



Institut Néel - CNRS
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Computing low energy excitations in strongly correlated systems: RelaxSE

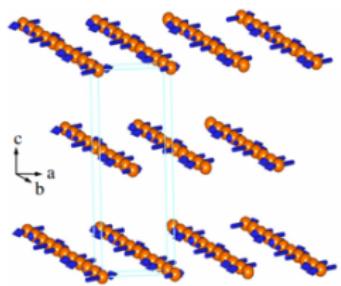
Elisa Rebolini ² and Marie-Bernadette Lepetit ^{1,2},

¹Institut Laue Langevin - 71 avenue des Martyrs - Grenoble - France

²Institut Néel - CNRS - 25 rue des Martyrs - Grenoble - France

GDR NBODY - Toulouse, Jan. 10th - 13th 2022

Magnetism at ILL



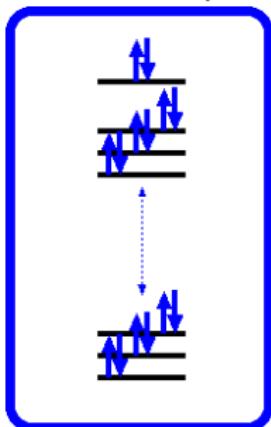
Incommensurate magnetic structure of CeCuGa₃ at
1.7 K investigated on D20 at ILL
V. K. Anand *et al*, *Phys Rev B*, **104**, 174438, (2021)

Strongly correlated systems: what?

Weakly correlated systems

$$\hat{H} = \underbrace{-\frac{1}{2} \sum_i \nabla_i - \sum_i \sum_N \frac{Z_N}{|\vec{r}_i - \vec{R}_N|}}_{\text{kinetic energy dominant}} + \underbrace{\sum_{i < j} \frac{1}{|\vec{r}_i - \vec{r}_j|}}_{\bar{e}-\bar{e} \text{ repulsion weak}}$$

Minimal description : $|\Phi_0\rangle$



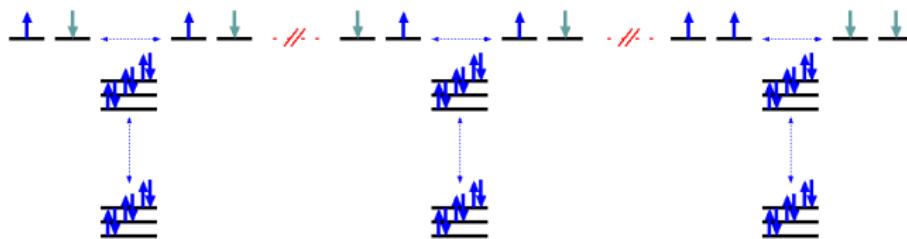
- Band-structure / shell model valid
- Mean-field description qualitatively correct
- well treated in DFT-based methods (DFT, TDDFT, etc. ...)
- or single ref + perturbation-based methods (GW, Bethe-Salpeter, etc. ...)

Strongly correlated systems: what?

Strongly correlated systems

$$\hat{H} = \underbrace{-\frac{1}{2} \sum_i \nabla_i - \sum_i \sum_N \frac{Z_N}{|\vec{r}_i - \vec{R}_n|}}_{\text{kinetic energy sub-dominant}} + \underbrace{\sum_{i < j} \frac{1}{|\vec{r}_i - \vec{r}_j|}}_{\bar{e}-\bar{e} \text{ repulsion dominant}}$$

Minimal description : $\sum_I c_I |\Phi_I\rangle$

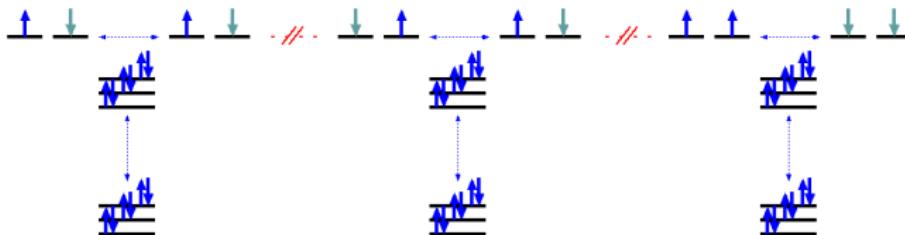


Strongly correlated systems: what?

