

# Chapter 2-2

## Modeling Concurrent Systems

# Transition system

- State: the behavior of systems at a certain moment.
  - ◆ A state of a traffic light is the color of the light.
  - ◆ A state of a sequential program is the current values of variables and program counter.
  - ◆ A state of a synchronous hardware circuit the value of input bits and registers .

# Transition systems

- Transition specify the evolution from one state to another.
  - ◆ Traffic light is a switch from one color to another.
  - ◆ Transition of a sequential program is the execution of a statement.
  - ◆ A transition of a synchronous hardware circuit is the change of registers and output bits on a new set of input.

# Transition system

- Action: communication mechanisms between processes.
- Atomic propositions: formalize temporal characteristics.
  - ◆  $X=10$
  - ◆  $X<200$
  - ◆ There is more than a liter of fluid in the tank
  - ◆ There are no customers in the shop.

# Transition system(TS)

- A *transition system*  $TS$  is a tuple  $(S, Act, \rightarrow, I, AP, L)$  where
  - $S$  is a set of states,
  - $Act$  is a set of actions,
  - $\rightarrow \subseteq S \times Act \times S$  is a transition relation,
  - $I \subseteq S$  is a set of initial states,
  - $AP$  is a set of atomic propositions, and
  - $L : S \rightarrow 2^{AP}$  is a labeling function.
- $TS$  is called *finite* if  $S$ ,  $Act$ , and  $AP$  are finite.

# TS(cont)

- $s \xrightarrow{\alpha} s'$  instead of  $(s, \alpha, s') \in \rightarrow$ 
  - ◆ a transition originating from  $s$  is selected *nondeterministically*.
  - ◆ The action  $\alpha$  is performed and the transition system evolves from state  $s$  into the state  $s'$ .
  - ◆ when the set of initial states consists of more than one state, the start state is selected *nondeterministically*.
  - ◆ Actions are for modeling communication mechanisms. Others can omit actions.

# TS(cont)

- Label function  $L(s) \in 2^{AP}$ 
  - ♦ A state  $s$  satisfies a propositional logic formula  $\Phi$  if  $L(s)$  makes the formula  $\Phi$  true; that is:
$$s \models \Phi \text{ iff } L(s) \models \Phi.$$
  - ♦ AP is chosen depending on the characteristics of interest.

# Satisfaction relation $\models$

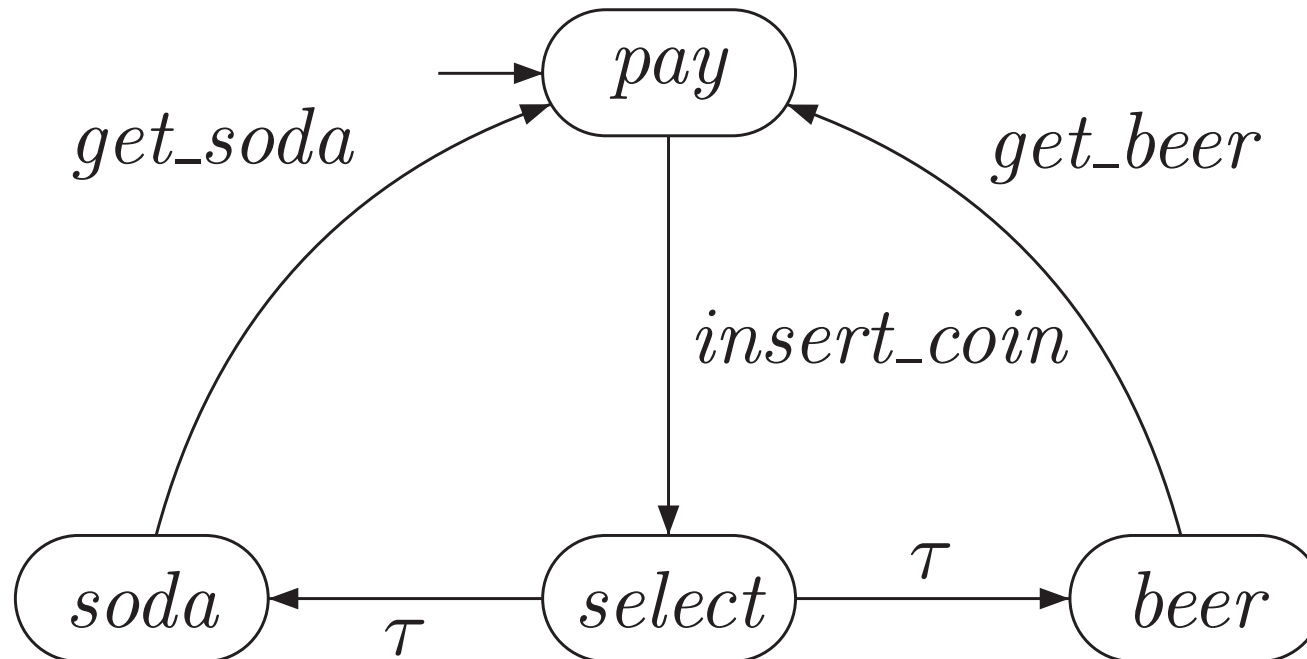
- Evaluation for AP is function  $\mu:AP \rightarrow \{0,1\}$ .
- $\text{Eval}(AP)$  is the set of all evaluations for AP.
- Satisfaction relation  $\models$  is a set of pair  $(\mu, \phi)$ , indicating the evaluations  $\mu$  for which a formula  $\phi$  is true.
  - ◆  $\mu \models \text{true}$
  - ◆  $\mu \models a$  iff  $\mu(a)=1$
  - ◆  $\mu \models \neg \phi$  iff  $\mu \not\models \phi$
  - ◆  $\mu \models \phi \wedge \psi$  iff  $\mu \models \phi \wedge \mu \models \psi$



# Application of nondeterministic

- to model the parallel execution of independent activities by interleaving
- to model the conflict situations that arise if two processes aim to access a shared resource.
- To be so important for abstraction purposes, for underspecification
- to model the interface with an unknown or unpredictable environment.

# Example: Beverage Vending



- $S = \{ \text{pay}, \text{select}, \text{soda}, \text{beer} \}$
- $I = \{ \text{pay} \}$
- $\text{Act} = \{ \text{insert\_coin}, \text{get\_soda}, \text{get\_beer}, \tau \}$

## Example(cont)

- After the insertion of a coin, the vending machine nondeterministically can choose to provide either beer or soda.
- $AP = \{ paid, drink \}$  –property under consideration
- Labeling function:

$$L(pay) = \emptyset,$$

$$L(soda) = L(beer) = \{ paid, drink \},$$

$$L(select) = \{ paid \}.$$

# Actions and atomic propositions

- Actions are only necessary for modeling communication mechanisms
- The set of propositions  $AP$  is always chosen depending on the characteristics of interest.

# Direct Successors

- Let  $TS = (S, Act, \rightarrow, I, AP, L)$  be a transition system. For  $s \in S$  and  $\alpha \in Act$ , the set of direct  $\alpha$ -successors of  $s$  is defined as:

$$Post(s, \alpha) = \left\{ s' \in S \mid s \xrightarrow{\alpha} s' \right\}$$

$$Post(s) = \bigcup_{\alpha \in Act} Post(s, \alpha)$$

# Direct Predecessors

- The set of  *$\alpha$ -predecessors* of  $s$  is defined by:

$$Pre(s, \alpha) = \left\{ s' \in S \mid s' \xrightarrow{\alpha} s \right\}$$

$$Pre(s) = \bigcup_{\alpha \in Act} Pre(s, \alpha)$$

# Direct Predecessors and Successors expanded to subset

$$Post(C, \alpha) = \bigcup_{s \in C} Post(s, \alpha), \quad Post(C) = \bigcup_{s \in C} Post(s)$$

$$Pre(C, \alpha) = \bigcup_{s \in C} Pre(s, \alpha), \quad Pre(C) = \bigcup_{s \in C} Pre(s)$$

# Terminal State of a TS

- State  $s$  in  $TS$  is called *terminal* iff:
  - ◆  $Post(s) = \emptyset$ .
- For a sequential computer program, terminal states represents the termination of the program.
- For parallel systems, terminal states are usually considered to be undesired



# Deterministic Transition System

- Let  $TS = (S, Act, \rightarrow, I, AP, L)$  be a transition system.
  - ◆  $TS$  is called *action-deterministic* if for all states  $s$  and actions  $\alpha$   
 $|I| \leq 1$  and  $|Post(s, \alpha)| \leq 1$ .
  - ◆  $TS$  is called *AP-deterministic* if for all states  $s$  and  $A \in 2^{AP}$   
 $|I| \leq 1$  and  
 $|Post(s) \cap \{s' \in S \mid L(s') = A\}| \leq 1$

# Execution (also called run)

- Execution fragment
  - ◆ Let  $TS = (S, Act, \rightarrow, I, AP, L)$ . A *finite* execution fragment of  $TS$ :

$$\varrho = s_0 \alpha_1 s_1 \alpha_2 \dots \alpha_n s_n$$

such that  $s_i \xrightarrow{\alpha_{i+1}} s_{i+1}$  for all  $0 \leq i < n$

Where  $n \geq 0$  is the length of the finite execution fragment.

# Infinite execution fragment

- ◆ An *infinite* execution fragment  $\rho$  of  $TS$  :

$$\rho = s_0 \alpha_1 s_1 \alpha_2 s_2 \alpha_3 \dots$$

such that  $s_i \xrightarrow{\alpha_{i+1}} s_{i+1}$  for all  $0 \leq i$ .

# Maximal and Initial Execution Fragment

- A *maximal* execution fragment is either a finite execution fragment that ends in a terminal state, or an infinite execution fragment.
- An execution fragment is called *initial* if it starts in an initial state.

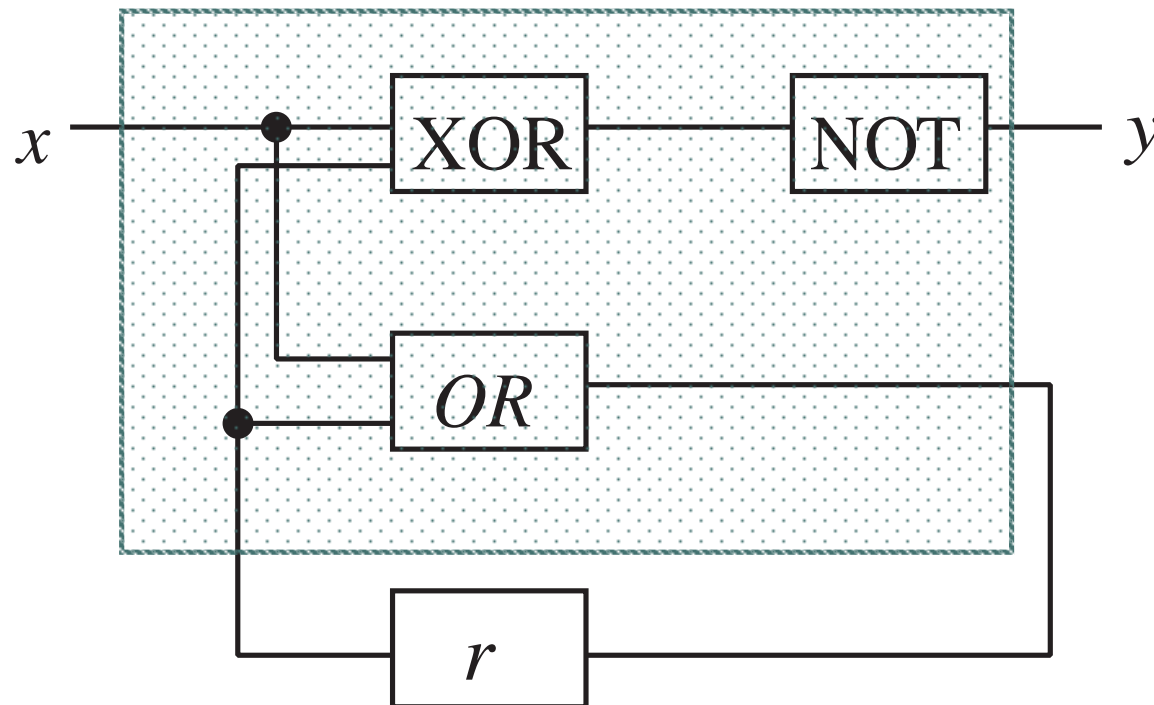
# Execution and Reachable states

- An *execution* of  $TS$  is an initial, maximal execution fragment.
- Let  $TS = (S, Act, \rightarrow, I, AP, L)$ , a state  $s \in S$  is called *reachable* in  $TS$  if there exists an initial, finite execution fragment

$$s_0 \xrightarrow{\alpha_1} s_1 \xrightarrow{\alpha_2} \dots \xrightarrow{\alpha_n} s_n = s$$

- $Reach(TS)$  denotes the set of all reachable states in  $TS$ .

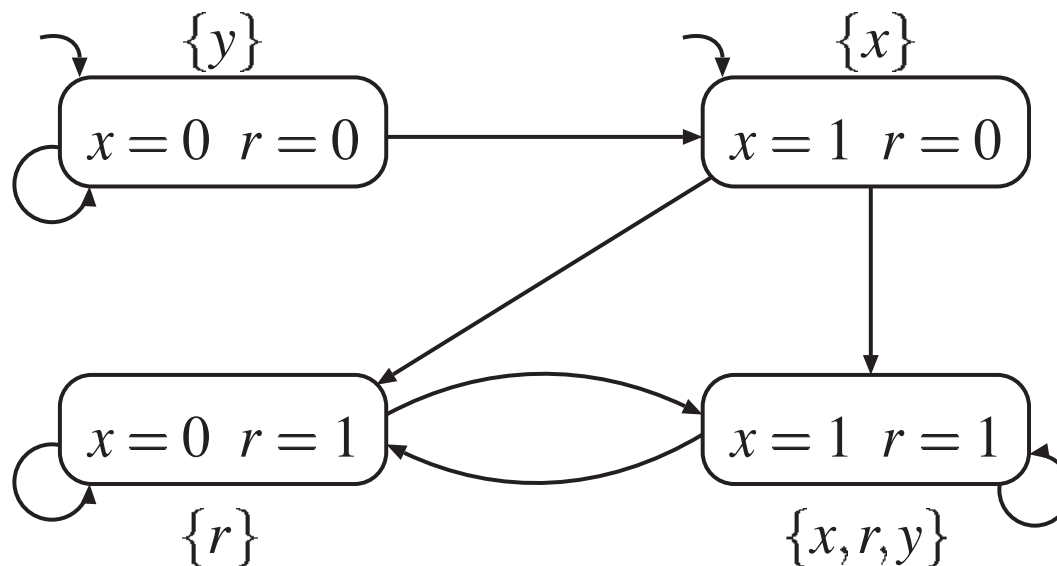
# Sequential Hardware Circuits



$$\lambda_y = \neg(x \oplus r)$$

$$\delta_r = x \vee r.$$

# Sequential Hardware Circuits(con't)



- state space:  $S = \text{Eval}(x, r)$ ----the set of evaluation of  $x$  and  $r$
- $AP = \{x, y, r\}$
- Label function

# Sequential Hardware Circuits(con't)

- property: the output  $y$  is set infinitely often.
- $AP' = \{x, y\}$
- $r$  is invisible.



