University of Science, VNU-HCM Faculty of Information Technology

Data Structure and Algorithm

Sort Algorithms

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Contents

- What is sorting?
- Why care to sort?
- Sorting application
- Sorting Types
- Implement
- Sorting Algorithms

What is sorting?

 Need to arrange groups of people in ascending order of height, how to do this and what the results will be?



What is sorting?



Is it what you think?

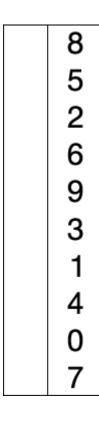
How did you do that?

How many steps can you complete?

What is sorting?

• Sorting is the process of placing the elements of a list in a specified order.

6 5 3 1 8 7 2 4



Why care to sort?

Because:

- Sorting is a fundamental building block in many other algorithms.
- In the history of development, computers spent more time sorting than doing anything else.
 According to [Knu73b], a quarter of all mainframe running cycles are used for sorting.
- Most of the great ideas in designing the algorithm come from sorting like divide-andconquer, random algorithms ...

Knu73b: D. E. Knuth. *The Art of Computer Programming, Volume 3: Sorting and Searching*. Addison-Wesley, Reading MA, 1973.

Try by yourself

- We need to find the greatest number in an array.
- Let's write three different implementations of a function that is $O(N^2)$, $O(N \log N)$, and O(N).

Why care to sort?

\overline{n}	$n^{2}/4$	$n \lg n$
10	25	33
100	$2,\!500$	664
1,000	$250,\!000$	9,965
10,000	25,000,000	132,877
100,000	$2,\!500,\!000,\!000$	1,660,960

Sorting algorithms of different complexity can be performed at very different times.

Searching:

– Binary search allows searching for an item in the list with complexity $O(\log n)$ when the array is sorted. Whereas sequential search takes O(n).

The closest pair:

– Given n numbers, how can I find a pair of numbers with the smallest difference? When sorted, this closest pair will be adjacent to each other in the list, so when searching sequentially, complexity O (n logn) includes sorting.

Check duplicate:

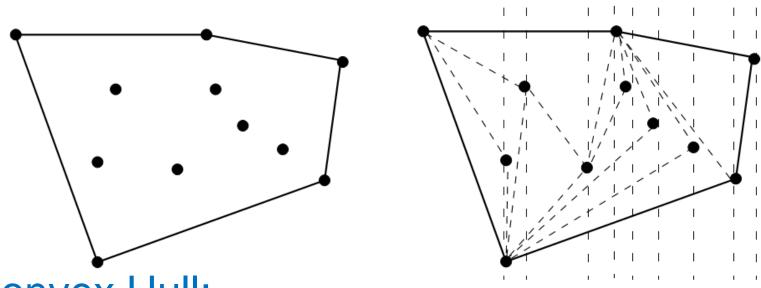
– Need to check whether there are duplicates in the list of n elements? The most effective algorithm is to sort them and traverse them sequentially to check for a zero-distance adjacent pair.

Element frequency:

– For n elements, determine the number of occurrences for each element?

The kth largest element:

– Find the kth largest element in the array?



- Convex Hull:
 - Given n points in two-dimensional space, what is the smallest polygon to contain all of them?
 - Arranges the elements in ascending order by the x coordinate, the left most and right element is definitely on the polygon. Subsequent points are considered based on these points.

- Listing the practical applications that use sort?
 - List of classes by Id, or full name
 - Sort the countries by population
 - Sort results in search engines

— ...

Quiz

Many operations can be performed faster on sorted than on unsorted data. For which of the following operations is this the case?

- a. Checking whether one word is an anagram of another word, e.g., plum and lump
- b. Finding an item with a minimum value
- c. Computing an average of values
- d. Finding the middle value (the median)
- e. Finding the value that appears most frequently in the data

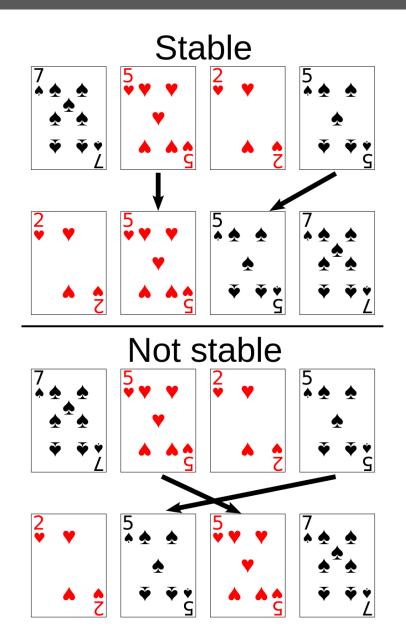
Sorting algorithm structure

- Input:
 - Array A consists of n elements
- Output:
 - A permutation of A such that: $A_0 \le A_1 \le \cdots \le A_{n-1}$ (ascending order)
- Basic operation:
 - Compare
 - Swap (moving two elements)

Sorting Types

In	External sorting		
Comparison sorting Ω(N log N)		Specialized Sorting	
O(N ²)	O(N log N)	O(N)	# of tape accesses
Bubble SortSelectionSortInsertionSortShell Sort	Merge SortQuick SortHeap Sort	Bucket SortRadix Sort	Simple External Merge SortVariations

Sorting Types



Implement

Bubble sort	$\mathcal{O}\left(n^2\right)$	$\mathcal{O}\left(n^2\right)$	$\mathcal{O}\left(1\right)$	Yes	Exchanging
Cocktail sort	_	$\mathcal{O}\left(n^2\right)$	$\mathcal{O}\left(1\right)$	Yes	Exchanging
Comb sort	_	_	$\mathcal{O}\left(1\right)$	No	Exchanging
Gnome sort	_	$\mathcal{O}\left(n^2\right)$	$\mathcal{O}\left(1\right)$	Yes	Exchanging
Selection sort	$\mathcal{O}\left(n^2\right)$	$\mathcal{O}\left(n^2\right)$	$\mathcal{O}\left(1\right)$	No	Selection
Insertion sort	$\mathcal{O}\left(n^2\right)$	$\mathcal{O}\left(n^2\right)$	$\mathcal{O}\left(1\right)$	Yes	Insertion
Shell sort	_	$\mathcal{O}\left(n\log^2 n\right)$	$\mathcal{O}\left(1\right)$	No	Insertion
Binary tree sort	$\mathcal{O}(n\log n)$	$\mathcal{O}(n\log n)$	$\mathcal{O}\left(n\right)$	Yes	Insertion
Library sort	$\mathcal{O}\left(n\log n\right)$	$\mathcal{O}\left(n^2\right)$	$\mathcal{O}\left(n\right)$	Yes	Insertion
Merge sort	$\mathcal{O}(n\log n)$	$\mathcal{O}(n\log n)$	$\mathcal{O}\left(n\right)$	Yes	Merging
-place merge sort	$\mathcal{O}(n\log n)$	$\mathcal{O}(n\log n)$	$\mathcal{O}\left(1\right)$	No	Merging
Heapsort	$\mathcal{O}(n\log n)$	$\mathcal{O}(n\log n)$	$\mathcal{O}\left(1\right)$	No	Selection
Smoothsort		$\mathcal{O}(n\log n)$	$\mathcal{O}\left(1\right)$	No	Selection
Quicksort	$\mathcal{O}(n\log n)$	$\mathcal{O}\left(n^2\right)$	$\mathcal{O}\left(\log n\right)$	No	Partitioning
Introsort	$\mathcal{O}(n\log n)$	$\mathcal{O}\left(n\log n\right)$	$\mathcal{O}\left(\log n\right)$	No	Hybrid
Patience sorting		$\mathcal{O}\left(n^2\right)$	$\mathcal{O}\left(n\right)$	No	Insertion & Selection
Strand sort	$\mathcal{O}(n\log n)$	$\mathcal{O}\left(n^2\right)$	$\mathcal{O}\left(n\right)$	Yes	Selection

Other requirements

- Should be sorted increase or decrease?
- Sort only the key value or an entire record?
 - A record: name, address, phone number, ...
- What to do with duplicate values?
 - Whether it can be viewed as a single key and arranged as usual or grouped together.
- If the data is not numeric?
 - String is arranged in alphabet?

