

Control

5. Feedback & Control

Feedback & Control

5.1 Introduction

5.2 Open-loop systems

5.3 Closed-loop systems

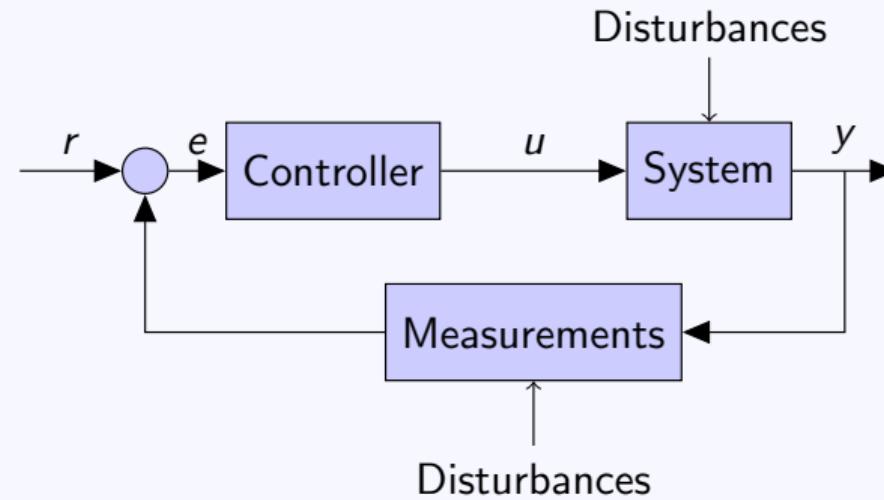
5.4 Feed-forward Control

5.5 State Variable Feedback Systems

5.6 Additional Exercises

5.7 Appendix

Basic Architecture



Feedback & Control

5.1 Introduction

5.2 Open-loop systems

5.3 Closed-loop systems

5.4 Feed-forward Control

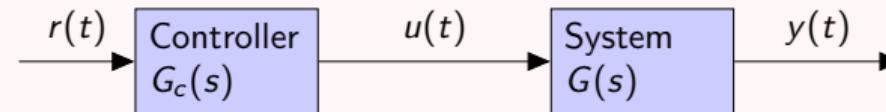
5.5 State Variable Feedback Systems

5.6 Additional Exercises

5.7 Appendix

Definition

An **open-loop** system operates without feedback and directly generates the output in response to an input signal $r(t)$.

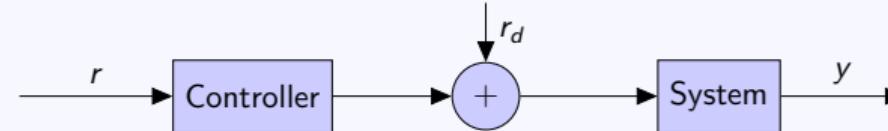


Definition

An open-loop system operates without feedback and directly generates the output in response to an input signal $r(t)$.



Adding disturbances

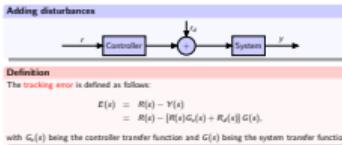


Definition

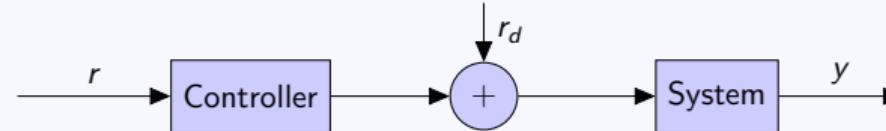
The **tracking error** is defined as follows:

$$\begin{aligned} E(s) &= R(s) - Y(s) \\ &= R(s) - [R(s)G_c(s) + R_d(s)] G(s), \end{aligned}$$

with $G_c(s)$ being the controller transfer function and $G(s)$ being the system transfer function.



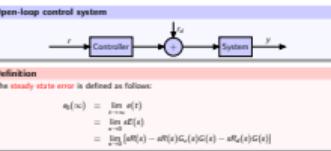
Open-loop control system



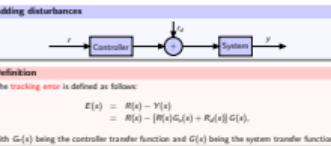
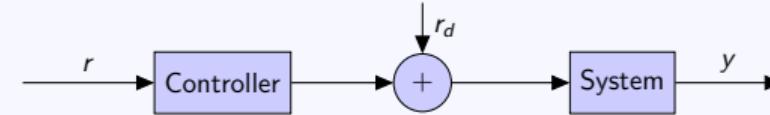
Definition

The **steady state error** is defined as follows:

$$\begin{aligned} e_0(\infty) &= \lim_{t \rightarrow \infty} e(t) \\ &= \lim_{s \rightarrow 0} sE(s) \\ &= \lim_{s \rightarrow 0} [sR(s) - sR(s)G_c(s)G(s) - sR_d(s)G(s)] \end{aligned}$$



Open-loop control system



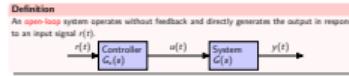
Properties

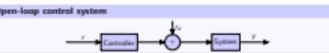
Using the unit step function as a comparable input, one gets:

$$\begin{aligned} e_0(\infty) &= \lim_{s \rightarrow 0} \left[s \frac{1}{s} - s \left(\frac{1}{s} G_c(s) + R_d(s) \right) G(s) \right] \\ &= 1 - \lim_{s \rightarrow 0} [G_c(s)G(s) + sR_d(s)G(s)] \end{aligned}$$

For $R_d(s) = 0$ (no disturbance):

$$e_0(\infty) = 1 - \lim_{s \rightarrow 0} [G_c(s)G(s)]$$



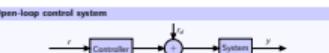
**Properties**

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**Definition**

The steady state error is defined as follows:

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The open-loop system

- ... can not react to disturbances
- ... may be calibrated so that $G(0)G_c(0) = 1$
- ... but during the operation $G(s)$ might change over time

**Definition**

The tracking error is defined as follows:

$$\begin{aligned} E(s) &= R(s) - Y(s) \\ &= R(s) - [R(s)G_c(s) + R_d(s)]G(s) \end{aligned}$$

with $G_c(s)$ being the controller transfer function and $G(s)$ being the system transfer function.

Feedback & Control

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5.3 Closed-loop systems

5.3.1 Basic structure

5.3.2 Unity feedback

5.3.3 Stability

5.3.4 Phase and Gain Margin

5.3.5 Nyquist diagrams

5.3.6 Second order systems

5.3.7 Controller performance indicators

5.3.8 Basic controller

5.3.9 Exemplary systems

5.4 Feed-forward Control

5.5 State Variable Feedback Systems

5.6 Additional Exercises

5.7 Appendix

Closed-loop systems

5.3 Closed-loop systems

5.3.1 Basic structure

5.3.2 Unity feedback

5.3.3 Stability

5.3.4 Phase and Gain Margin

5.3.5 Nyquist diagrams

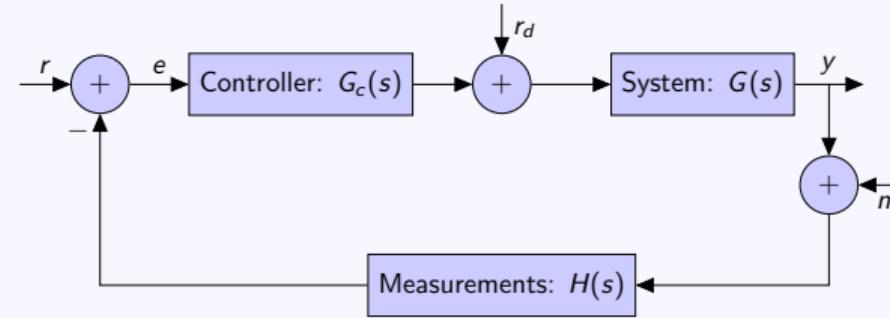
5.3.6 Second order systems

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5.3.8 Basic controller

5.3.9 Exemplary systems

Basic structure



- ▷ r_d : Disturbance
- ▷ n : Measurement noise

Note: Also called feedback control

Closed-loop systems

5.3 Closed-loop systems

5.3.1 Basic structure

5.3.2 Unity feedback

5.3.3 Stability

5.3.4 Phase and Gain Margin

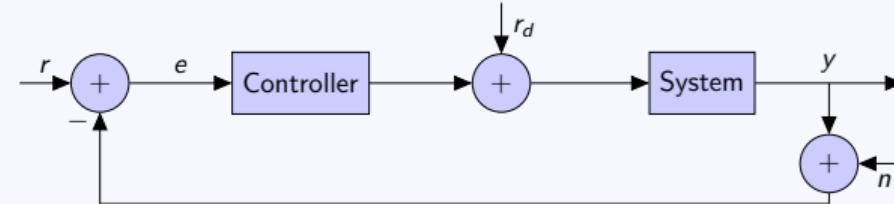
5.3.5 Nyquist diagrams

5.3.6 Second order systems

5.3.7 Controller performance indicators

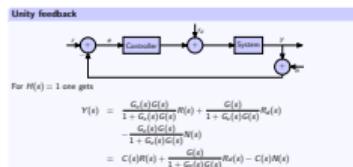
5.3.8 Basic controller

5.3.9 Exemplary systems

Unity feedback

For $H(s) = 1$ one gets

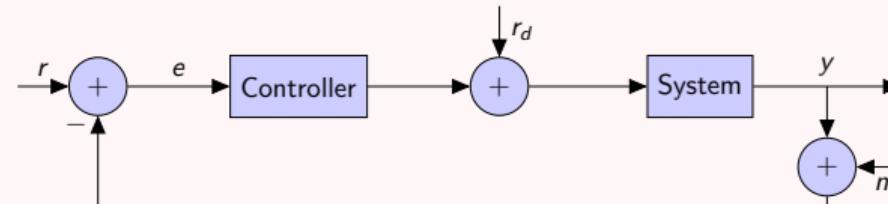
$$\begin{aligned}
 Y(s) &= \frac{G_c(s)G(s)}{1 + G_c(s)G(s)}R(s) + \frac{G(s)}{1 + G_c(s)G(s)}R_d(s) \\
 &\quad - \frac{G_c(s)G(s)}{1 + G_c(s)G(s)}N(s) \\
 &= C(s)R(s) + \frac{G(s)}{1 + G_c(s)G(s)}R_d(s) - C(s)N(s)
 \end{aligned}$$



Loop gain

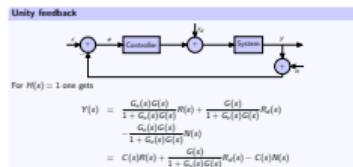
Loop gain

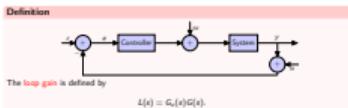
Definition



The **loop gain** is defined by

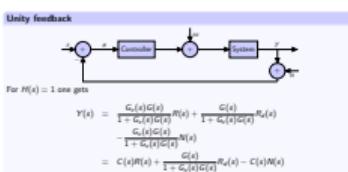
$$L(s) = G_c(s)G(s).$$





Loop gain

Sensitivity

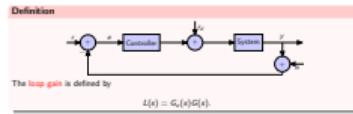


Sensitivity

Definition

System sensitivity is the ratio of the change e.g. in the system transfer function to the change of a process transfer function (or parameter) for a small incremental change

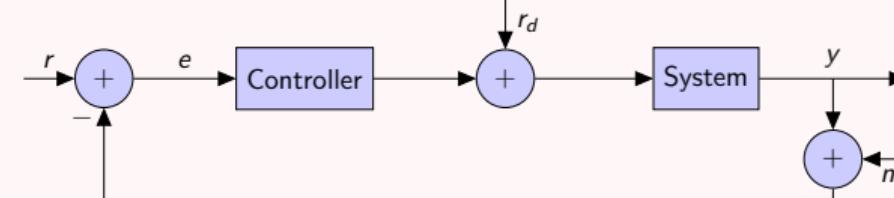
Dorf, Bishop



Loop gain

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Dorf, Bishop

Definition

Sensitivity

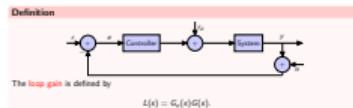
With

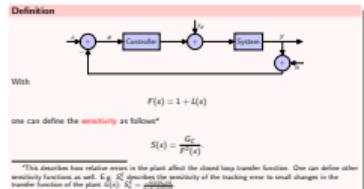
$$F(s) = 1 + L(s)$$

one can define the **sensitivity** as follows^a

$$S(s) = \frac{G_C}{F^2(s)}.$$

^aThis describes how relative errors in the plant affect the closed loop transfer function. One can define other sensitivity functions as well. E.g. S_G^E describes the sensitivity of the tracking error to small changes in the transfer function of the plant $G(s)$: $S_G^E = \frac{-G(s)G_C(s)}{1+G_C(s)G(s)}$.



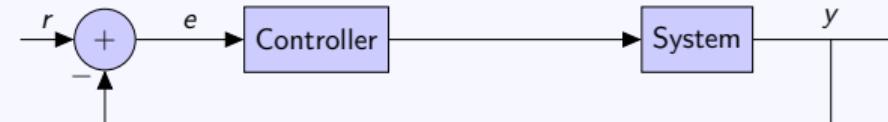


Without distortion

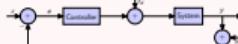
Sensitivity

Without distortion

Tracking error



Definition



With

$$F(s) \approx 1 + G(s)$$

one can define the sensitivity as follows*

$$S(s) = \frac{G_c}{F(s)}$$

*This describes how changes in the plant affect the closed-loop transfer function. One can define other sensitivity functions as well. E.g. S^T describes the sensitivity of the tracking error to small changes in the transfer function of the plant $G(s)$. $S^T = \frac{\partial E}{\partial G}$

$$Y(s) = \frac{G_c(s)G(s)}{1 + G_c(s)G(s)} R(s)$$

Tracking error:

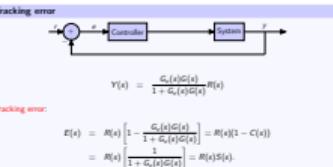
$$\begin{aligned} E(s) &= R(s) \left[1 - \frac{G_c(s)G(s)}{1 + G_c(s)G(s)} \right] = R(s)(1 - C(s)) \\ &= R(s) \left[\frac{1}{1 + G_c(s)G(s)} \right] = R(s)S(s). \end{aligned}$$

Definition

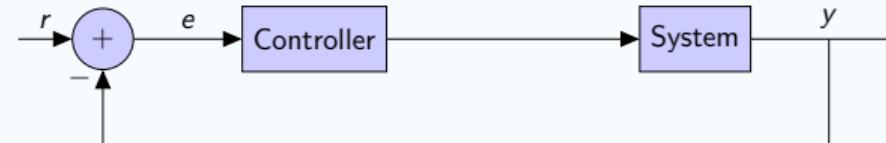
System sensitivity is the ratio of the change e.g. in the system transfer function to the change of a process transfer function (or parameter) for a small incremental change.

Dorf, Bishop

Unity feedback without disturbance



Example

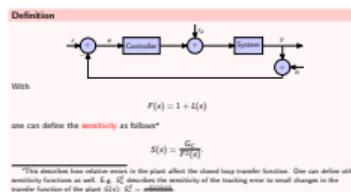


Without distortion

$$Y(s) = \frac{G_c(s)G(s)}{1 + G_c(s)G(s)} R(s)$$

For $\lim_{s \rightarrow 0} G_c(s)G(s) = 1$ (compare with open loop case):

$$e_0(\infty) = \lim_{s \rightarrow 0} \left[\frac{1}{1 + G_c(s)G(s)} \right] = \boxed{}$$



Example



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For $\lim_{s \rightarrow 0} G_c(s)G(s) = 1$ (compare with open loop case):

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Tracking error



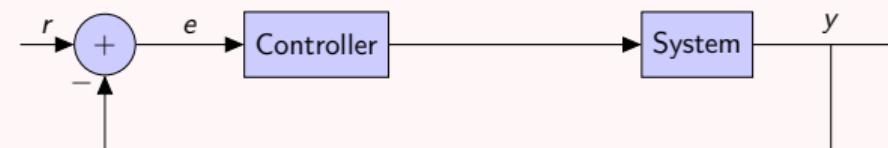
$$Y(s) = \frac{G_c(s)G(s)}{1 + G_c(s)G(s)} R(s)$$

Tracking error:

$$\begin{aligned} E(s) &= R(s) \left[1 - \frac{G_c(s)G(s)}{1 + G_c(s)G(s)} \right] = R(s)[1 - C(s)] \\ &= R(s) \left[\frac{1}{1 + G_c(s)G(s)} \right] = R(s)S(s) \end{aligned}$$

Without distortion

Definition



The **DC loop gain** $L(0)$ is defined as follows:

$$L(0) = G_c(0)G(0)$$

The **steady state error** will be *small* if the DC loop gain is *reasonably large*:

$$e_0(\infty) = \frac{1}{1 + L(0)}$$

Closed-loop systems

5.3 Closed-loop systems

5.3.1 Basic structure

5.3.2 Unity feedback

5.3.3 Stability

5.3.4 Phase and Gain Margin

5.3.5 Nyquist diagrams

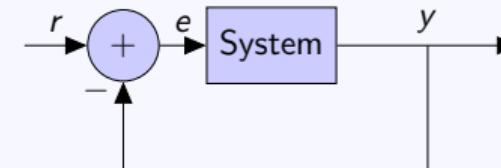
5.3.6 Second order systems

5.3.7 Controller performance indicators

5.3.8 Basic controller

5.3.9 Exemplary systems

Stability criterion



$$\begin{aligned} Y(s) &= \frac{G(s)}{1 + G(s)} R(s) = \frac{|G(s)| e^{j\varphi_G(s)}}{1 + |G(s)| e^{j\varphi_G(s)}} R(s) \\ &= \frac{e^{\varphi_G(s)}}{\frac{1}{|G(s)|} + e^{j\varphi_G(s)}} R(s) \end{aligned}$$

The closed-loop system becomes unstable in case of

$$\frac{1}{|G(s)|} + e^{j\varphi_G(s)} = 0$$

having a solution for $\Re\{s\} > 0$. This leads to the definitions on the following slides.

Stability criterion

$$\begin{aligned}Y(s) &= \frac{G(s)}{1+G(s)} R(s) = \frac{|G(s)| e^{j\varphi_G(s)}}{1+|G(s)| e^{j\varphi_G(s)}} R(s) \\&= \frac{e^{j\varphi_G(s)}}{|G(s)| + e^{j\varphi_G(s)}} R(s)\end{aligned}$$

The closed-loop system becomes unstable if

$$\frac{1}{|G(s)|} + e^{j\varphi_G(s)} = 0$$

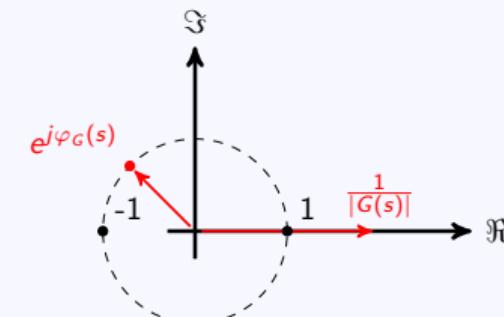
having a solution for $\Re\{s\} > 0$. This leads to the definitions on the following slides.**Stability criterion**

The closed-loop system becomes unstable in case of

$$1 + |G(s)| e^{j\varphi_G(s)} = 0$$

having a solution for $\Re\{s\} > 0$.

For linear systems: The closed loop is stable if the gain of the loop transfer function is less than one for all frequencies

Complex plane

Closed-loop systems

5.3 Closed-loop systems

5.3.1 Basic structure

5.3.2 Unity feedback

5.3.3 Stability

5.3.4 Phase and Gain Margin

5.3.5 Nyquist diagrams

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5.3.7 Controller performance indicators

5.3.8 Basic controller

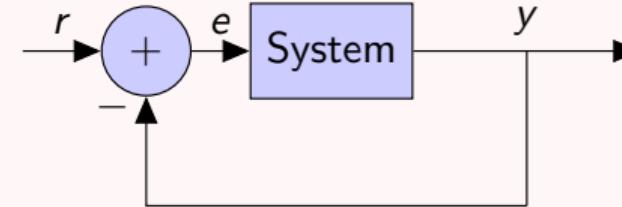
5.3.9 Exemplary systems

Margins

In practice it is not enough that the system is stable: There must also be some margins of stability. See also elective *SiCo 2*.

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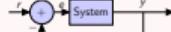
Definition

The **phase margin** is defined as 180° plus the phase of the open-loop transfer function at unity gain.

The phase margin is the amount of phase shift of $L(j\omega)$ at unity magnitude that will result in a marginally stable system with intersection of the $-1 + j0$ point on the Nyquist plot.

Dorf, Bishop

Phase crossover frequency

Definition

The **phase margin** is defined as 180° plus the phase of the open-loop transfer function at unity gain.

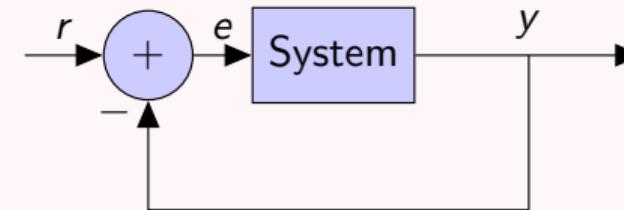
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Dorf, Bishop

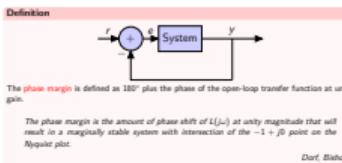
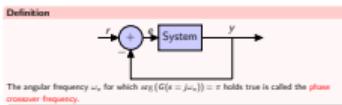
Margins

In practice it is not enough that the system is stable. There must also be some margins of stability. See also elective SiCo 2.

Definition



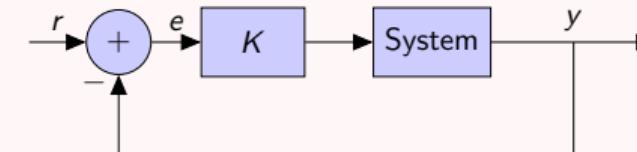
The angular frequency ω_π for which $\arg(G(s = j\omega_\pi)) = \pi$ holds true is called the **phase crossover frequency**.



Margins

In practice it is not enough that the system is stable. There must also be some margins of stability. See also effective SCo 2.

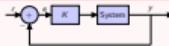
Definition



The **gain margin** is defined as the reciprocal of the open-loop transfer function at $s = j\omega_\pi$:

$$GM = \frac{1}{|G(s = j\omega_\pi)|}.$$

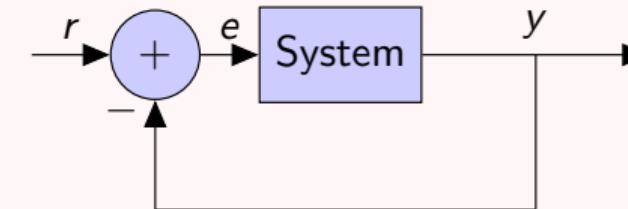
The gain margin tells you how much you can increase a **gain factor** K until the closed loop system becomes unstable.

Definition

The **gain margin** is defined as the reciprocal of the open-loop transfer function at $\omega = j\omega_m$:

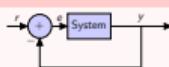
$$GM = \frac{1}{|G(j\omega_m)|}$$

The gain margin tells you how much you can increase a **gain factor** K until the closed loop system becomes unstable.

Definition

The gain margin is the increase in the system gain when phase = -180° that will result in a marginally stable system with intersection of the $-1 + j0$ point on the Nyquist plot.

Dorf, Bishop

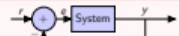
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The phase margin is the amount of phase shift of $L(j\omega)$ at unity magnitude that will result in a marginally stable system with intersection of the $-1 + j0$ point on the Nyquist plot.

Dorf, Bishop

Definition



The **gain margin** is the increase in the system gain when phase $\omega = -180^\circ$ that will result in a marginally stable system with intersection of the $-1 + j0$ point on the Nyquist plot.

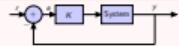
Dorf, Bishop

Example

Given is a system with a transfer function

$$G(s) = \frac{1}{s^3 + s^2 + s + k}$$

Definition

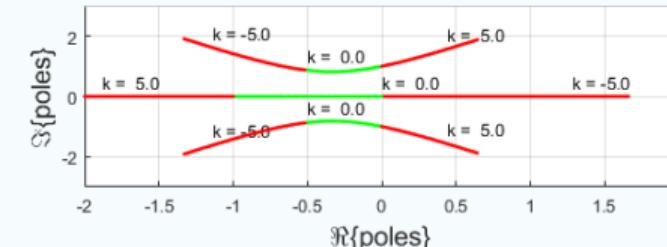


The **gain margin** is defined as the reciprocal of the open-loop transfer function at $s = j\omega_n$:

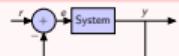
$$GM = \frac{1}{|G(j\omega_n)|}$$

The gain margin tells you how much you can increase a **gain factor** K until the closed loop system becomes unstable.

pole plot



Definition



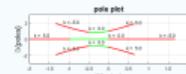
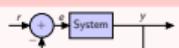
The angular frequency ω_r for which $\arg(G(s = j\omega_r)) = \pi$ holds true is called the **phase crossover frequency**.

Open loop: Stable for $0 < k < 1$.

Example

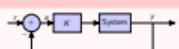
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$$G(s) = \frac{1}{s^3 + s^2 + s + k}$$

Open loop: Stable for $0 < k < 1$.**Definition**

The **gain margin** is the increase in the system gain when phase $\approx -180^\circ$ that will result in a marginally stable system with intersection of the $-1 + j0$ point on the Nyquist plot.

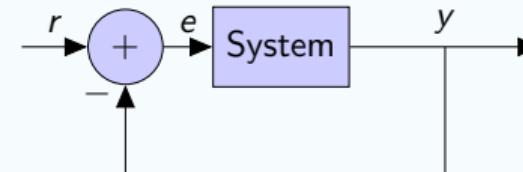
Dorf, Bishop

Definition

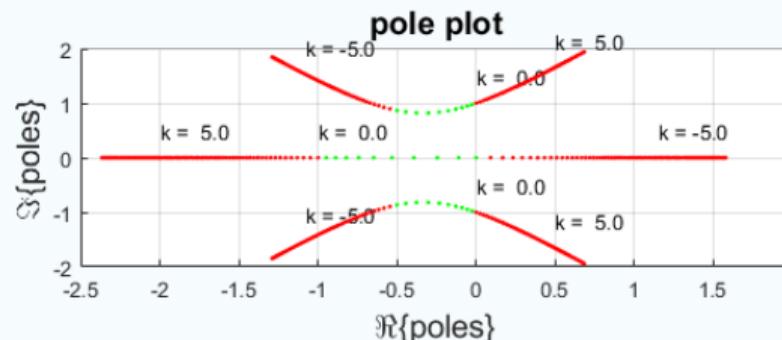
The **gain margin** is defined as the reciprocal of the open-loop transfer function at $s = j\omega_n$:

$$GM = \frac{1}{|G(j\omega_n)|}$$

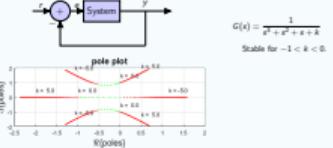
The gain margin tells you how much you can increase a **gain factor** K until the closed loop system becomes unstable.