# Sequential Hardware Circuits(con't)

- the general approach for sequential hardware circuits

- ◆ state:  $\text{Eval}(x_1, \dots, x_n, r_1, \dots, r_k)$
- ◆ action:  $\{\mathcal{T}\}$
- ◆  $AP = \{x_1, \dots, x_n, y_1, \dots, y_m, r_1, \dots, r_k\}$
- ◆ transition:
  - $(a_1, \dots, a_n, c_1, \dots, c_k) \rightarrow (a'_1, \dots, a'_n, c'_1, \dots, c'_k)$

# Data-Dependent Systems

- modeling conditional branching as a TS, the conditions of transitions could be omitted and could be replaced by nondeterminism;
  - ◆ if  $x \% 2 = 1$  then  $x := x + 1$ ; else  $x := 2x$ ; if
- Result
  - ◆ very abstract transition system
  - ◆ a few relevant properties can be verified.
- New method
  - ◆ Conditional transition---program graph
  - ◆ Unfolded into a TS

# Beverage Vending Machine

- counts the number of soda and beer bottles and returns inserted coins if the vending machine is empty.
- Location: *start*, *select*
- Conditional transition-----  $g:\alpha$

$$start \xrightarrow{true : coin} select$$
$$start \xrightarrow{true : refill} start$$

# Beverage Vending Machine (cont)

- Conditional transition-----  $g:\alpha$ 
  - ◆  $g$  is a Boolean condition (guard)
  - ◆  $\alpha$  is an action that is possible once  $g$  holds. If  $g$  does not hold, nothing to do.

$select \xrightarrow{nsoda > 0 : sget} start$

$select \xrightarrow{nbeer > 0 : bget} start$

$select \xrightarrow{nsoda = 0 \wedge nbeer = 0 : ret\_coin} start$

# Beverage Vending Machine (cont)

- coin: insert coin
- ret\_coin: return coin
- Other actions

Action	Effect
<i>refill</i>	$nsoda := max; nbeer := max$
<i>sget</i>	$nsoda := nsoda - 1$
<i>bget</i>	$nbeer := nbeer - 1$

- Variables: *nsode*, *nbeer*

# Typed variables

- $nsoda, nbeer$  are typed variables:  $Var$
- The domain of  $x$ :  $dom(x)$
- The set of evaluation:  $Eval(Var)$
- The set of Boolean condition over  $Var$ :  $Cond(Var)$
- Propositional symbol:  $x \in D$ , where
  - ◆  $x = (x_1, x_2, \dots, x_n)$
  - ◆  $D = D_1 \times D_2 \times \dots \times D_n$
  - ◆ Condition: propositional symbol
    - $-3 < x - x' \leq 5$  and  $x \leq 2 * x'$

# effect

- The effect of the actions is formalized by means of a mapping:
  - ◆  $\text{Effect: Act} \times \text{Eval}(\text{Var}) \rightarrow \text{Eval}(\text{Var})$
  - ◆ How the evaluation  $\eta$  of variables is changed by performing an action.

# Program Graph (PG)

- a digraph whose edges are labeled with conditions on these variables and actions.
- The effect of the actions is formalized by means of a mapping
  - ◆  $\text{Effect} : \text{Act} \times \text{Eval}(\text{Var}) \rightarrow \text{Eval}(\text{Var})$
  - ◆  $\alpha$  action  $x := y + 5$ ,
  - ◆  $\eta$  evaluation  $\eta(x) = 17, \eta(y) = -2$ ,
  - ◆  $\text{Effect}(\alpha, \eta)(x) = \eta(y) + 5 = -2 + 5 = 3$
  - ◆  $\text{Effect}(\alpha, \eta)(y) = \eta(y) = -2$



# Program Graph (PG)

- A PG over set  $Var$  of typed variables is a tuple  $(Loc, Act, Effect, \hookrightarrow, Loc_0, g_0)$
- $Loc$  is a set of locations
- $Act$  is a set of actions,
- $Effect : Act \times Eval(Var) \rightarrow Eval(Var)$
- $\hookrightarrow \subseteq Loc \times Cond(Var) \times Act \times Loc$  is the conditional transition relation,
- $Loc_0 \subseteq Loc$  is a set of initial locations,
- $g_0 \in Cond(Var)$  is the initial condition.

# Beverage Vending Machine

- $Loc = \{start, select\}$
- $Loc_0 = \{start\}$   $Var = \{nsoda, nbeer\}$
- $Act = \{bget, sget, coin, ret\_coin, refill\}$
- $g_0 = (nsoda = max \wedge nbeer = max)$
- Effect
  - ◆  $Effect(coin, \eta) = \eta$
  - ◆  $Effect(ret\_coin, \eta) = \eta$
  - ◆  $Effect(sget, \eta) = \eta[nsoda := nsoda - 1]$
  - ◆  $Effect(bget, \eta) = \eta[nbeer := nbeer - 1]$
  - ◆  $Effect(refill, \eta) = [nsoda := max, nbeer := max]$

# Translation from PG into TS

## Definition 2.15. Transition System Semantics of a Program Graph

The transition system  $TS(PG)$  of program graph

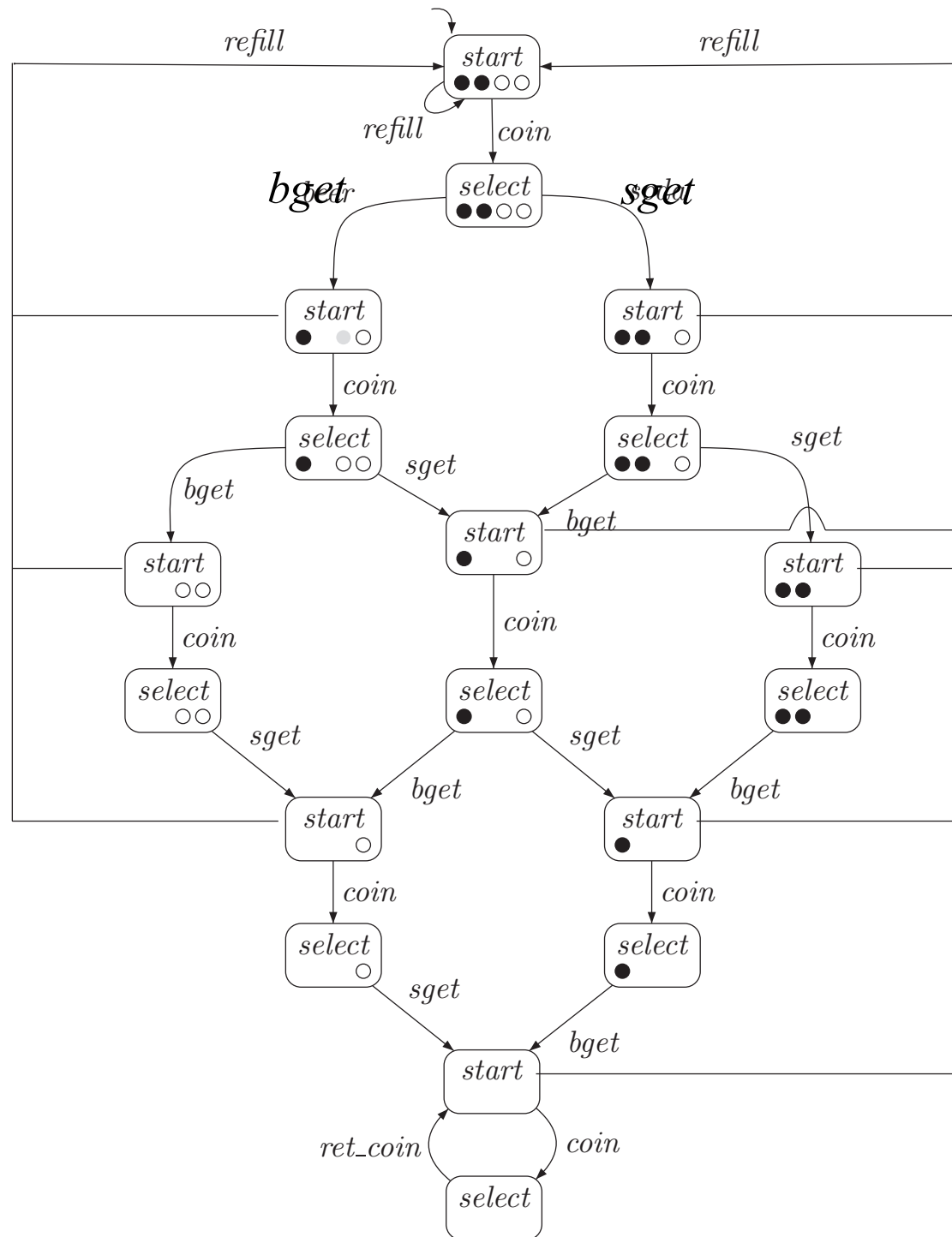
$$PG = (Loc, Act, Effect, \hookrightarrow, Loc_0, g_0)$$

over set  $Var$  of variables is the tuple  $(S, Act, \longrightarrow, I, AP, L)$  where

- $S = Loc \times Eval(Var)$
- $\longrightarrow \subseteq S \times Act \times S$  is defined by the following rule (see remark below):

$$\frac{\ell \xrightarrow{g:\alpha} \ell' \quad \wedge \quad \eta \models g}{\langle \ell, \eta \rangle \xrightarrow{\alpha} \langle \ell', Effect(\alpha, \eta) \rangle}$$

- $I = \{ \langle \ell, \eta \rangle \mid \ell \in Loc_0, \eta \models g_0 \}$
- $AP = Loc \cup Cond(Var)$
- $L(\langle \ell, \eta \rangle) = \{ \ell \} \cup \{ g \in Cond(Var) \mid \eta \models g \}.$

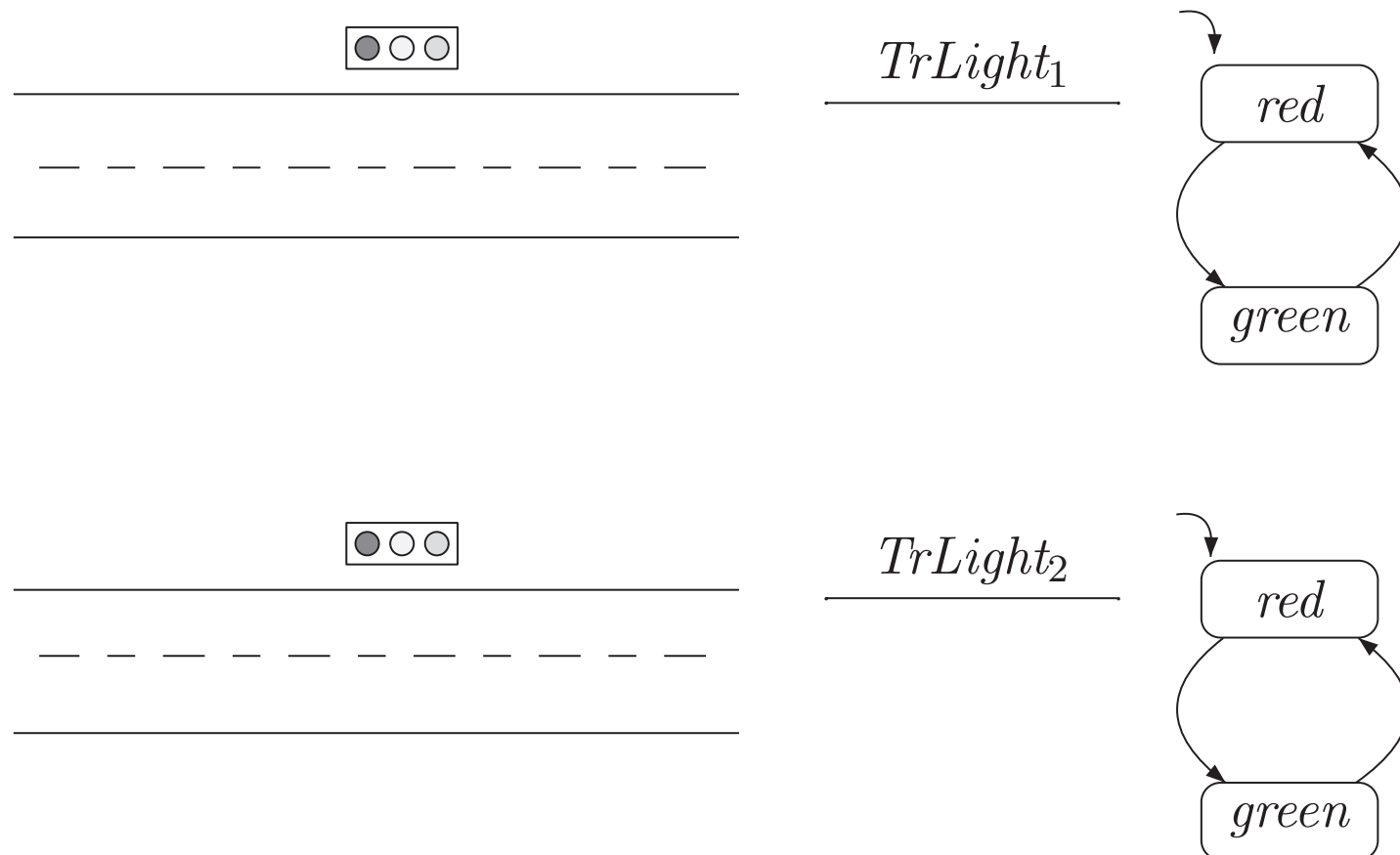


# Parallelism and Communication

- define an operator, such that:
- $TS = TS_1 \parallel TS_2 \parallel \dots \parallel TS_n$
- is a transition system that specifies the behavior of the parallel composition of transition systems  $TS_1$  through  $TS_n$ .
- interleaving: the system is composed of a set of independent components.
  - ◆ example: two processes,  $P \parallel Q$
  - ◆ the order: PQPPQP..., or PPPQQQQ, or PQPQPQ....

