Beyond NeRF Underwater: Learning Neural Reflectance Fields for True Color Correction of Marine Imagery

Tianyi Zhang , *Graduate Student Member, IEEE*, and Matthew Johnson-Roberson, *Member, IEEE*

Abstract-Underwater imagery often exhibits distorted coloration as a result of light-water interactions, which complicates the study of benthic environments in marine biology and geography. In this research, we propose an algorithm to restore the true color (albedo) in underwater imagery by jointly learning the effects of the medium and neural scene representations. Our approach models water effects as a combination of light attenuation with distance and backscattered light. The proposed neural scene representation is based on a neural reflectance field model, which learns albedos, normals, and volume densities of the underwater environment. We introduce a logistic regression model to separate water from the scene and apply distinct light physics during training. Our method avoids the need to estimate complex backscatter effects in water by employing several approximations, enhancing sampling efficiency and numerical stability during training. The proposed technique integrates underwater light effects into a volume rendering framework with end-to-end differentiability. Experimental results on both synthetic and real-world data demonstrate that our method effectively restores true color from underwater imagery, outperforming existing approaches in terms of color consistency.

Index Terms—Deep learning for visual perception, marine robotics, representation learning.

I. INTRODUCTION

PTICAL imaging is being widely used in exploring the benthic world together with modern underwater robotic systems. The visual information presented in RGB format reveals rich details about underwater ecosystems and artifacts. For example, images collected by an underwater robot can be used to assess the health of coral reefs and segment live corals from dead samples [1]. However, the colors displayed in underwater images are consistently distorted due to wavelength-dependent attenuation and veiling effects resulting from light-water interactions. Such effects alter the visual appearance of images, as well as the performance of downstream tasks such as detection,

Manuscript received 10 April 2023; accepted 28 July 2023. Date of publication 21 August 2023; date of current version 29 August 2023. This letter was recommended for publication by Associate Editor B. Thornton and Editor C. Cadena Lerma upon evaluation of the reviewers' comments. This work was supported by the National Oceanic and Atmospheric Administration (NOAA) under Grant NA22OAR0110624. (Corresponding author: Tianyi Zhang.)

The authors are with Robotics Institute, School of Computer Science, Carnegie Mellon University, Pittsburgh, PA 15213 USA (e-mail: tianyiz4@andrew.cmu.edu; mkj@andrew.cmu.edu).

Our code and data are released at https://github.com/tyz1030/neuralsea.git Digital Object Identifier 10.1109/LRA.2023.3307287

classification, or segmentation [2]. Restoring the color in underwater imagery is of great interest to communities working on marine ecology, biology, and geography, etc.

The formation of underwater color distortion has seen significant work, in which two kinds of light-water interaction are commonly studied: attenuation and scattering [3], [4]. Attenuation describes the process whereby water absorbs light at varying rates depending on the wavelength. Red light is absorbed most quickly, leading to loss of the red part of the visual spectrum in typical underwater images [5]. Underwater light scattering refers to the process by which light is dispersed in various directions as it interacts with water molecules, suspended particles, and other microscopic elements within the underwater environment [3]. While in graphics multiple-scattering is typically modeled, in water photons reflected to the camera without striking the scene, i.e. backscatter, have a major impact on image formation by creating a veiling effect. Although our understanding of water optics has advanced, restoring color in underwater images is still challenging. While these effects are well-modeled, accurately estimating them from real data in uncontrolled environments remains an open problem.

Early studies on marine optics developed underwater image formation models [3], [6] and measured absorption and scattering functions from different types of water samples [5], [7]. With the above work, images can be synthesized with underwater effects [8]. However, this approach is insufficient for accurately correcting the color of real-world underwater images, as the measurements of a finite number of water optical properties cannot be reliably applied to novel field data. Recent progresses on structure-from-motion (SfM) and deep learning have inspired the development of data-driven algorithms for underwater color correction. SfM-based method [9] estimates the true color (albedo) with multiple-view geometry constraints, but is only able to generate sparse results on feature points. Deep-learning-based methods [2], [10], [11] are able to correct the color with physical cues, but the result depends on prior color distributions or pre-training.

Combining insights from both types of methods, we developed a unified model that effectively restores the true color in underwater imagery (Fig. 1). Our proposed model optimizes the attenuation and backscatter coefficients together with a neural reflectance field [12] from a sequence of observations without any assumptions on prior color distributions. Based on the observation that water and scene are separable given volume density,

2377-3766 © 2023 IEEE. Personal use is permitted, but republication/redistribution requires IEEE permission. See https://www.ieee.org/publications/rights/index.html for more information.

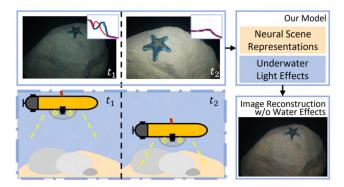


Fig. 1. Observing an underwater scene from different altitudes results in variating color distribution over the RGB channels. Such observations encode the physics of light-water interactions. Our proposed model leverages this cue to restore the true color of underwater scenes by learning water effects together with neural scene representations.

we embed a logistic regression function in our neural scene representation which allows us to apply different light-transmitting physics to water and the scene, while maintaining end-to-end differentiability of our model. Our experiments demonstrate that our method is able to generate photorealistic results with restored true color in a dense format. Unlike previous studies that attempt to correct the color in the underwater images without taking lighting conditions into account, our work build physical model for underwater robots which have onboard light sources and cameras moving as a rigid body, thereby outperforming previous studies.

II. RELATED WORK

A. Underwater Image Formation Model

According to the Jaffe-McGlamery model [3], [6], the formation of underwater images can be decomposed into direct signals, forward-scattering, and backscatter. Direct signals refer to the light that is reflected from the underwater scene. Backscatter refers to the phenomenon in which light enters a camera without being reflected directly from the scene. The trajectory of a photon after interacting with a particle in water is characterized by volume scattering functions (VSFs) [7]. These empirical functions are dependent on both viewing and lighting directions. Forward-scattering occurs when a photon deviates from its direct path before reaching the sensor, resulting in a blurred image. This effect can be approximated by convolution operations [3] or Gaussian blurring [8].

