

## Midterm II

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### Exam Room:

- ☐ Evans 10    ☒ Wheeler 150    ☐ North Gate 105    ☐ Hearst Field Annex A1    ☐ VLSB 2060  
☐ Cory 540AB    ☐ Other

Please color the checkbox completely. Do not just tick or cross the box.

### Rules and Guidelines

- The exam is out of 110 points and will last 110 minutes.
- Answer all questions. Read them carefully first. Not all parts of a problem are weighted equally.
- Write your student ID number in the indicated area on each page.
- Be precise and concise. **Write in the solution box provided.** You may use the blank page on the back for scratch work, but it will not be graded. Box numerical final answers.
- The problems may **not** necessarily follow the order of increasing difficulty. *Avoid getting stuck on a problem.*
- Any algorithm covered in lecture can be used as a blackbox. Algorithms from homework need to be accompanied by a proof or justification as specified in the problem.
- Good luck!

## Discussion Section

Which of these do you consider to be your primary discussion section(s)? Feel free to choose multiple, or to select the last option if you do not attend a section. **Please color the checkbox completely. Do not just tick or cross the boxes.**

- ☐ Antares, Tuesday 5 - 6 pm, Mulford 240
- ☐ Kush, Tuesday 5 - 6 pm, Wheeler 224
- ☐ Arpita, Wednesday 9 - 10 am, Evans 3
- ☐ Dee, Wednesday 9 - 10 am, Wheeler 200
- ☐ Gillian, Wednesday 9 - 10 am, Wheeler 220
- ☐ Jiazheng, Wednesday 11 - 12 am, Cory 241
- ☐ Sean, Wednesday 11 - 12 am, Wurster 101
- ☐ Tarun, Wednesday 12 - 1 pm, Soda 310
- ☐ Jerry, Wednesday 1 - 2 pm, Wurster 101
- ☐ Jierui, Wednesday 1 - 2 pm, Etcheverry 3113
- ☐ Max, Wednesday 1 - 2 pm, Etcheverry 3105
- ☐ James, Wednesday 2 - 4 pm, Dwinelle 79
- ☐ David, Wednesday 2 - 3 pm, Barrows 140
- ☐ Vinay, Wednesday 2 - 3 pm, Wheeler 120
- ☐ Julia, Wednesday 3 - 4 pm, Wheeler 24
- ☒ Nate, Wednesday 3 - 4 pm, Evans 9
- ☐ Vislunu, Wednesday 3 - 4 pm, Moffitt 106
- ☐ Ajay, Wednesday 4 - 5 pm, Hearst Mining 310
- ☐ Zheng, Wednesday 5 - 6 pm, Wheeler 200
- ☐ Neha, Thursday 11 - 12 am, Barrows 140
- ☐ Fotis, Thursday 12 - 1 pm, Dwinelle 259
- ☐ Yeshwanth, Thursday 1 - 2 pm, Soda 310
- ☐ Matthew, Thursday 2 - 3 pm, Dwinelle 283
- ☐ Don't attend Section.

## 1 True/False. (22 pts)

- (a) In every connected graph in which there is more than one edge of minimum cost, there is more than one minimum spanning tree

☐ True ☒ False

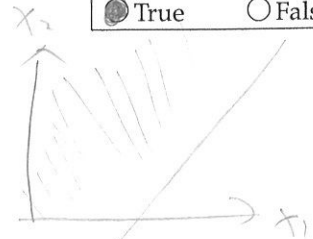
- (b) If a connected graph has a cycle in which all the edge costs are the same, then the graph has more than one minimum spanning tree

☒ True ☐ False

- (c) (8 pts) Consider the following LP:

$$\begin{aligned} \max & x_1 + x_2 \\ & x_1 - x_2 \leq 3 \\ & x_1, x_2 \geq 0 \end{aligned}$$

Handwritten notes:  $x_2 = x_1 - 3$ ,  $y_1 \geq 1 - y_1 \geq 1$ ,  $y_1 \leq -1$



- (i) The point  $(x_1, x_2) = (0, 5)$  is feasible for the LP

$$0 \leq 3 + 5 = 8$$

☒ True ☐ False

- (ii) The point  $(x_1, x_2) = (3, 0)$  is a vertex for the feasible region of the LP

☒ True ☐ False

- (iii) The linear program is bounded

☐ True ☒ False

- (iv) The dual of the linear program is feasible

☐ True ☒ False

- (d) Given an undirected graph, the shortest path between any two nodes will belong to some minimum spanning tree of the graph.

