



CM2604 Machine Learning Coursework Report

Module Leader – Mr. Prasan Yapa

Name: Lisara Gajaweera

RGU ID: 2118813

IIT ID: 20211029

Table of Contents

Introduction	4
Corpus Preparation	4
Remove null/missing values	4
Remove Duplicates	5
Check data types.	5
Solution methodology	6
Scaling – Standardization	6
Dimensionality reduction	8
KNN	11
Model evaluation	11
Decision Tree	14
Model evaluation	14
Evaluation criteria	19
Limitations and Possible further enhancements	21
References	22
Appendix	23
KNN-python notebook	23
Decision tree- python notebook	39
Tables	
Table 1 data information table 1 contd	4
Table 2 data information table 1	4
Table 3 data infromation contd	6
Table 4 Decision tree before fine tuning	14
Table 5 Decision tree test and train score	19

Figures

Figure 1 Removing Duplicate data	5
Figure 4 Boxplot of data before standardization	7
Figure 5 Box plot of data after standardization	7
Figure 6 Weights of the 1st principal component with and without scaling	8
Figure 7 Scatterplot of 1st two principal components & separation between spam and non-	spam emails 9
Figure 8 1st two principal components against each other	9
Figure 9 PCA variance % and number of components considered	10
Figure 10 plot of number of components vs cumulative explained variance	10
Figure 11 scatterplot of transformed data points by TSNE	11
Figure 12 cross-validation accuracy vs n_neighbors	11
Figure 13 Evaluation of KNN	12
Figure 14 Confusion matrix for KNN	12
Figure 15 Confusion matrix-KNN	12
Figure 16 ROC Curve for KNN	13
Figure 17 Train and test score of KNN	13
Figure 18 Train confusion matrix before pruning	15
Figure 19 Test confusion matrix before pruning	15
Figure 20 Decision tree after pre-pruning	16
Figure 21 Confusion matrix for training set -after pre pruning	17
Figure 22 Test confusion matric after pre pruning	17
Figure 23 plot of leaf impurity vs alpha values	18
Figure 24 Test and Train accuracy vs alpha	18
Figure 25 Confusion matrix of Decision Tree	19
Figure 26 ROC curve of Decision Tree	20
Figure 27 Test and Train score	20

Introduction

The data set is attained by the UCL Machine Learning repository^[1]. The classification of email as spam and non-spam is carried out using the machine learning models based on K Nearest Neighbors and Decision Trees.

Corpus Preparation

The UCL Spambase data set is already prepared and publicly available to use in machine learning experiments. The data and metadata were available separately. So labelling data headers was done. There are 4601 instances in the data set. And 57 attributes are considered in the data set.

Remove null/missing values.

There are no null or missing values.

word_freq_make,0	
word_freq_address,0	
word_freq_all,0	
word_freq_3d,0	
word_freq_our,0	
word_freq_over,0	
word_freq_remove,0	
word_freq_internet,0	
word_freq_order,0	
word_freq_mail,0	
word_freq_receive,0	
word_freq_will,0	
word_freq_people,0	
word_freq_report,0	
word_freq_addresses	0
word_freq_free	0
word_freq_business	0
word_freq_email	0
word_freq_you	0

word_freq_credit	0
word_freq_your	0
word_freq_font	0
word_freq_000	0
word_freq_money	0
word_freq_hp	0
word_freq_hpl	0
word_freq_george	0
word_freq_650	0
word_freq_lab	0
word_freq_labs	0
word_freq_telnet	0
word_freq_meeting	0
word_freq_original	0
word_freq_project	0
word_freq_re	0
word_freq_edu	0
word_freq_table	0
word_freq_conference	0

word_freq_techr	nology 0		
word_freq_1999	0		
word_freq_parts	0		
word_freq_857	0		
word_freq_data	0		
word_freq_415	0		
word_freq_85	0		
word_freq_pm	0		
word_freq_direc	t 0		
word_freq_cs	0		
char_freq_;	0		
char_freq_(0		
char_freq_[0		
char_freq_!	0		
char_freq_\$	0		
char_freq_#	0		
capital_run_length_average 0			
capital_run_length_longest 0			
capital_run_length_total 0			
spam	0		

Remove Duplicates

The duplicated data removal is done using drop_duplicates() function. When removing duplicates, 391 records were removed.

```
data.shape # before dropping duplicates

(4601, 58)

data =data.drop_duplicates() #drop duplicates

print(data.shape)

(4210, 58)
```

Figure 1 Removing Duplicate data

Check data types.

