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## 0. Basics

### Homogeneous Coordinates

A representation  $X$  of a geometric object is **homogeneous**,

if:

$$x = \lambda X \rightarrow \text{describe the same object}$$

Allows to represent affine and projective transformations through a single matrix.

**Transformation:**

homogeneous	Euclidian
$X = \begin{bmatrix} U \\ V \\ W \\ T \end{bmatrix} = \begin{bmatrix} U/T \\ V/T \\ W/T \\ 1 \end{bmatrix} \rightarrow \begin{bmatrix} U/T \\ V/T \\ W/T \end{bmatrix}$	

→ n-dimensional euclidean vector correspond to (n+1)-dimensional homogeneous coordinates

### 3D Transformations

**Translation:**  $H = \begin{bmatrix} I & t \\ 0^T & 1 \end{bmatrix}$

$$I = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$
$$t = \begin{bmatrix} t_x \\ t_y \\ t_z \end{bmatrix}$$
$$0 = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}$$

3 params: 3 translation

**Rotation:**  $H = \begin{bmatrix} R & 0 \\ 0^T & 1 \end{bmatrix}$

3 params: 3 rotation

**Rigid Body Transformation:**  $H = \begin{bmatrix} R & t \\ 0^T & 1 \end{bmatrix}$

6 params: 3 rotation + 3 translation

**Similarity Transformation:**  $H = \begin{bmatrix} mR & t \\ 0^T & 1 \end{bmatrix}$

7 params: 3 rotation + 3 translation + 1 scale

**Affine Transformation:**  $H = \begin{bmatrix} A & t \\ 0^T & 1 \end{bmatrix}$

12 params: 3 translation + 3 rotation + 3 scale + 3 shear

## Rotation Matrices

2D:  $R^{2D}(\theta) = \begin{bmatrix} \cos(\theta) & -\sin(\theta) \\ \sin(\theta) & \cos(\theta) \end{bmatrix}$

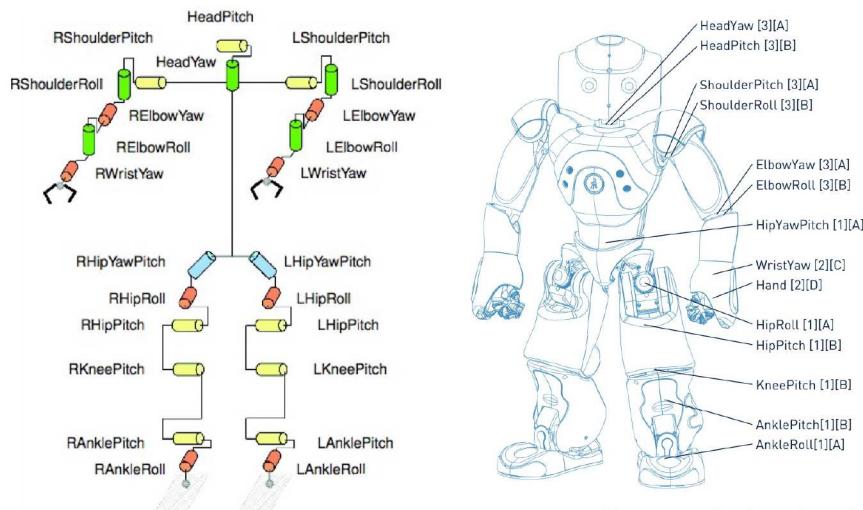
3D:  $R_x^{3D}(\omega) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos(\omega) & -\sin(\omega) \\ 0 & \sin(\omega) & \cos(\omega) \end{bmatrix}$   $R_y^{3D}(\phi) = \begin{bmatrix} \cos(\phi) & 0 & \sin(\phi) \\ 0 & 1 & 0 \\ -\sin(\phi) & 0 & \cos(\phi) \end{bmatrix}$

$$R_z^{3D}(\kappa) = \begin{bmatrix} \cos(\kappa) & -\sin(\kappa) & 0 \\ \sin(\kappa) & \cos(\kappa) & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$R^{3D}(\omega, \phi, \kappa) = R_z^{3D}(\kappa) R_y^{3D}(\phi) R_x^{3D}(\omega)$$

## Nao-Robot:

### Kinematic Structure

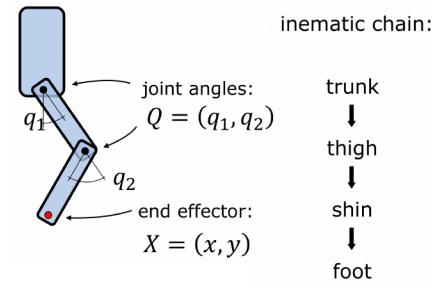


# Kinematics

## Kinematic Chain:

Chain of joints connected through rigid links

Each joint is transformed relative to the parent joint



**Forward Kinematics:**  $X = f(Q)$

joint angles (measurement)  $\rightarrow$  joint position (end effector)

Follow kinematic chain to retrieve the end-effector Position from the angles:

$$P^0 = T_1^0(q_1, l_1) \cdot T_2^1(q_2, l_2) \cdot P^2$$

$$P^0 = T_2^0 \cdot P^2$$

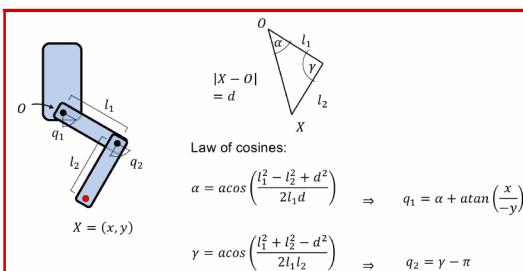
**Inverse kinematics:**  $Q = f^{-1}(X)$

joint position (end effector)  $\rightarrow$  joint angles (measurement)

ill-posed problem that has multiple solutions

**Analytical Method:**

Trigonometry:



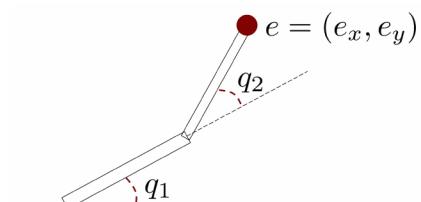
- computes all solutions
- equations have to be derived for different kinematic structures
- fast to compute

**Numerical Method:**

Given a start configuration, iteratively calculate a sequence that leads to the end-effector pose  
→ prone to local minima

**Jacobian:**  $J(e, q) = \begin{pmatrix} \frac{\partial e_x}{\partial q_1} & \frac{\partial e_x}{\partial q_2} \\ \frac{\partial e_y}{\partial q_1} & \frac{\partial e_y}{\partial q_2} \end{pmatrix} [6 \times N]$

→ change of end-effector w.r.t the joint angles



**Incremental update:**

$$J \Delta q = \Delta e$$

$$\Delta q = J^{-1} \Delta e \quad \text{with: } \Delta e = \alpha(g - e), 0 \leq \alpha \leq 1$$

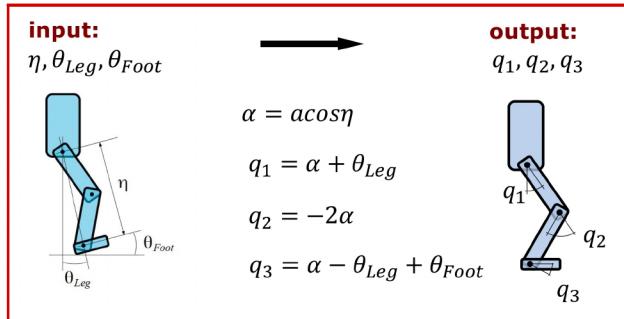
**Algorithm:**

```

while (e is too far from g) {
    Compute J(e, q) for the current configuration q
    Compute J-1
    Δe = α(g - e)           // choose a step to take
    Δq = J-1Δe            // compute required change in joints
    q = q + Δq              // apply change to joints
    Compute resulting e      // by FK
}
  
```

**Abstract kinematics:**  $Q = g(X')$

Model the leg angles through abstract parameters that are easier to interpret:



## Singularities

Certain changes of the end-effector pose lead to large changes in the joint angles

- dangerous for IK
- erratic / uncontrollable movements

# I. Least Squares and Sensor Calibration

## Definition: Odometry

Estimation of the change of robot position from internal motion sensors

- systematic errors cause a drift
- prior calibration is required

## Definition: Least Squares

An approach for computing a solution for an overdetermined system by minimizing the sum of the squared errors

Linear System: Closed-form solution

Nonlinear System: Iterative Optimization

## Sensor Calibration

### Problem Definition:

Given:  $z_{1:n}$ : n noisy measurements of state  $x$

Goal: Estimate  $x$  that best explains  $z_{1:n}$ , by minimizing the global error:

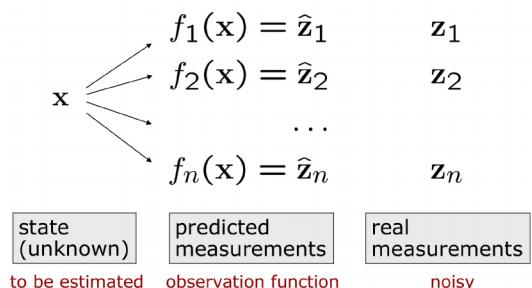
$$x^* = \underset{x}{\operatorname{argmin}} \sum_i e_i^T(x) \Omega_i e_i(x)$$

System:

Error:  $e_i(x) = z_i - f_i(x)$

Squared Error:  $e_i(x) = e_i(x)^T \Omega_i e_i(x)$

$\Omega_i$ : Information matrix for gaussian error with zero mean and normally distributed



### Assumptions:

1. "Good" initial guess is available
2. Error functions are smooth around the global/local minimum
3. Error is normal distributed

## Gauss-Newton Method

Iterative solving of nonlinear system using local linearization:

- Linearize error function around  $\mathbf{x}$ :

$$e_i(\mathbf{x} + \Delta\mathbf{x}) \simeq e_i(\mathbf{x}) + \mathbf{J}_i(\mathbf{x})\Delta\mathbf{x}$$

- Compute terms for the linear system:

$$\mathbf{b}^T = \sum_i e_i^T \Omega_i \mathbf{J}_i \quad \mathbf{H} = \sum_i \mathbf{J}_i^T \Omega_i \mathbf{J}_i$$

- Solve the linear system:

$$\Delta\mathbf{x}^* = -\mathbf{H}^{-1}\mathbf{b}$$

- Update State:

$$\mathbf{x} \leftarrow \mathbf{x} + \Delta\mathbf{x}^*$$

## Derivation:

- Approximate error functions via Taylor expansion:

$$e_i(\mathbf{x} + \Delta\mathbf{x}) \simeq \underbrace{e_i(\mathbf{x})}_{e_i} + \mathbf{J}_i(\mathbf{x})\Delta\mathbf{x}$$

- Insert errors in squared error terms

$$\begin{aligned} e_i(\mathbf{x} + \Delta\mathbf{x}) &= e_i^T(\mathbf{x} + \Delta\mathbf{x})\Omega_i e_i(\mathbf{x} + \Delta\mathbf{x}) \\ &\simeq (e_i + \mathbf{J}_i\Delta\mathbf{x})^T \Omega_i (e_i + \mathbf{J}_i\Delta\mathbf{x}) \\ &= e_i^T \Omega_i e_i + \\ &\quad e_i^T \Omega_i \mathbf{J}_i \Delta\mathbf{x} + \Delta\mathbf{x}^T \mathbf{J}_i^T \Omega_i e_i + \\ &\quad \Delta\mathbf{x}^T \mathbf{J}_i^T \Omega_i \mathbf{J}_i \Delta\mathbf{x} \\ &= \underbrace{e_i^T \Omega_i e_i}_{c_i} + 2\underbrace{e_i^T \Omega_i \mathbf{J}_i}_{b_i^T} \Delta\mathbf{x} + \Delta\mathbf{x}^T \underbrace{\mathbf{J}_i^T \Omega_i \mathbf{J}_i}_{\mathbf{H}_i} \Delta\mathbf{x} \\ &= c_i + 2b_i^T \Delta\mathbf{x} + \Delta\mathbf{x}^T \mathbf{H}_i \Delta\mathbf{x} \end{aligned}$$

- Define Global Error

$$F(\mathbf{x} + \Delta\mathbf{x}) \simeq \sum_i (c_i + 2b_i^T \Delta\mathbf{x} + \Delta\mathbf{x}^T \mathbf{H}_i \Delta\mathbf{x}) \quad \text{with}$$

$$\begin{aligned} &= \underbrace{\sum_i c_i}_{\mathbf{c}} + 2\underbrace{\sum_i b_i^T}_{\mathbf{b}^T} \Delta\mathbf{x} + \Delta\mathbf{x}^T \underbrace{\sum_i \mathbf{H}_i}_{\mathbf{H}} \Delta\mathbf{x} \\ &= c + 2\mathbf{b}^T \Delta\mathbf{x} + \Delta\mathbf{x}^T \mathbf{H} \Delta\mathbf{x} \end{aligned}$$

