

DSA [02/14/24]

PRIORITY QUEUE

HEAP SORT
HEAP

NEED DEFAULT
CONSTRUCTOR TO MAKE
EMPTY HEAP

ARRAY ← FIXED SIZE. DON'T
INSERT PAST SIZE!

BASIC OPERATIONS:

- HEAPIFY ↓ $O(\lg n)$
- BUILD HEAP* $O(n)$
- HEAP SORT $O(n \lg n)$
- HEAP INSERT $O(\lg n)$
- EXTRACT MAX $O(\lg n)$
- INCREASE KEY ↑ $O(\lg n)$

PRESERVE HEAP PROPERTY

ARRAY → HEAP

HEAP → SORTED ARRAY

ADD NEW VALUE

REMOVE TOP / FRONT (MAX HEAP)

PROMOTE ELEMENT; SUPPORT FOR INSERT

PUT
COMPLEXITY
ON SUPPORT

SUPPORT FUNCTIONS:

- SWAP(i, j) → SWAPS $A[i]$ AND $A[j]$

- PARENT(i)

$(i+2)/2$ →

- LEFT(i)

$(i+2)/2$ →

- RIGHT(i)

- INDEX OF MAX(i, j, k)

← RETURN INDEX OF
MAX $A[i], A[j], A[k]$

(UP TO
3. ELEMENT)

GUARANTEED
GOOD INDEX

POSSIBLY
INVALID

IF LEGAL / IN BOUND

REVIEW: HEAP!

HEAP PROPERTY
PQ MAX HEAP:



HIGHEST (NUMERIC PRIORITY)

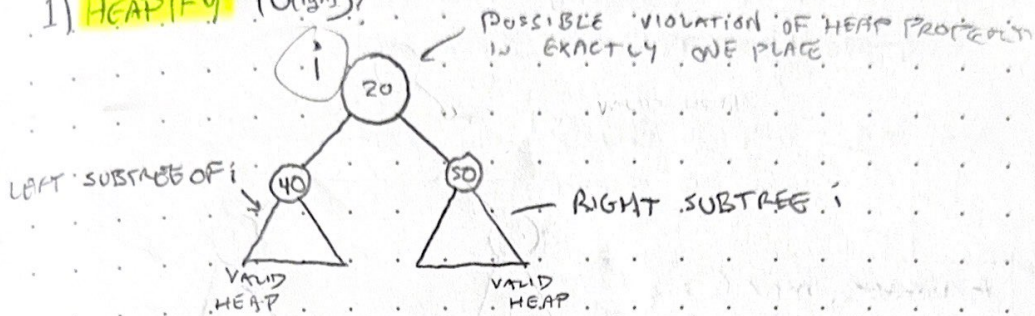
IN OUR PROJECT THE
DATA ARE INTS!

VALUE OF NODE IS AT
LEAST THE VALUE OF
PARENT

$$A[i] \leq A[\text{PARENT}(i)]$$

BASIC OPERATIONS

1) HEAPIFY ($O(\log n)$)



HEAPIFY WILL MOVE VIOLATION DOWN THE HEAP UNTIL PEACE IS RESTORED!

PSEUDO CODE:

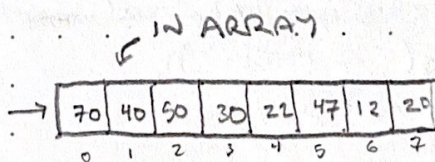
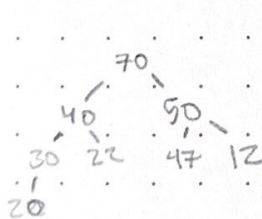
```

heapify(i) {
    n = index_of_max(i, left(i), right(i))
    IF (n != i) {
        SWAP(i, n); SWAP A[i] & A[n]
        heapify(n)
    }
}
    
```

CALL ANY TIME
YOU THINK THERE
IS A VIOLATION

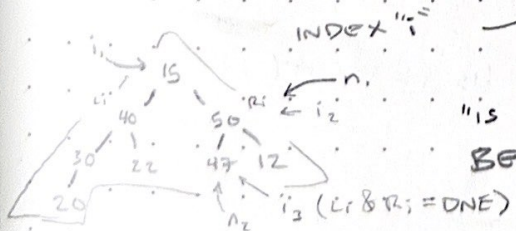
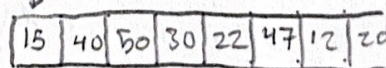
CODE RECURSIVELY!