From inf() function the information about the data is acquired.

```
        0
        word_freq_make
        4210 non-null float64

        1
        word_freq_address
        4210 non-null float64

        2
        word_freq_all
        4210 non-null float64

        3
        word_freq_3d
        4210 non-null float64

        4
        word_freq_over
        4210 non-null float64

        5
        word_freq_over
        4210 non-null float64

        6
        word_freq_remove
        4210 non-null float64

        7
        word_freq_internet
        4210 non-null float64

        8
        word_freq_inail
        4210 non-null float64

        9
        word_freq_mail
        4210 non-null float64

        10
        word_freq_people
        4210 non-null float64

        11
        word_freq_people
        4210 non-null float64

        12
        word_freq_people
        4210 non-null float64

        13
        word_freq_people
        4210 non-null float64

        14
        word_freq_edddresses
        4210 non-null float64

        15
        word_freq_email
        4210 non-null float64

        16
        word_freq_business
        4210 non-null float64

        17
        word_freq_email
        4210 non-null float64

        18
        word_f
```

Figure 3 Data information 1

Table 3 data infromation contd

The cleaned data are then saved in a new file to use.

```
#Save the cleaned processed data set into a new csv file
from pathlib import Path
filepath = Path("newSpamData.csv")
filepath.parent.mkdir(parents=True,exist_ok=True)
data.to_csv(filepath)
```

Solution methodology

Scaling – Standardization

Since there are 57 features with different scales, the differences can increase the difficulty in modeling. Since here we are going to use KNN algorithm which measures distance between data points, the model can be affected because of these differences in the scales. When we are using the Decision Trees algorithm it is not affected.

In standardization the data set values are rescaled as the mean of the data is 0, and standard deviation is 1. When standardizing data the assumption that the data fit a Gaussian distribution is made.