- Compute derivative of global error

$$\frac{\partial F(\mathbf{x} + \Delta\mathbf{x})}{\partial \Delta\mathbf{x}} \simeq 2\mathbf{b} + 2\mathbf{H}\Delta\mathbf{x}$$

- Solve for minimum

$$0 = 2\mathbf{b} + 2\mathbf{H}\Delta\mathbf{x}$$

$$\Leftrightarrow \mathbf{H}\Delta\mathbf{x} = -\mathbf{b}$$

$$\Leftrightarrow \Delta\mathbf{x}^* = -\mathbf{H}^{-1}\mathbf{b}$$

First derivative of quadratic form

$$f(\mathbf{x}) = \mathbf{x}^T \mathbf{H} \mathbf{x} + \mathbf{b}^T \mathbf{x} + c$$

$$\frac{\partial f}{\partial \mathbf{x}} = \mathbf{H}^T \mathbf{x} + \mathbf{H} \mathbf{x} + \mathbf{b} = (\mathbf{H} + \mathbf{H}^T) \mathbf{x} + \mathbf{b}$$

## Application: Odometry Calibration

**Given:** Noisy odometry measurements  $\mathbf{u}_i = (u_{i,x}, u_{i,y}, u_{i,\theta})^T$

**Goal:** Find parameters  $\mathbf{x}$  for function  $f_i(\mathbf{x})$  to return corrected odometry:

$$\mathbf{u}'_i = f_i(\mathbf{x}) = \begin{pmatrix} x_{11} & x_{12} & x_{13} \\ x_{21} & x_{22} & x_{23} \\ x_{31} & x_{32} & x_{33} \end{pmatrix} \mathbf{u}_i$$

**Solution:** Solve least squares problem using the Gauss-Newton method with:

The state vector is

$$\mathbf{x} = (x_{11} \ x_{12} \ x_{13} \ x_{21} \ x_{22} \ x_{23} \ x_{31} \ x_{32} \ x_{33})^T$$

The error function is

$$\mathbf{e}_i(\mathbf{x}) = \mathbf{u}_i^* - \begin{pmatrix} x_{11} & x_{12} & x_{13} \\ x_{21} & x_{22} & x_{23} \\ x_{31} & x_{32} & x_{33} \end{pmatrix} \mathbf{u}_i$$

Accordingly, its Jacobian is

$$\mathbf{J}_i = \frac{\partial \mathbf{e}_i(\mathbf{x})}{\partial \mathbf{x}} = - \begin{pmatrix} u_{i,x} & u_{i,y} & u_{i,\theta} & u_{i,x} & u_{i,y} & u_{i,\theta} & u_{i,x} & u_{i,y} & u_{i,\theta} \end{pmatrix}$$

## 2. Cameras and Calibration

### Definition: Projective Mapping

A mapping, such that:

1. Straight lines stay straight
2. Parallel lines may intersect



Image courtesy: Förstner

### Pinhole Camera Model

Simple camera model using basic physics to project a point through an infinitesimal small hole onto the image plane.

#### Assumptions:

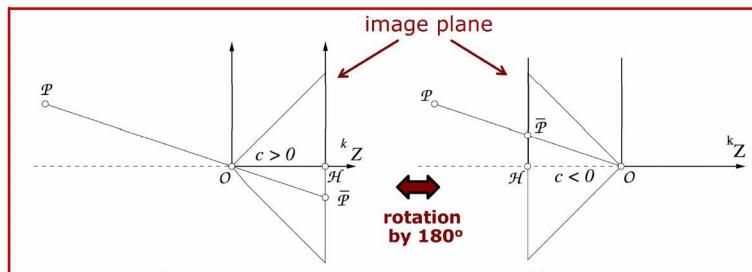
1. All rays intersect in a single point
2. All image points lie on a plane
3. The ray from the object to image point is a straight line

#### Properties:

1. Line-preserving: straight lines stay straight
2. Not angle-preserving: angles between lines change
3. Not length-preserving: size of objects is inverse proportional to the distance

#### Method:

Physical-motivated: Most popular:



→ invert image plane for easier computation

Camera constant  $c$ : focal length

### Camera Calibration

Calibration describes the determination of the intrinsic parameters to define the calibration matrix for the projection from the camera to the sensor frame

Calibration Matrix:  ${}^aK(x, q) = \begin{bmatrix} c & 0 & x_H + \Delta x(x, q) \\ 0 & c(1+m) & y_H + \Delta y(x, q) \\ 0 & 0 & 1 \end{bmatrix}$

General Projection:  ${}^a\mathbf{x} = {}^aP(x, q) \mathbf{X}$

$${}^aP(x, q) = {}^aK(x, q) R[|] - \mathbf{X}_O$$

# World-to-Sensor Transformation

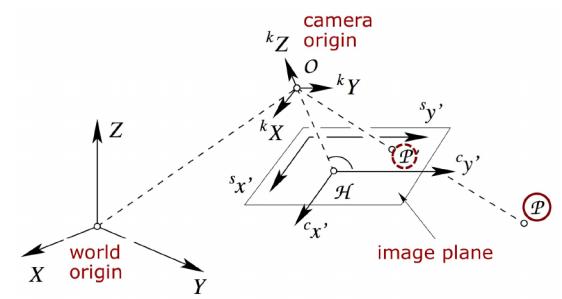
## Coordinate Frames:

World  $S_o$ :  $[X, Y, Z]^T$

Camera  $S_k$ :  $[^k X, ^k Y, ^k Z]^T$

Image  $S_c$ :  $[^c x, ^c y]^T$

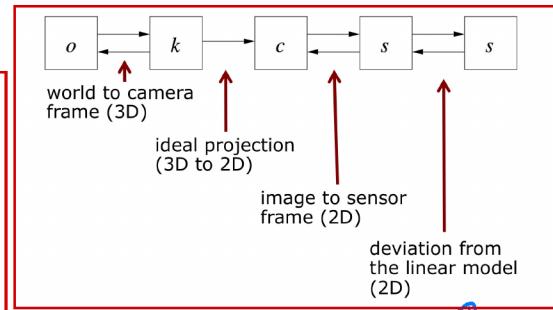
Sensor  $S_s$ :  $[^s x, ^s y]^T$



## Transformation:

$$\begin{bmatrix} s_x \\ s_y \\ 1 \end{bmatrix} = {}^s H_c {}^c H_k {}^k H_o \begin{bmatrix} X \\ Y \\ Z \\ 1 \end{bmatrix}$$

in the sensor frame      image to sensor      camera to image      world to camera      in the world frame



additional compensation for distortion

**Extrinsics:** Camera pose

**Intrinsics:** Projection onto image plane

## Transformation Chain:

1. World  $\rightarrow$  Camera:

$${}^k X_P = {}^k H X_P \quad \text{with} \quad {}^k H = \begin{bmatrix} R & -R X_O \\ \mathbf{0}^T & 1 \end{bmatrix}$$

2. Camera  $\rightarrow$  Image:

$${}^c x_{\bar{P}} = \begin{bmatrix} {}^c u_{\bar{P}} \\ {}^c v_{\bar{P}} \\ {}^c w_{\bar{P}} \end{bmatrix} = \begin{bmatrix} c & 0 & 0 & 0 \\ 0 & c & 0 & 0 \\ 0 & 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} {}^k X_P \\ {}^k Y_P \\ {}^k Z_P \\ 1 \end{bmatrix}$$

$\rightarrow$  projection from camera to image frame

$\rightarrow$  typically non-invertible

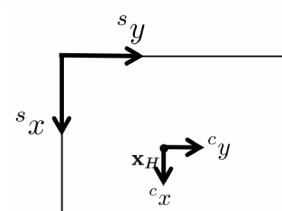
3. Image  $\rightarrow$  Sensor:

$${}^s x = {}^s H_c {}^c K R [I_3] - X_O X$$

$${}^s H_c = \begin{bmatrix} 1 & 0 & x_H \\ 0 & 1 + m & y_H \\ 0 & 0 & 1 \end{bmatrix}$$

$m$ : scale difference based on chip design

$x_H, y_H$ : Offset between image and sensor frame



4. Compensate for non-linear errors:

$\rightarrow$  add location-dependent shift to each pixel

$${}^a x = {}^a H_s(x, q) {}^s x$$

$${}^a H_s(x, q) = \begin{bmatrix} 1 & 0 & \Delta x(x, q) \\ 0 & 1 & \Delta y(x, q) \\ 0 & 0 & 1 \end{bmatrix}$$

General Mapping:

$$\begin{aligned} {}^a x &= {}^s x + \Delta x(x, q) \\ {}^a y &= {}^s y + \Delta y(x, q) \end{aligned}$$

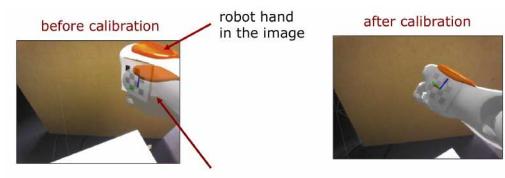
in the image frame

Distortion:

$$\begin{aligned} {}^a x &= x(1 + q r^2) \\ {}^a y &= y(1 + q r^2) \end{aligned}$$

$r$ : distance to image center  
 $q$ : additional parameter

## Whole-Body Self-Calibration



### Parameters to calibrate:

**Joint offsets:**  $\mathbf{q}^{off} = \begin{pmatrix} q_1^{off} \\ q_2^{off} \\ \vdots \\ q_n^{off} \end{pmatrix}$  **True position:**  $\mathbf{q} = \hat{\mathbf{q}} + \mathbf{q}^{off}$

**Camera extrinsics:**  $R, t$

**Camera intrinsics:**  $c, m, x_H, y_H, q$

### Calibration Setup (Nao)

**Calibration Markers:** placed at each of the four end-effectors.

$$\mathbf{m}_{EEF_i} = [x_i, y_i, z_i]^T$$

**Parameters:**  $\theta \in \mathbb{R}^{41}$

Joint offsets: 18 (23 joints - 5 redundant)

Extrinsics: 6

Intrinsics: 5

End-effector poses:  $4 \times 3 = 12$



### Least-Squares Optimization

**Base:**  $n$  different robot configurations with encoder readings  $\hat{\mathbf{q}}$   
 → sample configuration space uniformly  
 → check, whether marker is in FOV and for self-collisions

**Error function:**  $e_i(\theta, \mathbf{z}_i, \hat{\mathbf{q}}_i) = \mathbf{z}_i - \text{predictmarker}_{M_{EEF}}(\theta, \hat{\mathbf{q}}_i)$

marker position  
in image

predicted marker position  
given by the kinematic  
structure

**Predicted Marker:**  $\text{predictmarker}_{M_{EEF}}(\theta, \hat{\mathbf{q}}_i) =$

$$K_\theta [R_\theta | - R_\theta \mathbf{t}_\theta] \mathcal{F}_{EEF}^N(\theta, \hat{\mathbf{q}}_i) \tilde{\mathbf{m}}_{EEF}$$

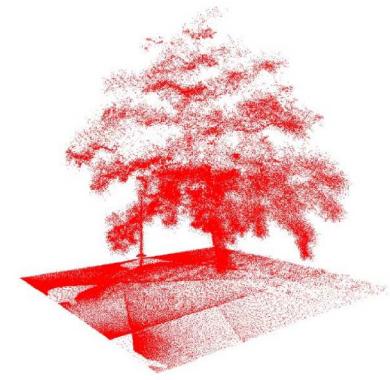
intrinsic extrinsic forward  
extrinsics kinematics

**Solution:** Iterative optimization using Gauss-Newton

### 3. 3D World Representations

#### Point Clouds

Set of 3D points.

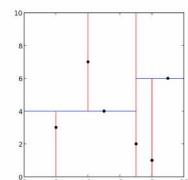


##### Pros:

- No discretization
- Mapped area is not limited

##### Cons:

- Unbounded memory usage
- No constant time access for location queries
- No distinction between free or unknown space

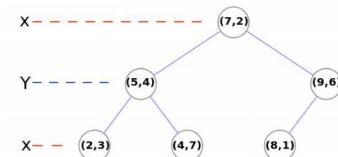


#### Kd-trees

Order point clouds in k-d-tree data structure

##### Pros:

- Search / insert / delete in logarithmic time



#### 3D Voxel Grids

Represent a map through regularly spaced voxels in a grid.

