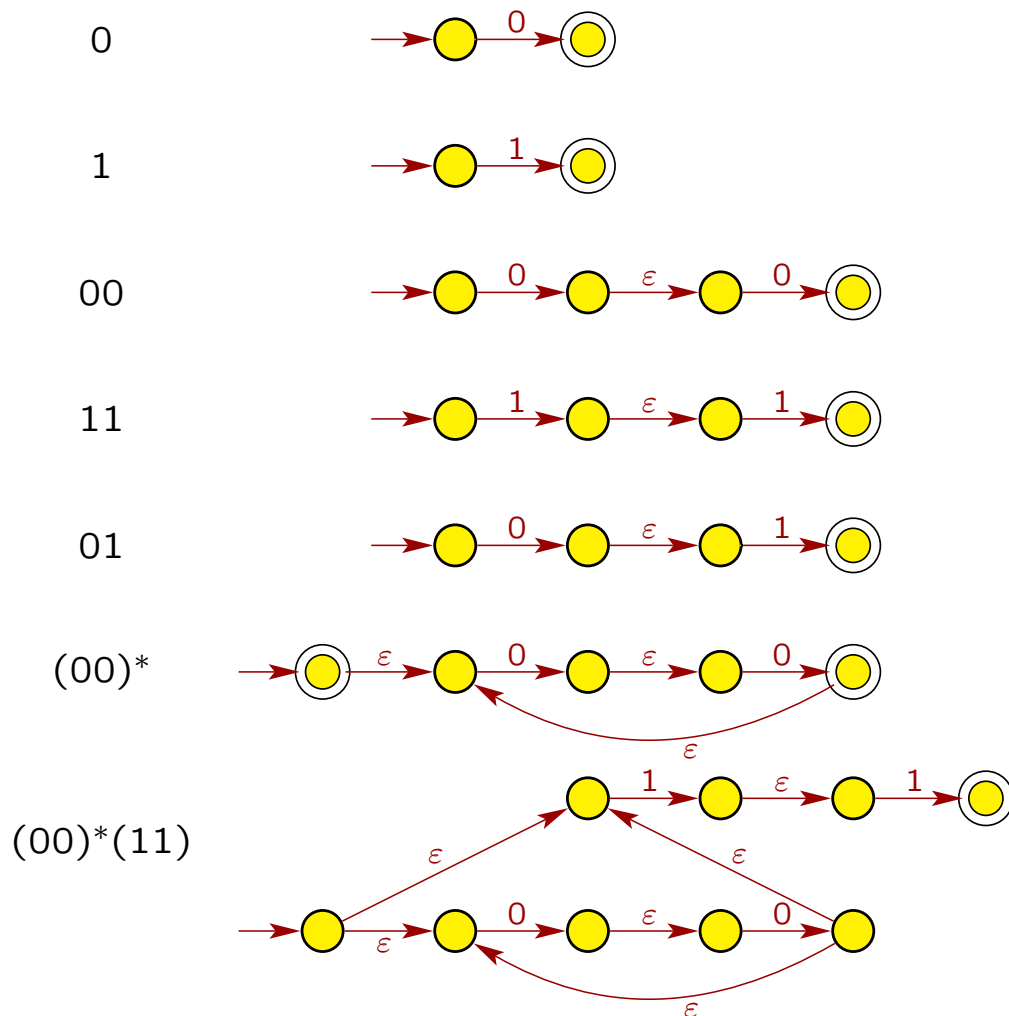


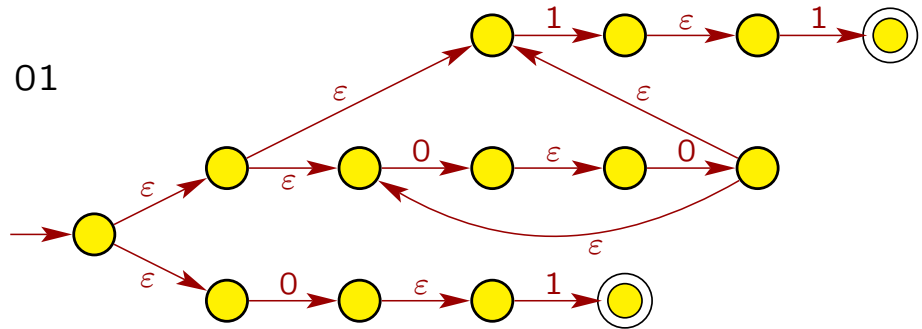
## Homework 4

- Use the procedure described in Lemma 1.55 to convert the regular expression  $((00)^*(11)) \cup 01)^*$  into an NFA.