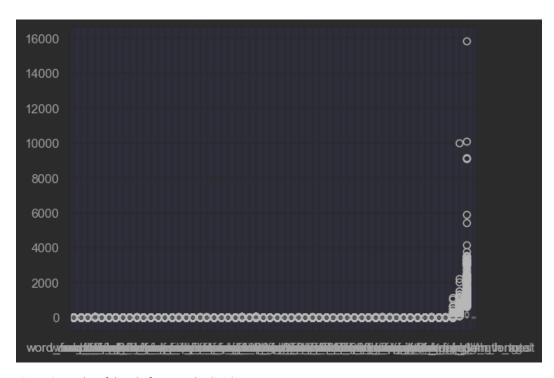


Figure 2 Boxplot of data before standardization

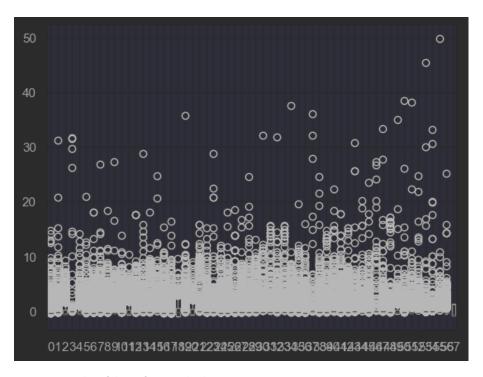


Figure 3 Box plot of data after standardization

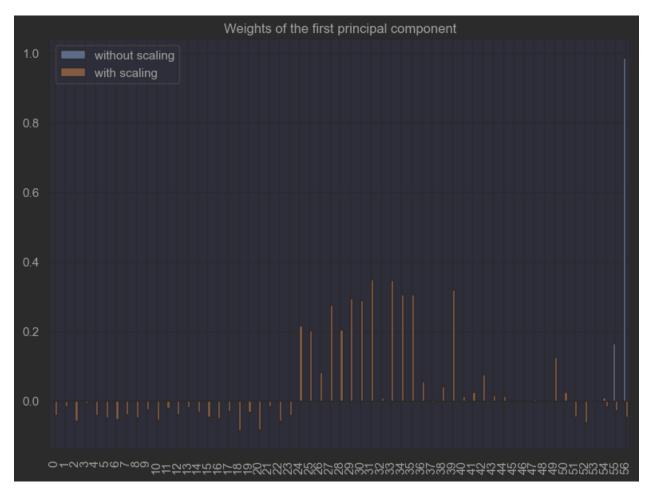


Figure 4 Weights of the 1st principal component with and without scaling

Dimensionality reduction

As the data set consists of 57 features, which is a huge amount, we have to do dimensionality reduction.

Here Principal component Analysis(PCA) is used to compress the get a dimensionally reduced smaller set of uncorrelated features by finding a set of variables called principal components. These components capture the most variance in the data. Before using the KNN and Decision tree machine learning techniques the PCA is used.

```
pca = PCA()
X_pca = pca.fit_transform(X)

# Visualize data
plt.scatter(X_pca[y==0, 0], X_pca[y==0, 1], label='Non-spam') # creates
datapoints that are labeled as non spam
plt.scatter(X_pca[y==1, 0], X_pca[y==1, 1], label='Spam')
plt.xlabel("first principal component")
plt.ylabel("second principal component")
plt.legend()
plt.show()
```

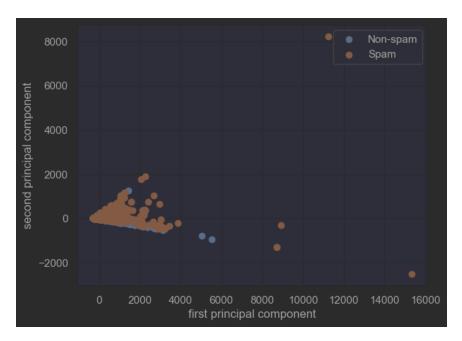


Figure 5 Scatterplot of 1st two principal components & separation between spam and non-spam emails

Here the spam and non-spam data points are called separately.

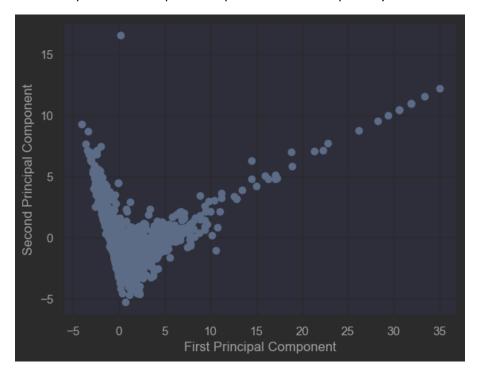


Figure 6 1st two principal components against each other

In figure 9 the color of the points indicates the density of all the data points.

