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# , Introduction

 $_{8}$  This is a citation test [HK11].

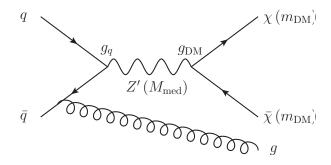


Figure 2.1: The diagram shows the pair production of dark matter particles in association with a parton from the initial state via an s-channel vector or axial-vector mediator. The process if specified by  $(M_{\rm med}, m_{\rm DM}, g_{\rm DM}, g_q)$ , the mediator and dark matter masses, and the mediator couplings to dark matter and quarks respectively.

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## List of simplified models: choices and implementation

- General topics:
- choice of Dark Matter type: Dirac (unless specified otherwise) and what we might be missing
- MFV and what we might be missing
- <sup>15</sup> 2.1 Generic models for mono-jet signatures
- 2.1.1 Vector and axial vector mediator, s-channel exchange
- There are several matrix element implementations of the s-channel
- vector mediated DM production. This is available in POWHEG,
- MADGRAPH and also MCFM. The implementation in POWHEG
- generates DM pair production with 1 parton at next-to-leading or-
- <sup>21</sup> der (NLO), whilst MADGRAPH and MCFM are at leading order
- (LO). As shown in POWHEG Ref. [HKR13], including NLO correc-
- tions result in an enhancement in the cross section as compared to
- LO and though this is not significant, it does lead to a substantial
- reduction in the dependence on the choice of the renormalization
- 26 and factorization scale and hence the theoretical uncertainty on the
- 27 signal prediction. Since NLO calculations are available for the pro-
- cess in POWHEG, we recommend to proceed with POWHEG as the
- 29 generator of choice.

- $_{30}$  We consider the case of a dark matter particle that is a Dirac
- 31 fermion and where the production proceeds via the exchange of a
- $_{32}$  spin-1 s-channel mediator. We consider the following interactions
- $_{\mbox{\tiny 33}}$  between the DM and SM fields including a vector mediator with:
  - (a) vector couplings to DM and SM,
  - (b) axial-vector couplings to DM and SM.

The corresponding Lagrangians are

$$\mathcal{L}_{\text{vector}} = \sum_{q} g_{q} Z'_{\mu} \bar{q} \gamma^{\mu} q + g_{\text{DM}} Z'_{\mu} \bar{\chi} \gamma^{\mu} \chi \tag{2.1}$$

$$\mathcal{L}_{\text{axial-vector}} = \sum_{q} g_{q} Z'_{\mu} \bar{q} \gamma^{\mu} \gamma^{5} q + g_{\text{DM}} Z'_{\mu} \bar{\chi} \gamma^{\mu} \gamma^{5} \chi \tag{2.2}$$

- where the coupling extends over all the quarks and universal cou-
- plings are assumed for all the quarks. It is also possible to consider
- another model in which mixed vector and axial-vector couplings
- are considered, for instance the couplings to the quarks are vector
- whereas those to DM are axial-vector. As a starting point, we con-
- sider only the models with the vector couplings only and axial vector
- 42 couplings only.

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- We assume that no additional visible or invisible decays contribute
- to the width of the mediator, this is referred to as the minimal width
- and it is defined as follows for the vector and axial-vector models.

$$\Gamma_{\min} = \Gamma_{\bar{\chi}\chi} + \sum_{q} \Gamma_{\bar{q}q} \tag{2.3}$$

- where the individual contributions to this from the partial width are
- 47 from

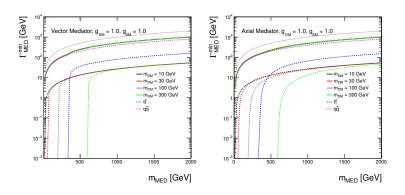
$$\Gamma_{\bar{\chi}\chi}^{V} = \frac{g_{\rm DM}^{2} M_{\rm med}}{12\pi} \left( 1 + \frac{2m_{\rm DM}^{2}}{M_{\rm med}^{2}} \right) \sqrt{1 - \frac{4m_{\rm DM}^{2}}{M_{\rm med}^{2}}}$$
(2.4)

$$\Gamma_{\bar{q}q}^{V} = \frac{3g_q^2 M_{\text{med}}}{12\pi} \left( 1 + \frac{2m_q^2}{M_{\text{med}}^2} \right) \sqrt{1 - \frac{4m_q^2}{M_{\text{med}}^2}}$$
(2.5)

$$\Gamma_{\bar{\chi}\chi}^{A} = \frac{g_{\rm DM}^{2} M_{\rm med}}{12\pi} \left( 1 - \frac{4m_{\rm DM}^{2}}{M_{\rm med}^{2}} \right)^{3/2} \tag{2.6}$$

$$\Gamma_{\bar{q}q}^{A} = \frac{3g_q^2 M_{\text{med}}}{12\pi} \left( 1 - \frac{4m_q^2}{M_{\text{med}}^2} \right)^{3/2} .$$
(2.7)

- Note the color factor 3 in the quark terms. Figure 2.2 shows the min-
- imal width as a function of mediator mass for both vector and axial-
- vector mediators assuming couplings of 1. With this choice of the
- couplings, the dominant contribution to the minimal width comes
- 52 from the quarks due to the color factor enhancement.



The simplified models described here have four free parameters: mediator mass  $M_{\rm med}$ , Dark Matter mass  $m_{\rm DM}$ , coupling of the mediator to quarks  $g_q$  and coupling of the mediator to Dark Matter  $g_{\rm DM}$ . In order to determine an optimal choice of the parameter grid for presentation of the early Run-2 results, dependencies of the kinematic quantities and cross sections on the individual parameters need to be studied. The following paragraphs list the main observations from the scans over the parameters that support the final proposal for the parameter grid.

Scan over the couplings Figure 2.3 reveals there are no differences in the shape of the  $E_T$  distribution among the samples where the pair of 63 10 GeV Dark Matter particles are produced on-shell from the media-64 tor of 1 TeV, generated with different choice of the coupling strength. 65 The considered coupling values range from 0.1 to 1.45, where the latter value approximates the maximum allowed coupling value, holding  $g_q = g_{\rm DM}$ , such that  $\Gamma_{\rm min} < M_{\rm med}$ . Based on similar plots for different choices of mediator and Dark Matter masses, it is concluded that the shapes of kinematic distributions are not altered neither for the on-shell Dark Matter production where  $M_{\rm med} > 2m_{\rm DM}$ , nor for 71 the off-shell Dark Matter production where  $M_{\rm med} < 2m_{\rm DM}$ . Only the cross sections change. Differences in kinematic distributions are expected only close to the transition region where both on-shell and 74 off-shell regimes mix. 75

The only place where special care needs to be taken are extremely heavy and narrow mediators, in other words with low couplings. Figure 2.4 suggests a change in the shape of the  $E_T$  distribution for 5 TeV mediator once  $\Gamma_{\min}/M_{\mathrm{med}}$  gets down to the order of percent or below. This, however, does not come from physics as it is a feature of the generator implementation, where a cutoff for the regions far away from the mediator mass is often used. This is illustrated in Fig. 2.5 showing the invariant mass of the Dark Matter pair in the samples generated for 7 TeV mediator with different coupling strength. In

Figure 2.2: Minimal width as a function of mediator mass for vector and axial-vector mediator assuming couplings of 1. The total width is shown as solid lines for Dark Matter masses of 10 GeV, 30 GeV, 100 GeV and 300 GeV in black, red, brown and green, respectively. The individual contributions from Dark Matter are indicated by dotted lines with the same colors. The contribution from all quarks but top is shown as magenta dotted line and the contribution from top quarks only is illustrated by the dotted blue line. The dotted black line shows the extreme case  $\Gamma_{\min} = M_{\text{med}}$ .