##### Pros:

- Volumetric representation
- Constant access time
- Probabilistic update possible



##### Cons:

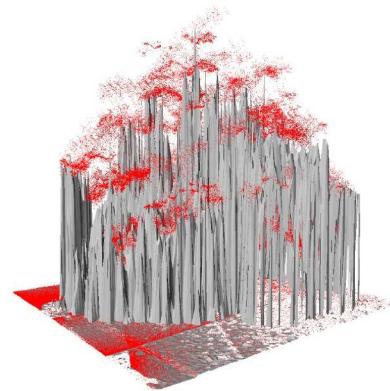
- Memory requirement: complete grid is allocated in memory
- Extent of map is pre-defined
- Discretization errors

#### 2.5D Maps: Height Maps

Represent map as 2D cells and consider the average height over all points as the height value.

##### Pros:

- Memory efficient (2D)
- Constant time access



##### Cons:

- No vertical objects
- Only one level is represented

## Multi-Level Surface Maps

Represent the map through 2D cells that each consist of multiple patches

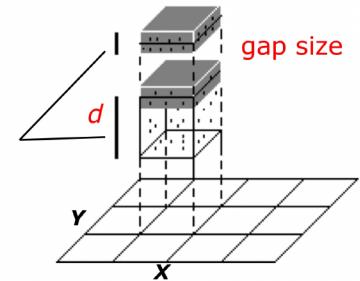
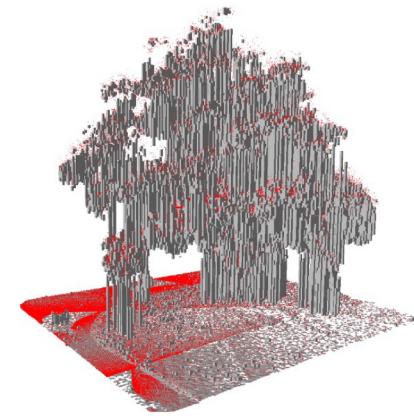
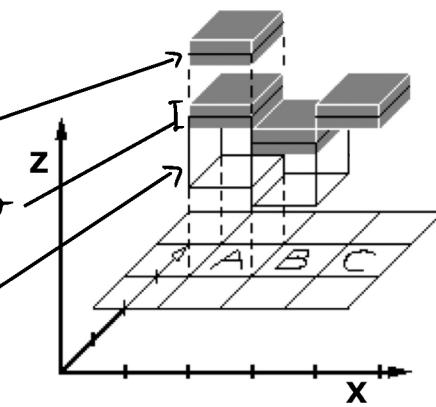
**Cell:** set of patches

**Patch:** height mean  $\mu$

height variance  $\sigma^2$

depth value  $d$

→ diff between  
lowest & highest



**Convert from point cloud:**

1. Determine 2D cell for each 3D point

2. Compute vertical intervals based on a threshold

3. Determine height mean, variance and depth

**Pros:**

- Represent multiple surfaces per cell

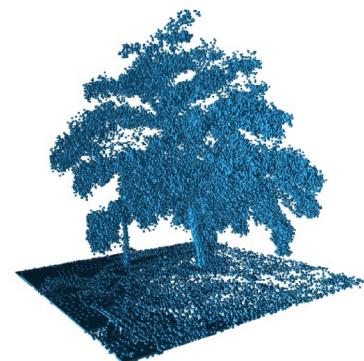
**Cons:**

- No volumetric representation but a discretization in the vertical dimension
- Several tasks are not straightforward to realize

## Octree Representation

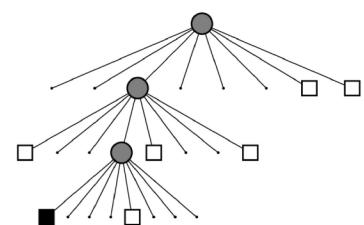
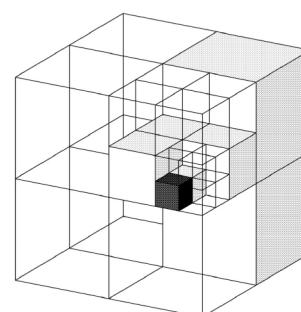
Tree-based structure that recursively divides the space in octants

- Split until max depth to insert point
- Merge upwards if all octants are free or occupied
- volume and resolution allocated as needed



**Pros:**

- 3D model
- Multi-resolutional
- Memory efficient
- Probabilistic update possible



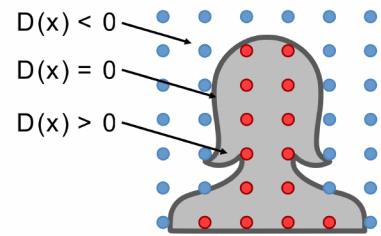
**Cons:**

- Efficient implementation can be tricky

## Signed Distance Function

Represent the map through a voxel grid where each voxel represents its distance to the nearest measured surface

- Surfaces are located at zero-crossings
- surface extraction at sub-voxel accuracy through interpolation

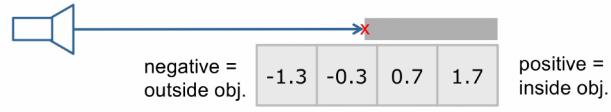


### SDF:

**Negative**: outside object

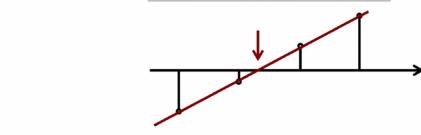
**Positive**: inside object

**Zero-crossing**: surface



### Truncated SDF:

Distance of each voxel to the observed surface is computed and only close voxels are updated.



### Weighted Update

For each voxel compute weighted average over all measurements

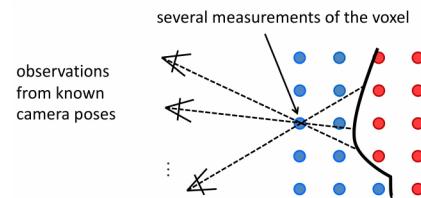
#### Computation (each cell):

$$D \leftarrow \frac{WD + w_t d_t}{W + w_t}$$

$$W \leftarrow W + w_t$$

D: Weighted sum of signed distances

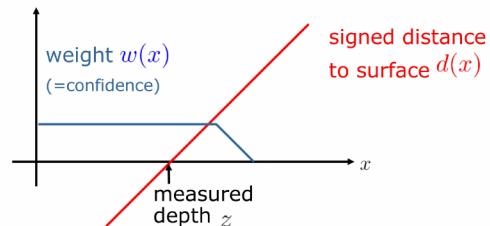
W: Sum of all weights



#### Weight function:

weight function should represent confidence and relevance

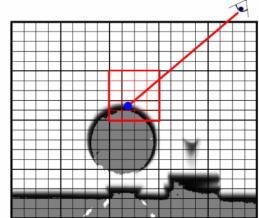
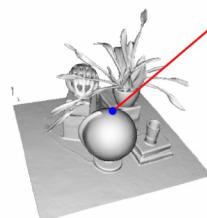
→ small values ensure that values can be updated by new observations



### Surface Rendering

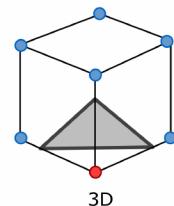
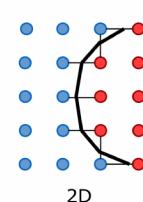
**Ray Casting**: Cast ray and find zero-crossing

→ fast computation on GPU



**Polygonization**: Marching cubes algorithm to generate a triangle mesh

→ evaluate each cell separately and generate triangles according to a look-up table



### Pros:

- Full 3D model
- Sub-Voxel accuracy
- supports fast GPU implementations

### Cons:

- Space-consuming voxel grid
- Polygonization: slow

## Semantic Aware Volumetric Mapping

Combine semantic scene understanding and combine it with a geometric representation

→ Use deep learning for semantic understanding



### Semantic Segmentation:

Assign each pixel a class label

### Instance Segmentation:

Find instances in the foreground and label pixels of each instance with a unique Id.

### Panoptic Segmentation:

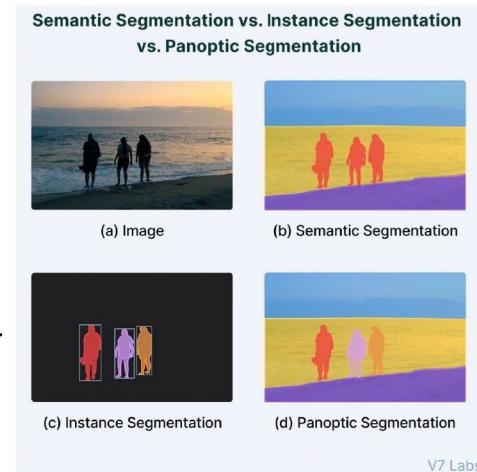
Combination of semantic and instance segmentation.

### Pros:

- Semantic rich maps
- Enables semantic based planning and interaction
- Closer to how humans abstract their environment

### Cons:

- Requires large neural networks
- Complex and expensive

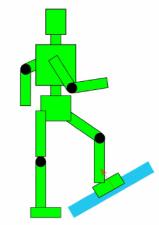


# 3D Polygonal Maps

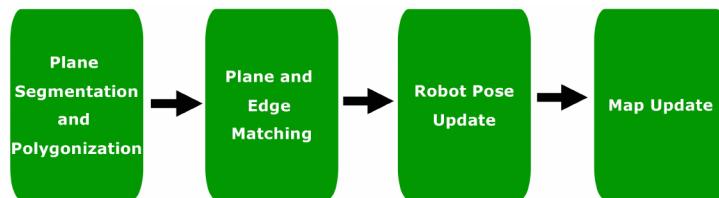
Represent the map through 3D polygonal planes. Each plane can be represented through 4 parameters and a boundary.



- Empty space represented implicitly
- Footstep location can be extracted from polygons directly



## Iterative Map Update:

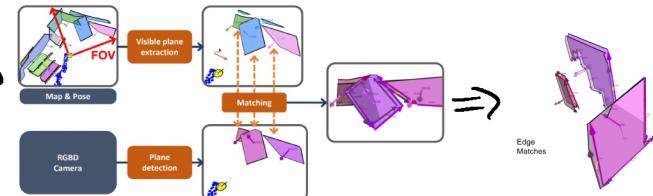


## Plane Segmentation and Polygonization:

1. Segment RGB-D image
2. Polygonize using contour detection
3. Identify and remove ground plane

## Plane Matching:

Match planes via normals



## Edge Matching:

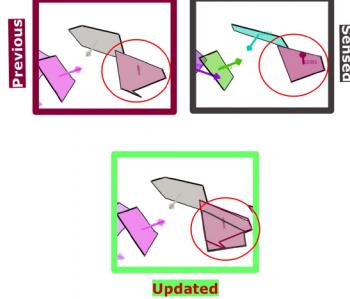
Match edges between two previously matched planes

## Pose Update:

Sample consensus across all matched planes

## Map Update:

1. Compute expected planes visible in the updated pose
2. Re-compute plane matches
3. Update polygon parameters and add new polygons



## Pros:

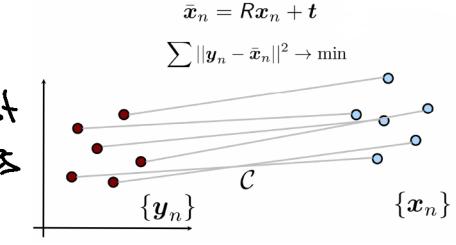
- Footstep placement directly known from map
- Memory efficient
- Fast to compute

## Cons:

- Coarse representation
- General objects are not well represented

## Iterative Closest Point

ICP registers a point cloud obtained from a Ladar scan or RGB-D camera against a second scan. Iteratively, correspondences in the point clouds are established and aligned.



**Algorithm:** Find transform  $T = (R, t)$  to transform  $P \rightarrow X$

- 0: WHILE (not finished)
- 1: Select points in the point sets
- 2: IF (termination criterion)
  - | Return  $R, t$
- 3: Establish correspondences between the points in  $X$  and  $P$
- 4: Find  $R, t$  that minimizes:  $E(R, t) = \frac{1}{N_p} \sum_{i=1}^{N_p} \|x_i - Rp_i - t\|^2$   
 $x_i$  and  $p_i$  are corresponding points
- 5: Transform  $P$

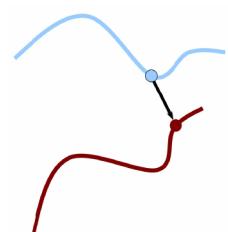
## Sub-sample Point Clouds:

1. All points
2. Uniform sub-sampling
3. Random Sampling
4. Feature-based sampling  
→ sample out floor points
5. Normal-Space Sampling  
→ Normals are distributed uniformly

## Data Association:

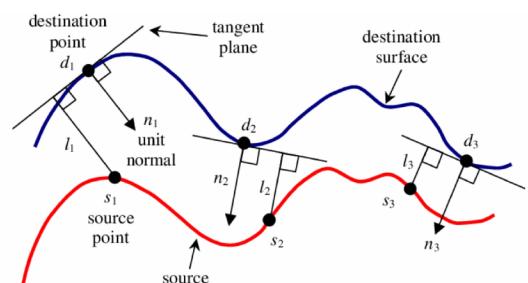
### Closest-Point Matching:

Take the closest point in the other point cloud as correspondence.



