YY^\dagger) \left(1 - \log \frac{M_1^2}{Q^2} \right), \quad (1.35)$$

$$\Delta\lambda \simeq -\frac{1}{16\pi^2} \left[\text{Tr}(YY^\dagger Y^* Y^T) + \text{Tr}(YY^\dagger YY^\dagger) \log \frac{M_1^2}{Q^2} \right]. \quad (1.36)$$