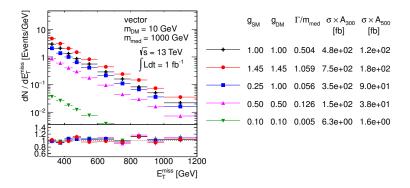


Figure 2.3: Scan over couplings. The  $E_T$  distribution is compared for the vector mediator models using the parameters as indicated. Ratios of the normalized distributions with respect to the first one are shown.  $A_{300}$  and  $A_{500}$  in the table denote the acceptance of the  $E_T > 300\,\text{GeV}$  and  $E_T > 500\,\text{GeV}$  cut, respectively.

all cases, it is expected to observe a peak around the mediator mass with a tail extending to  $m_{\bar{\chi}\chi} \to 0$ , significantly enhanced by parton distribution functions at low Bjorken x. For coupling strength 1 and 87 3, the massive enhancement at  $m_{\bar{\chi}\chi} \to 0$  implies the resonant production at  $m_{\bar{\chi}\chi} = 7 \text{ TeV}$  is statistically suppressed such that barely any events are generated there. However, for narrower mediators with couplings below 1, the peak around 7 TeV is clearly visible in 91 the generated sample and the dominant tail at  $m_{\bar{\chi}\chi} \to 0$  is artificially cut off, leading to unphysical cross section predictions and kinematic shapes. This explains why the sample with the narrowest mediator in 94 Fig. 2.4 is heavily suppressed in terms of production cross section and 95 also gives different  $E_T$  shape. In general, for such extreme parameter choices the EFT model should give the correct answer. In case the 97 simplified model calculation does not reproduce the EFT result, the 98 phase space generation of the simplified model has to be carefully ex-99 amined in order to understand the cause of the problem. Fortunately, this is a rather academic discussion as such extreme corners of the 101 parameter space are not going to be considered for presentation of 102 Run-2 results.

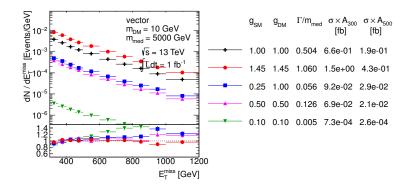


Figure 2.4: Scan over couplings. The  $E_T$  distribution is compared for the vector mediator models using the parameters as indicated. Ratios of the normalized distributions with respect to the first one are shown.  $A_{300}$  and  $A_{500}$  in the table denote the acceptance of the  $E_T > 300\,\text{GeV}$  and  $E_T > 500\,\text{GeV}$  cut, respectively.

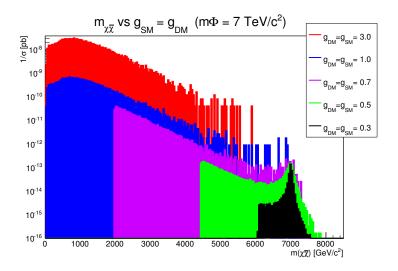


Figure 2.5: Invariant mass of the Dark Matter pair in the samples with  $M_{\rm med}=7\,{\rm TeV}$  and different coupling strengths.

Scan over the Dark Matter mass For the fixed mediator mass and cou-104 plings, both the cross section and the kinematic distributions remain 105 similar for different Dark Matter masses as long as  $M_{\text{med}} > 2m_{\text{DM}}$ . This is illustrated in Fig. 2.6 on an example of 1 TeV mediator and 107 Dark Matter mases ranging from 10 GeV to 300 GeV. It is observed 108 that the cross section decreases as the Dark Matter mass reaches 109 closer to  $M_{\text{med}}/2$ . Once the Dark Matter pair is produced off-line, 110 the cross section of such simplified model is suppressed and the  $E_T$ 111 spectrum hardens, as demonstrated with the choice of 1 TeV Dark 112 Matter in the same plot. Figure 2.7 reveals the  $E_T$  spectrum hardens further with increasing Dark Matter mass, accompanied by the grad-114 ual decrease of the cross section. From these observations one can 115 conclude:

- A coarse binning along  $m_{\rm DM}$  is sufficient at  $M_{\rm med} \gg 2m_{\rm DM}$ .
- Finer binning is needed in order to capture the changes in the cross section and kinematic quantities close to the production threshold on both sides around  $M_{\rm med} = 2m_{\rm DM}$ .
- Due to the significant cross section suppression of the off-shell Dark Matter pair production, it is not necessary to populate the parameter space  $M_{\rm med} \ll 2m_{\rm DM}$  since the LHC is not going to be able to probe the models there.

Scan over the mediator mass Changing the mediator mass for fixed Dark Matter mass and couplings leads to significant differences in cross section and shapes of the kinematic variables for  $M_{\rm med} > 2m_{\rm DM}$  as shown in Fig. 2.8. As expected, higher mediator masses lead to harder  $E_T$  spectra. On the other hand, the  $E_T$  shapes are similar



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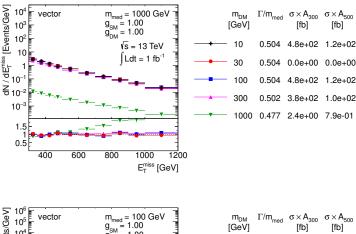


Figure 2.6: Scan over Dark Matter mass. The  $E_T$  distribution is compared for the vector mediator models using the parameters as indicated. Ratios of the normalized distributions with respect to the first one are shown.  $A_{300}$  and  $A_{500}$  in the table denote the acceptance of the  $E_T > 300\,\text{GeV}$  and  $E_T > 500\,\text{GeV}$  cut, respectively.

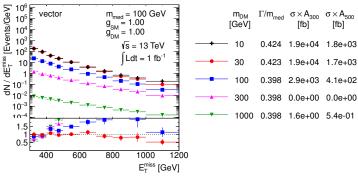


Figure 2.7: Scan over Dark Matter mass. The  $\mathcal{E}_T$  distribution is compared for the vector mediator models using the parameters as indicated. Ratios of the normalized distributions with respect to the first one are shown.  $A_{300}$  and  $A_{500}$  in the table denote the acceptance of the  $\mathcal{E}_T > 300\,\text{GeV}$  and  $\mathcal{E}_T > 500\,\text{GeV}$  cut, respectively.

in the off-shell Dark Matter production regime as well as no dramatic differences in cross sections are observed, which is illustrated in Fig. 2.9. Therefore, a coarse binning along  $m_{\rm DM}$  is sufficient at  $M_{\rm med} \ll 2m_{\rm DM}$ .

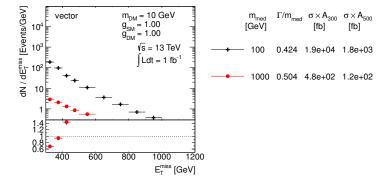


Figure 2.8: Scan over mediator mass. The  $\not\! E_T$  distribution is compared for the vector mediator models using the parameters as indicated. Ratios of the normalized distributions with respect to the first one are shown.  $A_{300}$  and  $A_{500}$  in the table denote the acceptance of the  $\not\! E_T > 300\,\text{GeV}$  and  $\not\! E_T > 500\,\text{GeV}$  cut, respectively.

Proposed parameter grid Based on the observations above, the following proposal is made for the presentation of the early Run-2 results from the LHC:

(a) Give results in the  $M_{\text{med}}$ – $m_{\text{DM}}$  plane for a particular choice of the couplings.

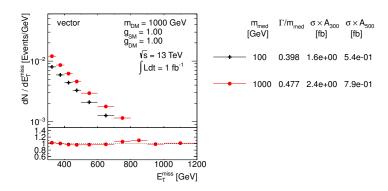


Figure 2.9: Scan over mediator mass. The  $E_T$  distribution is compared for the vector mediator models using the parameters as indicated. Ratios of the normalized distributions with respect to the first one are shown.  $A_{300}$  and  $A_{500}$ in the table denote the acceptance of the  $\not\!\!E_T > 300 \, \text{GeV}$  and  $\not\!\!E_T > 500 \, \text{GeV}$  cut, respectively.

(b) Give results in the  $g_q$ – $g_{DM}$  plane for a particular choice of the masses.

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We choose to display the results in the  $M_{\text{med}}$ - $m_{\text{DM}}$  plane for the 141 choice of the couplings  $g_q = g_{DM} = 1$ . In order to motivate the highest mediator mass grid point, the expected sensitivity of Run-2 143 LHC data needs to be taken into account. The expected upper limit 144 at 95% confidence level on the product of cross section, acceptance 145 and efficiency,  $\sigma \times A \times \epsilon$ , in the final Run-1 ATLAS mono-jet anaylsis [A<sup>+</sup>15] is 51 fb and 7.2 fb for  $E_T > 300$  GeV and  $E_T > 500$  GeV, 147 respectively. The ATLAS 14 TeV prospects [ATL14] predict twice bet-148 ter sensitivity with the first 5 fb<sup>-1</sup> of data already. Given the cross section for V+jets processes increases by roughly factor 2 when go-150 ing from  $\sqrt{s}=8\,\text{TeV}$  to 13 TeV, similar fiducial cross section limits 151 can be expected with the first Run-2 data as from the final Run-1 152 analysis. The generator level cross section times the acceptance at  $E_T > 500 \,\text{GeV}$  for the model with couplings  $g_q = g_{\text{DM}} = 1$ , light Dark 154 Matter of 10 GeV and 1 TeV vector mediator is at the order of 100 fb, 155 i.e. the early Run-2 mono-jet analysis is going to be sensitive to heavier mediators than this. The value of  $\sigma \times A$  at  $\not\!E_T > 500 \,\text{GeV}$  for 5 TeV 157 vector mediator is at the order of 0.1 fb, therefore this model proba-158 bly lies beyond the reach of the LHC. Based on these arguments, the 159 following  $M_{\text{med}}$  grid points are chosen, equidistant in the logarithmic scale: 10 GeV, 30 GeV, 100 GeV, 300 GeV, 1000 GeV and 3000 GeV. Given 161 the fact that significant changes in cross section happen around the 162  $M_{\text{med}} = 2m_{\text{DM}}$  threshold, the  $m_{\text{DM}}$  grid points are taken at  $M_{\text{med}}/2$ , namely: 5 GeV, 15 GeV, 50 GeV, 150 GeV, 500 GeV and 1500 GeV. The 164 detailed studies of the impact of the parameter changes on the cross 165 section and kinematic distributions presented earlier in this section support removing some of the grid points and rely on interpolation. The optimised grids proposed for the vector and axial-vector media-168 tors are given in Fig. 2.10, containing 24 mass points each. 169

