



# Disclaimer

If any content in this presentation is yours but is not correctly referenced or if it should be removed, please contact me and I will correct it.

# Overview

- **Context & Vocabulary**
- Math Basics
- Simple Models

# Overview

- **Context & Vocabulary**
  - *What is Artificial Intelligence?*
  - *Machine Learning without Maths*
  - *Machine Learning & Statistics?*
- Math Basics
- Simple Models

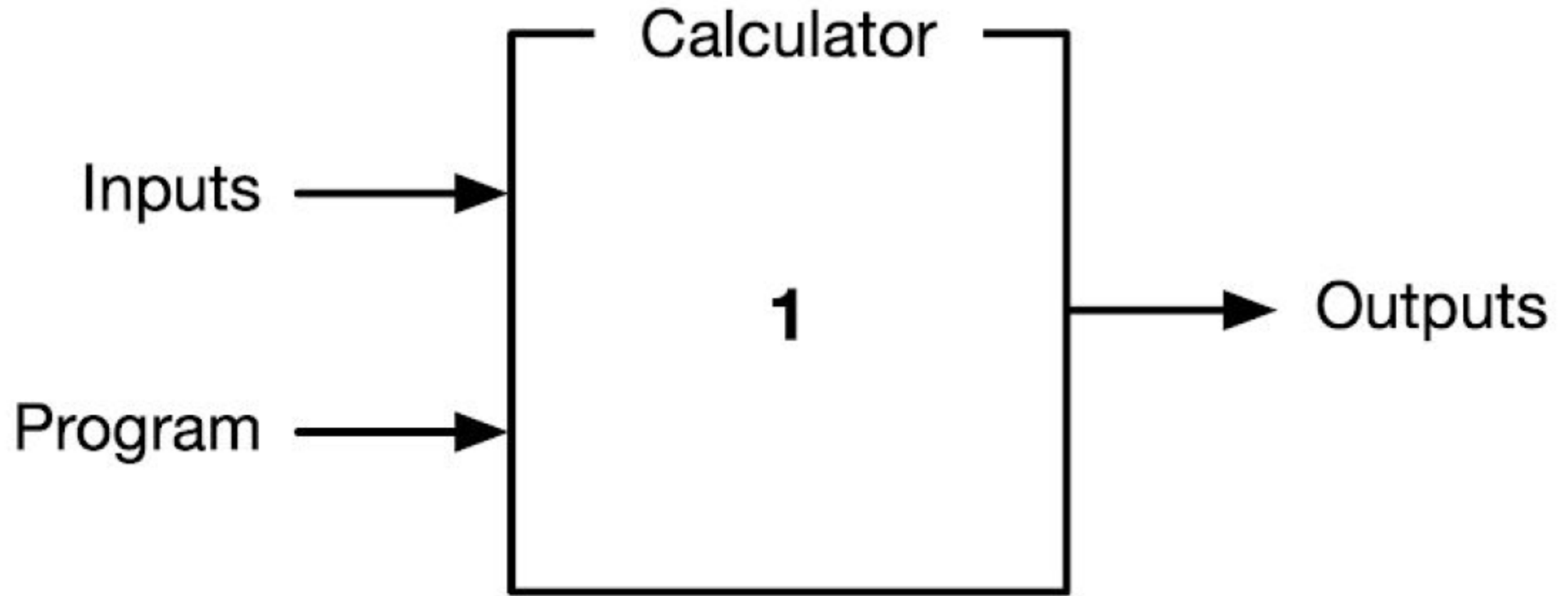
# CONTEXT & VOCABULARY

# What is Artificial intelligence?

# How is Artificial intelligence defined?

- The term ***Artificial Intelligence***, as a research field, was coined at the conference on the campus of Dartmouth College in the summer of **1956**, even though the idea was around since Antiquity: Hephaestus built automatons of metal to work for him or protect others, the Golem in Jewish folklore, etc.
- Closer to the Dartmouth conference but still before, the first manifesto on Artificial Intelligence, an unpublished report ***"Intelligent Machinery"***, written by Alan Turing in **1948**. He already distinguished two different approaches to AI, which may be termed ***"top-down"*** and ***"bottom-up"*** (*now more commonly called knowledge-driven AI and data-driven AI respectively*).

