# 《物理与人工智能》

10. 举例-生成对抗网络

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2025/10/13 (第五周)

鸣谢:基于slazebni幻灯片





#### **Outline**

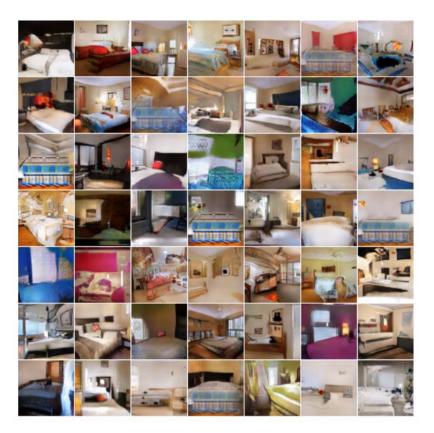


- Generative modeling tasks
- Original GAN formulation
- Alternative GAN objectives

#### **Generative modeling tasks**



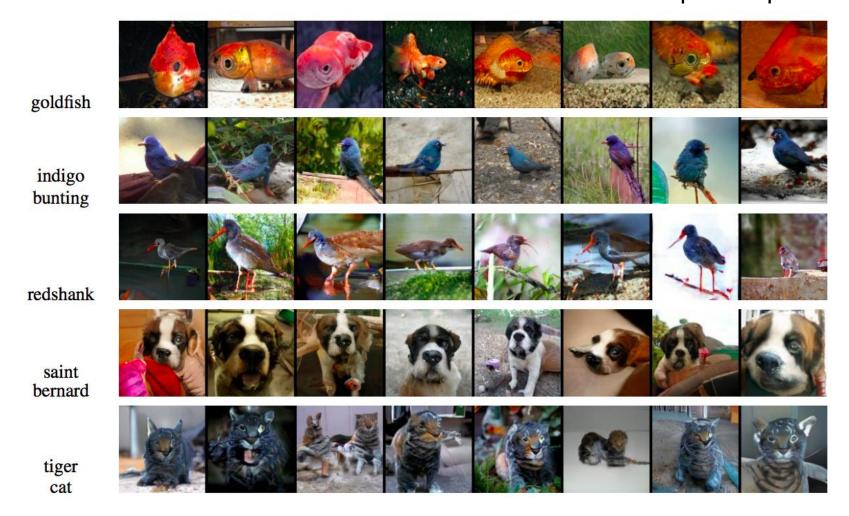
 Generation: learn to sample from the distribution represented by the training set



#### **Generative modeling tasks**



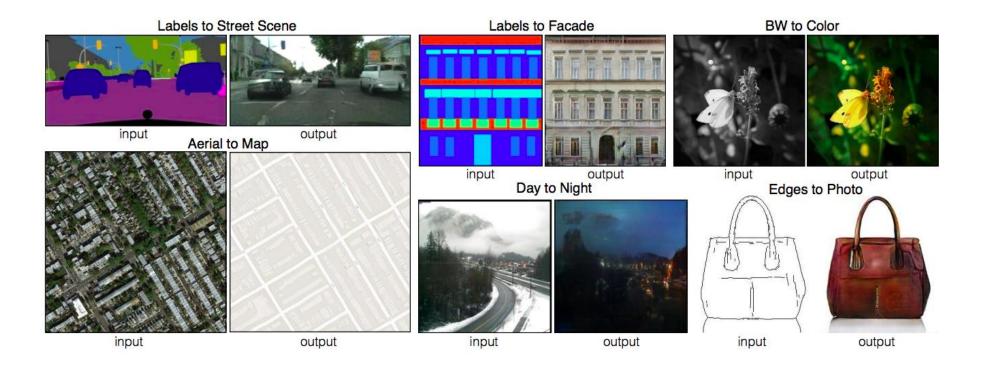
Generation conditioned on class label or text prompt



#### **Generative modeling tasks**



Generation conditioned on image (image-to-image translation)