Strongly correlated systems

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Minimal description : $\sum_I c_I |\Phi_I\rangle$



Prop. depending on total density
Small error in mean-field
 \Rightarrow well treated in DFT

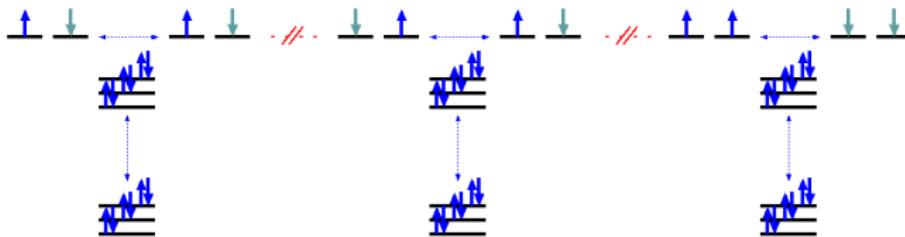
- $\left. \right\} \Rightarrow \left. \right\}$
- Structural properties
 - Phonons
 - Polarisation
 - Elastic properties
 - ...

Strongly correlated systems: what?

Strongly correlated systems

$$\hat{H} = \underbrace{-\frac{1}{2} \sum_i \nabla_i - \sum_i \sum_N \frac{Z_N}{|\vec{r}_i - \vec{R}_N|}}_{\text{kinetic energy sub-dominant}} + \underbrace{\sum_{i < j} \frac{1}{|\vec{r}_i - \vec{r}_j|}}_{\bar{e}-\bar{e} \text{ repulsion dominant}}$$

Minimal description : $\sum_I c_I |\Phi_I\rangle$



Prop. depending on
Fermi level density

Mean-field unreliable
 \Rightarrow need to be treated with
Multi Ref. Wave Funct. Th.

- $\left. \right\} \Rightarrow \left. \right\}$
- Magnetic exchange
 - Excitations
 - Magnetic excitations
 - ...

Strongly correlated systems: why?

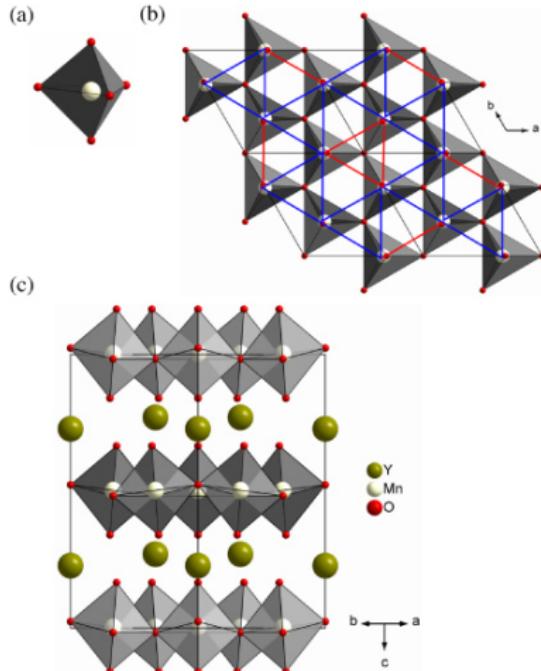
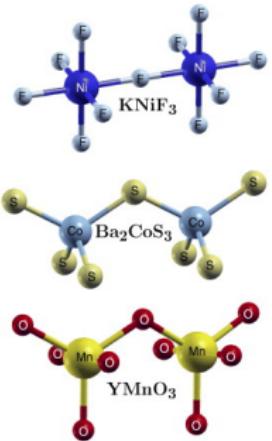
- Expression of many degrees of freedom (spin, orbital, lattice...)
- Multiple quasi-degenerate GS highly sensitive to ext. pert.
- Low energy excited states
- Remarkable properties
 - high T_c superconductivity
 - magnetism
 - multiferroicity
 - colossal magneto-resistance
 - ...
- Properties originate in low energy excitations

Focus of the talk :

- magnetic systems
- computation of low energy excitations

Ab-initio determination of magnetic interactions

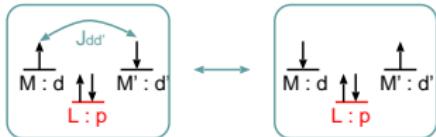
- Transition Metal Oxides (YMnO_3 , $\text{RMn}_2\text{O}_5\text{..}$)
- Rare-Earth Oxides



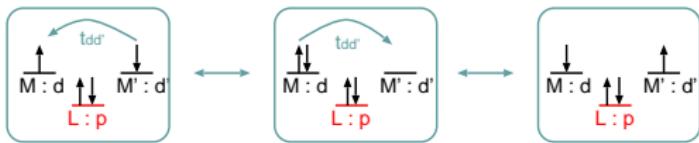
Magnetic excitation : effective magnetic exchange

Ex : singlet-triplet excitation $\frac{|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle}{\sqrt{2}} \rightarrow E_S$ $\frac{|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle}{\sqrt{2}} \rightarrow E_T$