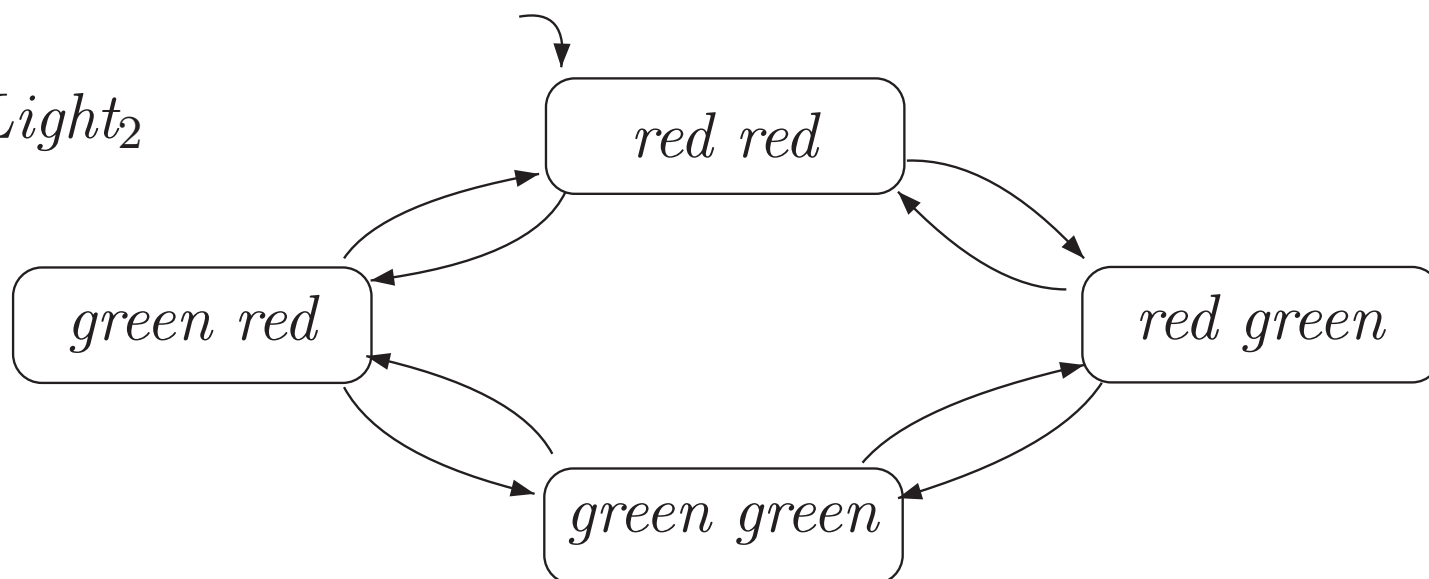
# Concurrency and Interleaving

- Two Independent Traffic Lights----the transition systems of two traffic lights are nonintersecting (i.e., parallel) roads.



# Two Independent Traffic Lights(cont)

$TrLight_1 \parallel TrLight_2$



where  $\parallel$  denotes the interleaving operator.

# Interleaving of Transition Systems

- Let  $TS_i = (S_i, Act_i, \rightarrow_i, I_i, AP_i, L_i)$   $i=1, 2$ ,  
 $TS_1 ||| TS_2$   
 $= (S_1 \times S_2, Act_1 \cup Act_2, \rightarrow, I_1 \times I_2, AP_1 \cup AP_2, L)$ ,  
 where  $\rightarrow$

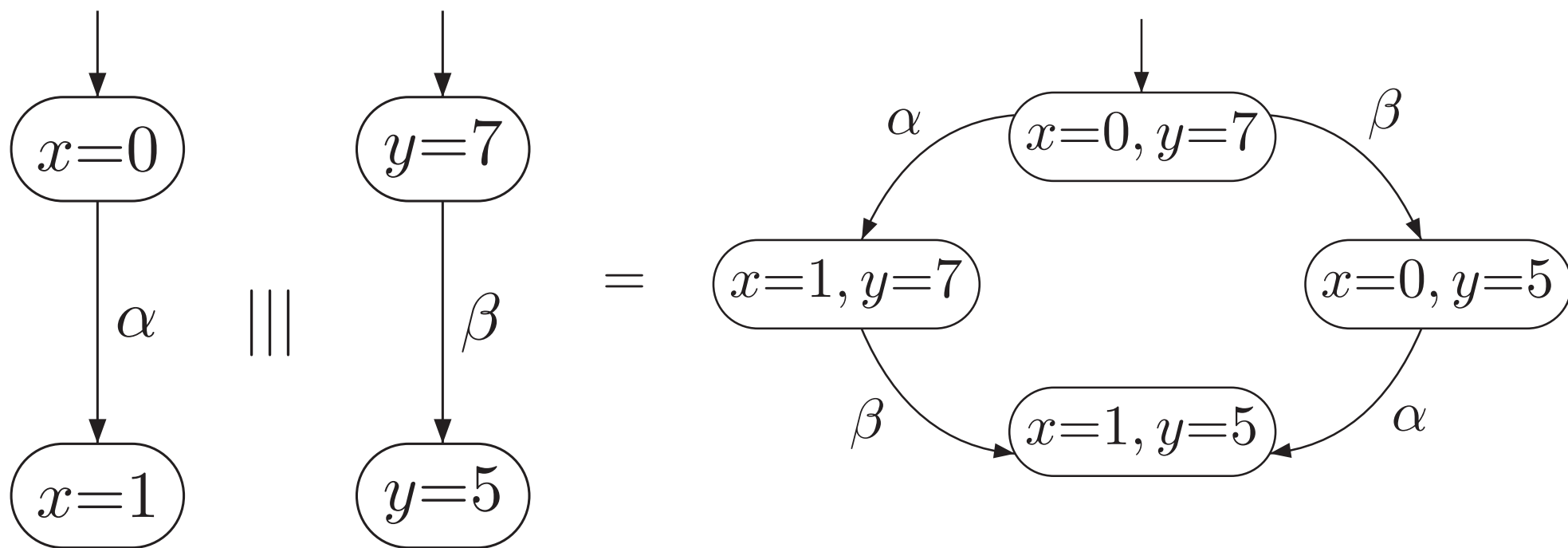
$$\frac{s_1 \xrightarrow{\alpha}_1 s'_1}{\langle s_1, s_2 \rangle \xrightarrow{\alpha} \langle s'_1, s_2 \rangle} \quad \text{and} \quad \frac{s_2 \xrightarrow{\alpha}_2 s'_2}{\langle s_1, s_2 \rangle \xrightarrow{\alpha} \langle s_1, s'_2 \rangle}$$

- the labeling function is defined by  $L(\langle s_1, s_2 \rangle) = L(s_1) \cup L(s_2)$ .



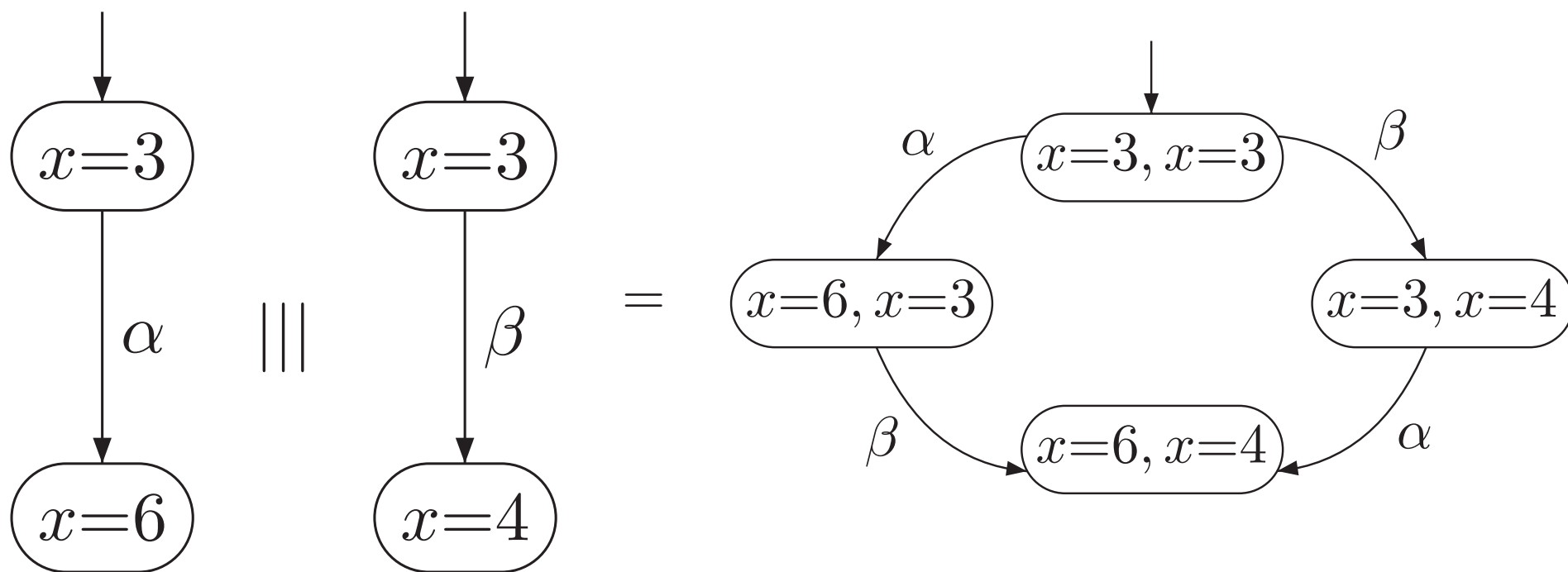
# concurrent execution of independent activities.

$\underbrace{x := x + 1}_{=\alpha} \parallel \underbrace{y := y - 2}_{=\beta}$  initially  $x = 0$  and  $y = 7$ ,



# Communication via Shared variables

- Example:  $\underbrace{x := 2 \cdot x}_{\text{action } \alpha} \parallel \underbrace{x := x + 1}_{\text{action } \beta}$
- Initial  $x=3$
- $\text{TS}(\text{PG1}) \parallel \text{TS}(\text{PG2})$



# problem

- the actions  $\alpha$  and  $\beta$  access the shared variable  $x$  and therefore are competing.
- Solution: an interleaving operator will be defined on the level of program graphs
- The underlying transition system of the resulting program graph  $PG1 \parallel PG2$ , i.e.,  $TS(PG1 \parallel PG2)$  faithfully describes a parallel system whose components communicate via shared variables.

# Interleaving of Program Graphs

Let  $PG_i = (Loc_i, Act_i, Effect_i, \hookrightarrow_i, Loc_{0,i}, g_{0,i})$ , for  $i=1, 2$  be two program graphs over the variables  $Var_i$ . Program graph  $PG_1 ||| PG_2$  over  $Var_1 \cup Var_2$  is defined by

$$PG_1 ||| PG_2 = (Loc_1 \times Loc_2, Act_1 \uplus Act_2, Effect, \hookrightarrow, Loc_{0,1} \times Loc_{0,2}, g_{0,1} \wedge g_{0,2})$$

where  $\hookrightarrow$  is defined by the rules:

$$\frac{\ell_1 \xrightarrow{g:\alpha}_1 \ell'_1}{\langle \ell_1, \ell_2 \rangle \xrightarrow{g:\alpha} \langle \ell'_1, \ell_2 \rangle} \quad \text{and} \quad \frac{\ell_2 \xrightarrow{g:\alpha}_2 \ell'_2}{\langle \ell_1, \ell_2 \rangle \xrightarrow{g:\alpha} \langle \ell_1, \ell'_2 \rangle}$$

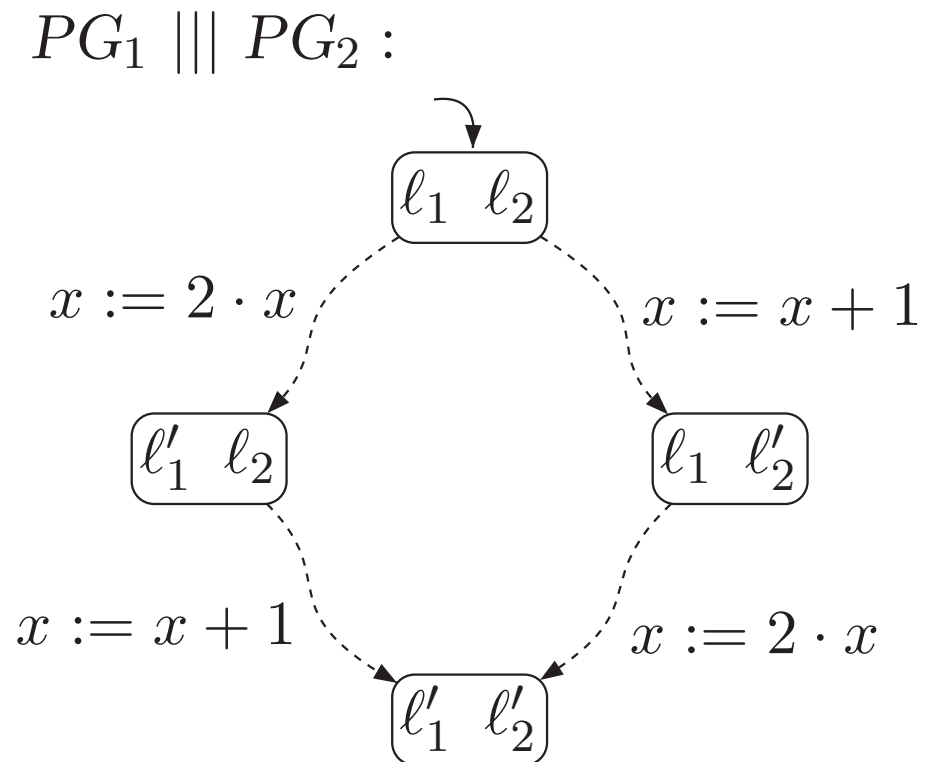
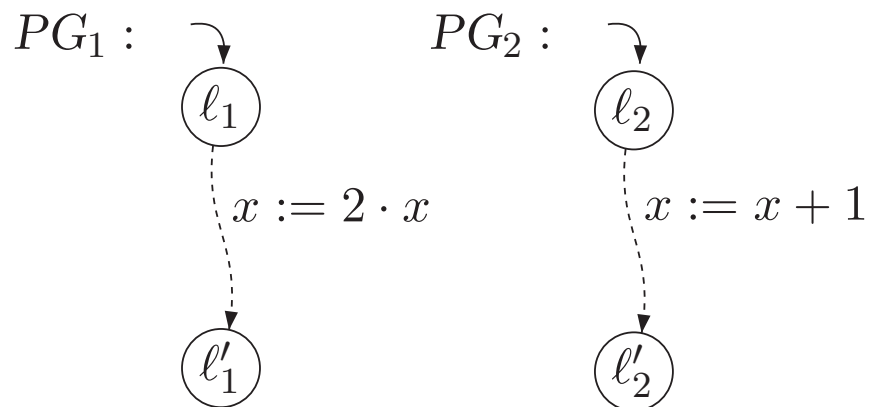
and  $Effect(\alpha, \eta) = Effect_i(\alpha, \eta)$  if  $\alpha \in Act_i$ . ■