Example

$$G(s) = \frac{1}{s^3 + s^2 + s + k}$$

Stable for $-1 < k < 0$.

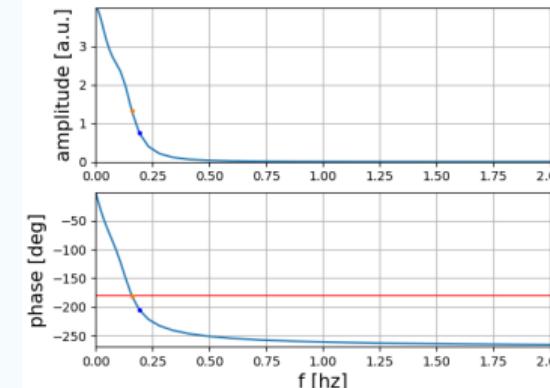
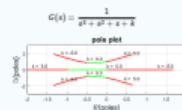
Example

**Example**

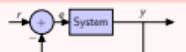
$$G(s) = \frac{1}{s^3 + s^2 + s + 0.25} : \text{Open loop stable}$$

Example

Given is a system with a transfer function

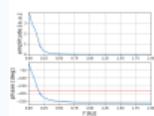
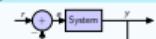


Definition



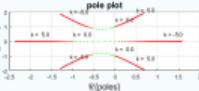
The **gain margin** is the increase in the system gain when phase $= -180^\circ$ that will result in a marginally stable system with intersection of the $-1 + j0$ point on the Nyquist plot.

Dorf, Bishop

Example $G(s) = \frac{1}{s^3 + s^2 + s + 0.25}$: Open loop stable**Example**

$$G(s) = \frac{1}{s^3 + s^2 + s + k}$$

Stable for $-1 < k < 0$.

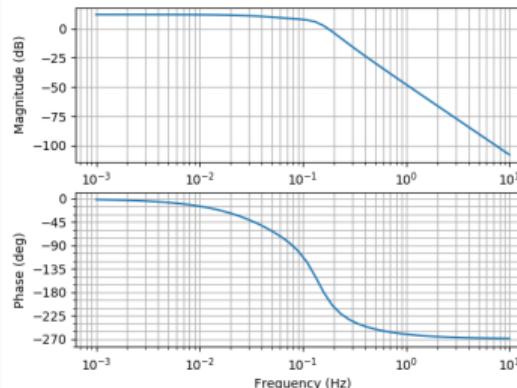
**Example**

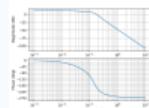
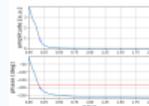
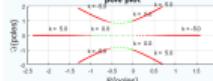
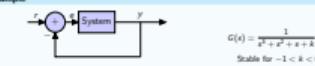
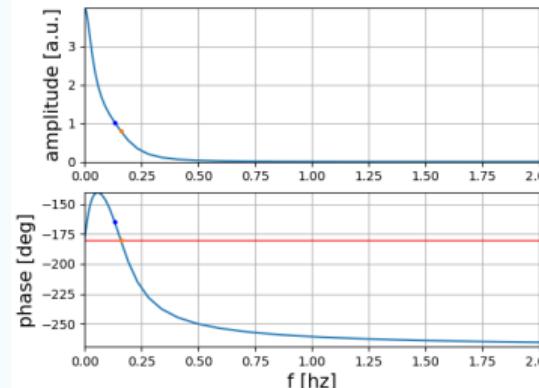
Given is a system with a transfer function

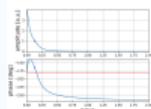
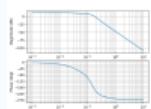
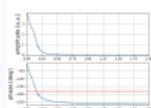
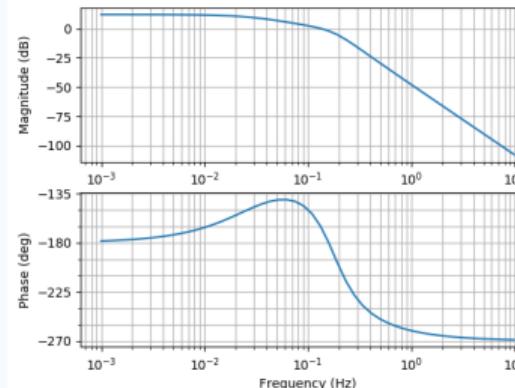
$$G(s) = \frac{1}{s^3 + s^2 + s + k}$$

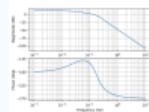
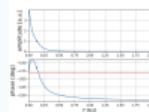
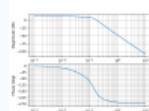
Open loop: Stable for $0 < k < 1$.**Example**

$$G(s) = \frac{1}{s^3 + s^2 + s + 0.25} : \text{Open loop stable}$$



Example $G(s) = \frac{1}{s^3 + s^2 + s - 0.25}$: Open loop stable**Example** $G(s) = \frac{1}{s^2 + s + 0.25}$: Open loop stable**Example****Example** $G(s) = \frac{1}{s^3 + s^2 + s - 0.25}$: Open loop unstable

Example $G(s) = \frac{1}{s^3 + s^2 + s - 0.25}$: Open loop unstable**Example** $G(s) = \frac{1}{s^3 + s^2 + s + 0.25}$: Open loop stable**Example** $G(s) = \frac{1}{s^3 + s^2 + s + 0.25}$: Open loop stable**Example**
 $G(s) = \frac{1}{s^3 + s^2 + s - 0.25}$: Open loop unstable


Example $G(s) = \frac{1}{s^2 + 2s + 0.25}$. Open loop unstable**Example** $G(s) = \frac{1}{s^2 + 10s + 25}$. Open loop stable**Example** $G(s) = \frac{1}{s^2 + 2s + 10}$. Open loop stable**Properties**

- ▷ Negative gain **and** phase margin: Closed loop system (with negative feedback) is unstable

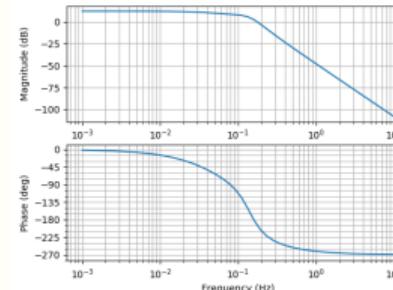


Figure 15: Unstable closed loop

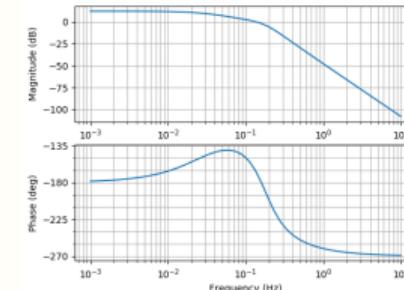


Figure 16: Stable closed loop

Properties

- ▷ Negative gain and phase margin: Closed loop system (with negative feedback) is unstable

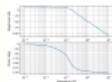


Figure 1b: Unstable closed loop

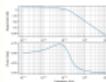


Figure 1b: Stable closed loop

Exercise (#5.1)

Plot the bode diagrams and check open and closed loop (unity feedback, $G_C(s) = 1$) stability for



$$G(s) = \frac{1}{s^3 + s^2 + s - 0.25}$$

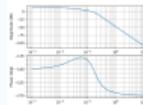


$$G(s) = \frac{1}{s^3 + s^2 + s + 0.25}$$

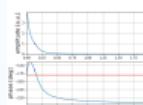
by making use of NUMPY or MATLAB. Plot the unit step responses of open and closed loop systems.

Example

$$G(s) = \frac{1}{s^2 + 2s + 0.25}$$

**Example**

$$G(s) = \frac{1}{s^2 + 2s - 0.25}$$



- └ Feedback & Control
- └ Closed-loop systems

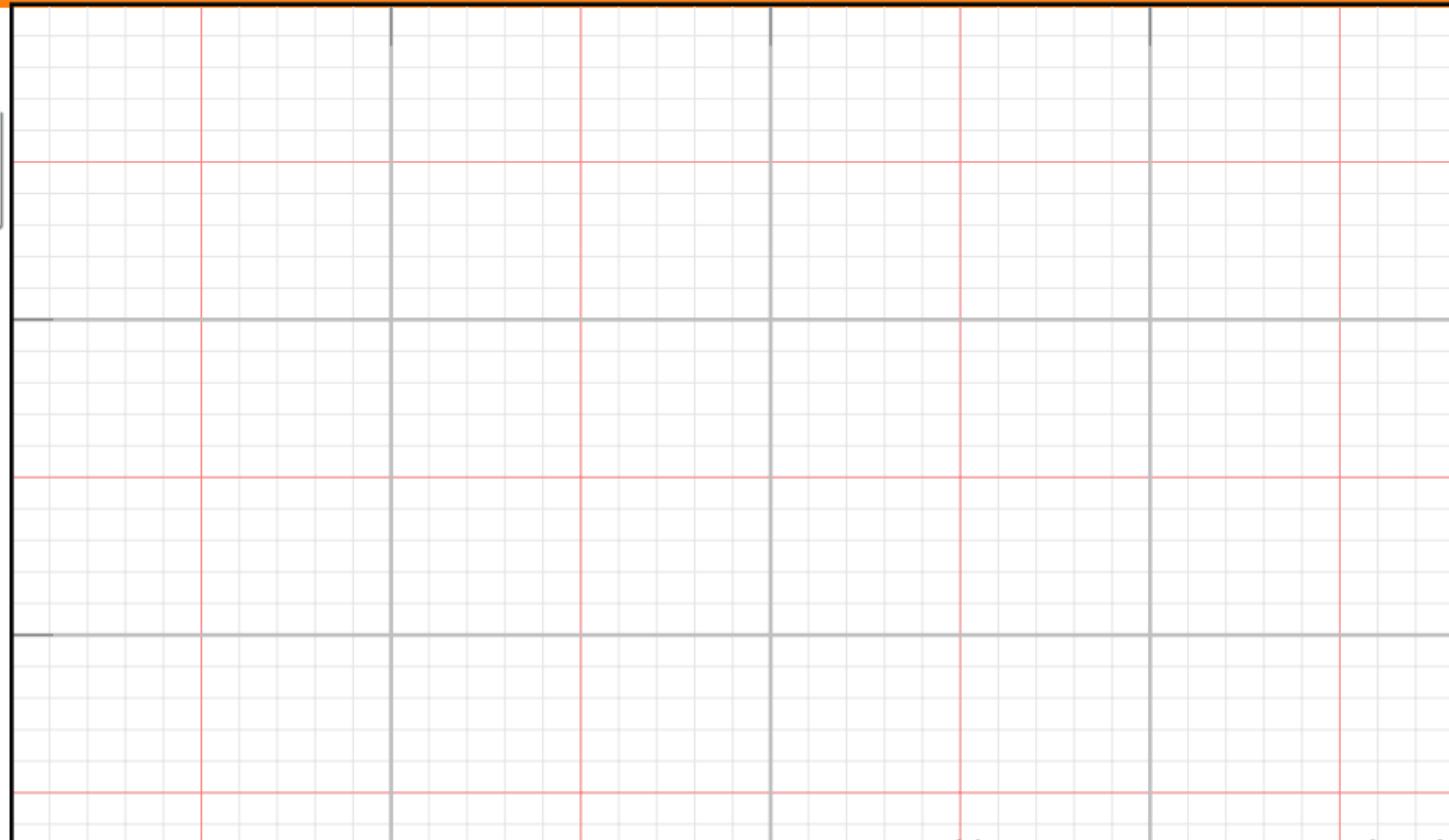
Exercise (#5.1)

Plot the bode diagrams and check open and closed loop (unity feedback, $G_C(s) = 1$) stability for

▷
$$G(s) = \frac{1}{s^2 + s^2 + s - 0.25}$$

▷
$$G(s) = \frac{1}{s^2 + s^2 + s + 0.25}$$

by making use of Nise or MATLAB. Plot the unit step responses of open and closed loop systems.



Exercise (#5.1)Plot the bode diagrams and check open and closed loop (unity feedback, $G_C(s) = 1$) stability

▷

$$G(s) = \frac{1}{s^2 + s^2 + s - 0.25}$$

$$G(s) = \frac{1}{s^2 + 0.25 + s + 0.25}$$

by making use of *Nichols* or MATLAB. Plot the unit step responses of open and closed loop systems.**Properties**

▷ Negative gain and phase margin: Closed loop system (with negative feedback) is unstable



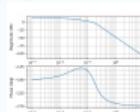
Figure 5b: Unstable closed loop



Figure 5b: Stable closed loop

Example

$$G(s) = \frac{1}{s^2 + 2s + 0.25} \quad \text{Open loop unstable}$$

**Exercise (#5.2)**Sketch the bode diagrams and check open and closed loop (unity feedback, $G_C(s) = 1$) stability for

▷

$$G(s) = \frac{1}{s^2 + 2s + 3},$$

▷

$$G(s) = \frac{1}{s + 0.25},$$

▷

$$G(s) = \frac{1}{s - 0.25}.$$

▷

$$G(s) = \frac{1}{s^3 + 2.25s^2 + 3.5s + 0.75}$$

Sketch the unit step responses of open and closed loop systems.

- └ Feedback & Control
- └ Closed-loop systems

Exercise (#5.2)

Sketch the bode diagrams and check open and closed loop (unity feedback, $G_C(s) \equiv 1$) stability for

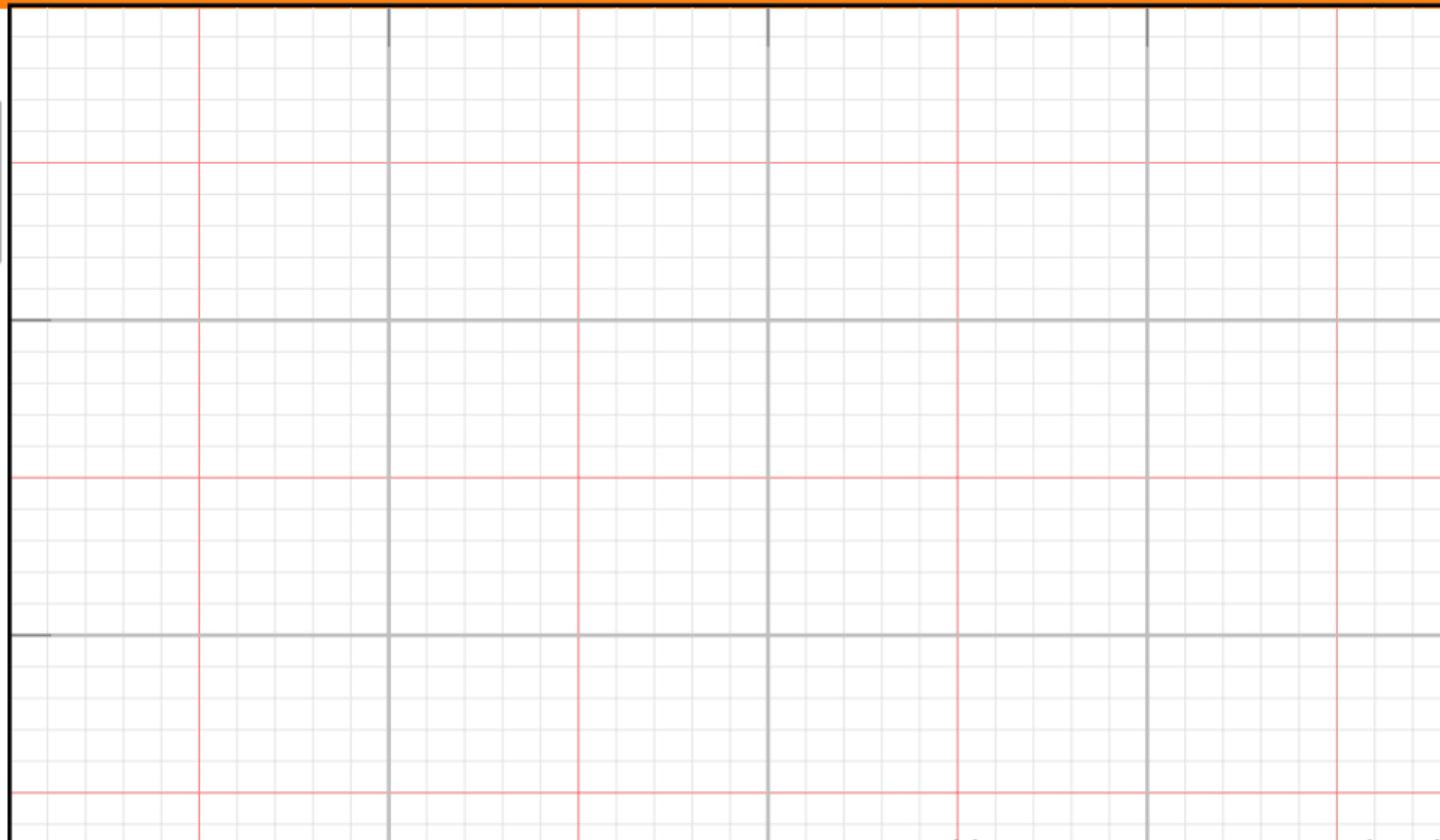
▷ $G(s) = \frac{1}{s^2 + 2s + 3}$

▷ $G(s) = \frac{1}{s + 0.25}$

▷ $G(s) = \frac{1}{s - 0.25}$

▷ $G(s) = \frac{1}{s^2 + 2.25s^2 + 3.5s + 0.75}$

Sketch the unit step responses of open and closed loop systems.



Closed-loop systems

5.3 Closed-loop systems

5.3.1 Basic structure

5.3.2 Unity feedback

5.3.3 Stability

5.3.4 Phase and Gain Margin

5.3.5 Nyquist diagrams

5.3.6 Second order systems

5.3.7 Controller performance indicators

5.3.8 Basic controller

5.3.9 Exemplary systems

Using Nyquist diagrams to check stability: Stable plant

Checking stability for the unity feedback closed loop system with

$$C(s) = \frac{G(s)G_c(s)}{1 + G(s)G_c(s)}$$

using the Nyquist diagram in case of $G(s)$ being stable:

1. Plot the Nyquist diagram for the open loop system $G(s)G_c(s)$
2. Travel the Nyquist contour from $\omega = 0$ to $\omega = \infty$.
3. The closed loop system is (still) stable, if the point $(-1, 0)$ is always to the left.

Using Nyquist diagrams to check stability: Stable plant

Checking stability for the unity feedback closed loop system with

$$C(s) = \frac{G(s)G_c(s)}{1 + G(s)G_c(s)}$$

using the Nyquist diagram in case of $G(s)$ being stable:

1. Plot the Nyquist diagram for the open loop system $G(s)G_c(s)$

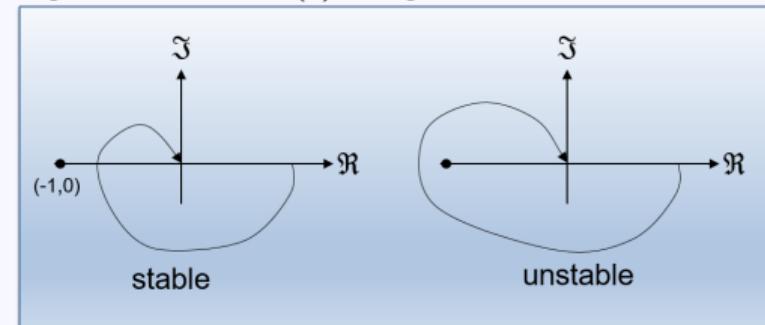
2. Travel the Nyquist contour from $\omega = 0$ to $\omega \rightarrow \infty$.

3. The closed loop system is (still) stable, if the point $(-1, 0)$ is always to the left.

Using Nyquist diagrams to check stability: Stable plant

Checking stability for the unity feedback closed loop system

$$C(s) = \frac{G(s)G_c(s)}{1 + G(s)G_c(s)}$$

using the Nyquist diagram in case of $G(s)$ being stable:

Using Nyquist diagrams to check stability: Stable plant

Checking stability for the unity feedback closed loop system

$$C(s) = \frac{G(s)G_c(s)}{1 + G(s)G_c(s)}$$

using the Nyquist diagram in case of $G(s)$ being stable:

Using Nyquist diagrams to check stability: Unstable plant

Checking stability for the unity feedback closed loop system with

$$C(s) = \frac{G(s)G_c(s)}{1 + G(s)G_c(s)}$$

using the Nyquist diagram (general case):

1. Plot the Nyquist diagram for the **open loop** system $G(s)G_c(s)$
2. Travel the Nyquist contour from $\omega = 0$ to $\omega = \infty$.
3. The closed loop system is (still) stable, if the point $(-1, 0)$ is always to the left.

Using Nyquist diagrams to check stability: Unstable plant

Checking stability for the unity feedback closed loop system with

$$C(s) = \frac{G(s)G_c(s)}{1 + G(s)G_c(s)}$$

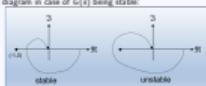
using the Nyquist diagram (general case):

1. Plot the Nyquist diagram for the **open loop** system $G(s)G_c(s)$
2. Count the number of poles r_k with a real part larger than zero
3. Count the number of poles i_k with a real part of zero.

Using Nyquist diagrams to check stability: Stable plant

Checking stability for the unity feedback closed loop system

$$C(s) = \frac{G(s)G_c(s)}{1 + G(s)G_c(s)}$$

using the Nyquist diagram in case of $G(s)$ being stable:**Using Nyquist diagrams to check stability: Stable plant**

Checking stability for the unity feedback closed loop system with

$$C(s) = \frac{G(s)G_c(s)}{1 + G(s)G_c(s)}$$

using the Nyquist diagram in case of $G(s)$ being stable:

1. Plot the Nyquist diagram for the open loop system $G(s)G_c(s)$
2. Travel the Nyquist contour from $\omega = 0$ to $\omega = \infty$.
3. The closed loop system is (still) stable, if the point $(-1, 0)$ is always to the left.

Using Nyquist diagrams to check stability: Unstable plant

Checking stability for the unity feedback closed loop system with

$$C(s) = \frac{G(s)G_c(s)}{1 + G(s)G_c(s)}$$

using the Nyquist diagram (general case):

4. Travel the Nyquist contour from $\omega = 0$ to $\omega = \infty$.
5. Sum up the angles of $\Delta\varphi$ of $G(s)G_c(s)$ encircling the point $(-1, 0)$. If the curve goes counter-clockwise: Count angles positive. Else: Count them negative. In other words: Examine the number of encirclements of the point $(-1, 0)$
6. The closed loop system is stable if $\Delta\varphi = i_k \frac{\pi}{2} + r_k \pi$.

Using Nyquist diagrams to check stability: Unstable plant

Checking stability for the unity feedback closed loop system with

$$C(s) = \frac{G(s)G_c(s)}{1 + G(s)G_c(s)}$$

using the Nyquist diagram (general case):

4. Travel the Nyquist contour from $\omega = 0$ to $\omega = \infty$.
5. Sum up the angles of $\Delta\varphi$ of $G(s)G_c(s)$ encircling the point $(-1, 0)$. If the curve goes counter-clockwise: Count angles positive. Else: Count them negative. In other words: Examine the number of encirclements of the point $(-1, 0)$.
6. The closed loop system is stable if $\Delta\varphi = k_p + r_0\pi$.

Using Nyquist diagrams to check stability: Unstable plant

Checking stability for the unity feedback closed loop system with

$$C(s) = \frac{G(s)G_c(s)}{1 + G(s)G_c(s)}$$

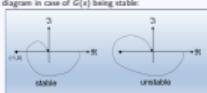
using the Nyquist diagram (general case):

1. Plot the Nyquist diagram for the open loop system $G(s)G_c(s)$
2. Count the number of poles r_p with a real part larger than zero.
3. Count the number of poles r_u with a real part of zero.

Using Nyquist diagrams to check stability: Stable plant

Checking stability for the unity feedback closed loop system

$$C(s) = \frac{G(s)G_c(s)}{1 + G(s)G_c(s)}$$

using the Nyquist diagram in case of $G(s)$ being stable:

Properties

▷ Negative gain and phase margin: Closed loop system (with negative feedback) is unstable

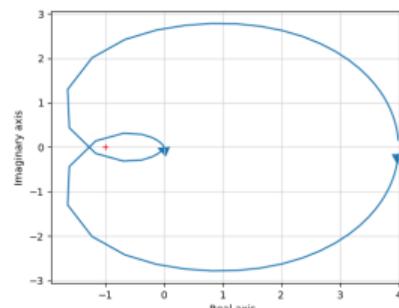


Figure 17: Transfer function $G(s)$ (unstable closed loop)

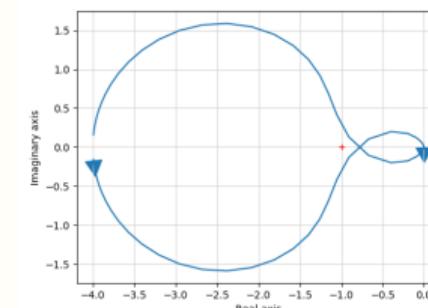


Figure 18: Transfer function $G(s)$ (stable closed loop)

Properties

- ▷ Negative gain and phase margin: Closed loop system (with negative feedback) is unstable

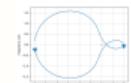
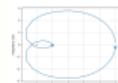


Figure 12: Transfer function $G(s)$ (unstable closed loop)

Figure 13: Transfer function $G(s)$ (stable closed loop)

Example: Stable open loop system

Given is a system with a transfer function

$$G(s) = \frac{1}{s^3 + s^2 + s + 0.5}$$

Using Nyquist diagrams to check stability: Unstable plant

Checking stability for the unity feedback closed loop system with

$$C(s) = \frac{G(s)G_r(s)}{1 + G(s)G_r(s)}$$

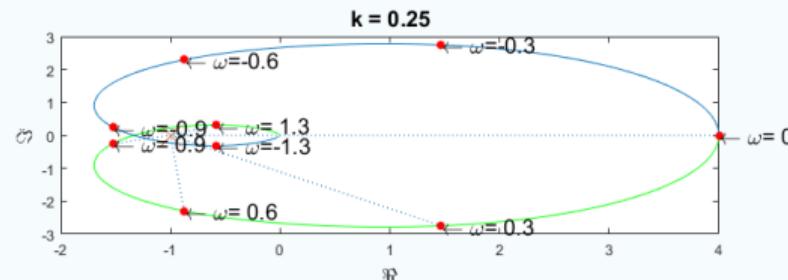
using the Nyquist diagram (general case):

4. Travel the Nyquist contour from $\omega = 0$ to $\omega = \infty$.

5. Sum up the angles of $\Delta\varphi$ of $G(s)G_r(s)$ encircling the point $(-1, 0)$. If the curve goes counter-clockwise, Count right. Else, Count then negative. In other words:

Examine the number of encirclements of the point $(-1, 0)$.