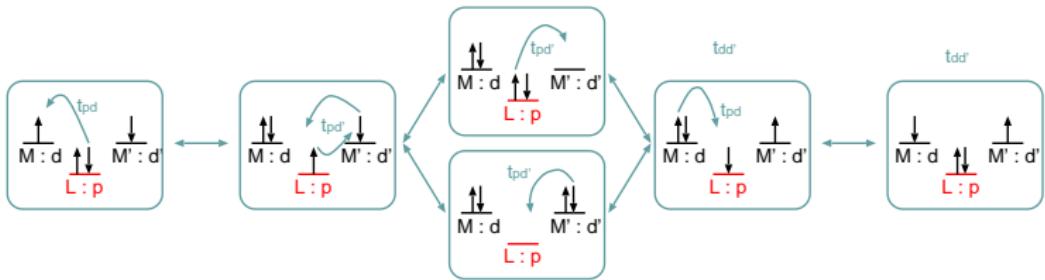
Direct



Through space



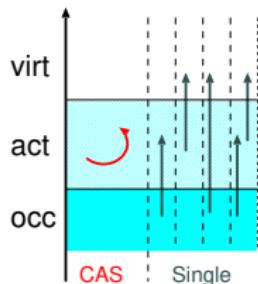
Through bridge



State of the art

Large Complete Active Space + single excitations (LCAS+S)

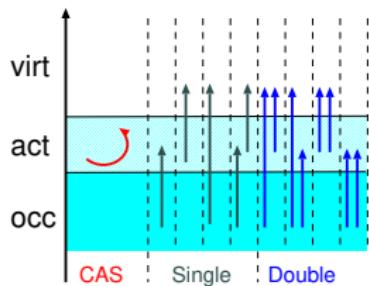
LCAS+S



C. J. Calzado and J. F. Sanz and J. P. Malrieu, *J. Chem. Phys.*, **112**, 5158, (2002)

CAS+Difference Dedicated Configuration Interaction (CAS+DDCI)

CAS+DDCI



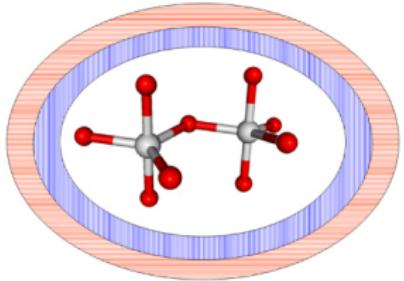
J. Miralles and J. P. Daudey and R. Caballol, *Chem. Phys. Lett.*, **198**, 555, (1992)
V. M. García *et al.*, *Chem. Phys. Lett.*, **238**, 222, (1995)
V. M. García and M. Reguero and R. Caballol, *Theor. Chem. Acc.*, **98**, 50, (1997)

Systems of interest

1 H Hydrogen	2 He
3 Li Lithium	4 Be
5 B Boron	6 C Carbon
7 N Nitrogen	8 O Oxygen
9 F Fluorine	10 Ne Neon
11 Na Sodium	12 Mg Magnesium
13 Al Aluminum	14 Si Silicon
15 P Phosphorus	16 S Sulfur
17 Cl Chlorine	18 Ar Argon
19 K Potassium	20 Ca Calcium
21 Sc Scandium	22 Ti Titanium
23 V Vanadium	24 Cr Chromium
25 Mn Manganese	26 Fe Iron
27 Co Cobalt	28 Ni Nickel
29 Cu Copper	30 Zn Zinc
31 Ga Gallium	32 Ge Germanium
33 As Arsenic	34 Se Selenium
35 Br Bromine	36 Kr Krypton
37 Rb Rubidium	38 Sr Strontium
39 Y Yttrium	40 Zr Zirconium
41 Nb Niobium	42 Mo Molybdenum
43 Tc Technetium	44 Ru Ruthenium
45 Rh Rhodium	46 Pd Palladium
47 Ag Silver	48 Cd Cadmium
49 In Indium	50 Sn Tin
51 Sb Antimony	52 Te Tellurium
53 I Iodine	54 Xe Xenon
55 Cs Cesium	56 Ba Barium
57 La Lanthanum	58 Ce Cerium
59 Pr Praseodymium	60 Nd Neodymium
61 Pm Promethium	62 Sm Samarium
63 Eu Europium	64 Gd Gadolinium
65 Tb Thulium	66 Dy Dysprosium
67 Ho Holmium	68 Er Erbium
69 Tm Thyttrium	70 Yb Ytterbium
71 Lu Lutetium	72 Hf Hafnium
73 Ta Tantalum	74 W Tungsten
75 Re Rhenium	76 Os Osmium
77 Ir Iridium	78 Pt Platinum
79 Au Gold	80 Hg Mercury
81 Tl Thallium	82 Pb Lead
83 Bi Bismuth	84 Po Polonium
85 At Astatine	86 Rn Radium
87 Fr Francium	88 Ra Radium
89 Ac Actinium	90 Th Thorium
91 Pa Protactinium	92 U Uranium
93 Np Neptunium	94 Pu Plutonium
95 Am Americium	96 Cm Curium
97 Bk Berkelium	98 Cf Californium
99 Es Einsteinium	100 Fm Fermium
101 Md Mendelevium	102 No Nobelium