In this work, to overcome the challenge of estimating VSF with limited constraints from observations, we propose several approximations applicable to underwater robots. Our scene representations do not model forward scattering, the error introduced by which is zero-mean and negligible [13].

B. Neural Implicit Representations

Neural implicit representations have been widely used in learning visual appearances and structures. NeRF [14] is a

kind of neural implicit representation that learns a 3D scene in the form of a neural field of volume density and radiance. The volume rendering equations in NeRF, which are based on radiative transfer equation (RTE), are not only good for inferring the 3D geometry of objects but also have the power to model the water effects such as absorption and scattering. Based on NeRF's framework, neural reflectance field [12] and its variants [15], [16] model the reflectance of the scene which enables the high-quality rendering under novel lighting conditions.

For underwater scenes illuminated by light sources attached to the robot, the appearance of the scene changes due to the robot's movement. To accommodate for these appearance changes resulting from varying illumination conditions, it is necessary to model reflectance properties of the scene. Therefore, we opt to use a neural reflectance field [12] backboned by iNGP [17] as our foundational model.

C. Underwater Color Correction

Early studies on underwater color correction make assumptions on underlying color distributions, e.g. histogram equalization [18], grayworld [19], or dark-channel prior [20]. However, color balanced from the above assumptions lacks consistency when the same scene is observed from multiple views due to range-dependent water effects.

Bryson et al. [9] leverages the physical constraints from multiple-view geometry to estimate the true color of the scene. However, this method only estimates the true color of feature points and is unable to directly generate color-corrected images in a dense format.

Further progress in this field is made with deep learning approaches. WaterGAN [10] proposes to synthesize a dataset with ground truth depth and colors by training a GAN, then train a color correction network to restore the color. FUnIE-GAN [2] emphasizes image quality for downstream tasks rather than adhering to physical constraints and, as such, can achieve real-time performance. GAN-based methods, such as those mentioned above, require pre-training on a dataset. These methods can exhibit biases if the underlying color distribution differs from that of the training set. In contrast, our approach does not require any pre-training on datasets. Rather, it restores color by creating scene representations using a series of observations from multiple perspectives.

WaterNeRF [11] utilizes mip-NeRF [21] to model the underwater scene. Based on depth estimation from mip-NeRF, WaterNeRF learns the absorption and backscatter coefficients by optimizing the Sinkhorn loss between rendered image and histogram equalized image. Our approach diverges from WaterNeRF in that we model the scene as a reflectance field, which accounts for changes in illuminance, as opposed to a radiance field. Furthermore, we do not make any assumptions regarding the underlying color distributions.

Lastly, all the approaches mentioned above [9], [10], [11] use the model proposed in [22] to account for backscatter, which assumes natural and ambient light to be the major illumination source of scattering. In other words, their formulations are based on the assumption that the intensity of scattering is spatially

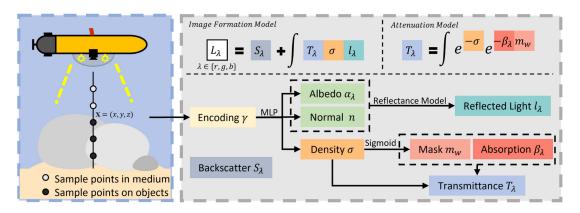


Fig. 2. Our proposed model: Sample points $\mathbf x$ are first mapped into positional encoding $\gamma(\mathbf x)$, as the input of an MLP. The output of the MLP consists of albedo $\boldsymbol \alpha$, surface normal $\mathbf n$, and volume density $\boldsymbol \sigma$. Backscatter S_λ and attenuation coefficient β_λ are global parameters optimized along with the MLP. With $\boldsymbol \alpha$ and $\mathbf n$, we can calculate the reflected radiance l_λ from the scene. We apply a sigmoid function on $\boldsymbol \sigma$ to separate water from scene, and calculate transmittance T_λ through the scene and water using different coefficients. With S_λ , T_λ , σ , and l_λ , our rendering model predicts the pixel values in the image.

constant, which does not hold for underwater robots equipped with light sources, taking light fall-off into consideration. In our work, we depart from [22]'s model and propose several approximations for underwater robots.

III. METHODOLOGY

A. Neural Scene Representation

We employ neural reflectance field [12] to model the underwater scene observed by an underwater robot with onboard lights. The continuous scene is represented as a function of 3D location $\mathbf{x}=(x,y,z)$ in the global coordinate frame. The outputs of the function are the rendering properties $(\sigma,\alpha,\mathbf{n})$, where σ is the volume density, $\alpha=(\alpha_r,\alpha_g,\alpha_b)$ is the albedo and $\mathbf{n}=(n_x,n_y,n_z)$ is the surface normal (see Fig. 2).

In practice, we first sample 3D points x on camera rays in the global coordinate frame. We then use hash encoding γ to map the input x into a higher-dimensional space [17] before feeding it into a nested multilayer perceptron (MLP):

$$(\sigma, \alpha, \mathbf{n}) = MLP(\gamma(\mathbf{x})) \tag{1}$$

B. Rendering Equations

The volume rendering equation [23], [24] maps a camera ray $\mathbf{x} = \mathbf{o} - t\boldsymbol{\omega}$ into the radiance L_{λ} captured at location \mathbf{o} in direction $\boldsymbol{\omega}$:

$$L_{\lambda}(\mathbf{o}, \boldsymbol{\omega}) = \int_{t=0}^{d} T_{\lambda}(\mathbf{x}) \sigma(\mathbf{x}) l_{\lambda}(\mathbf{x}) dt$$
 (2)

Here T_{λ} is the transmittance from \mathbf{x} to \mathbf{o} , σ is the volume density, l_{λ} is the scattered radiance from \mathbf{x} to \mathbf{o} along the ray, and λ indicates the wavelength. In this study, the wavelength is discretized into RGB space that $\lambda \in \{r, g, b\}$ [25].

