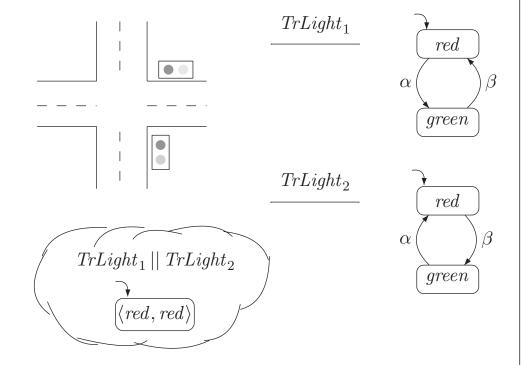
Linear-Time Properties

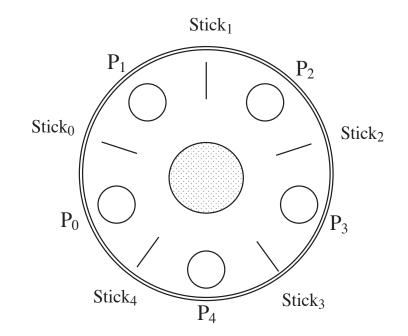
Deadlock

- A typical deadlock scenario occurs when components mutually wait for each other to progress
- both traffic lights start with a red light results in a deadlock

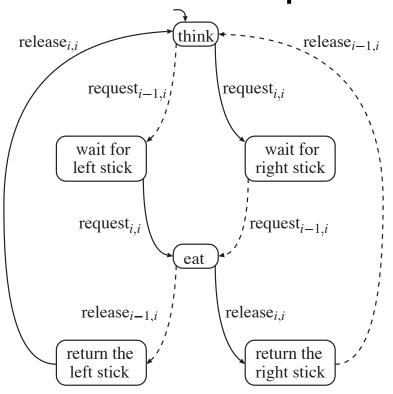


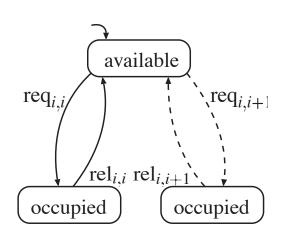
dining philosophers

- Five philosophers are sitting at a round table with a bowl of rice in the middle.
- For the philosophers life consists of thinking and eating.
- To take some rice out of the bowl, a philosopher needs two chopsticks.



TS of philosopher and stick





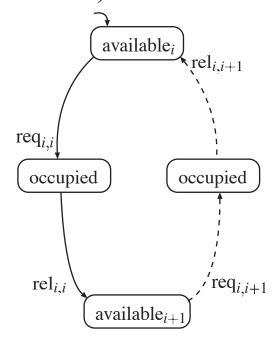
Phil4 || Stick3 || Phil3 || Stick2 || Phil2 || Stick1 || Phil1 || Stick0 || Phil0 || Stick4 初始状态:

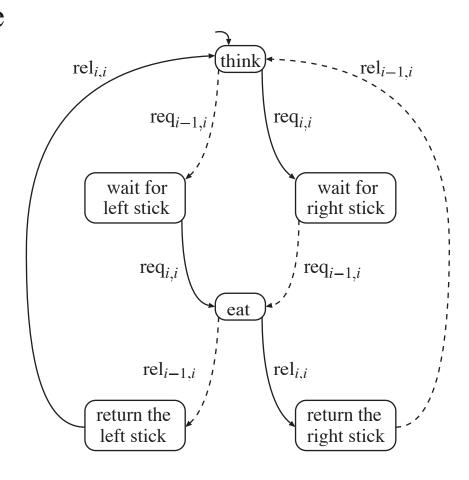
<think4,avail3,think3,avail2,think2,avail1,think1,avail0,think0,avail4>

<wait4,0,occ4,4,wait3,4,occ3,3,wait2,3,occ2,2,wait1,2,occ1,1,wait0,1,occ0,0> 死锁!

a solution of deadlock

• some sticks (e.g., the first, the third, and the fifth stick) start in state availablei,i, while the remaining sticks start in state availablei,i+1.





linear-time behavior

- analyze system
 - an action-based approach
 - a state-based approach ---we conside

Paths and State Graph

- The state graph of TS, notation G(TS), is the digraph (V,E) with vertices V = S and $edgesE = \{(s,s') \in S \times S | s' \in Post(s)\}$.
- Post*(s) denote the states that are reachable in state graph G(TS) from s.
- $\bullet \quad Post^*(C) = \bigcup_{s \in C} Post^*(s).$
- path, finite path, infinite path, maximal path, initial path
- a path of a TS