☐ True ☒ False

- (e) (6 pts) For the following, consider a network  $G$  with an  $s - t$  flow  $f$ . We say an edge in  $G$  is *saturated* when the flow across the edge is equal to the capacity.

- (i) If  $f$  is a maximum flow, then  $f$  saturates all the edges going into  $t$

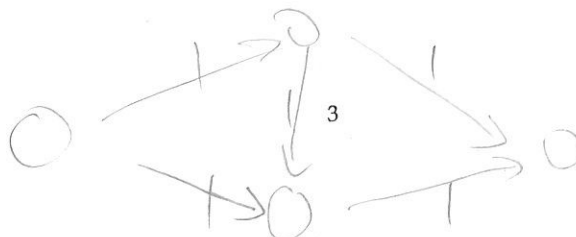
☐ True ☒ False

- (ii) Let  $f$  be a maximum flow, and let  $G'$  be the result of removing all edges in  $G$  that are saturated by  $f$ . There is no path from  $s$  to  $t$  in  $G'$ .

☒ True ☐ False

- (iii) Let  $f$  be any flow (not necessarily a maximum flow), and let  $G'$  be the result of removing all edges in  $G$  that are saturated by  $f$ . If there is no path from  $s$  to  $t$  in  $G'$ , then  $f$  is a maximum flow in  $G$ .

☐ True ☒ False



- (f) Let  $G = (V, E)$  be an undirected complete graph with edge weights given by  $w_{uv}$ . Define the subproblem  $d[i, j]$  to be the length of the shortest path from vertex  $i$  to vertex  $j$ , with the following recurrence relation:

$$d[i, j] = \min \left( w_{ij}, \min_{v \in V} (d[i, v] + d[v, j]) \right), \quad \text{if } i \neq j$$
$$d[i, i] = 0$$

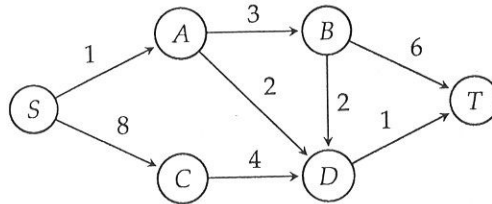
Given only  $G$ , there exists a dynamic programming algorithm that uses this recurrence relation to compute  $d[i, j]$  for all  $i, j \in V$ .

☐ True ☒ False

## 2 Go With the Flow.

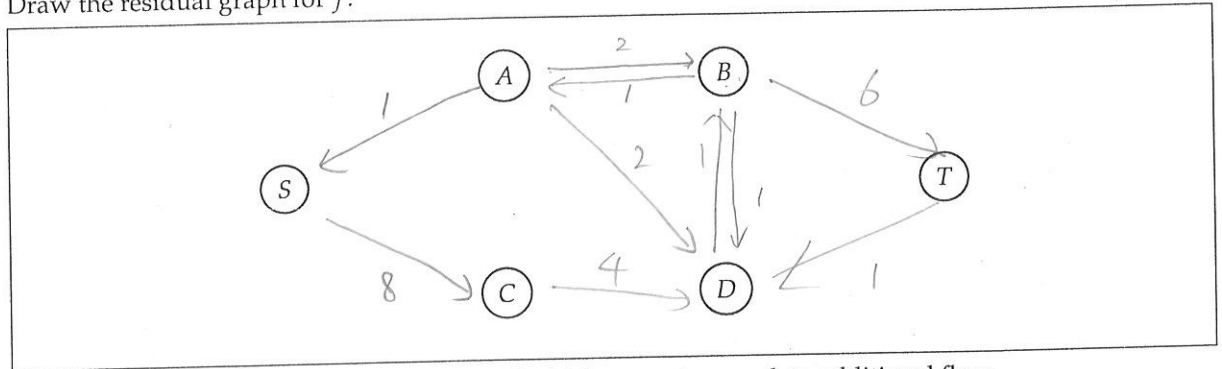
(10pts) Recall that the Ford-Fulkerson algorithm iteratively uses the residual network to compute the max flow.