57 La Lanthanum	58 Ce Cerium	59 Pr Praseodymium	60 Nd Neodymium	61 Pm Promethium	62 Sm Samarium	63 Eu Europium	64 Gd Gadolinium	65 Tb Thulium	66 Dy Dysprosium	67 Ho Holmium	68 Er Erbium	69 Tm Thyttrium	70 Yb Ytterbium
89 Ac Actinium	90 Th Thorium	91 Pa Protactinium	92 U Uranium	93 Np Neptunium	94 Pu Plutonium	95 Am Americium	96 Cm Curium	97 Bk Berkelium	98 Cf Californium	99 Es Einsteinium	100 Fm Fermium	101 Md Mendelevium	102 No Nobelium





How to reach experimental accuracy?

- Embedded cluster (quantum, pseudo-potential and point charges) \sim finite systems
- State-of-the-art ab-initio calculations for strongly correlated systems

Challenges

Size of the problem growing exponentially with the number of open shells \sim computational wall

Magnetic excitation: effective magnetic exchange

WF requirements :

- multi configurational
- equal treatment for GS & exct. states
- \Rightarrow all previous conf. have to be included at 0th order (ref. conf.)
- screening effects have to be included on all ref. conf.
 - dynamical correlation
 - single-excitation on all ref. conf.
 - modify relative weight between ref. conf.
 - \Rightarrow need to be in non-contracted CI

WF non-requirements : (vert. excitations only)

- common part of screening effects between GS & exct. states can be skipped

SAS+S method: for many magn. orb. per atom

SASS : A. Gellé, J. Varignon and M.-B. Lepetit, *EPL*, **88**, 37003 (2009).

All reference configurations need to be treated on equal footing

- Magnetic and bridging orb. \in CAS (Large Complete Active Space)
~ Impossible when number of magn. orb. increases

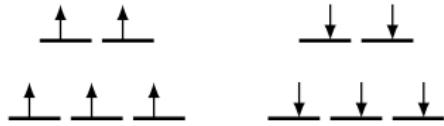
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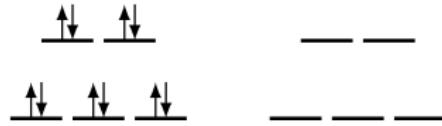
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Physically relevant (large weight)



Physically irrelevant (very small weight)



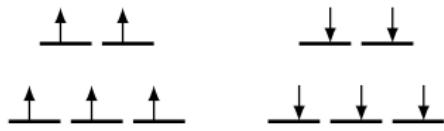
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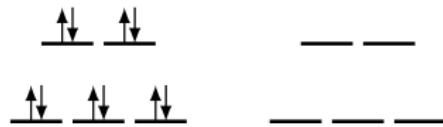
All reference configurations need to be treated on equal footing

- Magnetic and bridging orb. \in CAS (Large Complete Active Space)
~ Impossible when number of magn. orb. increases

Physically relevant (large weight)



Physically irrelevant (very small weight)



- Ref. conf. : only dominant conf. in LCAS : SAS+S
Selected Active Space + Single-excitation from bridging orbitals

SAS+S method: for many magn. orb. per atom

SASS : A. Gellé, J. Varignon and M.-B. Lepetit, *EPL*, **88**, 37003 (2009).

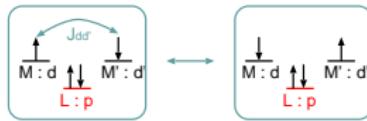
$$|\Psi_m^{SASS}\rangle = \underbrace{\sum_I C_{I,m}^0 |\Phi_I^0\rangle}_{\text{zeroth-order : ref0 dominant magn. conf.}} + \underbrace{\sum_J C_{J,m}^1 |\Phi_J^1\rangle}_{\text{charge transfer + static corr : ref1}} + \underbrace{\sum_{J^*} C_{J^*,m} |\Phi_{J^*}\rangle}_{\text{Single-excitations on ref.}}$$