traces

trace

$$s_0 \xrightarrow{\alpha_0} s_1 \xrightarrow{\alpha_1} s_2 \dots$$

$$L(s_0) L(s_1) L(s_2) \dots$$

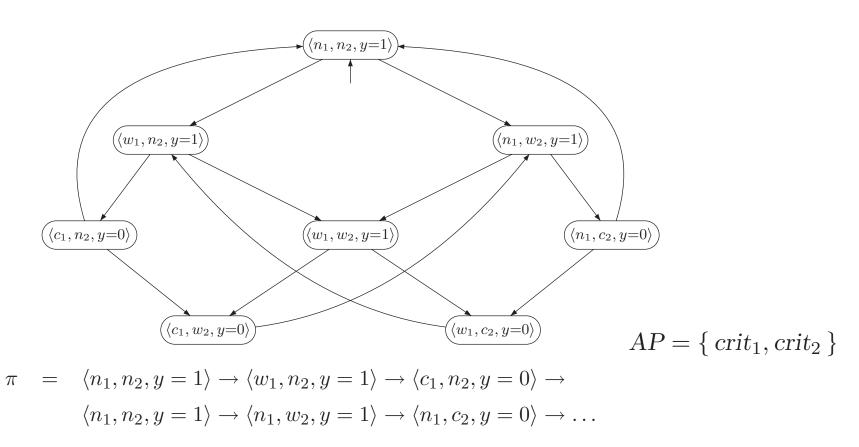
• The traces of a transition system are thus words over the alphabet 2^{AP}

trace and trace fragment

Let $TS = (S, Act, \rightarrow, I, AP, L)$ be a transition system without terminal states. The trace of the infinite path fragment $\pi = s_0 s_1 \dots$ is defined as $trace(\pi) = L(s_0) L(s_1) \dots$ The trace of the finite path fragment $\widehat{\pi} = s_0 s_1 \dots s_n$ is defined as $trace(\widehat{\pi}) = L(s_0) L(s_1) \dots L(s_n)$.

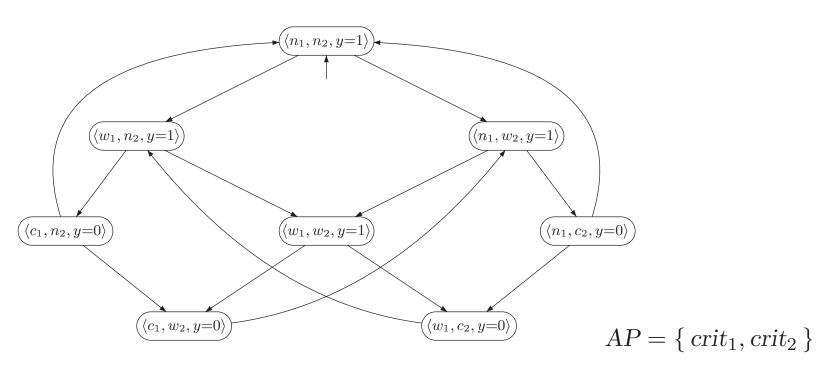
$$trace(\Pi) = \{ trace(\pi) \mid \pi \in \Pi \}.$$
 $Traces(s) = trace(Paths(s))$
 $Traces(TS) = \bigcup_{s \in I} Traces(s).$
 $Traces_{fin}(s) = trace(Paths_{fin}(s))$
 $Traces_{fin}(TS) = \bigcup_{s \in I} Traces_{fin}(s)$

example semaphore-based mutual exclusion



$$trace(\pi) = \varnothing \varnothing \{ crit_1 \} \varnothing \varnothing \{ crit_2 \} \varnothing \varnothing \{ crit_1 \} \varnothing \varnothing \{ crit_2 \} \dots$$

example semaphore-based mutual exclusion



$$\widehat{\pi} = \langle n_1, n_2, y = 1 \rangle \to \langle w_1, n_2, y = 1 \rangle \to \langle w_1, w_2, y = 1 \rangle \to \langle w_1, c_2, y = 0 \rangle \to \langle w_1, n_2, y = 1 \rangle \to \langle c_1, n_2, y = 0 \rangle$$

$$trace(\widehat{\pi}) = \varnothing \varnothing \varnothing \{ crit_2 \} \varnothing \{ crit_1 \}$$



Linear-time properties

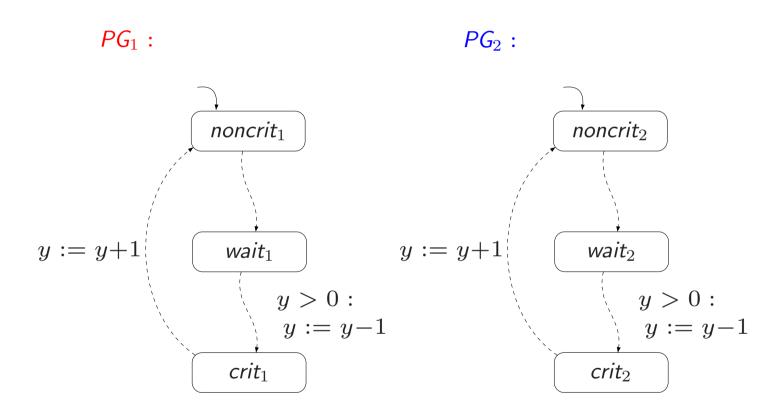
- Linear-time properties specify the traces that a TS must exhibit
 - LT-property specifies the admissible behaviour of the system
 - later, a logical formalism will be introduced for specifying LT properties
- A *linear-time property* (LT property) over AP is a subset of $\left(2^{AP}\right)^{\omega}$
 - finite words are not needed, as it is assumed that there are no terminal states
- *TS* (over *AP*) *satisfies* LT-property *P* (over *AP*):

$$TS \models P$$
 if and only if $Traces(TS) \subseteq P$

- TS satisfies the LT property P if all its "observable" behaviors are admissible