6. The closed loop system is stable if $\Delta\varphi \leq k_{\frac{\pi}{2}} + \eta_0$.


Using Nyquist diagrams to check stability: Unstable plant

Checking stability for the unity feedback closed loop system with

$$C(s) = \frac{G(s)G_r(s)}{1 + G(s)G_r(s)}$$

using the Nyquist diagram (general case):

1. Plot the Nyquist diagram for the open loop system $G(s)G_r(s)$.

2. Count the number of poles r_k with a real part larger than zero.

3. Count the number of poles i_k with a real part of zero.

Poles: $(-0.34 + j0.82), (-0.34 - j0.82), (-0.32) \rightarrow r_k = 0 = i_k = 0 \rightarrow$ closed loop is unstable.

Example: Stable open loop system

Given is a system with a transfer function

$$G(s) = \frac{1}{s^2 + s^2 + s + 0.5}$$

Poles: $(-0.34 + j0.82), (-0.34 - j0.82), (-0.32) \rightarrow r_k = 0 = i_k = 0 \rightarrow$ closed loop is unstable.**Properties**

- Negative gain and phase margin: Closed loop system (with negative feedback) is unstable

Figure 17: Transfer function $C(s)/G(s)$ [unstable closed loop]Figure 18: Transfer function $C(s)/G(s)$ [stable closed loop]**Using Nyquist diagrams to check stability: Unstable plant**

Checking stability for the unity feedback closed loop system with

$$C(s) = \frac{G(s)G_c(s)}{1 + G(s)G_c(s)}$$

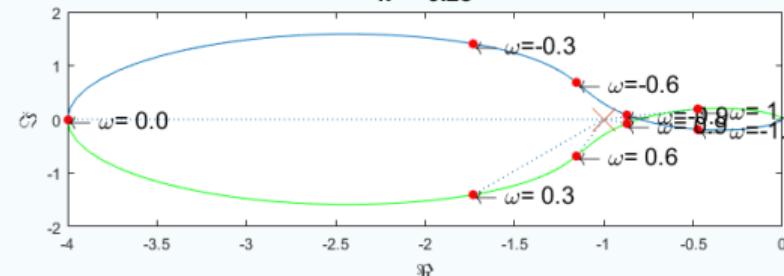
using the Nyquist diagram [general case]:

- Travel the Nyquist contour from $\omega = 0$ to $\omega = \infty$.
- Sum up the angles of $\Delta\varphi$ of $G(s)G_c(s)$ encircling the point $(-1, 0)$. If the curve goes counter-clockwise: Count angles positive. Else: Count them negative. In other words: Examine the number of encirclements of the point $(-1, 0)$.
- The closed loop system is stable if $\Delta\varphi = k_0 \pi + r_k \pi$.

Example: Unstable open loop system

Given is a system with a transfer function

$$G(s) = \frac{1}{s^3 + s^2 + s - 0.25}$$

 $k = -0.25$ Poles: $(-0.6 + j0.94), (-0.6 - j0.94), (0.2) \rightarrow r_k = 1 = i_k = 0 \rightarrow$ closed loop is stable.

Example: Unstable open loop system

Given is a system with a transfer function

$$G(s) = \frac{1}{s^2 + s^2 + s - 0.25}$$

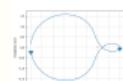
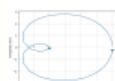
Poles: $(-0.6 + j0.94), (-0.6 - j0.94), (0.2) \rightarrow r_k = 1 = i_k = 0 \rightarrow$ closed loop is unstable.**Example: Stable open loop system**

Given is a system with a transfer function

$$G(s) = \frac{1}{s^3 + s^2 + s + 0.25}$$

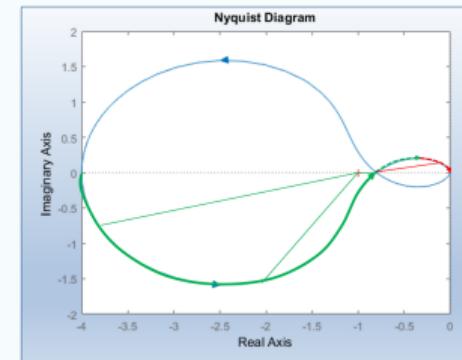
Poles: $(-0.34 + j0.82), (-0.34 - j0.82), (-0.32) \rightarrow r_k = 0 = i_k = 0 \rightarrow$ closed loop is unstable.**Properties**

- ▷ Negative gain and phase margin: Closed loop system (with negative feedback) is unstable

Figure 17: Transfer function $G(s)$ [unstable closed loop]Figure 18: Transfer function $G(s)$ [stable closed loop]**Example: Stable closed loop system**

Given is a system with a transfer function

$$G(s) = \frac{1}{s^3 + s^2 + s - 0.25}$$

Poles: $(-0.6 + j0.94), (-0.6 - j0.94), (0.2) \rightarrow r_k = 1 = i_k = 0$

Closed-loop systems

5.3 Closed-loop systems

- 5.3.1 Basic structure
- 5.3.2 Unity feedback
- 5.3.3 Stability
- 5.3.4 Phase and Gain Margin
- 5.3.5 Nyquist diagrams

5.3.6 Second order systems

- 5.3.7 Controller performance indicators
- 5.3.8 Basic controller
- 5.3.9 Exemplary systems

Poles

Consider the transfer function

$$G(s) = \frac{1}{s^2 + ps + q}$$

With poles at

$$s = -\frac{p}{2} \pm \sqrt{\left(\frac{p}{2}\right)^2 - q}.$$

For $p^2 - 4q < 0$ one gets poles at

$$s = -\frac{p}{2} \pm j\sqrt{q - \left(\frac{p}{2}\right)^2}.$$

Poles

Consider the transfer function

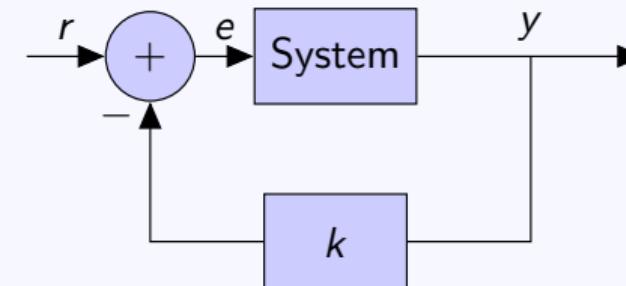
$$G(s) = \frac{1}{s^2 + ps + q}$$

With poles at

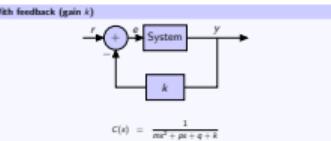
$$\lambda = -\frac{p}{2} \pm \sqrt{\left(\frac{p}{2}\right)^2 - q}$$

For $p^2 - 4q < 0$ one gets poles at

$$\lambda = -\frac{p}{2} \pm j\sqrt{q - \left(\frac{p}{2}\right)^2}$$

With feedback (gain k)

$$C(s) = \frac{1}{ms^2 + ps + q + k}$$



With feedback (gain k)

$$C(s) = \frac{1}{ms^2 + ps + q + k}$$

Routh array:

| | | |
|-------|-------|-------|
| s^2 | m | $q+k$ |
| s^1 | p | 0 |
| s^0 | $q+k$ | |

A second-order system is stable, if all of the coefficients are either positive or negative (no sign change). See [Routh-Hurwitz criterion](#).

With feedback (gain k)

$$C(s) = \frac{1}{ms^2 + ps + q + k}$$

Routh array:

$$\begin{array}{c|cc} s^2 & m & q+k \\ s^1 & p & 0 \\ s^0 & q+k & \end{array}$$

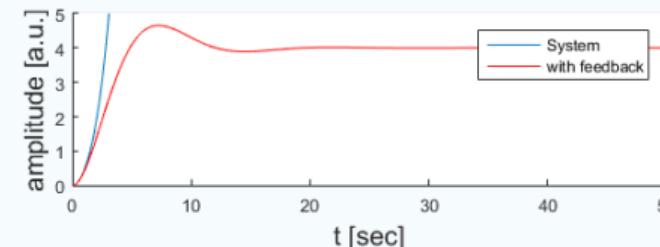
A second-order system is stable if all of the coefficients are either positive or negative (no sign change). See [Routh-Hurwitz criterion](#).

Example

$$G(s) = \frac{1}{s^2 + 0.5s - 0.75}$$

This system is stabilized by using feedback:

$$C(s) = \frac{1}{s^2 + 0.5s - 0.75 + 1.}$$



Poles

Consider the transfer function

$$G(s) = \frac{1}{s^2 + ps + q}$$

With poles at

$$s = -\frac{p}{2} \pm \sqrt{\left(\frac{p}{2}\right)^2 - q}$$

For $p^2 - 4q < 0$ one gets poles at

$$s = -\frac{p}{2} \pm j\sqrt{q - \left(\frac{p}{2}\right)^2}$$

Example

$$G(s) = \frac{1}{s^2 + 0.5s - 0.75}$$

This system is stabilized by using feedback:

$$C(s) = \frac{1}{s^2 + 0.5s - 0.75 + k}$$

With feedback (gain k)

Consider

$$G(s) = \frac{\omega_0^2}{s^2 + 2\sigma\omega_0 s + \omega_0^2}$$

with feedback

$$C(s) = \frac{\omega_0^2}{s^2 + 2\sigma\omega_0 s + (k+1)\omega_0^2}$$

The Routh array gives the condition that $2\sigma\omega_0 > 0$ and $\omega_0^2(1+k) > 0$ needs to be fulfilled for stability. \Rightarrow Stability depends only on σ .

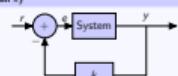
With feedback (gain k)

$$C(s) = \frac{1}{ms^2 + ps + q + k}$$

Routh array:

| | | |
|-------|-------|-------|
| s^2 | m | $q+k$ |
| s^1 | p | 0 |
| s^0 | $q+k$ | |

A second-order system is stable, if all of the coefficients are either positive or negative (no sign change). See [Routh-Hurwitz criterion](#).



$$C(s) = \frac{1}{ms^2 + ps + q + k}$$

With feedback (gain k)

Consider

$$G(s) = \frac{\omega_0^2}{s^2 + 2\sigma\omega_0 s + \omega_0^2}$$

with feedback

$$C(s) = \frac{\omega_0^2}{s^2 + 2\sigma\omega_0 s + (\lambda + k)\omega_0^2}$$

The Routh array gives the condition that $2\sigma\omega_0 > 0$ and $\omega_0^2(1+k) > 0$ needs to be fulfilled for stability. \Rightarrow Stability depends only on σ .

Example

$$G(s) = \frac{1}{s^2 + 0.5s - 0.75}$$

This system is stabilized by using feedback:

$$C(s) = \frac{1}{s^2 + 0.5s - 0.75 + k}$$

With feedback (gain k)

$$C(s) = \frac{1}{s^2 + ps + q + k}$$

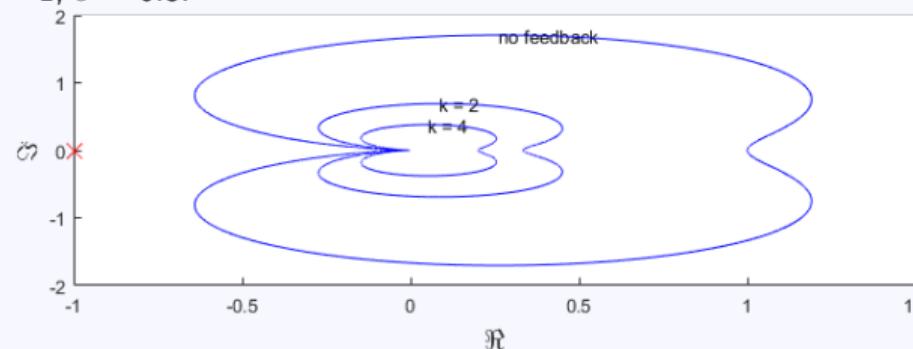
Routh array:

| | | |
|-------|-------|-------|
| s^2 | p | $q+k$ |
| s^1 | p | 0 |
| s^0 | $q+k$ | |

A second-order system is stable, if all of the coefficients are either positive or negative (no sign change). See [Routh-Hurwitz criterion](#).

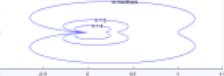
Feedback with gain k

$$H(s) = \frac{\omega_0^2}{s^2 + 2\sigma\omega_0 s + \omega_0^2 + (k+1)\omega_0^2}$$

Example: $\omega_0 = 1$, $\sigma = 0.3$:

Feedback with gain k

$$H(s) = \frac{\omega_0^2}{s^2 + 2\sigma\omega_0 s + \omega_0^2 + (k+1)\omega_0^2}$$

Example: $\omega_0 = 1$, $\sigma = 0.3$.With feedback (gain k)

Consider

$$G(s) = \frac{\omega_0^2}{s^2 + 2\sigma\omega_0 s + \omega_0^2}$$

with feedback

$$C(s) = \frac{\omega_0^2}{s^2 + 2\sigma\omega_0 s + (k+1)\omega_0^2}$$

The Routh array gives the condition that $2\sigma\omega_0 > 0$ and $\omega_0^2(1+k) > 0$ needs to be fulfilled for stability. \Rightarrow Stability depends only on k .

Example

$$G(s) = \frac{1}{s^2 + 0.5s - 0.75}$$

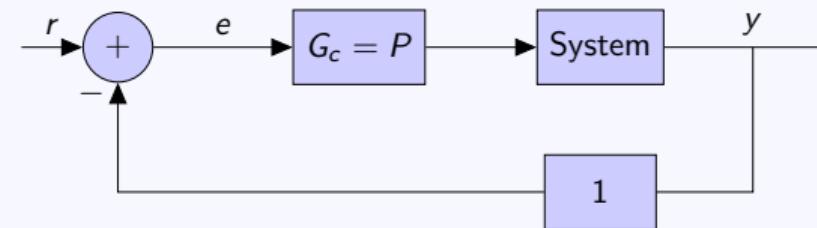
This system is stabilized by using feedback:

$$C(s) = \frac{1}{s^2 + 0.5s - 0.75 + 1}$$

Increasing gain factor P

Consider the second order system

$$G(s) = \frac{\omega_0^2}{s^2 + 2\sigma\omega_0 s + \omega_0^2}$$

with feedback and controller $G_c(s) = P$ 

Increasing gain factor P
 Consider the second order system

$$G(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}$$

with feedback and controller $G_c(s) := P$

```

    graph LR
      R(( )) -- "r" --> S[ ]
      S -- "Gc(s) = P" --> M[ ]
      M -- "G(s)" --> Y[ ]
      Y -- "y" --> D[ ]
      D -- "1" --> F(( ))
      F -- "-" --> S
  
```

Feedback with gain k
 Example: $\omega_n = 1$, $\zeta = 0.3$

$$H(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2 + (k+1)\omega_n^2}$$

With feedback (gain k)
 Consider

$$G(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}$$

with feedback

$$C(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + (k+1)\omega_n^2}$$

The Routh array gives the condition that $2\zeta\omega_n > 0$ and $\omega_n^2(1+k) > 0$ needs to be fulfilled for stability. \Rightarrow Stability depends only on k .

Properties: second order systems

The phase of second order systems never crosses -180 degree and thus, they never provide positive feedback. Consequently, the gain margin is infinite.

Properties: second order systems

The phase of second order systems never crosses -180 degrees and thus, they never provide positive feedback. Consequently, the gain margin is infinite.

Increasing gain factor P

$$C(s) = \frac{P\omega_0^2}{s^2 + 2\sigma\omega_0 s + \omega_0^2(1 + P)}$$

The Routh array gives the condition that

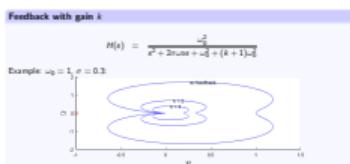
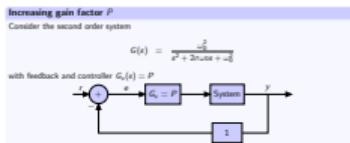
$$2\sigma\omega_0 > 0$$

and

$$\omega_0^2 + A > 0$$

needs to be fulfilled for stability.

\Rightarrow Stability depends only on σ and not on P .



Increasing gain factor P

$$C(s) = \frac{P\omega_0^2}{s^2 + 2\sigma\omega_0 s + \omega_0^2(1+P)}$$

The Routh array gives the condition that

$$2\sigma\omega_0 > 0$$

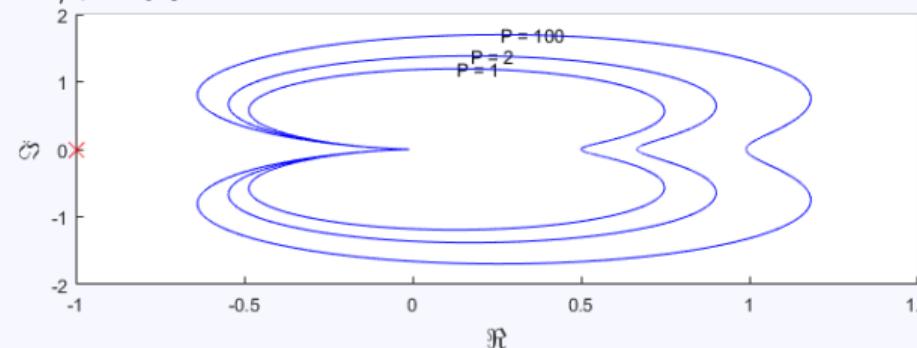
and

$$\omega_0^2 + A > 0$$

needs to be fulfilled for stability:

⇒ Stability depends only on σ and not on P .Increasing gain factor P

$$H(s) = \frac{P\omega_0^2}{s^2 + 2\sigma\omega_0 s + \omega_0^2(1+P)}$$

Example: $\omega_0 = 1$, $\sigma = 0.3$:

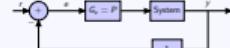
Properties: second order systems

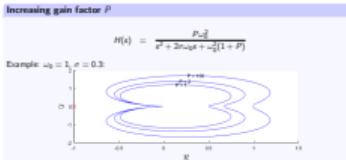
The phase of second order systems never crosses -180 degrees and thus, they never provide positive feedback. Consequently, the gain margin is infinite.

Increasing gain factor P

Consider the second order system

$$G(s) = \frac{\omega_0^2}{s^2 + 2\sigma\omega_0 s + \omega_0^2}$$

with feedback and controller $G_c(s) = P$ 



Phase crossover frequency

$$\begin{aligned} -\pi &= \arg \{(j\omega_\pi)^2 + jp\omega_\pi + q\} \\ &= \arg \{-\omega_\pi^2 + jp\omega_\pi + q\} \end{aligned}$$

A second-order system ($p \neq 0$) does not have a **phase crossover frequency** and thus the **gain margin** is infinite.

Properties: second order systems

The phase of second order systems never crosses -180 degrees and thus, they never provide positive feedback. Consequently, the gain margin is infinite.

Closed-loop systems

5.3 Closed-loop systems

- 5.3.1 Basic structure
- 5.3.2 Unity feedback
- 5.3.3 Stability
- 5.3.4 Phase and Gain Margin
- 5.3.5 Nyquist diagrams
- 5.3.6 Second order systems

5.3.7 Controller performance indicators

- 5.3.8 Basic controller
- 5.3.9 Exemplary systems

Exemplary step response

Exemplary step response

Step response: Some properties

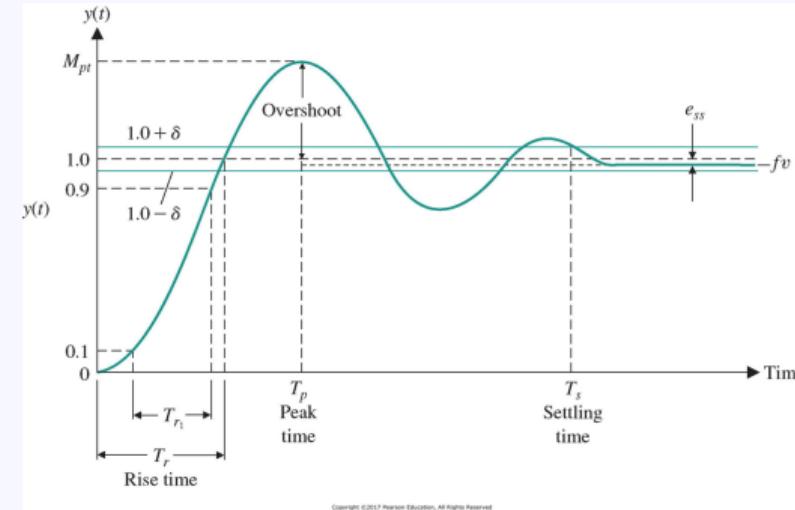
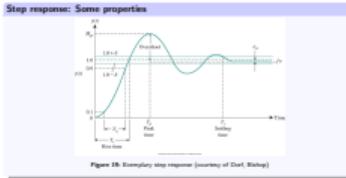


Figure 19: Exemplary step response (courtesy of Dorf, Bishop)



Steady state response

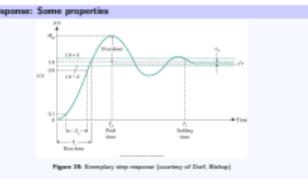
Exemplary step response

Steady state response

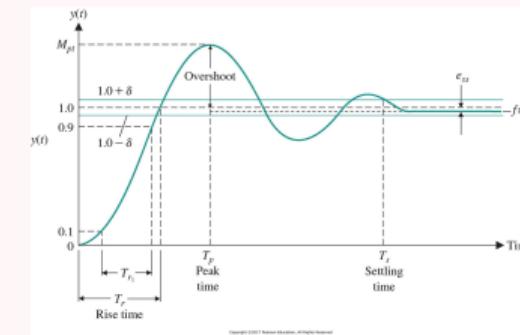
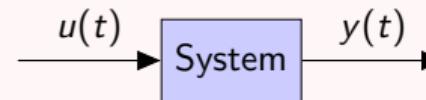
Definition

The **steady state response** is defined as follows:

$$ess(\infty) = \lim_{t \rightarrow \infty} y(t) = \lim_{s \rightarrow 0} sY(s)$$

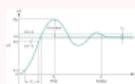
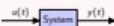


Exemplary step response



DefinitionThe **steady state response** is defined as follows:

$$s_{\text{SS}}(x) = \lim_{t \rightarrow \infty} y(t) = \lim_{x \rightarrow \infty} x^{\alpha} y(x)$$



Steady state response

Overshoot

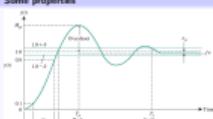
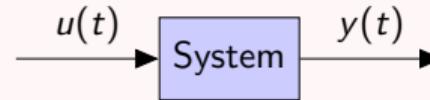
Step response: Some properties

Figure 19: Exemplary step response [courtesy of Dart, Bishop]

Overshoot

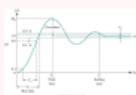
Definition

The **overshoot** is the maximum difference between the transient and steady state response towards a unit step input. It is often used as a measure for the **relative stability**.

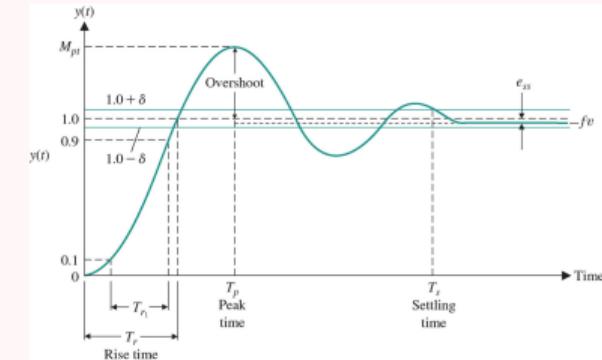
**Definition**

The steady state response is defined as follows:

$$x_{ss}(s) = \lim_{t \rightarrow \infty} y(t) = \lim_{s \rightarrow 0} sY(s)$$

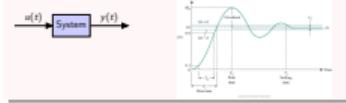


Steady state response



Definition

The **overshoot** is the maximum difference between the transient and steady state response towards a unit step input. It is often used as a measure for the **relative stability**.



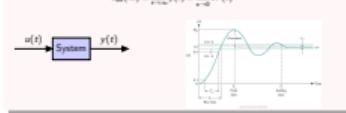
Overshoot

Settling time

Definition

The **steady state response** is defined as follows:

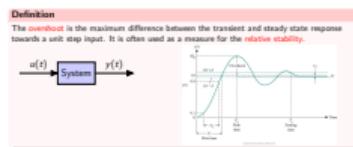
$$y_{ss}(s) = \lim_{t \rightarrow \infty} y(t) = \lim_{s \rightarrow 0} sY(s)$$



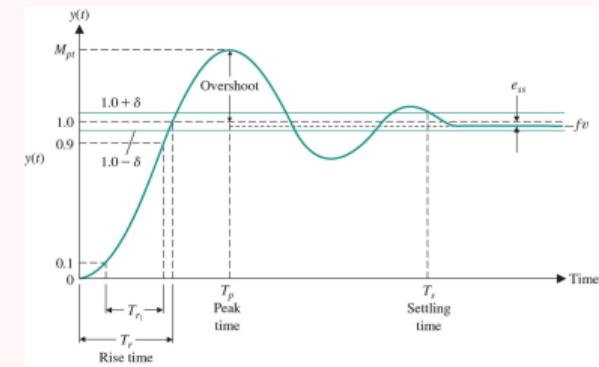
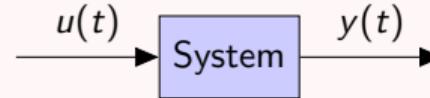
Settling time

Definition

The **settling time** is usually defined as the time required for the response to a unit step input to reach and remain within a specific distance to the steady state value (e.g. 2% or 5%).

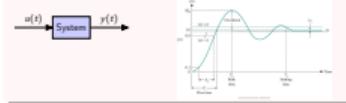


Overshoot



Definition

The **rising time** is usually defined as the time required for the response to a unit step input to reach and remain within a specific distance to the steady state value (e.g. 2% or 5%).

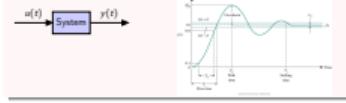


Settling time

Rise time

Definition

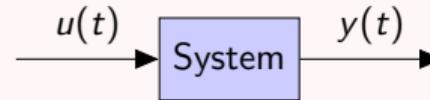
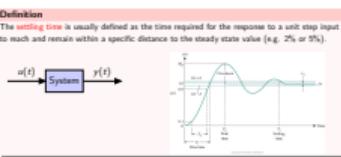
The **overshoot** is the maximum difference between the transient and steady state response towards a unit step input. It is often used as a measure for the **relative stability**.



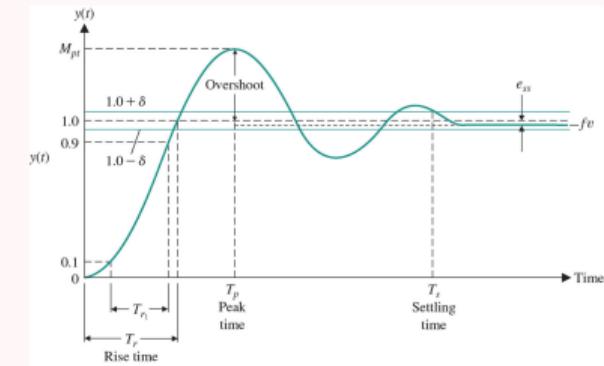
Rise time

Definition

The **rise time** is frequently defined as the time required for the response to a unit step input to rise e.g. from 10% to 90% or 0% to 100% of the steady state value.