The presentation of the results in the  $g_q$ – $g_{DM}$  plane for fixed masses benefits from cross section scaling and is discussed in Sec-

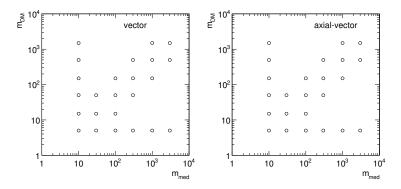


Figure 2.10: Proposed parameter grid for vector and axial-vector mediator in the  $M_{\rm med}$ – $m_{\rm DM}$  plane.

72 tion 2.1.3.

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#### 2.1.2 Scalar and pseudoscalar mediator, s-channel exchange

The matrix element implementation of the s-channel spin-o mediated DM production is available in POWHEG with the full top-loop calculation at LO [HR15]. The model assumes Dirac Dark Matter particles and is based on the minimal flavor violation (MFV), which motivates Higgs-like Yukawa couplings of the mediator to the Standard Model quarks. No other couplings, such as to leptons, are allowed in this model. The following two cases are considered:

- (a) scalar couplings to DM and SM,
- (b) pseudo-scalar couplings to DM and SM with the corresponding Lagrangians written as:

$$\mathcal{L}_{\text{scalar}} = g_q \sum_{q} \frac{m_q}{7} (\bar{q}q) S + g_{\text{DM}}(\bar{\chi}\chi) S$$
 (2.8)

$$\mathcal{L}_{\text{pseudo-scalar}} = g_q \sum \frac{m_q}{v} (\bar{q} \gamma^5 q) P + g_{\text{DM}} (\bar{\chi} \gamma^5 \chi) P$$
 (2.9)

(2.10)

where  $v=246\,\text{GeV}$  denotes the Higgs vacuum expectation value. We choose to consider minimal mediator width given by Eq. 2.3, where the individual contributions follow from

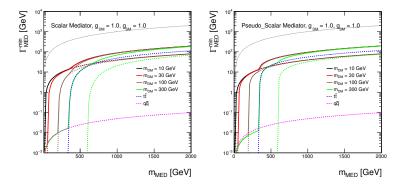
$$\Gamma_{\bar{\chi}\chi}^{S} = \frac{g_{\rm DM}^{2} M_{\rm med}}{8\pi} \left( 1 - \frac{4m_{\rm DM}^{2}}{M_{\rm med}^{2}} \right)^{3/2}$$
(2.11)

$$\Gamma_{\bar{q}q}^{S} = \frac{3g_q^2 M_{\text{med}}}{8\pi} \frac{m_q^2}{v^2} \left( 1 - \frac{4m_q^2}{M_{\text{med}}^2} \right)^{3/2}$$
 (2.12)

$$\Gamma_{\bar{\chi}\chi}^{P} = \frac{g_{\rm DM}^{2} M_{\rm med}}{8\pi} \sqrt{1 - \frac{4m_{\rm DM}^{2}}{M_{\rm med}^{2}}}$$
(2.13)

$$\Gamma_{\bar{q}q}^{P} = \frac{3g_q^2 M_{\text{med}}}{8\pi} \frac{m_q^2}{v^2} \sqrt{1 - \frac{4m_q^2}{M_{\text{med}}^2}} \ . \tag{2.14}$$

The minimal width for scalar and pseudo-scalar mediators with 184  $g_q = g_{DM} = 1$  are shown in Fig. 2.11, illustrating the effect of the 185 Higgs-like Yukawa couplings. For the mediator masses above twice the top quark mass  $m_t$ , the minimal width receives the dominant 187 contribution from the top quark. For lighter mediator masses, Dark 188 Matter dominates as the couplings to lighter quarks are Yukawa suppressed. Note that we decide to ignore the partial width coming from gluons through loops as it can be safely neglected [HR15]. 191



Similarly as in the case of the vector and axial-vector mediators, scans in the paramater space are performed also for the scalar and pseudo-scalar mediators in order to decide on the optimised parameter grid for the presentation of Run-2 results. Figures?? show the scans over the couplings, Dark Matter mass and mediator mass and the same conclusions apply as in Section 2.1.1.

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Since the top quark gives the dominant contribution to the mediator width due to Higgs-like Yukawa couplings, the effect of the top channel opening in the mediator production was studied in addition. Scan over the mediator mass is shown in Fig. 2.16 where the mediator masses 300 GeV and 500 GeV are chosen to be below and above  $2m_t$ . The off-shell Dark Matter production regime is assumed by taking  $m_{\rm DM}=1\,{\rm TeV}$  in order to allow studying solely the effects of the couplings to quarks. No differences in the kinematic distributions are observed and also the cross sections remain similar in this case. Therefore, it is concluded that no significant changes appear for mediator masses around the  $2m_t$  threshold.

The optimized parameter grid in the  $M_{\text{med}}$ - $m_{\text{DM}}$  plane for scalar and pseudo-scalar mediators is motivated by similar arguments as in the previous section. Therefore, similar pattern is followed here, taking again  $g_q = g_{DM} = 1$ . Only the sensitivity to the highest mediator masses has to be revisited. The generator level cross section times the acceptance at  $E_T > 500 \,\text{GeV}$  for the model with couplings  $g_q = g_{\rm DM} = 1$ , light Dark Matter of 10 GeV and 500 GeV scalar mediator is at the order of 10 fb, i.e. just at the edge of the early Run-2

Figure 2.11: Minimal width as a function of mediator mass for scalar and pseudo-scalar mediator assuming couplings of 1. The total width is shown as solid lines for Dark Matter masses of 10 GeV, 30 GeV, 100 GeV and 300 GeV in black, red, brown and green, respectively. The individual contributions from Dark Matter are indicated by dotted lines with the same colors. The contribution from all quarks but top is shown as magenta dotted line and the contribution from top quarks only is illustrated by the dotted blue line. The dotted black line shows the extreme case  $\Gamma_{\min} = M_{\text{med}}$ .

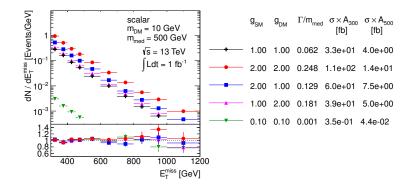


Figure 2.12: Scan over couplings. The  $E_T$  distribution is compared for the scalar mediator models using the parameters as indicated. Ratios of the normalized distributions with respect to the first one are shown.  $A_{300}$  and  $A_{500}$  in the table denote the acceptance of the  $E_T > 300\,\text{GeV}$  and  $E_T > 500\,\text{GeV}$  cut, respectively.

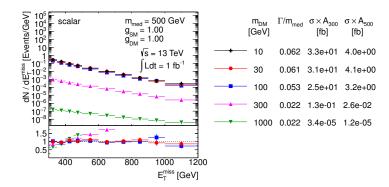


Figure 2.13: Scan over Dark Matter mass. The  $E_T$  distribution is compared for the scalar mediator models using the parameters as indicated. Ratios of the normalized distributions with respect to the first one are shown.  $A_{300}$  and  $A_{500}$  in the table denote the acceptance of the  $E_T > 300\,\text{GeV}$  and  $E_T > 500\,\text{GeV}$  cut, respectively.