For a light beam emitted from x to o, the fraction of light that reaches the camera is described by the transmittance T_{λ} :

$$T_{\lambda}(\mathbf{x}) = \exp\left(-\int_{s=0}^{t} \sigma_{\lambda}(\mathbf{o} - s\boldsymbol{\omega})ds\right)$$
 (3)

Here, σ_{λ} denotes the attenuation coefficient as a function of the 3D location $\mathbf{o} - s\boldsymbol{\omega}$, which combines the extinction of light due to both volume-density-dependent out-scattering and wavelength-dependent absorption [3], [24]. The formulation of σ_{λ} will be further discussed in III-C.

The scattered radiance l_{λ} from the scene, as a part of the integrand in (2), is formulated as follows:

$$l_{\lambda}(\mathbf{x}) = \int_{S^2} f_{\lambda}(\mathbf{x}, \boldsymbol{\omega}, \boldsymbol{\omega}_i) I_{\lambda}(\mathbf{x}, \boldsymbol{\omega}_i) d\boldsymbol{\omega}_i$$
 (4)

where S^2 represents the spherical domain around point \mathbf{x} , f_{λ} is the phase function that governs the distribution of light scattered at \mathbf{x} , and I_{λ} is the incident radiance from direction ω_i into \mathbf{x} .

In practice, we follow the assumptions in [9] that object surfaces underwater are Lambertian, which scatter light in all directions equally. Following Lambert's cosine law, the phase function for objects underwater is described as: $f_{\lambda}(\mathbf{x}, \boldsymbol{w}, \boldsymbol{w}_i) = \alpha_{\lambda}(\mathbf{x}) \cos(\mathbf{n}(\mathbf{x}), \boldsymbol{\omega}_i)$. Here $\alpha_{\lambda}(\mathbf{x})$ and $\mathbf{n}(\mathbf{x})$ are the albedo and normal at \mathbf{x} estimated by the neural network. In other words, we are not modeling any specular reflection which is rare underwater

Inferring the the phase function f_{λ} of water volumes, i.e. VSF, is challenging and not scalable on real robots due to different light and camera configurations. To address this, we propose approximating backscatter in the image as a constant and moving away from estimating VSF (see III-D), by which the complexity of our approach is significantly reduced while still achieving accurate and realistic rendering results.

Similar to [9], we only consider direct illumination from onboard lights. While natural and ambient light also impacts the lighting in shallow water, they are out of the scope of this work. The direct illumination on point \mathbf{x} from the light source is expressed by:

$$I_{\lambda}(\mathbf{x}, \boldsymbol{\omega}_i) = T_{\lambda}^i(\mathbf{x}) E_{\lambda}^i(\mathbf{x}) \tag{5}$$

Here i indicates the light source from direction ω_i , T^i_{λ} is the transmittance from the light source to \mathbf{x} (the calculation is similar to (3)), and $E^i_{\lambda}(\mathbf{x})$ is the intensity of light source i evaluated at \mathbf{x} taking light fall-off with distance into account.

C. Unified Transmittance Model

The attenuation of light in water can be modeled with a transmittance term T_{λ} given attenuation coefficient σ_{λ} and distance t:

$$T_{\lambda} = \exp\left(-\int_{s=0}^{t} \sigma_{\lambda} ds\right) = \exp(-\sigma_{\lambda} t)$$
 (6)

Given the emitted radiance E, the arrived radiance is $T_{\lambda}E$. The attenuation coefficient σ_{λ} for water can be decomposed into the out-scattering coefficient σ and the absorption coefficient β_{λ} [3]. Notably, the out-scattering coefficient σ is independent of the wavelength of the light [26], and can be represented as the volume density in rendering equations.

In the neural reflectance field, volume density is a function of spatial location x, so we have:

$$\sigma_{\lambda}(\mathbf{x}) = \sigma(\mathbf{x}) + \beta_{\lambda} \tag{7}$$

where $\sigma(\mathbf{x})$ is predicted by the neural implicit functions and β_{λ} will be optimized as a global parameter that doesn't change with spatial locations.

On a camera ray, points in the water attenuate light through both absorption and out-scattering, as described by (7). On the contrary, points on objects have no wavelength-dependent absorption effects. So for underwater scenes $\sigma_{\lambda}(\mathbf{x})$ can be formulated as follows:

$$\sigma_{\lambda}(\mathbf{x}) = \begin{cases} \sigma(\mathbf{x}) + \beta_{\lambda}, & \text{if } \mathbf{x} \text{ is in water} \\ \sigma(\mathbf{x}), & \text{if } \mathbf{x} \text{ is on objects} \end{cases}$$
(8)

When sampling points from non-transparent objects, the volume density $\sigma(\mathbf{x})$ should typically be large enough that regardless of whether x is in water or on objects, $\sigma(\mathbf{x}) \approx \sigma(\mathbf{x}) + \beta_{\lambda}$. However, it is still important to maintain the separate attenuation coefficients in (8) during training until the prediction of $\sigma(\mathbf{x})$ has converged.