(a) (6 pts) Consider the following network:



Let  $f$  be a flow that assigns 1 unit of flow on the path  $S \rightarrow A \rightarrow B \rightarrow D \rightarrow T$  and 0 flow elsewhere.

(i) Draw the residual graph for  $f$ .



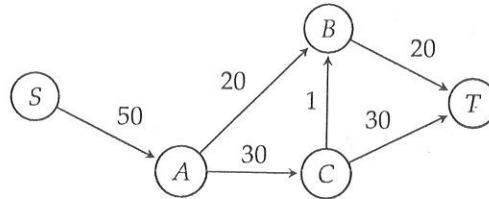
(ii) Give a path in the residual graph from  $S$  to  $T$  which can accommodate additional flow.

$S \rightarrow C \rightarrow D \rightarrow B \rightarrow T$

(iii) What is the value of the maximum flow on this network?

2

(b) (4 pts) Consider the following network:



For the next questions, consider all possible sequences of iterations of Ford-Fulkerson.

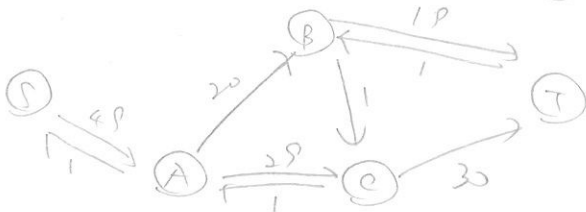
(i) What is the minimum number of iterations until there is no longer any path from  $S$  to  $T$  in the residual network?

2

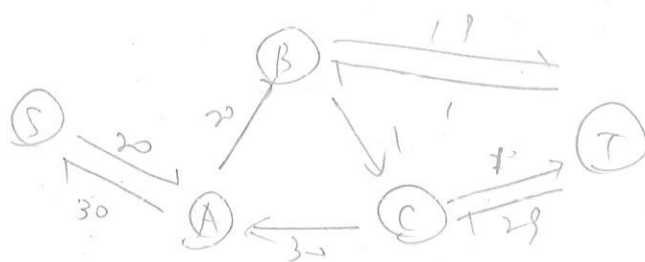
(ii) What is the maximum number of iterations until there is no longer any path from  $S$  to  $T$  in the residual network?

4

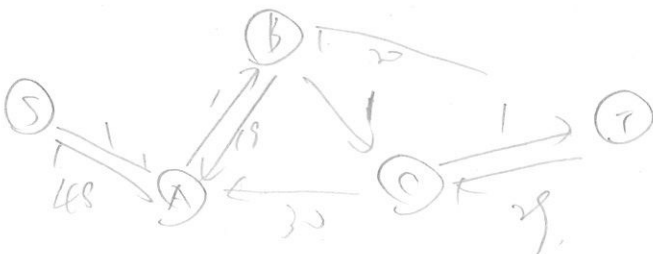
①  $S \rightarrow A \rightarrow C \rightarrow B \rightarrow T$  ①



②  $S \rightarrow A \rightarrow C \rightarrow T$  ②



③  $S \rightarrow A \rightarrow B \rightarrow T$  ③



### 3 Grab bag. (14 pts)

- (a) (4 pts) Formulate the dual of the linear program below in terms of the variables  $y_1$  and  $y_2$ .

$$\begin{array}{ll} \max & x_1 + x_3 \\ y_1 & x_1 - x_2 \leq 5 \\ y_2 & x_1 + 3x_2 - x_3 \leq 2 \\ & x_1, x_2, x_3 \geq 0 \end{array}$$

$$(y_1 + y_2)x_1 + (-y_1 + 3y_2)x_2 + (-y_2)x_3 \leq 5y_1 + 2y_2$$

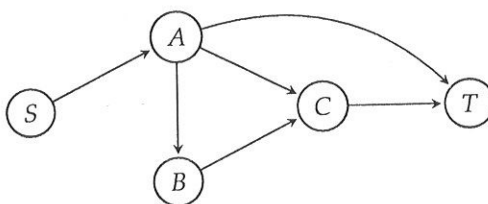
$$\begin{array}{ll} \min & 5y_1 + 2y_2 \\ & y_1 + y_2 \geq 1 \\ & -y_1 + 3y_2 \geq 0 \\ & -y_2 \geq 0 \end{array}$$

