

CS-21 LECTURE #3

Heap: Nearly complete binary tree that respects the heap property

heap

array

can be used to build structures

Priority Queue

Heapsort

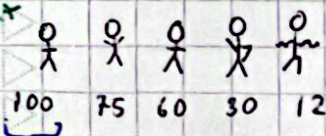
heap

array

= efficient implementation for heap...

Priority queue:

Front



Back

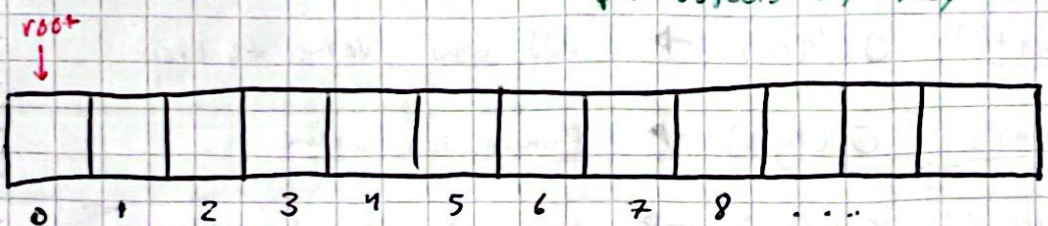
Min heap: Smallest value at front

max heap: Biggest value at front

a heap \neq the heap

$$A[\text{Parent}(i)] \geq A(i)$$

Imagine a heap of integers (or objects w/ key value)



"Zero based heap"

root is $A[0]$

$$\text{Parent}(i) = (i-1)/2$$

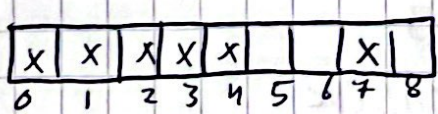
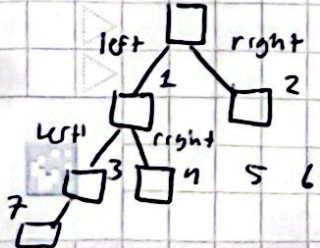
$$\text{Left}(i) = i * 2 + 1$$

$$\text{Right}(i) = i * 2 + 2$$

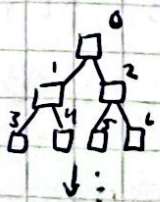
Binary Tree:

Note can have 0, 1, or 2 children

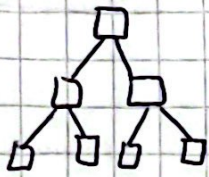
0 \leftarrow Array index to store this node...



Complete tree = A tree where every level is filled...



"Height of a node" - Defined as # of edges on longest simple downward path from node to a leaf



height = 0

$$\text{HEIGHT} = O(\lg n)$$

Basic Heap Operations

Heapify(i) $O(\lg n)$ \rightarrow To maintain heap

Build Heap() $O(n)$ \rightarrow array \rightarrow heap

Heapsort() $O(n \lg n)$ \rightarrow heap \rightarrow sorted array

Heap insert() $O(\lg n)$ \rightarrow add new value to heap

Extract max() $O(\lg n)$ \rightarrow Remove top value

Increase key() $O(\lg n)$ \rightarrow Promote an element; helper to insert

Support Heap Operations

Swap(i, j) \rightarrow swaps $A[i]$ & $A[j]$

parent(i)
left(i)
right(i)

} Inline

Index of max(i, j, k)

return index of max value

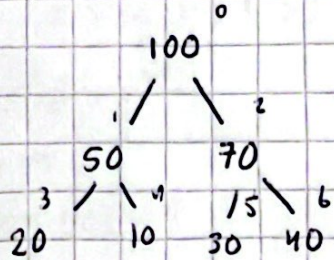
$A[i], A[j], A[k]$

WITH BOUNDS CHECK

Heapify (i)

assuming that binary heap is rooted at $\text{left}(i)$ & $\text{right}(i)$ are valid heaps but that node at i might violate the heap property

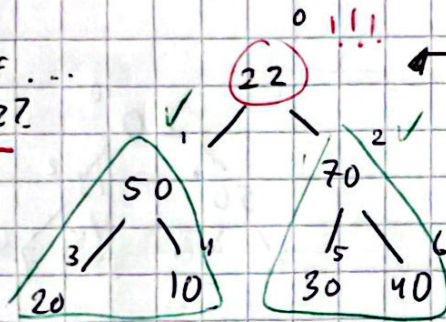
$$A[\text{parent}(i)] \geq A(i)$$



A valid heap!