### Point-to-Plane Matching

Compute the distance of each source point to the tangent space of each target and make correspondences accordingly.



# Compute Transformation

## 1. Mean Shift

$$\mu_Q = \frac{1}{|C|} \sum_{(i,j) \in C} q_i \quad \mu_P = \frac{1}{|C|} \sum_{(i,j) \in C} p_j \longrightarrow Q' = \{q_i - \mu_Q\} = \{q'_i\}$$

$$P' = \{p_j - \mu_P\} = \{p'_j\}$$

## 2. Compute cross-covariance matrix

$$W = \sum_{(i,j) \in C} q'_i p'^{\top}_j$$

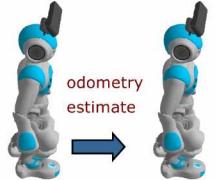
## 3. Apply SVD

$$W = UDV^{\top}$$

## 4. Compute Transformation

$$R = UV^{\top} \quad t = \mu_Q - R\mu_P$$

$$T^{t-1} = T_{\text{corr}}^{t-1} T_{\text{odom}}^{t-1} \quad T_{\text{odom}}^t$$



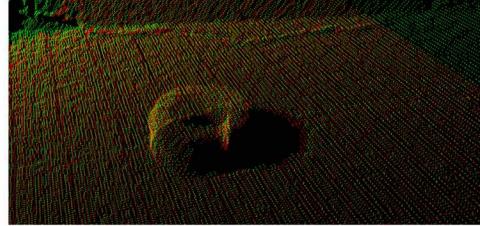
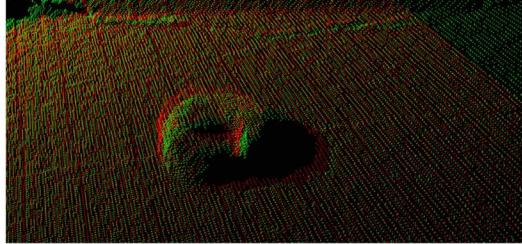
## Application: Refine odometry measurements

Initialize ICP with odometry measurement and iteratively correct the transformation to prevent accumulative errors:

Corrected pose  $T^t = T_{\text{corr}}^t T_{\text{odom}}^t$  given by

$$T_{\text{corr}}^t = \operatorname{argmin}_{\langle T \rangle} \sum_i \|T^{t-1} q_i - \langle T \rangle T_{\text{odom}}^t p_i\|^2$$

$$T^t = T_{\text{corr}}^t T_{\text{odom}}^t$$



## 4. Localization

### Bayes Filtering

Bayes Filtering Formula:

$$\text{Bel}(x) = \eta \underbrace{P(z_t | x_t)}_{\text{Correction}} \int \underbrace{P(x_t | u_t, x_{t-1}) \text{Bel}(x_{t-1}) dx_{t-1}}_{\text{Prediction}}$$

Prediction:  $\text{Bel}(x_t) = \int P(x_t | u_t, x_{t-1}) \text{Bel}(x_{t-1}) dx_{t-1}$

Action Model

Correction:  $\text{Bel}(x_t) = \eta P(z_t | x_t) \overline{\text{Bel}}(x_t)$

Sensor Model

Derivation:

$$\begin{aligned} \text{Bel}(x_t) &= P(x_t | u_1, z_1, \dots, u_t, z_t) \\ &= \eta P(z_t | x_t, u_1, z_1, \dots, u_t) P(x_t | u_1, z_1, \dots, u_t) && \mid \text{Bayes} \\ &= \eta P(z_t | x_t) P(x_t | u_1, z_1, \dots, u_t) && \mid \text{Markov} \\ &= \eta P(z_t | x_t) \int P(x_t | u_1, z_1, \dots, u_t, z_t, x_{t-1}) P(x_{t-1} | u_1, z_1, \dots, u_t) dx_{t-1} && \mid \text{Total Prob} \\ &= \eta P(z_t | x_t) \int P(x_t | u_t, x_{t-1}) \text{Bel}(x_{t-1}) dx_{t-1} && \mid \text{Markov} \end{aligned}$$

Algorithm:

1. Algorithm **Bayes\_filter**(  $\text{Bel}(x), d$  ):
2.  $\eta = 0$
3. If  $d$  is a **perceptual** data item  $z$  then
  4. For all  $x$  do
    5.  $\text{Bel}'(x) = P(z | x) \text{Bel}(x)$
    6.  $\eta = \eta + \text{Bel}'(x)$
  7. For all  $x$  do
    8.  $\text{Bel}'(x) = \eta^{-1} \text{Bel}'(x)$
9. Else if  $d$  is an **action** data item  $u$  then
  10. For all  $x$  do
    11.  $\text{Bel}'(x) = \int P(x | u, x') \text{Bel}(x') dx'$
12. Return  $\text{Bel}'(x)$

Assumptions:

1. Markov assumption
2. Static world (only actions change state)
3. Independent noise
4. Perfect model, no approximation error

## Particle Filters (Monte Carlo Localization)

Particle Filters model a target distribution through a set of samples drawn from a proposal distribution and weighted according to its difference to the target distribution.

### General Particle Filter:

1. Sample the particles using the proposal distribution

$$x_t^{[j]} \sim proposal(x_t | \dots)$$

2. Compute the importance weights

$$w_t^{[j]} = \frac{target(x_t^{[j]})}{proposal(x_t^{[j]})}$$

3. Resampling: Draw sample  $i$  with probability  $w_t^{[i]}$  and repeat  $J$  times

**Particle Set:**  $\mathcal{X} = \{ \langle x^{[j]}, w^{[j]} \rangle \}_{j=1, \dots, J}$

**state hypothesis**      **importance weight**

**Posterior Distribution:**  $p(x) = \sum_{j=1}^J w^{[j]} \delta_{x^{[j]}}(x)$

### Monte Carlo Localization:

**Particle Set:** Each particle represents a pose hypothesis

**Prediction:** For each particle, sample a new pose from the motion model.

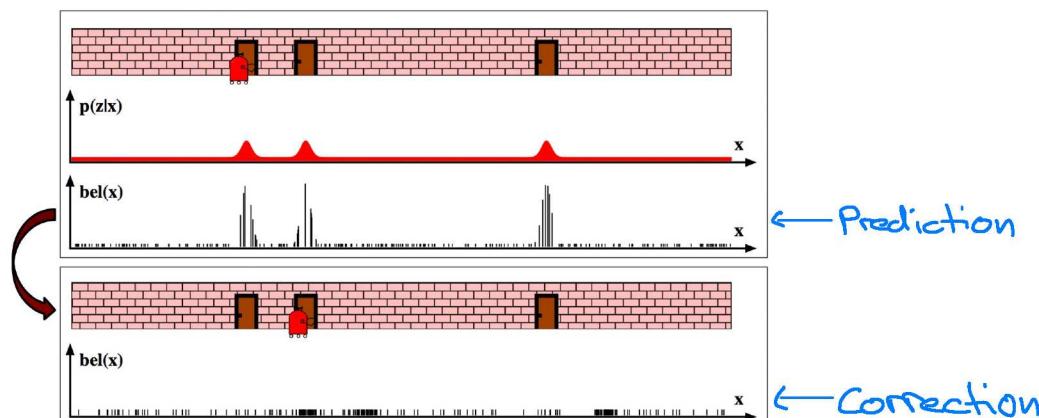
$$x_t^{[j]} \sim p(x_t | x_{t-1}^{[j]}, u_t)$$

**Correction:** Weigh samples according to sensor models

$$w_t^{[j]} \propto p(z_t | x_t^{[j]})$$

$$\begin{aligned} w_t^{[j]} &= \frac{\text{target}}{\text{proposal}} = \frac{\eta p(z_t | x_t) p(x_t | x_{t-1}, u_t) p(x_{0:t-1} | z_{0:t-1}, u_{0:t-1})}{p(x_t | x_{t-1}, u_t) p(x_{0:t-1} | z_{0:t-1}, u_{0:t-1})} \\ &= \eta p(z_t | x_t) \end{aligned}$$

**Resampling:** Resample from the set of particles with their respective probability



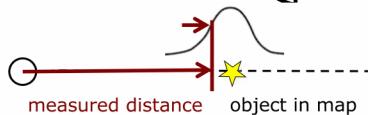
**Motion Model:**  $p(x_t \mid u_t, x_{t-1})$

Probabilistic representation of the robots inaccurate motion. Motion model is specific to each robot.

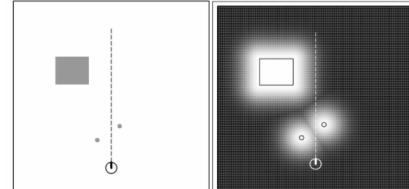
**Observation Model:**  $p(\mathbf{o}_t \mid \mathbf{x}_t)$

Likelihood of a measurement given a pose

**Ray-Casting:** For each measurement cast ray and compare against the obtained measurement



**End-Point:** Compute the likelihood of each point on the beam by evaluating its distance to the closest obstacle.



## Humanoid Localization

Estimate the robots pose using MCL

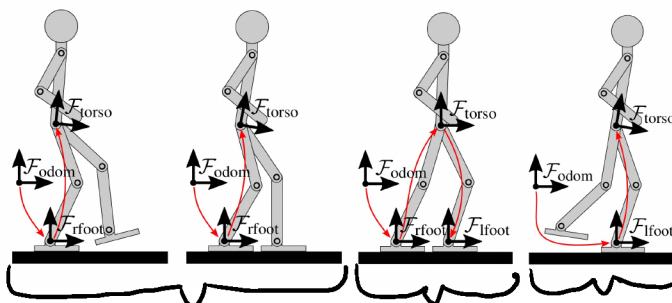
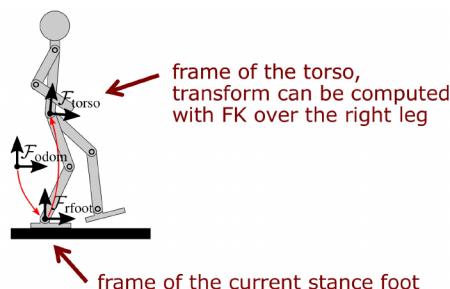
## Motion Model:

$$\mathbf{x}_t^{[i]} = \mathbf{x}_{t-1}^{[i]} \oplus \mathcal{N}(\mathbf{M}\mathbf{u}_t, \Sigma_{\mathbf{u}_t})$$

calibration matrix      covariance matrix  
 motion composition      odometry estimate

## Odometry Estimation:

Estimate the robots torso pose from the stance foot pose using forward kinematics



Compute torso  
relative to the  
right foot

support compute relative  
exchange to new stance  
feet

## Observation Model

$$p(\mathbf{o}_t \mid \mathbf{x}_t) = p(\mathbf{r}_t, \tilde{z}_t, \tilde{\varphi}_t, \tilde{\psi}_t \mid \mathbf{x}_t) = p(\mathbf{r}_t \mid \mathbf{x}_t) p(\tilde{z}_t \mid \mathbf{x}_t) p(\tilde{\varphi}_t \mid \mathbf{x}_t) p(\tilde{\psi}_t \mid \mathbf{x}_t)$$

$\Gamma_+$ : range measurement  
 $\tilde{\Phi}_+$ : roll from IMU

## 5. Footstep Planning

### A\*-Search

Expand iteratively nodes with the minimal evaluation function until a goal state is reached and a path is planned

Evaluation Function:  $f(n) = g(n) + h(n)$

$g(n)$ : actual costs from start to state n

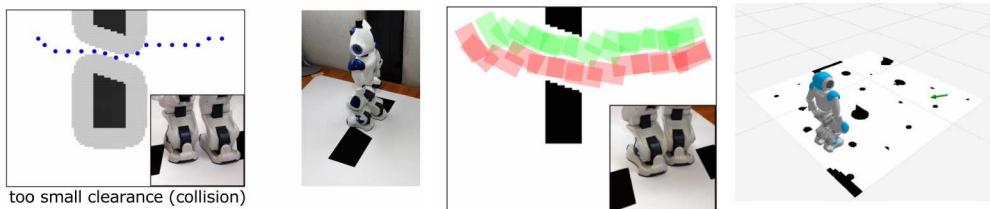
$h(n)$ : heuristic, **admissible**, if:  $h(n) \leq h^*(n)$

Node expansion: generate successor states, compute their f-values and insert them into the priority queue