- (b) (4 pts) Recall the dynamic programming algorithm that finds the shortest path from  $s$  to  $t$  in an unweighted DAG. Let  $P(u)$  denote the length of the shortest path from  $u$  to  $t$ , and recall that:

$$P(u) = \min_{(u,v) \in E} 1 + P(v)$$

$$P(t) = 0$$

Suppose the algorithm was run on the DAG below. Give an order in which the subproblems will be solved from first to last (e.g. " $P(S), P(B), P(C), \dots$ ")



$$P(T), P(C), P(B), P(A), P(S)$$

- (c) (2 pts) Consider the following set of clauses defining a HORN-SAT formula  $\varphi(w, x, y, z, a, b)$ :

$$\Rightarrow x, \quad x \Rightarrow y, \quad x \wedge y \Rightarrow w, \quad y \wedge w \Rightarrow z, \quad (x \vee \bar{w} \vee y \vee \bar{z} \vee \bar{a} \vee \bar{b})$$

How many satisfying assignments are there for  $\varphi$ ?

- (d) (4 pts) We have a primal LP with objective  $\max x_1 + 4x_2$ , and a dual LP with objective  $\min y_1 - 2y_2 + 0.5y_3$ . Suppose that the points  $(0, 0.5)$  and  $(3, 1, 2)$  are feasible in the primal and dual respectively. Argue in two or fewer sentences that they are also optimal for their respective LPs.

$$x_1 + 4x_2 = 0 + 4 \cdot 0.5 = 2 \quad y_1 - 2y_2 + 0.5y_3 = 3 - 2 + 1 = 2$$

Since they are equal, both of them are optimal!



SID:

353400422)

Midterm II

P. Raghavendra &amp; L. Trevisan

#### 4 Bounding Codewords.

(6 pts) Consider the Huffman encoding for an alphabet of characters  $c_1, \dots, c_n$  such that  $n = 2^k$  for integer  $k \geq 1$ , with respective frequencies  $f_1, \dots, f_n$ . For each of the following characters, provide as a function of  $n$  the minimum and maximum possible codeword length for all sets of  $f_i$  such that  $0 < f_1 \leq \dots \leq f_n < 1$ .

- (a) The most frequent character,  $c_n$

Minimum:

1

Maximum:

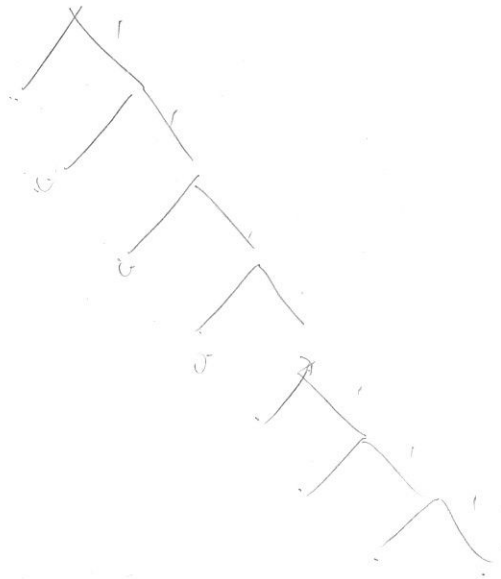
 $\log(n)$ 

- (b) The least frequent character,  $c_1$

Minimum:

 $\log(n)$ 

Maximum:

 $n-1$ 

7

## 5 Tilted.

(8 pts) Consider the following family of linear programs parameterized by some real number  $c$ :

$$\begin{aligned} \max \quad & x + y \\ \text{s.t.} \quad & x \leq 5 \\ & y \leq 5 + cx \\ & x, y \geq 0 \end{aligned}$$

$$\begin{aligned} 2 &= x + y \\ -x + 2 &= y \end{aligned}$$

$$\begin{aligned} 0 &\leq 5 + 3c \\ -1 &\leq c \end{aligned}$$

For each of the questions below, describe all possible values of  $c$ , e.g. " $c \leq -3$  or  $5 < c \leq 10$  or  $c = 15$ ". If there are no possible values, write "none". If all real values are possible, write "all".