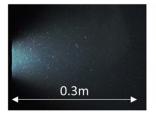
To apply (8), we need to differentiate water from the rest of the scene. We experimentally observe that the value of $\sigma(\mathbf{x})$ for objects is at least 10 times greater than that in clear water. This observation also aligns with the measurements by Jerlov [27]. Assuming that there are no highly transparent objects in the scene other than water, we define the following logistic regression functions using the sigmoid function:

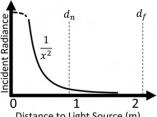
$$m_o(\mathbf{x}) = \operatorname{sigmoid}(a(\sigma(\mathbf{x}) - b))$$

 $m_w(\mathbf{x}) = 1 - m_o(\mathbf{x})$ (9)

where m_o and m_w indicate the probabilities of the query point x being on non-transparent objects and water, respectively. Specifically, a controls the steepness of the sigmoid function, and a higher value of a results in higher confidence in prediction, but it may also increase the risk of vanishing gradient. b determines the density threshold used to distinguish water from objects. With m_o and m_w , we can express $\sigma_{\lambda}(\mathbf{x})$ in the following form:

$$\sigma_{\lambda}(\mathbf{x}) = m_w(\mathbf{x})(\sigma(\mathbf{x}) + \beta_{\lambda}) + m_o(\mathbf{x})\sigma(\mathbf{x})$$
$$= \sigma(\mathbf{x}) + m_w(\mathbf{x})\beta_{\lambda}$$
(10)





Side view of scattering in water

Distance to Light Source (m)

Fig. 3. Side view of scattering generated from an LED light (left) reflects the intensity distribution of incident radiance. We observe significant light fall-off with the distance from the light source. The plot on the right sketches a typical light fall-off curve. d_n and d_f indicate the typical positions of near and far bounding planes. When the distance is close to the dimensions of the lighting component, we need to precisely calibrate the lighting and imaging components to approximate the curve. The rest of the curve can be approximated with the inverse-square law.

In other words, m_o and m_w can be considered as masks on sample points, exposing those in the water and objects to distinct light-transmitting physics.

D. Approximating Water Effects

The backscatter effects in water can be described using a VSF. However, in learning neural scene representations from real underwater data, we encounter difficulties in modeling VSFs. Firstly, backscatter from the closer regions of the field of view has a greater impact on imaging (Fig. 3). We need a precise imaging system model to accurately infer the VSF in this area. This requires detailed information about the dimensions and poses of the camera and light source. However, calibrating such a system complicates the deployment of our algorithm on real robots and is hard to scale across different robot platforms. Secondly, estimating the VSF along the ray prevents us from using bounding planes, which could significantly enhance the sampling efficiency and avoid overfitting by constraining the viewing frustum from multiple views. To address the issues mentioned above, we propose several approximations to avoid modeling VSFs:

- 1) Backscatter as a Constant: The backscatter captured in the image can be approximated as a constant S_{λ} , as the majority of backscatter comes from the region close to the light source, which is not affected when the images are taken from different depths and perspectives (see Fig. 3).
- 2) Co-Centered Camera and Light Source: Points are only sampled between the near and far bounding planes, and their distances to the camera are sufficiently large compared to the typical dimensions of the components of the imaging system. Therefore, we model the light source as a single point light source that is co-centered with the camera, similar to [12]. We use the inverse-square law to calculate the incident radiance $E_{\lambda}(\mathbf{x}).$

We design a loss function that enforces the model to output $\sigma(\mathbf{x}) = 0$ if \mathbf{x} is in water (see III-F). With this constraint, we can avoid double-count backscatter with both S_{λ} and (2) since the integrand in (2) will have zero values for x in the water. Additionally, constraining $\sigma(\mathbf{x}) = 0$ for \mathbf{x} in water allows us

to calculate the attenuation between the near bounding plane and the camera without sampling points. As a parameter to be optimized in training, β_{λ} will approach $\sigma_{\lambda}(\mathbf{x})$ when $\sigma(\mathbf{x})$ approaches 0 according to (7). Then the transmittance between the near bounding plane and the camera will be $T_{\lambda}^{n} = \exp(-\beta_{\lambda}d_{n})$ according to (6), and (2) can be written as:

$$L_{\lambda}(\mathbf{o}, \boldsymbol{\omega}) = S_{\lambda} + T_{\lambda}^{n} \int_{t=d_{n}}^{d_{f}} T_{\lambda}(\mathbf{x}) \sigma(\mathbf{x}) l_{\lambda}(\mathbf{x}) dt$$
(11)

Here d_n and d_f are the distances from the camera to near and far bounding planes respectively.

E. Ray Marching

We numerically estimate (11) by ray marching. Rays are sampled from the center of the camera and pass through uniformly sampled points on the image plane in training. Points are then sampled along the ray between the near and far bounding planes. The rendering equation is discretized as follows:

$$L_{\lambda}(\mathbf{o}, \boldsymbol{\omega}) = S_{\lambda} + T_{\lambda}^{n} \sum_{i=0}^{N} T_{\lambda}(x_{i}) \Phi_{\lambda}(x_{i}) l_{\lambda}(x_{i})$$

$$T_{\lambda}(x_{i}) = \exp\left(-\sum_{j=0}^{i} \sigma_{\lambda}(x_{j}) \delta_{j}\right)$$

$$\Phi_{\lambda}(x_{i}) = \frac{\sigma(x_{i})}{\sigma_{\lambda}(x_{i})} (1 - \exp(-\sigma_{\lambda}(x_{i}) \delta_{i}))$$

$$l_{\lambda}(x_{i}) = T_{\lambda}^{n} T_{\lambda}(x_{i}) E_{\lambda}(x_{i}) \alpha_{\lambda} \cos(\mathbf{n}(x_{i}), \boldsymbol{\omega}) \tag{12}$$

where δ_i denotes the step size at sample point x_i . It is worth noticing that transmittance terms T_{λ}^n and $T_{\lambda}(x_i)$ are used in both the calculation of incident radiance l_{λ} and the sensed radiance L_{λ} according to approximation III-D2.