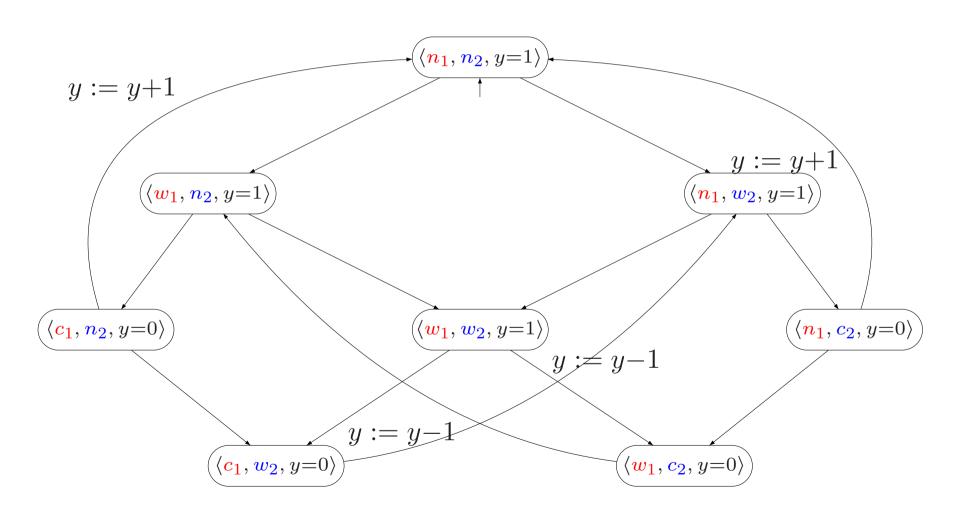
Semaphore-based mutual exclusion



y=0 means "lock is currently possessed"; y=1 means "lock is free"



Transition system





How to specify mutual exclusion?

"Always at most one process is in its critical section"

- Let $AP = \{ crit_1, crit_2 \}$
 - other atomic propositions are not of any relevance for this property
- Formalization as LT property

 $P_{mutex} \ = \ \operatorname{set}$ of infinite words $A_0\,A_1\,A_2\ldots$ with $\{\,\mathit{crit}_1,\mathit{crit}_2\,\}
ot\subseteq A_i$ for all $0\leqslant i$

• Contained in P_{mutex} are e.g., the infinite words:

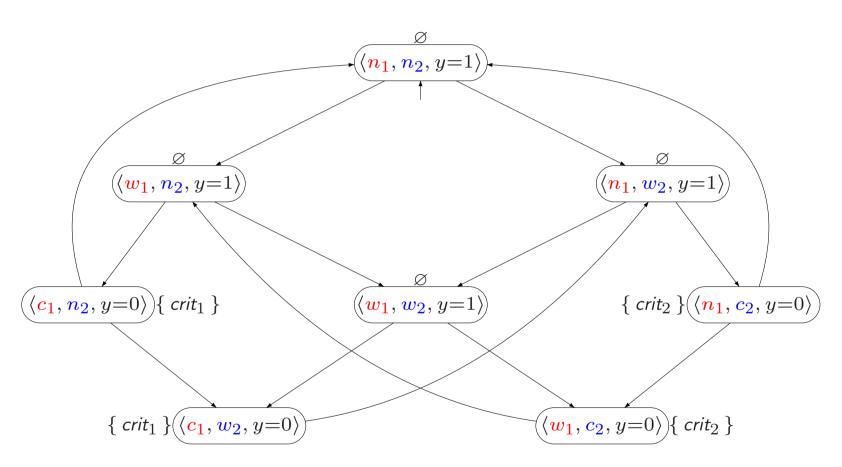
$$\{ crit_1 \} \{ crit_2 \} \{ crit_1 \} \{ crit_2 \} \{ crit_1 \} \{ crit_2 \} \dots$$
 and $\varnothing \varnothing \varnothing \varnothing \varnothing \varnothing \varnothing \ldots$.

- this does not apply to words of the form: $\{ crit_1 \} \varnothing \{ crit_1, crit_2 \} \dots$

Does the semaphore-based algorithm satisfy P_{mutex} ?



Does the semaphore-based algorithm satisfy P_{mutex} ?



Yes as there is no reachable state labeled with $\{ crit_1, crit_2 \}$



How to specify starvation freedom?

"A process that wants to enter the critical section is eventually able to do so"

- Let $AP = \{ wait_1, crit_1, wait_2, crit_2 \}$
- Formalization as LT-property

 $P_{nostarve} =$ set of infinite words $A_0 A_1 A_2 \dots$ such that:

$$\left(\stackrel{\infty}{\exists} j. \ \textit{wait}_i \in A_j \right) \ \Rightarrow \ \left(\stackrel{\infty}{\exists} j. \ \textit{crit}_i \in A_j \right) \ \ \text{for each } i \in \{\,1,2\,\}$$

 $\stackrel{\infty}{\exists}$ stands for "there are infinitely many".

Does the semaphore-based algorithm satisfy $P_{nostarve}$?