Quiz

The two basic operations in simple sorting are items and _____them (or sometimes _____ them).

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Sorting Algorithms

- Selection Sort
- Insertion Sort
- Interchange Sort
- Bubble Sort
- Shaker Sort
- Binary Insertion Sort

- Shell Sort
- Heap Sort
- Quick Sort
- Merge Sort
- Radix Sort

Selection Sort

Idea:

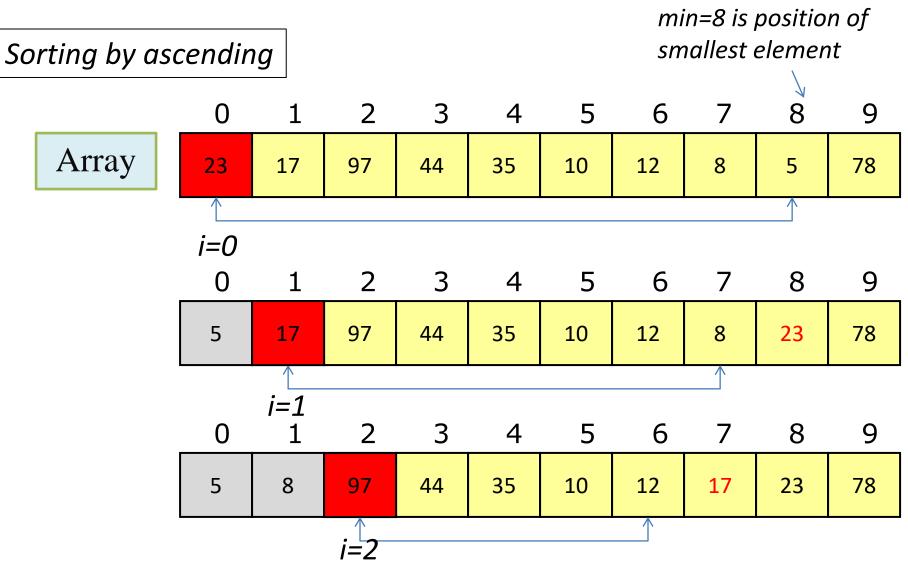
- Finds the element that satisfies the requirements (minimum, maximum ...) from the current position to the end of the array.
- Swap these two elements.

Steps:

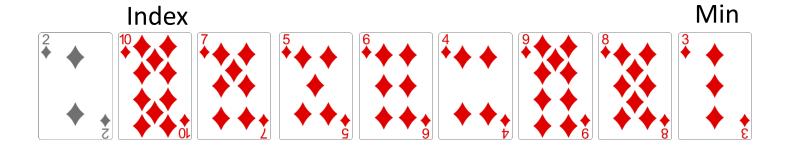
- S1: i = 0;
- S2: Find the position of the min/max element from i to n-1;
- S3: Swap.
- S4: i = i + 1. If i < n-1 go to S2.

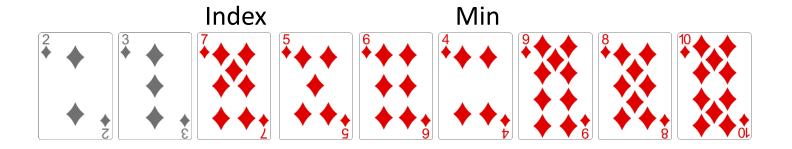
Otherwise, end.

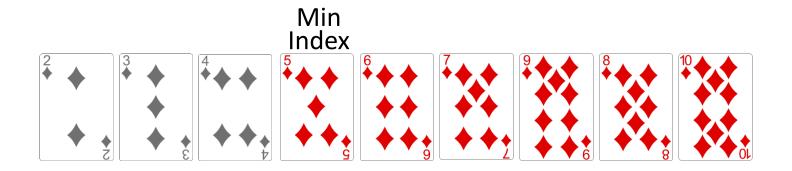
Selection Sort



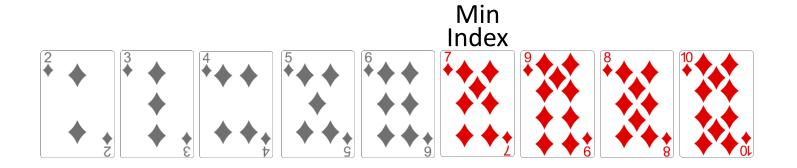


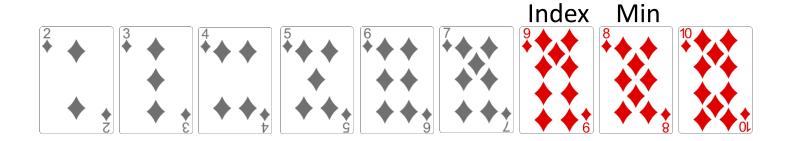


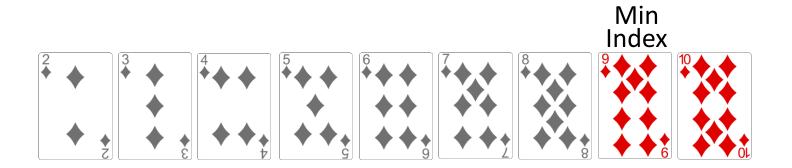


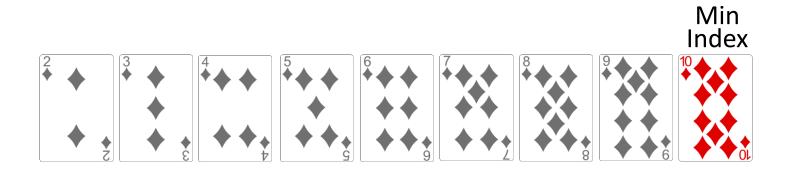


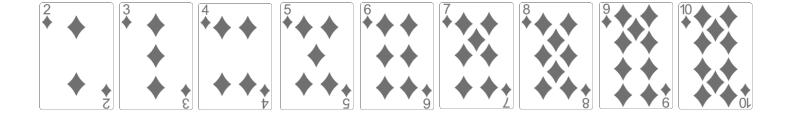


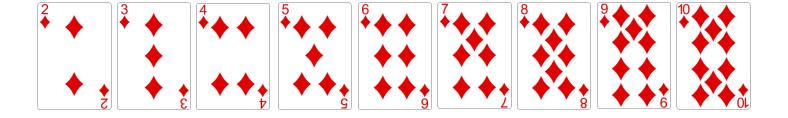












Selection Sort

```
for (int i = 0; i < n -1; i ++){
   int min = i;
   for (int j = i + 1; j < n; j ++)
      if (a[min] > a[j])
            min = j;
   swap (a[i],a[min]);
}
```

Quiz

Suppose you have the following list of numbers to sort:

[11, 7, 12, 14, 19, 1, 6, 18, 8, 20]

Which list represents the partially sorted list after three complete passes of selection sort?