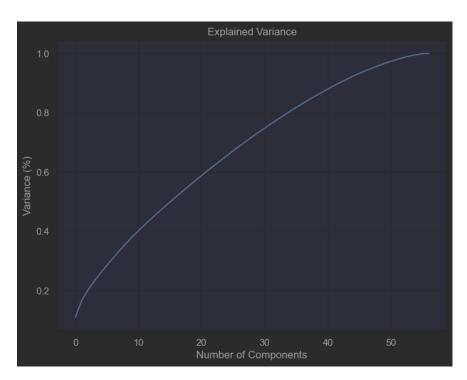


Figure 7 PCA variance % and number of components considered

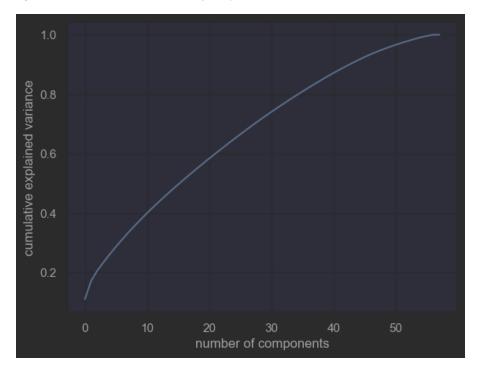


Figure 8 plot of number of components vs cumulative explained variance

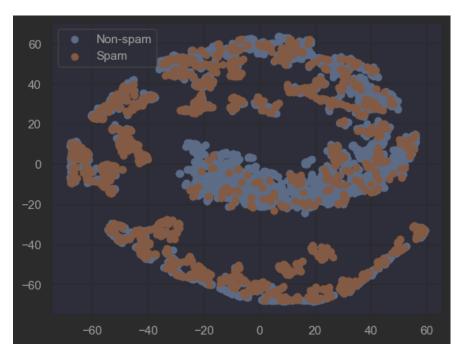


Figure 9 scatterplot of transformed data points by TSNE

KNN Model evaluation

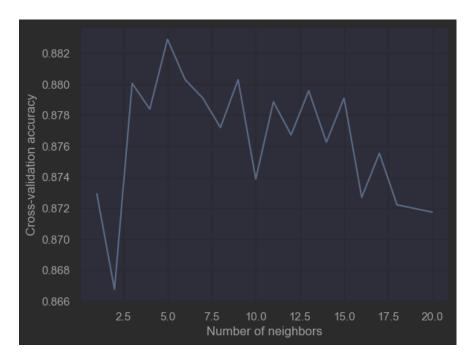


Figure 10 cross-validation accuracy vs n_neighbors

Here the cross validation (CV) accuracy is plotted as a function of number neighbors considered in KNN. As we can see the CV accuracy is highest when n_neighbors=5. From gridsearch and checking best performing n_neighbor value also given as 5 with a mean score of 0.9024433790045938.

Therefore the KNN is applied with the nearest neighbor value as 5.

Accuracy, precision, recall,F1 score

accuracy of KNN model: 0.886039886039886

Precision of KNN model: 0.8918918918919

Sensitivity of KNN model: 0.826879271070615

Specificity of KNN model: 0.826879271070615

Figure 11 Evaluation of KNN

confusion matrix

0	0.94	0.89	0.91	633
1	0.84	0.91	0.87	420
accuracy			0.90	1053
macro avg	0.89	0.90	0.89	1053
weighted avg	0.90	0.90	0.90	1053
[[562 71] [39 381]]				

Figure 12 Confusion matrix for KNN

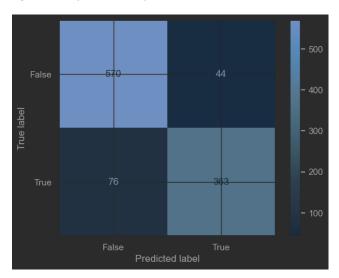


Figure 13 Confusion matrix-KNN

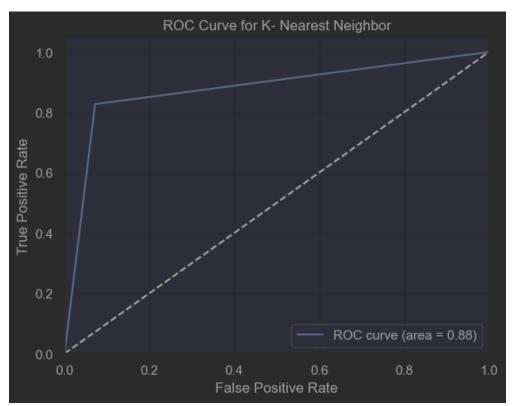


Figure 14 ROC Curve for KNN

Experimental results

Train score 0.9331643965790307 Test score 0.886039886039886 Train Confusion matrix

Figure 15 Train and test score of KNN

Decision Tree

The cleaned dataset is used with the model.