Optimal Path: A\* yields optimum if  $h(n)$  is admissible

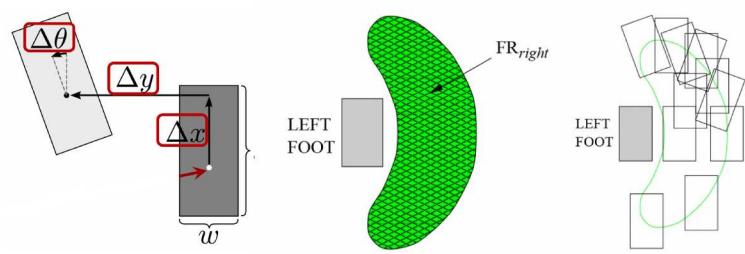
### Motivation Footstep Planning

- Navigate through narrow passages
- Step over obstacles
- Navigate through cluttered/complicated environments



## Footstep Planning with A\*

**State:**  $s = (x, y, \theta)$



**Footstep:**  $a = (\Delta x, \Delta y, \Delta \theta)$

**Action set:**  $F = \{a_1, \dots, a_n\}$

→ sampled from physical possible steps and/or commanded GCV values

**Evaluation Function:**

**Transition costs:**  $c(s, s') = \|(\Delta x, \Delta y)^T\|$

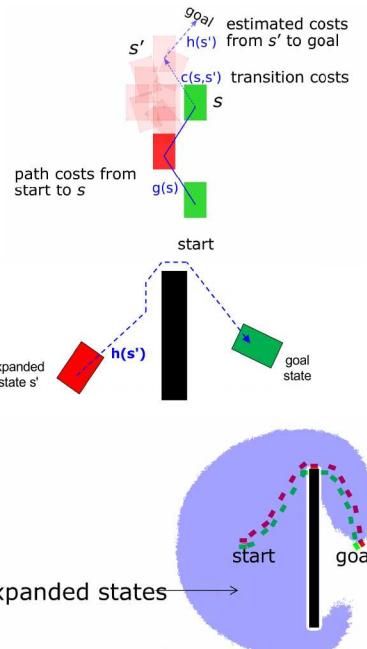
**Heuristics:**

1. Euclidean distance

→ likely to get stuck in local optima

2. Shortest 2D path (Dijkstra)

→ generally not admissible



## Footstep Planning with Anytime Repairing A\*

Run multiple Weighted A\* searches, starting with a high weight until a given time limit is reached

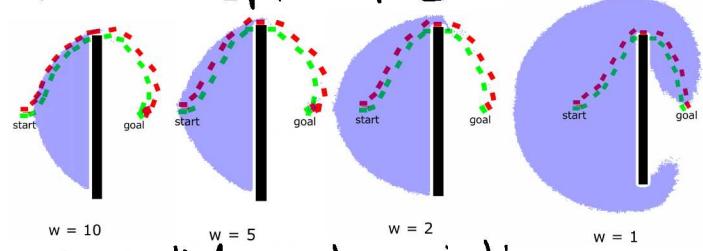
→ finds sub-optimal solution fast

→ iteratively find better solution until time runs out

**Weighted A\*:** Inflate heuristic by factor  $w$

**Large  $w$ :** Fast convergence to non-optimal solution

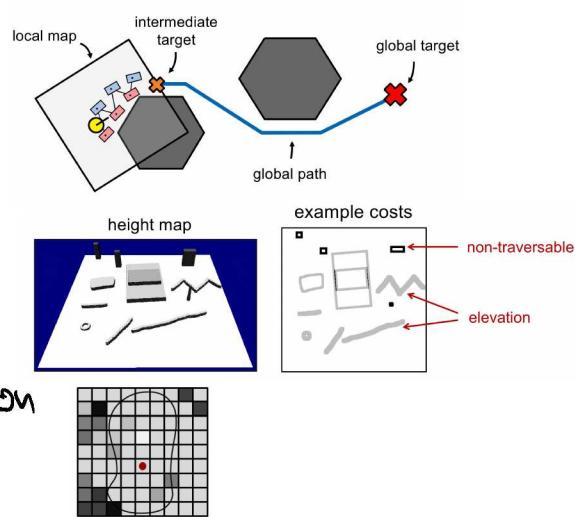
**$w=1$ :** Optimal solution



→ euclidean heuristic

## Local Footstep Planning

Only compute an optimal path to an intermediate goal on a global path



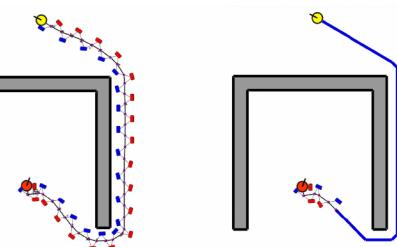
## Planning on a Heightmap

Simply add a modified heuristic on the height of a point.

→ Additionally check, if stepping location has a small height difference

## Footstep Planning with Aborting A\*

Abort A\* search at 50Hz to compute local footstep plans, reactive to measurements and controls.



Heuristic: Path RTR

## Whole-Body Collision Checking

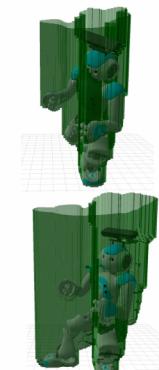
Before footstep actions are taken check collision with obstacles

1. Pre-compute volume covered by the robot
2. Store minimum height value for footstep actions
3. Use the inverse height map (IHM) for fast collision checking

Action  $a$  can be executed safely, if:

$$\forall (u, v) \in \text{IHM}^a : \text{IHM}_{(u,v)}^a + z_s > h_{(u,v)}$$

height of      heightmap  
stance foot at s      value



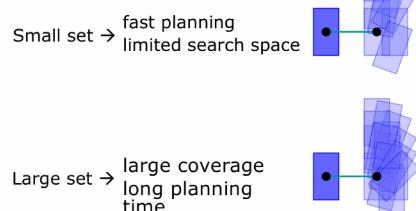
volume covered by the robot

## Adaptive Node Expansion

Systematically search for a small number of viable successors

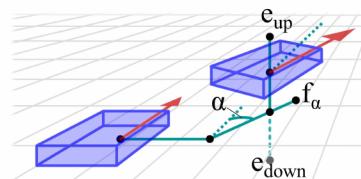
→ limit search space

→ speed up computation by only taking "good" successors



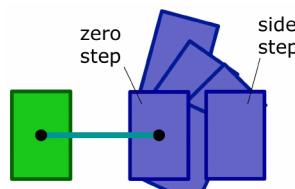
## Reachability Map:

Provides a pre-computed discretization of feasible footsteps. This includes the footprint with maximal displacement from the stance foot.



## Adaptive Action Set:

Local search around prototype steps (forward-, zero-, sidestep)



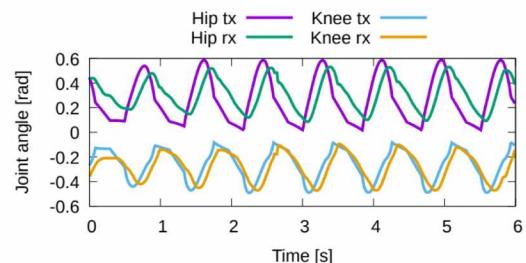
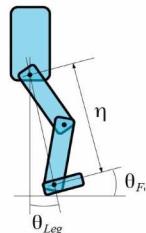
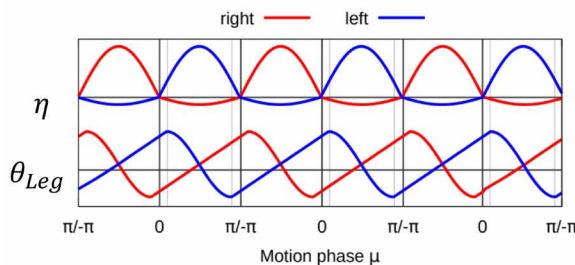
## Validation of each successor:

1. Check feasibility according to reachability map
2. Check for planar surface and height difference
3. Check for robot collisions

## 6. Bipedal Walking

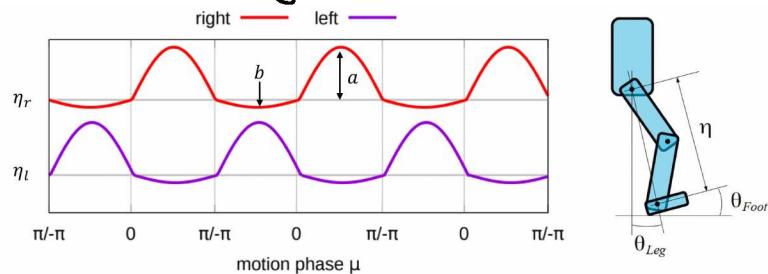
### Central Pattern Generator

Module to generate open-loop stepping motions by providing a periodic signal to the abstract leg interface. This creates an "optimal" walk pattern.



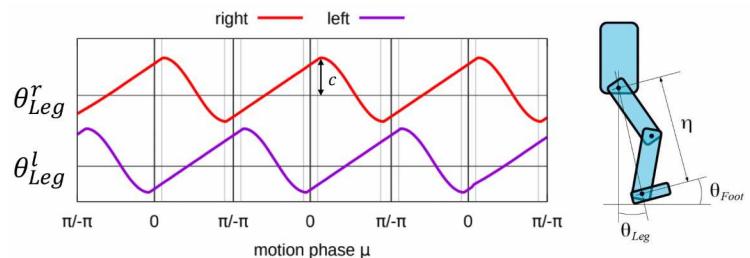
**Leg lifting pattern:** Modulates the leg extension

$$\eta(\mu) = \begin{cases} a\sin(\mu), & \text{if } \mu \geq 0 \\ b\sin(\mu), & \text{if } \mu < 0 \end{cases}$$



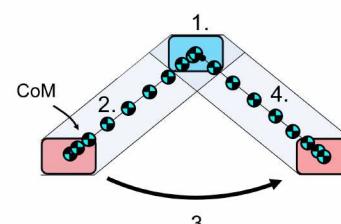
**Leg swing pattern:** Modulates the leg angle

$$\theta_{Leg}(\mu) = \begin{cases} c\cos(\mu), & \text{if } \mu \geq 0 \\ c\left(2\frac{\mu + \pi}{\pi} - 1\right), & \text{if } \mu < 0 \end{cases}$$



### Static Walking

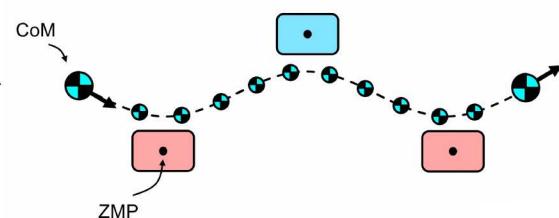
The CoM never leaves the support polygon  
→ stable but slow and unnatural



1. Step
2. Transfer
3. Repeat

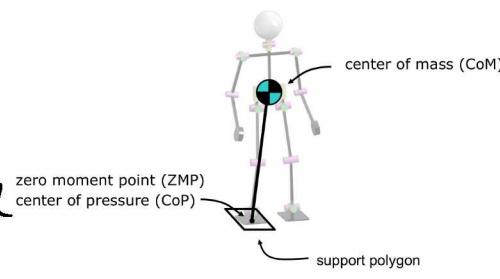
### Dynamic Walking

CoM is disregarded but the ZMP is always kept within the support polygon

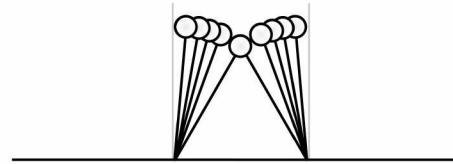


## Inverted Pendulum Model

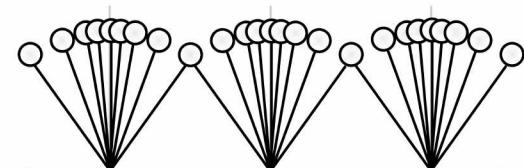
Model the movement of the COM in lateral and sagittal direction using an inverted pendulum for balance control



Lateral motion:



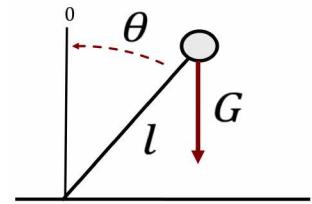
Sagittal motion:



Differential Equation:

$$\ddot{\theta}(t) = \frac{G}{l} \sin \theta(t)$$

Problem: Given an initial values compute future states



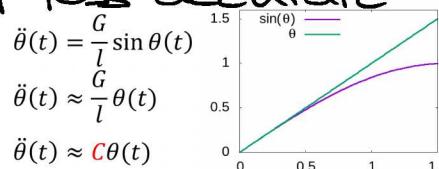
Solution via Euler-Method:

- simulate for small timesteps
- accurate but slow

Solution via Linearization:

- fast but less accurate

Linearize:



Algorithm:

```
function ( $\theta, \dot{\theta}$ )(T) given ( $\theta_0, \dot{\theta}_0$ )
{
     $\theta = \theta_0, \dot{\theta} = \dot{\theta}_0, t = 0$ 
    dt = 0.000001s
    while( $t < T$ )
    {
         $t += dt$ 
         $\dot{\theta} = \frac{G}{l} \sin \theta$ 
         $\dot{\theta} += dt \ddot{\theta}$ 
         $\theta += dt \dot{\theta}$ 
    }
    return ( $\theta, \dot{\theta}$ )
}
```

Solution linear system:

$$\begin{aligned} \theta(t) &= c_1 e^{\sqrt{C}t} + c_2 e^{-\sqrt{C}t} & c_1 &= \frac{1}{2} \left( \theta_0 + \frac{\dot{\theta}_0}{\sqrt{C}} \right) \\ \dot{\theta}(t) &= c_1 \sqrt{C} e^{\sqrt{C}t} - c_2 \sqrt{C} e^{-\sqrt{C}t} & c_2 &= \frac{1}{2} \left( \theta_0 - \frac{\dot{\theta}_0}{\sqrt{C}} \right) \end{aligned}$$

Initial condition:

$$\begin{aligned} \theta_0 &= c_1 + c_2 \\ \dot{\theta}_0 &= c_1 \sqrt{C} - c_2 \sqrt{C} \end{aligned}$$

Solution for +:

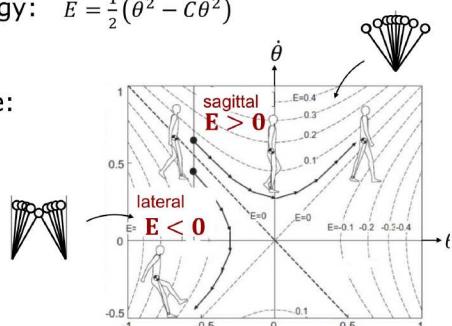
$$\begin{aligned} t(\theta) &= \frac{1}{\sqrt{C}} \ln \left( \frac{\theta}{2c_1} + \sqrt{\frac{\theta^2}{4c_1^2} - \frac{c_2}{c_1}} \right) \\ t(\dot{\theta}) &= \frac{1}{\sqrt{C}} \ln \left( \frac{\dot{\theta}}{2c_1 \sqrt{C}} + \sqrt{\frac{\dot{\theta}^2}{4c_1^2 C} + \frac{c_2}{c_1}} \right) \end{aligned}$$

Algorithm:

```
function ( $\theta, \dot{\theta}$ )(T) given ( $\theta_0, \dot{\theta}_0$ )
{
     $c_1 = \frac{1}{2} \left( \theta_0 + \frac{\dot{\theta}_0}{\sqrt{C}} \right)$ 
     $c_2 = \frac{1}{2} \left( \theta_0 - \frac{\dot{\theta}_0}{\sqrt{C}} \right)$ 
     $\theta = c_1 e^{\sqrt{C}t} + c_2 e^{-\sqrt{C}t}$ 
     $\dot{\theta} = c_1 \sqrt{C} e^{\sqrt{C}t} - c_2 \sqrt{C} e^{-\sqrt{C}t}$ 
    return ( $\theta, \dot{\theta}$ )
}
```

Orbital Energy:  $E = \frac{1}{2} (\dot{\theta}^2 - C \theta^2)$

Phase Space:



## Solution via First-Order Taylor Expansion

→ very fast and locally accurate

Taylor Expansion:  $f(x) = f(x_0) + f'(x_0)(x - x_0)$

Linearize:  $\ddot{\theta}(t) = \frac{G}{l} \sin \theta(t)$

$$\ddot{\theta}(t) \approx C(\sin \theta_0 + \cos \theta_0 (\theta(t) - \theta_0))$$

Reorder:  $\ddot{\theta}(t) \approx a \theta(t) + b$

$$\ddot{\theta}(t) \approx C(\sin \theta_0 + \cos \theta_0 (\theta(t) - \theta_0))$$

$$\ddot{\theta}(t) \approx \underbrace{C \cos \theta_0}_{a} \theta(t) + \underbrace{C(\sin \theta_0 - \theta_0 \cos \theta_0)}_{b}$$

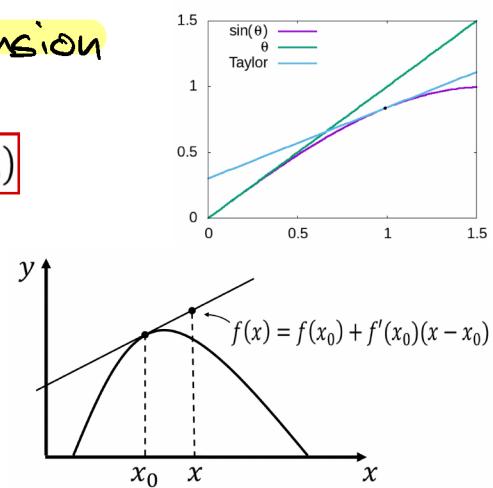
Solution:

$$\begin{aligned}\theta(t) &= -\frac{b}{a} + c_1 e^{\sqrt{a}t} + c_2 e^{-\sqrt{a}t} & c_1 &= \frac{1}{2} \left( \frac{b}{a} + \theta_0 + \frac{\dot{\theta}_0}{\sqrt{a}} \right) \\ \dot{\theta}(t) &= c_1 \sqrt{a} e^{\sqrt{a}t} - c_2 \sqrt{a} e^{-\sqrt{a}t} & c_2 &= \frac{1}{2} \left( \frac{b}{a} + \theta_0 - \frac{\dot{\theta}_0}{\sqrt{a}} \right)\end{aligned}$$

Initial Conditions:  $\theta_0 = -\frac{b}{a} + c_1 + c_2$   
 $\dot{\theta}_0 = c_1 \sqrt{a} - c_2 \sqrt{a}$

Algorithm:

```
function ( $\theta, \dot{\theta}$ )(T) given ( $\theta_0, \dot{\theta}_0$ )
{
    a = C cos theta_0
    b = C(sin theta_0 - theta_0 cos theta_0)
    c_1 = 1/2(b/a + theta_0 + dot(theta_0)/sqrt(a))
    c_2 = 1/2(b/a + theta_0 - dot(theta_0)/sqrt(a))
    theta = -b/a + c_1 e^(sqrt(a)t) + c_2 e^(-sqrt(a)t)
    dot(theta) = c_1 sqrt(a)e^(sqrt(a)t) - c_2 sqrt(a)e^(-sqrt(a)t)
    return ( $\theta, \dot{\theta}$ )
}
```

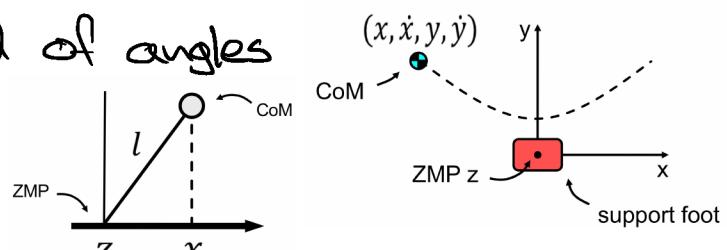


## 2D Linear Inverted Pendulum

Use 2D coordinates instead of angles

COM Equation:  $\ddot{x} = C(x - z_x)$   
 $\ddot{y} = C(y - z_y)$

ZMP Equation:  $z = x - \frac{\ddot{x}}{C}$

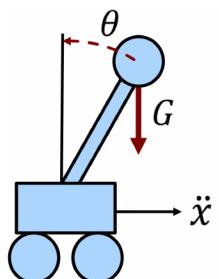


## Cart-Pole Model

Differential equation:  $\ddot{\theta}(t) = \frac{G}{l} \sin \theta(t) + \frac{\ddot{x}}{l} \cos \theta(t)$

Local linearization:  $\ddot{\theta}(t) \approx a \theta(t) + b$

$$\begin{aligned}\ddot{\theta}(t) &\approx \frac{G}{l} (\sin \theta_0 + \cos \theta_0 (\theta(t) - \theta_0)) + \frac{\ddot{x}}{l} (\cos \theta_0 - \sin \theta_0 (\theta(t) - \theta_0)) \rightarrow \ddot{\theta}(t) \approx \underbrace{\left( \frac{G}{l} \cos \theta_0 - \frac{\ddot{x}}{l} \sin \theta_0 \right)}_a \theta(t) \\ &\quad + \underbrace{\left( \frac{G}{l} \sin \theta_0 + \frac{\ddot{x}}{l} \cos \theta_0 - \frac{G}{l} \theta_0 \cos \theta_0 + \frac{\ddot{x}}{l} \theta_0 \sin \theta_0 \right)}_b\end{aligned}$$

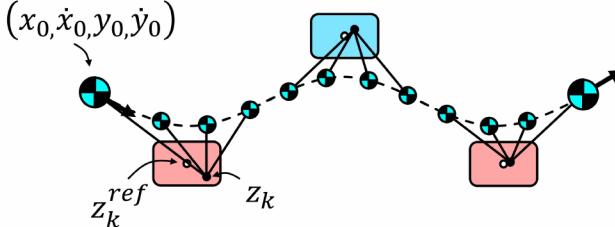


## State Estimation (COM)

1. Apply forward kinematics to retrieve the robots pose
2. Tilt the robot using the trunk angle reported by the IMU
3. Extract COM
4. Determine COM velocity using numerical differentiation
5. Output state  $(x_0, \dot{x}_0, y_0, \dot{y}_0)$

## Zero Moment Point Preview Control

Using the ZMP for balance creates dynamic walking behavior:



State estimation through quadratic programming:

$$\min_{\ddot{X}_k} \frac{1}{2} Q (Z_{k+1} - Z_{k+1}^{ref})^2 + \frac{1}{2} R \ddot{X}_k^2$$

Preview Scheme:

$$Z_{k+1} = P_x \hat{x}_k + P_u \ddot{X}_k$$

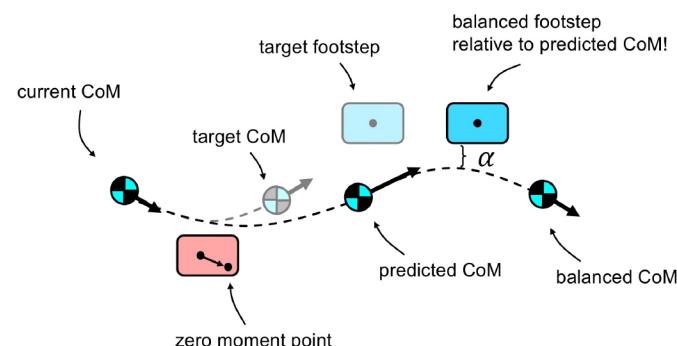
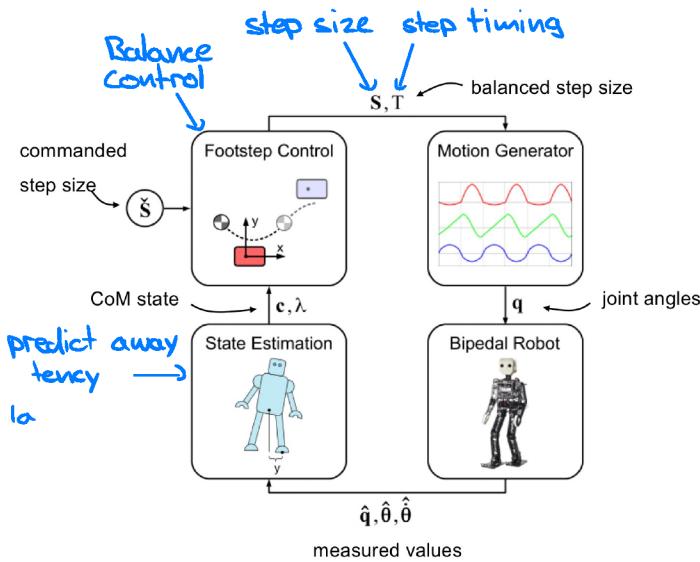
$$\begin{array}{l} \text{output} \\ \left[ \begin{array}{c} z_{k+1} \\ \vdots \\ z_{k+N} \end{array} \right] = \left[ \begin{array}{ccc} 1 & T & T^2/2 - h_{CoM}/g \\ \vdots & \vdots & \vdots \\ 1 & NT & N^2T^2/2 - h_{CoM}/g \\ T^3/6 - Th_{CoM}/g & 0 & 0 \\ \vdots & \ddots & 0 \\ (1+3N+3N^2)T^3/6 - Th_{CoM}/g & \dots & T^3/6 - Th_{CoM}/g \end{array} \right] \hat{x}_k + \\ \left[ \begin{array}{c} \ddot{x}_k \\ \vdots \\ \ddot{x}_{k+N-1} \end{array} \right] \end{array}$$

input

Solution:

$$\ddot{X}_k = - \left( P_u^T P_u + \frac{R}{Q} I_{N \times N} \right)^{-1} P_u^T (P_x \hat{x}_k - Z_k^{ref})$$

## Capture Step Framework



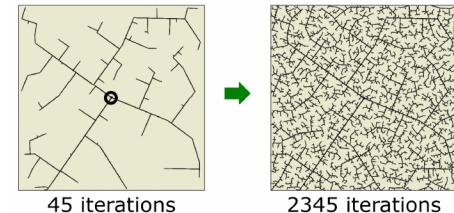
## 7. Whole-Body Motion Planning

### Motivation:

- Inverse kinematics produce unpredictable joint configurations
- Instead plan a path in a high-dimensional configuration space
  - complete search intractable
  - use sampling-based or randomized algorithms
- Consider constraints such as joint limits, self- and obstacle collisions, and balance

### Rapidly Exploring Random Trees (RRTs)

Iteratively explore the configuration space by randomly expanding a tree from the initial configuration.