A. [7, 11, 12, 1, 6, 14, 8, 18, 19, 20]

B. [7, 11, 12, 14, 19, 1, 6, 18, 8, 20]

C. [11, 7, 12, 14, 1, 6, 8, 18, 19, 20]

D. [11, 7, 12, 14, 8, 1, 6, 18, 19, 20]

Comments

Advantages:

- Ease of implementation
- In-place sorting (does not require additional space)
- Disadvantage:
 - High complexity: $O(n^2)$

Sorting Algorithms

- Selection Sort
- Insertion Sort
- Interchange Sort
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- Shaker Sort
- Binary Insertion Sort

- Shell Sort
- Heap Sort
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- Merge Sort
- Radix Sort

Insertion Sort

Idea:

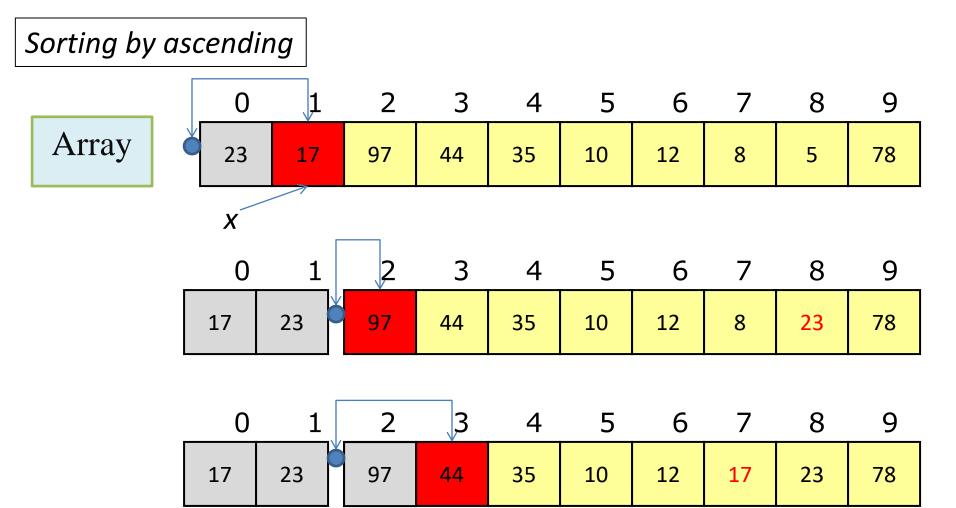
Suppose that $a_0, ..., a_i$ has the order, find the position to insert element a_{i+1} into that sequence such that it still has order.

Steps:

- S1: i = 1; (a[0] sorted because there is only 1 element).
- S2: x = a[i];
- S3: Find position pos to insert x into the array from a [0] to a [i-1];
- S4: Move the elements from a [pos] to a [i-1] to the right by 1 position to accommodate the insertion of x in this pos position.
- S5: a[pos] = x;
- S6: i = i + 1; If i < n, go to S2.

Otherwise, go to end.

Insertion Sort



Insertion Sort

Sorting by ascending

```
for (i \leftarrow 1 \text{ to } n-1) \text{ do}
   x \leftarrow a[i];
   pos \leftarrow i -1;
   while (pos \ge 0 \&\& a[pos] > x) do
          a[pos + 1] = a [pos];
          pos \leftarrow pos - 1;
   end while
   a[pos + 1] = x;
end for
```

Exercise

In the insertion sort, after an item is inserted in the partially sorted group, it will

a. never be moved again.

b. never be shifted to the left.

c. often be moved out of this group.

d. find that its group is steadily shrinking.

Quiz

Suppose you have the following list of numbers to sort:

[15, 5, 4, 18, 12, 19, 14, 10, 8, 20]

Which list represents the partially sorted list after three complete passes of insertion sort?

A. [4, 5, 12, 15, 14, 10, 8, 18, 19, 20]

B. [15, 5, 4, 10, 12, 8, 14, 18, 19, 20]

C. [4, 5, 15, 18, 12, 19, 14, 10, 8, 20]

D. [15, 5, 4, 18, 12, 19, 14, 8, 10, 20]

Comments

Advantages:

- Ease of implementation
- In-place sorting (does not require additional space)
- Real-time sorting, data may be incomplete or coming, but the array is still sortable.

Disadvantage:

- High complexity: $O(n^2)$

Sorting Algorithms

- Selection Sort
- Insertion Sort
- Interchange Sort
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- Shell Sort
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- Radix Sort

Interchange Sort

Idea:

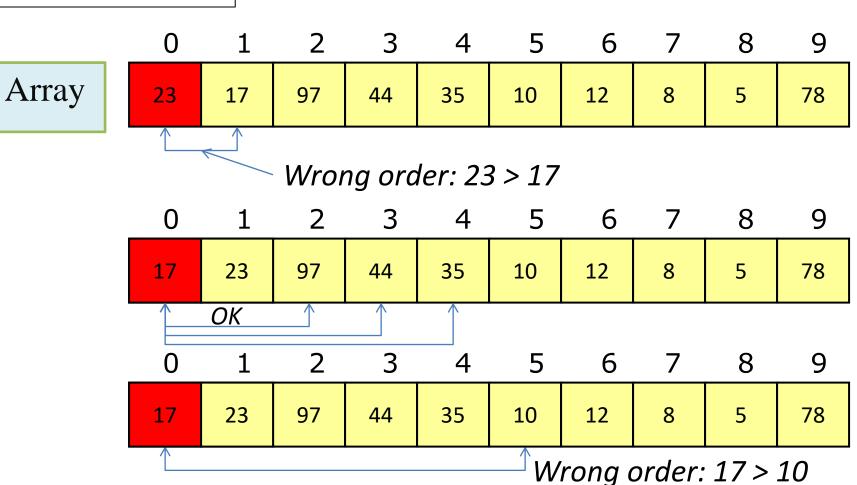
- For each position in the array a, swap with the following elements if in wrong order.

Steps:

- S1: i = 0;
- S2: Go through each element j following i;
- S3: If a[i] and a[j] are in the wrong order, swap them;
- S4: i = i + 1;
 If i < n-1, go back to S2.
 Otherwise, go to end.

Interchange Sort

Sorting by ascending



Interchange Sort

Sorting by ascending

```
for (i \leftarrow 0 \text{ to n-2}) do

for (j \leftarrow i+1 \text{ to n-1}) do

if (a[i] > a[j]) then a[i] \leftrightarrow a[j]

end

end
```

Sorting Algorithms

- Selection Sort
- Insertion Sort
- Interchange Sort
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Bubble Sort

<u>Idea:</u> small values "bubble" up to the top of list

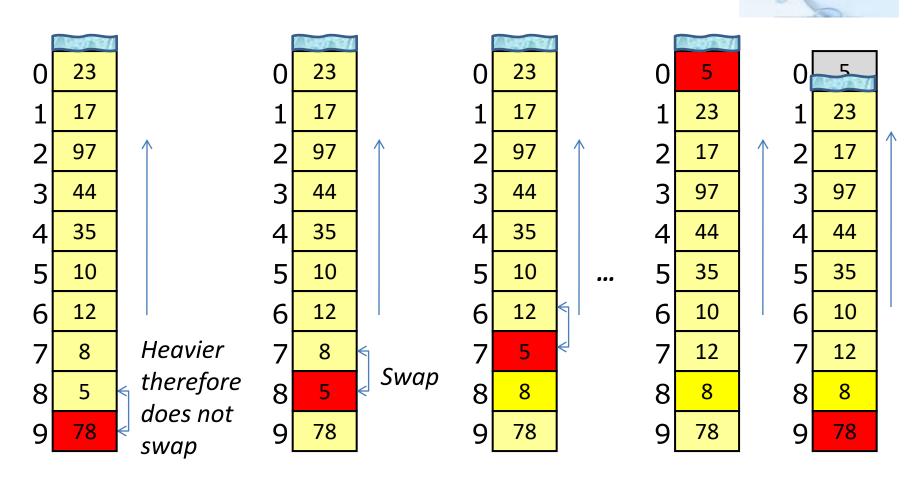
- Begin from the end of the array, in turn, swap two adjacent elements if they are in the wrong order.
- The lightest element will float to the top of the array, the next step doesn't take it into account.