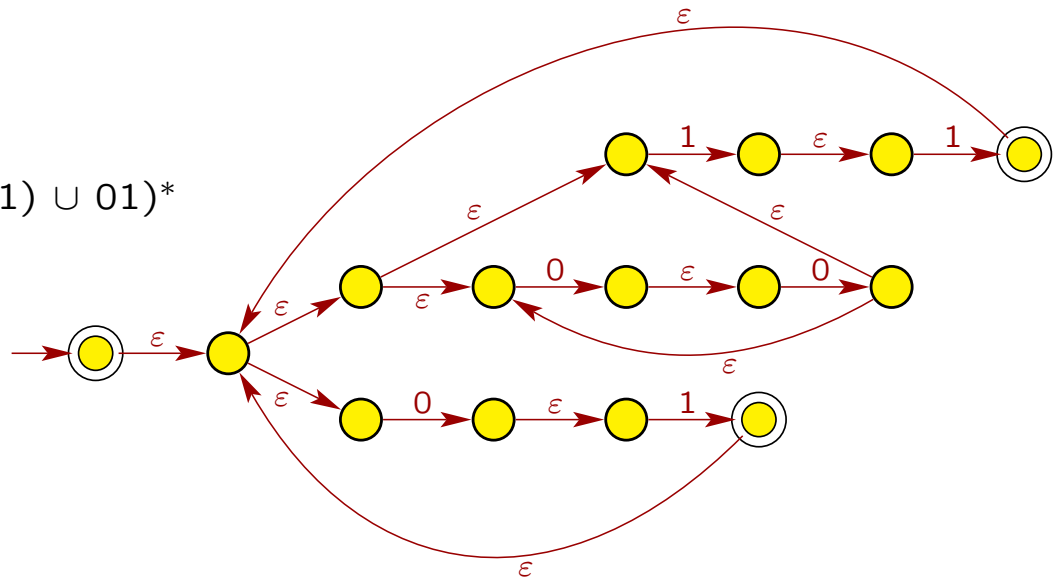
**Answer:**



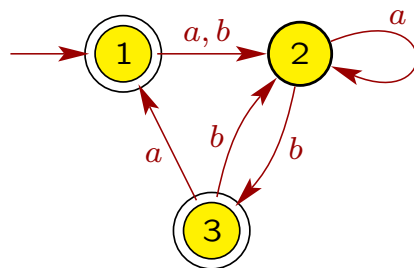
$(00)^*(11) \cup 01$



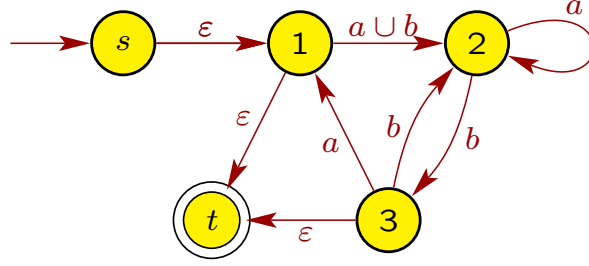
$((00)^*(11) \cup 01)^*$



2. Use the procedure described in Lemma 1.60 to convert the following DFA  $M$  to a regular expression.



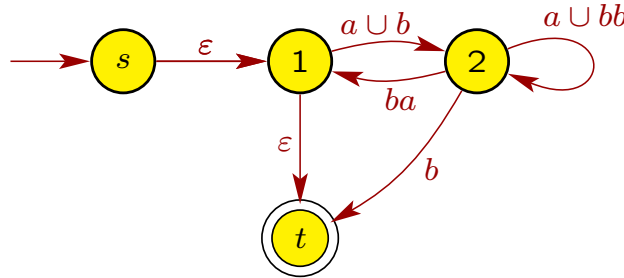
**Answer:** First convert DFA  $M$  into an equivalent GNFA  $G$ .