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$$|\Psi_m^{\text{SASS}}\rangle = \underbrace{\sum_I C_{I,m}^0 |\Phi_I^0\rangle}_{\begin{array}{l} \text{zeroth-order : ref0} \\ \text{dominant magn. conf.} \end{array}} + \underbrace{\sum_J C_{J,m}^1 |\Phi_J^1\rangle}_{\begin{array}{l} \text{charge transfer} \\ + \text{static corr : ref1} \end{array}} + \underbrace{\sum_{J^*} C_{J^*,m} |\Phi_{J^*}\rangle}_{\text{Single-excitations on ref.}}$$

- Select the important configurations on the active (magnetic) orbitals (ref 0)

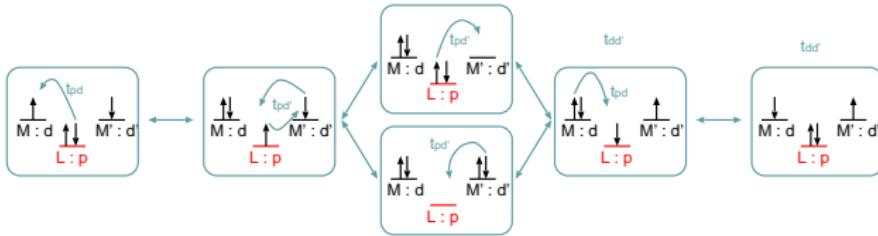


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- Select the important configurations on the active (magnetic) orbitals (ref 0)
- From them: build the additional important configurations (metal/metal), (ligand-metal) and/or (metal-ligand) for the exchange mechanism (ref 1)
- + all conf. for S^2 eigenfunctions



SAS+S method: for many magn. orb. per atom

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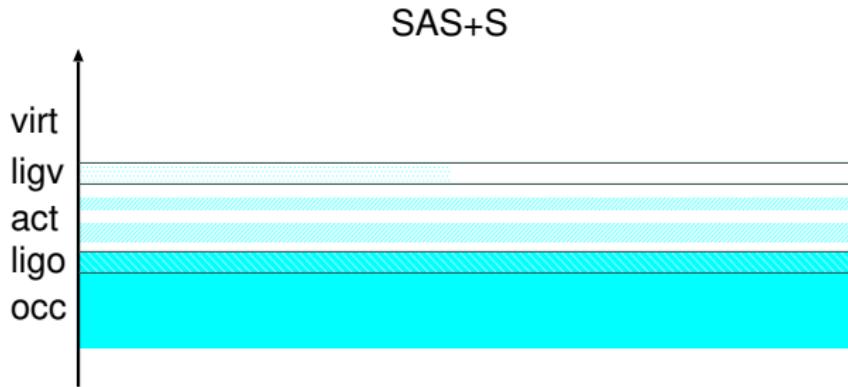
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- Select the important configurations on the active (magnetic) orbitals (ref 0)
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- + all conf. for S^2 eigenfunctions
- Screening from single excitations on the references

SAS+S method: orbital partitioning and determinant generation

5 classes of orbitals:

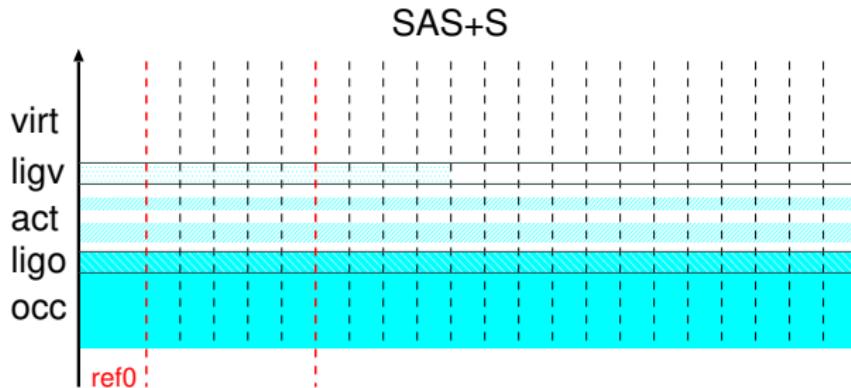
occupied, active, virtual, ligand occupied and ligand virtual