# Interleaving of Program Graphs

- $\text{Var}_1 \cap \text{Var}_2 \neq \emptyset$  -----shared variables  
(sometimes called “global”), critical
- $\text{Var}_1/\text{Var}_2$  -----local variables of  $\text{PG}_1$ .  
noncritical
- $\text{Var}_2/\text{Var}_1$  -----local variables of  $\text{PG}_2$ .  
noncritical

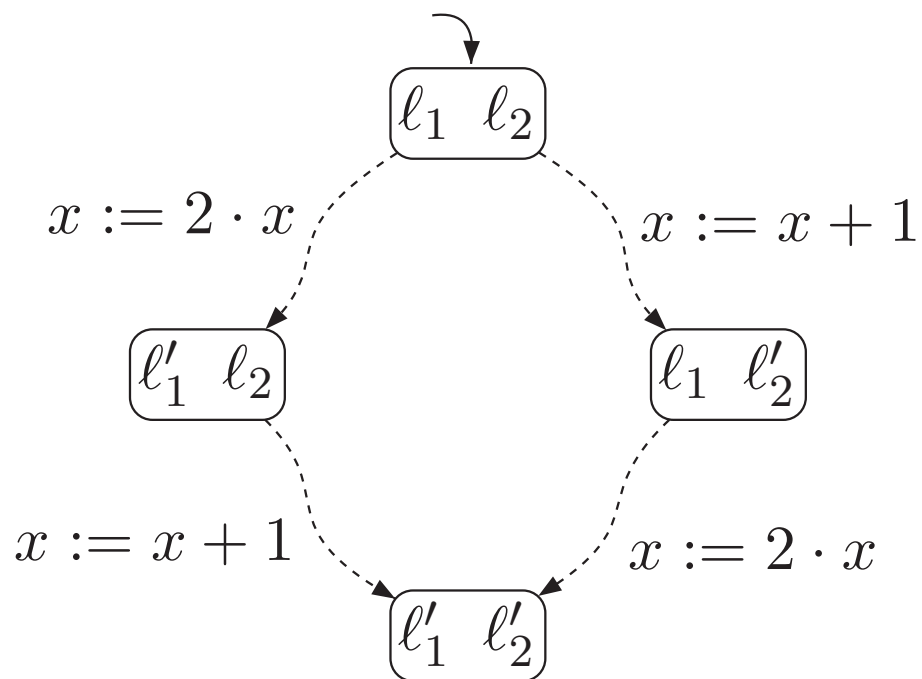
# example

- $x = x + 1 \parallel\parallel x := 2 \cdot x$

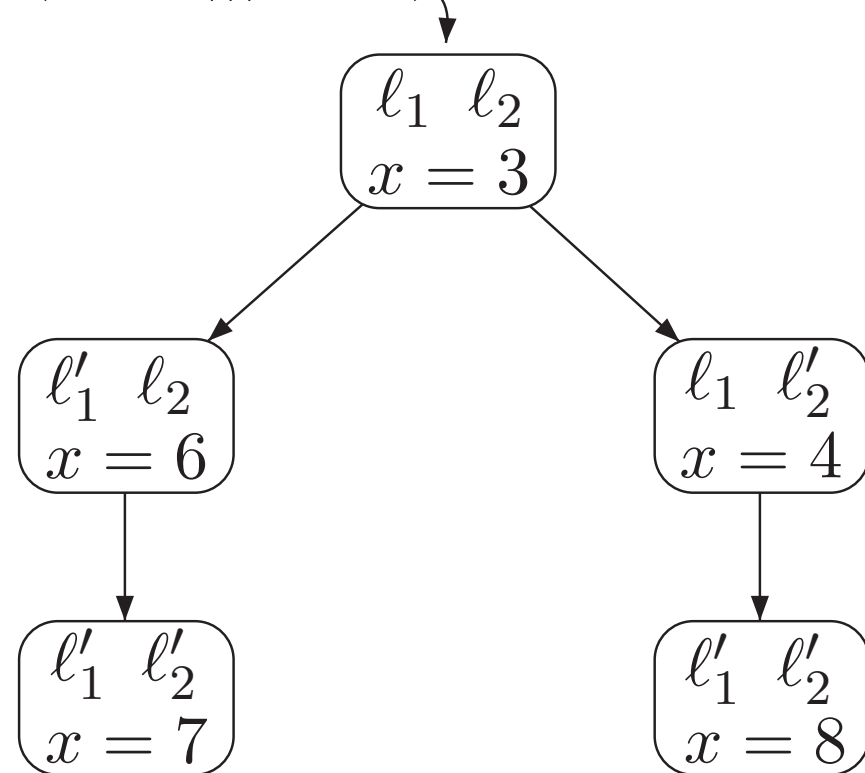


# TS of example

$PG_1 \parallel PG_2 :$



$TS(PG_1 \parallel PG_2)$



# nondeterminism in a state of this transition system

- An “internal” nondeterministic choice within program graph  $PG_1$  or  $PG_2$ ,
- The interleaving of noncritical actions of  $PG_1$  and  $PG_2$ , or
- The resolution of a contention between critical actions of  $PG_1$  and  $PG_2$  (concurrency).
  - ◆ The value of the shared variables depends on the order of executing Critical actions of  $PG_1$  and  $PG_2$ .



# Atomicity

- an action  $\alpha$  with its effect being described by the statement sequence.
- declared *atomic* , surrounded by brackets  $\langle \dots \rangle$
- $x := x + 1; y = 2x + 1; \text{ if } x < 12 \text{ then } z := (x - 2)^2 * y ;$ 
  - ♦  $\text{Effect}(\alpha, \eta)(x) = \eta(x) + 1$
  - ♦  $\text{Effect}(\alpha, \eta)(y) = 2(\eta(x) + 1) + 1$
  - ♦  $\text{Effect}(\alpha, \eta)(z) = \begin{cases} (\eta(x) + 1 - \eta(z))^2 * 2(\eta(x) + 1) + 1 & \text{if } \eta(x) + 1 < 12 \\ \eta(z) & \text{otherwise} \end{cases}$

# Mutual Exclusion with Semaphores

- share the binary semaphore  $y$ .
- $y=0$  indicates that the semaphore—the lock to get access to the critical section—is currently possessed by one of the processes.
- $y=1$ , the semaphore is free.

# Mutual Exclusion with Semaphores (cont)

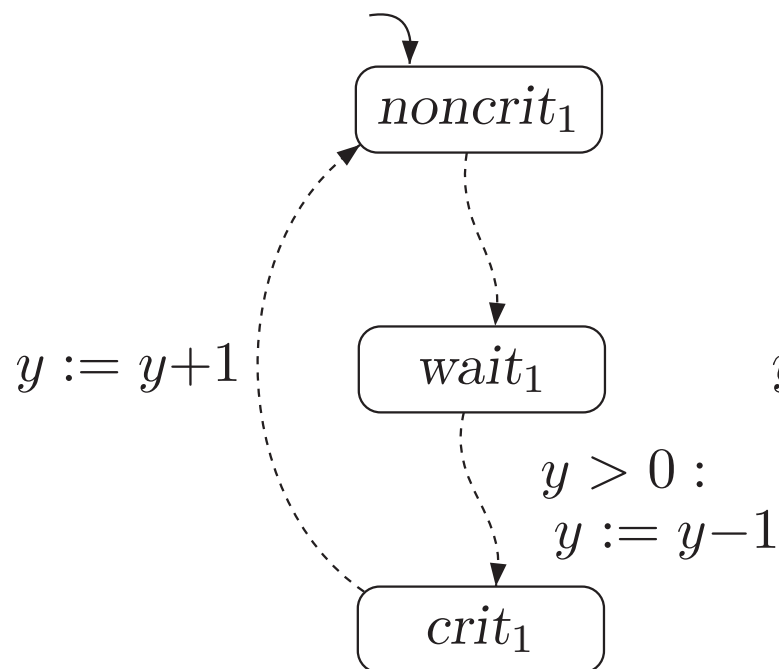
- Consider two simplified processes  $P_i$ ,  $i=1,2$  of the form:

```
 $P_i$   loop forever  
       $\vdots$                 (* noncritical actions *)  
      request  
      critical section  
      release  
       $\vdots$                 (* noncritical actions *)  
      end loop
```

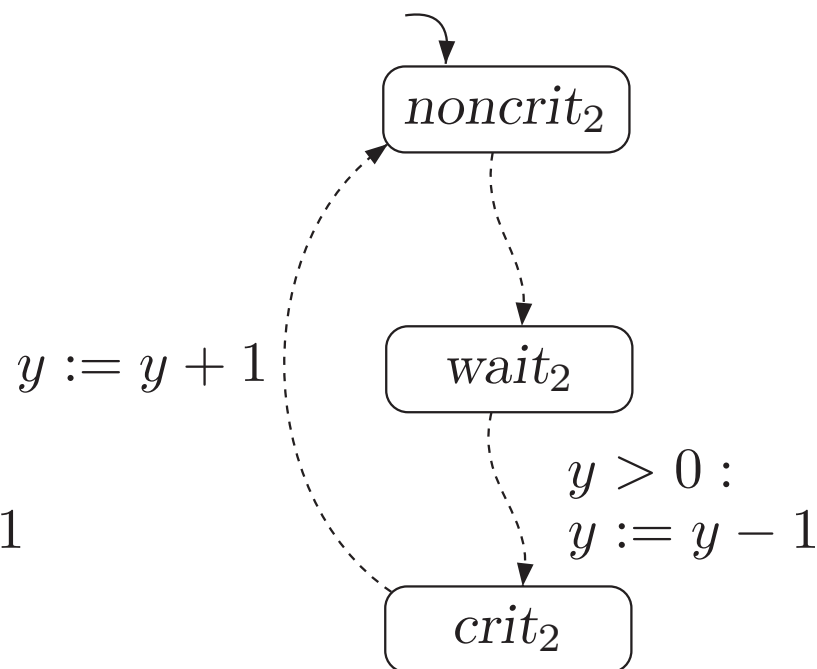
# Mutual Exclusion with Semaphores(cont)

- $PG_1$  and  $PG_2$ .

$PG_1$  :

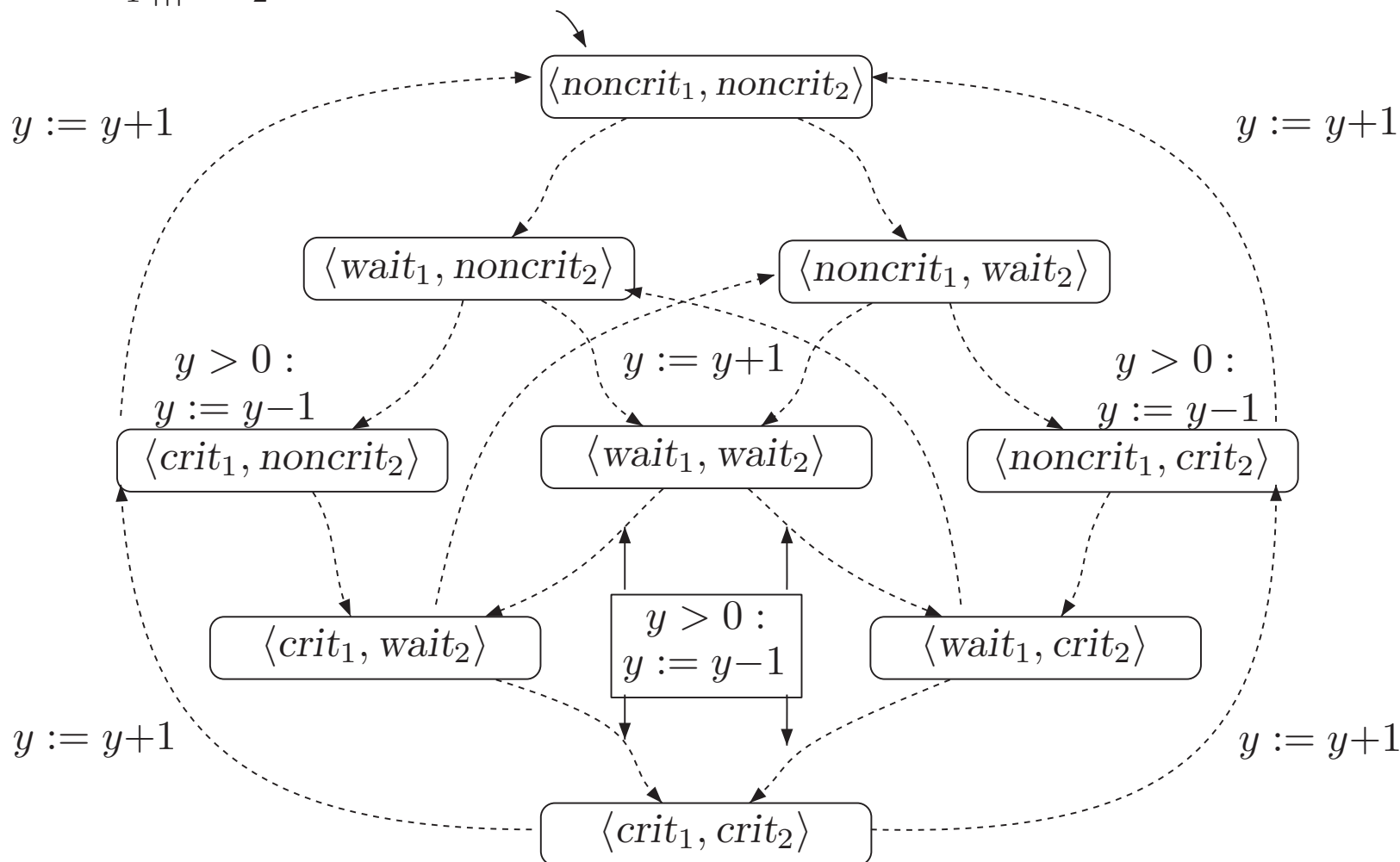


$PG_2$  :