No. The trace

```
\emptyset { wait_2 } { wait_1, wait_2 } { crit_1, wait_2 } { wait_2 } { wait_2 } { vait_1, vait_2 } { vait_2 } { vait_1, vait_2 } . . .
```

is a possible trace of the transition system but not in $P_{nostarve}$



Trace equivalence and LT properties

For TS and TS' be transition systems (over AP):

$$\mathit{Traces}(\mathit{TS}) \subseteq \mathit{Traces}(\mathit{TS}')$$

if and only if

for any LT property P: $\mathit{TS}' \models P$ implies $\mathit{TS} \models P$

$$Traces(TS) = Traces(TS')$$

if and only if

TS and TS' satisfy the same LT properties



Invariants

• LT property P_{inv} over AP is an *invariant* if it has the form:

$$P_{inv} = \left\{ A_0 A_1 A_2 \dots \in \left(2^{AP}\right)^{\omega} \mid \forall j \geqslant 0. \ A_j \models \Phi \right\}$$

- where Φ is a propositional logic formula Φ over AP
- Φ is called an *invariant condition* of P_{inv}
- Note that

```
TS \models P_{inv} iff trace(\pi) \in P_{inv} for all paths \pi in TS iff L(s) \models \Phi for all states s that belong to a path of TS iff L(s) \models \Phi for all states s \in Reach(TS)
```

- ullet Φ has to be fulfilled by all initial states and
 - satisfaction of Φ is invariant under all transitions in the reachable fragment of TS

Algorithm 3 Naïve invariant checking by forward depth-first search

Input: finite transition system TS and propositional formula Φ Output: true if TS satisfies the invariant "always Φ ", otherwise false

```
set of state R := \emptyset;
                                                                             (* the set of visited states *)
stack of state U := \varepsilon;
                                                                                     (* the empty stack *)
                                                                            (* all states in R satisfy Φ *)
bool b := true;
for all s \in I do
  if s \notin R then
                                                    (* perform a dfs for each unvisited initial state *)
     visit(s)
  fi
od
return b
procedure visit (state s)
  push(s, U);
                                                                                 (* push s on the stack *)
                                                                                 (* mark s as reachable *)
  R := R \cup \{s\};
  repeat
     s' := top(U);
     if Post(s') \subseteq R then
       pop(U);
       b := b \ \land \ (s' \models \Phi);
                                                                            (* check validity of Φ in s' *)
     else
       let s'' \in Post(s') \setminus R
       push(s'', U);
                                                                  (* state s" is a new reachable state *)
       R := R \cup \{s''\};
  until (U = \varepsilon)
endproc
```

Algorithm 4 Invariant checking by forward depth-first search

Input: finite transition system TS and propositional formula Φ Output: "yes" if $TS \models$ "always Φ ", otherwise "no" plus a counterexample

```
set of states R := \emptyset;
                                                                          (* the set of reachable states *)
stack of states U := \varepsilon;
                                                                                     (* the empty stack *)
                                                                             (* all states in R satisfy Φ *)
bool b := true;
while (I \setminus R \neq \emptyset \land b) do
                                                        (* choose an arbitrary initial state not in R *)
  let s \in I \setminus R;
                                                   (* perform a DFS for each unvisited initial state *)
  visit(s);
od
if b then
                                                                                    (*TS \models "always \Phi" *)
  return("yes")
else
  return("no", reverse(U))
                                                   (* counterexample arises from the stack content *)
fi
procedure visit (state s)
  push(s, U);
                                                                                 (* push s on the stack *)
  R := R \cup \{s\};
                                                                                 (* mark s as reachable *)
  repeat
     s' := top(U);
     if Post(s') \subseteq R then
       pop(U);
       b := b \land (s' \models \Phi);
                                                                            (* check validity of Φ in s' *)
     else
       let s'' \in Post(s') \setminus R
       push(s'', U);
       R := R \cup \{s''\};
                                                                  (* state s" is a new reachable state *)
  until ((U = \varepsilon) \lor \neg b)
endproc
```



Safety properties

- Safety properties may impose requirements on finite path fragments
 - and cannot be verified by considering the reachable states only
- A safety property which is not an invariant:
 - consider a cash dispenser, also known as automated teller machine (ATM)
 - property "money can only be withdrawn once a correct PIN has been provided"
 - ⇒ not an invariant, since it is not a state property
- But a safety property:
 - any infinite run violating the property has a finite prefix that is "bad"
 - i.e., in which money is withdrawn without issuing a PIN before



Safety properties

- LT property P_{safe} over AP is a safety property if
 - for all $\sigma \in \left(2^{AP}\right)^{\omega} \setminus P_{safe}$ there exists a finite prefix $\widehat{\sigma}$ of σ such that:

$$P_{safe} \cap \left\{ \sigma' \in \left(2^{AP}\right)^{\omega} \mid \widehat{\sigma} \text{ is a prefix of } \sigma' \right\} = \varnothing$$

- Path fragment $\widehat{\sigma}$ is a bad prefix of P_{safe}
 - let $\mathit{BadPref}(P_{\mathit{safe}})$ denote the set of bad prefixes of P_{safe}
- Path fragment $\widehat{\sigma}$ is a minimal bad prefix for P_{safe} :
 - if $\widehat{\sigma} \in \mathit{BadPref}(P_{\mathit{safe}})$ and no proper prefix of $\widehat{\sigma}$ is in $\mathit{BadPref}(P_{\mathit{safe}})$