(a) For what values of  $c$  is the point  $(x, y) = (5, 0)$  feasible?

$$c \geq -1$$

(b) For what values of  $c$  does the LP have infinitely many optimal points?

$$c = -1$$

(c) For what values of  $c$  is the LP unbounded?

none.

(d) For what values of  $c$  is the dual LP bounded? (Hint: you do not need to construct the dual to answer this question)

all.

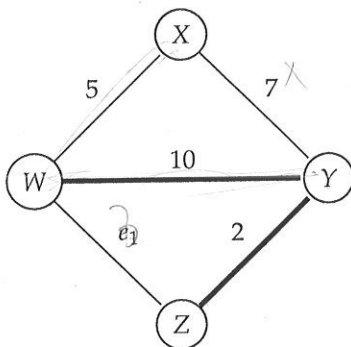
## 6 Happy Little Trees.

(10 pts) For each of the following graphs, all  $e_i$  can vary between 1 and 100, inclusive.

For each bolded edge below, mark **exactly one** of ABCD:

- Mark A if for all possible values of the  $e_i$ 's, every MST contains the bolded edge
- Mark B if for all possible values of the  $e_i$ 's, only some MSTs (at least one, but not all) contain the bolded edge
- Mark C if for all possible values of the  $e_i$ 's, no MST contains the bolded edge
- Mark D if none of the above

(a)

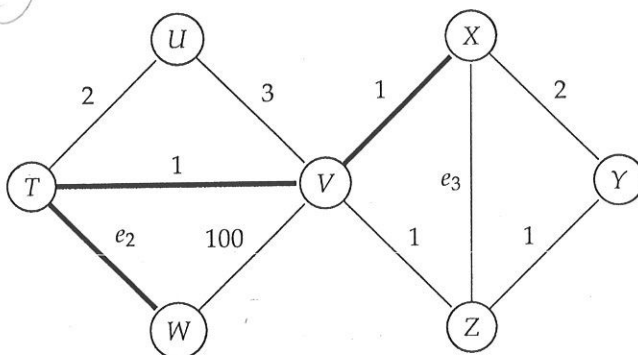


(i) The edge  $\{W, Y\}$

(ii) The edge  $\{Z, Y\}$

<input checked="" type="radio"/> A	<input type="radio"/> B	<input checked="" type="radio"/> C	<input type="radio"/> D
<input type="radio"/> A	<input type="radio"/> B	<input type="radio"/> C	<input checked="" type="radio"/> D

(b)



(i) The edge  $\{T, V\}$

(ii) The edge  $\{T, W\}$

(iii) The edge  $\{V, X\}$

<input checked="" type="radio"/> A	<input type="radio"/> B	<input type="radio"/> C	<input type="radio"/> D
<input type="radio"/> A	<input type="radio"/> B	<input type="radio"/> C	<input checked="" type="radio"/> D
<input type="radio"/> A	<input type="radio"/> B	<input type="radio"/> C	<input checked="" type="radio"/> D

## 7 Sparse Graph MSTs.

(12 pts) You are given a weighted, connected, undirected graph  $G = (V, E)$  such that  $|E| = |V|$ . Give an algorithm that finds a minimum spanning tree in time  $O(|V|)$ .

(a) Main Idea

Since  $|E| = |V|$ , there must exist a cycle in the graph. And remove one edge within this cycle will give a spanning tree.  
Therefore run DFS or BFS to identify the cycle.  
Then remove the edge within the cycle with the largest weight.

(b) Runtime analysis

DFS or BFS will cost  $O(|V| + |E|) = O(2|V|) = O(|V|)$  time.  
And iterating through all edges within this cycle will take at most  $O(|E|) = O(|V|)$  time.  
Therefore the overall runtime is  $O(|V|)$ .