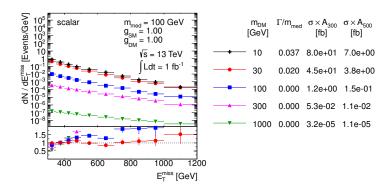


Figure 2.14: Scan over Dark Matter mass. The  $E_T$  distribution is compared for the scalar mediator models using the parameters as indicated. Ratios of the normalized distributions with respect to the first one are shown.  $A_{300}$  and  $A_{500}$  in the table denote the acceptance of the  $E_T > 300\,\text{GeV}$  and  $E_T > 500\,\text{GeV}$  cut, respectively.

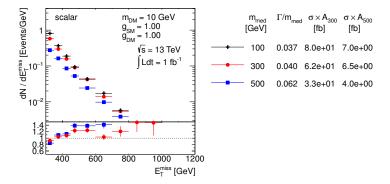


Figure 2.15: Scan over mediator mass. The  $E_T$  distribution is compared for the scalar mediator models using the parameters as indicated. Ratios of the normalized distributions with respect to the first one are shown.  $A_{300}$  and  $A_{500}$  in the table denote the acceptance of the  $E_T > 300 \, \text{GeV}$  and  $E_T > 500 \, \text{GeV}$  cut, respectively.

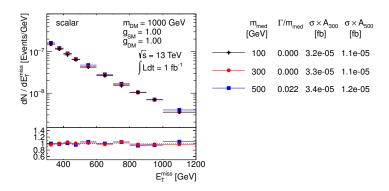


Figure 2.16: Scan over mediator mass. The  $E_T$  distribution is compared for the scalar mediator models using the parameters as indicated. Ratios of the normalized distributions with respect to the first one are shown.  $A_{300}$  and  $A_{500}$ in the table denote the acceptance of the  $\not\!E_T > 300 \,\text{GeV}$  and  $\not\!E_T > 500 \,\text{GeV}$  cut, respectively.

sensitivity. Increasing the mediator mass to 1 TeV pushes the prod-217 uct  $\sigma \times A$  down to approximately 0.1 fb, beyond the LHC sensitivity. Therefore, we choose to remove the 3 TeV mediator mass from the 219 grid and present the final grid with 19 mass points only in Fig. 2.17.

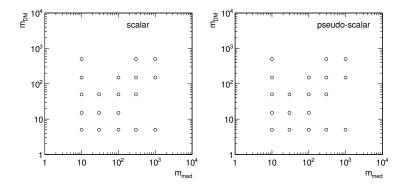


Figure 2.17: Proposed parameter grid for scalar and pseudo-scalar mediator in the  $M_{\text{med}}$ – $m_{\text{DM}}$  plane.

The proposal for the scan in the  $g_q$ – $g_{DM}$  plane is described in the 221 following section.

#### Cross section scaling

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The aim of the parameter grid optimization is to find out whether certain parts of the parameter space can be omitted and one can rely on the neighboring grid points in order to populate the missing parts. There are two ways of doing this:

- Interpolation is used in-between the grid points that are close enough such that finer granularity is not needed for the presentation purposes, or between the points where smooth or no changes of the results are expected. The latter argument is exactly the one that motivates the reduction of the grid points in the  $M_{\text{med}}$ – $m_{\text{DM}}$ plane.
- Recalculation of the results can be used when the dependencies 234 with respect to the neighboring grid points are known.

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The results of the scan over the couplings presented in the previous sections indicate there are no changes in kinematic distributions for different choices of the coupling strengths. This means that the acceptance remains the same in the whole  $g_q$ – $g_{\rm DM}$  plane and it is sufficient to perform the detector simulation only for one single grid point. The resulting truth-level selection acceptance and the detector reconstruction efficiency can then be applied to all remaining grid points in the  $g_q$ – $g_{\rm DM}$  plane where only the generator-level cross section needs to be known. This significantly reduces the computing time as the detector response is by far the most expensive part of the Monte Carlo sample production. However, a further step can be taken if a parameterization of the cross section dependence from one grid point to another exists, in which case the number of generated samples can be reduced even further.

Let us now elaborate on a cross section scaling procedure. The propagator on the s-channel exchange is written in a Breit-Wigner form as  $\frac{1}{\sqrt{s}-M_{\rm med}^2+iM_{\rm med}\Gamma}$ . The relative size of the center-of-mass energy defined by the two partons entering the hard process and the mediator mass allows to classify the production in the following way:

- off-shell production when  $\sqrt{s} \gg M_{\rm med}$  leading to suppressed cross sections,
- on-shell production when  $\sqrt{s} \sim M_{
  m med}$  leading to enhanced cross sections,
  - effective field theory (EFT) limit when  $\sqrt{s} \ll M_{\rm med}$ .

All three categories can be distinguished in Fig. 2.18 showing the upper limit on the interaction scale  $M^* \equiv M_{\rm med}/\sqrt{g_qg_{\rm DM}}$  for vector mediator. In the case of the off-shell production and the EFT limit, the first term in the propagator dominates which reduces the dependence on the mediator width. Therefore, in these cases one can approximate the cross section as

$$\sigma \propto g_q^2 g_{\rm DM}^2. \tag{2.15}$$

The on-shell production regime is the most interesting one as it gives the best chances for a discovery at the LHC given the cross section enhancement. The propagator term with the width cannot be neglected in this case and, in the narrow width approximation, one can integrate

$$\int \frac{ds}{(s - M_{\text{med}}^2)^2 + M_{\text{med}}^2 \Gamma^2} = \frac{\pi}{M_{\text{med}} \Gamma}$$
 (2.16)

which further implies the cross section scaling

$$\sigma \propto \frac{g_q^2 g_{\rm DM}^2}{\Gamma}.$$
 (2.17)

Since  $\Gamma \sim g_q^2 + g_{\rm DM}^2$ , one can simplify this rule in the extreme cases as follows

$$\sigma \propto \frac{g_q^2 g_{\rm DM}^2}{g_q^2 + g_{\rm DM}^2} \xrightarrow{g_q \ll g_{\rm DM}} g_q^2 \qquad (2.18)$$

$$\sigma \propto \frac{g_q^2 g_{\rm DM}^2}{g_q^2 + g_{\rm DM}^2} \xrightarrow{g_q \gg g_{\rm DM}} g_{\rm DM}^2 . \qquad (2.19)$$

$$\sigma \propto \frac{g_q^2 g_{\rm DM}^2}{g_q^2 + g_{\rm DM}^2} \xrightarrow{g_q \gg g_{\rm DM}} g_{\rm DM}^2$$
 (2.19)

However, it is important to keep in mind that there is no simple 262 scaling rule for how the cross section changes with the Dark Matter 263 mass, mediator mass and the mediator width because PDFs matter in such cases as well. Therefore, the scaling procedure outlined above is 265 expected to work only for fixed masses and fixed mediator width.

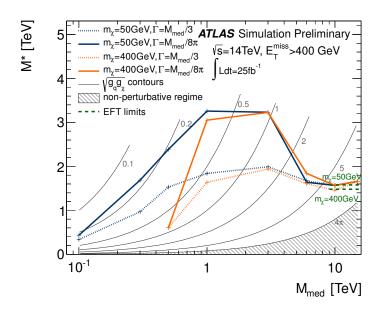


Figure 2.18: Comparison of the 95% CL lower limits on the scale of the interaction of a Z'-like simplified model at 14 TeV, in terms of the mediator mass. Corresponding limits from EFT models are shown on the same plot as green dashed lines to show equivalence between the two models for high mediator masses. Taken from Ref. [ATL14].

Figures 2.19 and 2.20 show the minimal width in the  $g_q$ – $g_{\rm DM}$  plane for all vector, axial-vector, scalar and pseudo-scalar mediators for  $M_{\rm med} = 100 \,\text{GeV}$  and 1000 GeV, respectively, taking  $m_{\rm DM} = 10 \,\text{GeV}$ . The individual colors indicate the lines of constant width along which the cross section scaling works. For vector and axial-vector mediators, the minimal width is predominantly defined by  $g_q$  due to the number of quark flavors and the color factor. On the contrary, both the Standard Model and Dark Matter partial width have comparable contributions in case of scalar and pseudo-scalar mediators if the top quark channel is open ( $M_{\text{med}} > 2m_t$ ). However, mostly  $g_{\rm DM}$  defines the minimal width for  $M_{\rm med} < 2m_t$  due to the Yukawasuppressed light quark couplings.