Next, we eliminate the states of  $G$  (except for  $s$  and  $t$ ) one at a time. The order in which the states are eliminated does not matter. However, eliminating states in a different order from what is done below may result in a different (but also correct) regular expression. We first eliminate state **3**. To do this, we need to account for the paths

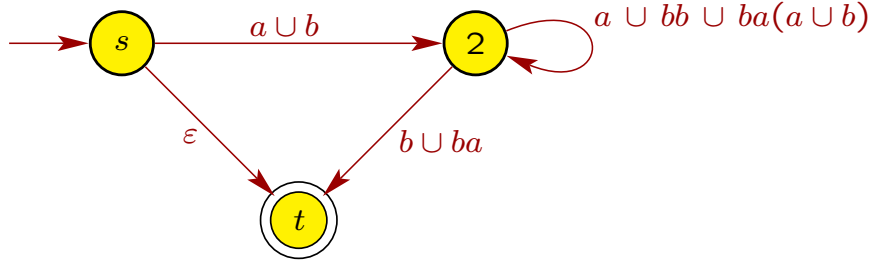
- $2 \rightarrow 3 \rightarrow 1$ , which will create an arc from **2** to **1** labelled with  $ba$ ;
- $2 \rightarrow 3 \rightarrow 2$ , which will create an arc from **2** to **2** labelled with  $bb$ ; and
- $2 \rightarrow 3 \rightarrow t$ , which will create an arc from **2** to  $t$  labelled with  $b\epsilon = b$ .

We combine the previous arc from **2** to **2** labelled  $a$  with the new one labelled  $bb$  to get the new label  $a \cup bb$ .

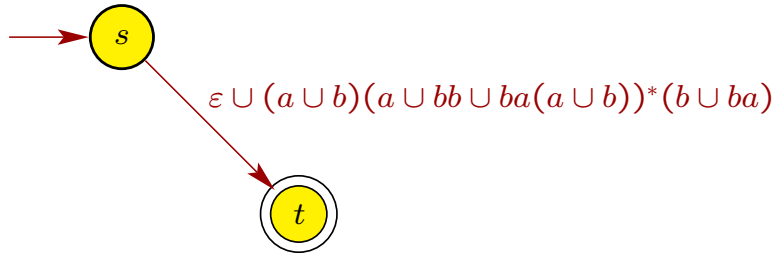


We next eliminate state **1**. To do this, we need to account for the following paths:

- $s \rightarrow 1 \rightarrow 2$ , which will create an arc from  $s$  to **2** labelled with  $\epsilon(a \cup b) = a \cup b$ .
- $s \rightarrow 1 \rightarrow t$ , which will create an arc from  $s$  to  $t$  labelled with  $\epsilon\epsilon = \epsilon$ .
- $2 \rightarrow 1 \rightarrow 2$ , which will create an arc from **2** to **2** labelled with  $ba(a \cup b)$ . We combine this with the existing **2** to **2** arc to get the new label  $a \cup bb \cup ba(a \cup b)$ .
- $2 \rightarrow 1 \rightarrow t$ , which will create an arc from **2** to  $t$  labelled with  $ba\epsilon = ba$ . We combine this arc with the existing arc from **2** to  $t$  to get the new label  $b \cup ba$ .



Finally, we eliminate state 2 by adding an arc from  $s$  to  $t$  labelled  $(a \cup b)(a \cup bb \cup ba(a \cup b))^*(b \cup ba)$ . We then combine this with the existing  $s$  to  $t$  arc to get the new label  $\varepsilon \cup (a \cup b)(a \cup bb \cup ba(a \cup b))^*(b \cup ba)$ .