Model evaluation

Fitting data without fine tuning

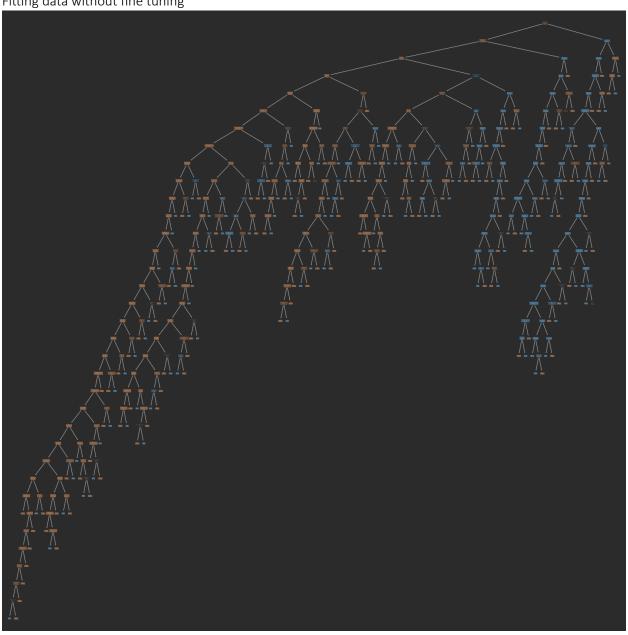


Table 4 Decision tree before fine tuning

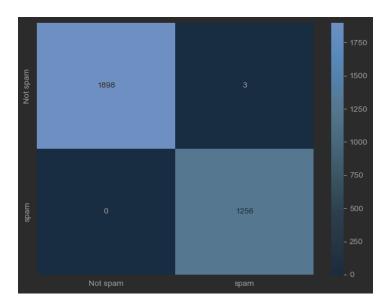


Figure 16 Train confusion matrix before pruning

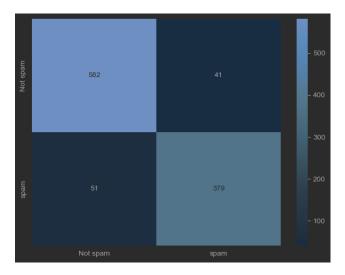


Figure 17 Test confusion matrix before pruning.

Pre pruning

Here we stop the growing of the tree at an early stage by setting constraints. The grid search through parameters is done and the optimum values are chosen.

Here following parameters are controlled.

- maximum depth of the tree
- minimum number of samples needed to split an interval node.
- minimum number of samples needed to be a leaf node.