# Designing a network for generative tasks PEKINGUR



1. We need an architecture that can generate an image

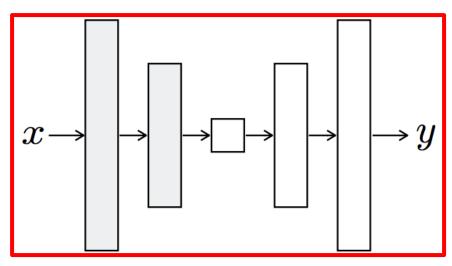
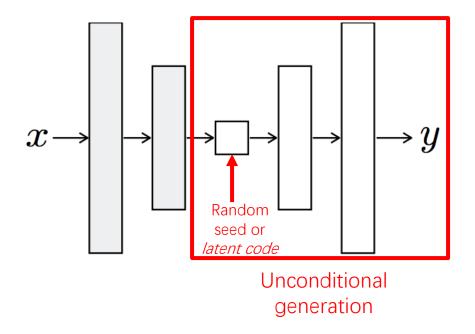


Image-to-image translation

# Designing a network for generative tasks Pekingun



1. We need an architecture that can generate an image



# Designing a network for generative tasks PEKINGUN



- We need an architecture that can generate an image
- 2. We need to design the right loss function and training framework

#### Learning to sample





Training data  $x \sim p_{\text{data}}$ 



Generated samples  $x \sim p_{\text{model}}$ 

We want to learn  $p_{\text{model}}$  that matches  $p_{\text{data}}$ 

#### **Generative adversarial networks**



- Train two networks with opposing objectives:
  - Generator: learns to generate samples
  - Discriminator: learns to distinguish between generated and real samples

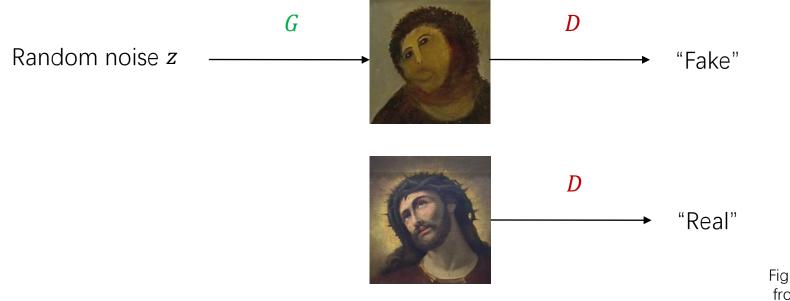
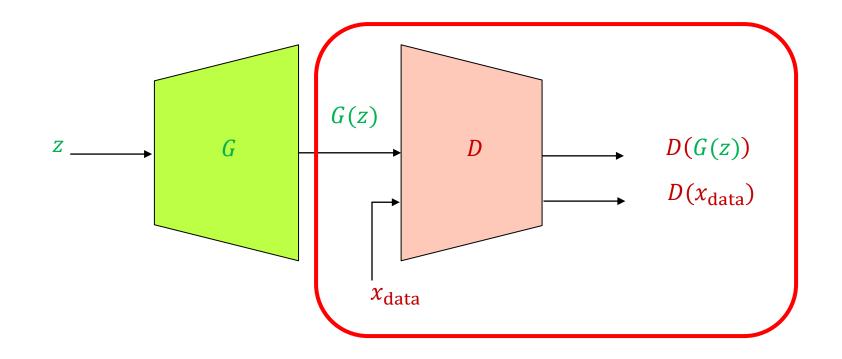


Figure adapted from F. Fleuret

#### **GAN: Schematic picture**



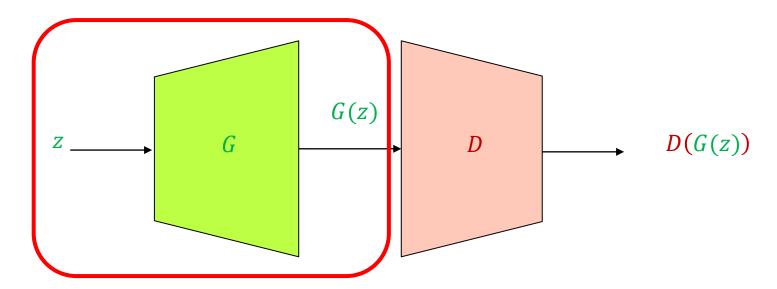
- Update discriminator: push  $D(x_{\text{data}})$  close to 1 and D(G(z)) close to 0
  - The generator is a "black box" to the discriminator