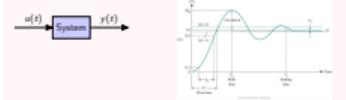


Settling time



Definition

The **rise time** is frequently defined as the time required for the response to a unit step input to rise e.g. from 10% to 90% or 0% to 100% of the steady state value.

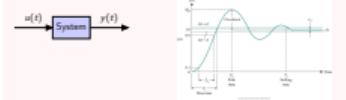


Rise time

Time to peak

Definition

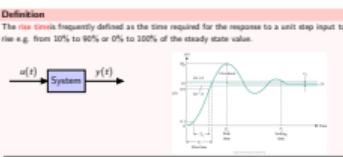
The **settling time** is usually defined as the time required for the response to a unit step input to reach and remain within a specific distance to the steady state value (e.g. 2% or 5%).



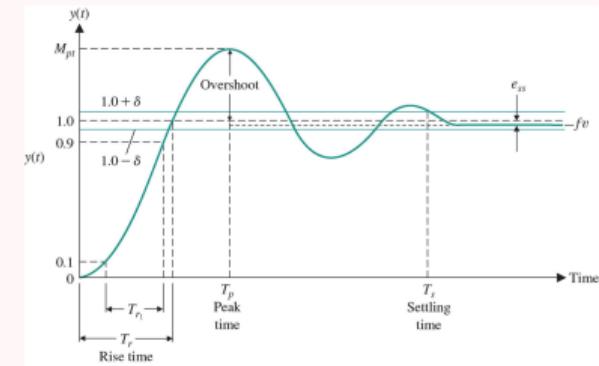
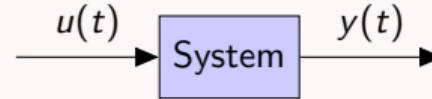
Time to peak

Definition

The **time to peak** or **peak time** is the time required to reach the maximum of the response to a unit step input.

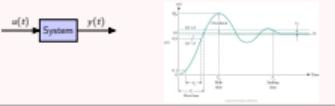


Rise time



Definition

The time **to peak** or **peak time** is the time required to reach the maximum of the response to a unit step input.

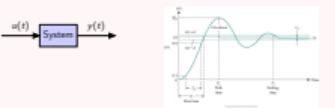


Time to peak

Delay-time

Definition

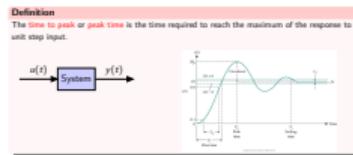
The **rise time** is frequently defined as the time required for the response to a unit step input to rise e.g. from 10% to 90% or 0% to 100% of the steady state value.



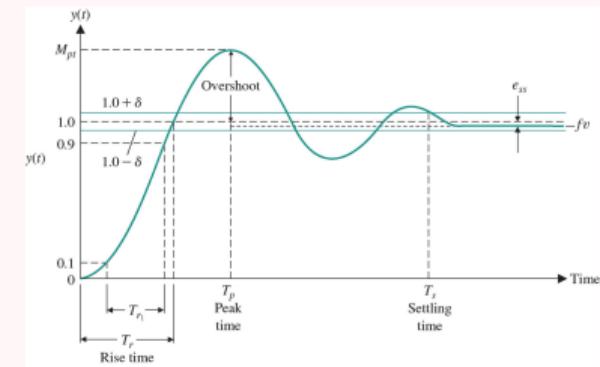
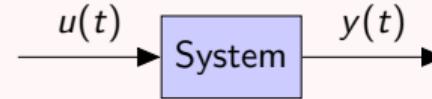
Delay-time

Definition

The **delay-time** is the time required for the response to reach half the final value the first time.

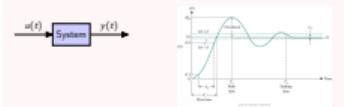


Time to peak



Definition

The **delay-time** is the time required for the response to reach half the final value the first time.

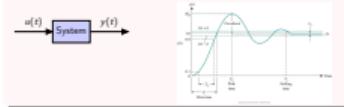


Delay-time

Resonant peak

Definition

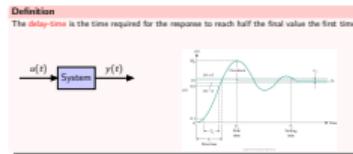
The **time to peak** or **peak time** is the time required to reach the maximum of the response to a unit step input.



Resonant peak

Definition

The **resonant peak** G_r is the maximum value of $|G(j\omega)|$. The **resonant frequency** is the frequency at which the peak resonance G_r occurs.



Delay-time

Definition

The **resonant peak** G_r is the maximum value of $|G(j\omega)|$. The **resonant frequency** is the frequency at which the peak resonance G_r occurs.

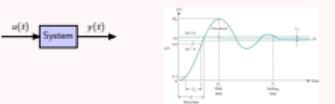
Resonant peak

Tracking error

Definition

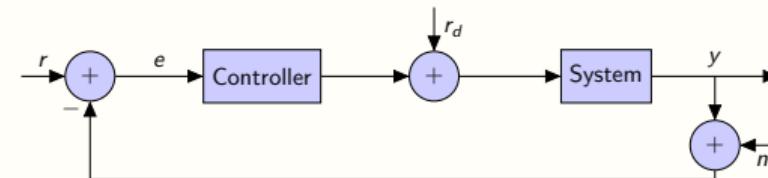
The **delay-time** is the time required for the response to reach half the final value the first time.

$u(t)$ → System → $y(t)$



Tracking error

Properties



The **tracking error**:

$$\begin{aligned}
 E(s) &= R(s) - Y(s) \\
 &= \frac{1}{1+L(s)}R(s) - \frac{G(s)}{1+L(s)}R_d(s) + \frac{L(s)}{1+L(s)}N(s) \\
 &= \frac{1}{F(s)}R(s) - \frac{G(s)}{F(s)}R_d(s) + \frac{F(s)-1}{F(s)}N(s).
 \end{aligned}$$

Resonant peak

Definition
The **resonant peak** G_r is the maximum value of $|G(j\omega)|$. The **resonant frequency** is the frequency at which the peak resonance G_r occurs.

Properties

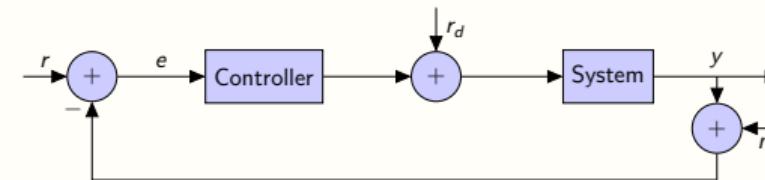


The tracking error:

$$\begin{aligned} E(s) &= R(s) - Y(s) \\ &= \frac{1-L(s)}{1+L(s)} R(s) - \frac{G(s)}{1+L(s)} R_d(s) + \frac{L(s)}{1+L(s)} N(s) \\ &= \frac{1}{F(s)} R(s) - \frac{G(s)}{F(s)} R_d(s) + \frac{F(s)-1}{F(s)} N(s). \end{aligned}$$

Tracking error

Properties



$$E(s) = S(s)R(s) - S(s)G(s)R_d(s) + C(s)N(s)$$

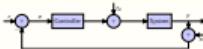
Minimizing the tracking error is equivalent to minimizing $S(s)$ and $C(s)$ at the same time.
Obviously, due to

$$C(s) = 1 - \frac{1}{F(s)} = 1 - S(s)$$

compromises are necessary.

Definition
The **resonant peak** G_r is the maximum value of $|G(j\omega)|$. The **resonant frequency** is the frequency at which the peak resonance G_r occurs.

Properties



$$E(s) = R(s)B(s) - S(s)G(s)R_d(s) + C(s)N(s)$$

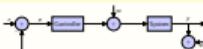
Minimizing the tracking error is equivalent to minimizing $S(s)$ and $C(s)$ at the same time.

Obviously, due to

$$C(s) = 1 - \frac{1}{F(s)} = 1 - S(s)$$

compromises are necessary.

Properties



The tracking error:

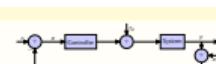
$$\begin{aligned} E(s) &= R(s) - Y(s) \\ &= \frac{1}{1+L(s)}R(s) - \frac{G(s)}{1+L(s)}R_d(s) + \frac{U(s)}{1+L(s)}N(s) \\ &= \frac{1}{F(s)}R(s) - \frac{G(s)}{F(s)}R_d(s) + \frac{F(s)-1}{F(s)}N(s). \end{aligned}$$

Steady state error

Tracking error

Steady state error

Properties

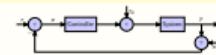


$$E(s) = S(s)R(s) - S(s)G(s)R_d(s) + C(s)N(s)$$

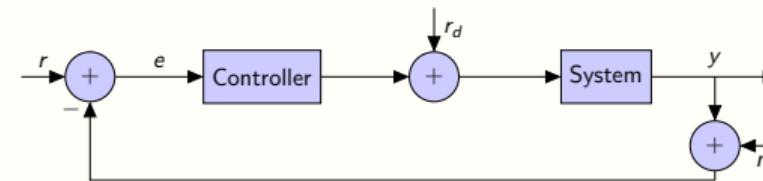
Minimizing the tracking error is equivalent to minimizing $S(s)$ and $C(s)$ at the same time.
Obviously, due to

$$C(s) = 1 - \frac{1}{F(s)} = 1 - S(s)$$

compromises are necessary.



$$\begin{aligned} E(s) &= R(s) - Y(s) \\ &= \frac{1}{1+L(s)}R(s) - \frac{G(s)}{1+L(s)}R_d(s) + \frac{L(s)}{1+L(s)}N(s) \\ &= \frac{1}{F(s)}R(s) - \frac{G(s)}{F(s)}R_d(s) + \frac{F(s)-1}{F(s)}N(s). \end{aligned}$$



The steady-state error is given by

$$\begin{aligned} e(\infty) &= \lim_{s \rightarrow 0} s \left[\frac{1}{F(s)}R(s) - \frac{G(s)}{F(s)}R_d(s) + \frac{F(s)-1}{F(s)}N(s) \right] \\ &= \lim_{s \rightarrow 0} s [S(s)R(s) - S(s)G(s)R_d(s) + C(s)N(s)] \end{aligned}$$

Properties

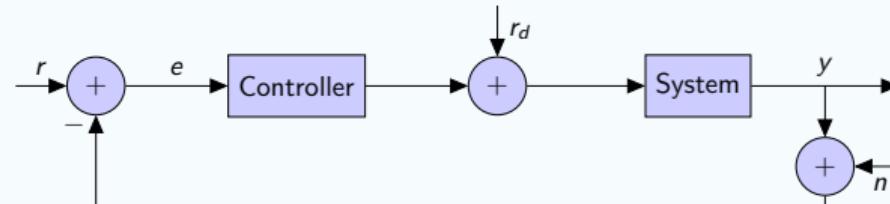


The steady-state error is given by

$$\begin{aligned} e(\infty) &= \lim_{s \rightarrow 0} s \left[\frac{1}{F(s)} R(s) - \frac{G(s)}{F(s)} R_d(s) + \frac{F(s)-1}{F(s)} N(s) \right] \\ &= \lim_{s \rightarrow 0} s [R(s)R_d(s) - S(s)G(s)R_d(s) + C(s)N(s)] \end{aligned}$$

Steady state error

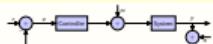
Example: Unit step input



The steady-state error is given by

$$\begin{aligned} e(\infty) &= \lim_{s \rightarrow 0} s \left[\frac{1}{F(s)} \frac{1}{s} - \frac{G(s)}{F(s)} R_d(s) + \frac{F(s)-1}{F(s)} N(s) \right] \\ &= \lim_{s \rightarrow 0} \left[\frac{1}{1 + G_c(0)G(0)} - \frac{G(s)}{F(s)} R_d(s)s + \frac{F(s)-1}{F(s)} N(s)s \right] \end{aligned}$$

Properties



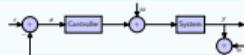
$$E(s) = S(s)R(s) - S(s)G(s)R_d(s) + C(s)N(s)$$

Minimizing the tracking error is equivalent to minimizing $S(s)$ and $C(s)$ at the same time.
Obviously, due to

$$C(s) = 1 - \frac{1}{F(s)} = 1 - S(s)$$

compromises are necessary.

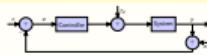
Example: Unit step input



The steady-state error is given by

$$\begin{aligned} e(\infty) &= \lim_{s \rightarrow 0} s \left[\frac{1}{P(s)} R(s) - \frac{G(s)}{P(s)} R_d(s) + \frac{P(s)-1}{P(s)} N(s) \right] \\ &= \lim_{s \rightarrow 0} s \left[\frac{1}{1+G_c(s)G(s)} \frac{G(s)}{P(s)} R_d(s)s + \frac{P(s)-1}{P(s)} N(s) \right] \end{aligned}$$

Properties



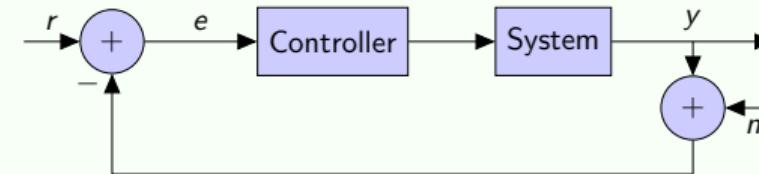
The steady-state error is given by

$$\begin{aligned} e(\infty) &= \lim_{s \rightarrow 0} s \left[\frac{1}{P(s)} R(s) - \frac{G(s)}{P(s)} R_d(s) + \frac{P(s)-1}{P(s)} N(s) \right] \\ &= \lim_{s \rightarrow 0} s \left[S(s)R(s) - S(s)G(s)R_d(s) + C(s)N(s) \right] \end{aligned}$$

Steady state error

Exercise (#5.3)

Given is the system shown below:



$$E(s) = S(s)R(s) + C(s)N(s)$$

$$G(s) = \frac{10}{s+10}$$

$$G_c(s) = K$$

Plot the tracking error for the unit step response for several values of K assuming n to be white noise with standard deviation of 0, 0.1 and 1, respectively. Make use of MATLAB or NUMPY. Hint: In MATLAB make use of lsim.

Exercise (#5.3)

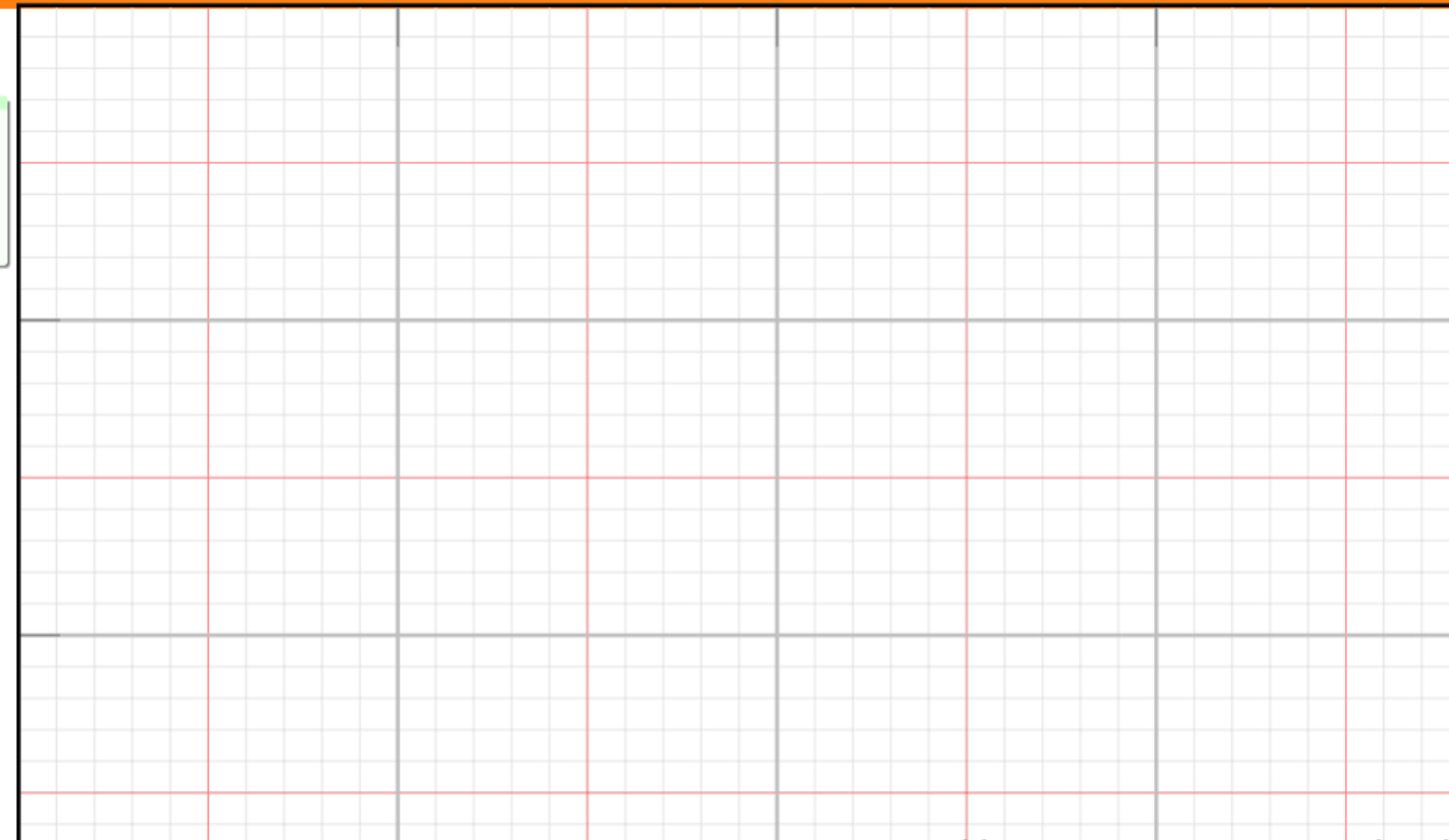
Given is the system shown below:

$$E(s) = S(s)R(s) + C(s)N(s)$$

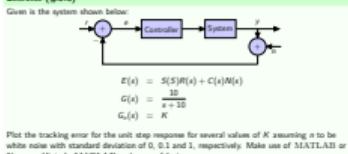
$$G(s) = \frac{10}{s+10}$$

$$G_c(s) = K$$

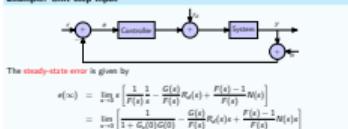
Plot the tracking error for the unit step response for several values of K assuming N to be white noise with standard deviation of 0, 0.1 and 1, respectively. Make use of MATLAB or Octave. Hint: In MATLAB make use of latac.



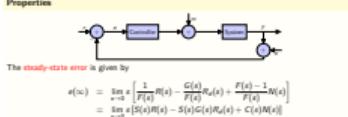
Exercise (#5.3)



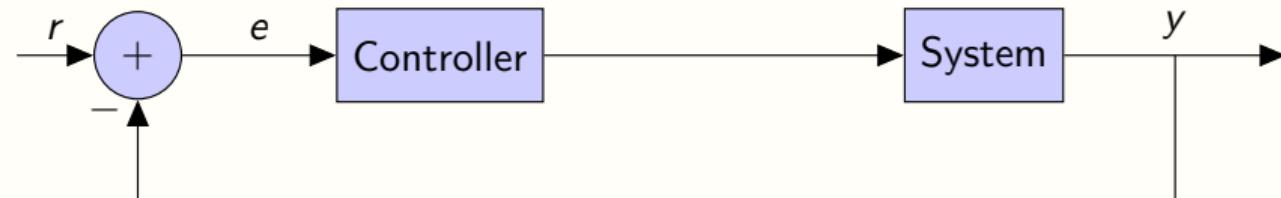
Example: Unit step input



Properties



Properties



Steady state error for unit step input and absence of disturbances:

$$\begin{aligned} e_0(\infty) &= \lim_{s \rightarrow 0} sE(s) \\ &= \lim_{s \rightarrow 0} \left[\frac{1}{1 + G_c(s)G(s)} \right] \end{aligned}$$

Closed-loop systems

5.3 Closed-loop systems

- 5.3.1 Basic structure
- 5.3.2 Unity feedback
- 5.3.3 Stability
- 5.3.4 Phase and Gain Margin
- 5.3.5 Nyquist diagrams
- 5.3.6 Second order systems
- 5.3.7 Controller performance indicators
- 5.3.8 Basic controller**
- 5.3.9 Exemplary systems

Lag compensator

Lag compensator

Definition

The **lag compensator** or **phase-lag** compensator has a transfer function of the form

$$\begin{aligned}G_c(s) &= K \frac{s+z}{s+p}, \text{ with } \alpha = z/p > 1 \text{ or } z > p \\&= \frac{Kz}{p} \frac{\frac{s}{z} + 1}{\frac{s}{p} + 1} \\&= V \frac{T_2 s + 1}{T_1 s + 1}.\end{aligned}$$

Note that the choice of T_1 and T_2 will lead to lead or lag behaviour. See also Dorf, Bishop, 13th edition, page 548.

Definition

The lag compensator or phase-lag compensator has a transfer function of the form

$$\begin{aligned} G_c(s) &= \frac{\alpha \frac{T_1 s + 1}{s + p}}{s + p}, \text{ with } \alpha = z/p > 1 \text{ or } z > p \\ &= \frac{\alpha T_1 s + 1}{s^2 + ps} \\ &= \sqrt{\frac{T_1 s + 1}{T_1 s + 1}} \end{aligned}$$

Note that the choice of T_1 and T_2 will lead to lead or lag behaviour. See also Dorf, Bishop, 13th edition, page 548.

Lag compensator

Properties

- ▷ Provides attenuation at high frequencies
- ▷ Slows system
- ▷ Gain crossover frequency increases
- ▷ Steady state accuracy and disturbance rejection increases
- ▷ Idealized element with $T_1 \rightarrow 0$: PD-element (see later)
- ▷ German: PDT₁-Glied
- ▷ Approximates PI control as $b \rightarrow 0$
- ▷ Decreases phase around \sqrt{zp}

Properties

- ▷ Provides attenuation at high frequencies
- ▷ Slows system
- ▷ Gain crossover frequency increases
- ▷ Steady state accuracy and disturbance rejection increases
- ▷ Idealized element with $T_1 \rightarrow 0$: PD-element (we later)
- ▷ German: PDT, -Glead
- ▷ Approximates PI control as $b \rightarrow 0$
- ▷ Decreases phase around $\sqrt{\omega_n}$

Definition

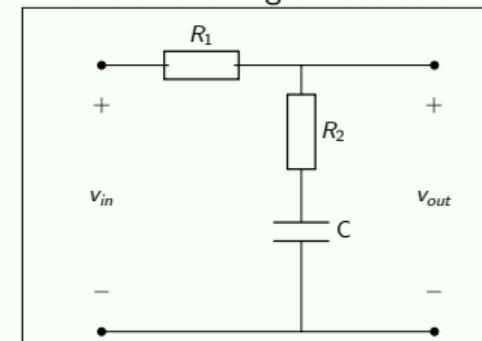
The lag compensator or phase-lag compensator has a transfer function of the form

$$\begin{aligned} G_L(s) &= \frac{x+p}{x+p}, \text{ with } \alpha = x/p > 1 \text{ or } x > p \\ &= \frac{Kx^{\frac{1}{2}} + 1}{x^{\frac{1}{2}} + 1} \\ &= \sqrt{\frac{T_1 s + 1}{T_2 s + 1}} \end{aligned}$$

Note that the choice of T_1 and T_2 will lead to lead or lag behaviour. See also Dorf, Bishop, 13th edition, page 548.

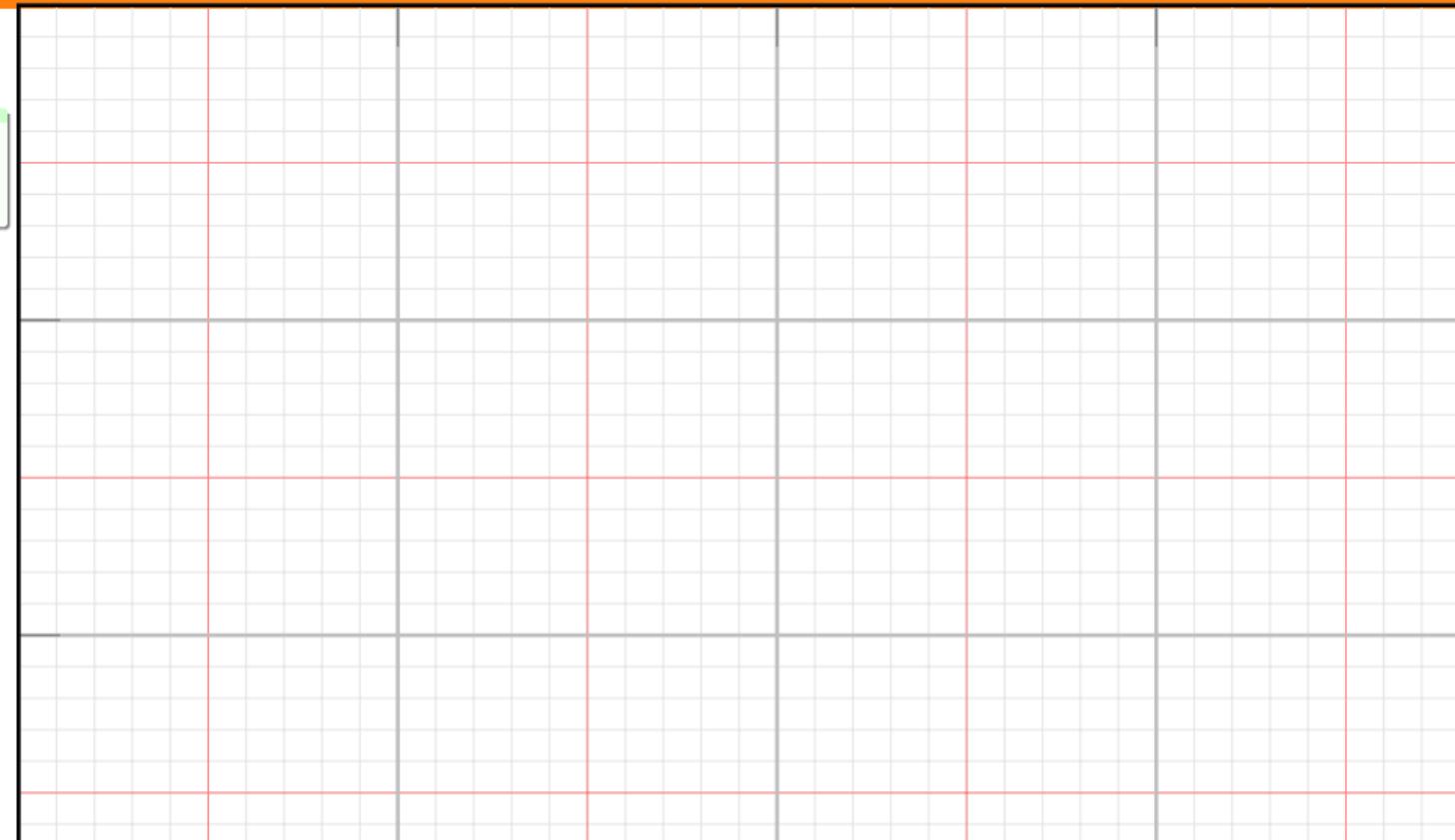
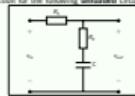
Exercise (#5.4)

Determine the transfer function for the following **unloaded** circuit:



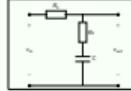
Lag compensator

Exercise (#5.4)

Determine the transfer function for the following unloaded circuit:

Exercise (#5.4)

Determine the transfer function for the following unloaded circuit:



Exercise (#5.5)

Given are

$$G(s) = \frac{1}{(s + 1 - jk)(s + 1 + jk)}$$

and

$$G_c(s) = \frac{2(s + 4)}{4(s + 2)}$$

Plot the root locus for the closed-loop systems (unity feedback, compensated/uncompensated, parameter k). Make use of NUMPY or MATLAB.