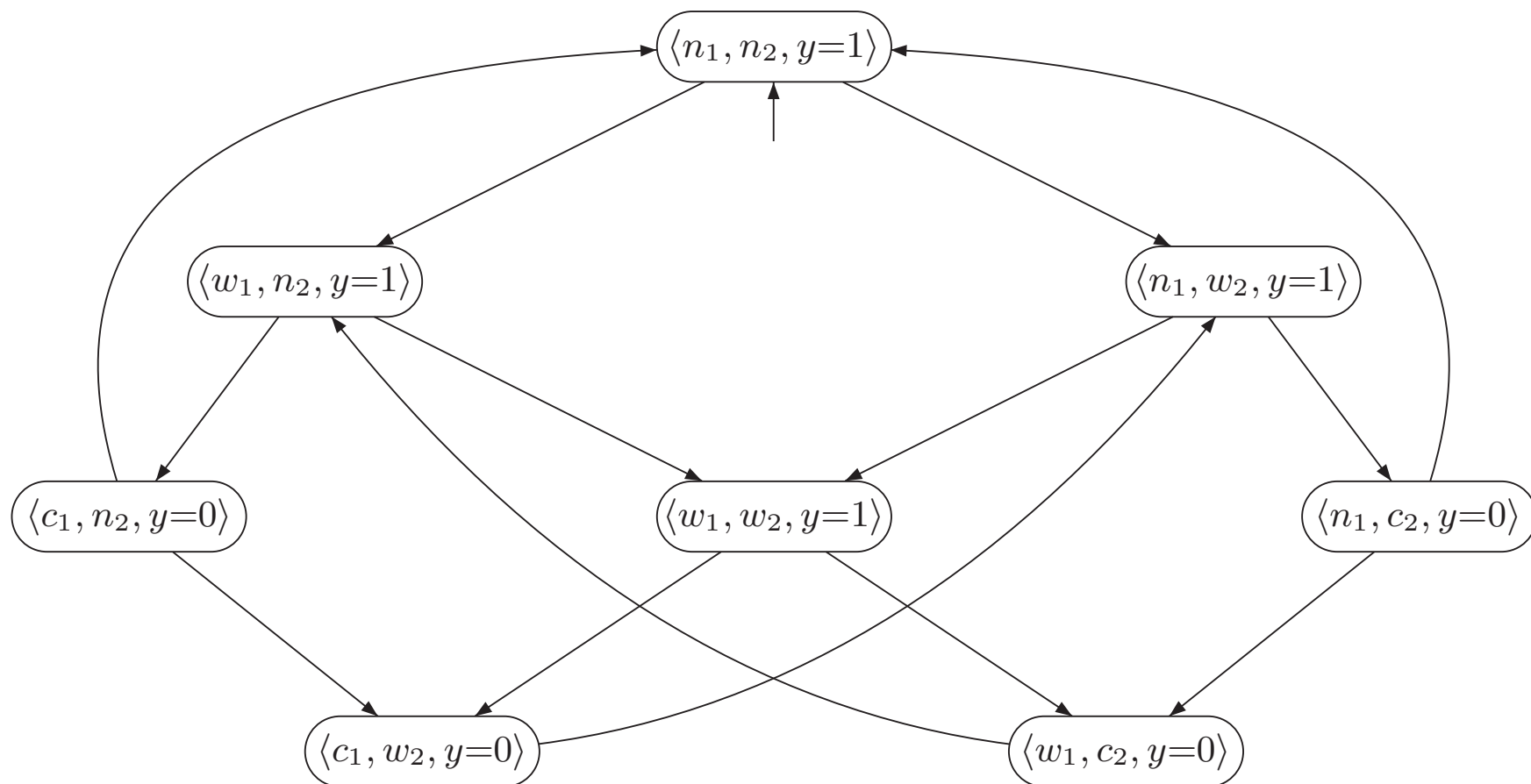


# Mutual Exclusion with Semaphores(cont)

$PG_1 ||| PG_2 :$



# Translation from PG into TS



# Peterson's Mutual exclusion

- Shared variables  $b_1, b_2$  and  $x$ .
- Initial  $b_1 = b_2 = \text{false}$

$P_1$  **loop forever**

...

$\langle b_1 := \text{true}; x := 2; \rangle$

**wait until**  $(x = 1 \vee \neg b_2)$

**do** critical section **od**

$b_1 := \text{false}$

...

**end loop**

$P_2$  **loop forever**

...

$\langle b_2 := \text{true}; x := 1; \rangle$

**wait until**  $(x = 2 \vee \neg b_1)$

**do** critical section **od**

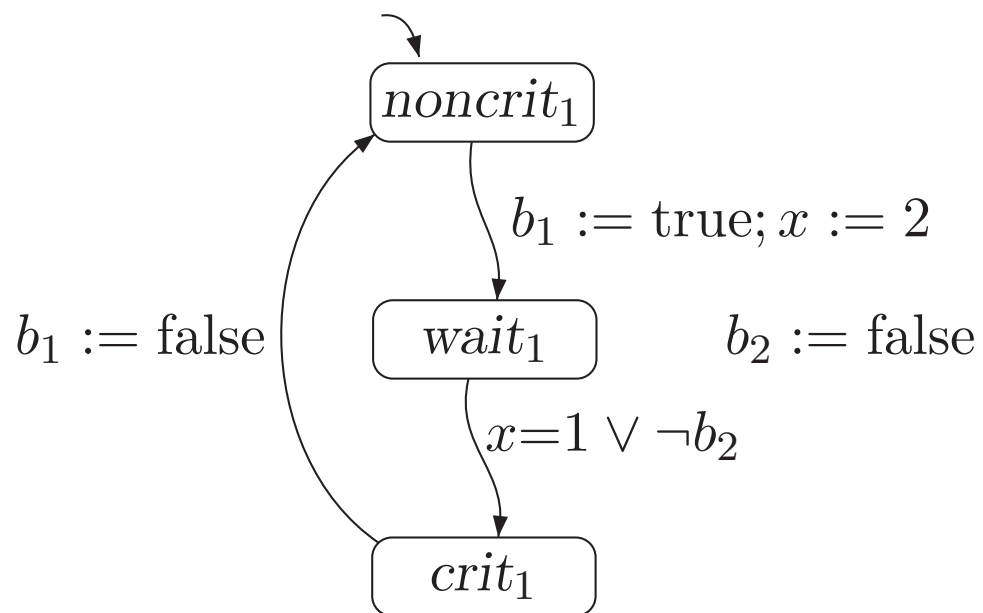
$b_2 := \text{false}$

...

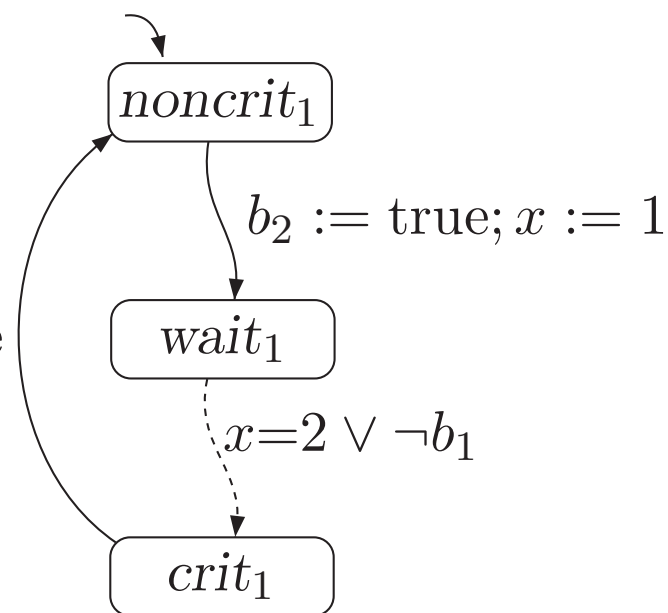
**end loop**

# PG of these processes

$PG_1 :$

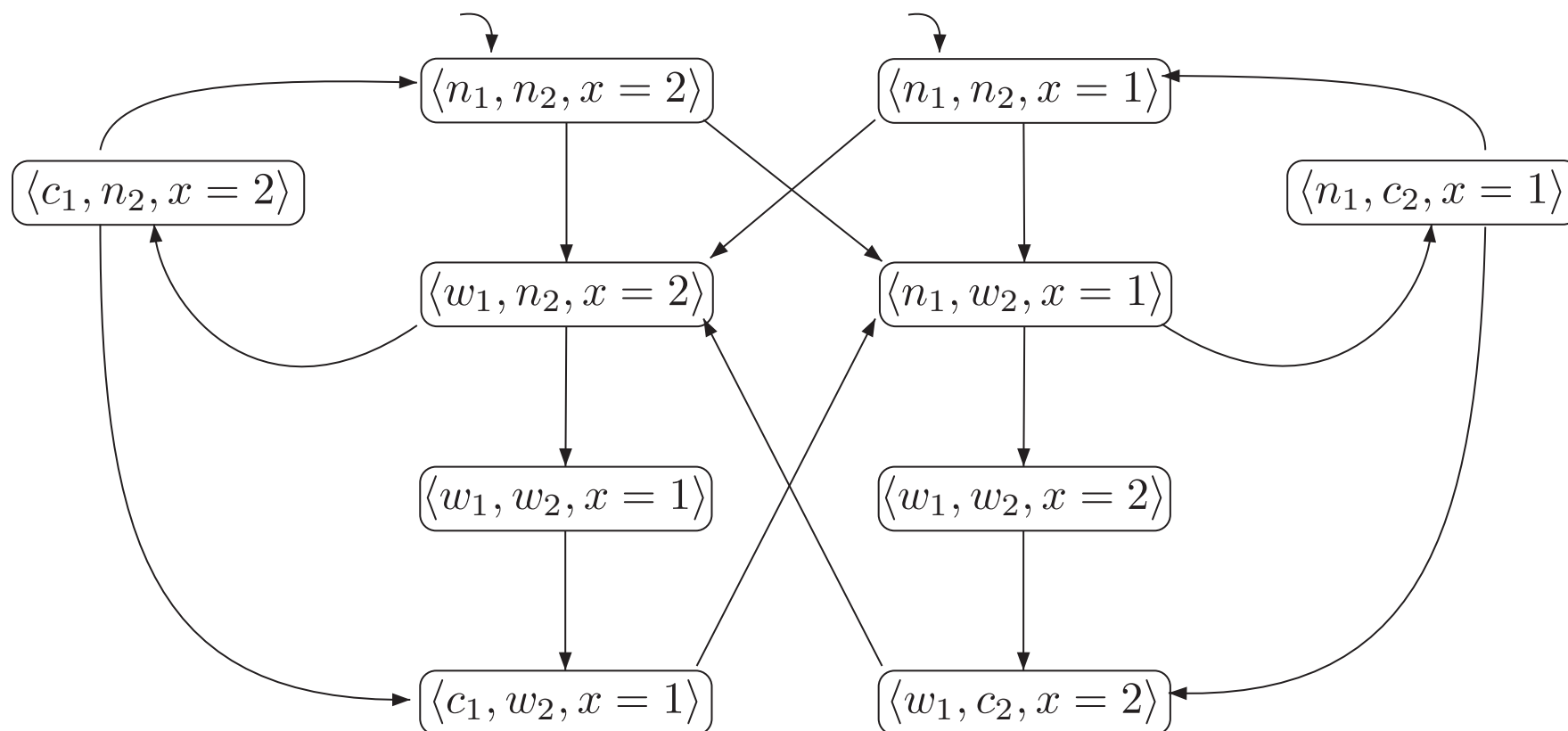


$PG_2 :$





# TS of $PG_1$ || $PG_2$ .



# questions?

- if  $b_i := \text{true}; x := \dots$  in this order but in a nonatomic way, can this algorithm satisfy the mutual exclusion property?
  - » Yes
- if  $x := \dots; b_i := \text{true}$ , can this algorithm satisfy the mutual exclusion property?
  - » No.

# handshaking

- Interleaving: completely autonomously, no communicative
- Shared-variable: communicates by shared variable, asynchronous
- Handshaking: synchronous fashion----they are both participating in this interaction at the same time.
  - ◆ Exchanged information can: integer, complex data structure.
  - ◆ Abstract: only communication (also called synchronization) actions are considered that represent the occurrence of a handshake
- Channel: first-in,first-out buffers that may contain messages.

# Handshake (cont')

- H: handshake actions is distinguished with  $\tau \notin H$
- Only if both participating processes are ready to execute the **same handshake action**, can message passing take place.
- actions in  $Act/H$  are independent

# Definition of handshaking

## (Synchronous Message Passing)

Let  $TS_i = (S_i, Act_i, \rightarrow_i, I_i, AP_i, L_i)$ ,  $i=1, 2$  TSs,  
and  $H \subseteq Act_1 \cap Act_2$  with  $\tau \notin H$ .