#### **GAN: Schematic picture**



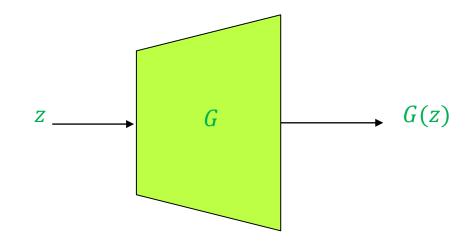
- Update generator: increase D(G(z))
  - Requires back-propagating through the composed generatordiscriminator network (i.e., the discriminator cannot be a black box)
  - The generator is exposed to real data only via the output of the discriminator and its gradients



## **GAN: Schematic picture**



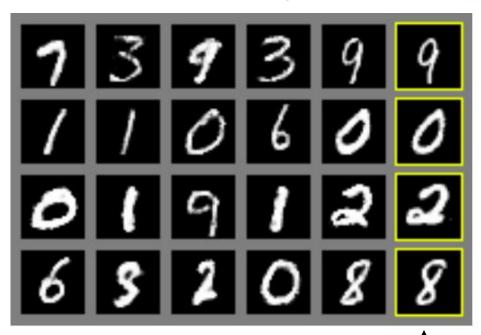
Test time – the discriminator is discarded



#### **Original GAN results**



MNIST digits



Toronto Face Dataset



Nearest real image for sample to the left

## **Original GAN results**



CIFAR-10 (FC networks)



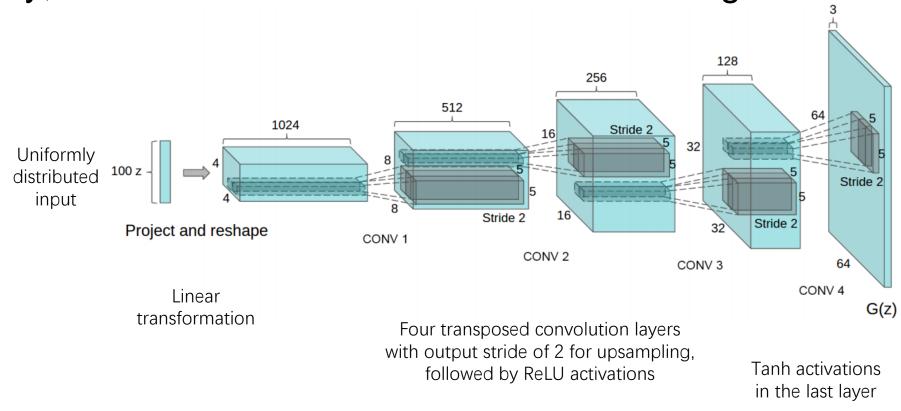
CIFAR-10 (conv networks)



#### **DCGAN**



Early, influential convolutional architecture for generator



#### **DCGAN**



- Early, influential convolutional architecture for generator
- Discriminator architecture (empirically determined to give best training stability):
  - Don't use pooling, only strided convolutions
  - Use Leaky ReLU activations (sparse gradients cause problems for training)
  - Use only one FC layer before the softmax output
  - Use batch normalization after most layers (in the generator also)