The opacity Φ_{λ} corresponds to the term $1-\exp(\sigma(x_i)\delta_i)$ in NeRF and its variants. In NeRF, the volume density σ governs both the emission and attenuation of the radiance, making it sufficient to model objects in the air, haze, and even transparent glowing gas [28]. In our study, we need to model the wavelength-dependent attenuation, which requires both the volume density σ and the attenuation coefficient σ_{λ} to play a role together in Φ_{λ} . However, if σ_{λ} in the denominator approaches 0 in training, the model will encounter numerical issues. To avoid this, we take advantage of our proposition in III-D that enforces $\sigma(x_i) = 0$ if x_i is in the water, so $\Phi_{\lambda}(x_i) = 0 = 1 - \exp(-\sigma(x_i)\delta_i)$. When x_i falls on objects, $\sigma_{\lambda}(x_i) = \sigma(x_i)$ according to (10), so $\Phi_{\lambda}(x_i) = 1 - \exp(-\sigma(x_i)\delta_i)$. We then simplify $\Phi_{\lambda}(x_i)$ into the following form, which is identical to the opacity term in NeRF [14]:

$$\Phi_{\lambda}(x_i) = 1 - \exp(-\sigma(x_i)\delta_i) \tag{13}$$

F. Loss Function

We use L_2 loss to optimize the rendered radiance with captured pixel values from the raw image, which has linear color. As a result, the L_2 loss will be dominated by errors in the brighter parts of the image, and the darker parts will have low

rendering quality. To achieve better visual results, we apply a stronger penalization on errors in the darker parts of the image by tone-mapping ψ on both the model output and raw pixel values before passing them into the loss function \mathcal{L} as suggested by [13]:

$$\mathcal{L} = \sum_{\lambda} \sum_{r \in R} \|\psi(\hat{L}_{\lambda}(r)) - \psi(L_{\lambda}(r))\|_{2}^{2}$$
 (14)

Here R is the sampled ray batch, \hat{L} is the raw pixel value and L is the radiance predicted from the model. We use the gamma correction proposed in [29] as our ψ function to map the linear color to sRGB space.

As proposed in III-D, we want to constrain the volume density $\sigma(\mathbf{x}) = 0$ for \mathbf{x} in water. We first set $\sigma(\mathbf{x}) = 0$ for \mathbf{x} in water by multiplying $m_o(\mathbf{x})$. This gives us the refined volume density $\bar{\sigma}(\mathbf{x})$:

$$\bar{\sigma}(\mathbf{x}) = m_o(\mathbf{x})\sigma(\mathbf{x}) \tag{15}$$

Then we are able to calculate the refined radiance $\bar{L}_{\lambda}(r)$ with equations in III-E using $\bar{\sigma}(\mathbf{x})$ in the place of $\sigma(\mathbf{x})$. The refined loss $\bar{\mathcal{L}}$ is calculated similarly to (14):

$$\bar{\mathcal{L}} = \sum_{\lambda} \sum_{r \in R} \|\psi(\hat{L}_{\lambda}(r)) - \psi(\bar{L}_{\lambda}(r))\|_{2}^{2}$$
 (16)

The total loss is $\mathcal{L}_{total} = \mathcal{L} + \bar{\mathcal{L}}$. By optimizing \mathcal{L}_{total} , we are encouraging the model to generate the same results with $\sigma(\mathbf{x})$ and $\bar{\sigma}(\mathbf{x})$. So the prediction of $\sigma(\mathbf{x})$ from network will converge to $\bar{\sigma}(\mathbf{x})$, where for \mathbf{x} in the water, $\sigma(\mathbf{x}) = 0$.

G. Re-Rendering With True Color

To re-render the image with true color, we just need to remove the backscatter S_{λ} , wavelength-dependent absorption β_{λ} and volume density $\sigma(x)$ for ${\bf x}$ in water. We only need to use $\bar{\sigma}({\bf x})$ in calculating transmittance T and opacity Φ . The rendering equation in III-E becomes the following:

$$L_{\lambda}(\mathbf{o}, \boldsymbol{\omega}) = \sum_{i=0}^{N} T(x_i) \Phi(x_i) l_{\lambda}(x_i)$$

$$T(x_i) = \exp\left(-\sum_{j=0}^{i} \bar{\sigma}(x_j) \delta_j\right)$$

$$\Phi(x_i) = 1 - \exp(\bar{\sigma}(x_i) \delta_i)$$

$$l_{\lambda}(x_i) = T(x_i) E_{\lambda}(x_i) \alpha_{\lambda} \cos(\mathbf{n}(x_i), \boldsymbol{\omega})$$
(17)

IV. EXPERIMENTS

A. Dataset

We collect our underwater data in a water tank with 1.3 m water depth (An example is shown in Fig. 4), as well as in natural water (Lake Erie) with our underwater robot platform. Our imaging system consists of a Sony ILCE-7M3 camera with a 40 mm prime lens and LED lights. The imaging system is housed in a waterproof case and fully submerged when collecting data. The images are captured using 1/250 s exposure time, f/5.6 aperture, and ISO 1600. The raw image files with 14-bit pixel

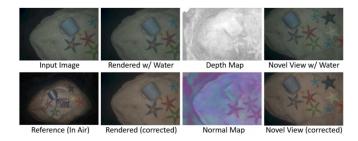


Fig. 4. Example of our color correction algorithm being applied on the data collected in a water tank. Visualization show that our proposed method is able to recover the color and geometry of the underwater scene, and render image with consistant appearance from novel views.

values in HDR space are decoded, denoised, and scaled into 8-bit images with linear values using RawPy [30]. We placed artificial decorations with various colors on the bottom of the water tank together with a Macbeth ColorChecker [31]. We use the manufacturer's (X-rite) software to balance the image color as ground truth in quantitative study, which is only used for comparison purposes and does not play a role in our proposed algorithm. We acquire camera poses from COLMAP [32] with post-processed JPEG images to ensure high feature quality. We also build our synthetic data based on implementations from [8], [33] and measurements from [7], [27].

B. Implementations

Our code is developed using PyTorch3D Library [34]. We use hash encoding proposed in iNGP [17] for positional encoding. We choose a=3 and b=3 empirically for our sigmoid function in (9) (same a,b values are used for all experiments). Our neural implicit function consists 3 sub-MLP predicting σ, α , and σ respectively similar to S^3 -NeRF [16]. We use LeakyReLU between consecutive linear layers and SoftPlus as the final layer in predicting σ and σ to guarantee non-negative outputs.