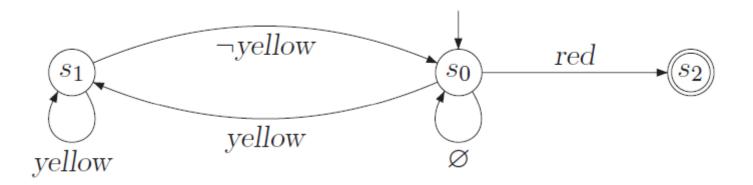
Example safety properties

 Property: a red phase must be preceded immediately by a yellow phase.

```
infinite words \sigma = A_0 A_1 \dots

red \in A_i implies i > 0 and yellow \in A_{i-1}.
```

- AP={red, yellow}
 - $-\varnothing\varnothing\{\text{red}\}$, $\varnothing\{\text{red}\}$ minimal bad prefixes
 - {yellow} {yellow}{red}{red} ∅ {red}: bad prefix, but not minimal



Example safety properties (cont')

• Property: The number of inserted coins is always at least the number of dispensed drinks infinite words $A_0 A_1 A_2 ...$

```
|\{0 \leqslant j \leqslant i \mid pay \in A_j\}| \geqslant |\{0 \leqslant j \leqslant i \mid drink \in A_j\}|
```

- AP={pay, drink}
- Ø{pay}{drink}{drink}
- ∅{pay}{drink} ∅{pay }{drink}{drink}
- Bad prefixes



Safety properties and finite traces

For transition system TS without terminal states and safety property P_{safe} :

 $\mathit{TS} \models P_{\mathit{safe}}$ if and only if $\mathit{Traces}_{\mathit{fin}}(\mathit{TS}) \cap \mathit{BadPref}(P_{\mathit{safe}}) = \varnothing$



Closure

• For trace $\sigma \in (2^{AP})^{\omega}$, let $pref(\sigma)$ be the set of *finite prefixes* of σ :

$$pref(\sigma) = \{ \widehat{\sigma} \in (2^{AP})^* \mid \widehat{\sigma} \text{ is a finite prefix of } \sigma \}$$

- if
$$\sigma = A_0 A_1 \ldots$$
 then $\mathit{pref}(\sigma) = \left\{ \varepsilon, A_0, A_0 A_1, A_0 A_1 A_2, \ldots \right\}$

- For property P we have: $pref(P) = \bigcup_{\sigma \in P} pref(\sigma)$
- The *closure* of LT property *P*:

$$\mathit{closure}(P) = \left\{ \sigma \in \left(2^\mathit{AP}\right)^\omega \mid \mathit{pref}(\sigma) \subseteq \mathit{pref}(P) \right\}$$

- the set of infinite traces whose finite prefixes are also prefixes of P, or
- infinite traces in the closure of P do not have a prefix that is not a prefix of P



Safety properties and closures

For any LT property P over AP:

P is a safety property if and only if $\mathit{closure}(P) = P$



Why liveness?

- Safety properties specify that "something bad never happens"
- Doing nothing easily fulfills a safety property
 - as this will never lead to a "bad" situation
- ⇒ Safety properties are complemented by liveness properties
 - that require some progress
 - Liveness properties assert that:
 - "something good" will happen eventually

[Lamport 1977]



Liveness properties

LT property P_{live} over AP is a *liveness* property whenever

$$pref(P_{live}) = (2^{AP})^*$$

- A liveness property is an LT property
 - that does not rule out any prefix
- Liveness properties are violated in "infinite time"
 - whereas safety properties are violated in finite time
 - finite traces are of no use to decide whether P holds or not
 - any finite prefix can be extended such that the resulting infinite trace satisfies P



Liveness properties for mutual exclusion

• Eventually:

- each process will eventually enter its critical section

• Repeated eventually:

- each process will enter ist critical section infinitely often

• Starvation freedom:

- each waiting process will eventually enter its critical section



Safety vs. liveness

Are safety and liveness properties disjoint?

Yes

• Is any linear-time property a safety or liveness property?

No

• But:

for any LT property P an equivalent LT property P' exists which is a conjunction of a safety and a <u>liveness</u> property



A non-safety and non-liveness property

"the machine provides infinitely often beer after initially providing sprite three times in a row"

- This property consists of *two* parts:
 - it requires beer to be provided infinitely often
 - ⇒ as any finite trace fulfills this, it is a liveness property
 - the first three drinks it provides should all be sprite
 - ⇒ bad prefix = one of first three drinks is beer; this is a safety property
- Property is thus a conjunction of a safety and a liveness property

does this apply to all such properties?



Decomposition theorem

For any LT property P over AP there exists a safety property P_{safe} and a liveness property P_{live} (both over AP) such that:

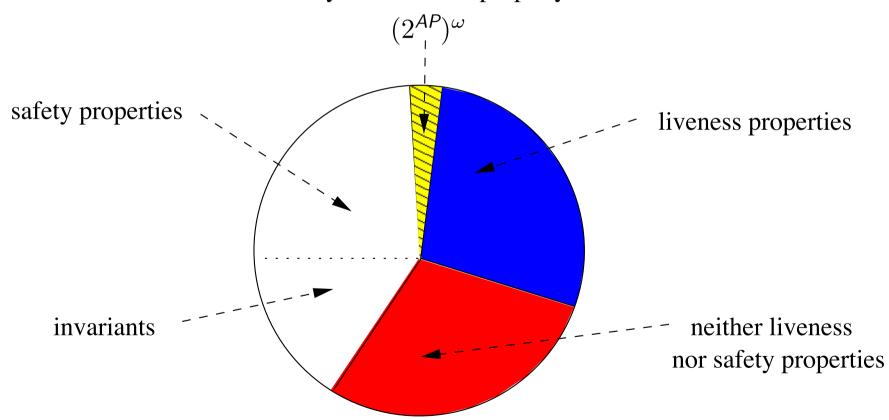
$$P = P_{safe} \cap P_{live}$$

Proposal:
$$P = \underbrace{closure(P)}_{=P_{safe}} \cap \underbrace{\left(P \cup \left(\left(2^{AP}\right)^{\omega} \setminus closure(P)\right)\right)}_{=P_{live}}$$