SAS+S method: orbital partitioning and determinant generation

5 classes of orbitals:

occupied, active, virtual, ligand occupied and ligand virtual

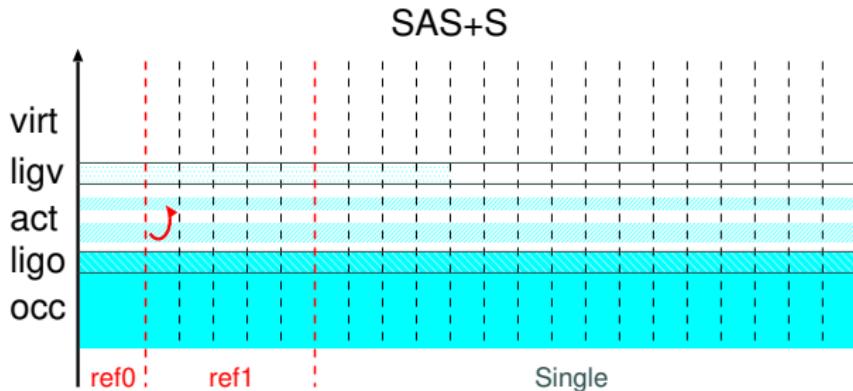


- The dominant magn. conf. : ref0

SAS+S method: orbital partitioning and determinant generation

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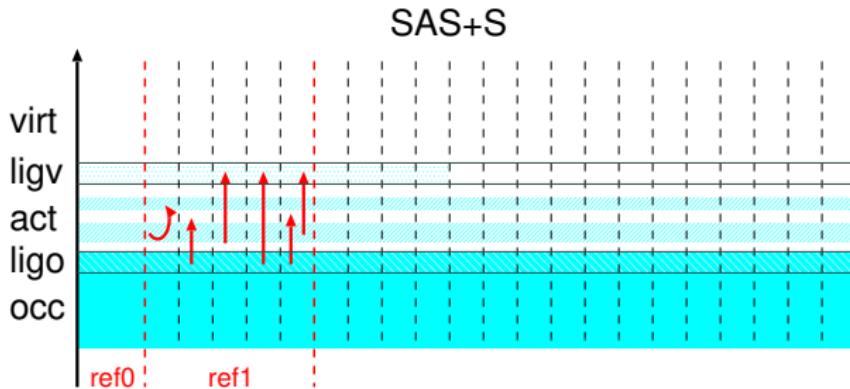


- The dominant magn. conf. : ref0
- The magn. orb \rightarrow magn.orb excitations on ref0: ref1
- The ligand - magn. site charge transferts on ref0: ref1

SAS+S method: orbital partitioning and determinant generation

5 classes of orbitals:

occupied, active, virtual, ligand occupied and ligand virtual

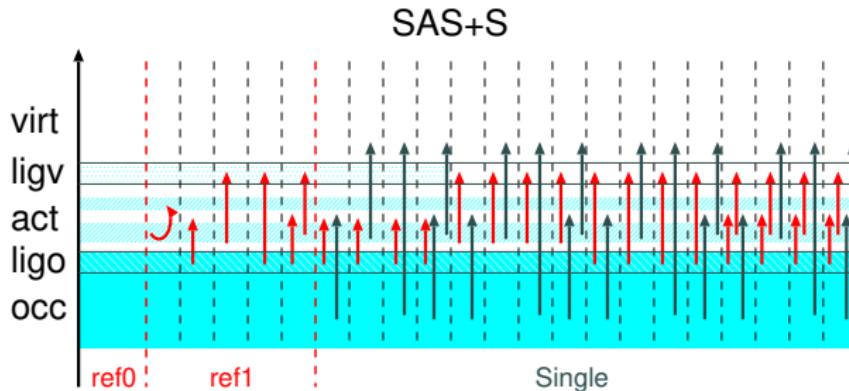


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SAS+S method: orbital partitioning and determinant generation

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- The dominant magn. conf. : ref0
- The magn. orb \rightarrow magn.orb excitations on ref0: ref1
- The ligand - magn. site charge transferts on ref0: ref1
- The screening effects: all singles on ref0 + ref1

The RelaxSE code: Challenges

Memory

- Number of configurations (up to 10^9)
- Hamiltonian matrix cannot be stored
- Iterative Davidson algorithm to compute the first eigenvalues and eigenvectors

Disk Access

- One- and two-electron integrals read from disk
- Optimize procedure to minimize the number of disk access (integral driven)