Properties

- ▷ Provides attenuation at high frequencies
- ▷ Slows system
- ▷ Gain crossover frequency increases
- ▷ Steady state accuracy and disturbance rejection increases
- ▷ Idealized element with $T_1 \rightarrow 0$: PD-element (we later)
- ▷ German: PDT, -Glied
- ▷ Approximates PI control as $b \rightarrow 0$
- ▷ Decreases phase around $\sqrt{\omega_n}$

Definition

The lag compensator or phase-lag compensator has a transfer function of the form

$$\begin{aligned} G_c(s) &= \frac{x + z}{s + p}, \quad \text{with } \alpha = z/p > 1 \text{ or } z > p \\ &= \frac{Kz + 1}{\rho + 1} \\ &= \sqrt{\frac{2\pi z + 1}{T_1 + 1}} \end{aligned}$$

Note that the choice of T_1 and T_2 will lead to lead or lag behaviour. See also Dorf, Bishop, 12th edition, page 542.

Exercise (#5.5)

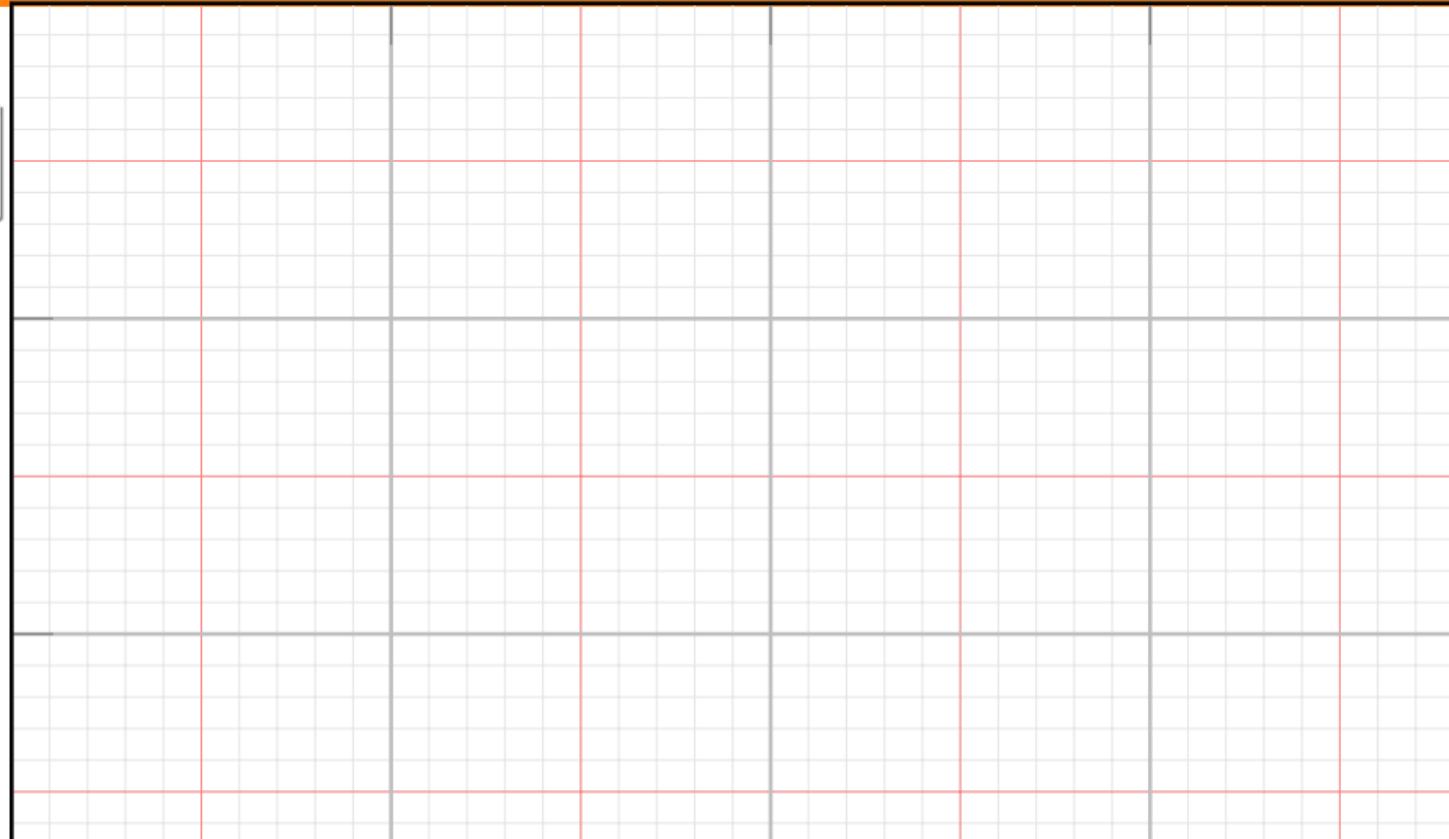
Given are

$$G(s) = \frac{1}{(s+1-jk)(s+1+jk)}$$

and

$$G_c(s) = \frac{2(s+4)}{4(s+2)}$$

Plot the root locus for the closed-loop system (unity feedback, compensated/uncompensated, parameter k). Make use of NewtPy or MATLAB.



Exercise (#5.5)

Given are

$$G(s) = \frac{1}{(s+1-\beta)(s+1+\beta)}$$

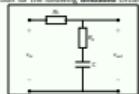
and

$$G_c(s) = \frac{2(s+4)}{4(s+2)}$$

Plot the root locus for the closed-loop system (unity feedback, compensated/uncompensated, parameter β). Make use of Nyquist or MATLAB.

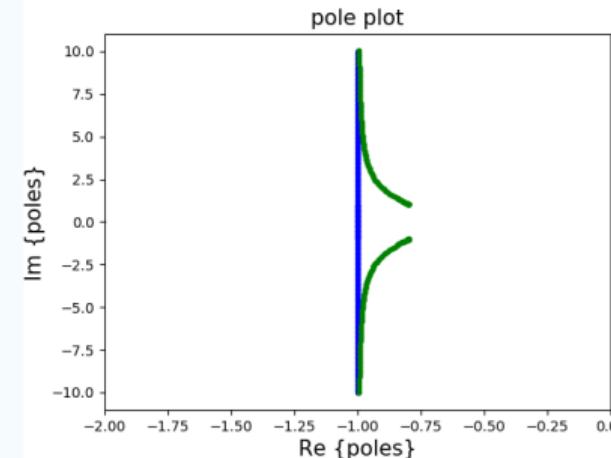
Example

Exercise (#5.4)

Determine the transfer function for the following **unloaded** circuit:

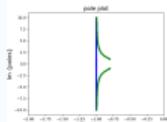
Properties

- ▷ Provides attenuation at high frequencies
- ▷ Slows system
- ▷ Gain margin frequency increases
- ▷ Steady state accuracy and disturbance rejection increases
- ▷ Block-diagonal element with $T_1 \rightarrow 0$: PD-element (see later)
- ▷ German: PI-D, -Glied
- ▷ Approximates PI control as $\beta \rightarrow 0$
- ▷ Decreases phase around $\sqrt{\omega_p}$



The lag compensator moves the poles to the right and increases DC gain.

Example



The lag compensator moves the poles to the right and increases DC gain.

Exercise (#5.5)

Given are

$$G(s) = \frac{1}{(s+1-\beta)(s+1+\beta)}$$

and

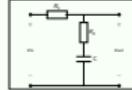
$$G_c(s) = \frac{2(s+4)}{4(s+2)}$$

Plot the root locus for the closed-loop system (unity feedback, compensated/uncompensated, parameter β). Make use of Nyström or MATLAB.

Lead compensator

Exercise (#5.4)

Determine the transfer function for the following **unloaded** circuit:



Lead compensator

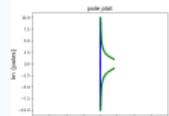
Definition

The **lead compensator** has a transfer function of the form

$$G_c(s) = \frac{s + z}{s + p},$$

with $p > z$.

Example



The lag compensator moves the poles to the right and increases DC gain.

Exercise (#5.5)

Given are

$$G(s) = \frac{1}{(s + 1 - \beta)(s + 1 + \beta)}$$

and

$$G_c(s) = \frac{2(s + 4)}{4(s + 2)}$$

Plot the root locus for the closed-loop systems (unity feedback, compensated/uncompensated, parameter β). Make use of Nsimsim or MATLAB.

Definition

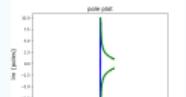
The lead compensator has a transfer function of the form

$$G_c(s) = \frac{s+z}{s+p}$$

with $p > z$.

Lead compensator**Properties**

- ▷ Adds positive phase (gain margin increases)
- ▷ System stability improves
- ▷ System responds faster
- ▷ Steady state accuracy does not increase
- ▷ Maximum phase lead occurs at $\omega_m = \sqrt{zp}$
- ▷ Increases magnitude at high frequencies and might lead to larger noise sensitivity
- ▷ Approximates PD control for $z \rightarrow 0$.

Example

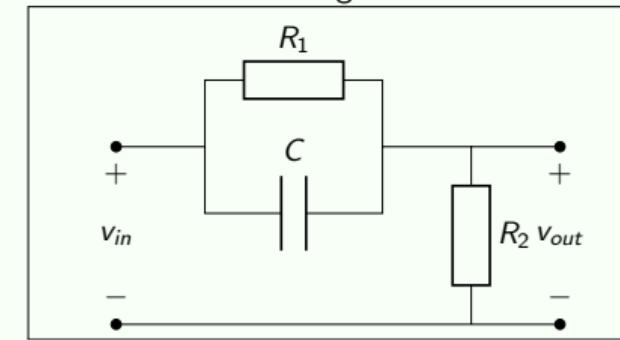
The lag compensator moves the poles to the right and increases DC gain.

Properties

- ▷ Adds positive phase (gain margin increase)
- ▷ System stability improves
- ▷ System responds faster
- ▷ Steady state accuracy does not increase
- ▷ Maximum phase lead occurs at $\omega_m = \sqrt{z\mu}$
- ▷ Increases magnitude at high frequencies and might lead to larger noise sensitivity
- ▷ Approximates PD control for $z \rightarrow 0$.

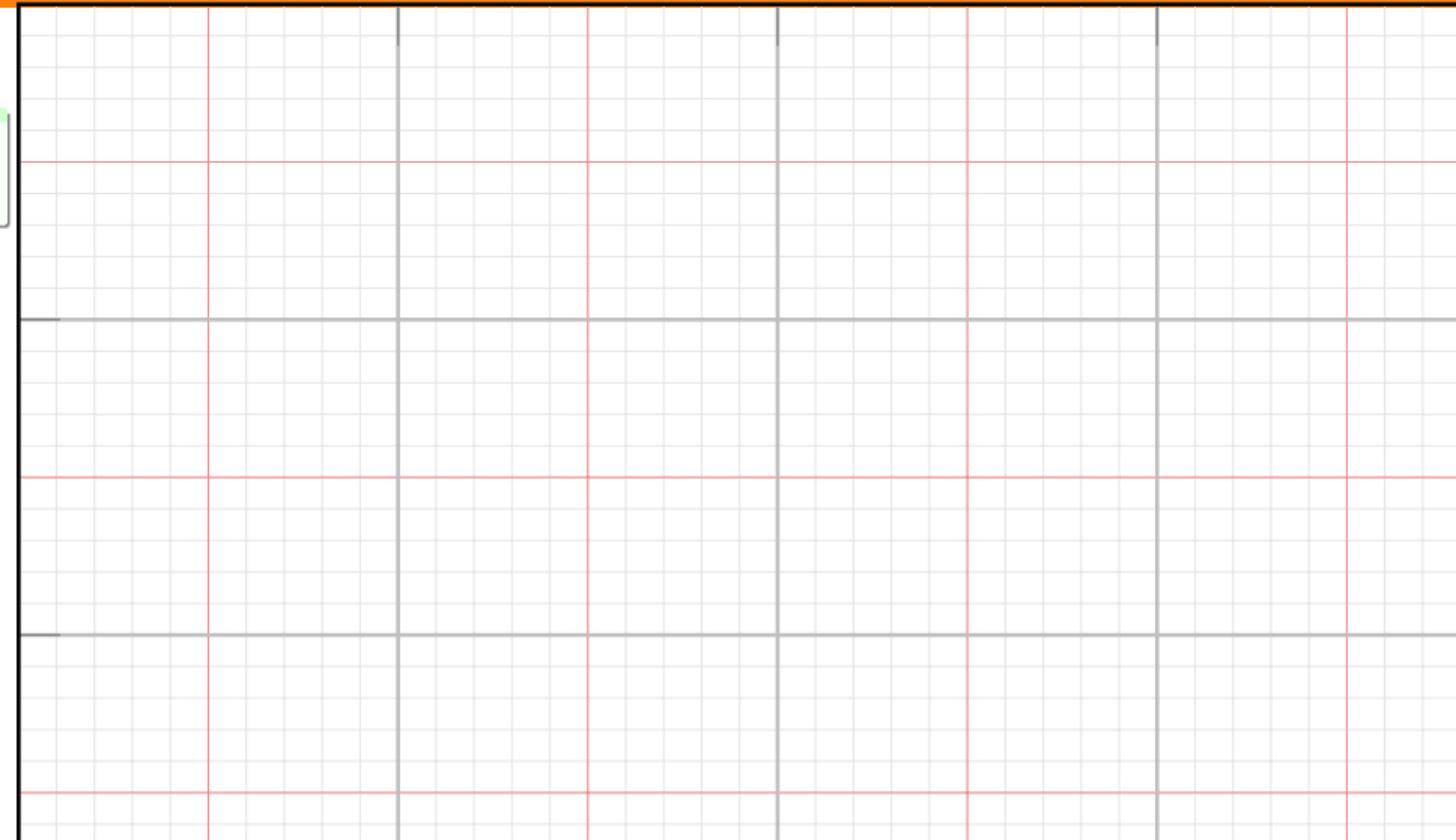
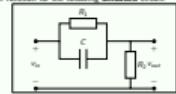
Exercise (#5.6)

Determine the transfer function for the following **unloaded** circuit:



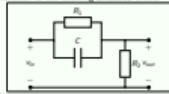
Lead compensator

Exercise (#5.6)

Determine the transfer function for the following unloaded circuit:

Exercise (#5.6)

Determine the transfer function for the following unloaded circuit:

**Example**

$$G(s) = \frac{1}{(s+1-jk)(s+1+jk)}$$

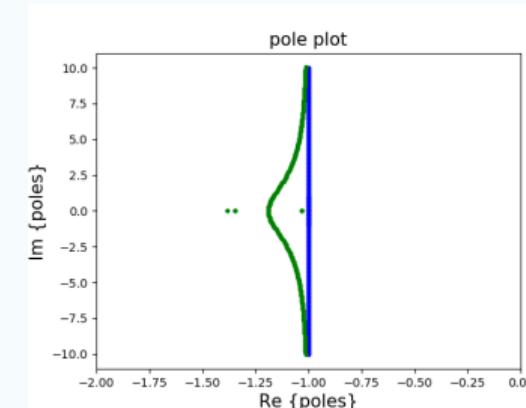
$$G_c(s) = \frac{s+1}{s+4}$$

Properties

- ▷ Adds positive phase (gain margin increases)
- ▷ System stability improves
- ▷ System responds faster
- ▷ Steady state accuracy does not increase
- ▷ Maximum phase lead occurs at $\omega_m = \sqrt{kp}$
- ▷ Increases magnitude at high frequencies and might lead to larger noise sensitivity
- ▷ Approximate PD control for $x \rightarrow 0$.

DefinitionThe **lead compensator** has a transfer function of the form

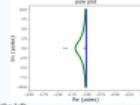
$$G_c(s) = \frac{s+z}{s+p}$$

with $p > z$.

The lead compensator moves the poles to the left.

Example

$$\begin{aligned} G(s) &= \frac{1}{(s+1-jk)(s+1+jk)} \\ G_c(s) &= \frac{s+1}{s+4} \end{aligned}$$



Exercise (#5.7)

Given is a system and a compensator as follows:

$$G(s) = \frac{20}{s(s+2)}$$

$$G_c(s) = 3 \frac{s + 4.8}{s + 14.4}$$

- ▷ Plot the Bode plots of compensated and uncompensated system.
- ▷ Plot the unit step response of compensated and uncompensated close-loop systems.

Properties

- ▷ Adds positive phase (gain margin increase)
- ▷ System stability improves
- ▷ System responds faster
- ▷ Steady state accuracy does not increase
- ▷ Maximum phase lead occurs at $\omega_n = \sqrt{\zeta\beta}$
- ▷ Increases magnitude at high frequencies and might lead to larger noise sensitivity
- ▷ Approximates PD control for $\zeta \rightarrow 0$.

Exercise (#5.7)

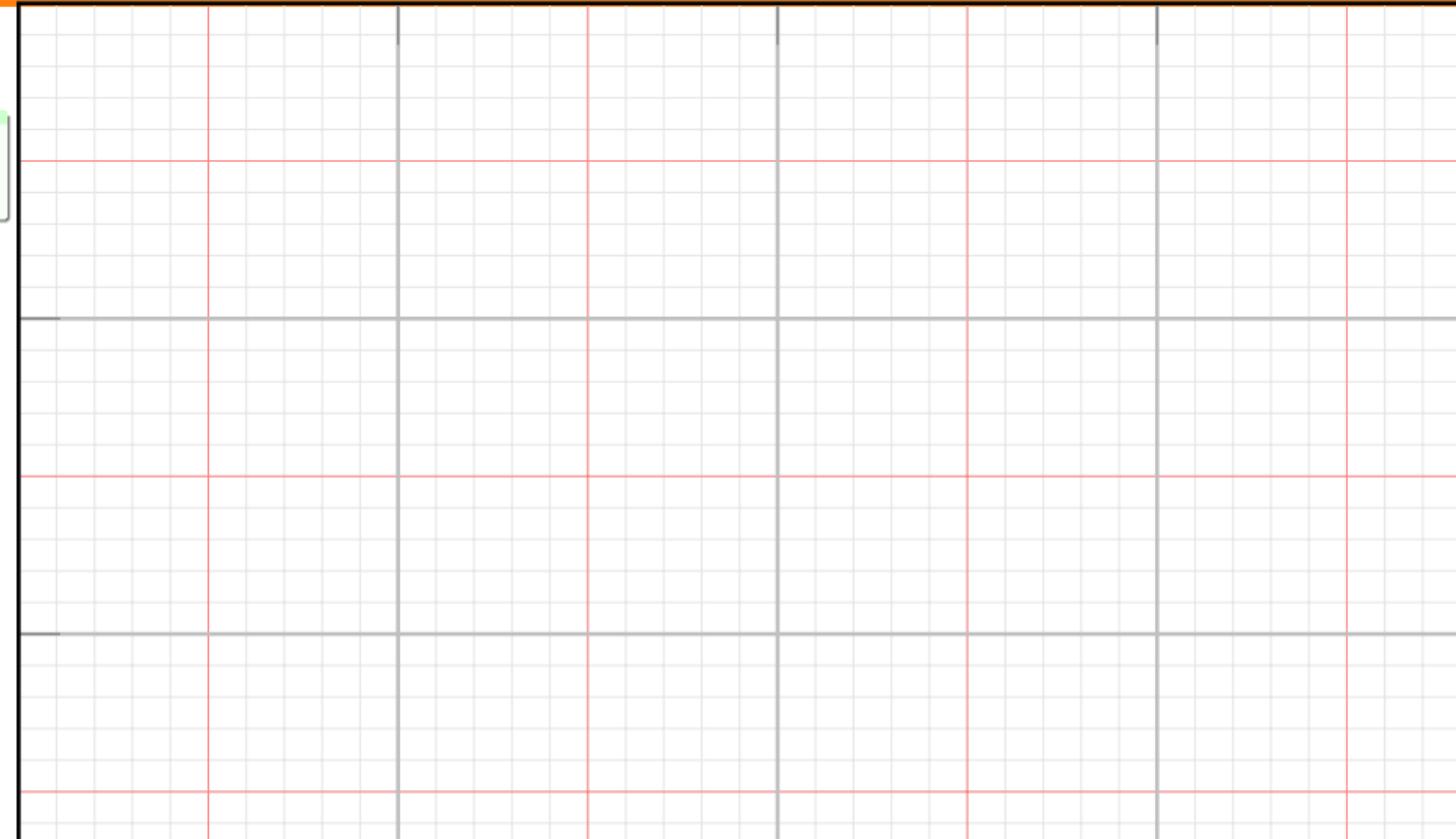
Given is a system and a compensator as follows:

$$G(s) = \frac{20}{(s+2)(s+10)}$$

$$G_c(s) = \frac{s+4.8}{s+14.4}$$

> Plot the Bode plots of compensated and uncompensated system.

> Plot the unit step response of compensated and uncompensated close-loop systems.



Exercise (#5.7)

Given is a system and a compensator as follows:

$$G(s) = \frac{20}{s(s+2)}$$

$$G_c(s) = \frac{3 + 4.8s}{s + 24.4}$$

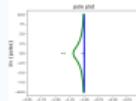
▷ Plot the Bode plots of compensated and uncompensated system.

▷ Plot the unit step response of compensated and uncompensated close-loop systems.

Example

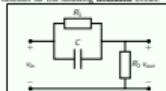
$$G(s) = \frac{1}{(s+1-jk)(s+1+jk)}$$

$$G_c(s) = \frac{s+1}{s+4}$$



The lead compensator moves the poles to the left.

Lead lag compensator

Exercise (#5.6)Determine the transfer function for the following unloaded circuit:

Lead lag compensator

Definition

Cascading a lead and a lag compensator yields the **lead-lag compensator** with transfer function of the form

$$G_c(s) = \frac{(s + z_1)(s + z_2)}{(s + p_1)(s + p_2)}, \text{ with } p_1 > z_1, z_2 > p_2.$$

Exercise (#5.7)

Given is a system and a compensator as follows:

$$G(s) = \frac{20}{s(s+2)}$$

$$G_c(s) = \frac{s+4.8}{s+24.8}$$

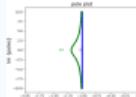
▷ Plot the Bode plots of compensated and uncompensated system.

▷ Plot the unit step response of compensated and uncompensated close-loop systems.

Example

$$G(s) = \frac{1}{(s+1-jk)(s+1+jk)}$$

$$G_c(s) = \frac{s+1}{s+4}$$



The lead compensator moves the poles to the left.

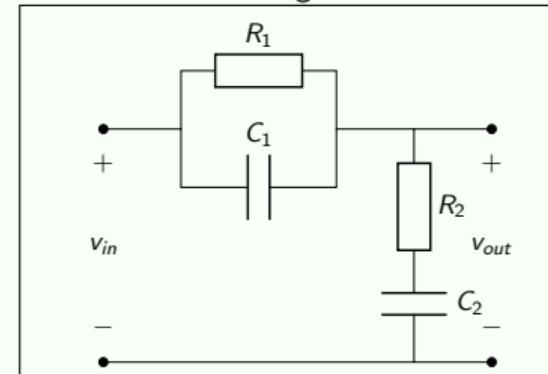
Definition

Cancelling a lead and a lag compensator yields the **lead-lag compensator** with transfer function of the form

$$G_0(s) = \frac{(s + p_1)(s + p_2)}{(s + p_3)(s + p_4)} \text{ with } p_1 > p_2, p_3 > p_4.$$

Lead lag compensator**Exercise (#5.8)**

Determine the transfer function for the following **unloaded** circuit:

**Exercise (#5.7)**

Given is a system and a compensator as follows:

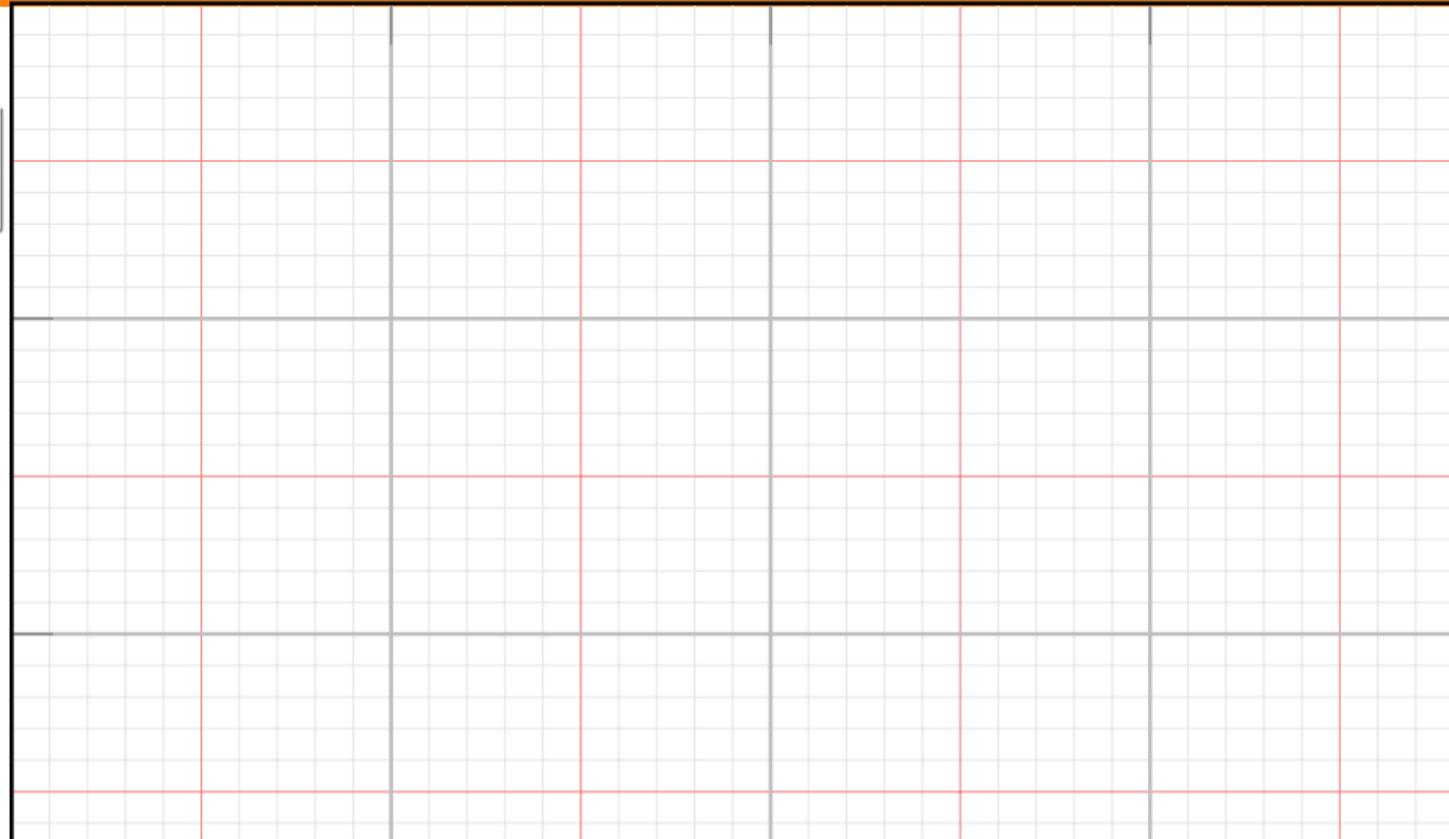
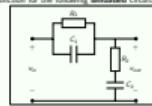
$$G(s) = \frac{20}{s(s+2)}$$

$$G_C(s) = \frac{3(s+4.8)}{s+34.4}$$

▷ Plot the Bode plots of compensated and uncompensated system.