Classification of LT properties







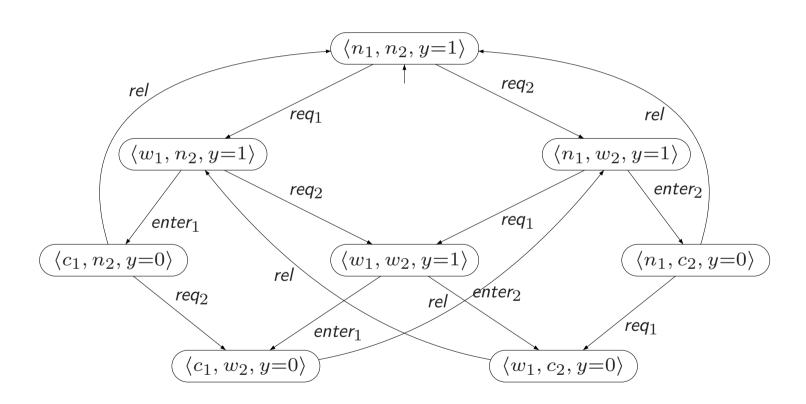
Does this program always terminate?

$$\begin{array}{lll} \mathbf{proc} \ \operatorname{Inc} & = & \mathbf{while} \ \langle \, x \geqslant 0 \ \mathbf{do} \ x := x + 1 \, \rangle \ \mathbf{od} \\ \\ \mathbf{proc} \ \operatorname{Reset} & = & x := -1 \end{array}$$

x is a shared integer variable that initially has value $\mathbf{0}$

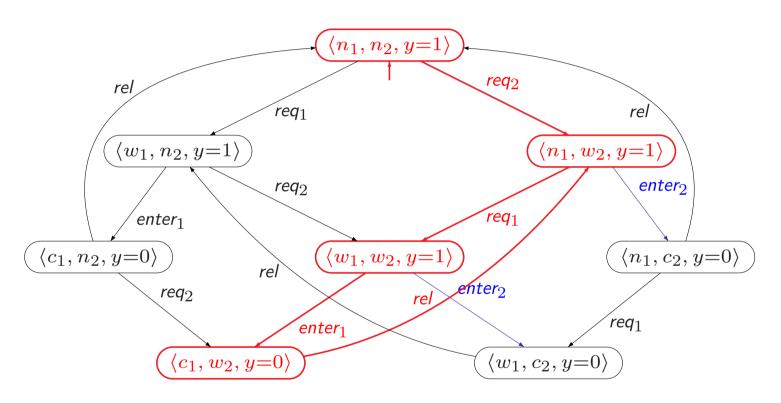


Is it possible to starve?





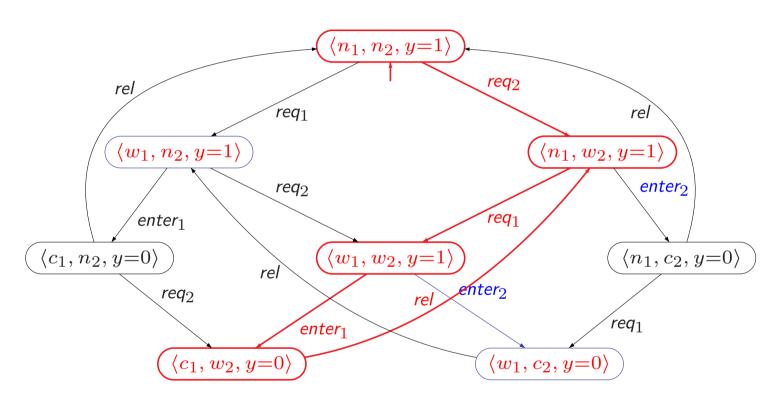
Process two starves



Is it fair that process two has infinitely many possibilities to enter the critical section, but never enters it?



Process two starves



Is it fair that process two has infinitely many possibilities to enter the critical section, but only enters it finitely often?



Fairness

- Starvation freedom is often considered under process fairness
 - ⇒ there is a fair scheduling of the execution of processes
- Fairness is typically needed to prove liveness
 - to prove some form of progress, progress needs to be possible
- Fairness is concerned with a fair resolution of nondeterminism
 - such that it is not biased to consistently ignore a possible option
- Problem: liveness properties constrain infinite behaviours
 - but some traces—that are unfair—refute the liveness property



Fairness constraints

What is wrong with our examples?

Nothing!