#### Generated bedrooms after one epoch



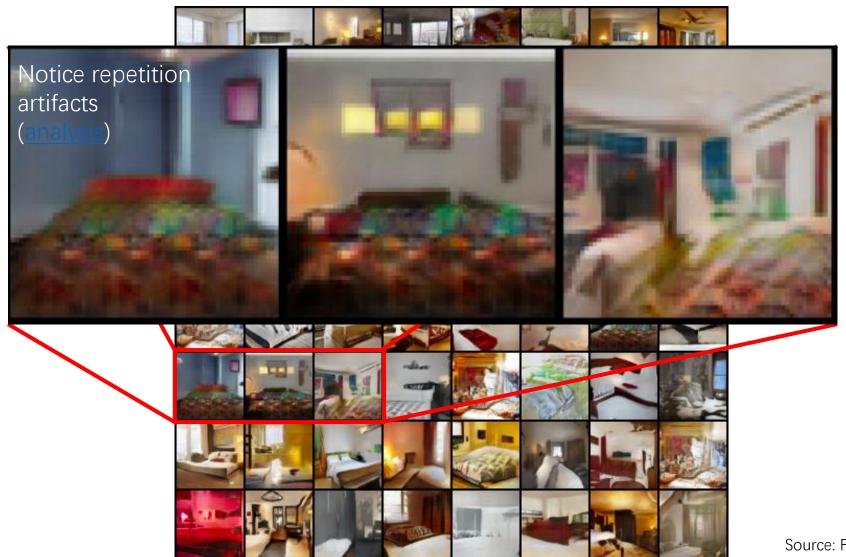


#### Generated bedrooms after five epochs



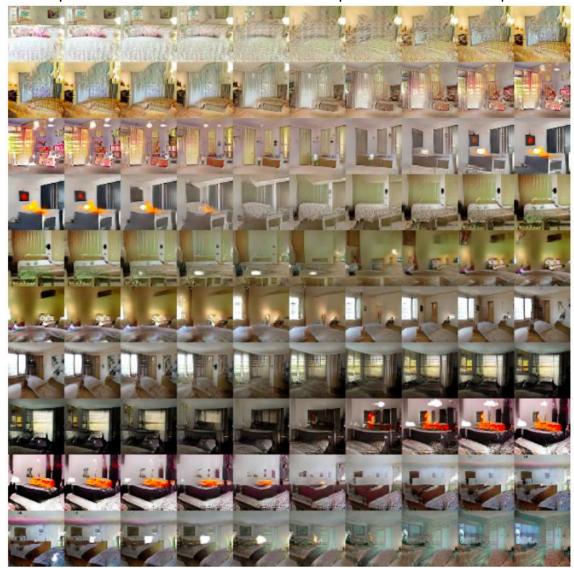


#### More bedrooms



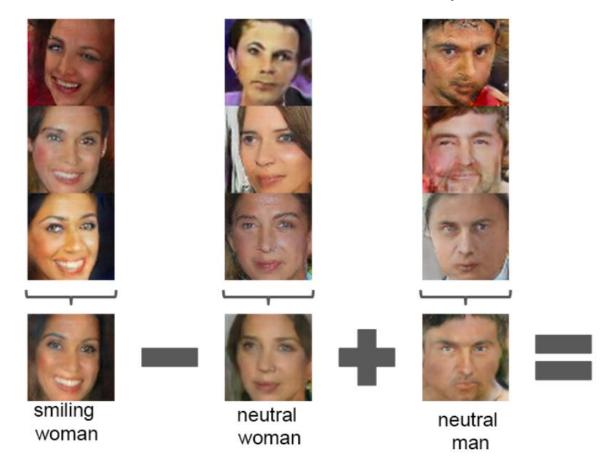


Interpolation between different points in the z space



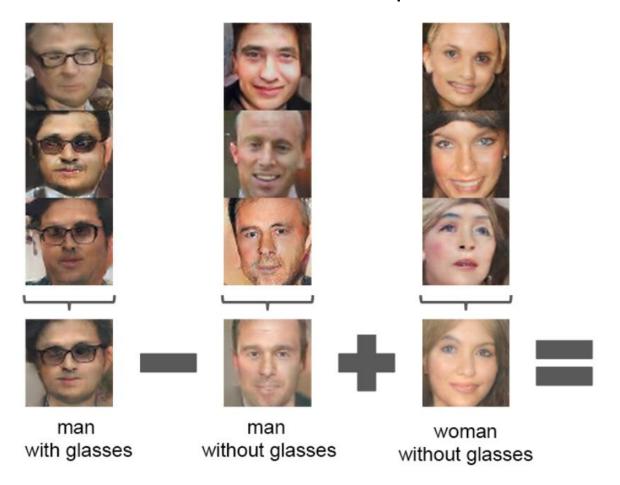


Vector arithmetic in the z space





Vector arithmetic in the z space





Pose transformation by adding a "turn" vector



#### **Problems with GAN training**

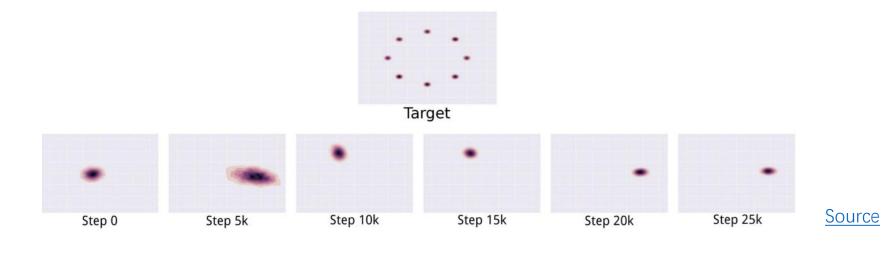


- Stability
  - Parameters can oscillate or diverge, generator loss does not correlate with sample quality
  - Behavior very sensitive to hyperparameter selection

#### **Problems with GAN training**



- Mode collapse
  - Generator ends up modeling only a small subset of the training data





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#### **Outline**



- Generative modeling tasks