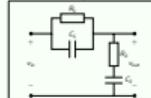
▷ Plot the unit step response of compensated and uncompensated close-loop systems.

Exercise (#5.8)

Determine the transfer function for the following unloaded circuit:

Exercise (#5.8)

Determine the transfer function for the following unloaded circuit:



Definition

Cancelling a lead and a lag compensator yields the **lead-lag compensator** with transfer function of the form

$$G_c(s) = \frac{(s + \alpha)(s + \beta)}{(s + \mu)(s + \nu)}, \text{ with } \mu > \alpha, \nu > \beta.$$

Lead lag compensator

Exercise (#5.9)

An industrial grinding process is given by^a the transfer function

$$G_p(s) = \frac{10}{s(s + 5)}.$$

The plant shall be controlled using a digital controller based upon an analog prototype of the form

$$G_c(s) = K \frac{s + a}{s + b}.$$

Ensure a phase margin of at least 45° and a settling time of less than 1 second (2% criterion).

^aThis exercise is partially based upon Dorf, Bishop. The corresponding source is: Predictive Control of a Robotic Grinding System, Journal of Engineering for Industry, ASME, November 1992, pp. 412-420. See also MATLAB

Exercise (#5.9)

An industrial grinding process is given by* the transfer function

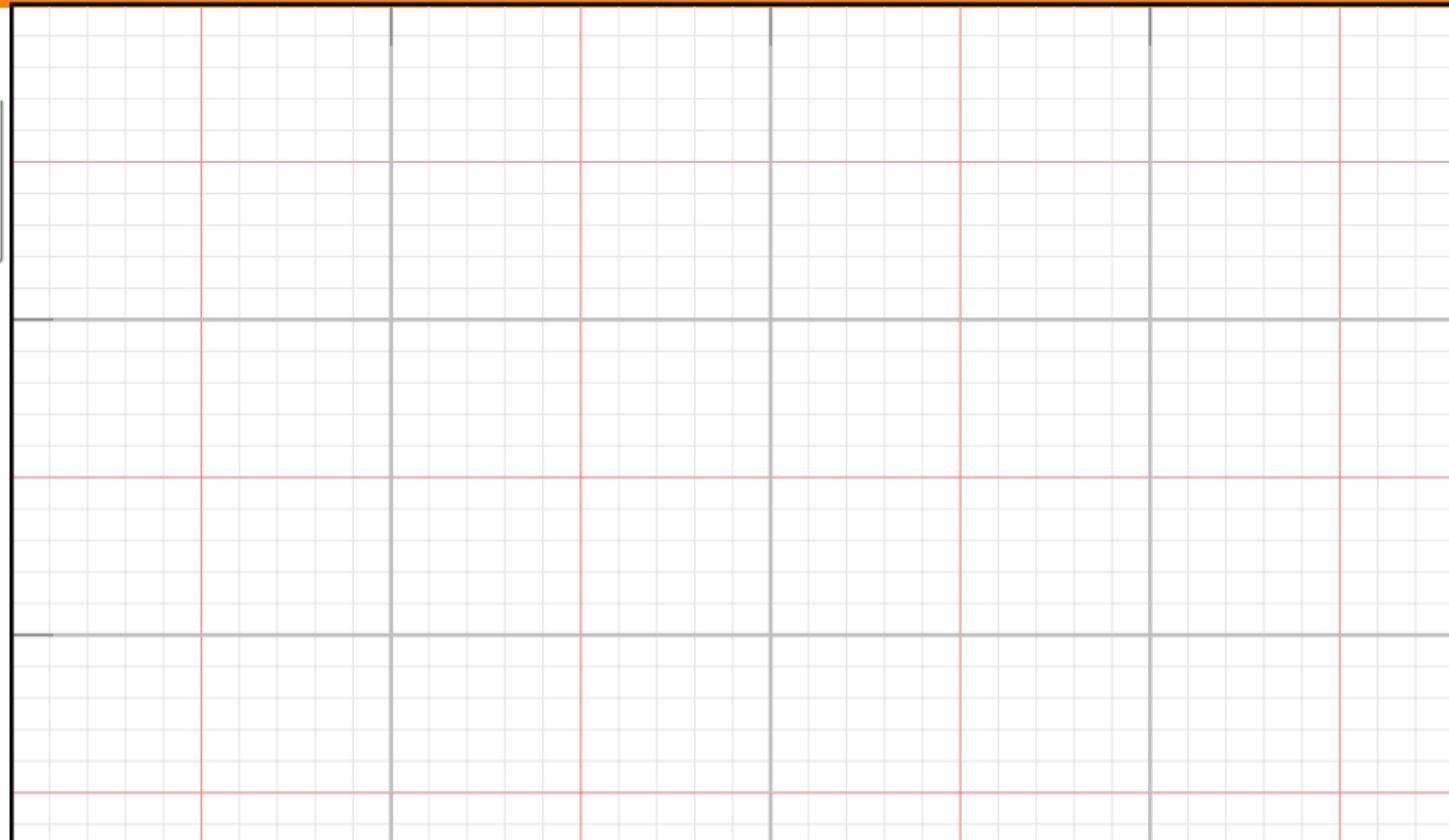
$$G_p(s) = \frac{10}{s(s+5)}$$

The plant shall be controlled using a digital controller based upon an analog prototype of the form

$$G_c(s) = K \frac{s+2}{s+2}$$

Ensure a phase margin of at least 40° and a settling time of less than 1 second (2% criterion).

*This exercise is partially based upon S. Rao. The corresponding source is: Predictive Control of a Rotating Grinding System. Journal of Engineering for Industry, 1988, November 1988, pp. 40-46. DOI: 10.1115/1.3450448



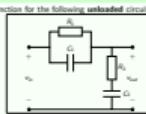
Exercise (#5.9)An industrial grinding process is given by^{*} the transfer function

$$G_0(s) = \frac{10}{s(s+5)}$$

The plant shall be controlled using a digital controller based upon an analog prototype of the form

$$G_c(s) = k \frac{s + a}{s + b}$$

Ensure a phase margin of at least 45° and a settling time of less than 1 second [2% criterion].

^{*}This exercise is partially based upon Prof. Dr.-Ing. Thomas Seelig. The corresponding source is "Predictive Control of a Robotic Grinding System", Journal of Engineering for Industry, ASME, November 1995, pp. 400-406. See also [\[DOI\]](#).**Exercise (#5.8)**Determine the transfer function for the following unloaded circuit:

PID controller

DefinitionCascading a lead and a lag compensator yields the **lead-lag compensator** with transfer function of the form

$$G_c(s) = \frac{(s + z_1)(s + z_2)}{(s + p_1)(s + p_2)} \quad \text{with } p_1 > z_1, z_2 > p_2.$$

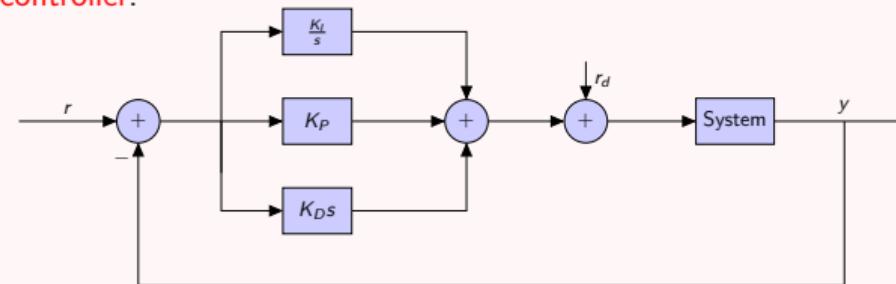
PID controller

Definition

A controller with a transfer function of the form

$$G_c(s) = K_P + sK_D + \frac{K_I}{s}$$

is called **PID controller**.



Exercise (#5.9)

An industrial grinding process is given by* the transfer function

$$G_0(s) = \frac{10}{s(s+5)}$$

The plant shall be controlled using a digital controller based upon an analog prototype of the form:

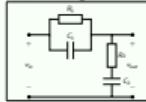
$$G_0(s) = K \frac{s+2}{s+5}$$

Ensure a phase margin of at least 45° and a settling time of less than 1 second [2% criterion].

*Based on a practical industrial grinding plant. The corresponding source is "Predictive Control of a Robotic Grinding System", Journal of Engineering for Industry, ASME, November 1993, pp. 40-46. See also [\[1\]](#)

Exercise (#5.8)

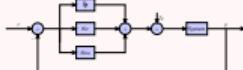
Determine the transfer function for the following unloaded circuit:



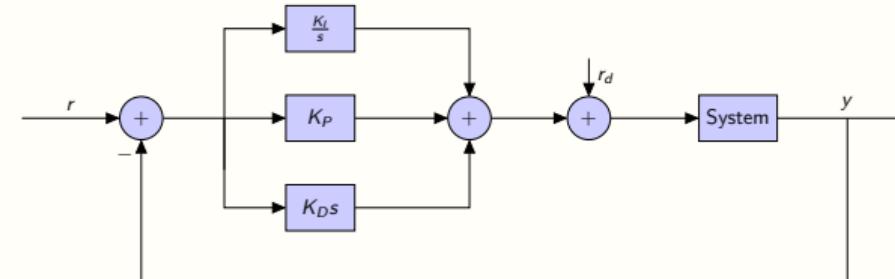
Definition

A controller with a transfer function of the form

$$G_c(s) = K_P + sK_D + \frac{K_I}{s}$$

is called **PID controller**.

PID controller

Properties**Design factors:**

- ▷ (Zero) steady state error
- ▷ Settling time
- ▷ Rise time
- ▷ Overshoot

Exercise (#5.9)

An industrial grinding process is given by* the transfer function

$$G_p(s) = \frac{10}{s(s+5)}$$

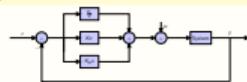
The plant shall be controlled using a digital controller based upon an analog prototype of the form

$$G_c(s) = K \frac{s+2}{s+5}$$

Ensure a phase margin of at least 45° and a settling time of less than 1 second [2% criterion].

*This controller is partially based upon their results. The corresponding source is "Predictive Control of a Robotic Grinding System", Journal of Engineering for Industry, ASME, November 1984, pp. 400-406. See also [Section 5.1](#).

Properties



Design factors:

- ▷ [Zero] steady state error
- ▷ Settling time
- ▷ Rise time
- ▷ Overshoot

Definition

A controller with a transfer function of the form

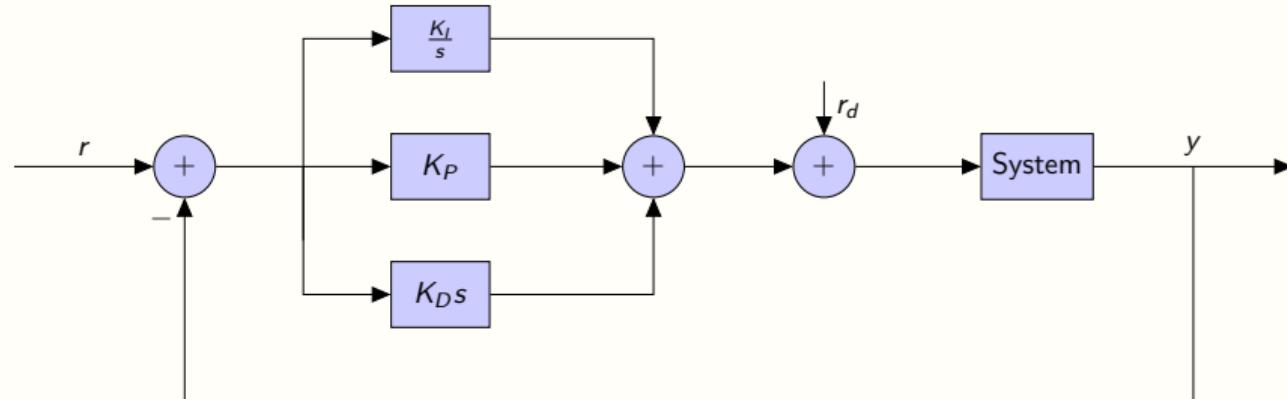
$$G_c(s) = K_P + sK_D + \frac{K_I}{s}$$

is called PID controller.

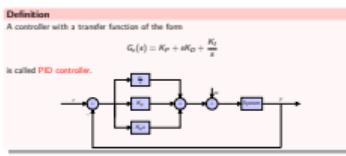
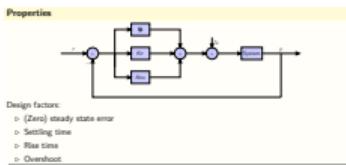
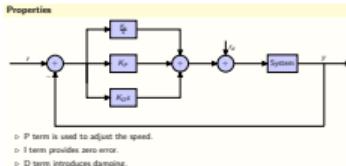


PID controller

Properties



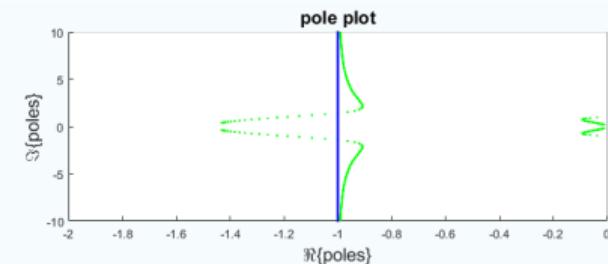
- ▷ P term is used to adjust the speed.
- ▷ I term provides zero error.
- ▷ D term introduces damping.



Example

$$G(s) = \frac{1}{(s + 1 - jk)(s + 1 + jk)}$$

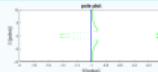
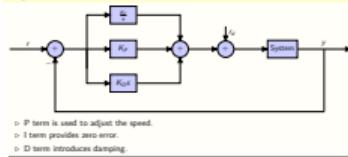
$$G_c(s) = 2 + \frac{3}{s} + 0.1s$$



Example

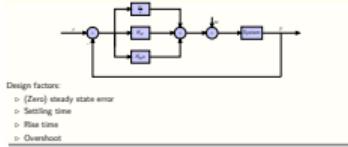
$$G(s) = \frac{1}{(s+1-jk)(s+1+jk)}$$

$$G_c(s) = 2 + \frac{1}{s} + 0.1s$$

**Properties****Properties**

The PID controller combines properties of the lead and the lag compensator.

- ▷ A lead compensator approximates PD control
- ▷ a lag compensator approximates a PI compensator.

Properties

Properties

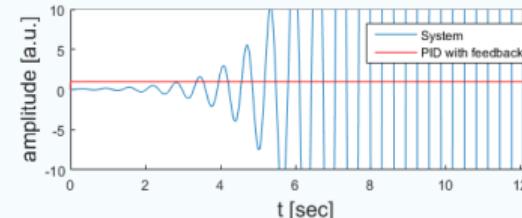
The PID controller combines properties of the lead and the lag compensator.

- ▷ A lead compensator approximates PD control
- ▷ a lag compensator approximates a PI compensator.

Example

Consider

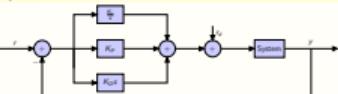
$$G(s) = \frac{5}{s^2 - 2s + 101}$$

**Example**

$$G(s) = \frac{1}{(s+1-j\omega)(s+1+j\omega)}$$



$$G_0(s) = 2 + \frac{3}{s} + 0.2s$$

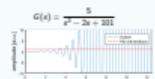
Properties

- ▷ P term is used to adjust the speed.
- ▷ I term provides zero error.
- ▷ D term introduces damping.

At least in theory, this system can be stabilized using a PID controller. But: Usually actuators are limited.

Example

Consider



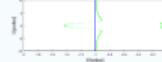
At least in theory, this system can be stabilized using a PID controller. But: Usually actuators are limited.

Properties

The PID controller combines properties of the lead and the lag compensator.
 ▷ A lead compensator approximates PD control
 ▷ a lag compensator approximates a PI compensator.

Example

$$G(s) = \frac{1}{(s+1-jk)(s+1+jk)}$$



$$G_c(s) = 2 + \frac{1}{s} + 0.1s$$

Heuristic tuning

Heuristic method of tuning a PID controller. Works for unknown plants as well! Workflow:

1. Set $K_I = K_D = 0$.
2. Increase K_P up to K_0 until the output becomes unstable
3. Measure the period T_0 of oscillation (frequency f_0)
4. Use the following table to determine K_I and K_D .

| Control type | K_P | K_I/K_P | K_D/K_P |
|----------------|-----------|-----------|------------|
| P | $0.5K_0$ | — | — |
| PI | $0.45K_0$ | $1.2f_0$ | — |
| PD | K_0 | — | 0 |
| PID tight | $0.6K_0$ | $2f_0$ | $0.125T_0$ |
| Some overshoot | $0.33K_0$ | $2f_0$ | $0.33T_0$ |
| No Overshoot | $0.2K_0$ | $2f_0$ | $0.5T_0$ |

Heuristic tuning

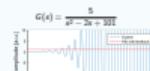
Heuristic method of tuning a PID controller. Works for unknown plants as well! Workflow:

1. Set $K_P \ll K_D = 0$.
2. Increase K_P up to K_P until the output becomes unsatiable.
3. Measure the period T_0 of oscillation (frequency ω_0)
4. Use the following table to determine K_I and K_D .

| Control type | K_P | K_I/K_P | K_D/K_P |
|----------------|-----------------|-----------------|------------------|
| P | 0.04 ω_0 | — | — |
| PI | 0.04 ω_0 | 1.25 ω_0 | — |
| PID | K_P | — | 0.125 ω_0 |
| PID (tight) | 0.04 ω_0 | 25 | 0.125 ω_0 |
| Semi-overshoot | 0.04 ω_0 | 25 | 0.33 ω_0 |
| No overshoot | 0.04 ω_0 | 25 | 0.5 ω_0 |

Example

Consider



At least in theory, this system can be stabilized using a PID controller. But: Usually actuators are limited.

Properties

The PID controller combines properties of the lead and the lag compensator.

↳ A lead compensator approximates PD control

↳ a lag compensator approximates a PI compensator.

Exercise (#5.10)

Given is a system (plant) with following transfer function:

$$H(s) = \frac{10}{s^3 + 6s^2 + 11s + 16}.$$

Plot the step response of a unity feedback system with PID controller and the following parameters:

| Control type | K_P | K_I | K_D |
|--------------|-------|-------|-------|
| P | 5 | — | — |
| P | 5.5 | — | — |
| P | 3 | — | — |
| PI | 2.7 | 1.8 | — |
| PID | 2 | 2.22 | 1.2 |

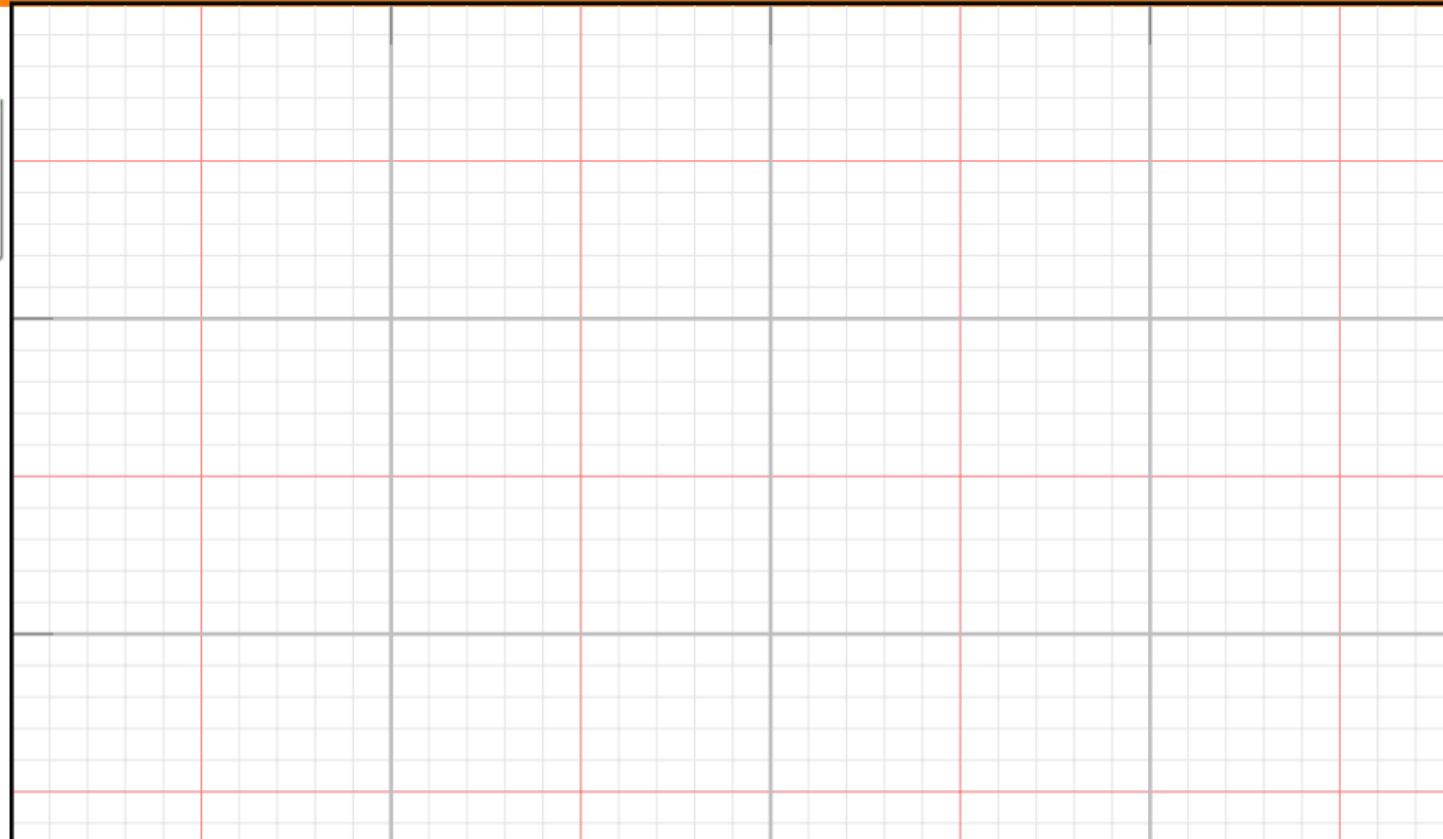
Note: Make use of NUMPY and the functions tf, step, series and feedback.

Exercise (#5.10)Given is a system (*plant*) with following transfer function:

$$H(s) = \frac{10}{s^2 + 6s + 11s + 25}$$

Plot the step response of a unity feedback system with PID controller and the following parameters:

| Control type | K_p | K_i | K_d |
|--------------|-------|-------|-------|
| P | 5 | — | — |
| PI | 0.5 | — | — |
| PD | 1.0 | — | — |
| PID | 2.7 | 1.8 | — |

Note: Make use of *NumPy* and the functions *tf*, *step*, *series* and *feedback*.

Closed-loop systems

5.3 Closed-loop systems

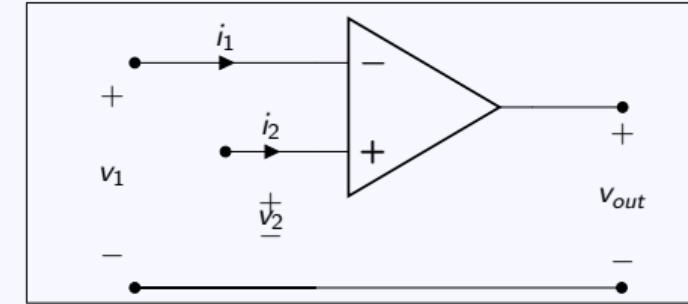
- 5.3.1 Basic structure
- 5.3.2 Unity feedback
- 5.3.3 Stability
- 5.3.4 Phase and Gain Margin
- 5.3.5 Nyquist diagrams
- 5.3.6 Second order systems
- 5.3.7 Controller performance indicators
- 5.3.8 Basic controller

5.3.9 Exemplary systems

OP-amps

OP-amps

Basic operation

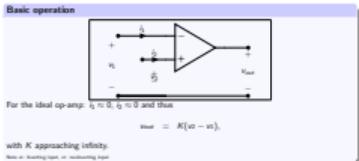


For the ideal op-amp: $i_1 \approx 0$, $i_2 \approx 0$ and thus

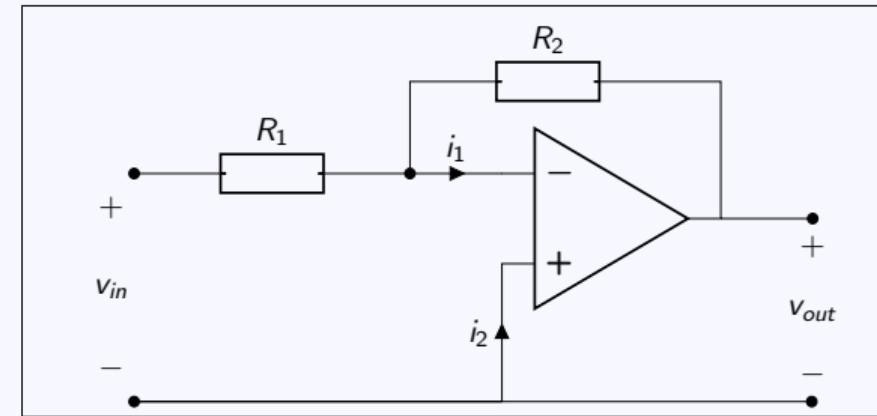
$$v_{out} = K(v_2 - v_1),$$

with K approaching infinity.

Note v_1 : Inverting input, v_2 : noninverting input

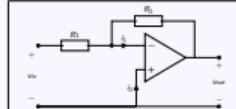


OP-amps

Inverting amplifier

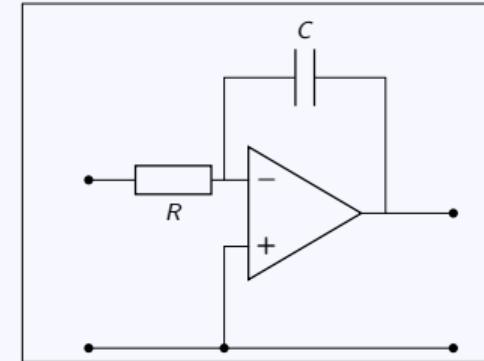
$$v_{out} = -\frac{R_2}{R_1} v_{in}$$

Inverting amplifier

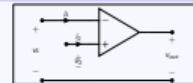


$$v_{out} = -\frac{R_2}{R_1} v_{in}$$

Integrating circuit



Basic operation



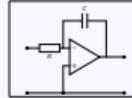
For the ideal op-amp: $v_o \approx 0$, $i_o \approx 0$ and thus
 $v_{out} = K(v_2 - v_1)$.

with K approaching infinity.
 Note: v_1 : Non-inverting input, v_2 : Inverting input.