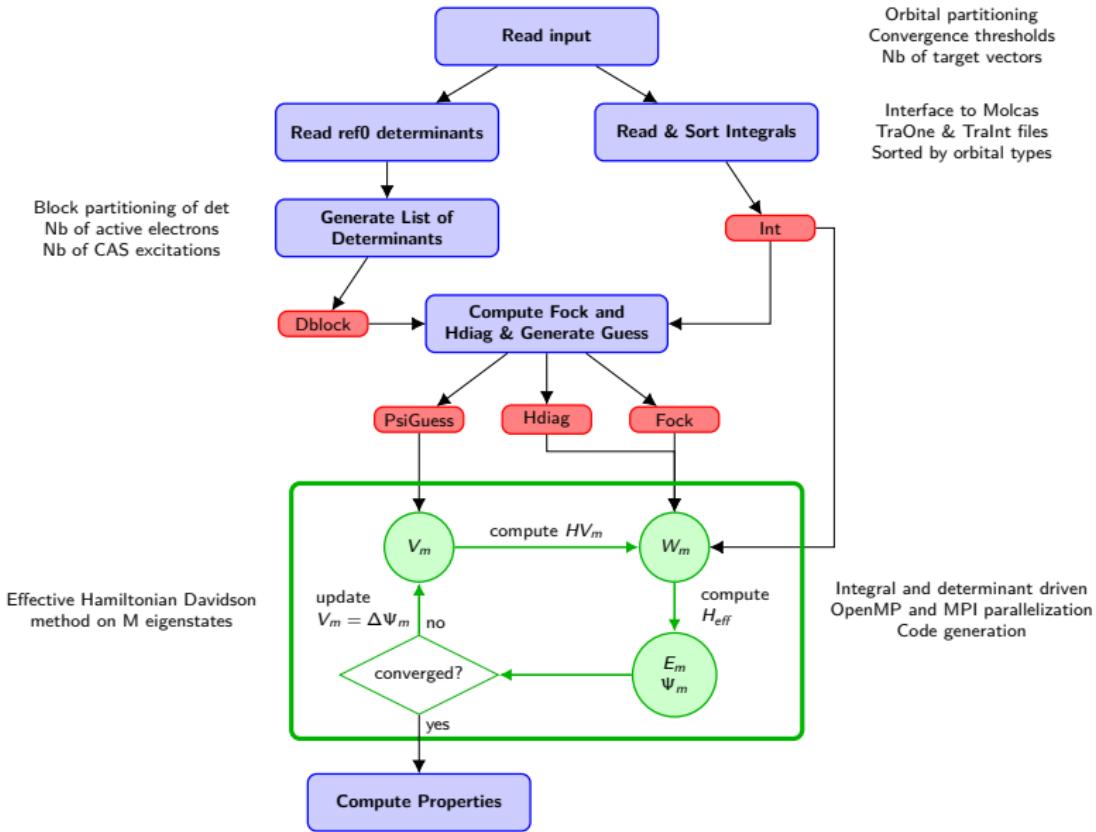
CPU/Total Time

- Iterative procedure: re-computation of (independent) vectors for each iteration
- Time consuming but massively parallelizable (determinant driven)

User Friendliness/Modularity

- Minimal input from the user
- Interface to mainstream CAS-SCF codes

The RelaxSE code: Flowchart



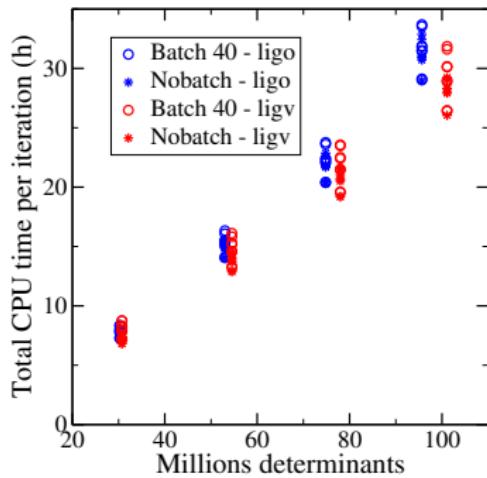
- Preliminary calculations
 - CASSCF on magn orb.
 - localisation of active orbitals
 - identification of bridging ligand orbitals
 - integral transformation
- Configuration interaction
- 5 SAS+S classes of orb. + frozen occupied and deleted virtual orbitals
- SAS+S, CAS+DDCI, CAS+S, CAS+SD
- OpenMP + MPI parallelisation
- Up to 10^9 determinants
- Interfaced with Molcas
- LGPL license

The RelaxSE code: Performance

Table: Orbital partitioning in the YMnO₃ calculations.

Set	N_{occ}	N_{ligo}	N_{act}	N_{ligv}	N_{virt}	N_{det}
LIGO	49	2	8	0	140	30 267 828
	47	4	8	0	140	53 017 324
	45	6	8	0	140	74 811 684
	43	8	8	0	140	95 650 908
LIGV	51	0	8	2	138	30 721 372
	51	0	8	4	136	54 531 036
	51	0	8	6	134	77 992 188
	51	0	8	8	132	101 104 828
BIG	47	4	8	6	134	1 097 706 172