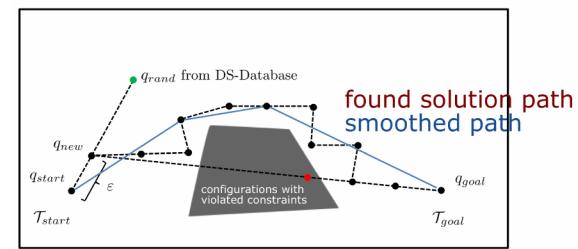
### Algorithm:

Algorithm 1: RRT

```
1 G.init( $q_0$ )
2 repeat
3    $q_{rand} \rightarrow \text{RANDOM\_CONFIG}(C)$ 
4    $q_{near} \leftarrow \text{NEAREST}(G, q_{rand})$ 
5   G.add_edge( $q_{near}, q_{rand}$ )
6 until condition
```

Connect  $q_{rand}$  with  $q_{near}$  using a **local planner**:

- No collision: add edge
- Collision: new vertex is  $q_{new}$  as close as possible to  $C_{obs}$

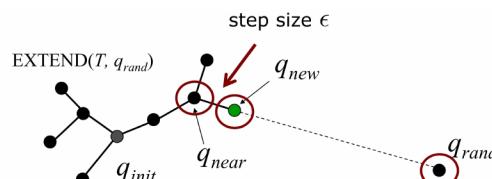


### Goal Bias:

Exchange  $q_{rand}$  with the goal configuration with probability 5% - 10% to search towards goal

### Variations:

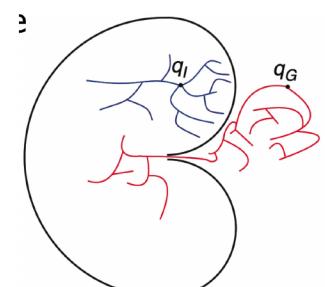
#### Fixed step size:



→ terminate when close enough

#### Bidirectional:

Grow to trees from start and goal. In every other steps try to reach  $q_{new}$  from the other tree.



#### Algorithm:

```
RRT_CONNECT( $q_{init}, q_{goal}$ ) {
   $T_a.init(q_{init}); T_b.init(q_{goal});$ 
  for  $k = 1$  to K do
     $q_{rand} = \text{RANDOM\_CONFIG}();$ 
    if not (EXTEND( $T_a, q_{rand}$ ) = Trapped) then
      if (EXTEND( $T_b, q_{new}$ ) = Reached) then
        Return PATH( $T_a, T_b$ );
      SWAP( $T_a, T_b$ );
    Return Failure;
}
```

## Constraints for humanoids:

Sample only double support configurations

### Pros:

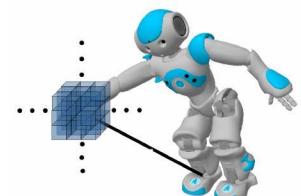
- fast
- easy to implement
- good balance between greedy search and exploration
- Finds a path to goal, if one exists

### Cons:

- non-optimal paths
- post-processing is necessary
- Paths are not repeatable and unpredictable
- unknown rate of convergence

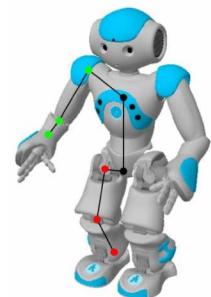
## Reachability Maps:

A sweep over the end-effector space represented by systematic sampled joint configuration. Each voxel contains the corresponding configuration and quality measure.  
→ can be pre-computed



## Configuration Sampling

1. Sample discrete steps through the range of motions of the kinematic chain  
Kinematic chain: right/left foot → gripper link



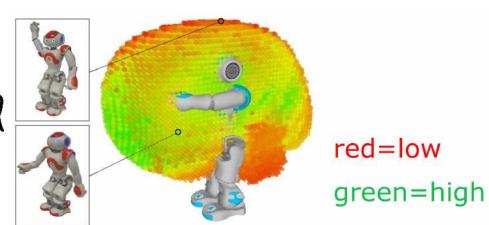
2. Generation of a double support configuration  
Given hip and desired foot pose, solve IK for configuration.



## Measurement of Manipulability

Penalize configurations with limited maneuverability

- distance to joint limits and singularities
- distance to itself or objects



## Inverse Reachability Maps

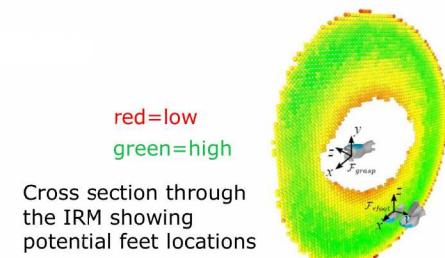
Represents the potential stance poses w.r.t. an end-effector pose  
 → select optimal stance for given EE

### Inversion RM:

```

configuration of sampled chain
leg configuration of passive chain
manipulability measure
while  $v \leftarrow \text{GET\_VOXEL}(RM)$  do
     $n_c \leftarrow \text{GET\_NUM\_CONFIGS}(v)$ 
    for  $i = 1$  to  $n_c$  do
         $(q_c, q_{SWL}, w) \leftarrow \text{GET\_CONFIG\_DATA}(v, i)$ 
         $p_{tcp} \leftarrow \text{COMPUTE\_TCP\_POSE}(q_c)$  // end-effector pose via FK
         $p_{base} \leftarrow (p_{tcp})^{-1}$  // inverse transform to get pose of foot wrt EE frame
         $idx \leftarrow \text{FIND\_EE\_VOXEL}(p_{base})$  // determine voxel of foot
         $IRM \leftarrow \text{ADD\_CONF\_TO\_VOXEL}(idx, q_c, q_{SWL}, w)$ 
    end
end

```

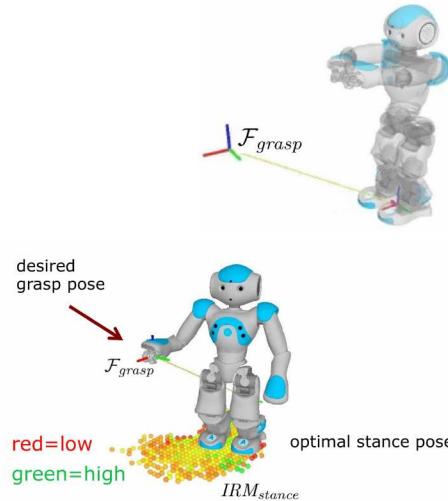


1. Invert FK in RM and retrieve foot pose
2. Find corresponding voxel in IRM and copy configuration from RM

### Determining the Optimal Stance Pose

Given a desired end-effector pose  
 find the optimal stance.

1. Align the origin of the IRM with the grasp frame
2. Intersect the transformed IRM with the floor plane:  $IRM_{\text{floor}} = tIRM \cap F$
3. Remove unfeasible configurations



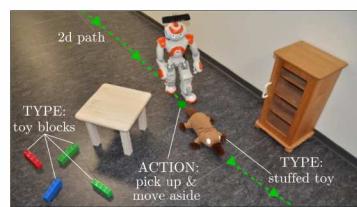
### Whole-Body Planning

1. Use IRM to find optimal stance pose
2. Let robot walk to stance pose
3. Determine whole-body configuration to reach the desired grasp pose
4. Plan a whole-body motion using RRT-connect

## 8. Foresighted Robot Navigation

### Motivation:

- Navigation through cluttered scenes
  - reason about possible actions
- Navigation through human environments
  - predict human actions



### Foresighted Robot Positioning

Position robot close to user in case service is needed while not interfering and considering possible navigation goals

Evaluate cost function and choose position with smallest cost

#### Cost function:

$$C_{dist}(\mathcal{X}) = \sum_{o_j \in O} P(o_j | S) \cdot L(\mathcal{P}_{\mathcal{X} \rightarrow \mathcal{X}_{o_j}})$$

costs of 2D map cells      length of A\* path from the cell to possible navigation goal  
probability of possible next navigation goal



### Human Comfort Constraints

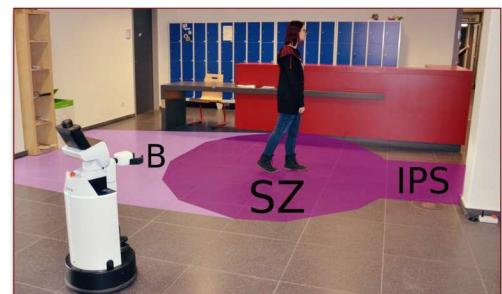
Robot should not enter:

Social zone (SZ)

Information process space (IPS)

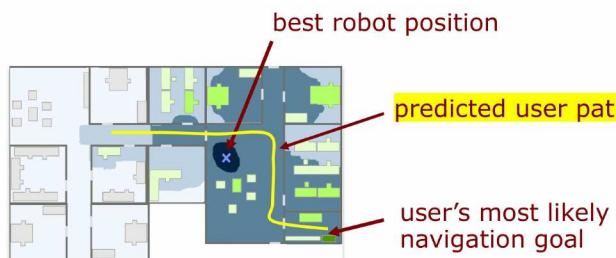
Increased costs:

Area behind human (B)



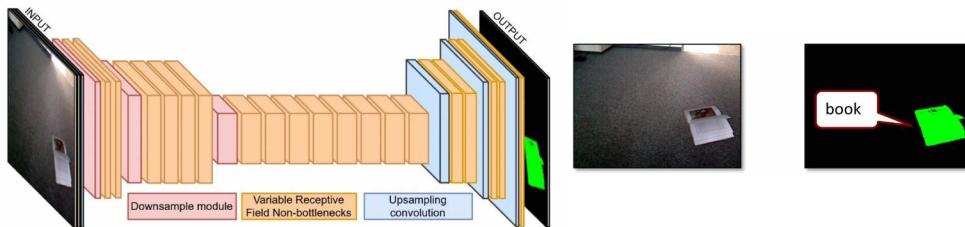
### Time-dependent Planning

Determine the best position and user path from the navigation goal considering the human comfort constraints



## Plan actions based on objects

### 1. Segment objects in images



### 2. Estimate the costs of possible actions

Possible actions:

object class	action type
balls	push, step over, pick up
cars, toy blocks	step over, pick up
stuffed toys, dolls	pick up
boxes, books	step onto, pick up

→ use depth information to exclude actions depending on the object size

Action costs: estimated execution time

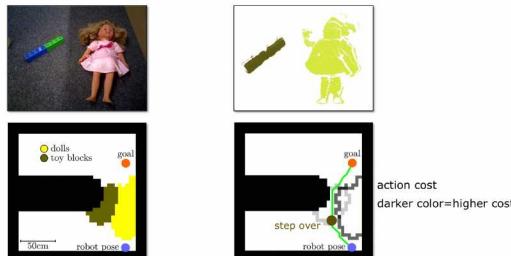
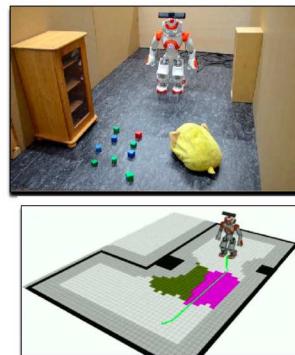
- can be learned from experimental runs
- assign cheapest possible action to each object

### 3. Encode the action costs in a 2D cost grid of the environment

Project obstacles with their cost onto a 2D grid

### 4. Search for 2D path on the cost grid

Obtain the cheapest path and action for each grid cell



## Plan execution

Path segments without objects:

Walking control along the 2D path

Path segments with action:

**Push/pick up:** Walk to the last free grid cell on the 2D path and perform the action on the segmented point cloud

**Step over/onto:** 3D footprint planning in the corresponding region on the height map computed from the point cloud

## Human Motion Prediction

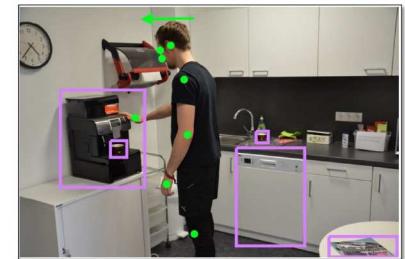
Predict the user's navigation goal by modelling the transition probabilities for object interactions