OP-amps

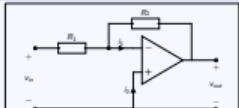
$$H(s) = -\frac{1}{RCs}$$

Integrating circuit



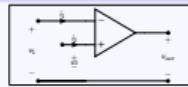
$$H(s) = \frac{1}{R_1 C s}$$

Inverting amplifier



$$k_{out} = -\frac{R_2}{R_1} v_{in}$$

Basic operation

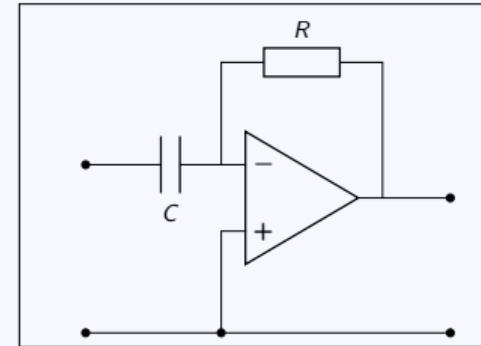


For the ideal op-amp: $i_1 \approx 0$, $v_2 \approx 0$ and thus

$$v_{out} = K(v_2 - v_1)$$

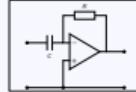
with K approaching infinity:
the v_2 inverting input becomes zero.

Differentiating circuit



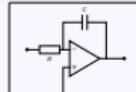
$$H(s) = -RCs$$

Differentiating circuit



$$H(s) = -RCs$$

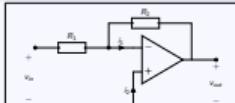
Integrating circuit



$$H(s) = \frac{1}{RCs}$$

Accelerometer

Inverting amplifier

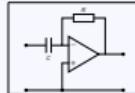


$$V_{out} = -\frac{R_2}{R_1} V_{in}$$

Accelerometer

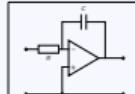
Accelerometer

Differentiating circuit

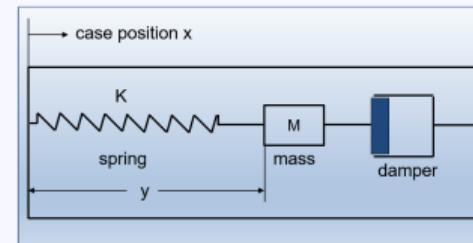


$$H(s) = -RCs$$

Integrating circuit



$$H(s) = \frac{1}{RCs}$$



$$-B \frac{dy}{dt} - Ky = M \frac{d^2}{dt^2}(y - x)$$

With $a(t)$ being the input acceleration, one gets

$$\frac{Y}{A} = \frac{1}{s^2 + B/Ms + K/M}$$

Accelerometer

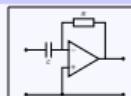
$$-B \frac{d^2x}{dt^2} - Ky = M \frac{d^2}{dt^2}(y - x)$$

With $a(t)$ being the input acceleration, one gets

$$\frac{y}{a} = \frac{1}{1 + B/Mc + K/M}$$

Accelerometer

DC motor

Differentiating circuit

$$P(s) = -RCs$$

DC motor

DC motor

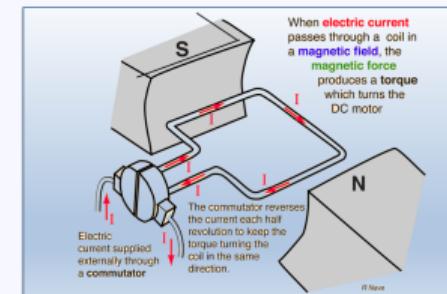


Figure 20: DC motor. Source: R. Nave, Georgia State University

Approximation for armature controlled DC motor (e.g. Dorf, Bishop, 13th edition, page 105):

Accelerometer

$$H(s) = \frac{\phi(s)}{V(s)} = \frac{K}{s(\tau s + 1)}$$

DC motor

Figure 2B: DC motor. Source: R. Katz, Georgia State University

Approximation for armature controlled DC motor (e.g. Dorf, Bishop, 13th edition, page 105):

$$H(s) = \frac{\dot{V}(s)}{V(s)} = \frac{R}{s(s + 1)}$$

DC motor

Lever

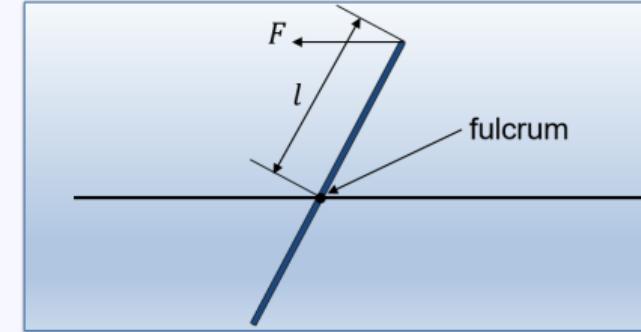
Accelerometer

$$-B \frac{dy}{dt} - Ky = M \frac{d^2y}{dt^2} (y - x)$$

With $a(t)$ being the input acceleration, one gets

$$\frac{y}{A} = \frac{1}{\lambda^2 + B/Ms + K/M}$$

Lever

Lever

The angular momentum (torque, Drehmoment):

$$\tau = \mathbf{r} \times \mathbf{F},$$

with \mathbf{r} being the vector pointing to the fulcrum.

DC motor

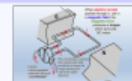
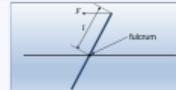


Figure 2B: DC motor. Source: R. Saenz, Georgia State University

Approximation for armature controlled DC motor (e.g. Dorf, Bishop, 13th edition, page 105):

$$H(s) = \frac{\phi(s)}{V(s)} = \frac{K}{s^2(\tau s + 1)}$$

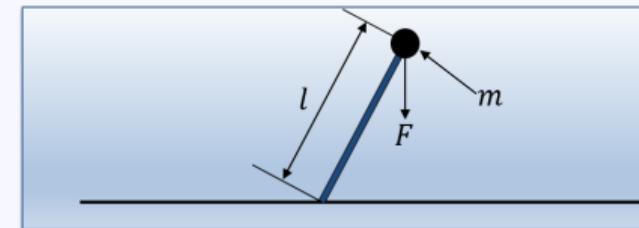
Lever



The angular momentum (torque, Drehmoment):
 $\tau = r \times F$,
with r being the vector pointing to the fulcrum.

Lever

Lever



Resistance against rotation (moment of inertia, Trägheitsmoment) for a simple pendulum:

$$I = ml^2.$$

Relationship between torque and rotation (shape of body **not** changing):

$$\tau = I\dot{\omega} = ml^2\dot{\omega}$$

DC motor



Figure 28: DC motor. Source: W. Noe, Georgia State University

Approximation for armature controlled DC motor (e.g. Dorf, Bishop, 11th edition, page 105):

$$H(s) = \frac{d(s)}{V(s)} = \frac{K}{sT(s) + 1}$$

Lever

Resistance against rotation (moment of inertia, Trägheitsmoment) for a simple pendulum:

$$I = ml^2$$

Relationship between torque and rotation (shape of body not changing):

$$\tau = I\ddot{\phi} = ml^2\ddot{\omega}$$

Exercise (#5.11)

- ▷ Design a PID controller for the inverted pendulum using NUMPY.
- ▷ Use an initial value of $\phi(t) = 0$ and plot the response to $\phi_c(t) = 0$

Sources:

[System modeling](#)

[PID controller design with MATLAB](#)

Lever

The angular momentum (torque, Drehmoment):

$$\tau = \dot{r} \times F_r$$

with r being the vector pointing to the fulcrum.

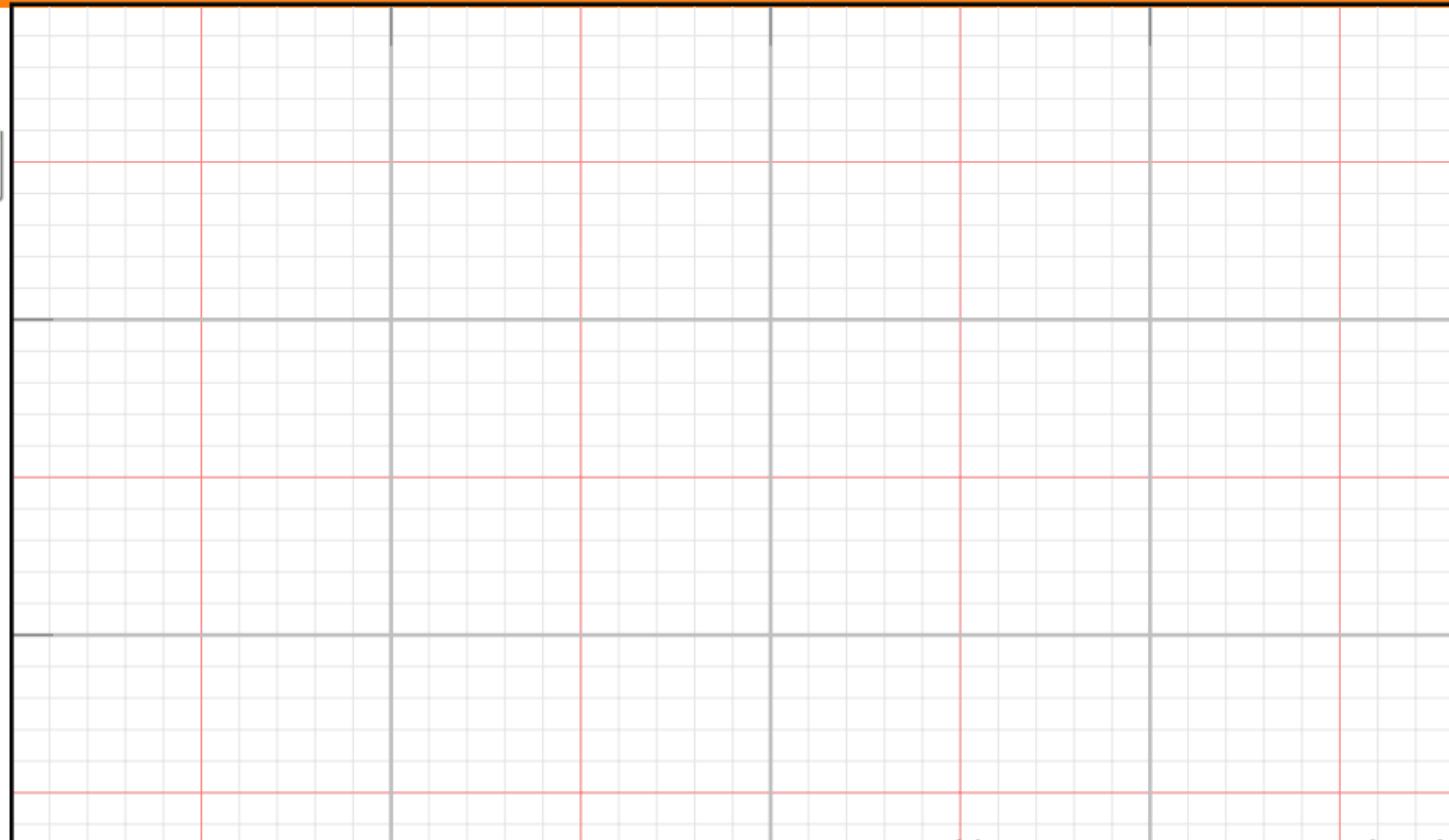
Lever

- └ Feedback & Control
- └ Closed-loop systems

Exercise (#5.11)

- Design a PID controller for the inverted pendulum using MATLAB.
- Use an initial value of $\dot{\theta}(t) = 0$ and plot the response to $\dot{\theta}_d(t) = 0$.

Source:
System modeling
PID controller design with MATLAB



Feedback & Control

5.1 Introduction

5.2 Open-loop systems

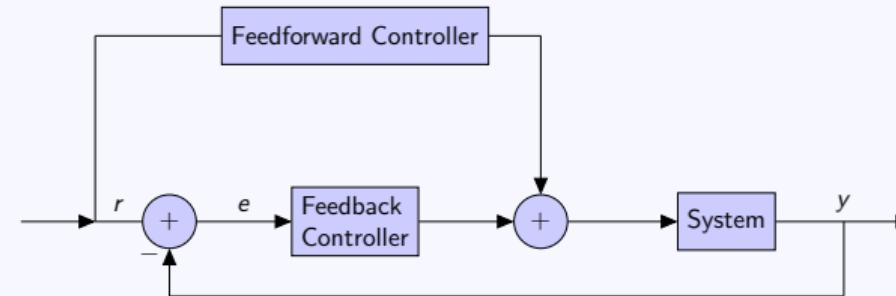
5.3 Closed-loop systems

5.4 Feed-forward Control

5.5 State Variable Feedback Systems

5.6 Additional Exercises

5.7 Appendix

Not covered here

FF controller can be designed by using inverse model of system which leads to rapid response.

Feedback & Control

5.1 Introduction

5.2 Open-loop systems

5.3 Closed-loop systems

5.4 Feed-forward Control

5.5 State Variable Feedback Systems

5.5.1 Introduction

5.5.2 Controllability

5.5.3 Full-State Feedback Control Design

5.5.4 Observable systems

5.5.5 Example

5.6 Additional Exercises

5.7 Appendix

State Variable Feedback Systems

5.5 State Variable Feedback Systems

5.5.1 Introduction

5.5.2 Controllability

5.5.3 Full-State Feedback Control Design

5.5.4 Observable systems

5.5.5 Example

Closed-loop feedback system

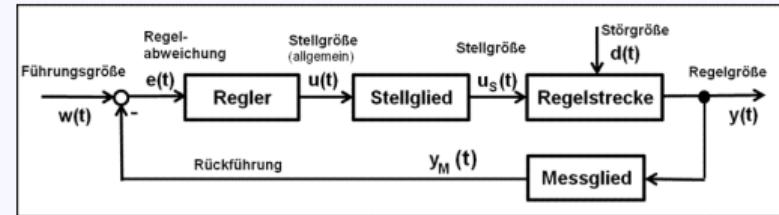
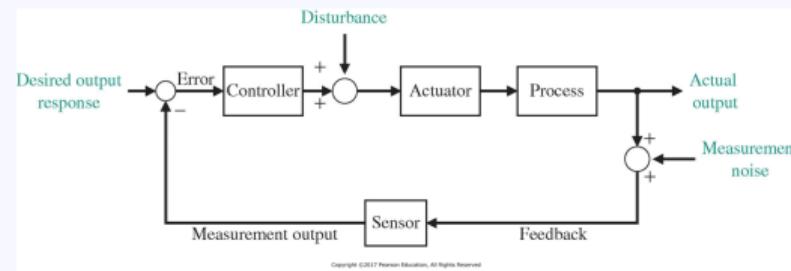


Figure 21: Source: Wikipedia (HeinrichKÜ)



State Variable Feedback Systems

5.5 State Variable Feedback Systems

5.5.1 Introduction

5.5.2 Controllability

5.5.3 Full-State Feedback Control Design

5.5.4 Observable systems

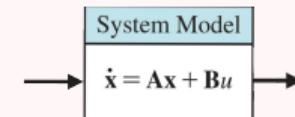
5.5.5 Example

Definition

We consider a system fulfilling the equation

$$\dot{x} = Ax + Bu.$$

This system



is said to be completely **controllable** if for each initial state $x(t_0)$ there exists a control signal $u(t)$ that can transfer the system state to any other desired location $x(t_0 + T)$ in a finite time T .

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Definition

The $(n \times n)$ **controllability matrix** is defined as follows:

$$P_c = [b \ A b \ A^2 b \dots A^{n-1} b].$$

The system is **completely controllable** if P_c is of full rank (determinant unequal to zero). Note that controllability is a concept based upon unconstrained inputs.

State Variable Feedback Systems

5.5 State Variable Feedback Systems

5.5.1 Introduction

5.5.2 Controllability

5.5.3 Full-State Feedback Control Design

5.5.4 Observable systems

5.5.5 Example

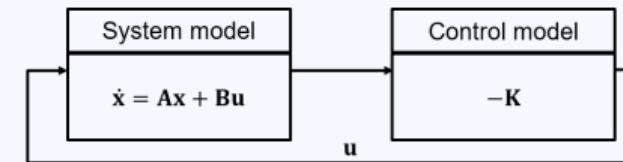
Task

In this section we deal with the control of controllable systems where all internal states are available.

Task
In this section we deal with the control of controllable systems where all internal states are available.

Model

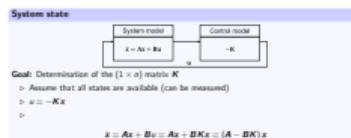
Model

System state**Goal:** Determination of the $(1 \times n)$ matrix K

- ▷ Assume that all states are available (can be measured)
- ▷ $u = -Kx$
- ▷

$$\dot{x} = Ax + Bu = Ax + BKx = (A - BK)x$$

Task
In this section we deal with the control of controllable systems where all internal states are available.



Model

Characteristic equation

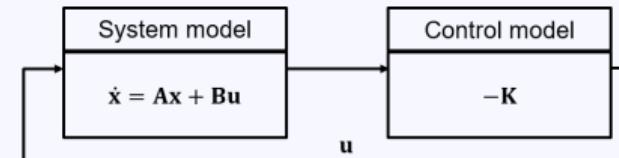
Task
In this section we deal with the control of controllable systems where all internal states are available.

Characteristic equation

Characteristic equation

The characteristic equation of the full-state feedback system shown below is given by

$$\det(\lambda I - (\mathbf{A} - \mathbf{B}\mathbf{K})) = 0.$$



If all roots of the characteristic equation lie in the left half-plane, then the closed-loop is stable.

Model

Characteristic equation

The characteristic equation of the full-state feedback system shown below is given by



If all roots of the characteristic equation lie in the left half-plane, then the closed-loop is stable.

Pole placement

Characteristic equation**System state**

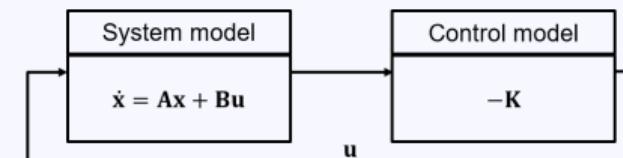
Goal: Determination of the $(1 \times n)$ matrix K^*

- ▷ Assume that all states are available (can be measured)
- ▷ $u = -Kx$
- ▷

$$\dot{x} = Ax + Bu = Ax + BKx = (A - BK)x$$

Pole placement

System state



If the system is completely controllable, then K can be determined to place all poles in the left half-plane, so that the transient performance meets the desired response.

Characteristic equation

Characteristic equation

The characteristic equation of the full-state feedback system shown below is given by

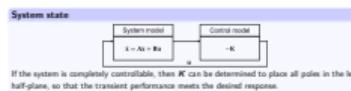
$$\det(M - (A - BK)) = 0$$

det($M - (A - BK)$) = 0

System model Control model

$x = Ax + Bu$ $-K$

If all roots of the characteristic equation lie in the left half-plane, then the closed-loop is stable.



Definition

For a single input system with $u = -Kx$ and a desired characteristic equation

$$q(\lambda) = \lambda^n + \alpha_{n-1}\lambda^{n-1} + \cdots + \alpha_0$$

one can calculate the gain matrix with **Ackermann's formula** as follows:

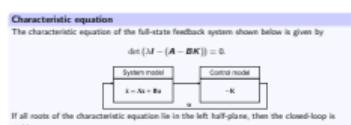
Pole placement

$$K = [0 \ 0 \ \cdots \ 1] P_c^{-1} q(A),$$

with

$$q(A) = A^n + \alpha_{n-1}A^{n-1} + \cdots + \alpha_1A + \alpha_0I.$$

and P_c being the **controllability matrix**.



DefinitionFor a single input system with $u = -Kx$ and a desired characteristic equation

$$q(\lambda) = \lambda^n + \alpha_{n-1}\lambda^{n-1} + \dots + \alpha_0$$

one can calculate the gain matrix with [Ackermann's formula](#) as follows:

$$K = [0 \ 0 \ \dots \ 1] P_c^{-1} q(A),$$

with

$$q(A) = A^n + \alpha_{n-1}A^{n-1} + \dots + \alpha_1A + \alpha_0I.$$

and P_c being the [controllability matrix](#).**Definition**Also used: For a single input system with $u = -Kx$ and a desired characteristic equation

$$q(\lambda) = \lambda^n + \alpha_1\lambda^{n-1} + \dots + \alpha_n$$

one can calculate the gain matrix with [Ackermann's formula](#) as follows:

$$K = [0 \ 0 \ \dots \ 1] P_c^{-1} q(A),$$

with

$$q(A) = A^n + \alpha_1A^{n-1} + \dots + \alpha_{n-1}A^1 + \alpha_nI.$$

Pole placement

and P_c being the [controllability matrix](#).

State Variable Feedback Systems

5.5 State Variable Feedback Systems

5.5.1 Introduction

5.5.2 Controllability

5.5.3 Full-State Feedback Control Design

5.5.4 Observable systems

5.5.5 Example

Task

In this section we deal with the control of controllable systems with measurement of only some internal states.

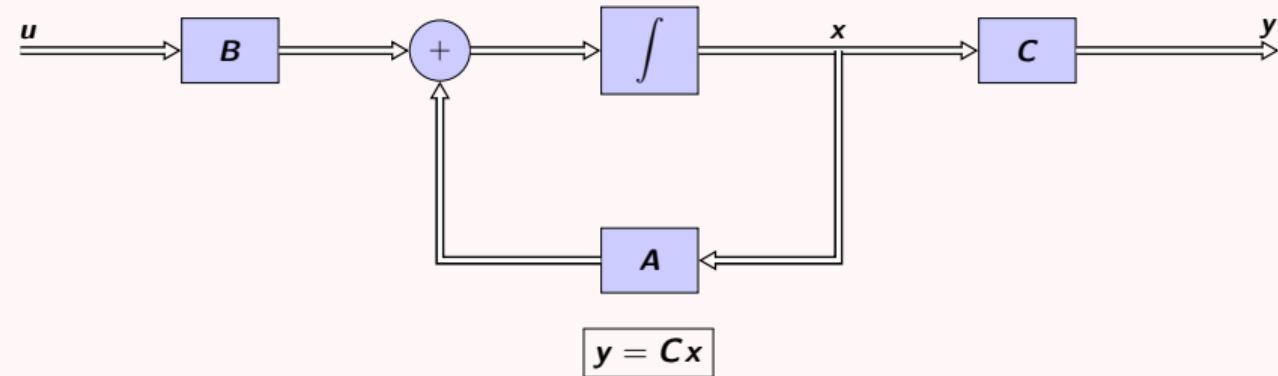
Task
In this section we deal with the control of controllable systems with measurement of only some internal states.

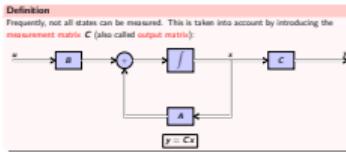
Observability

Observability

Definition

Frequently, not all states can be measured. This is taken into account by introducing the **measurement matrix C** (also called **output matrix**):

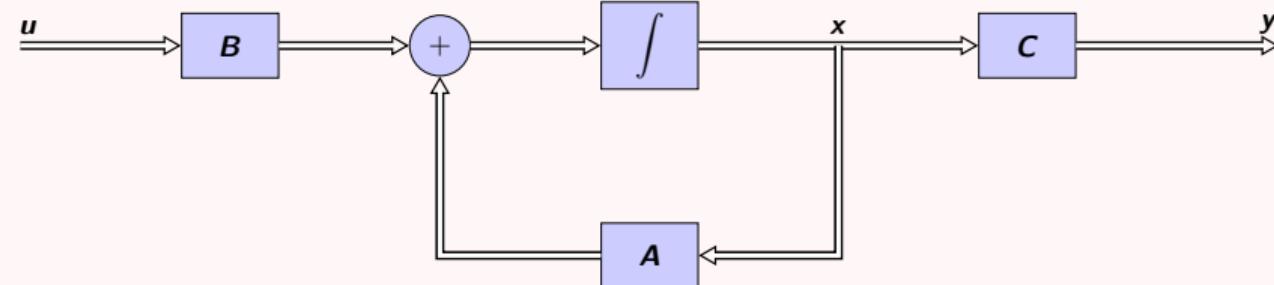




Observability

Definition

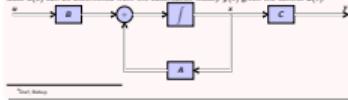
A system is completely **observable** if and only if there exists a finite time T such that the initial state $x(0)$ can be determined from the observation history $y(t)$ given the control $u(t)$.^a



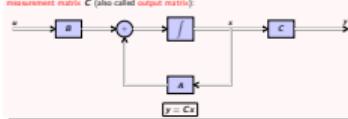
^aDorf, Bishop

Definition

A system is completely **observable** if and only if there exists a finite time T such that the initial state $x(0)$ can be determined from the observation history $y(t)$ given the control $u(t)$.

**Definition**

Frequently, not all states can be measured. This is taken into account by introducing the **measurement matrix** C (also called **output matrix**):

**Definition**

The **observability matrix** P_O is given by

$$P_O = \begin{bmatrix} C \\ CA \\ \vdots \\ CA^{n-1} \end{bmatrix}.$$

The system is completely observable in case of P_O having full rank ($\det(P_O) \neq 0$).

Observability

Definition

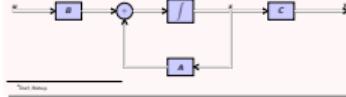
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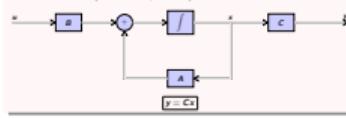
The system is completely observable in case of P_O having full rank ($\text{det}(P_O) \neq 0$).

Definition

A system is completely **observable** if and only if there exists a finite time T such that the initial state $x(0)$ can be determined from the observation history $y(t)$ given the control $u(t)$.*

**Definition**

Frequently, not all states can be measured. This is taken into account by introducing the **measurement matrix** C (also called **output matrix**):



Full-state observer

Full-state observer

System state determination

If the system is completely observable, then one can determine (estimate) the states that are not directly measurable. Idea: Use an estimated state \hat{x} with

$$\dot{\hat{x}} = A\hat{x} + Bu,$$

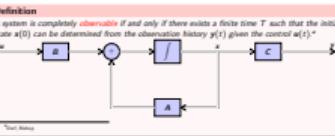
where u is the (known) control input. Due the fact that the initial state $\hat{x}(t=0)$ is unknown (needs to be *guessed*), a correction is needed:

$$\dot{\hat{x}} = A\hat{x} + Bu + L\tilde{y},$$

where \tilde{y} is a **time dependent** correction factor.

$$P_O = \begin{bmatrix} C \\ CA \\ \vdots \\ CA^{n-1} \end{bmatrix}.$$

The system is completely observable in case of P_O having full rank ($\det(P_O) \neq 0$).



