### CS 170 DIS 06

#### Released on 2019-2-25

### 1 Horn Formula Practice

Find the variable assignment that solves the following horn formulas:

1. 
$$(w \land y \land z) \Rightarrow x, (x \land z) \Rightarrow w, x \Rightarrow y, \Rightarrow x, (x \land y) \Rightarrow w, (\bar{w} \lor \bar{x} \lor \bar{y}), (\bar{z})$$

2. 
$$(x \land z) \Rightarrow y, z \Rightarrow w, (y \land z) \Rightarrow x, \Rightarrow z, (\bar{z} \lor \bar{x}), (\bar{w} \lor \bar{y} \lor \bar{z})$$

## 2 Longest Huffman Tree

Under a Huffman encoding of n symbols with frequencies  $f_1, f_2, \ldots, f_n$ , what is the longest a codeword could possibly be? Give an example set of frequencies that would produce this case, and argue that it is the longest possible.

# 3 Proof of Huffman Coding

In this question, we will prove that Huffman coding indeed produces the best prefix-free code for a given set of characters and associated frequencies. Recall that we are given as input a set of characters  $c_1, \ldots, c_n$  and frequencies  $f_1, \ldots, f_n$  and the goal is produce a binary tree T where the leaves of the tree correspond to the characters  $c_i$  which is as efficient as possible. That is, the tree produced should minimize  $\sum_{i=1}^n f_i d_T(c_i)$  where  $d_T(c_i)$  denotes the depth of  $c_i$  in the tree, T. For this question, we will view Huffman coding as a recursive algorithm which proceeds along the following lines:

- 1. Merge the two characters with the lowest frequencies, say  $c_1$  and  $c_2$ , to produce a "meta-character",  $(c_1, c_2)$ .
- 2. Run the Huffman tree procedure on the set of characters  $(c_1, c_2), c_3, \ldots, c_n$  with frequencies  $(f_1 + f_2), f_3, \ldots, f_n$ .
- 3. Let the tree obtained in the previous step be  $T^{\dagger}$ . Replace the node corresponding to  $(c_1, c_2)$  with an internal node with two children  $c_1$  and  $c_2$  to produce the final tree T.
- (a) For the first part of the question, we will prove that every internal node of the optimal tree,  $T^*$ , has two children. (*Hint: Does a violation of this property create a contradiction?*)

(b) Now, let  $c_1$  and  $c_2$  be the two characters with the lowest frequencies. Prove that the cost of the optimal tree,  $T^*$ , can only reduce if  $c_1$  and  $c_2$  are made siblings in the lowest leaves of the tree.

(c) Conclude via induction that Huffman coding indeed produces the optimal tree. (Hint: Can you relate the cost of the tree, T, produced by Huffman coding to the cost of  $T^{\dagger}$ ?)