EXAMPLE HEAP:



HEAPIFY FIXES THIS

LET'S SAY 15 IS A[0] →



"IS THE HEAD/FRONT SUPPOSED TO BE THERE? IF NOT, MOVE!"

COMPARE TO SIZE (BOUNDS) OF HEAP
L_i & R_i POSITION

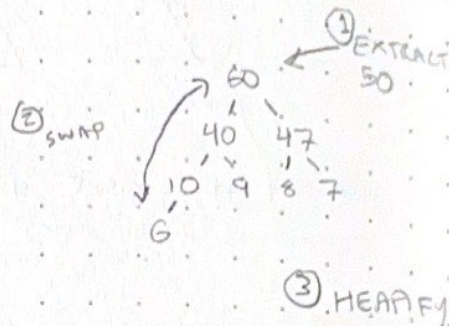
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BASIC OPERATIONS CONTINUED

2) **EXTRACT MAX** ($O(\lg n)$) - "How WE PULL STUFF"
- REMOVE FRONT ELEMENT FROM HEAP!

STOP #1: CHECK BOUNDS! YOU CANT EXTRACT IF THERE ARE NO ELEMENTS!

```
EXTRACT MAX() {
    int max = A[0];
    O(1) {
        A[0] = A[SIZE-1];
        SIZE--;
    }
    O(1) - HEAPIFY(0)
    O(1) - RETURN MAX;
}
```



WE MAY WANT TO INCREASE VAL. TO MOVE PRIORITY!

PUBLIC! INDEX KEY
3) **INCREASE KEY**(i, k) { $O(\lg n)$

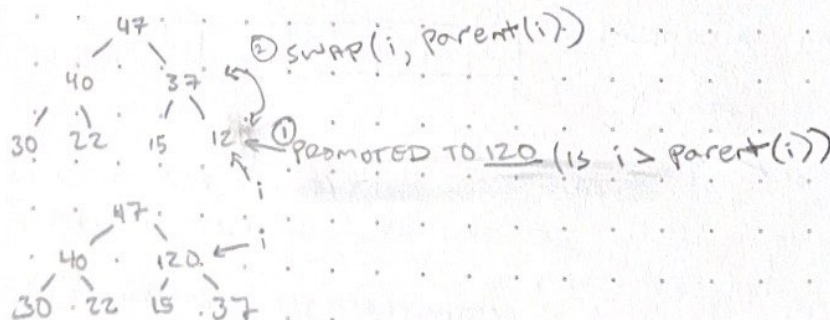
// BOUNDS ETC. CHECKING

// MAKE SURE IT IS IN BOUNDS AND AN ACTUAL INCREASE

IF (i < 0 OR i > SIZE-1) OR (A[i] >= k) RETURN!

PROMOTE { A[i] = k; // PROMOTED A[i]

MOVE UP UNTIL IT IS IN THE RIGHT SPOT!
While (i > 0 && A[parent(i)] < A[i]) {
 SWAP(i, parent(i));
 i = parent(i);
}



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BASIC OPERATIONS: CONTINUED

PUBLIC

4) **HEAP INSERT**(val) { $O(\lg n)$

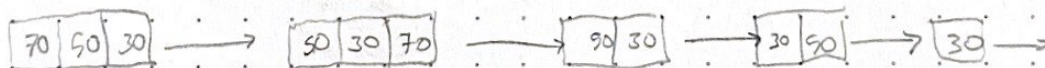
$O(1)$ { size++;
A[size-1] = val; — NOW WE CAN CALL INCREASE KEY
 $O(\lg n)$ { INCREASE_KEY(size-1, val);
} }
// BOUNDS / SIZE CHECK

UNLIKELY TO NEED IT, BUT REQUIRED TO CODE

5) **BUILD HEAP**() { // $O(n)$
for(int i = size/2 - 1; i >= 0; i--) {
 heapify(i); // $O(\lg n)$
}
}

ANY VALUES IN AN ARRAY REARRANGED INTO A (MAX) HEAP

6) **HEAP SORT**() { $O(n \lg n)$ - REQUIRES HEAP
for(int i = size-1; i > 0; i--) { - RETURNS SORTED ARRAY (NOT HEAP)
 SWAP(0, i); - MUST BE EXACTLY THE SIZE
 size--; OF THE SORTED ELEMENTS
 heapify(0); // $O(\lg n)$ - USE 2 ARRAYS AT MAX,
 ONE PASS OF COPYING
}



HEAP1 ^{copy} → HEAP2
 ↓
HEAPSORT(HEAP2) → SORTED ARRAY