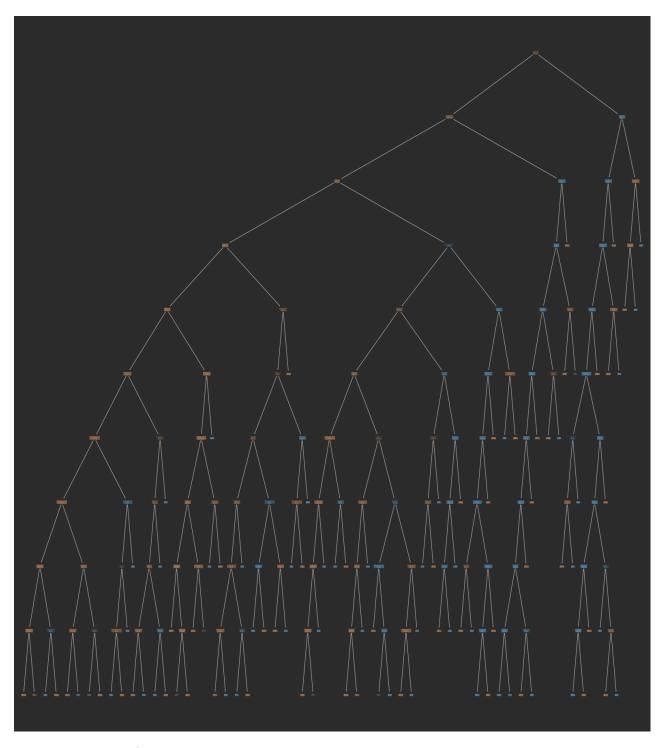


Figure 18 Decision tree after pre-pruning

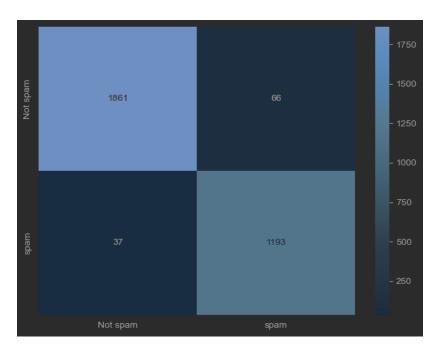


Figure 19 Confusion matrix for training set -after pre pruning

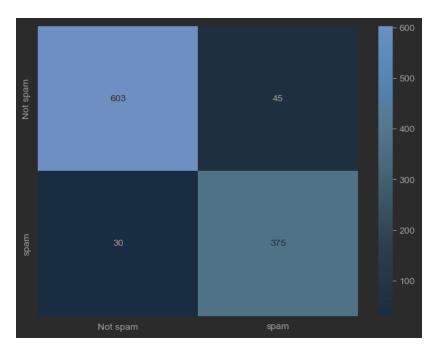


Figure 20 Test confusion matric after pre pruning

After pruning there is an improvement in test accuracy.

Post pruning

For further improvements let's do cost complexity pruning as a post pruning technique to avoid overfitting as decision trees are more likely to get overfitted.

Cost complexity pruning



Figure 21 plot of leaf impurity vs alpha values

Here shows the total leaf impurities as a function of alpha values.

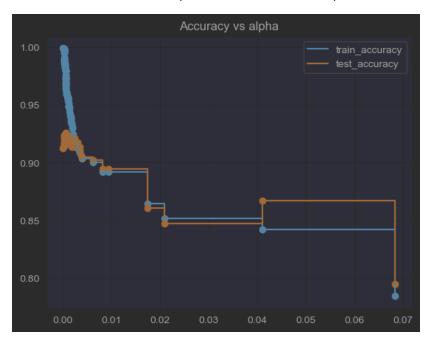


Figure 22 Test and Train accuracy vs alpha

Evaluation criteria

Accuracy, precision, sensitivity, specificity

accuracy of Decision Tree model: 0.8955365622032289

Precision of Decision Tree model: 0.8429203539823009

Sensitivity of Decision Tree model: 0.9071428571428571

Specificity of Decision Tree model: 0.9071428571428571

Step	Step Train data set		Test data set	
	Confusion matrix	score	Confusion matrix	score
Without fine	[[1898 3]	0.9990497307570478	[[582 41]	0. 912630579297246
tuning	[0 1256]]		[51 379]]	
After Pre-	[[1861 66]	0.9673740893253089	[[603 45]	0.9287749287749287
pruning	[37 1193]]		[30 375]]	
After Post-	[[1802 246]	0.8916693063034526	[[596 76]	0.8945868945868946
pruning	[96 1013]]		[35 344]]	

Table 5 Decision tree test and train score

Confusion Matrix

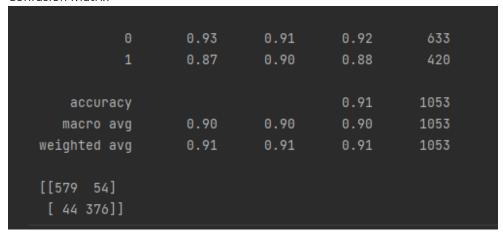


Figure 23 Confusion matrix of Decision Tree

ROC Curve

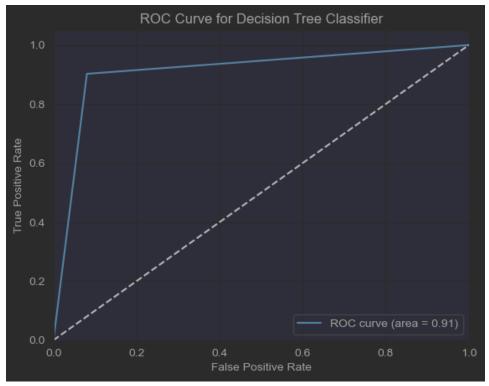


Figure 24 ROC curve of Decision Tree

Experimental results

The Decision Tree model gives a 0.8946 accuracy when trained and tested.