Steps:

- S1: i = 0; //the floating surface
- S2: j = n-1; //begin from end of the array
- S3: If a[i] and a[j-1] are in the wrong order, swap them; //bubble
- S4: j = j -1; If j > i, go back to S3. // "bubble" up to the top of list
- S5: i = i + 1; If i < n-1, go back to S2.
 - Otherwise, go to end.

Bubble Sort

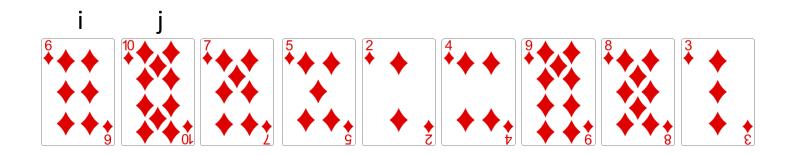
Sorting by ascending

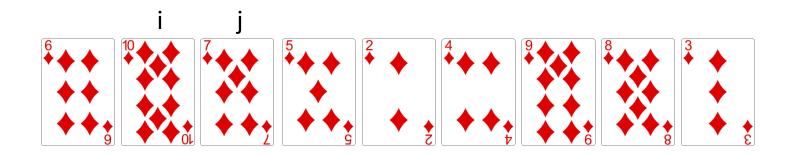


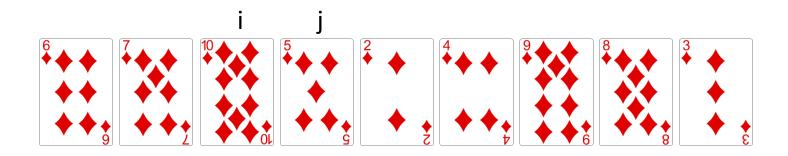
Bubble Sort

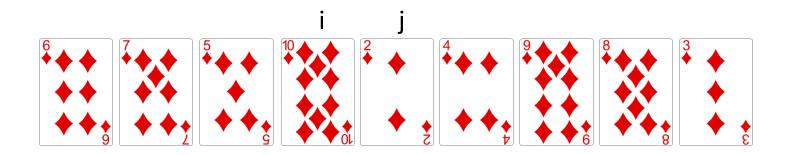
Sorting by ascending

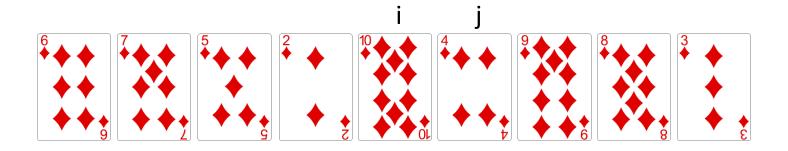
```
for (i \leftarrow0 to n-2) do
for (j \leftarrown-1 to i+1) do
if (a[j-1] > a[j]) then a[j-1] \leftrightarrow a[j]
end
end
```