Realize foresighted navigation by choosing poses close to the user while avoiding interference

### Learning Transitions:

R-CNN trained on Open Images dataset to detect objects in RGB-D videos

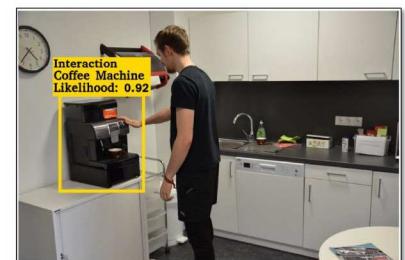
OpenPose for user detection and human pose estimation



### Detection of Interactions

Estimate user's orientation and hand positions

Infer interaction from overlap between hand and bounding boxes and check depth

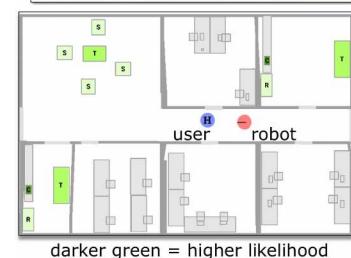


### Belief about Navigation Goal:

$$\begin{aligned}
 & \text{object class + position} \quad \text{observed user position and pose} \\
 & \quad \swarrow \quad \searrow \\
 & p(o_i|S) \\
 & = \eta \cdot p(S|o_i)p(o_i) \\
 & = \eta \cdot p(S|o_i)I(o_i|\tau) \\
 & \quad \swarrow \quad \searrow \\
 & \text{observation likelihood} \quad \text{learned object transitions} \quad \text{observed human-object interaction} \\
 \\
 & \text{user's observed position and pose} \quad \text{user's observed position} \quad \text{user's observed orientation} \\
 & \quad \swarrow \quad \mid \quad \mid \\
 & p(S|o_i) = dist(x_h, x_i)^{-1} \cdot \Delta(\theta_h, \theta_{opt})^{-1} \\
 & \quad \text{object position} \quad \text{optimal orientation wrt. to the object}
 \end{aligned}$$

### Method:

1. Extract human-object interactions and derive object transitions
2. Integrate the observations of the user's position and orientation  
→ Use inverse of distance and orientation difference
3. Update navigation goal belief

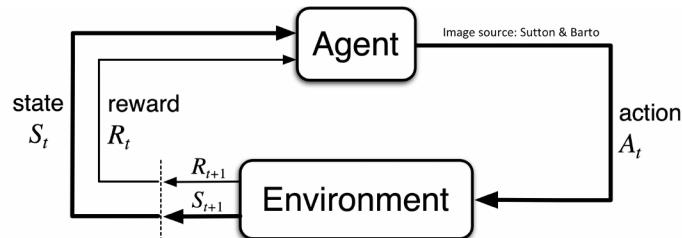


## 8. Reinforcement Learning

### Reinforcement Learning Model

An agent learns a policy by interacting with the environment and getting sparse feedback.

Markovian Model:



Goal: Maximize cumulative reward

Reward: 
$$G_t = r_{t+1} + \gamma r_{t+2} + \gamma^2 r_{t+3} + \dots$$

$\gamma$ : discount factor

State values:

$$\begin{aligned} V(s) &= \mathbb{E}[G_t | S_t = s] \\ &= \mathbb{E}[r_{t+1} + \gamma r_{t+2} + \gamma^2 r_{t+3} + \dots | S_t = s] \\ &= \mathbb{E}\left[\sum_{k=0}^{\infty} \gamma^k r_{t+k+1} | S_t = s\right] \end{aligned}$$

Value of the state to reach a specific goal using a fixed policy

Policy:  $\pi: S \rightarrow A$

Optimal: 
$$\pi^*(s) = \operatorname{argmax}_{\pi} V_{\pi}(s)$$

Bellman equation:

$$\begin{aligned} V^{\pi}(s) &= E_{\pi}\left[R_t | s_t = s\right] \\ &= E_{\pi}\left[r_{t+1} + \gamma V(s_{t+1}) | s_t = s\right] \\ &= \sum_a \pi(s, a) \sum_{s'} P_{ss'}^a \left[ R_{ss'}^a + \gamma V^{\pi}(s') \right] \end{aligned}$$

sum over  
all actions  
occ. to  $\pi$

value  
successor

immediate  
reward

Expresses the relationship between the value of a state and its successor states and gives a recursive formulation

## RL Paradigms

**Model free:** No prior knowledge about the world

**Model based:** Model of world exist and used for training

## Learning Paradigms:

**On-Policy:** Updates the values by assuming to follow the current policy's action.

**Off-policy:** Updates the values using a different policy, i.e., greedy policy, than the one the decisions are based upon.

## RL-Agent Categories

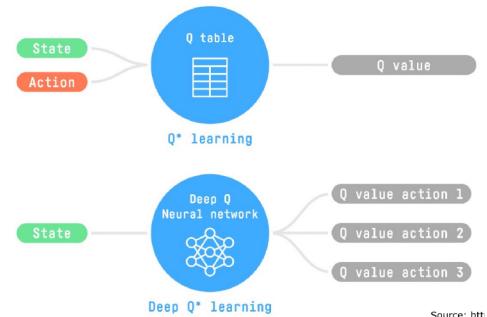
**Value based:** Train to approximate the value function

**Policy based:** Learn a probability distribution over the set of possible actions

**Actor-critic:** A critic estimates the value and the agent updates its policy distribution accordingly

## Deep Reinforcement Learning

Use neural networks to estimate value functions

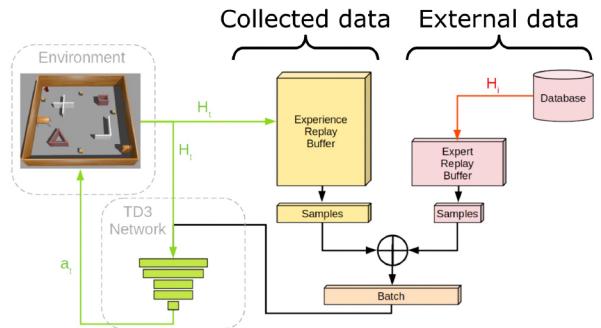


## Experience Replay Buffer

Increase efficiency by reusing experiences instead of resampling every time.

### Functioning:

After agent took an action and received a reward, write experience to the buffer



### Hindsight Experience Replay

**Goal:** Overcome failures in learning

**In case of failure:** Rewrite state and reward assuming the reached state was a success

### Advantages:

- effective utilization of failures
- improved exploration and exploitation
- better convergence and stability

### Prioritized Experience Replay

**Goal:** Prioritize experiences based on their importance

**Priority:** Difference between the estimated state-action value and the actual value

### Advantages:

- focused utilization and repetition of important experiences
- better adaptability to changing environments

### Attentive Experience Replay

**Goal:** Prioritize experiences based on their similarity

Probability of selection is defined by the similarity to the current observation

→ use  $k \cdot n$  samples and choose  $n$  most similar for further computation

### Advantages:

- reduces sampling of irrelevant state-action pairs
- focusses on task of current situation

# Object Pushing

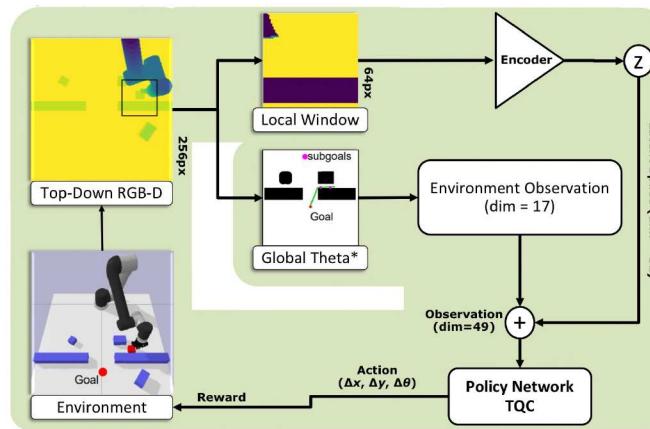
## Applications:

- Re-positioning & Re-orientating
- Move large, heavy or uneven shaped objects
- Move fragile objects to target positions

## Goal:

- efficient goal-oriented pushing
- avoiding obstacles
- encourage gentle motion

## Approach:



## Actions:

- increment of current end effector position
- ( $\Theta_x, \Theta_y, \Theta_{yaw}$ )

## Observations:

- Latent space of local window (32)
- End effector position (5)
- 6D joint angle pose (6)
- Sub-goal at t-1 (2)
- Sub-goal at t-5 (2)
- Contact with obstacle (1)
- Object to goal distance (1)

## Reward:

$$r_{dist} = \begin{cases} 50, & \text{if goal reached} \\ -r_{g\_dist} - r_{o\_dist}, & \text{otherwise} \end{cases}$$

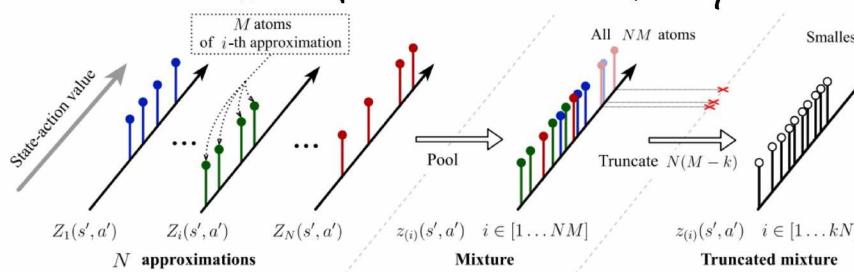
$$r_{collision} = \begin{cases} -10, & \text{if object out of bounds} \\ -5 & \text{if collision occurred} \end{cases}$$

$$r_{touch} = \begin{cases} r_{o\_dist}, & \text{contact to object} \\ 0 & \text{otherwise} \end{cases}$$

$$r_{total} = r_{dist} + r_{collision} + r_{touch}$$

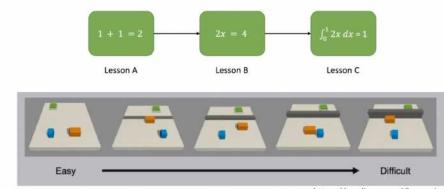
Total: 49

## Learning: Truncated Quantile Critics → Attentive experience replay



### Curriculum learning

- Increasing start-goal distance (6 cm to 60 cm)
- Increasing difficulty of environment (closer gaps)



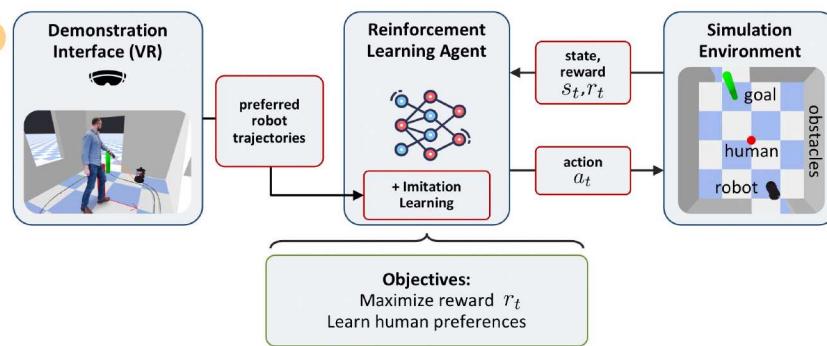
# Robot Navigation

**Goal:** Learn path from demonstration

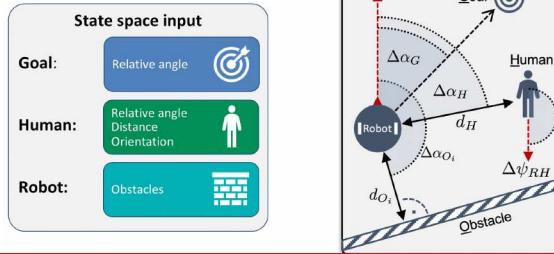


- map with known obstacles
- obstacles
- global path
- collision-free path
- preferred path

**Approach:**



**State space:**



**Reward:**

$$r = r_{\text{collision}} + r_{\text{goal}} + r_{\text{timeout}}$$

$$r_{\text{collision}} = \begin{cases} -c_{\text{rew}} & \text{if collision} \\ 0 & \text{else.} \end{cases}$$

**Demo boost**

$$r_{\text{goal}} = \begin{cases} +c_{\text{rew}} & \text{if goal reached in demonstration data} \\ 0 & \text{if goal reached during training} \\ 0 & \text{else} \end{cases}$$

$$r_{\text{timeout}} = \begin{cases} -\frac{c_{\text{rew}}}{2} & \text{if episode timeout } (n > N_{\text{ep}}) \\ 0 & \text{else} \end{cases}$$

**Perception:**