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The performance of the cross section scaling is demonstrated in Fig. 2.21 where the mass point  $M_{\rm med} = 1 \, \text{TeV}$  and  $m_{\rm DM} = 10 \, \text{GeV}$  is

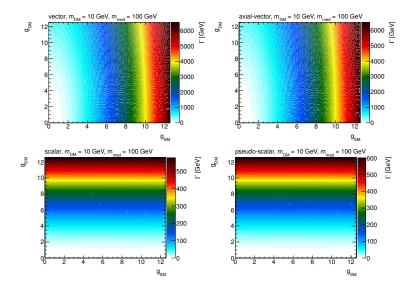


Figure 2.19: Minimal width for vector, axial-vector, scalar and pseudo-scalar mediators as a function of the individual couplings  $g_q$  and  $g_{\rm DM}$ , assuming  $M_{\rm med}=100\,{\rm GeV}$  and  $m_{\rm DM}=10\,{\rm GeV}$ .

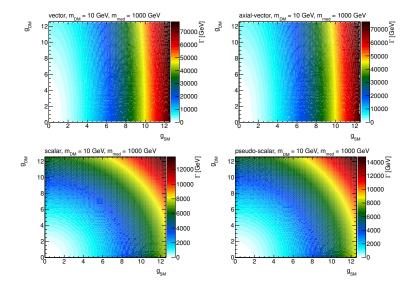


Figure 2.20: Minimal width for vector, axial-vector, scalar and pseudo-scalar mediators as a function of the individual couplings  $g_q$  and  $g_{\rm DM}$ , assuming  $M_{\rm med}=1\,{\rm TeV}$  and  $m_{\rm DM}=10\,{\rm GeV}$ .

chosen and rescaled from the starting point  $g_q = g_{DM} = 1$  accord-281 ing to Eq. 2.17 to populate the whole  $g_q$ – $g_{\rm DM}$  plane. This means the 282 width is not kept constant in this test and this is done in purpose in order to point out deviations from the scaling when the width is 284 altered. For each mass point, the rescaled cross section is compared 285 to the generator cross section and the ratio of the two is plotted. For the given choice of the mass points, the scaling seems to work approximately with the precision of  $\sim 20\%$  in the region where 288  $\Gamma_{\rm min} < M_{\rm med}$ . Constant colors indicate the lines along which the 289 cross section scaling works precisely and there is a remarkable resemblance of the patterns shown in the plots of the mediator width. 291 To prove the scaling along the lines of constant width works, one 292 such line is chosen in Fig. 2.22 for a scalar mediator, defined by  $M_{\text{med}} = 300 \,\text{GeV}$ ,  $m_{\text{DM}} = 100 \,\text{GeV}$ ,  $g_q = g_{\text{DM}} = 1$ , and the rescaled 294 and generated cross sections are found to agree within 3%. 295

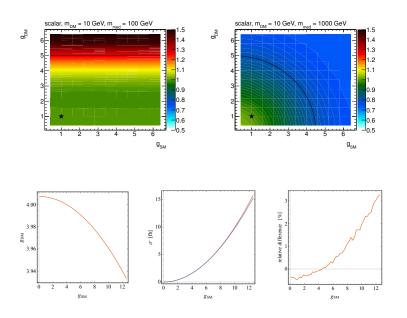


Figure 2.21: Ratio of the rescaled and generated cross sections in the  $g_q$ – $g_{DM}$ plane. The point at  $g_q = g_{DM} = 1$ , taken as a reference for the rescaling, is denoted by a star symbol. Scalar model with  $M_{\rm med} = 100\,{\rm GeV}$  (left) and 1 TeV (right) is plotted for  $m_{\rm DM}=10\,{\rm GeV}$ . The limiting case  $\Gamma_{\min} = M_{\text{med}}$  is shown as a black line.

Figure 2.22: Scaling along the lines of constant width. The line of constant width for  $M_{\text{med}} = 300 \,\text{GeV}$  and  $m_{\text{DM}} =$ 100 GeV, intercepting  $g_q = g_{\rm DM} = 4$ is shown on left. The generated and rescaled cross sections are compared in the middle, the corresponding ratio is shown on right.

Proposed parameter grid We propose to present the results in the  $g_q$ – $g_{DM}$  plane using the following prescription:

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- Since the shapes of kinematic quantities do not change for different couplings, use the acceptance and efficiency for the available  $m_{\rm DM}=50\,{\rm GeV},\,M_{\rm med}=300\,{\rm GeV},\,g_q=g_{\rm DM}=1\,{\rm grid}$  point from the  $M_{\text{med}}$ - $m_{\text{DM}}$  plane for the scalar and pseudo-scalar mediator. In case of the vector and axial-vector mediator, use the grid point  $m_{\rm DM} = 50 \, \text{GeV}, \, M_{\rm med} = 1 \, \text{TeV}, \, g_q = g_{\rm DM} = 1.$
- Generate additional samples in order to get generator cross sec-304 tions only. For scalar and pseudo-scalar mediator, choose  $m_{\rm DM}=$ 305

50 GeV,  $M_{\rm med} = 300$  GeV with the following values for  $g_q = g_{\rm DM}$ : 0.1, 2, 3, 4, 5, 6. For vector and axial vector mediator, choose  $m_{\rm DM} = 50$  GeV,  $M_{\rm med} = 1$  TeV with the following values for  $g_q = g_{\rm DM}$ : 0.1, 0.25, 0.5, 0.75, 1.25, 1.5. The upper values are defined by the minimal width reaching the mediator mass.

• Rescale the generator cross sections along the lines of constant width in order to populate the whole  $g_q$ – $g_{DM}$  plane.

Rescaling to different mediator width In general there may be an interest to consider larger mediator masses than  $\Gamma_{\min}$  in order to accommodate further couplings of the mediator. The cross section scaling method described above can be used to reinterpret the results presented for the minimal width, since multiplying the width by factor n is equivalent to changing the coupling strength by factor  $\sqrt{n}$ , i.e.

$$\sigma(g_q, g_{\rm DM}, n\Gamma_{\rm min}(g_q, g_{\rm DM})) \propto \frac{g_q^2 g_{\rm DM}^2}{\Gamma_{\rm min}(\sqrt{n}g_q, \sqrt{n}g_{\rm DM})} \ . \tag{2.20}$$

The cross section for the sample with couplings  $g_q$  and  $g_{\rm DM}$  and modified mediator width  $\Gamma=n\Gamma_{\rm min}$  can therefore be rescaled from a sample generated with the minimal width corresponding to the couplings scaled by  $\sqrt{n}$  as described in the following formula.

$$\sigma(g_q, g_{\text{DM}}, n\Gamma_{\min}(g_q, g_{\text{DM}})) = \frac{1}{n^2} \sigma(\sqrt{n}g_q, \sqrt{n}g_{\text{DM}}, \Gamma_{\min}(\sqrt{n}g_q, \sqrt{n}g_{\text{DM}}))$$
(2.21)

Advantage of doing this is again in the fact that no event selection and detector response needs to be simulated since the changes in couplings do not have an effect on the shapes of kinematic distributions.

#### 317 2.1.4 POWHEG settings

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The POWHEG implementation allows to generate a single sample that provides sufficient statistics in all mono-jet analysis signal regions by optimizing the following two parameters:

POWHEG generates weighted events and the bornsuppfact parameter is used to set the event suppression factor according to

$$F(k_{\mathrm{T}}) = \frac{k_{\mathrm{T}}^2}{k_{\mathrm{T}}^2 + \mathrm{bornsuppfact}^2} \,. \tag{2.22}$$

In this way, the events at low  $\mathcal{E}_T$  are suppressed and receive higher event weights which ensures higher statistics at high  $\mathcal{E}_T$ . We recommend to set bornsuppfact to 1000.

• The bornktmin parameter allows to suppress the low  $E_T$  region even further by starting the generation at a certain value of  $k_T$ . It is recommended to set this parameter to half the lower analysis  $E_T$  cut, therefore the proposed value for bornktmin is 150.

#### 2.1.5 Colored scalar mediator, t-channel exchange

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An alternative set of simplified models exist where the mediator is exchanged in the t-channel, thereby coupling the quark and dark matter particle directly. Under the assumption that  $\chi$  is a Standard Model (SM) singlet, the mediating particle, labeled  $\phi$ , is necessarily charged and coloured. This model is parallel to, and partially motivated by, the squark of the MSSM, but in this case the  $\chi$  is chosen to be Dirac. Following the example of Ref. [PVZ14], the interaction Lagrangian is written as

$$\mathcal{L}_{\text{int}} = g \sum_{i=1,2,3} (\phi_L^i \bar{Q}_L^i + \phi_{uR}^i \bar{u}_R^i + \phi_{dR}^i \bar{d}_R^i) \chi$$
 (2.23)

(Note: [PVZ14] uses only i = 1,2, but I think it's fine to extend this to 3 here.) where  $Q_L^i$ ,  $u_R^i$  and  $d_R^i$  are the SM quarks and  $\phi_L^i$ ,  $\phi_{uR}^i$  and  $\phi_{dR}^i$  are the corresponding mediators, which (unlike the *s*-channel mediators) must be heavier than  $\chi$ . These mediators have SM gauge representations under  $(SU(3),SU(2))_Y$  of  $(3,2)_{-1/6}$ ,  $(3,1)_{2/3}$  and  $(3,1)_{-1/3}$  respectively. Variations of the model previously studied include coupling to the left-handed quarks only [CEHL14, BDSJ+14], to the  $\phi_{uR}^i$  [DNRT13] or  $\phi_{dR}^i$  [PVZ14, A+14b], or some combination [BB13, AWZ14].