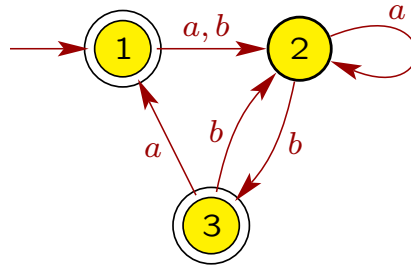
So a regular expression for the language  $L(M)$  recognized by the DFA  $M$  is

$$\varepsilon \cup (a \cup b)(a \cup bb \cup ba(a \cup b))^*(b \cup ba).$$

Writing this as

$$\underbrace{\varepsilon}_{\text{stay in 1}} \cup \underbrace{(a \cup b)}_{\text{1 to 2}} \underbrace{(a \cup bb \cup ba(a \cup b))^*}_{(2 \text{ to } 2)^*} \underbrace{(b \cup ba)}_{\text{end in 3 or 1}}$$

should make it clear how the regular expression accounts for every path that starts in 1 and ends in either 3 or 1, which are the accepting states of the given DFA.



3. Prove that the following languages are not regular.

(a)  $A_1 = \{www \mid w \in \{a, b\}^*\}$

**Answer:** Suppose that  $A_1$  is a regular language. Let  $p$  be the “pumping length” of the Pumping Lemma. Consider the string  $s = a^p b a^p b a^p b$ . Note that  $s \in A_1$

since  $s = (a^p b)^3$ , and  $|s| = 3(p + 1) \geq p$ , so the Pumping Lemma will hold. Thus, we can split the string  $s$  into 3 parts  $s = xyz$  satisfying the conditions

- i.  $xy^i z \in A_1$  for each  $i \geq 0$ ,
- ii.  $|y| > 0$ ,
- iii.  $|xy| \leq p$ .

Since the first  $p$  symbols of  $s$  are all  $a$ 's, the third condition implies that  $x$  and  $y$  consist only of  $a$ 's. So  $z$  will be the rest of the first set of  $a$ 's, followed by  $ba^p ba^p b$ . The second condition states that  $|y| > 0$ , so  $y$  has at least one  $a$ . More precisely, we can then say that

$$\begin{aligned} x &= a^j \text{ for some } j \geq 0, \\ y &= a^k \text{ for some } k \geq 1, \\ z &= a^m ba^p ba^p b \text{ for some } m \geq 0. \end{aligned}$$

Since  $a^p ba^p ba^p b = s = xyz = a^j a^k a^m ba^p ba^p b = a^{j+k+m} ba^p ba^p b$ , we must have that  $j + k + m = p$ . The first condition implies that  $xy^2 z \in A_1$ , but

$$\begin{aligned} xy^2 z &= a^j a^k a^k a^m ba^p ba^p b \\ &= a^{p+k} ba^p ba^p b \end{aligned}$$

since  $j + k + m = p$ . Hence,  $xy^2 z \notin A_1$  because  $k \geq 1$ , and we get a contradiction. Therefore,  $A_1$  is a nonregular language.

(b)  $A_2 = \{w \in \{a, b\}^* \mid w = w^R\}$ .

**Answer:** Suppose that  $A_2$  is a regular language. Let  $p$  be the “pumping length” of the Pumping Lemma. Consider the string  $s = a^p ba^p$ . Note that  $s \in A_2$  since  $s = s^R$ , and  $|s| = 2p + 1 \geq p$ , so the Pumping Lemma will hold. Thus, we can split the string  $s$  into 3 parts  $s = xyz$  satisfying the conditions

- i.  $xy^i z \in A_2$  for each  $i \geq 0$ ,
- ii.  $|y| > 0$ ,
- iii.  $|xy| \leq p$ .

Since the first  $p$  symbols of  $s$  are all  $a$ 's, the third condition implies that  $x$  and  $y$  consist only of  $a$ 's. So  $z$  will be the rest of the first set of  $a$ 's, followed by  $ba^p$ . The second condition states that  $|y| > 0$ , so  $y$  has at least one  $a$ . More precisely, we can then say that

$$\begin{aligned} x &= a^j \text{ for some } j \geq 0, \\ y &= a^k \text{ for some } k \geq 1, \\ z &= a^m ba^p \text{ for some } m \geq 0. \end{aligned}$$

Since  $a^p ba^p = s = xyz = a^j a^k a^m ba^p = a^{j+k+m} ba^p$ , we must have that  $j + k + m = p$ . The first condition implies that  $xy^2 z \in A_2$ , but

$$\begin{aligned} xy^2 z &= a^j a^k a^k a^m ba^p \\ &= a^{p+k} ba^p \end{aligned}$$

since  $j + k + m = p$ . Hence,  $xy^2z \notin A_2$  because  $(a^{p+k}ba^p)^R = a^pba^{p+k} \neq a^{p+k}ba^p$  since  $k \geq 1$ , and we get a contradiction. Therefore,  $A_2$  is a nonregular language.