$TS_1 \parallel_H TS_2$  is defined :

$$TS_1 \parallel_H TS_2 = (S_1 \times S_2, Act_1 \cup Act_2, \rightarrow, I_1 \times I_2, AP_1 \cup AP_2, L)$$

where  $L(s_1, s_2) = L_1(s_1) \cup L_2(s_2)$ ,

$\rightarrow$  is defined:

# Definition of handshaking(cont')

## (Synchronous Message Passing)

- interleaving for  $\alpha \notin H$ :

$$\frac{s_1 \xrightarrow{\alpha}_1 s'_1}{\langle s_1, s_2 \rangle \xrightarrow{\alpha} \langle s'_1, s_2 \rangle} \quad \frac{s_2 \xrightarrow{\alpha}_2 s'_2}{\langle s_1, s_2 \rangle \xrightarrow{\alpha} \langle s_1, s'_2 \rangle}$$

- handshaking for  $\alpha \in H$ :

$$\frac{s_1 \xrightarrow{\alpha}_1 s'_1 \quad \wedge \quad s_2 \xrightarrow{\alpha}_2 s'_2}{\langle s_1, s_2 \rangle \xrightarrow{\alpha} \langle s'_1, s'_2 \rangle}$$

$$TS_1 \parallel TS_2 = TS_1 \parallel_H TS_2 \text{ for } H = \text{Act}_1 \cap \text{Act}_2$$

- **Empty Set of Handshake Actions**

- ◆  $TS_1 \parallel_{\emptyset} TS_2 = TS_1 \parallel TS_2.$

- Handshaking is commutative

- ◆  $TS_1 \parallel_H TS_2 = TS_2 \parallel_H TS_1$

- if  $H \subseteq \text{Act}_1 \cap \dots \cap \text{Act}_n,$

- ◆  $TS = TS_1 \parallel_H TS_2 \parallel_H \dots \parallel_H TS_n,$  TS is associative

# processes communicate in a pairwise

- $TS_1 \parallel \dots \parallel TS_n$  denote the parallel
- $TS_i$  and  $TS_j$  ( $0 < i \neq j \leq n$ ) synchronize,  $H_{i,j} = Act_i \cap Act_j$  such that  $H_{i,j} \cap Act_k = \emptyset$  for  $k \notin \{i, j\}$ .  $\tau \notin H_{i,j}$ .
- for  $\alpha \in Act_i \setminus (\bigcup_{\substack{0 < j \leq n \\ i \neq j}} H_{i,j})$  and  $0 < i \leq n$ :

$$\frac{s_i \xrightarrow{\alpha}_i s'_i}{\langle s_1, \dots, s_i, \dots, s_n \rangle \xrightarrow{\alpha} \langle s_1, \dots, s'_i, \dots, s_n \rangle}$$

- for  $\alpha \in H_{i,j}$  and  $0 < i < j \leq n$ :

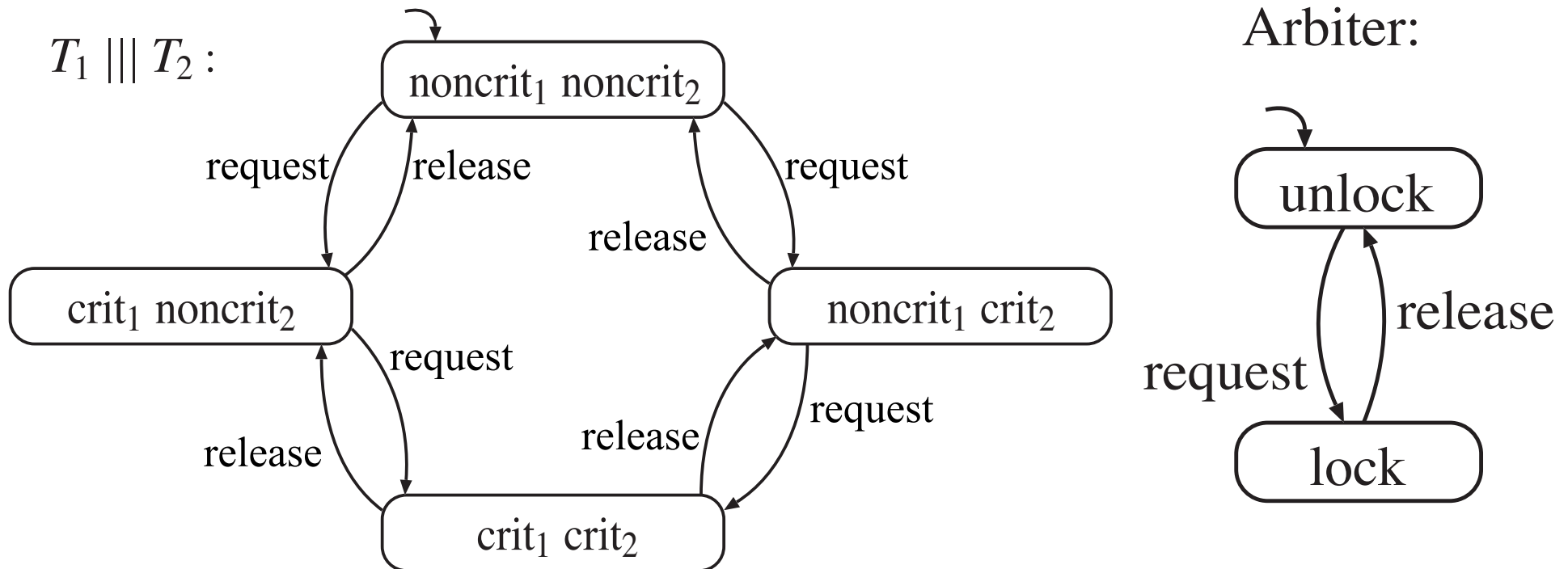
$$\frac{s_i \xrightarrow{\alpha}_i s'_i \quad \wedge \quad s_j \xrightarrow{\alpha}_j s'_j}{\langle s_1, \dots, s_i, \dots, s_j, \dots, s_n \rangle \xrightarrow{\alpha} \langle s_1, \dots, s'_i, \dots, s'_j, \dots, s_n \rangle}$$



# Example: Mutual exclusion by handshaking

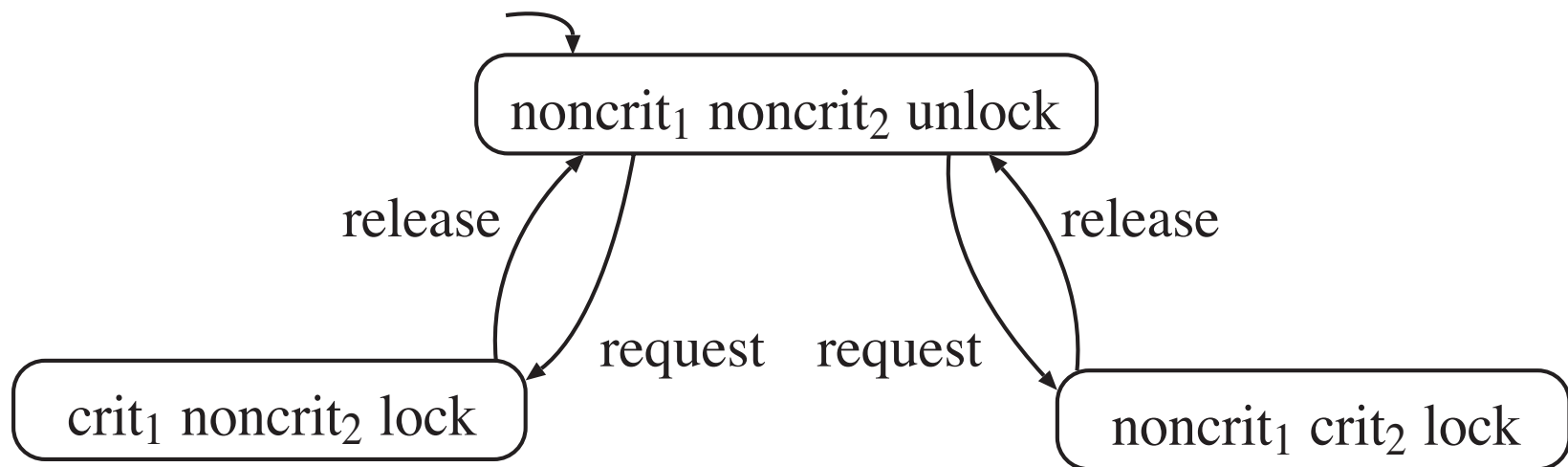
- Add a arbiter

$$\blacklozenge TS_{\text{Arb}} = (TS_1 ||| TS_2) || \text{Arbiter}$$



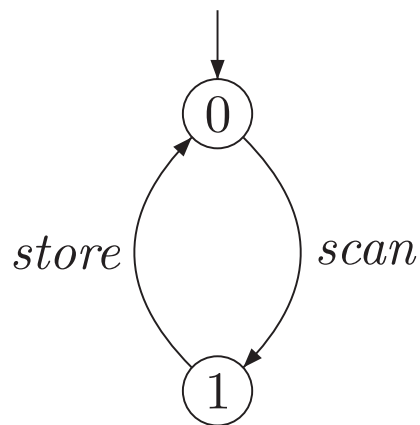
# Example: Mutual exclusion by handshaking(cont')

$(T_1 \parallel T_2) \parallel \text{Arbiter} :$

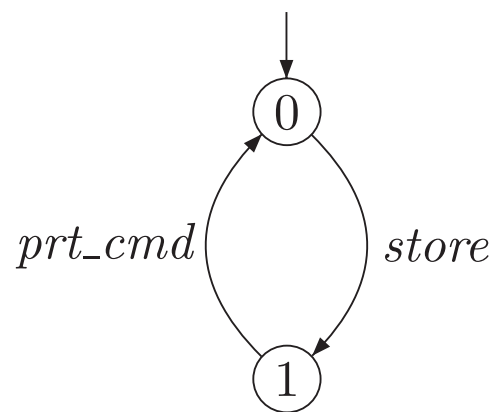


# Example: booking system

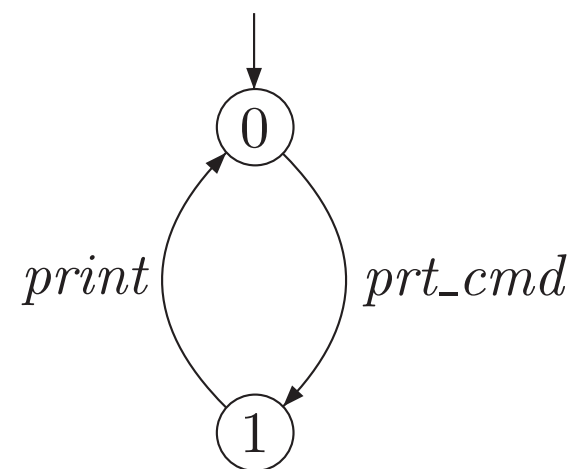
- Three processes:
  - ◆ The bar code reader :BCR
  - ◆ The actual booking program :BP
  - ◆ The printer: Printer



BCR



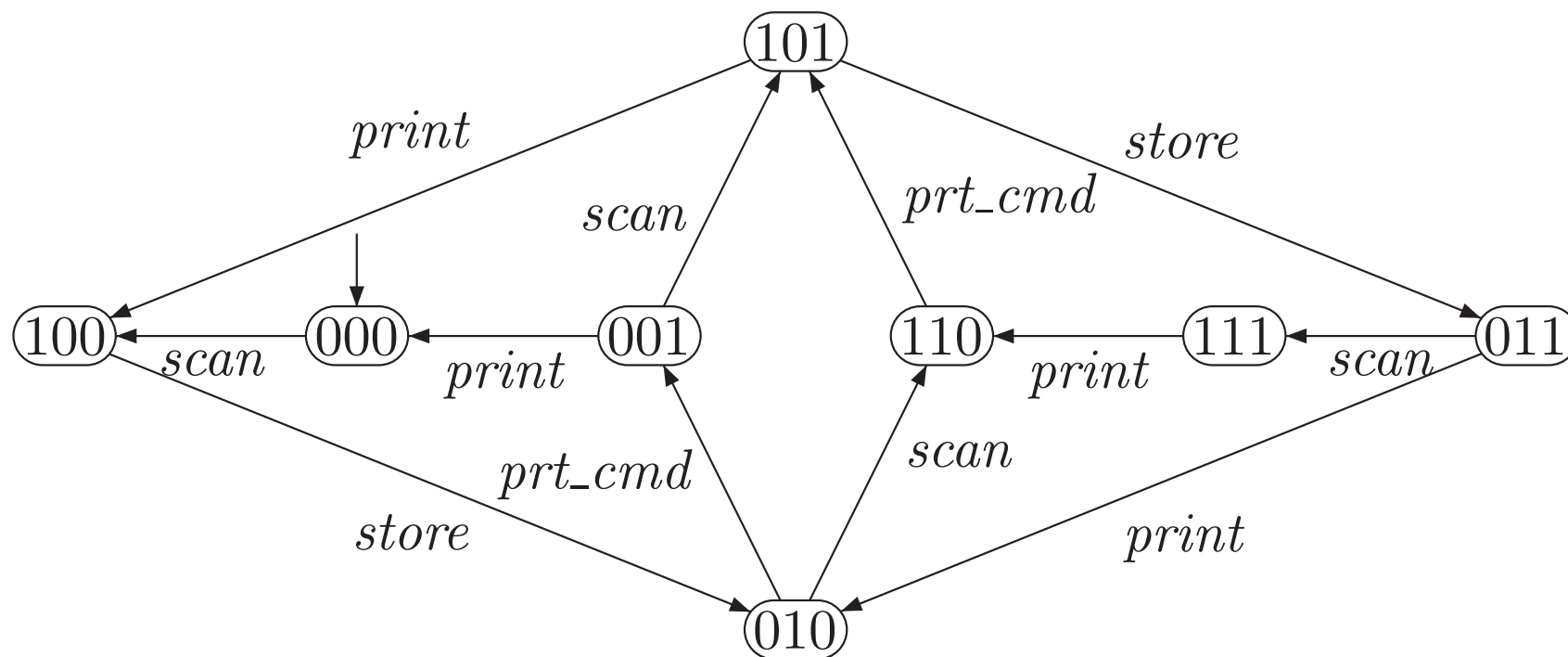
BP



Printer

## Example: booking system(cont')

- The complete system is given:
  - ◆ BCR || BP || Printer

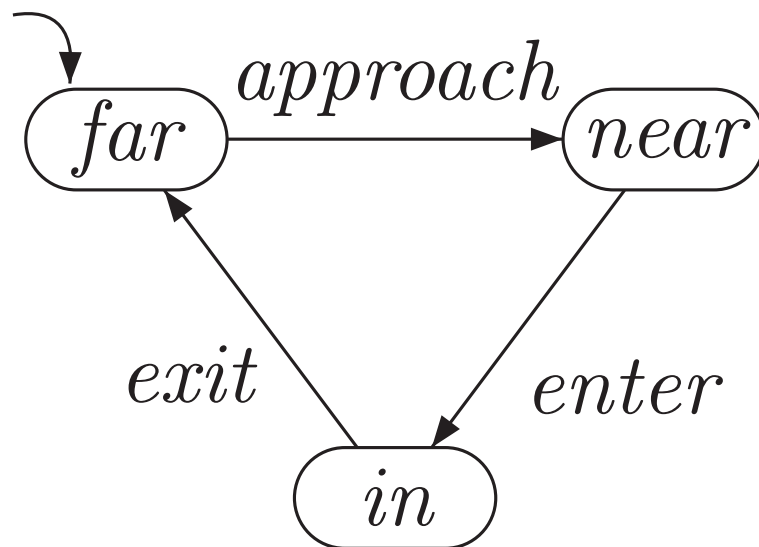


# Example railroad crossing

- Requirement:
  - ◆ a train is approaching closes the gates, and only opens these gates after the train crossed the road.
  - ◆ The gates are always closed when the train is crossing the road.
- Three components: Train, Gate and Controller
  - ◆ Train || Gate || Controller