The model is trained on an Nvidia RTX 4090 GPU with 24 GB memory. In each training iteration, we sample 1000 rays from one image and 100 points on each ray. The model is trained for 50 k epochs for each scene.

C. Comparisons

We compare our results with grayworld algorithm [19], histogram equalization [18], FUnIE-GAN [2] and WaterNeRF [11] (we use open-sourced Sinkhorn loss implementation in Geom-Loss Library [35]). Results are shown in Fig. 5. Grayworld algorithm and histogram equalization algorithm only correct color well on Synthetic 1 sequence, in which the object's albedo is dominated by low-saturation colors. Under such circumstances, the grayworld and histogram-equalizing assumptions align well with the true color distribution of the scene, so they perform well. However, when we change the body color of the bulldozer to bright yellow (Synthetic 2), grayworld algorithm and histogram equalization are getting downgraded as their assumptions fail. We can observe the same in real images where the albedo of the scene is dominated by a sand-colored background. Grayworld and histogram equalization algorithms both tend to balance it

TABLE I MSE of A/B Channels in CIELAB Space \downarrow (Pixel Values Range 0-255)

	Syn. 1	Syn. 2	Real 1	Real 2	Real 3	Real 4
	A / B	A / B	A / B	A / B	A / B	A / B
Grayworld	4.66/19.7	22.1/91.4	10.7/82.3	15.1 /110	18.5/104	5.59/9.06
Hist. Eq.	5.94/23.7	21.5/63.5	15.7/87.0	43.6/110	23.6/102	18.8/31.7
FUnIE-GAN	75.5/30.0	61.2/36.1	33.8/61.5	97.4/49.5	95.2/40.3	103/61.7
WaterNeRF	55.7/10.3	60.2/13.7	20.9/77.6	15.4/31.1	39.5/96.3	10.1/3.73
Ours w/o $\bar{\mathcal{L}}$	22.8/18.8	60.8/41.1	14.1/74.0	21.1/47.7	21.0/91.4	4.55/4.53
Ours w/o S_{λ}	45.3/8.73	71.8/30.3	11.6/70.0	20.6/41.3	19.3/92.4	2.82/3.06
Ours	1.15/2.39	4.08/9.01	9.68/42.5	19.2/ 30.4	18.2 /89.9	3.21/3.96

The bold entities indicate the lowest error values of MSE in A channel and B channel in LAB space.

TABLE II $\label{eq:angular_error} \text{Angular Error in sRGB Space} \downarrow (\text{Radians})$

	Syn. 1	Syn. 2	Real 1	Real 2	Real 3	Real 4
Grayworld	0.0724	0.2186	0.1381	0.0962	0.1299	0.0563
Hist. Eq.	0.0758	0.2482	0.1421	0.1916	0.1569	0.0810
FUnIE-GAN	0.1107	0.1166	0.1221	0.1655	0.1607	0.1680
WaterNeRF	0.1403	0.1748	0.1303	0.0596	0.1537	0.0514
Ours w/o $\bar{\mathcal{L}}$	0.1048	0.2215	0.0938	0.0759	0.1246	0.0803
Ours w/o S_{λ}	0.1121	0.2099	0.0909	0.0728	0.1243	0.0342
Ours	0.0361	0.0458	0.0837	0.0591	0.1119	0.0404

The bold entities indicate the lowest error values in sRGB space.

into grey color. We also observe that both predictions from grayworld and histogram equalization algorithm unpredictably add more veiling light effects or noises into the raw image.

As one of the latest GAN-based methods, FUnIE-GAN is pre-trained on annotated underwater images. In our experiments, we find FUnIE-GAN overshooting in the red channel as shown in Fig. 5, implying that color distributions in their training data are less red than ours. In other words, instead of naive assumptions such as histogram equalization, GAN-based methods learn a color distribution from pre-collected datasets. The inherent color distribution in the data for pretraining can deviate from observations as well.

WaterNeRF tackles the problem by applying the physical constraints from Jaffe-McGlamery model while approaching the histogram-equalized image. We acknowledge that it's not a fair comparison since WaterNeRF works for any kind of illumination while our data is only for situations where light and camera move as a rigid body. We observe that when the histogram-equalized image is flawed, e.g. with our synthetic data, WaterNeRF can be significantly downgraded. We also find our method outperforms WaterNeRF in color consistency on real data. For example, comparing Reals 1 and 2 images in Fig. 5, which is from the same image sequence, our method restores the color of the rock with better consistency since we model the albedo and light reflection of the scene, while WaterNeRF only models constant radiance, which fails when the light source moves. In general, from the comparisons, our method restores color in both synthetic and real-world data with the most consistent performance.

We present two metrics for quantitative evaluation: mean-squared error (MSE) of A/B channels in CIELAB space (Table I) and mean angular error [11] in the sRGB space (Table II). CIELAB is designed to approximate human vision in a uniform space [36] and sRGB is the standard colorspace in which the image is presented. For the synthetic dataset, we use the ground

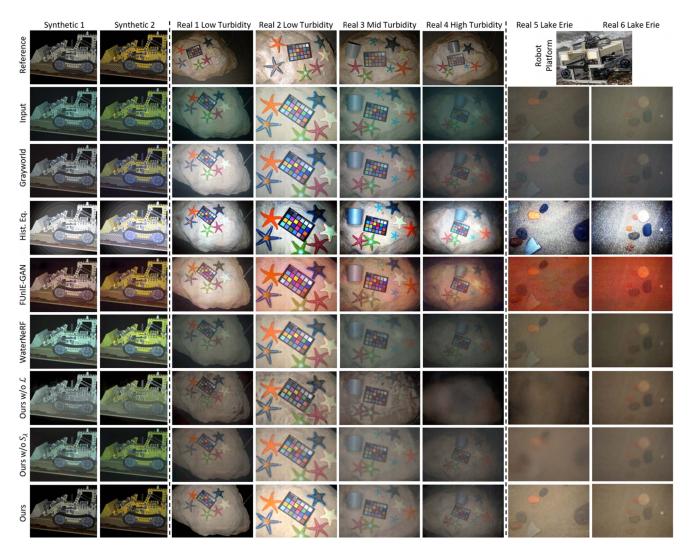


Fig. 5. Visualizations of color restoration. For good visualization quality, real images are visualized in sRGB space. Reference images for synthetic data are generated by rendering without any water effects. Reference images for Reals 1-4 (water tank) data are captured with same camera and light setup in the air.

truth from the renderer and calculate both metrics directly. For water tank data, we use color checker software corrected images as ground truth.