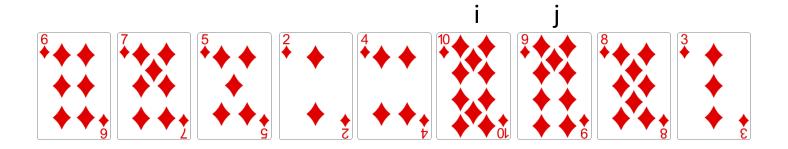


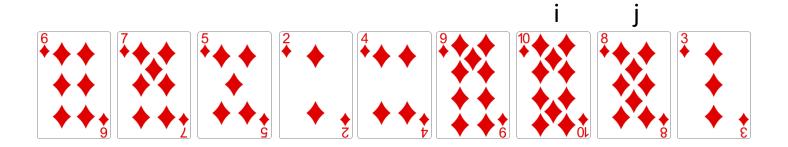


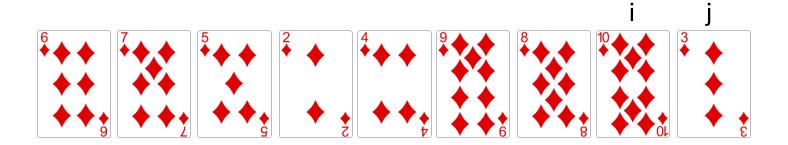


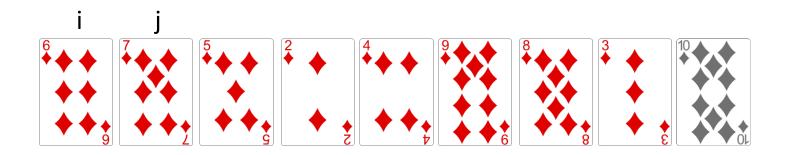


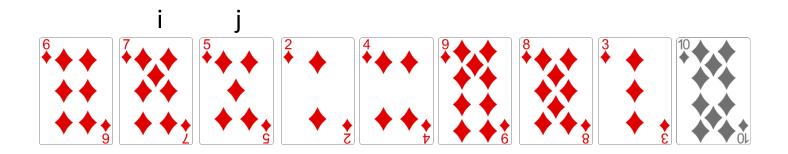


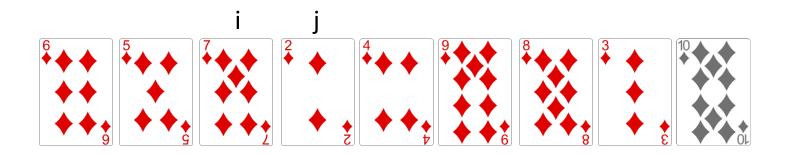


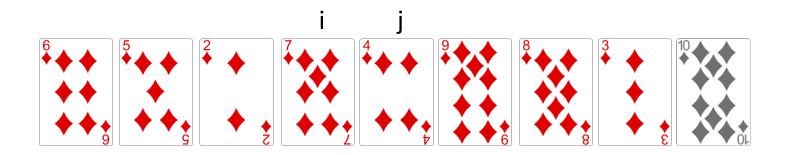


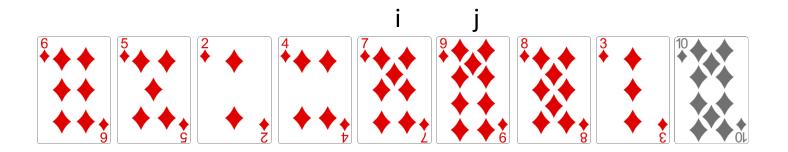


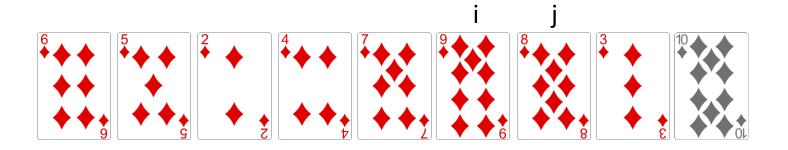


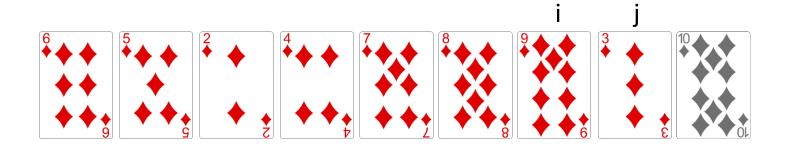


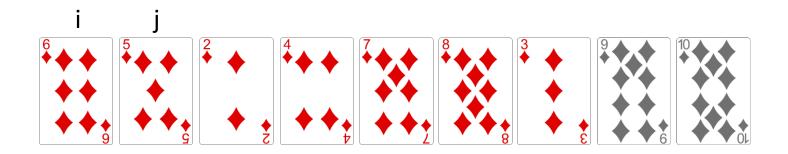


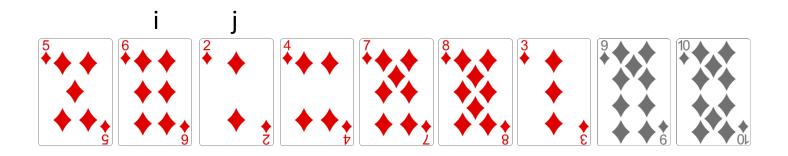


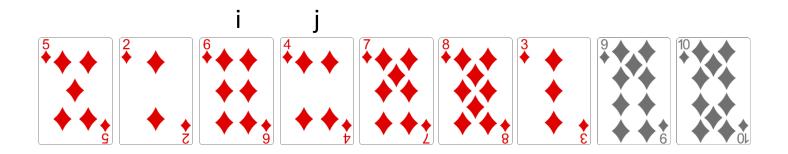


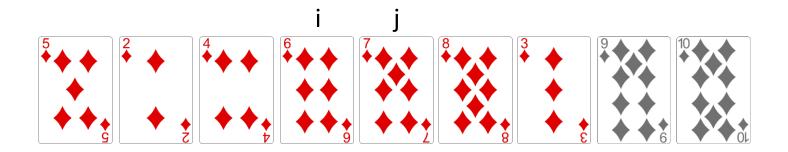


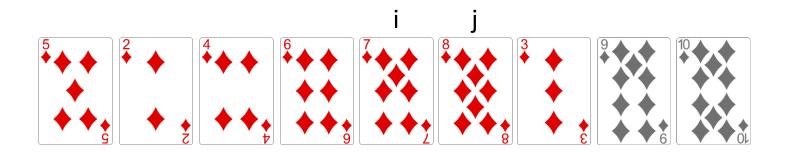


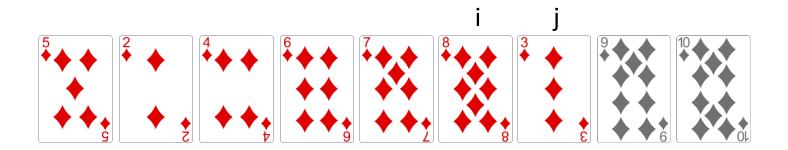


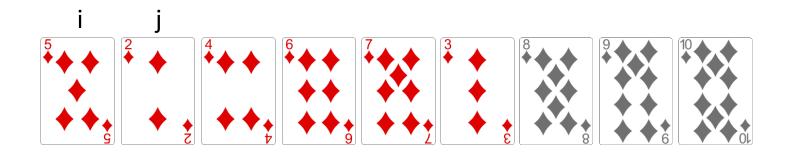


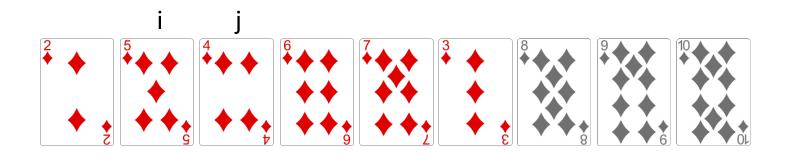


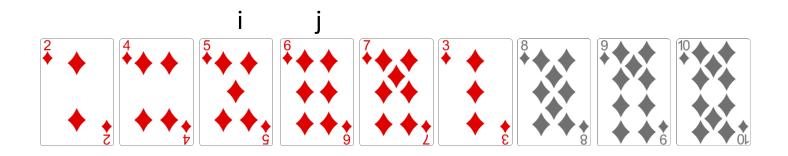


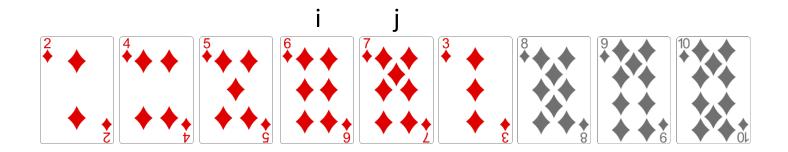


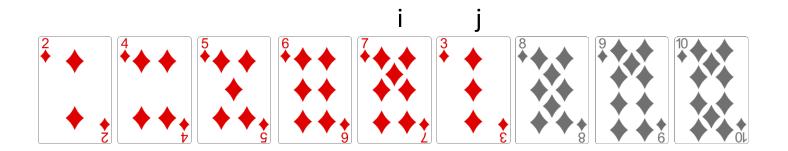


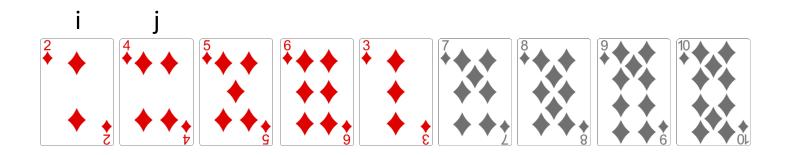


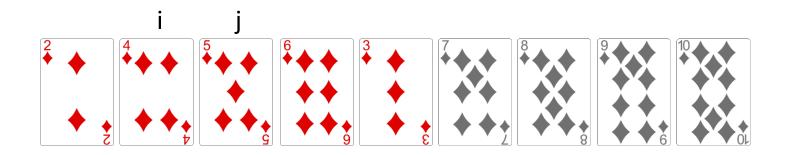


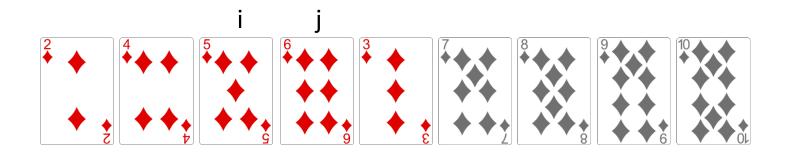


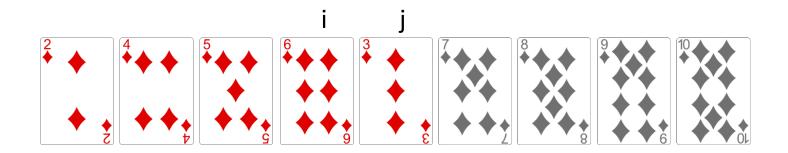


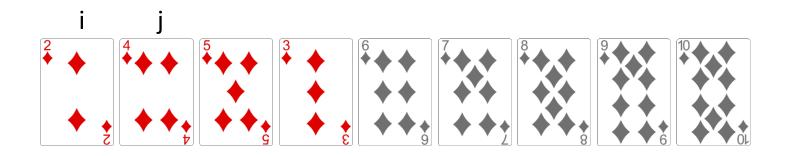


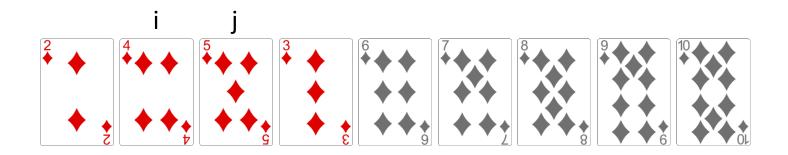


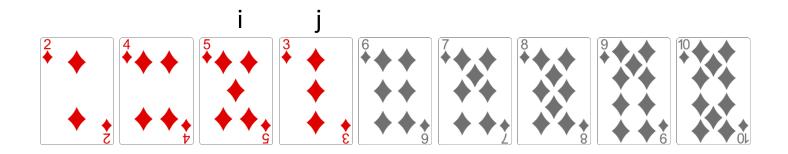


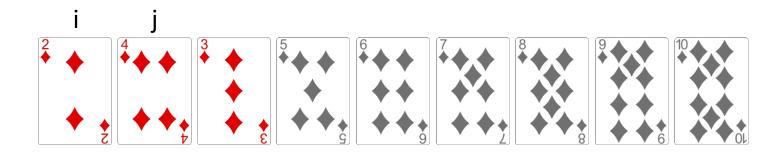


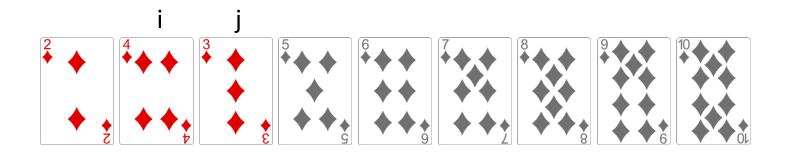


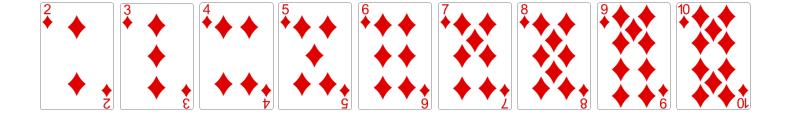












Quiz

 In our implementation of bubble sort, a sorted array was scanned bottom-up to bubble up the smallest element. What modifications are needed to make it work top-down to bubble down the largest element?