Figure: CPU scaling as a function of N_{det}

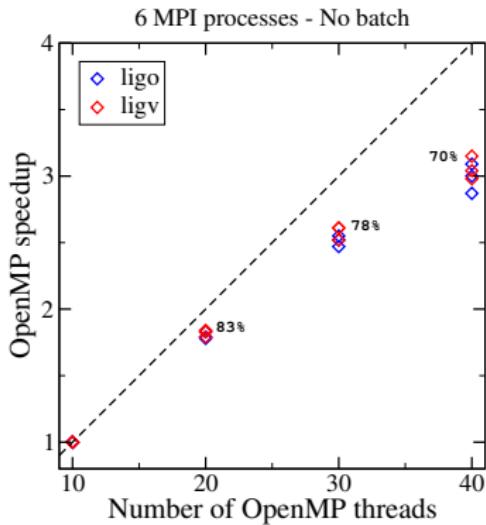


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	51	0	8	8	132	101 104 828
BIG	47	4	8	6	134	1 097 706 172

Figure: OpenMP speedup compared to calculations with 10 OpenMP treads

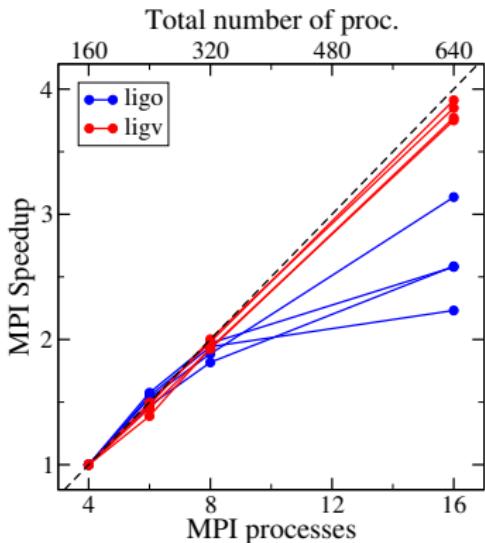


The RelaxSE code: Performance

Figure: MPI speedup compared to a calculation with 4 MPI processes

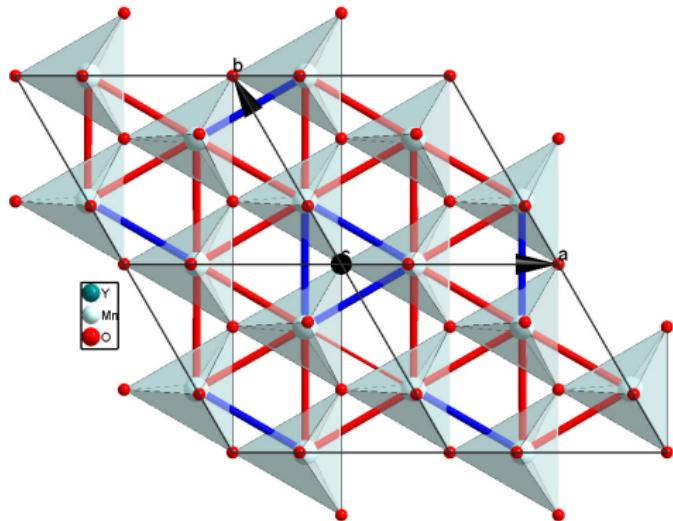
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	51	0	8	8	132	101 104 828
BIG	47	4	8	6	134	1 097 706 172



Application: hexagonal YMnO₃ compound

Magnetic pattern



Magnetic interactions

$$\begin{aligned}J_1 &= -3.19 \text{ meV} \\J_2 &= -3.41 \text{ meV} \\J_{\text{av}} &= -2.3 \text{ meV} [1] \\J_{\text{av}} &= -3.0 \text{ meV} [2]\end{aligned}$$

- 1 S. Petit *et al*, Phys. Rev. Letters **99**, 266604 (2007).
- 2 J. Park *et al*, Phys. Rev. B **68**, 104426 (2003).

Conclusions and Perspectives



Conclusions

- SAS+S, CAS+DDCI, CAS+S, CAS+SD
- OpenMP + MPI parallelisation
- Up to 10^9 determinants
- Interfaced with Molcas
- LGPL license

Perspectives

- Determination of the bridging orbitals
- Inclusion of spin-orbit effects
- Decreasing the memory usage

References

- **SAS+S method**

A. Gellé, J. Varignon and M.-B. Lepetit, *EPL*, **88**, 37003 (2009).

- **RelaxSE code**

E. Rebolini and M.-B. Lepetit, *J. Chem. Phys.*, **154**, 164116 (2021).

- **RelaxSE git repository** <https://code.ill.fr/relaxse/relaxse-code.git>

Acknowledgements

- Marie-Bernadette Lepetit
- IDRIS/GENCI – Grant Number 91842
- ANR project HTHPCM (2TB node)