(c)  $A_3 = \{a^{2n}b^{3n}a^n \mid n \geq 0\}$ .

**Answer:** Suppose that  $A_3$  is a regular language. Let  $p$  be the “pumping length” of the Pumping Lemma. Consider the string  $s = a^{2p}b^{3p}a^p$ . Note that  $s \in A_3$ , and  $|s| = 6p \geq p$ , so the Pumping Lemma will hold. Thus, we can split the string  $s$  into 3 parts  $s = xyz$  satisfying the conditions

- i.  $xy^iz \in A_3$  for each  $i \geq 0$ ,
- ii.  $|y| > 0$ ,
- iii.  $|xy| \leq p$ .

Since the first  $p$  symbols of  $s$  are all  $a$ ’s, the third condition implies that  $x$  and  $y$  consist only of  $a$ ’s. So  $z$  will be the rest of the first set of  $a$ ’s, followed by  $b^{3p}a^p$ . The second condition states that  $|y| > 0$ , so  $y$  has at least one  $a$ . More precisely, we can then say that

$$\begin{aligned} x &= a^j \text{ for some } j \geq 0, \\ y &= a^k \text{ for some } k \geq 1, \\ z &= a^{m+p}b^{3p}a^p \text{ for some } m \geq 0. \end{aligned}$$

Since  $a^{2p}b^{3p}a^p = s = xyz = a^ja^ka^{m+p}b^{3p}a^p = a^{j+k+m+p}b^{3p}a^p$ , we must have that  $j + k + m + p = 2p$ , or equivalently,  $j + k + m = p$ , so  $j + k \leq p$ . The first condition implies that  $xy^2z \in A_3$ , but

$$\begin{aligned} xy^2z &= a^ja^ka^ka^{m+p}b^{3p}a^p \\ &= a^{2p+k}b^{3p}a^p \end{aligned}$$

since  $j + k + m = p$ . Hence,  $xy^2z \notin A_3$  because  $k \geq 1$ , and we get a contradiction. Therefore,  $A_3$  is a nonregular language.

(d)  $A_4 = \{w \in \{a, b\}^* \mid w \text{ has more } a\text{'s than } b\text{'s}\}$ .

**Answer:** Suppose that  $A_4$  is a regular language. Let  $p$  be the “pumping length” of the Pumping Lemma. Consider the string  $s = b^pa^{p+1}$ . Note that  $s \in A_4$ , and  $|s| = 2p + 1 \geq p$ , so the Pumping Lemma will hold. Thus, we can split the string  $s$  into 3 parts  $s = xyz$  satisfying the conditions

- i.  $xy^iz \in A_4$  for each  $i \geq 0$ ,
- ii.  $|y| > 0$ ,
- iii.  $|xy| \leq p$ .

Since the first  $p$  symbols of  $s$  are all  $b$ ’s, the third condition implies that  $x$  and  $y$  consist only of  $b$ ’s. So  $z$  will be the rest of the  $b$ ’s, followed by  $a^{p+1}$ . The second

condition states that  $|y| > 0$ , so  $y$  has at least one  $b$ . More precisely, we can then say that

$$\begin{aligned}x &= b^j \text{ for some } j \geq 0, \\y &= b^k \text{ for some } k \geq 1, \\z &= b^m a^{p+1} \text{ for some } m \geq 0.\end{aligned}$$

Since  $b^p a^{p+1} = s = xyz = b^j b^k b^m a^{p+1} = b^{j+k+m} a^{p+1}$ , we must have that  $j + k + m = p$ . The first condition implies that  $xy^2z \in A_4$ , but

$$\begin{aligned}xy^2z &= b^j b^k b^k b^m a^{p+1} \\&= b^{j+2k+m} a^{p+1}\end{aligned}$$

since  $j + k + m = p$ . Hence,  $xy^2z \notin A_4$  because it doesn't have more  $a$ 's than  $b$ 's since  $k \geq 1$ , and we get a contradiction. Therefore,  $A_4$  is a nonregular language.