Train score 0.8916693063034526 Test score 0.8945868945868946

Figure 25 Test and Train score

Evaluation of two models

	KNN		Decision Tree
accuracy		>	
Precision		<	
Sensitivity		>	
Specificity		>	
F1 score		<	

Table 6 Model evaluation

Both the models shares almost the same accuracy. Here it shows there are some changes between two models in precision, sensitivity, specificity and f1 score.

Limitations and Possible further enhancements

Limitations

Limitations- KNN Model

- Performs poorly on high dimensional datasets.
- Computationally expensive when the data set is large as the algorithm calculates the distance between the data of the data set
- Choosing of k-nearest neighbors is crucial as it highly depends on the accuracy of the model

Limitations – Decision Tree

- Tend to overfit.
- When there is a large number of levels the algorithm can be biased towards those variables.
- Sensitive to small changes in the data.

Future Enhancements- KNN Model

- Perform on high dimensional datasets by reducing the dimension to an optimum level.
- Use distance weighting.

Future Enhancements – Decision Tree

- Minimize overfitting using pruning, setting up constraints in an optimal level.
- Getting a cleaner data set with reduced number of outliers, missing values.
- Using ensemble techniques to improve the performance.(Random Forest/Boosting)

Limitations of data set

- Dataset is not very large.
- Duplicate data were present.

References

https://archive.ics.uci.edu/ml/datasets/Spambase

https://datascience.stackexchange.com/questions/38395/standardscaler-before-or-after-splitting-data-which-is-better

https://stackoverflow.com/questions/63037248/is-it-correct-to-use-a-single-standardscaler-before-splitting-data

https://stackoverflow.com/questions/37221425/which-feature-scaling-method-to-use-before-pca

https://www.researchgate.net/post/ls-it-necessary-to-normalize-data-before-performing-principle-component-analysis

https://www.kaggle.com/code/arunmohan003/pruning-decision-trees-tutorial

https://stats.stackexchange.com/questions/202287/why-standardization-of-the-testing-set-has-to-be-performed-with-the-mean-and-sd

https://machinelearningmastery.com/tune-number-size-decision-trees-xgboost-python/