# Example railroad crossing(cont')

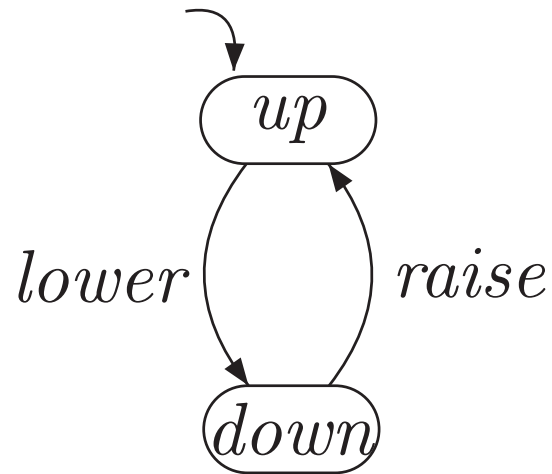
- Train: has the same direction



*Train*

# Example railroad crossing(cont')

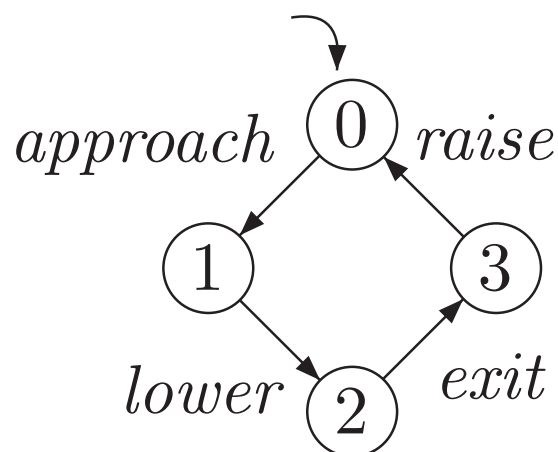
- Gate



*Gate*

# Example railroad crossing(cont')

- Controller: The state changes stand for handshaking with the trains and the Gate ( the Controller causes the gate to close or to open, respectively).

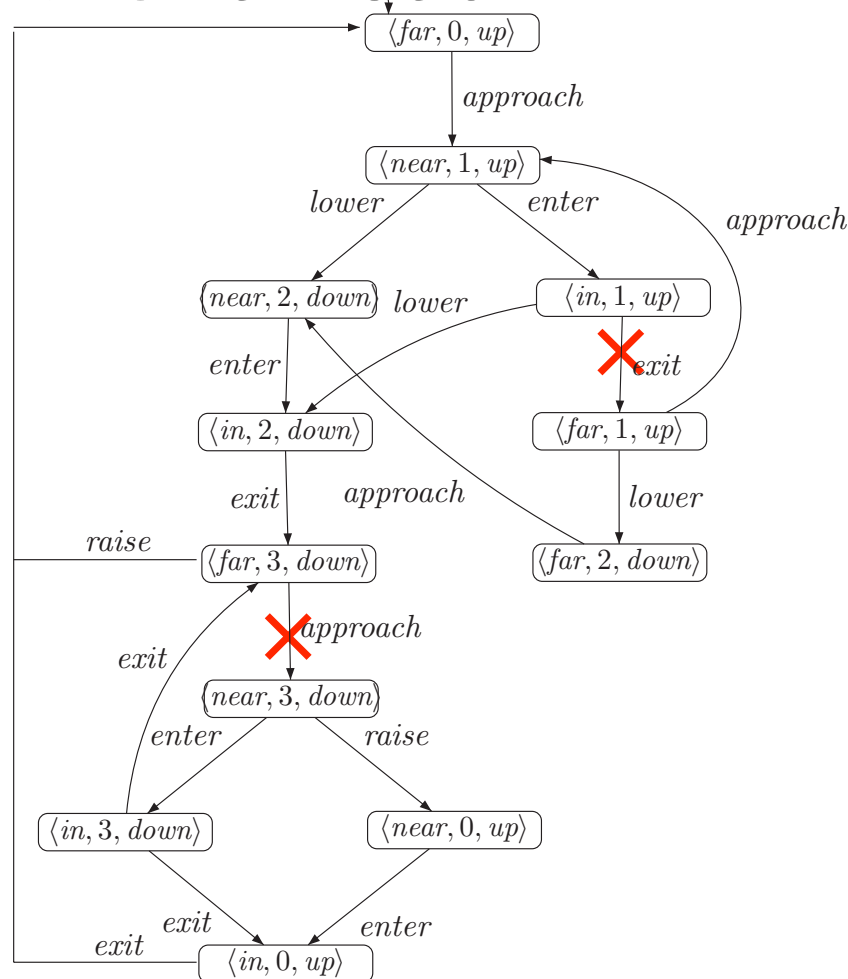


*Controller*



# Example railroad crossing(cont')

- TS for the railroad



# Channel systems

- Channels: first-in, first-out buffers that may contain messages.
- Constraint: channel systems that are closed.
- $PG_i$  represents a processes from  $P_1$  to  $P_n$ .
  - ◆ Transition:
    - conditional
    - communicative action's transition.
      - $c!v$  transmit the value  $v$  along channel  $c$ ,
      - $c?x$  receive a message via channel  $c$  and assign it to variable  $x$ .

# Type

- $\text{Comm} = \{c!v, c?x \mid c \in \text{Chan}, v \in \text{dom}(c), x \in \text{Var} \text{ with } \text{dom}(x) \supseteq \text{dom}(c)\}$ 
  - ◆ Where Chan is a finite set of channels with typical element  $c$ .

# Capacity

- Channel has a (finite or infinite) capacity  $\text{cap}(c) \in 2^{\mathbb{N}} \cup \{\infty\}$ 
  - ◆  $\text{cap}(c) = \infty$
  - ◆  $\text{cap}(c) = 0$ : handshaking (simultaneous transmission) plus the exchange of some data.
  - ◆  $\text{cap}(c) > 0$ : sending and reading of the same message take place at different moments. This is called asynchronous message passing.

# Channel system (CS)

A program graph over  $(Var, Chan)$  is a tuple

$$PG = (Loc, Act, Effect, \hookrightarrow, Loc_0, g_0)$$

$$\hookrightarrow \subseteq Loc \times (Cond(Var) \times (Act \cup Comm) \times Loc.$$

A channel system CS over  $(Var, Chan)$  consists of program graphs  $PG_i$  over  $(Var_i, Chan)$  (for  $1 \leq i \leq n$ ) with  $Var = \bigcup_{1 \leq i \leq n} Var_i$ . We denote  $CS = [PG_1 \mid \dots \mid PG_n]$ .

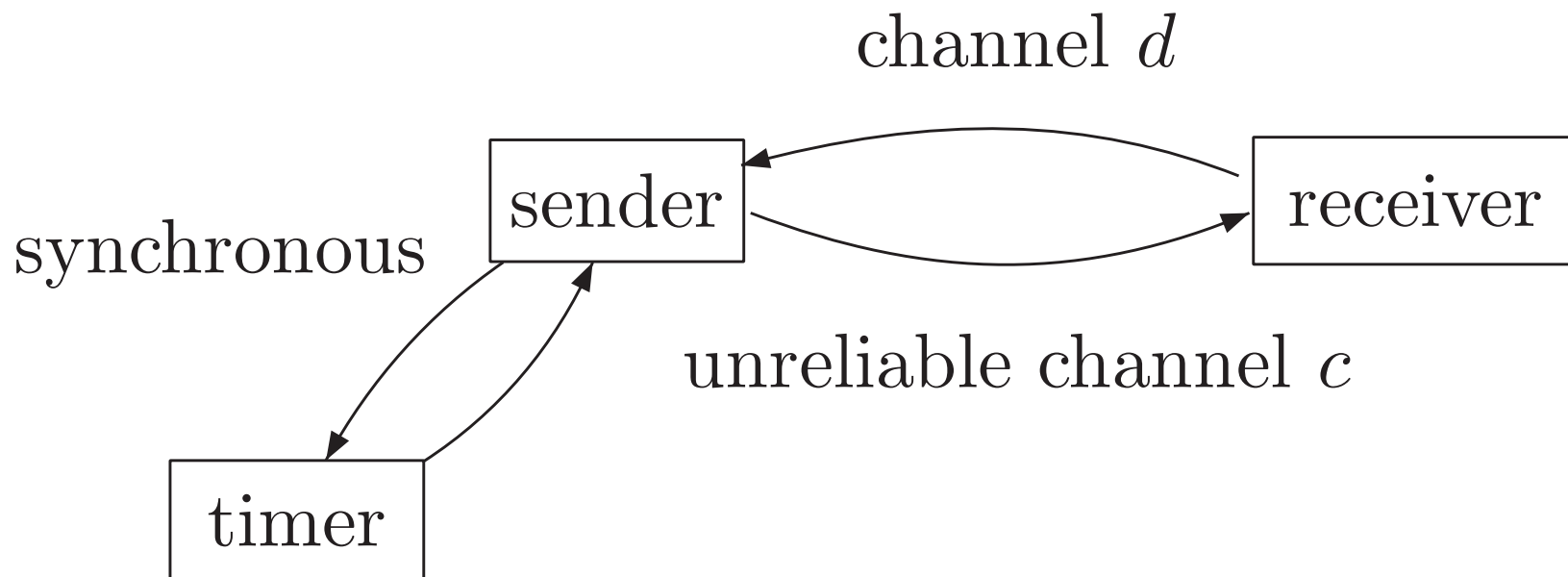
# Transition of CS

- Conditional transition:  $\ell \xrightarrow{g:\alpha} \ell'$
- Conditional transition with communication actions
  - ◆  $\ell \xrightarrow{g:c!v} \ell'$  :for sending  $v$  along  $c$
  - ◆  $\ell \xrightarrow{g:c?x} \ell'$  :for receiving a message along  $c$ 
    - Handshaking : $\text{cap}(c)=0$
    - Asynchronous message passing:  $\text{cap}(c) \neq 0$ 
      - Send a message to a channel iff  $c$  is not full
      - receiving a message from a channel iff  $c$  is not

# Transition of CS(cont)

	executable if ...	effect
$c!v$	$c$ is not “full”	$Enqueue(c, v)$
$c?x$	$c$ is not empty	$\langle x := Front(c); Dequeue(c) \rangle;$