Comments

- Advantage:
 - Ease of implementation
- Disadvantage:
 - High complexity: $O(n ^ 2)$, even in the best case
 - → improved algorithm: let the surface drop to position where the last swapping occurs.

Sorting Algorithms

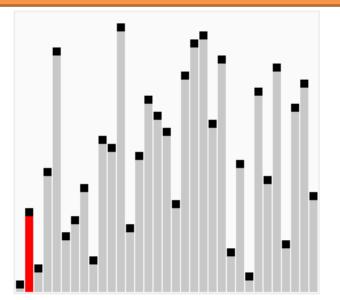
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Bidirectional Bubble Sort, Cocktail Sort.

<u>Idea:</u> the light elements will float, the heavy ones will sink

- Similar to Bubble sort, but in addition to sinking heavy elements.
- There are also improvements, reducing redundant comparisons by:
 - + The floating surface for the next stage will be where the last floating swapping occurred.
 - + The sink bottom for the next stage will be where the last sink swapping occurs.



Steps:

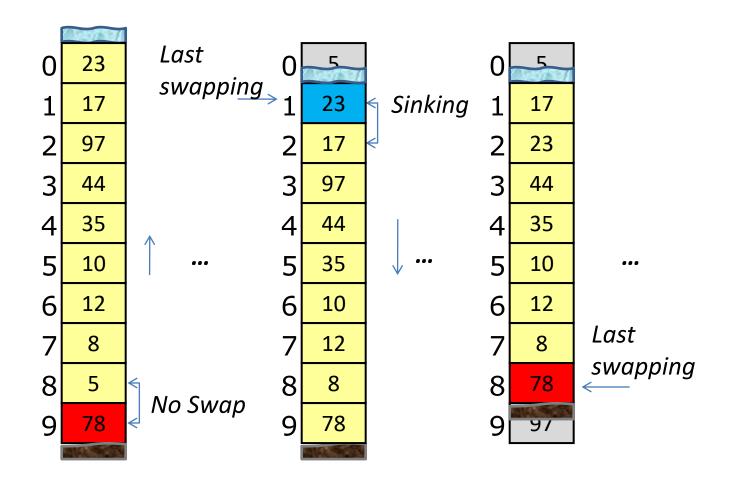
```
- S1: surface = 0; //the floating surface
      bottom = n-1; //the sinking bottom
               //saves the location where the last swapping occurred
      k = n-1;
- S2: j = bottom; //push the light element up from the bottom
    - S2a: If a[i] and a[i-1] are in wrong order, swap them; //floating
                 and k = j; // save where the permutation occurs
      S2b: j = j - 1; If j > surface, go to S2. // floating to the surface
  S3: surface = k; // the new surface is the last swapping because the previous
  sequence is ordered
  S4: j = surface;
    - S4a: If a[j] and a[j+1] are in wrong order, swap them; //sinking
                 and k = i;

    S4b: j = j +1; If j < bottom, go to S4.</li>

    S5: bottom = k;
```

S6: If surface < bottom, go to S2. Otherwise, go to end.

Sorting by ascending



Sorting by ascending

```
surface \leftarrow 0; bottom \leftarrow n-1; k \leftarrow n-1;
while (surface < bottom) do
   for (j \leftarrow bottom to surface +1) do
            if (a[j-1] > a[j]) then
                         a[j-1] \leftrightarrow a[j];
                         k \leftarrow j;
            end if
    surface \leftarrow k;
    for (j \leftarrow \text{surface to bottom-1}) do
            if (a[j] > a[j+1]) then
                         a[j+1] \leftrightarrow a[j];
                         k \leftarrow j;
            end if
    bottom \leftarrow k;
end while
```

Sorting Algorithms

- Selection Sort
- Insertion Sort
- Interchange Sort
- Bubble Sort
- Shaker Sort
- Binary Insertion Sort

- Shell Sort
- Heap Sort
- Quick Sort
- Merge Sort
- Radix Sort

Idea:

- When finding the smallest element in step i, the insertion sort does not take advantage of the information obtained by the comparisons in step i-1.
- Use Heap tree to solve the above problem.

Heap Tree:

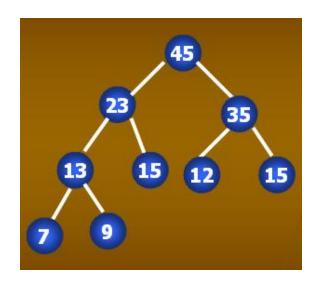
- Heap is a binary tree: if B is a child of A then key $(B) \le \text{key }(A)$, often referred to as max-heap. The reverse comparison is called the minheap.
- Consider the case of ascending order and counting from 0 then a_1 , $a_{l+1},...,a_r$ is heap structure if $\forall i \in [1,r]$:
 - $+ a_i \ge a_{2i+1}$ (left child)
 - $+ a_i \ge a_{2i+2}$ (right child)

In this case, (a_i, a_{2i+1}) and (a_i, a_{2i+2}) are sibling.

Heap

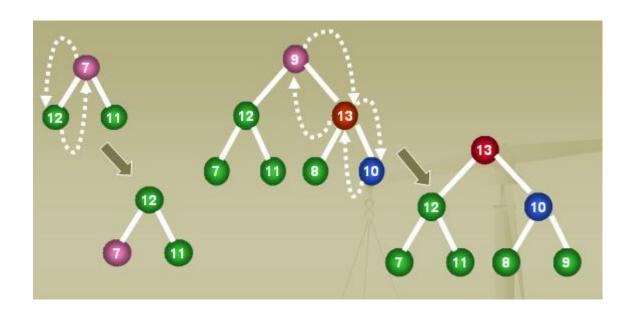
Max-Heap:

- Each array a can be seen as a binary tree with the root as the beginning of the array a[0].
- The left child of vertex a[i] is a[2 * i + 1], the right child of vertex a[i] is a[2 * i + 2] if 2 * i + 1 <= n.</p>
- \rightarrow elements have index $i > \left\lfloor \frac{n}{2} \right\rfloor$ will not have children, called leaves
- Child nodes always have a smaller value than their parent.

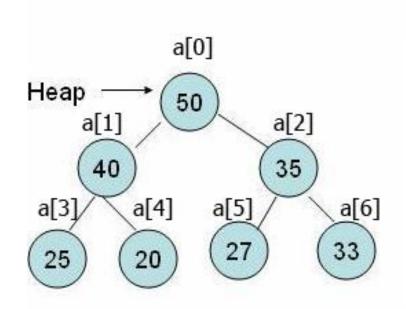


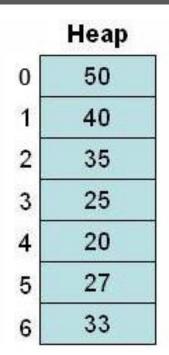
Heapify

 Sorting elements of the original array so that it becomes heap is called heapify.