4. Suppose that language  $A$  is recognized by an NFA  $N$ , and language  $B$  is the collection of strings *not* accepted by some DFA  $M$ . Prove that  $A \circ B$  is a regular language.

**Answer:** Since  $A$  is recognized by an NFA, we know that  $A$  is regular since a language is regular if and only if it is recognized by an NFA (Corollary 1.20). Note that the DFA  $M$  recognizes the language  $\overline{B}$ , the complement of  $B$ . Since  $\overline{B}$  is recognized by a DFA, by definition,  $\overline{B}$  is regular. We know from a problem on the previous homework that  $\overline{B}$  being regular implies that its complement  $\overline{\overline{B}}$  is regular. ( $\overline{\overline{B}}$  is the complement of the complement of  $B$ .) But  $\overline{\overline{B}} = B$ , so  $B$  is regular. Since  $A$  and  $B$  are regular, their concatenation  $A \circ B$  is regular by Theorem 1.23.

5. (a) Prove that if we add a finite set of strings to a regular language, the result is a regular language.

**Answer:** Let  $A$  be a regular language, and let  $B$  be a finite set of strings. We know from class (see page 1-95 of Lecture Notes for Chapter 1) that finite languages are regular, so  $B$  is regular. Thus,  $A \cup B$  is regular since the class of regular languages is closed under union (Theorem 1.22).

- (b) Prove that if we remove a finite set of strings from a regular language, the result is a regular language.

**Answer:** Let  $A$  be a regular language, and let  $B$  be a finite set of strings with  $B \subseteq A$ . Let  $C$  be the language resulting from removing  $B$  from  $A$ , i.e.,  $C = A - B$ . As we argued in the previous part,  $B$  is regular. Note that  $C = A - B = A \cap \overline{B}$ . Since  $B$  is regular,  $\overline{B}$  is regular since the class of regular languages is closed under complement. We proved in an earlier homework that the class of regular languages is closed under intersection, so  $A \cap \overline{B}$  is regular since  $A$  and  $\overline{B}$  are regular. Therefore,  $A - B$  is regular.

- (c) Prove that if we add a finite set of strings to a nonregular language, the result is a nonregular language.

**Answer:** Let  $A$  be a nonregular language, and let  $B$  be a finite set of strings. We want to add  $B$  to  $A$ , so we may assume that none of the strings in  $B$  are in  $A$ , i.e.,  $A \cap B = \emptyset$ . Let  $C$  be the language obtained by adding  $B$  to  $A$ , i.e.,  $C = A \cup B$ . Suppose for a contradiction that  $C$  is regular, and we now show this is impossible. Since  $A \cap B = \emptyset$ , we have that  $A = C - B$ . Since  $C$  and  $B$  are regular (the latter because  $B$  is finite), the previous part of this problem implies that  $C - B = C \cap \overline{B}$  must be regular, but we assumed that  $A = C - B$  is nonregular, so we get a contradiction.

- (d) Prove that if we remove a finite set of strings from a nonregular language, the result is a nonregular language.

**Answer:** Let  $A$  be a nonregular language, and let  $B$  be a finite set of strings, where  $B \subseteq A$ . Let  $C$  be the language obtained by removing  $B$  from  $A$ , i.e.,  $C = A - B$ . Suppose that  $C$  is regular, and we now show this is impossible. Since we removed  $B$  from  $A$  to get  $C$ , we must have that  $C \cap B = \emptyset$ , so  $A = C \cup B$ . Now  $C$  is regular by assumption and  $B$  is regular since it's finite, so  $C \cup B$  must be regular by Theorem 1.25. But we assumed that  $A = C \cup B$  is nonregular, so we get a contradiction.

6. Consider the following statement: “If  $A$  is a nonregular language and  $B$  is a language such that  $B \subseteq A$ , then  $B$  must be nonregular.” If the statement is true, give a proof. If it is not true, give a counterexample showing that the statement doesn't always hold.

**Answer:** The statement is not always true. For example, we know that the language  $A = \{0^j 1^j \mid j \geq 0\}$  is nonregular. Define the language  $B = \{01\}$ , and note that  $B \subseteq A$ . However,  $B$  is finite, so we know that it is regular.