Appendix

KNN-python notebook

```
"import matplotlib.pyplot as plt\n",
"data.shape # before dropping duplicates"
```

```
"cell type": "code",
"collapsed": false
"cell type": "code",
 "data.describe()"
```

```
"sns.heatmap(cor, cmap=plt.cm.Reds)\n",
"execution count": null,
```

```
"outputs": [],
 "X = data.iloc[:, 0:-1].values #get all the rows of all the columns
"cell type": "code",
```

```
"cell type": "code",
```

```
"pca = PCA(n components=2).fit(X train)\n",
 "first pca component[\"with scaling\"] = scaled pca.components [0]\n",
 "first pca component.plot.bar(\n",
 "# Visualize data\n",
 "plt.ylabel(\"second principal component\")\n",
"cell type": "code",
 "from sklearn.decomposition import PCA\n",
 "import numpy as np\n",
```

```
"plt.figure(figsize=(8,6))\n",
 "plt.xlabel('Number of Components') \n",
 "plt.ylabel('Variance (%)') #for each component\n",
 "plt.show()"
"cell type": "code",
 "# Visualize data\n",
 "plt.xlabel('First Principal Component') \n",
 "plt.ylabel('Second Principal Component')"
"cell type": "code",
 "pca = PCA().fit(scaled)\n",
 "plt.xlabel('number of components') \n",
"cell type": "markdown",
 "collapsed": false
```

```
"# Reduce dimensionality using t-SNE\n",
 "from sklearn.manifold import TSNE\n",
"cell type": "code",
 "knn = KNeighborsClassifier(n neighbors=3, metric=\"minkowski\", p=2)\n",
 "knn.fit(X train pca,y train) #fitting classifier to the training set"
```

```
"cell type": "markdown",
 "Cross-validation accuracy vs number of nearest neighbors"
"cell type": "code",
 "cv = 5 n",
     cv scores.append(np.mean(scores))\n",
```

```
"# import numpy as np\n",
 "from sklearn.model selection import GridSearchCV\n",
 "knn2 = KNeighborsClassifier()\n",
 "#create a dictionary of all values we want to test for n neighbors\n",
 "#fit model to data\n",
"cell type": "code",
"cell type": "code",
"execution count": null,
```

```
"knn new = KNeighborsClassifier(n neighbors=5)\n",
   "collapsed": false
metrics.ConfusionMatrixDisplay(confusion matrix=cm, display labels =
    "cm display.plot(cmap=plt.cm.Blues)\n",
   "F-score = 2*(Precision*sensitivity)/(Precision+sensitivity)"
```

```
"collapsed": false
 "collapsed": false
},
"cell type": "code",
 "features = data.columns\n",
       cf = confusion matrix(y train pred, y train) \n",
 "collapsed": false
"cell type": "code",
 "y test pred knn = knn new.predict(X test pca) \n",
```

```
"from sklearn.tree import DecisionTreeClassifier\n",
"dtclassifier = DecisionTreeClassifier(random state=42) #create a
"collapsed": false
```

```
"cell type": "code",
"cell type": "code",
     model = DecisionTreeClassifier(max depth=max d, random state=42)\n",
      train_acc.append(model.score(X_train_pca, y_train))\n",
     print('')"
"cell type": "code",
```

```
"plt.scatter(max depth, train acc) \n",
    "# plt.xlabel(\"maximum depth\")\n",
maximum depth\")\n",
   "collapsed": false
  "cell type": "markdown",
  "cell type": "code",
    "# get false +ve and true + rate for different threshold vales\n",
    "plt.legend(loc=\"lower right\") \n",
```

```
"cell type": "code",
 "%matplotlib inline\n",
                           special characters=True, \n",
"collapsed": false
"nbconvert exporter": "python",
"pygments lexer": "ipython2",
```

```
}
},
"nbformat": 4,
"nbformat_minor": 0
}
```

Decision tree-python notebook

```
"print(x test.shape)"
"cell type": "markdown",
"cell type": "code",
```

```
"tree.plot tree(dtclf, feature names=features, class names=classes, filled=True)
   "collapsed": false
   "cell type": "code",
    "import matplotlib.pyplot as plt\n",
    "# Plot the ROC curve\n",
  },
   "execution count": null,
```

```
"# helper function- to get the confusion matrix\n",
"execution count": null,
 "from sklearn.metrics import accuracy score\n",
"execution count": null,
 "print(confusion matrix(y test pred, y test))"
},
```

```
"collapsed": false
 "- maximum depth of the tree\n",
 "gcv = GridSearchCV(estimator=dtclf,param grid=params) \n",
 "collapsed": false
"cell type": "code",
```

```
"cell type": "code",
  "cell type": "code",
"tree.plot tree(model dtc,feature names=features,class names=classes,filled=T
  "cell type": "markdown",
   "collapsed": false
  "cell type": "markdown",
    "# Post pruning\n",
  "execution count": null,
```

```
"ccp alphas, impurities = path.ccp alphas, path.impurities\n",
 "collapsed": false
"cell type": "code",
 "# For each alpha the model is appended to a list\n",
```

```
"plt.show()"
},
      test_acc.append(accuracy_score(y_test_pred,y_test))\n",
 "plt.plot(ccp alphas, test acc, label='test accuracy', drawstyle=\"steps-
  "plt.show()"
```

```
"cell type": "markdown",
"cell type": "code",
```

```
"graph.format = 'jpg' # set the output format to JPG\n",
"cell type": "code",
"cell type": "markdown",
 "collapsed": false
 "from sklearn import metrics\n",
```

```
Specificity- how well the model predicts something is negative\n",
   "pygments lexer": "ipython2",
```