100	50	70	20	10	30	40
0	1	2	3	4	5	6

What if...
added 22?

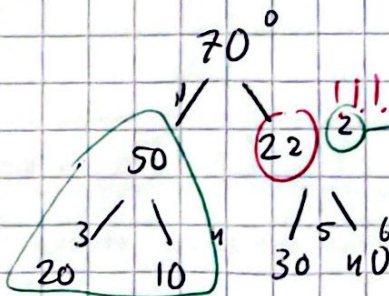


Heapify called to fix this!

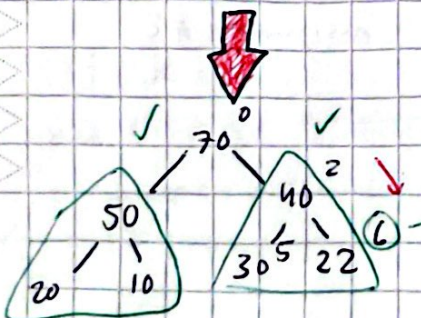
HOW?



Value at i "floats downwards" so that the heap rooted at i becomes a heap. Check for new violation at swapped elm.



Heapify (2)



Heapify (6)

Heapify(i) {

int n = index of max(i, left(i), right(i));

if (n != i) {

swap(i, n)

heapify(n)

}

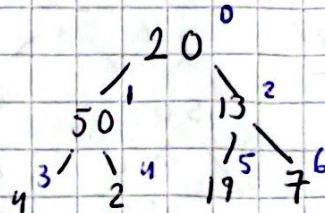
}

Private

Build heap

Convert an array $A[0 \dots n-1]$
(unsorted) into a heap

Elements $A[\lfloor n/2 \rfloor \dots n-1]$



~~calls~~ ~~to call heap~~ calls heapify a shift of \pm times!

Build heap() {

for(int i = size/2 - 1; i >= 0; i--) {

heapify(i)

}

↖ $O(\lg n)$

}

⌊ Have to code this but won't be needed for assignment #2

Heapsort {

- assumes heap
- Build heap $O(n)$

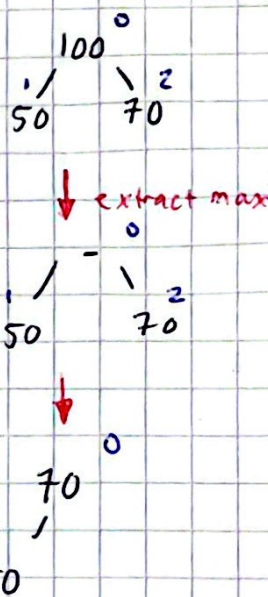
```
for( int i = size-1; i > 0; i-- ) {
    swap(0, i);
    size--;
    heapify(0);
}
```

Implementation Requirements:

- Returns sorted array
- Heap is preserved
- Do minimum work
- Remember size
- Restore size
- Another array (copy values into this)
- Return sorted array must be exactly size of its elements.

ExtractMax {

```
// Check size...
int max = A[0];
A[0] = A[size-1];
size--;
heapify(0);
return max;
```



Size: 3

100	50	70	
0	1	2	3

Public

increasekey(i, k) { i = index, k = key value

```
// Bounds check, don't forget...
if (A[i] < k) return;
A[i] = k;
while (i > 0 & A[parent(i)] < A[i]) {
    swap(i, parent(i));
    i = parent(i);
}
```


public

heap Insert (int k) {

// bounds? Check size

size ++;

A[size-1] = k;

increaseKey (size-1, k);

}