## 8 Points on a Line.

(14 pts) You are given a set  $S$  of  $n$  points on a line. The points are given to you in sorted order. You want to find a set  $C$  of points of minimum size such that every point in  $S$  is at distance at most 1 from at least one point in  $C$ . (Note that the points in  $C$  need not belong to  $S$ .) You would like a greedy algorithm that runs in time polynomial in  $n$  and finds an optimal solution.

For example, given the points  $S = \{2.7, 3.2, 3.6, 4, 4.9, 5.2\}$ , a possible solution is  $C = \{3.5, 5\}$ , and there is no smaller solution.

- (a) Describe your greedy algorithm (3 sentences or less)

Scan  $S$  from left to right, find the first point that is not satisfied with the requirement. Denote this point as  $S_i$  and put  $S_i + 1$  into  $C$ . Keep doing this until all points are satisfied.

- (b) Argue for its correctness using an exchange argument.

Suppose my solution is  $C_0 = \{c_1, c_2, \dots, c_n\}$ .  
And there's another solution  $C_1 = \{c'_1, c'_2, \dots, c'_n\}$ .  
Suppose  $c_i/c'_i$  are the first point that be different in these two solution. By my greedy approach,  $c'_i < c_i$ .  
Otherwise some points will not be satisfied. So change  $c'_i$  to  $c_i$  will improve  $C_1$  and possibly give a better solution. Therefore greedy approach is optimal.

- (c) What's the runtime of your algorithm?

$O(n)$

$$P(m_1, \dots, m_n, B)$$

## 9 I am the Machine(s).

(14 pts) You have a mission-critical production system that consists of  $n$  stages that must be completed in sequence. Each stage  $i$  can only be completed by a machine of type  $M_i$ . Unfortunately, the machines are faulty, and machines of type  $M_i$  fail with probability  $f_i$  (you may assume all failures are independent of each other).

A system with one copy of each machine type will succeed with probability  $(1 - f_1) \cdot (1 - f_2) \cdots (1 - f_n)$ . By adding redundant machines of type  $M_i$ , stage  $i$  is not completed only if all machines of type  $M_i$  fail. Therefore, if we have  $m_i$  machines of type  $M_i$ , the probability that stage  $i$  is completed is  $1 - f_i^{m_i}$  and the probability that the whole system succeeds is now  $\prod_{i=1}^n (1 - f_i^{m_i})$ . Notice that if any of the  $m_i = 0$ , the success probability will be zero.

Unfortunately, you only have  $B$  dollars to spend on machines, and it costs  $c_i$  dollars to purchase each machine of type  $M_i$ . You may assume both  $B$  and the  $c_i$ 's are positive integers.

Give a dynamic programming algorithm that finds the maximum achievable success probability while staying under budget. Your algorithm should compute a probability, not specific values for each  $m_i$ .

More formally:

You are given probabilities  $f_1, \dots, f_n$ , costs  $c_1, \dots, c_n$ , and nonnegative integer budget  $B$ . Give a dynamic programming algorithm to compute the maximum success probability  $\prod_{i=1}^n (1 - f_i^{m_i})$  where each  $m_i$  is a nonnegative integer and  $\sum_i c_i m_i \leq B$ .

- (a) Define your subproblems.

$P(m_1, m_2, \dots, m_n, B)$  represents the probability that have  $B$  money left and  $m_i$  copy of machine type  $i$

- (b) What are the base cases?

if  $B < \min(c_i)$ ,  $P(m_1, m_2, \dots, m_n, B) = \prod_{i=1}^n (1 - f_i^{m_i})$

- (c) Write the recurrence relation for the subproblems.

$$P(m_1, m_2, \dots, m_n, B) = \max \begin{cases} P(m_1+1, m_2, \dots, m_n, B-c_1) & \text{if } B-c_1 \geq 0 \\ P(m_1, m_2+1, \dots, m_n, B-c_2) & \text{if } B-c_2 \geq 0 \\ \vdots \\ P(m_1, m_2, \dots, m_n+1, B-c_n) & \text{if } B-c_n \geq 0 \end{cases}$$