As revealed by MSE (Table I), our method performs the best on synthetic data on both A/B channels. Among the 4 real images evaluated, our method overall performs well on scenes with low to medium turbidity (Reals 1-3). With high water turbidity (Real 4), our method is downgraded as our approximation of backscatter is not sufficient to handle such water condition. Besides evaluating LAB channels separately, angular error (Table II) reflects the color similarity in the entire RGB space. Results show that our method performs best across all data except the high-turbidity data, which is consistent with the visualizations in Fig. 5.

We did ablation study on our proposed refined loss $\bar{\mathcal{L}}$ and backscatter term S_{λ} . Results are included in Fig. 5, Tables I and II. We found that without $\bar{\mathcal{L}}$, the quality of image reconstruction is severely reduced when water effects are removed. This implies that light scattered from the scene and from water is mixed up in the model learned, so when water effects are being removed from the reconstruction, the image appears to be incomplete. We also

observe that without S_{λ} , quantitative results show downgraded model performance in most testing cases. The only exception is the high-turbidity case (Real 4) in which our approximation of backscatter is not sufficient to properly model heavy scattering effects, thus underperforming the model without S_{λ} .

V. DISCUSSIONS

This work is directly applicable to underwater imagery collected when dominant light sources move with the camera as a rigid body, such as in deep water, ice-covered water, or cave water. However, it may fail in the following scenarios:

- When the light source is a combination of onboard strobes (point light sources), natural light and ambient light, our model is inadequate for accurately representing water effects from mixed light sources.
- In the presence of highly turbid and layered water, scattering effects vary more significantly with depth, and the robot will have to observe the scene at a closer range (breaking approximation III-D1). Modeling backscatter as a constant could potentially lead to failure.

 When the baseline between the camera and onboard light source is long, creating shadows in the observed scene, our model, which assumes co-centered light and camera, cannot accurately represent shadows (breaking approximation III-D2). This issue also arises with robots equipped with multiple cameras or light sources.

VI. CONCLUSION

This work proposes a unified framework that learns underwater neural scene representations together with water effects for underwater robotic imagery. We demonstrate that our method is capable of restoring the true color of the underwater scene with a sequence of observations from different ranges and perspectives. By approximating the backscatter and simplifying the ray tracing, we avoid estimating VSF, which is numerically unstable and requires precise calibration of lighting and imaging system. Additionally, our proposed method generates dense results with end-to-end differentiability and does not rely on any pre-training, depth estimation, or assumptions on prior color distributions.

Future work will extend our model to address the issues discussed in V. Our long-term goal is to achieve true color correction for all types of underwater lighting conditions.

REFERENCES

- [1] T. Manderson, J. Li, N. Dudek, D. Meger, and G. Dudek, "Robotic coral reef health assessment using automated image analysis," *J. Field Robot.*, vol. 34, no. 1, pp. 170–187, 2017. [Online]. Available: https://onlinelibrary.wiley.com/doi/abs/10.1002/rob.21698
- [2] M. J. Islam, Y. Xia, and J. Sattar, "Fast underwater image enhancement for improved visual perception," *IEEE Robot. Automat. Lett.*, vol. 5, no. 2, pp. 3227–3234, Apr. 2020.
- [3] J. Jaffe, "Computer modeling and the design of optimal underwater imaging systems," *IEEE J. Ocean. Eng.*, vol. 15, no. 2, pp. 101–111, Apr. 1990.
- [4] C. D. Mobley, Light and Water: Radiative Transfer in Natural Waters. New York, NY, USA: Academic Press, 1994.
- [5] R. M. Pope and E. S. Fry, "Absorption spectrum (380–700 nm) of pure water. II. Integrating cavity measurements," *Appl. Opt.*, vol. 36, no. 33, pp. 8710–8723, Nov. 1997. [Online]. Available: https://opg.optica.org/ao/ abstract.cfm?URI=ao-36-33-8710
- [6] B. L. McGlamery, "A computer model for underwater camera systems," in *Ocean Optics VI*, S. Q. Duntley, Ed., vol. 0208. Bellingham, WA, USA: SPIE, 1980, pp. 221–231. [Online]. Available: https://doi.org/10.1117/12. 958279
- [7] T. J. Petzold, "Volume scattering functions for selected ocean waters" Univ. California, Scripps Inst. Oceanography, Visibility Lab, San Diego, CA, USA, Tech. Rep., 1972.
- [8] Y. Song, D. Nakath, M. F. She Elibol, and K. Köser, "Deep sea robotic imaging simulator," in *Proc. ICPR Int. Workshops Challenges Pattern Recognit.*, 2021, pp. 375–389.
- [9] M. Bryson, M. Johnson-Roberson, O. Pizarro, and S. B. Williams, "True color correction of autonomous underwater vehicle imagery," *J. Field Robot.*, vol. 33, no. 6, pp. 853–874, 2016. [Online]. Available: https://onlinelibrary.wiley.com/doi/abs/10.1002/rob.21638
- [10] J. Li, K. A. Skinner, R. M. Eustice, and M. Johnson-Roberson, "Water-GAN: Unsupervised generative network to enable real-time color correction of monocular underwater images," *IEEE Robot. Automat. Lett.*, vol. 3, no. 1, pp. 387–394, Jan. 2018.
- [11] A. V. Sethuraman, M. S. Ramanagopal, and K. A. Skinner, "WaterNeRF: Neural radiance fields for underwater scenes," 2022, arXiv:2209.13091.
- [12] S. Bi et al., "Neural reflectance fields for appearance acquisition," 2020. [Online]. Available: https://arxiv.org/abs/2008.03824