- interleaving: not realistic as in no processor is infinitely faster than another
- semaphore-based mutual exclusion: level of abstraction
- Rule out "unrealistic" executions by imposing fairness constraints
 - what to rule out? \Rightarrow different kinds of fairness constraints
- "A process gets its turn infinitely often"
 - always
 - if it is enabled infinitely often
 - if it is continuously enabled from some point on

unconditional fairness strong fairness weak fairness



Fairness

This program terminates under unconditional (process) fairness:

$$\begin{array}{lll} \mathbf{proc} \ \operatorname{Inc} &=& \mathbf{while} \ \langle \, x \geqslant 0 \ \mathbf{do} \ x := x + 1 \, \rangle \ \mathbf{od} \\ \\ \mathbf{proc} \ \operatorname{Reset} &=& x := -1 \end{array}$$

x is a shared integer variable that initially has value 0



Fairness constraints

For $TS = (S, Act, \rightarrow, I, AP, L)$ without terminal states, $A \subseteq Act$, and infinite execution fragment $\rho = s_0 \xrightarrow{\alpha_0} s_1 \xrightarrow{\alpha_1} \dots$ of TS:

- 1. ρ is unconditionally A-fair whenever: true $\implies \forall k \geqslant 0. \exists j \geqslant k. \ \alpha_j \in A$ infinitely often A is taken
- 2. ρ is *strongly A-fair* whenever:

$$\underbrace{(\forall k \geqslant 0. \, \exists j \geqslant k. \, \mathit{Act}(s_j) \, \cap \, A \neq \varnothing)}_{\text{infinitely often A is enabled}} \implies \underbrace{\forall k \geqslant 0. \, \exists j \geqslant k. \, \alpha_j \in A}_{\text{infinitely often A is taken}}$$

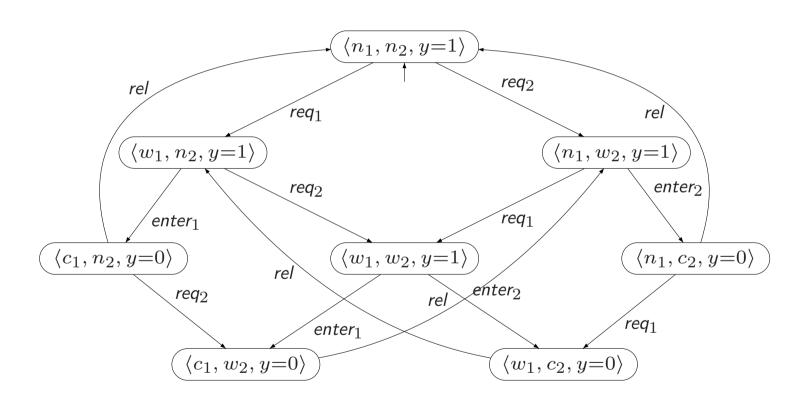
3. ρ is weakly A-fair whenever:

$$\underbrace{(\exists k \geqslant 0. \, \forall j \geqslant k. \, Act(s_j) \, \cap \, A \neq \varnothing)}_{A \text{ is eventually always enabled}} \implies \underbrace{\forall k \geqslant 0. \, \exists j \geqslant k. \, \alpha_j \in A}_{\text{infinitely often A is taken}}$$

where
$$Act(s) = \left\{ \alpha \in Act \mid \exists s' \in S. \ s \xrightarrow{\alpha} s' \right\}$$



Example (un)fair executions





Which fairness notion to use?

- Fairness constraints aim to rule out "unreasonable" runs
- Too strong? ⇒ relevant computations ruled out verification yields:
 - "false": error found
 - "true": don't know as some relevant execution may refute it
- \bullet Too weak? \Rightarrow too many computations considered

verification yields:

- "true": property holds
- "false": don't know, as refutation maybe due to some unreasonable run

often a combination of several fairness constraints is used



Fairness assumptions

• A fairness assumption for Act is a triple

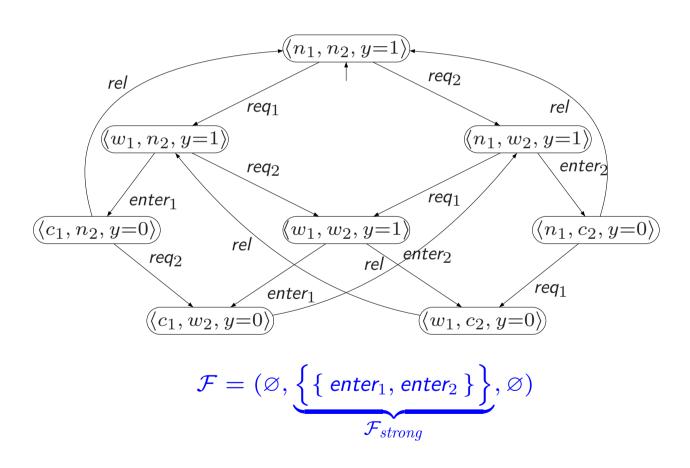
$$\mathcal{F} = (\mathcal{F}_{ucond}, \mathcal{F}_{strong}, \mathcal{F}_{weak})$$

with
$$\mathcal{F}_{ucond}, \mathcal{F}_{strong}, \mathcal{F}_{weak} \subseteq 2^{Act}$$