Minimal Flavour Violation (MFV) requires that the mediator masses for each flavour be equal; the same logic also applies to the couplings *g*. The available parameters are then

$$\{m_{\chi}, M_{\phi}, g\}. \tag{2.24}$$

In practice, the third mediator mass and coupling could be separated from the other two, if higher order corrections to the MFV prediction arise due to the large top Yukawa coupling – a common variation is then to define this split between the first two generations and the third, so the parameters are extended to

$$\{m_{\chi}, M_{\phi_{1,2}}, M_{\phi_3}, g_{1,2}, g_3\}.$$
 (2.25)

The width of each mediator is expressed, using the example of

355 decay to an up quark, as

$$\Gamma(\phi_i \to \bar{u}_i \chi) = \frac{g_i^2}{16\pi M_{\phi_i}^3} (M_{\phi_i}^2 - m_{u_i}^2 - m_{\chi}^2) \times \sqrt{M_{\phi_i}^4 + m_{u_i}^4 + m_{\chi}^4 - 2M_{\phi_i}^2 m_{u_i}^2 - 2M_{\phi_i}^2 m_{\chi}^2 - 2m_{u_i}^2 m_{\chi}^2},$$
(2.26)

this reduces to

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$$\frac{g_i^2 M_{\phi_i}}{16\pi} \left( 1 - \frac{m_{\chi}^2}{M_{\phi_i}^2} \right)^2 \tag{2.27}$$

in the limit  $M_{\phi_i}, m_\chi \gg m_{u_i}.$ 

An interesting point of difference with the s-channel simplified models is that the mediator can radiate a SM object, such as a jet or gauge boson, thus providing three separate mono- $\chi$  diagrams which must be considered together in calculations. This model can also give a signal in the di-jet + MET channel when, for example, the  $\chi$  is exchanged in the t-channel and the resulting  $\phi$  pair each decay to a jet +  $\chi$ .

#### 2.2 Specific models for signatures with EW bosons

In this Section, we consider models with a photon, a W boson, a Z boson or a Higgs boson in the final state, accompanied by Dark Matter particles that either couple directly to the boson or are mediated by a new particle. The experimental signature is identified as V+MET.

These models are interesting both as extensions of models where the gluon provides the experimentally detectable signature, and as stand-alone models with final states that cannot be generated by the models in Section 2.1.

The models considered can be divided in three categories:

Models including a contact operator, where the boson is radiated from the initial state

As depicted in the top diagram of Figure 2.23, these models follow
the nomenclature and theory for the EFT benchmarks commonly
used by MET+X searches [GIR+10]. These models have been used
in past experimental searches [Kha14, Aad14b, K+14, Aad14b,
A+14a, Aad14a], and they will not be described here.

Models including a contact operator, where the boson is directly coupled to DM
Shown in the bottom of Figure 2.23, these models allow for a contact interaction vertex that directly couples the boson to Dark
Matter.

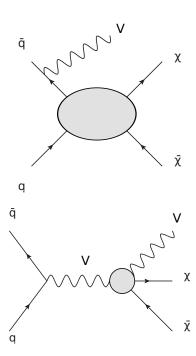


Figure 2.23: Sketch of benchmark models including a contact interaction for V+MET searches, adapted from [NCC+14].

Simplified models where the boson is radiated from the initial state These models follow those already described in Section 2.1, replacing the 387 initial state gluon with a boson.

V-specific simplified models These models postulate direct couplings of new mediators to bosons, e.g. they couple the Higgs boson to a 390 new scalar [CDM<sup>+</sup>14].

The following Sections describe the models within these cate-392 gories, the parameters for each of the benchmark models chosen, 393 the studies towards the choices of the parameters to be scanned, and finally point to the location of their Matrix Element implementation.

#### SIMPLIFIED MODELS WITH ISR BOSON RADIATION

Searches in the jet+MET final state are generally more sensitive 397 with respect to final states including bosons, due to the much larger 398 rates of signal events featuring quark or gluon radiation with respect to radiation of bosons [ZBW13], in combination with the low branching ratios if leptons from boson decays are required in the final state. 401 The rates for the Higgs boson radiation is too low for these models 402 to be considered a viable benchmark [CDM<sup>+</sup>14]. However, the presence of photons leptons from W and Z decays and W or Z bosons 404 decaying hadronically allows to reject the background more effec-405 tively, making Z/gamma/W+MET searches still worth comparing 406 with searches in the jet+MET final state.

Vector mediator exchanged in the s-channel The case for searches with W bosons in the final state has so far been strenghtened by the presence of particular choices of couplings between the WIMP and the up and down quarks which enhance W radiation [BT13], in the case of the exchange of a vector mediator in the s-channel. Run-1 searches have considered three sample cases for the product of up and down quark couplings to the mediator ξ:

- No couplings between mediator and either up or down quarks ( $\xi$  = 0);
- Same coupling between mediator and each of the quark types ( $\xi$  = 1);
- Coupling of opposite sign between mediator and each of the quark types ( $\xi = -1$ ).

The  $\xi = -1$  case leads to a large increase in the cross-section of the 421 process, and modifies the spectrum of missing transverse energy or 422 transverse mass used for the searches. The sensitivity of the W+MET 423 search for this benchmark in this case surpasses that of the jet+MET search. However, as shown in Ref. [BCD<sup>+</sup>15], the cross-section in-425 crease is due to the production of longitudinally polarized W bosons, 426 as a consequence of a violation of electroweak gauge symmetries. 427 Unless further particles are introduced (in a fashion similar to the Higgs boson in the Standard Model), choosing a value of  $\xi = -1$ 429 for this simplified model will lead to a manifest violation of unitar-430 ity at LHC energies. The simplified model with a vector mediator exchanged in the s-channel model can still be considered as a bench-432 mark for searches with a W boson if  $\xi = 1$ . We leave the study of 433 further models with cross-section enhancements due to different couplings to up and down quarks for studies beyond the early LHC searches covered in this document. An example of such model is the 436 case of both DM and SM Higgs charged under a new U(1)', with a a 437 small mass mixing between SM Z-boson and the new Zprime. This leads to different effective DM couplings to  $u_L$  and  $d_L$ , proportional 439 to their coupling to the Z boson, detailed in Appendix B. 440

The scan in the parameters that characterize this simplified model for EW boson + MET searches follow what already detailed in Section 2.1.

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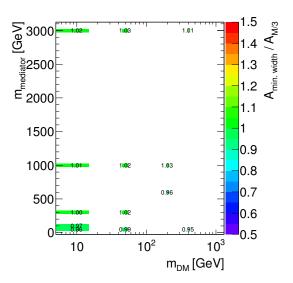
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As in the case of the jet+MET models, the width does not have a significant impact on the kinematic distributions relevant for those searches. An example of the particle-level analysis acceptance using the generator-level cuts from Ref. [Aad15] for the photon+MET analysis, but raising the photon  $p_T$  cut to 150 GeV is shown in Figure 2.24, comparing a width that is set to  $\Gamma = M_{med}/3$  to the minimal

width (the ratio between the two widths ranges from 1.05 to 1.5 with increasing mediator masses).



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Figure 2.24: Analysis acceptance for the photon+MET analysis when varying the mediator width, in the case of a vector mediator exchanged in the s-channel

Examples of relevant kinematic distributions for selected bench-452 mark points are shown in Fig. 2.29; leading-order cross-sections for the chosen benchmark points are shown in Table ?? [TODO: Insert table of cross-sections].