- [13] B. Mildenhall, P. Hedman, R. Martin-Brualla, P.P. Srinivasan, and J. T. Barron, "NeRF in the dark: High dynamic range view synthesis from noisy raw images," in *Proc. IEEE Conf. Comput. Vis. Pattern Recognit.*, 2022, pp. 16169–16178.
- [14] B. Mildenhall, P.P. Srinivasan, M. Tancik, J. T. Barron, R. Ramamoorthi, and R. Ng, "NeRF: Representing scenes as neural radiance fields for view synthesis," in *Proc. Eur. Conf. Comput. Vis.*, 2020, pp. 405–421.
- [15] D. Verbin, P. Hedman, B. Mildenhall, T. Zickler, J. T. Barron, and P.P. Srinivasan, "Ref-NeRF: Structured view-dependent appearance for neural radiance fields," in *Proc. IEEE Conf. Comput. Vis. Pattern Recognit.*, 2022, pp. 5481–5490.
- [16] W. Yang, G. Chen, C. Chen, Z. Chen, and K.-Y. K. Wong, "S³-NeRF: Neural reflectance field from shading and shadow under a single viewpoint," in *Proc. Conf. Neural Inf. Process. Syst.*, 2022, pp. 1568–1582.
- [17] T. Müller, A. Evans, C. Schied, and A. Keller, "Instant neural graphics primitives with a multiresolution hash encoding," in *ACM Tran. Graph.*, vol. 41, no. 4, pp. 1–15, Jan. 2022.
- [18] S. M. Pizer et al., "Adaptive histogram equalization and its variations," in *Comput. Vis. Graph. Image Process.*, vol. 39, no. 3, pp. 355–368, 1987.
- [19] G. Buchsbaum, "A spatial processor model for object colour perception," J. Franklin Inst., vol. 310, no. 1, pp. 1–26, 1980.
- [20] K. He, J. Sun, and X. Tang, "Single image haze removal using dark channel prior," in *Proc. IEEE Conf. Comput. Vis. Pattern Recognit.*, 2009, pp. 1956–1963.
- [21] J. T. Barron, B. Mildenhall, D. Verbin, P.P. Srinivasan, and P. Hedman, "Mip-NeRF 360: Unbounded anti-aliased neural radiance fields," in *Proc. IEEE Conf. Comput. Vis. Pattern Recognit.*, 2022, pp. 5460–5469.
- [22] Y. Schechner and N. Karpel, "Recovery of underwater visibility and structure by polarization analysis," *IEEE J. Ocean. Eng.*, vol. 30, no. 3, pp. 570–587, Jul. 2005.
- [23] M. Pharr, W. Jakob, and G. Humphreys, *Physically Based Rendering: From Theory to Implementation*. San Mateo, CA, USA: Morgan Kaufmann, 2016.
- [24] J. Fong, M. Wrenninge, C. Kulla, and R. Habel, "Production volume rendering: Siggraph course," in *Proc. ACM SIGGRAPH*, 2017, pp. 1–79.
- [25] D. Akkaynak, T. Treibitz, T. Shlesinger, Y. Loya, R. Tamir, and D. Iluz, "What is the space of attenuation coefficients in underwater computer vision," in *Proc. IEEE Conf. Comput. Vis. Pattern Recognit.*, 2017, pp. 568–577.
- [26] D. Akkaynak and T. Treibitz, "A revised underwater image formation model," in *Proc. IEEE/CVF Conf. Comput. Vis. Pattern Recognit.*, 2018, pp. 6723–6732.
- [27] N. G. Jerlov, Marine Optics. Amsterdam, The Netherlands: Elsevier, 1976.
- [28] N. Max, "Optical models for direct volume rendering," *IEEE Trans. Vis. Comput. Graph.*, vol. 1, no. 2, pp. 99–108, Jun. 1995.
- [29] Adobe Systems Incorporated, Inverting the color component transfer function, 2005. [Online]. Available: https://www.adobe.com/digitalimag/ pdfs/AdobeRGB1998.pdf
- [30] M. Riechert and Rawpy 2023. [Online]. Available: https://pypi.org/ project/rawpy/
- [31] C. S. McCamy, H. Marcus, and J. G. Davidson, "A color-rendition chart," J. Appl. Photogr. Eng., vol. 2, no. 3, pp. 95–99, Summer 1976. [Online]. Available: http://scitation.aip.org/getabs/insref_abs.jsp?key= JEIME5&prog=getinsref&id=983288&idtype=inspec
- [32] J. L. Schönberger and J.-M. Frahm, "Structure-from-motion revisited," in Proc. Conf. Comput. Vis. Pattern Recognit., 2016, pp. 4104–4113.
- [33] L. Mossberg, "Monte Carlo ray tracer," 2022. [Online]. Available: https://github.com/linusmossberg/monte-carlo-ray-tracer
- [34] J. Johnson et al., "Accelerating 3D deep learning with PyTorch3D," in Proc. SIGGRAPH Asia 2020 Courses, 2020.
- [35] J. Feydy, T. Séjourné, F.-X. Vialard, S.-i. Amari, A. Trouve, and G. Peyré, "Interpolating between optimal transport and mmd using sinkhorn divergences," in *Proc. 22nd Int. Conf. Artif. Intell. Statist.*, 2019, pp. 2681–2690.
- [36] Image Technology Colour Management-Architecture, Profile Format and Data Structure-Part 1: Based on ICC.1:2010, Standard, 15076-1, ISO, Geneva, Switzerland, 2010. [Online]. Available: www.iso.org/standard/ 54754.html