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- Alternative GAN objectives

#### Wasserstein GAN (WGAN)



- Motivated by Wasserstein or Earth mover's distance, which is an alternative to JS divergence for comparing distributions
  - In practice, use linear activation instead of sigmoid in the discriminator and drop the logs from the objective:

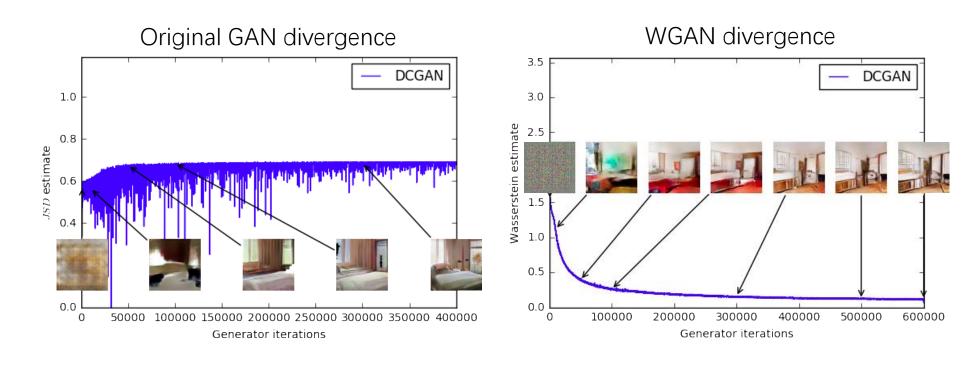
$$\min_{G} \max_{D} \left[ \mathbb{E}_{x \sim p_{\text{data}}} D(x) - \mathbb{E}_{z \sim p} D(G(z)) \right]$$

- Due to theoretical considerations, important to ensure smoothness of discriminator
- This paper's suggested method is clipping weights to fixed range [-c, c]

## Wasserstein GAN (WGAN)



- Benefits (claimed)
  - Better gradients, more stable training
  - Objective function value is more meaningfully related to quality of generator output



## Improved Wasserstein GAN (WGAN-GP)



- Weight clipping leads to problems with discriminator training
- Improved Wasserstein discriminator loss:

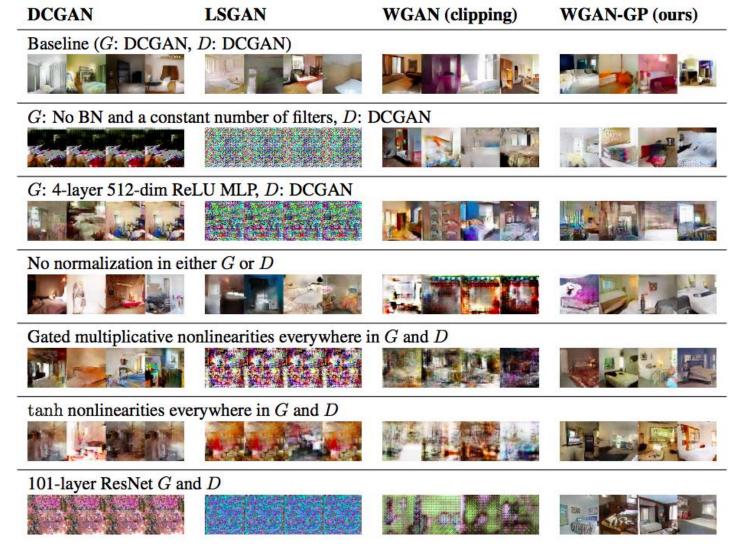
$$\mathbb{E}_{\tilde{x} \sim p_{\text{gen}}} D(\tilde{x}) - \mathbb{E}_{x \sim p_{\text{real}}} D(x)$$

$$+ \lambda \mathbb{E}_{\widehat{x} \sim p_{\widehat{x}}} [(\|\nabla_{\widehat{x}} D(\widehat{x})\|_2 - 1)^2]$$

Unit norm gradient penalty on points  $\hat{x}$  obtained by interpolating real and generated samples

#### Improved Wasserstein GAN: Results





## Least Squares GAN (LSGAN)



- Use least squares cost for generator and discriminator
  - Equivalent to minimizing Pearson  $\chi^2$  divergence

$$L_D = \mathbb{E}_{x \sim p_{\text{data}}}(D(x) - 1)^2 + \mathbb{E}_{z \sim p}(D(G(z)))^2$$

Push discrim.
response on real
data close to 1

Push response on generated data close to 0

$$L_G = \mathbb{E}_{z \sim p} (D(G(z)) - 1)^2$$

Push response on generated data close to 1

## Least Squares GAN (LSGAN)



- Benefits (claimed)
  - Higher-quality images



(a) Generated images (112  $\times$  112) by LSGANs.

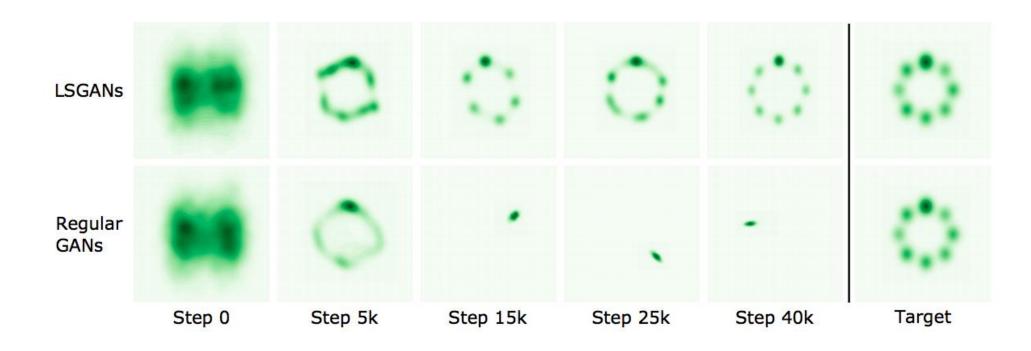


(b) Generated images (112  $\times$  112) by DCGANs.

## Least Squares GAN (LSGAN)



- Benefits (claimed)
  - Higher-quality images
  - More stable and resistant to mode collapse



#### **GAN** with hinge loss



• Discriminator: Drive discriminator score on real data above 1, on generated data below -1

$$L_D = -\mathbb{E}_{x \sim p_{\text{data}}} [\min(0, D(x) - 1)]$$
$$-\mathbb{E}_{z \sim p} [\min(0, -D(G(z)) - 1)]$$

Generator: maximize discriminator score on generated data

$$L_G = -\mathbb{E}_{z \sim p} D(G(z))$$

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