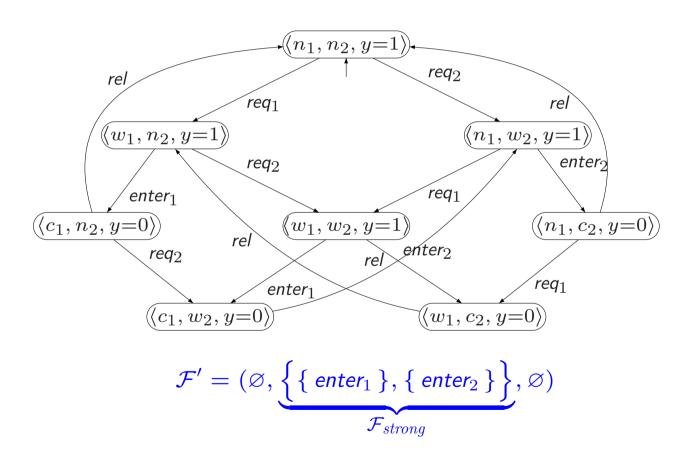
- Execution ρ is \mathcal{F} -fair if:
 - it is unconditionally A-fair for all $A \in \mathcal{F}_{ucond}$, and
 - it is strongly A-fair for all $A \in \mathcal{F}_{strong}$, and
 - it is weakly A-fair for all $A \in \mathcal{F}_{weak}$

fairness assumption $(\varnothing, \mathcal{F}', \varnothing)$ denotes strong fairness; $(\varnothing, \varnothing, \mathcal{F}')$ weak, etc.

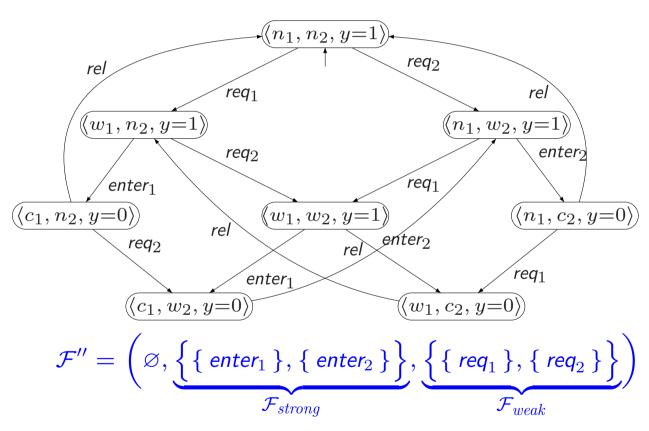












in any \mathcal{F}'' -fair execution each process infinitely often requests access



Fair paths and traces

- Path $s_0 \rightarrow s_1 \rightarrow s_2 \dots$ is \mathcal{F} -fair if
 - there exists an \mathcal{F} -fair execution $s_0 \xrightarrow{\alpha_1} s_1 \xrightarrow{\alpha_2} s_2 \dots$
 - $\mathit{FairPaths}_{\mathcal{F}}(s)$ denotes the set of \mathcal{F} -fair paths that start in s
 - $FairPaths_{\mathcal{F}}(TS) = \bigcup_{s \in I} FairPaths_{\mathcal{F}}(s)$
- Trace σ is \mathcal{F} -fair if there exists an \mathcal{F} -fair path π with $trace(\pi) = \sigma$
 - $FairTraces_{\mathcal{F}}(s) = trace(FairPaths_{\mathcal{F}}(s))$
 - $FairTraces_{\mathcal{F}}(TS) = trace(FairPaths_{\mathcal{F}}(TS))$



Fair satisfaction

• *TS satisfies* LT-property *P*:

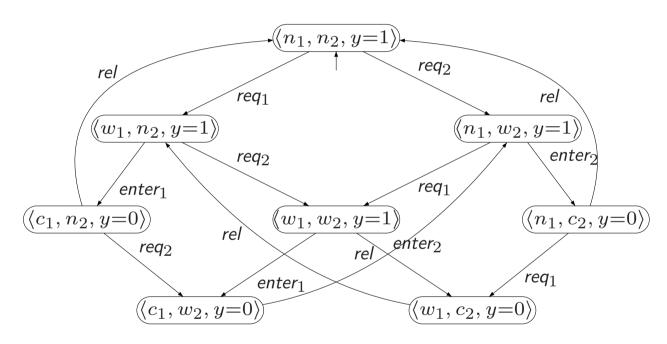
$$TS \models P$$
 if and only if $Traces(TS) \subseteq P$

- TS satisfies the LT property P if all its observable behaviors are admissible
- TS fairly satisfies LT-property P wrt. fairness assumption \mathcal{F} :

$$TS \models_{\mathcal{F}} P$$
 if and only if $FairTraces_{\mathcal{F}}(TS) \subseteq P$

- if all paths in TS are \mathcal{F} -fair, then $TS \models_{\mathcal{F}} P$ if and only if $TS \models_{\mathcal{F}} P$
- if some path in TS is not \mathcal{F} -fair, then possibly $TS \models_{\mathcal{F}} P$ but $TS \not\models P$





 $TS \not\models$ "every process enters its critical section infinitely often"

and
$$TS \not\models_{\mathcal{F}'}$$
 "every . . . often"

but $TS \models_{\mathcal{F}''}$ "every . . . often"



Fairness and safety properties

For
$$TS$$
 and safety property P_{safe} (both over AP) suhc that for any $s \in Reach(TS)$: $FairPaths_{\mathcal{F}}(s) \neq \varnothing$:
$$TS \models P_{safe} \quad \text{if and only if} \quad TS \models_{\mathcal{F}} P_{safe}$$

Safety properties are thus preserved by "realizable" fairness assumptions