# Example: Alternating Bit Protocol

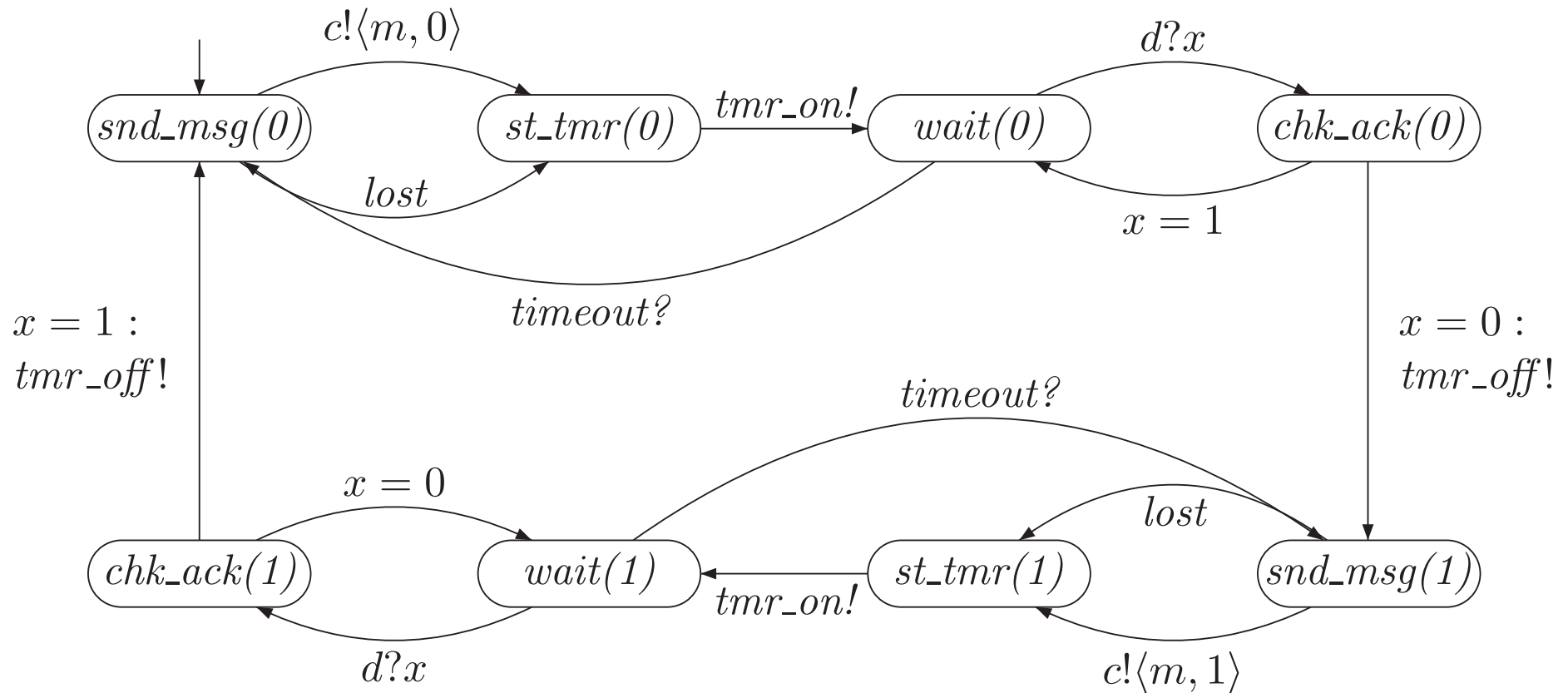




## Example: Alternating Bit Protocol (ABP) cont

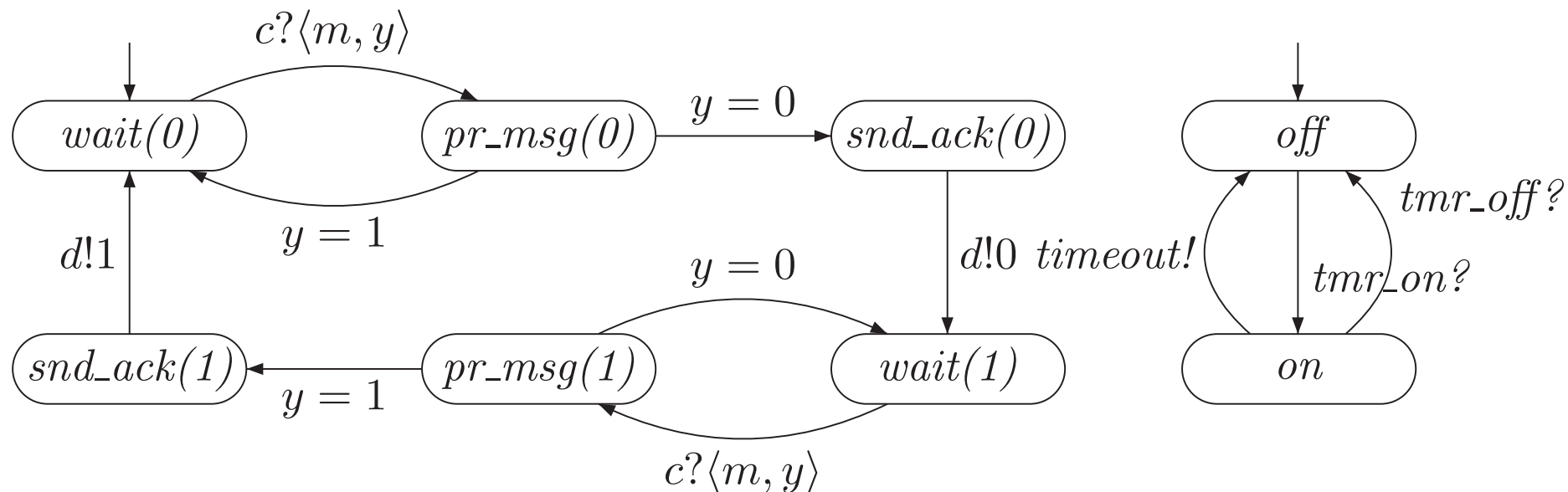
- S sends the successive messages  $m_0, m_1, \dots$  together with control bits  $b_0, b_1, \dots$  over channel  $c$  to R.
  - ♦  $\langle m_0, 0 \rangle, \langle m_1, 1 \rangle, \langle m_2, 0 \rangle, \langle m_3, 1 \rangle, \dots$

# Example: Alternating Bit Protocol



Program graph of ABP sender S.

# Example: Alternating Bit Protocol



Program graph of ABP receiver R

Timer.

# Example: Alternating Bit Protocol (ABP) cont

- The complete system :
  - ◆  $\text{Chan} = \{ c, d, \text{tmr\_on}, \text{tmr\_off}, \text{timeout} \}$   
and  $\text{Var} = \{ x, y, m_i \}$
  - ◆  $\text{ABP} = [S \mid \text{Timer} \mid R]$

# TS of a channel system(cont')

- Term:
  - ◆  $\xi(c) = v_1 v_2 \dots v_k$  (where  $\text{cap}(c) \geq k$ ),  
 $\text{len}(\xi(c)) = k$
  - ◆  $\xi[c := v_1 \dots v_k](c') = \begin{cases} \xi(c') & \text{if } c \neq c' \\ v_1 \dots v_k & \text{if } c = c'. \end{cases}$
  - ◆  $\xi_0(c) = \varepsilon$  for any channel  $c$ . Let  $\text{len}(\varepsilon) = 0$ .
- Actions: consists of actions  $\alpha \in \text{Act}_i$  of component  $\text{PG}_i$  and the distinguished symbol  $\tau$  representing all communication actions in which data is exchanged.

# TS of a channel system

- TS(CS)
  - ◆ States of TS(CS):
    - $\langle l_1, \dots, l_n, \eta, \xi \rangle$
    - $\eta$  keeps track of the current values of the variables, and
    - $\xi$  records the current content of the various channels
    - Initial  $l_i \in Loc_{0,i}$  must be initial and variable evaluation  $\eta$  must satisfy the initial condition  $g_{0,i}$ .
    - every channel is initially assumed to be empty, denoted  $\varepsilon$ .

# TS of a channel system(cont')

Let  $CS = [PG_1 \mid \dots \mid PG_n]$  be a channel system over  $(Chan, Var)$  with

$$PG_i = (Loc_i, Act_i, Effect_i, \hookrightarrow_i, Loc_{0,i}, g_{0,i}), \quad \text{for } 0 < i \leq n.$$

The transition system of  $CS$ , denoted  $TS(CS)$ , is the tuple  $(S, Act, \rightarrow, I, AP, L)$  where:

- $S = (Loc_1 \times \dots \times Loc_n) \times Eval(Var) \times Eval(Chan)$ ,
- $Act = \biguplus_{0 < i \leq n} Act_i \uplus \{\tau\}$ ,
- $\rightarrow$  is defined by the rules of Figure 2.20 (page 61),
- $I = \left\{ \langle \ell_1, \dots, \ell_n, \eta, \xi_0 \rangle \mid \forall 0 < i \leq n. (\ell_i \in Loc_{0,i} \wedge \eta \models g_{0,i}) \right\}$ ,
- $AP = \biguplus_{0 < i \leq n} Loc_i \uplus Cond(Var)$ ,
- $L(\langle \ell_1, \dots, \ell_n, \eta, \xi \rangle) = \{\ell_1, \dots, \ell_n\} \cup \{g \in Cond(Var) \mid \eta \models g\}$ .

# TS of a channel system(cont')

- interleaving for  $\alpha \in Act_i$ :

$$\frac{\ell_i \xrightarrow{g:\alpha} \ell'_i \quad \wedge \quad \eta \models g}{\langle \ell_1, \dots, \ell_i, \dots, \ell_n, \eta, \xi \rangle \xrightarrow{\alpha} \langle \ell_1, \dots, \ell'_i, \dots, \ell_n, \eta', \xi \rangle}$$

where  $\eta' = Effect(\alpha, \eta)$ .

- asynchronous message passing for  $c \in Chan, cap(c) > 0$ :

- receive a value along channel  $c$  and assign it to variable  $x$ :

$$\frac{\ell_i \xrightarrow{g:c?x} \ell'_i \quad \wedge \quad \eta \models g \quad \wedge \quad len(\xi(c)) = k > 0 \quad \wedge \quad \xi(c) = v_1 \dots v_k}{\langle \ell_1, \dots, \ell_i, \dots, \ell_n, \eta, \xi \rangle \xrightarrow{\tau} \langle \ell_1, \dots, \ell'_i, \dots, \ell_n, \eta', \xi' \rangle}$$

where  $\eta' = \eta[x := v_1]$  and  $\xi' = \xi[c := v_2 \dots v_k]$ .

- transmit value  $v \in dom(c)$  over channel  $c$ :

$$\frac{\ell_i \xrightarrow{g:c!v} \ell'_i \quad \wedge \quad \eta \models g \quad \wedge \quad len(\xi(c)) = k < cap(c) \quad \wedge \quad \xi(c) = v_1 \dots v_k}{\langle \ell_1, \dots, \ell_i, \dots, \ell_n, \eta, \xi \rangle \xrightarrow{\tau} \langle \ell_1, \dots, \ell'_i, \dots, \ell_n, \eta, \xi' \rangle}$$

where  $\xi' = \xi[c := v_1 v_2 \dots v_k v]$ .

- synchronous message passing over  $c \in Chan, cap(c) = 0$ :

$$\frac{\ell_i \xrightarrow{g_1:c?x} \ell'_i \quad \wedge \quad \eta \models g_1 \quad \wedge \quad \eta \models g_2 \quad \wedge \quad \ell_j \xrightarrow{g_2:c!v} \ell'_j \quad \wedge \quad i \neq j}{\langle \ell_1, \dots, \ell_i, \dots, \ell_j, \dots, \ell_n, \eta, \xi \rangle \xrightarrow{\tau} \langle \ell_1, \dots, \ell'_i, \dots, \ell'_j, \dots, \ell_n, \eta', \xi \rangle}$$

where  $\eta' = \eta[x := v]$ .



# NanoPromela

- the core input language for the SPIN
- support
  - ◆ communication by shared variables
  - ◆ message passing along either synchronous or buffer FIFO-channels
- the formal semantics can be provided by means of a *channel system*.
- channel system can be unfolded into transition system.
- no use action name, but specify the effect of action

# syntax of nanoPromela

$\text{stmt} ::= \text{skip} \mid x := \text{expr} \mid c?x \mid c!\text{expr} \mid$   
 $\text{stmt}_1 ; \text{stmt}_2 \mid \text{atomic}\{\text{assignments}\} \mid$   
 $\text{if} \quad :: g_1 \Rightarrow \text{stmt}_1 \quad \dots \quad :: g_n \Rightarrow \text{stmt}_n \quad \text{fi} \quad |$   
 $\text{do} \quad :: g_1 \Rightarrow \text{stmt}_1 \quad \dots \quad :: g_n \Rightarrow \text{stmt}_n \quad \text{do}$

# peter's mutual exclusion algorithm

```
do  :: true  $\Rightarrow$  skip;  
    atomic{ $b_1 := \text{true}; x := 2$ };  
    if  ::  $(x = 1) \vee \neg b_2 \Rightarrow \text{crit}_1 := \text{true}$  fi  
    atomic{ $\text{crit}_1 := \text{false}; b_1 := \text{false}$ }  
od
```

# Vending Machine

```
do  :: true  $\Rightarrow$ 
      skip;
    if    ::  $nsoda > 0 \Rightarrow nsoda := nsoda - 1$ 
      ::  $nbeer > 0 \Rightarrow nbeer := nbeer - 1$ 
      ::  $nsoda = nbeer = 0 \Rightarrow skip$ 
    fi
  :: true  $\Rightarrow atomic\{nbeer := max; nsoda := max\}$ 
od
```

# Synchronous Parallelism

Let  $TS_i = (S_i, Act, \rightarrow_i, I_i, AP_i, L_i)$ ,  $i=1, 2$ ,

be transition systems with the same set of actions  $Act$ .

$$Act \times Act \rightarrow Act, (\alpha, \beta) \rightarrow \alpha * \beta$$

*synchronous product*  $TS_1 \otimes TS_2$  is given by:

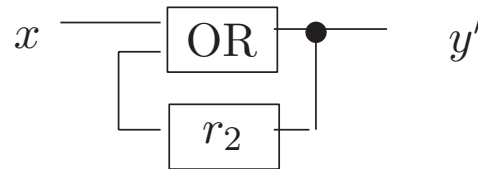
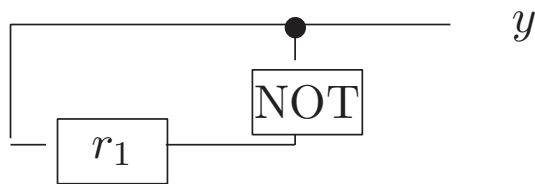
$$TS_1 \otimes TS_2 = (S_1 \times S_2, Act, \rightarrow, I_1 \times I_2, AP_1 \cup AP_2, L),$$

$$\frac{s_1 \xrightarrow{\alpha}_1 s'_1 \quad \wedge \quad s_2 \xrightarrow{\beta}_2 s'_2}{\langle s_1, s_2 \rangle \xrightarrow{\alpha * \beta} \langle s'_1, s'_2 \rangle}$$

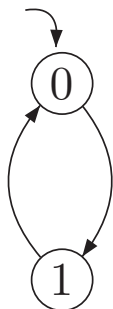
$$L(\langle s_1, s_2 \rangle) = L_1(s_1) \cup L_2(s_2).$$

# example

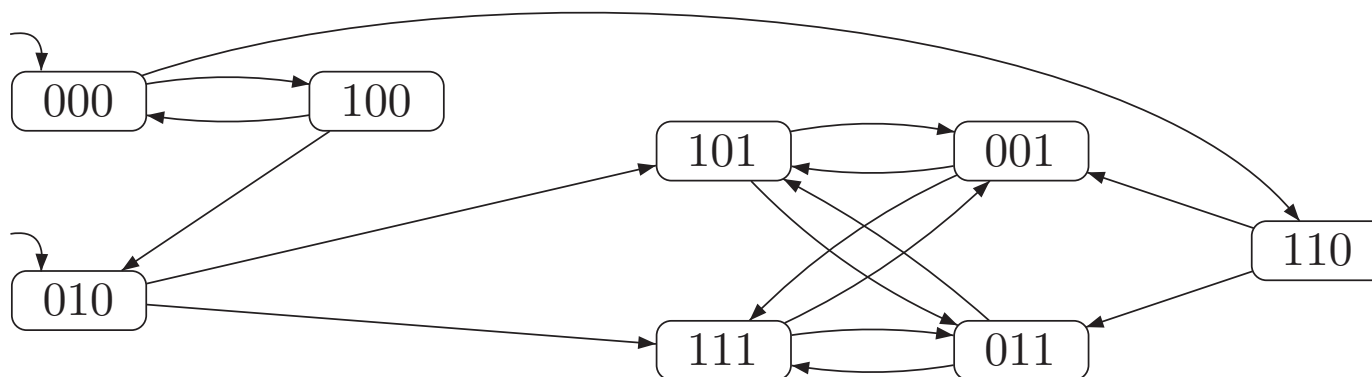
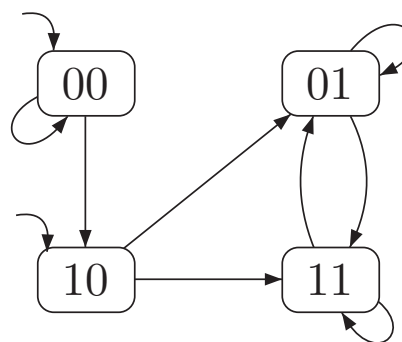
- Synchronous Product of Two Circuits



$TS_1$  :



$TS_2$  :



# the State-Space Explosion Problem

- Program Graph Representation

$$|Loc| \cdot \prod_{x \in Var} |dom(x)|.$$

- Channel System

$$\prod_{i=1}^n \left( |Loc_i| \cdot \prod_{x \in Var_i} |dom(x)| \right) \cdot \prod_{c \in Chan} |dom(c)|^{cp(c)}.$$

# summary

- TS
- PG
- parallel, concurrent
- shared variable system
- handshake
- channel system
- transition to TS