Heap properties





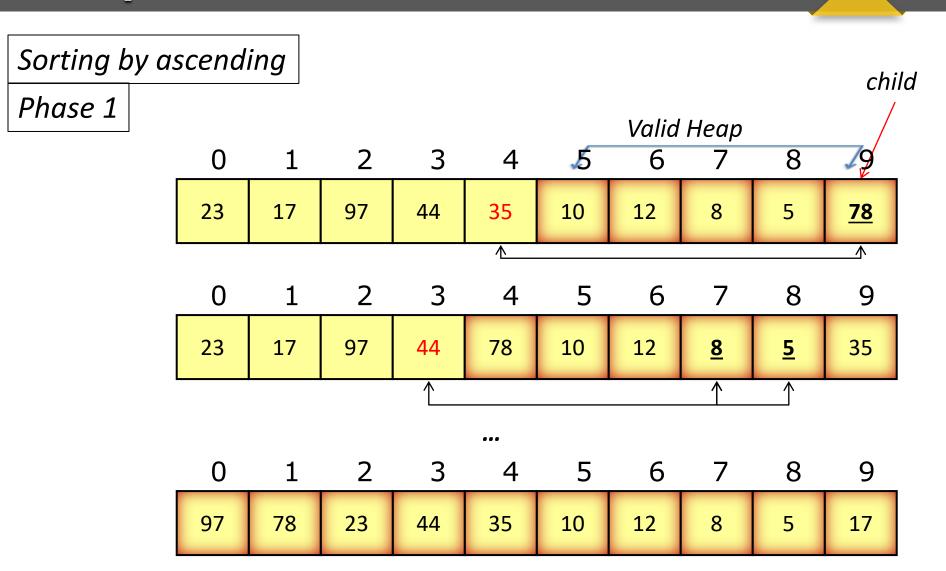
Heap properties:

- [1] If $a_1, a_{l+1}, ..., a_r$ is heap, $a_i, a_{i+1}, ..., a_i$ $(1 \le i \le j \le r)$ is also a heap.
- [2] If $a_1, a_{l+1}, \ldots, a_r$ is heap, a_l is always the largest element (max-heap).
- [3] All sub-array of $a_1, a_{l+1}, ..., a_r$ with $i > \frac{r}{2}$ is always heap.

Algorithm: consists of 2 phases

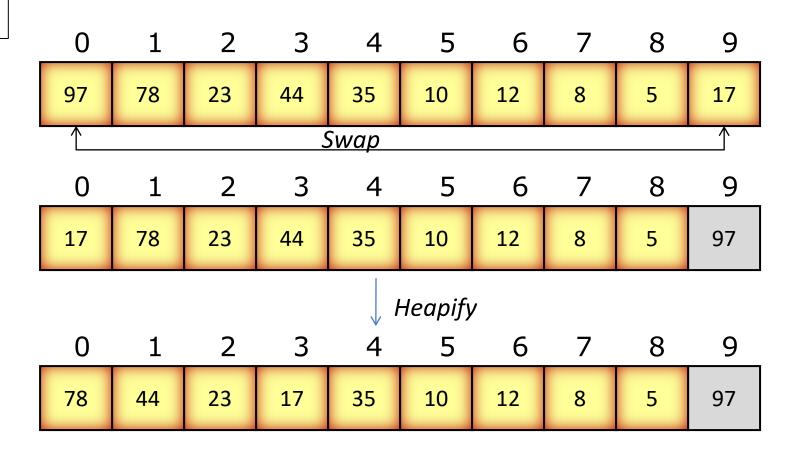
- Phase 1: Modify the original array to the heap.
- Phase 2: Sort arrays based on heap.
 - + S1: Swap the largest element and the last element in array;
 - + S2: Removes the last element from the heap, modifying the rest to the heap.
 - + S3: If the heap has an element, then repeat S1. Otherwise, go to end.

Note: Based on the third property, we can deduce $a_{(n-1)/2+1}, \ldots, a_{n-1}$ is always a heap, thus adding the elements in turn $a_{(n-1)/2}, \ldots, a_0$ and modifying them to valid heap.



Sorting by ascending

Phase 2



```
Sorting by ascending
function HeapSort(...)
  CreateHeap(...); //phase 1
  //phase 2
  for (i \leftarrow n-1 \text{ to } 1) do
         a[0] \leftrightarrow a[i];
         siftdown(a, 0, i-1); //heapify on the reduced heap
  end for
end function
function CreateHeap(...)
  //elements from (n-1)/2+1 to end of array satisfy heap
  for (i \leftarrow (n-1)/2 \text{ to } 0) do
         siftdown(a, i, n-1);
                                      //heapify
  end for
end function
```

Sorting by ascending

```
function siftdown(a, left, right)
  p \leftarrow 2*left + 1;
                                     //position of left child
  if (p > right) then return;
  end if
  if (a[p] < a[p+1]) then
                                     //left child smaller than right child
         p \leftarrow p + 1;
  end if
  if (a[left] < a[p]) then
         a[left] \leftrightarrow a[p]; //swap
         siftdown(a, p,right); //recursively heapify the affected sub-tree
  end if
end function
```

Comments

- Advantages:
 - Low complexity: O(nlogn)
- Disadvantage:
 - Although the complexity is lower than the Quick Sort, the implementation of the Quick Sort is better.

Sorting Algorithms

- Selection Sort
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- Shaker Sort
- Binary Insertion Sort

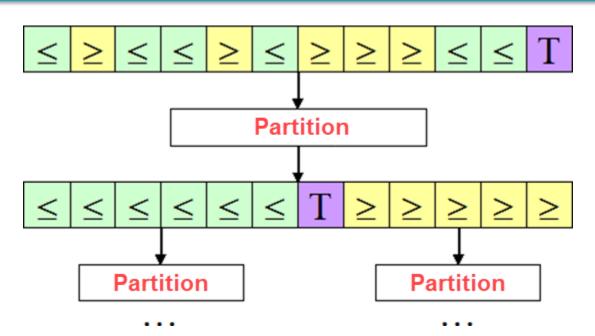
- Shell Sort
- Heap Sort
- Quick Sort
- Merge Sort
- Radix Sort

Quick Sort

This is called divide-and-conquer.

Idea:

- Partition the original array into two arrays: the first sub-array consists of elements less than x, and the second sub-array consists of elements greater than x. (x is an optional element in the sequence)
- The partitioning process will be repeated on each sub-array until the sub-array has only 1 element.



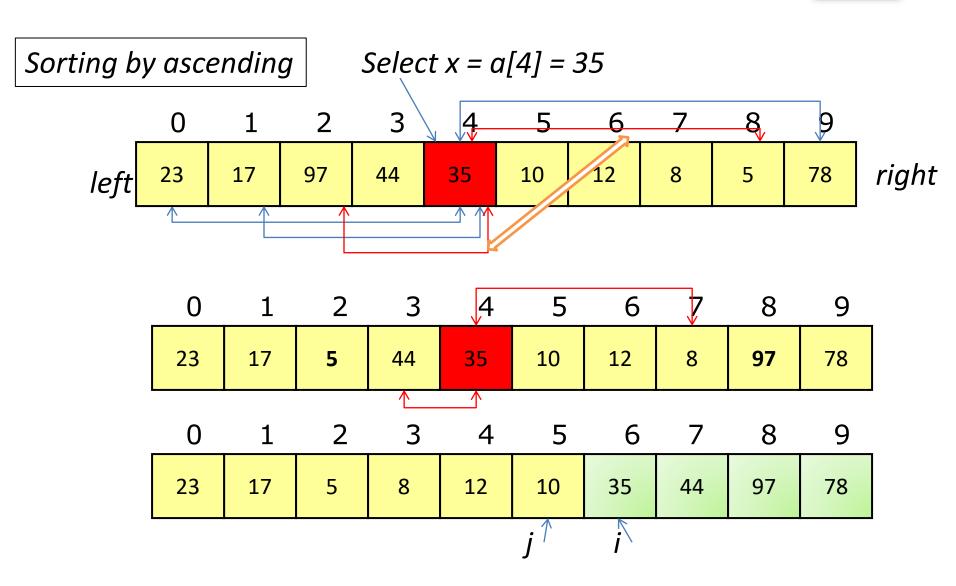
117

Quick Sort

Steps:

- S1: Selects any element in the array as the partition value. i = left; j = right-1;
- S2: Find and correct pairs of elements a[i], a[j] in the wrong place.
 - S2a: While (a[i] < x) i++;
 - S2b: While (a[j] > x) j--;
 - S2c: If $i \le j$, swap (a[i], a[j]);
- S3: If $i \le j$, go back to S2.
- S4: Recursively call to partition the left sub-array (left, j).
- S5: Recursively call to partition the right sub-array (i, right).