- Colored scalar mediator exchanged in the s-channel t-channel colored scalar, to be completed...
- Model implementation These models are generated at leading order with MadGraph 2.2.2, and parameter cards can be found on SVN [TODO: Add SVN location]. The parton shower is done using Pythia 8, with a matching scale of... [TODO: To be completed.] 461
  - EFT MODELS WITH DIRECT DM-BOSON COUPLINGS A complete list of effective operators with direct DM/boson couplings for Dirac DM, up to dimension 7, can be found in [CHLR13]. Following the notation of [CNS<sup>+</sup>13], the dimension 5 benchmark models from this category have a Lagrangian that includes terms such as:

$$\frac{m_W^2}{\Lambda_5^3} \bar{\chi} \chi W^{+\mu} W_{\mu}^- + \frac{m_Z^2}{2\Lambda_5^3} \bar{\chi} \chi Z^{\mu} Z_{\mu} . \tag{2.28}$$

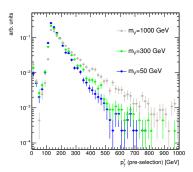
where  $m_Z$  and  $m_W$  are the masses of the Z and W boson,  $W^{\mu}$  and  $Z^{\mu}$  are the fields of the gauge bosons,  $\chi$  denote the Dark Matter fields

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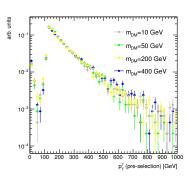
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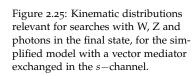
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(a) Missing transverse momentum distribution for the photon+MET final state, for different mediator mass choices, for a DM mass of 10 GeV.



(b) Leading photon transverse momentum distribution for the photon+MET final state, for different DM mass choices, with a mediator mass of 1 TeV.

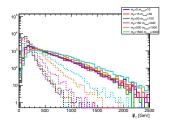




(c) Missing transverse momentum distribution for the leptonic Z+MET final state.



(d) Transverse mass  $(m_T)$  for the leptonic W+MET final state.



(e) Missing transverse momentum distribution for the hadronic W+MET final state.

and  $\Lambda_5$  is the effective field theory scale. This operator induces signatures with MET in conjunction with Z and W bosons at tree level, while at loop level it induces couplings to photon pairs and  $Z\gamma$  through W loops. [TODO: Ask Linda to explain this better than I did.]. In these models, a clear relation exists between final states with photons, EW bosons and Higgs boson. [TODO: see if mono-Higgs studies exist for these operators, include them here].

The dimension 7 benchmark models include couplings to the kinetic terms of the EW bosons ( $F_i^{\mu\nu}$ , with  $F_i=1,2,3$  being the field

strengths of the SM U(1) and SU(2) gauge groups and  $\tilde{F}_i^{\mu\nu}$  their dual tensors). The Lagrangian for the scalar coupling of DM and bosons include terms such as the following:

$$\frac{1}{\Lambda_{7,S}^3} \bar{\chi} \chi \sum_{i} k_i F_i^{\mu\nu} F_{\mu\nu}^i + \frac{1}{\Lambda_{7,S}^3} \bar{\chi} \chi \sum_{i} k_i F_i^{\mu\nu} \tilde{F}_{\mu\nu}^i$$
 (2.29)

The Lagrangian with pseudoscalar coupling includes the following terms:

$$\frac{1}{\Lambda_{7,PS}^3} \bar{\chi} \gamma^5 \chi \sum_{i} k_i F_i^{\mu\nu} F_{\mu\nu}^i + \frac{1}{\Lambda_{7,PS}^3} \bar{\chi} \gamma^5 \chi \sum_{i} k_i F_i^{\mu\nu} \tilde{F}_{\mu\nu}^i$$
 (2.30)

The cut-off scales  $\Lambda$  for the separate terms can be related to operators with different Lorentz structure from Ref. [CHLR13]. Given that they do not lead to substantial differences for collider searches as shown in Figure 2 of Ref. [CNS<sup>+</sup>13], they have been denoted as  $\Lambda_{7,S}$  for the scalar case and  $\Lambda_{7,PS}$  for the pseudoscalar case.

The  $k_i$  coefficients for the dimension 7 models are related to the couplings of DM to pairs of gauge bosons by gauge invariance:

$$g_{WW} = \frac{2k_2}{s_w^2 \Lambda_7^3} \tag{2.31}$$

$$g_{ZZ} = \frac{1}{4s_w^2 \Lambda_7^3} \left( \frac{k_1 s_w^2}{c_w^2} + \frac{k_2 c_w^2}{s_w^2} \right)$$
 (2.32)

$$g_{\gamma\gamma} = \frac{1}{4c_w^2} \frac{k_1 + k_2}{\Lambda_7^3} \tag{2.33}$$

$$g_{Z\gamma} = \frac{1}{2s_w c_w \Lambda_7^3} \left( \frac{k_2}{s_w^2} - \frac{k_1}{c_w^2} \right)$$
 (2.34)

where  $s_w$  and  $c_w$  are respectively the sine and cosine of the weak mixing angle.

The coefficients  $k_i$  determine the relative importance of each of the boson channels, and their correlations. For example, for what concerns searches with W, Z and photons:

- $k_2$  alone controls the rate of the coupling to W boson pairs;
- If  $k_1 = k_2$  contributions from both Z and  $\gamma$  exchange appear;
- If  $k_1=c_w^2/s_w^2k_2$  the  $\gamma$  exchange is negligible.

The coefficients  $k_1$  and  $k_2$  are related to the coefficients  $c_1$  and  $c_2$  in the equivalent models of Ref. [CHH15] as  $k_2 = s_w^2 * c_2$  and  $c_2$  in  $c_3$  and  $c_4$  are related to the coefficients  $c_4$  and  $c_5$  and  $c_6$  are  $c_7$  and  $c_8$  are related to the coefficients  $c_1$  and  $c_2$  are related to the coefficients  $c_2$  and  $c_3$  are related to the coefficients  $c_1$  and  $c_2$  are related to the coefficients  $c_2$  and  $c_3$  are related to the coefficients  $c_3$  and  $c_4$  are related to the coefficients  $c_4$  and  $c_5$  are related to the coefficients  $c_5$  and  $c_6$  are related to the coefficients  $c_5$  and  $c_7$  are related to the coefficients  $c_7$  and  $c_7$  are related to th

[TODO: Linda will possibly complete/correct this paragraph] UV completions of such operators where the dominant signature

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is a single photon or EW boson are possible, for example through the exchange of a W' or a Z'. They are left as benchmarks for future searches as their implementation may require loop diagrams and need further studies beyond the timescale of this Forum.

As shown in Fig. 2.26 kinematics of this model can be approximated by that of a simplified model including a high-mass scalar mediator exchanged in the s-channel. For this reason, the list of benchmark models with direct boson-DM couplings only includes dimension 7 operators. [TODO: then we need to recommend the scalar mediator, but then the sensitivity is very poor wrt monojets - however, I still prefer to generate a few (high-mass) simplified model points wrt an EFT if given the choice.]



Figure 2.26: Comparison of the missing transverse momentum for the simplified model where a scalar mediator is exchanged in the s-channel and the model including a dimension-5 scalar contact operator, in the leptonic Z+MET final state

The kinematic distributions for dimension-7 scalar and pseudoscalar operators only shows small differences, as shown in Fig. 2.27.



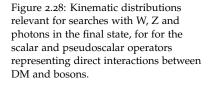
Figure 2.27: Comparison of the missing transverse momentum for the scalar and pseudoscalar operators with direct interaction between DM and photon, in the photon+MET final state

Similarly, the differences in kinematics for the various signatures are negligible when changing the coefficients  $k_1$  and  $k_2$ , as shown in Figure ??. Only the case  $k_1 = k_2 = 1$  is generated as benchmark; other cases are left for reinterpretation as they will only need a rescaling of the cross-sections shown in Table ?? [TODO: add tables with cross sections] for the various Dark Matter mass points considered.

Examples of relevant kinematic distributions for selected benchmark points are shown in Fig. 2.29.



(a) Missing transverse momentum distribution for the photon+MET final







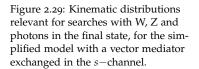
(b) Missing transverse momentum distribution for the leptonic Z+MET final state.

(c) Transverse mass  $(m_T)$  for the leptonic W+MET final state.

- Specific simplified models Mono-Higgs, to be completed...
- Specific models for signatures with heavy flavor quarks 2.3
- SUSY-inspired simplified models



(a) Missing transverse momentum distribution for the photon+MET final state.





(b) Missing transverse momentum distribution for the leptonic Z+MET final state.



(c) Transverse mass  $(m_T)$  for the leptonic W+MET final state.



(d) Fat [Insert algorithm] jet mass  $(m_T)$ for the the hadronic W+MET final state.