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$$\dot{\hat{x}} = Ax + Bu + L\tilde{y},$$

where \tilde{y} is a time dependent correction factor.

Full-state observer

Correction factor

Making use the difference between observed state and estimated observed state:

$$\begin{aligned}\tilde{y} &= Cx - C\hat{x} \\ &= y - C\hat{x}\end{aligned}$$

and thus

$$\dot{\hat{x}} = Ax + Bu + L(y - C\hat{x}),$$

Definition

The observability matrix P_O is given by

$$P_O = \begin{bmatrix} C \\ CA \\ \vdots \\ CA^{n-1} \end{bmatrix}.$$

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Definition

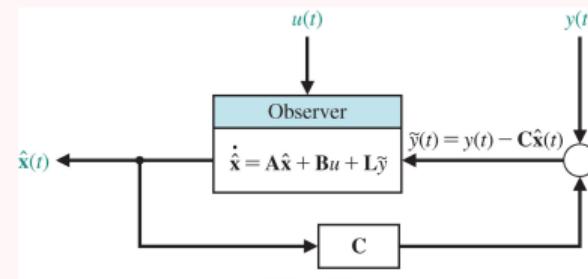
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where u is the (known) control input. Due to the fact that the initial state $x(t=0)$ is unknown (needs to be guessed), a correction is needed:

$$\dot{\hat{x}} = Ax + Bu + Ly,$$

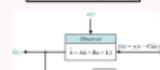
where \hat{y} is a time dependent correction factor.

Full-state observer

This is called an **observer** with L being the **observer gain matrix**.

Definition

$$\dot{x} = Ax + Bu + L(y - Cx)$$



This is called an **observer** with L being the **observer gain matrix**.

Correction factor

Making use of the difference between observed state and estimated observed state:

$$\hat{y} = Cx - C\hat{x}$$

and thus

$$\hat{y} = Ax + Bu + L(y - Cx).$$

System state determination

If the system is completely observable, then one can determine (estimate) the states that are not directly measurable. Idea: Use an estimated state \hat{x} with

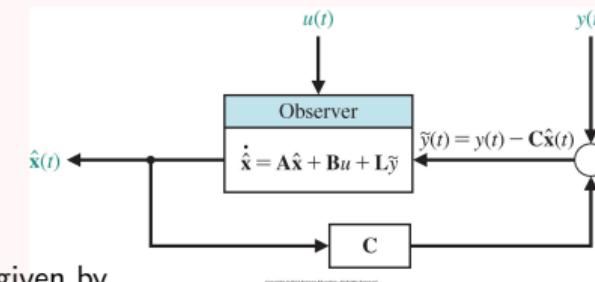
$$\hat{x} = Ax + Bu.$$

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$$\hat{x} = Ax + Bu + Ly,$$

where y is a time dependent correction factor.

Definition

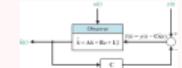


The **estimation error** is given by

$$e(t) = x(t) - \hat{x}(t)$$

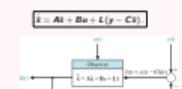
$$\begin{aligned}\dot{e}(t) &= Ax + Bu - A\hat{x} - Bu - L(y - C\hat{x}) \\ &= (A - LC)e(t)\end{aligned}$$

Note that the error does not depend on $u(t)$.

Definition

The estimation error is given by

$$\begin{aligned} e(t) &= x(t) - \hat{x}(t) \\ e(t) &= Ax - Bu - Ax + Bu - L(y - Cx) \\ &= (A - LC)e(t) \end{aligned}$$

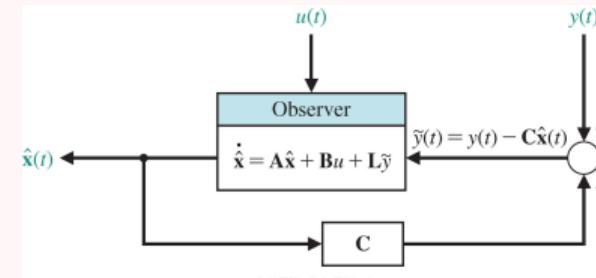
Note that the error does not depend on $u(t)$.**Definition**This is called an **observer** with L being the **observer gain matrix**.**Correction factor**

Making use of the difference between observed state and estimated observed state:

$$\begin{aligned} \tilde{y} &= Cx - C\hat{x} \\ &= y - C\hat{x} \end{aligned}$$

and thus

$$\dot{\hat{x}} = A\hat{x} + Bu + L(y - C\hat{x}).$$

DefinitionThe **estimation error $e(t)$** will approach zero for $t \rightarrow \infty$ in case of

$$\det(\lambda I - (A - LC)) = 0$$

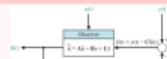
having all roots in the left half-space.

Definition

The estimation error $e(t)$ will approach zero for $t \rightarrow \infty$ in case of

$$\det(\lambda I - (\mathbf{A} - \mathbf{LC})) = 0$$

having all roots in the left half-space.

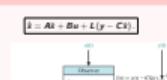
Definition

The estimation error is given by

$$\begin{aligned} e(t) &= x(t) - \hat{x}(t) \\ e(t) &= Ax + Bu - A\hat{x} - Bu - L(y - Cx) \\ &= (\mathbf{A} - \mathbf{LC})e(t) \end{aligned}$$

Note that the error does not depend on $u(t)$.

Observer design

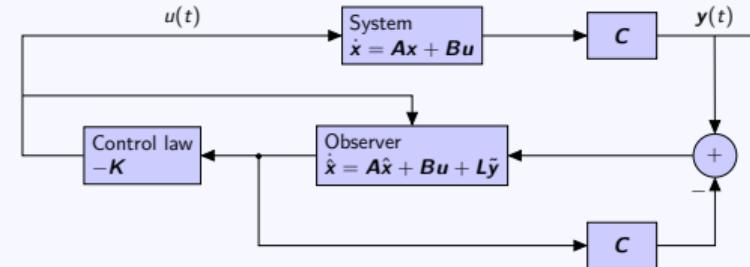
Definition

This is called an **observer** with L being the **observer gain matrix**.

Observer design

Full-state observer

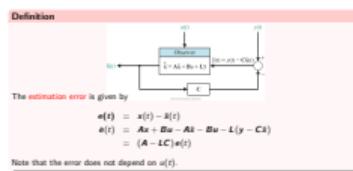
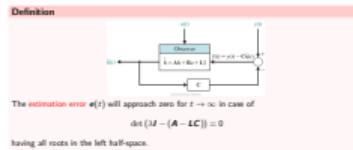
Observer design

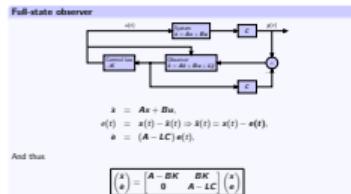


$$\begin{aligned}\dot{x} &= Ax + Bu, \\ e(t) &= x(t) - \hat{x}(t) \Rightarrow \dot{\hat{x}}(t) = x(t) - e(t), \\ \dot{e} &= (A - LC)e(t),\end{aligned}$$

And thus

$$\begin{pmatrix} \dot{x} \\ \dot{e} \end{pmatrix} = \begin{bmatrix} A - BK & BK \\ 0 & A - LC \end{bmatrix} \begin{pmatrix} x \\ e \end{pmatrix}$$

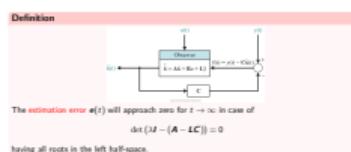




Observer design

Steps

1. Determine K
2. Determine L
3. Connect the observer



Steps

1. Determine \mathbf{K}
2. Determine \mathbf{L}
3. Connect the observer

Steps

1. Determine \mathbf{K} by making use of Ackermann's formula:

$$\mathbf{K} = [0 \ 0 \ \cdots \ 1] \mathbf{P}_c^{-1} q(\mathbf{A})$$

and the desired characteristic equation

$$\det(\lambda\mathbf{I} - (\mathbf{A} - \mathbf{B}\mathbf{K})) = \lambda^n + \alpha_{n-1}\lambda^{n-1} \cdots + \alpha_0 = 0,$$

with

$$q(\mathbf{A}) = \mathbf{A}^n + \alpha_{n-1}\mathbf{A}^{n-1} + \cdots + \alpha_1\mathbf{A}^1 + \alpha_0\mathbf{I}.$$

Observer design

and \mathbf{P}_c being the **controllability matrix**.

2. Determine \mathbf{L}
3. Connect the observer

Steps

1. Determine
- K
- by making use of Ackermann's formula:

$$K = [0 \ 0 \ \dots \ 1] P_0^{-1} q(A)$$

and the desired characteristic equation

$$\det(\lambda I - (A - BK)) = \lambda^n + \alpha_{n-1}\lambda^{n-1} + \dots + \alpha_0 = 0,$$

with

$$q(A) = A^n + \alpha_{n-1}A^{n-1} + \dots + \alpha_1A^1 + \alpha_0I,$$

and P_0 being the controllability matrix.

2. Determine
- L

3. Connect the observer

Steps

1. Determine
- K

2. Determine
- L
- by making use of Ackermann's formula:

$$L = p(A)P_0^{-1} [0 \ 0 \ \dots \ 1]^T$$

and the desired characteristic equation

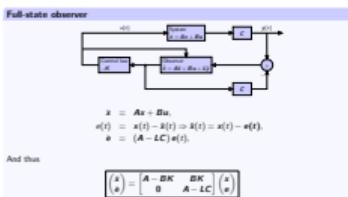
$$\det(\lambda I - (A - LC)) = \lambda^n + \beta_{n-1}\lambda^{n-1} + \dots + \beta_0 = 0$$

describing the observer dynamics and

$$q(A) = A^n + \beta_{n-1}A^{n-1} + \dots + \beta_1A^1 + \beta_0I,$$

where P_0 is the observability matrix.

3. Connect the observer



└ Feedback & Control

└ State Variable Feedback Systems

Steps

- Determine K
- Determine L by making use of Ackermann's formula

$$L = \mu(A)P_2^{-1} [0 \ 0 \ \dots \ 1]^T$$

and the desired characteristic equation

$$\det(M - (A - LC)) = \lambda^n + \beta_{n-2}\lambda^{n-1} + \dots + \beta_0 = 0$$

describing the observer dynamics and

$$q(A) = A^n + \beta_{n-1}A^{n-1} + \dots + \beta_1A^1 + \beta_0I,$$

where P_2 is the observability matrix.

- Connect the observer

Steps

- Determine K by making use of Ackermann's formula:

$$K = [0 \ 0 \ \dots \ 1] P_2^{-1} q(A)$$

and the desired characteristic equation

$$\det(M - (A - BK)) = \lambda^n + \alpha_{n-2}\lambda^{n-1} + \dots + \alpha_0 = 0,$$

with

$$q(A) = A^n + \alpha_{n-1}A^{n-1} + \dots + \alpha_1A^1 + \alpha_0I,$$

and P_2 being the controllability matrix.

- Determine L

- Connect the observer

Steps

- Determine K
- Determine L
- Connect the observer by making use of

$$u(t) = -K\hat{x}(t).$$

$$\begin{pmatrix} \dot{x} \\ \dot{e} \end{pmatrix} = \begin{bmatrix} A - BK & BK \\ 0 & A - LC \end{bmatrix} \begin{pmatrix} x \\ e \end{pmatrix}$$

Steps

- Determine K

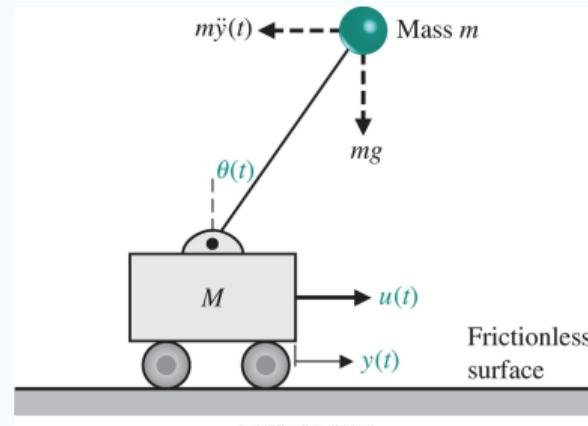
- Determine L

- Connect the observer

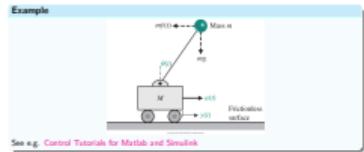
State Variable Feedback Systems

5.5 State Variable Feedback Systems

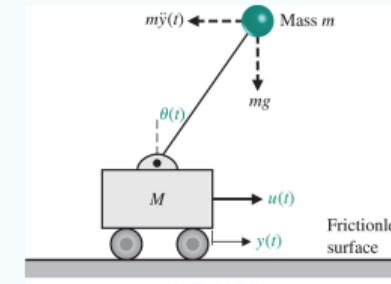
- 5.5.1 Introduction
- 5.5.2 Controllability
- 5.5.3 Full-State Feedback Control Design
- 5.5.4 Observable systems
- 5.5.5 Example**

Example

See e.g. Control Tutorials for Matlab and Simulink



Example



Sum of forces in horizontal direction:

$$M\ddot{y}(t) - \cos(\pi + \theta)m l \ddot{\theta}(t) - m l \dot{\theta}^2 \sin(\pi + \theta) - u(t) = 0$$

Sum of torques at pivot point:

$$-\cos(\pi + \theta)m l \ddot{y}(t) + m l^2 \ddot{\theta}(t) + \sin(\pi + \theta)m l g \theta = 0$$

Example



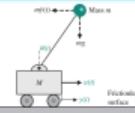
Sum of forces in horizontal direction:

$$My(t) - \cos(\pi + \theta) m\ddot{\theta}(t) - m\dot{\theta}^2 \sin(\pi + \theta) - u(t) = 0$$

Sum of torques at pivot point:

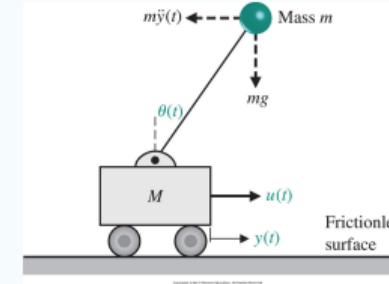
$$-\cos(\pi + \theta) m\ddot{y}(t) + ml^2\ddot{\theta}(t) + \sin(\pi + \theta) mlg\theta = 0$$

Example



See e.g. Control Tutorials for Matlab and Simulink.

Example

Idea: Linearize for $\theta \approx 0$. Sum of forces in horizontal direction:

$$M\ddot{y}(t) + ml\ddot{\theta}(t) - u(t) = 0$$

Sum of torques at pivot point:

$$ml\ddot{y}(t) + ml^2\ddot{\theta}(t) - mlg\theta = 0$$

Example

Idea: Linearize for $\theta \approx 0$. Sum of forces in horizontal direction:

$$M\ddot{x}(t) + ml\ddot{\theta}(t) - u(t) = 0$$

Sum of torques at pivot point:

$$m\ddot{y}(t) + ml^2\ddot{\theta}(t) - mg\dot{\theta} = 0$$

Example



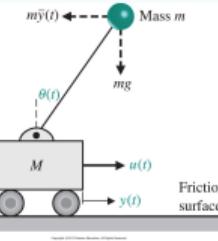
Sum of forces in horizontal direction:

$$My(t) - \cos(\pi + \theta)m\ddot{\theta}(t) - ml^2\sin(\pi + \theta)\dot{\theta}(t) - u(t) = 0$$

Sum of torques at pivot point:

$$-\cos(\pi + \theta)m\ddot{y}(t) + ml^2\ddot{\theta}(t) + \sin(\pi + \theta)mg\dot{\theta} = 0$$

Example



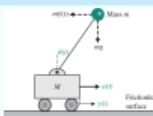
Using state variables

$$\mathbf{x} [y \quad \dot{y} \quad \theta \quad \dot{\theta}]^T$$

one gets

$$\begin{aligned} M\ddot{x}_2(t) + ml\ddot{x}_4(t) - u(t) &= 0 \\ \dot{x}_2(t) + l\dot{x}_4(t) - gx_3(t) &= 0 \end{aligned}$$

Example



See e.g. Control Tutorials for Matlab and Simulink

Example



Using state variables

$$\mathbf{x} [y \quad \dot{y} \quad \theta \quad \dot{\theta}]^T$$

one gets

$$\begin{aligned} M\ddot{y}(t) + m\ddot{y}\dot{\theta}(t) - u(t) &= 0 \\ \ddot{\theta}(t) + \dot{m}\dot{\theta}(t) - mg\dot{\theta} &= 0 \end{aligned}$$

Example



Idea: Linearize for $\theta \approx 0$. Sum of forces in horizontal direction:
 $M\ddot{y}(t) + m\ddot{y}\dot{\theta}(t) - u(t) = 0$

Sum of torques at pivot point:
 $m\ddot{y}(t) + m\dot{y}^2(t) - mg\theta = 0$

Example



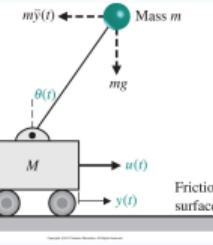
Sum of forces in horizontal direction:

$$M\ddot{y}(t) - \cos(\pi + \theta)m\ddot{\theta}(t) - m\dot{\theta}^2 \sin(\pi + \theta) - u(t) = 0$$

Sum of torques at pivot point:

$$-\cos(\pi + \theta)m\dot{y}(t) + m\dot{\theta}^2(t) + \sin(\pi + \theta)m\dot{y}\dot{\theta} = 0$$

Example

Assuming $M \gg m$ one gets the state variables and systems matrices as follows:

$$\mathbf{x} = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{bmatrix} = \begin{bmatrix} y \\ \dot{y} \\ \theta \\ \dot{\theta} \end{bmatrix} \quad \mathbf{A} = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & -mg/M & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & g/I & 0 \end{bmatrix} \quad \mathbf{B} = \begin{bmatrix} 0 \\ 1/M \\ 0 \\ -1/(MI) \end{bmatrix}$$

Example

Assuming $M \gg m$ one gets the state variables and system matrices as follows:

$$\dot{x} = \begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \\ \dot{x}_3 \\ \dot{x}_4 \end{bmatrix} = \begin{bmatrix} v \\ \dot{\theta} \\ \dot{y} \\ \ddot{\theta} \end{bmatrix}, \quad A = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & -mg/M & 0 \\ 0 & 0 & 0 & 1/M \\ 0 & 0 & g/l & 0 \end{bmatrix}, \quad B = \begin{bmatrix} 0 \\ 0 \\ 0 \\ -1/(Ml) \end{bmatrix}$$

Example



Using state variables

$$x = [v \quad \dot{\theta} \quad \dot{y} \quad \ddot{\theta}]^T$$

one gets

$$M\ddot{x}_2(t) + m\ddot{x}_3(t) - u(t) = 0$$

$$\ddot{x}_3(t) + l\ddot{x}_4(t) - mgx_2(t) = 0$$

Example

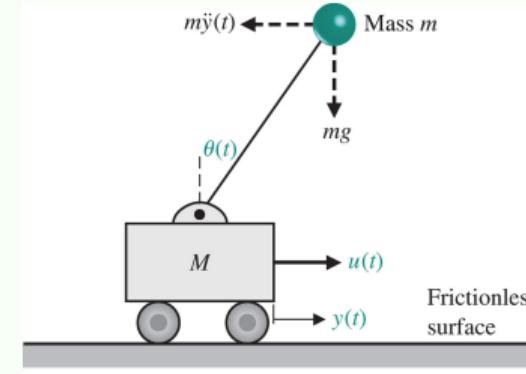
Idea: Linearise for $\dot{\theta} \approx 0$. Sum of forces in horizontal direction:

$$M\ddot{x}_2(t) + m\ddot{x}_3(t) - u(t) = 0$$

Sum of torques at pivot point:

$$m\ddot{x}_3(t) + ml^2\ddot{x}_4(t) - mgx_2(t) = 0$$

Exercise (#5.12)



Given is the system shown above.

- ▷ Develop a state variable model for the system shown above and $l = 0.098 \text{ m}$, $g = 9.8 \text{ m s}^{-2}$, $m = 825 \text{ g}$ and $M = 8085 \text{ g}$.

Feedback & Control

- 5.1 Introduction
- 5.2 Open-loop systems
- 5.3 Closed-loop systems
- 5.4 Feed-forward Control
- 5.5 State Variable Feedback Systems
- 5.6 Additional Exercises**
- 5.7 Appendix

Exercise (#5.13)

Given is the system shown in figure 22 with

$$H(s) = \frac{1}{s^3 + s^2 + 10s + 2}$$

and the corresponding step response for different PID controllers shown in figure 23. The coefficients of the PID controllers are given in table 1. Fill the table.

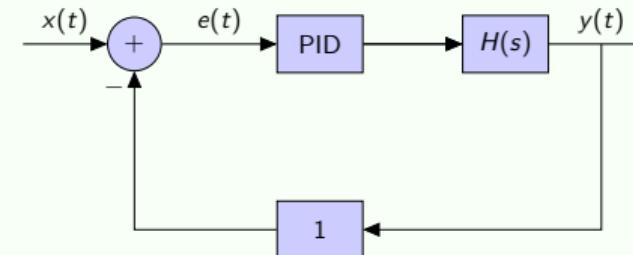


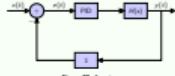
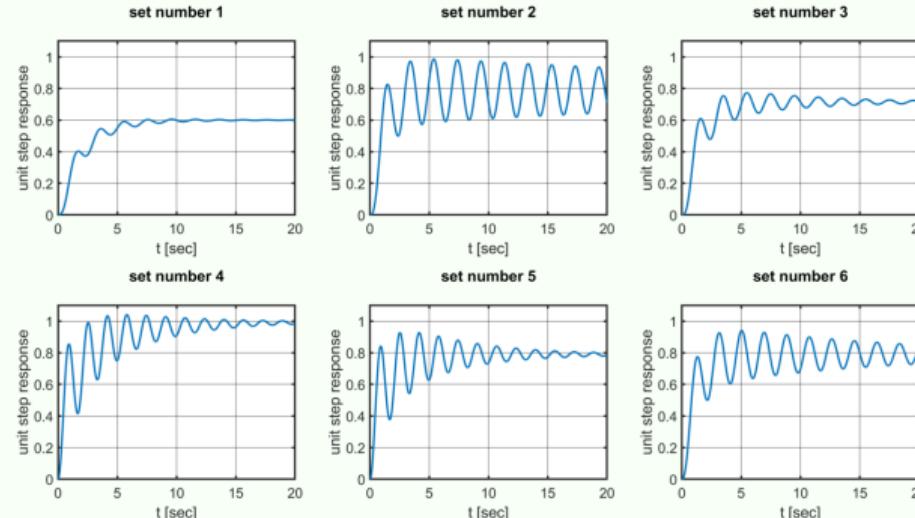
Figure 22: A system

Exercise (#5.13)

Given is the system shown in figure 22 with:

$$H(s) = \frac{1}{s^2 + s^2 + 10s + 2}$$

and the corresponding step response for different PID controllers shown in figure 23. The coefficients of the PID controllers are given in table 1. Fill the table.

**Continued exercise (#5.13)****Figure 23: Step responses**

- └ Feedback & Control
- └ Additional Exercises

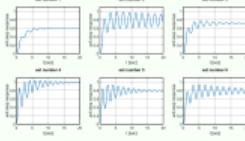
Continued exercise (#5.13)

Figure 22: Step responses

Exercise (#5.13)

Given is the system shown in figure 22 with

$$H(s) = \frac{1}{s^2 + s^2 + 15s + 2}$$

and the corresponding step response for different PID controllers shown in figure 23. The coefficients of the PID controllers are given in table 1. Fill the table.

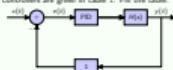


Figure 22: A system

Continued exercise (#5.13)

| P | I | D | Set number | Justification |
|-----|---|---|------------|---------------|
| 3 | 0 | 0 | | |
| 5 | 0 | 0 | | |
| 7.5 | 0 | 0 | | |
| 7.5 | 0 | 1 | | |
| 7.5 | 0 | 5 | | |
| 7.5 | 1 | 5 | | |

Table 1: PID coefficients

Continued exercise (#5.13)

| P | I | D | Set number | Justification |
|-----|---|---|------------|---------------|
| 3 | 0 | 0 | | |
| 5 | 0 | 0 | | |
| 7.5 | 0 | 0 | | |
| 7.5 | 0 | 1 | | |
| 7.5 | 0 | 5 | | |
| 7.5 | 1 | 5 | | |

Table 5: PID coefficients

A large grid for working out PID coefficients, divided into 10 columns and 10 rows by red lines.

Continued exercise (#5.13)

| P | I | D | Set number | Justification |
|-----|---|---|------------|---------------|
| 3 | 0 | 0 | | |
| 5 | 0 | 0 | | |
| 7.5 | 0 | 0 | | |
| 7.5 | 0 | 1 | | |
| 7.5 | 0 | 5 | | |
| 7.5 | 1 | 5 | | |

Table 5: PID coefficients

Continued exercise (#5.13)

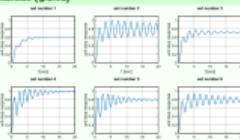


Figure 22: Step responses

Exercise (#5.13)

Given is the system shown in figure 22 with

$$H(s) = \frac{1}{s^2 + s^2 + 15s + 2}$$

and the corresponding step responses for different PID controllers shown in figure 23. The coefficients of the PID controllers are given in table 1. Fill the table.

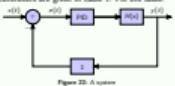


Figure 22: A system

Exercise (#5.14)

Given is a plant with the following system parameters:

$$\mathbf{A} = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 100 & 0 \end{bmatrix} \quad \text{and} \quad \mathbf{B} = \begin{bmatrix} 0 \\ 0.1237 \\ 0 \\ -1.2621 \end{bmatrix}$$

Calculate \mathbf{K} for poles at $s = -8 \pm 6i$ and $-0.4 \pm 0.3i$. Simulate the system for an initial state of $\mathbf{x} = [0 \ 0 \ 0.1 \ 0]^T$ using MATLAB and SIMULINK.

Hint: Useful functions are acker, lsim, zp2tf and ss.

Exercise (#5.14)

Given is a plant with the following system parameters:

$$\mathbf{A} = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 100 & 0 \end{bmatrix} \quad \text{and} \quad \mathbf{B} = \begin{bmatrix} 0 \\ 0.1237 \\ 0 \\ -1.2621 \end{bmatrix}$$

Calculate \mathbf{K} for poles at $\epsilon = -8 \pm j6$ and $-0.4 \pm j0.3i$. Simulate the system for an initial state of $\mathbf{x} = [0 \ 0 \ 0.1 \ 0]^T$ using MATLAB® and Simulink.
Hint: Useful functions are acker, lataa, sp2tf and ss.

Feedback & Control

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Translations

| Englisch | Deutsch |
|--------------------|---------------------------|
| Overshoot | Überschwingweite |
| Settling time | Ausregelzeit |
| Rise time | Anstiegszeit |
| Peak time | $t_{max} - Zeit$ |
| Steady state error | stationäre Regeldifferenz |

Source: Europa-Lehrmittel