Quick Sort



Quick Sort

Sorting by ascending

```
function QuickSort(a,left,right)
   i \leftarrow left; j \leftarrow right;
   while (i \le j) do
          while (a[i] < x) do i \leftarrow i+1; end while
          while (a[j] > x) do j \leftarrow j-1; end while
          if (i \le j) then
                     a[i] \leftrightarrow a[j];
                     i \leftarrow i+1; j \leftarrow j-1;
          end if
   end while
   if (left < j) then QuickSort(a,left,j); end if
   if (i < right) then QuickSort(a,i,right); end if
end function
```

Quiz

Given the following list of numbers

[14, 17, 13, 15, 19, 10, 3, 16, 9, 12]

Which answer shows the contents of the list after the second partitioning according to the quicksort algorithm?

A. [9, 3, 10, 13, 12]

B. [9, 3, 10, 13, 12, 14]

C. [9, 3, 10, 13, 12, 14, 17, 16, 15, 19]

D. [9, 3, 10, 13, 12, 14, 19, 16, 15, 17]

Quiz

- Suppose an array A consists of n elements, each of which is red, green, or blue.
- We seek to sort the elements so that all the reds come before all the greens, which come before all the blues.
- The only operation permitted on the keys are
 - Examine(A,i) report the color of the ith element of A.
 - Swap(A,i,j) swap the ith element of A with the jth element.
- Find a correct and efficient algorithm for redgreen-blue sorting. There is a linear time solution.

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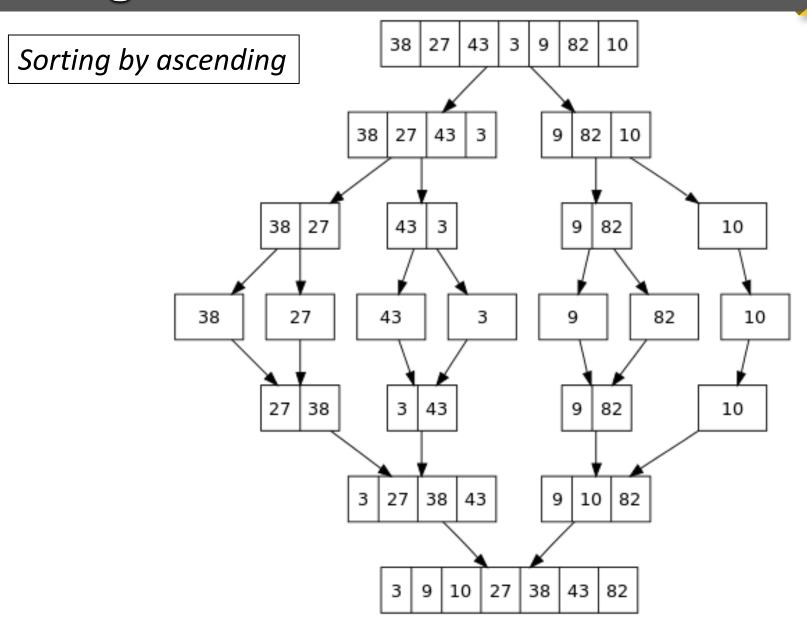
Idea:

- Partition the original array into two sub arrays. Repeat partitioning on the sub array until the array has 1 element. (top-down)
- Merge each pair of sub arrays into an array in order and repeat for its two parent arrays, until the original array size is reached. (bottom-up)

6 5 3 1 8 7 2 4

Steps:

- S1: mid = (1+r)/2;
- S2: Split array a into 2 subarrays b, c
- S3: If the subarray b, c has more than 2 elements, then repeat S2.
- S4: Merge two child arrays to get an ordered parent array. Repeat until the array is full of elements.



```
function MergeSort(a[],I,r,temp[])

if (r-I <2) return;

mid = (I+r)/2;

MergeSort(a,I,mid,temp); //divide and merge the left sequence

MergeSort(a,mid, r, temp); //divide and merge the right sequence

Merge(a, I, mid, r, temp); //merge the split halves

CopyArray(temp, I,r,a); //copy back to array a

end function
```

```
Sorting by ascending
function Merge(a[], I, mid, r, temp)
   iLeft \leftarrow I; iRight \leftarrow mid; //The element begins at each child sequence
   for (j \leftarrow l; j < r; j++) do
          if ( iLeft < mid && (iRight >= r || a[iLeft] <= a[iRight])) then
                    temp[i] \leftarrow a[iLeft];
                     iLeft++;
          else
                    temp[j] \leftarrow a[iRight];
                     iRight++;
          end if
   end for
end function
```

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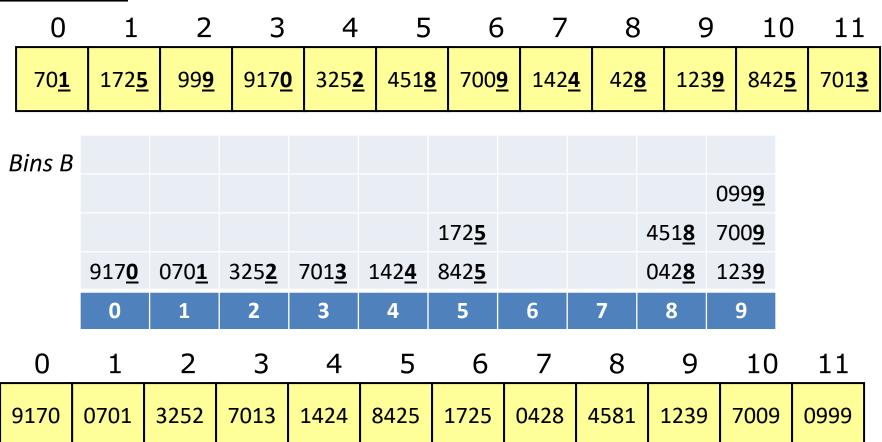
Idea:

- Suppose each element x in the array a_0, \ldots, a_{n-1} is an integer with up to m digits.
- Sort the elements in turn according to its number of units, tens, hundreds

Steps:

- S1: k = 0; //sort according to the unit digit
- S2: Initialize 10 empty bins $B_0,...,B_9$ (stack-like).
- S3: Place the elements in array a into bins B_t with t is the number in its k^{th} digit.
- S4: Collect the numbers in bins B in order to construct new array a.
- S5: k = k+1; If k<m, go back to S2; Otherwise, go to end.





Tens digit ...

329	720	720	329
457	355	329	355
657	436	436	436
839 ->	457 ->	839 ->	457
436	657	355	657
720	329	457	720
355	839	657	839

Sort by ascending

```
Init B[0,...9];
for (t \leftarrow 0 \text{ to m-1}) do
   for (i \leftarrow 0 \text{ to n-1}) do
          Add a[i] to B[Digit(a[i],t)];
   end for
   for (i \leftarrow 0 \text{ to } 9) do
           Retrieve the elements from B [j] into a;
   end for
end for
```

Exercise

 Sort 123,435,678, 8765,324,23,4,56 using radix sort