### Validity of EFT approach

Effective Field Theories (EFTs) are an extremely useful tool for DM searches at the LHC. Given the current lack of indications about the nature of the DM particle and its interactions, a model independent interpretation of the collider bounds appears mandatory, especially in complementarity with the reinterpretation of the exclusion limits within a choice of simplified models, which cannot exhaust the set of possible completions of an effective Lagrangian. However EFTs must be used with caution at LHC energies, where the energy scale of the interaction is at a scale where the EFT approximation can no longer be assumed to be valid. Here we summarise some methods that can be used to ensure the validity of the EFT approximation.

These methods are described in detail in Refs. [BDSMR14?, BDSJ+14, A+15, RWZ15].

### Outline of the procedure described in Refs. [? $A^+$ 15]

For a tree-level interaction between DM and the Standard Model (SM) via some mediator with mass M, the EFT approximation corresponds to expanding the propagator in powers of  $Q_{\rm tr}^2/M^2$ , truncating at lowest order, and combining the remaining parameters into a single parameter  $M_*$  (also called  $\Lambda$ ). For an example scenario with a Z'-type mediator (leading to some combination of operators D5 to D8 in the EFT limit) this corresponds to setting

$$\frac{g_{\text{DM}}g_q}{Q_{\text{tr}}^2 - M^2} = -\frac{g_{\text{DM}}g_q}{M^2} \left( 1 + \frac{Q_{\text{tr}}^2}{M^2} + \mathcal{O}\left(\frac{Q_{\text{tr}}^4}{M^4}\right) \right) \simeq -\frac{1}{{M_*}^2}, \quad (3.1)$$

where  $Q_{\rm tr}$  is the momentum carried by the mediator, and  $g_{\rm DM}$ ,  $g_q$  are the DM-mediator and quark-mediator couplings respectively. Similar expressions exist for other operators. Clearly the condition that must be satisfied for this approximation to be valid is that  $Q_{\rm tr}^2 < M^2 = g_{\rm DM} g_q M_*^2$ .

We can use this condition to enforce the validity of the EFT approximation by restricting the signal (after the imposition of the cuts

of the analysis) to events for which  $Q_{\rm tr}^2 < M^2$ . This truncated signal can then be used to derive the new, truncated limit on  $M_*$  as a function of  $(m_{\rm DM}, g_{\rm DM} g_q)$ .

For the example D5-like operator,  $\sigma \propto {M_*}^{-4}$ , and so there is a simple rule for converting a rescaled cross section into a rescaled constraint on  $M_*$  if the original limit is based on a simple cut-and-count procedure. Defining  $\sigma_{\rm EFT}^{\rm cut}$  as the cross section truncated such that all events pass the condition  $\sqrt{g_{\rm DM}g_q}M_*^{\rm rescaled} > Q_{\rm tr}$ , we have

$$M_*^{\text{rescaled}} = \left(\frac{\sigma_{\text{EFT}}}{\sigma_{\text{FFT}}^{\text{cut}}}\right)^{1/4} M_*^{\text{original}},$$
 (3.2)

which can be solved for  $M_*^{\rm rescaled}$  via either iteration or a scan (note that  $M_*^{\rm rescaled}$  appears on both the LHS and RHS of the equation). Similar relations exist for a given UV completion of each operator. The details and application of this procedure to ATLAS results can be found in Ref. [A $^+$ 15] for a range of operators. Since this method uses the physical couplings and energy scale  $Q_{\rm tr}$ , it gives the strongest possible constraints in the EFT limit while remaining robust by ensuring the validity of the EFT approximation.

#### Outline of the procedure described in Ref. [RWZ15]

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In [RWZ15] a procedure to extract model independent and consistent bounds within the EFT is described. This procedure can be applied to any effective Lagrangian describing the interactions between the DM and the SM, and provides limits that can be directly reinterpreted in any completion of the EFT.

The range of applicability of the EFT is defined by a mass scale  $M_{\rm cut}$ , a parameter which marks the upper limit of the range of energy scales at which the EFT can be used reliably, independently of the particular completion of the model. Regardless of the details of the full theory, the energy scale probing the validity of the EFT is less than or equal to the centre-of-mass energy  $E_{\rm cm}$ , the total invariant mass of the hard final states of the reaction. Therefore, the condition ensuring the validity of the EFT is, by definition of  $M_{\rm cut}$ ,

$$E_{\rm cm} < M_{\rm cut} \,. \tag{3.3}$$

For example, in the specific case of a tree level mediation with a single mediator,  $M_{\text{cut}}$  can be interpreted as the mass of that mediator.

There are then at least three free parameters describing an EFT: the DM mass  $m_{\rm DM}$ , the scale  $M_*$  of the interaction, and the cutoff scale  $M_{\rm cut}$ .

We can use the same technique as above to restrict the signal to the events for which  $E_{\rm cm} < M_{\rm cut}$ , using only these events to derive the exclusion limits on  $M_*$  as a function of  $(m_{\rm DM}, M_{\rm cut})$ . We can also define an *effective coupling strength*  $M_{\rm cut} = g_* M_*$ , where  $g_*$  is a free parameter that substitutes the parameter  $M_{\rm cut}$ , and therefore derive exclusions on  $M_*$  as a function of  $(m_{\rm DM}, g_*)$ . This allows us to see how much of the theoretically allowed parameter space has been actually tested and how much is still unexplored; For example, in the Z'-type model considered above,  $g_*$  is equal to  $\sqrt{g_{\rm DM}g_q}$ . The resulting plots are shown in [RWZ15] for a particular effective operator.

The advantage of this procedure is that the obtained bounds can be directly and easily recast in any completion of the EFT, by computing the parameters  $M_*$ ,  $M_{\text{cut}}$  in the full model as functions of the parameters of the complete theory. On the other hand, the resulting limits will be weaker than those obtained using  $Q_{\text{tr}}$  and a specific UV completion.

Recommendations for expressing collider constraints

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Appendix: Detailed studies on mono-jet signatures

### Appendix: Detailed studies for EW models

B.1 Further W+MET models with possible cross-section enhancements

As pointed out in Ref. [BCD<sup>+</sup>15], the mono-W signature can probe the iso-spin violating interactions of dark matter with quarks. The relevant operators after the electroweak symmetry breaking is

$$\frac{1}{\Lambda^2} \overline{\chi} \gamma_{\mu} \chi \left( \overline{u}_L \gamma^{\mu} u_L + \xi \overline{d}_L \gamma^{\mu} d_L \right) . \tag{B.1}$$

Here, we only keep the left-handed quarks because the right-handed quarks do not radiate a *W*-gauge boson from the weak interaction. As the LHC constraints the cutoff to higher values, it is also important to know the corresponding operators before the electroweak symmetry. At the dimension-six level, the following operator

$$\frac{c_6}{\Lambda^2} \overline{\chi} \gamma_\mu \chi \, \overline{Q}_L \gamma^\mu Q_L \tag{B.2}$$

conserves iso-spin and provides us  $\xi=1$  [?]. At the dimension-eight level, new operators appear to induce iso-spin violation and can be

$$\frac{c_8^d}{\Lambda^4} \overline{\chi} \gamma_\mu \chi \left( H \overline{Q}_L \right) \gamma^\mu (Q_L H^\dagger) + \frac{c_8^u}{\Lambda^4} \overline{\chi} \gamma_\mu \chi \left( \tilde{H} \overline{Q}_L \right) \gamma^\mu (Q_L \tilde{H}^\dagger) \,. \tag{B.3}$$

After inputting the vacuum expectation value of the Higgs field, we have

$$\xi = \frac{c_6 + c_8^d \, v_{\text{EW}}^2 / 2\Lambda^2}{c_6 + c_8^d \, v_{\text{EW}}^2 / 2\Lambda^2}.$$
 (B.4)

- For a nonzero  $c_6$  and  $v_{\rm EW}\ll \Lambda$ , the iso-spin violation effects are suppressed. On the other hand, the values of  $c_6$ ,  $c_8^d$  and  $c_8^u$  depend on the UV-models.
  - There is one possible UV-model to obtain a zero value for  $c_6$  and non-zero values for  $c_8^d$  and  $c_8^u$ . One can have the dark matter and the SM Higgs field charged under a new U(1)'. There is a small mass mixing between SM Z-boson and the new Z' with a mixing angle

of  $\mathcal{O}(v_{\rm EW}^2/M_{Z'}^2)$ . After integrating out Z', one has different effective dark matter couplings to  $u_L$  and  $d_L$  fields, which are proportional to their couplings to the Z boson. For this model, we have  $c_6=0$  and

$$\xi = \frac{-\frac{1}{2} + \frac{1}{3}\sin^2\theta_W}{\frac{1}{2} - \frac{2}{3}\sin^2\theta_W} \approx -2.7$$
 (B.5)

and order of unity.

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