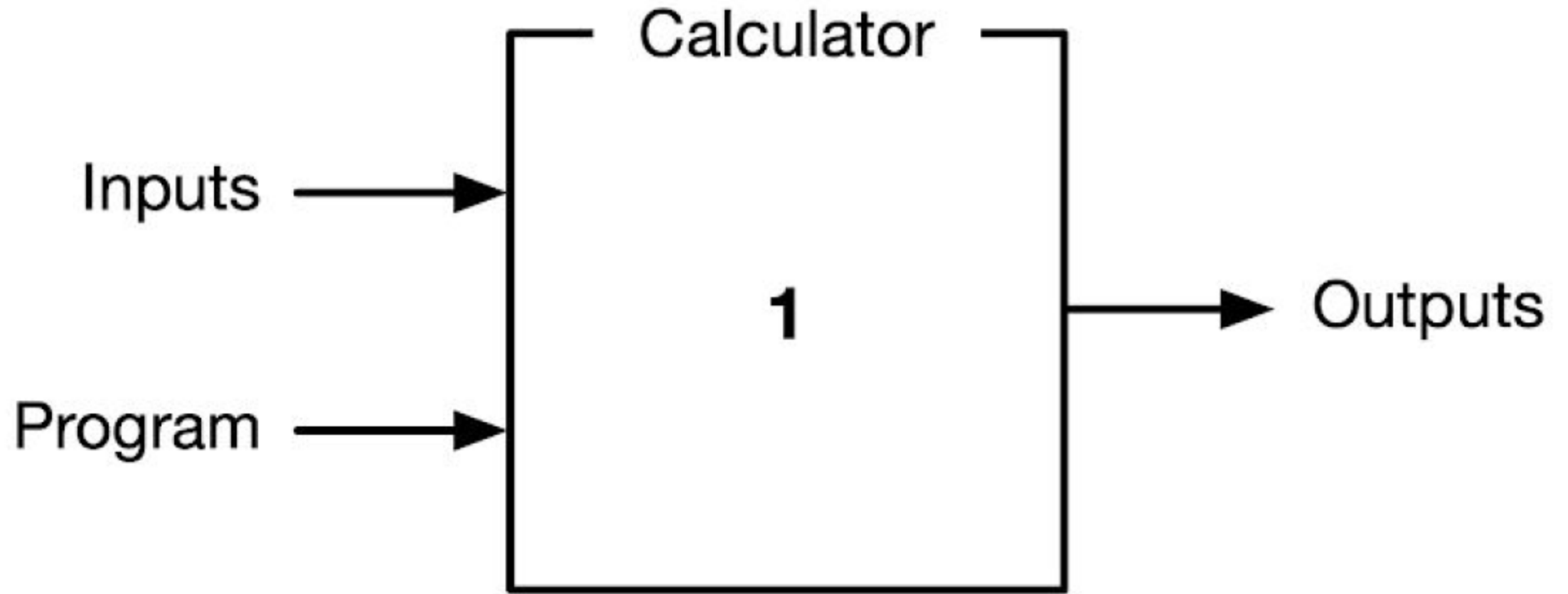
(sources: Wikipedia, <https://www.greeklegendsandmyths.com/automatons.html>,  
[http://www.alanturing.net/turing\\_archive/pages/Reference%20Articles/what\\_is\\_AI/What%20is%20AI02.html](http://www.alanturing.net/turing_archive/pages/Reference%20Articles/what_is_AI/What%20is%20AI02.html)  
Stanford Encyclopedia of Philosophy: <https://plato.stanford.edu/entries/artificial-intelligence/>)



***(1) Hypothetical-deductive machines***

*(Figure from: "Neurons spike back: The invention of inductive machines and the artificial intelligence controversy", D. Cardon, J.-P. Cointet, A. Mazières, Translated by Elizabeth Libbrecht In Réseaux Volume 211, Issue 5, 2018, pages 173 to 220)*





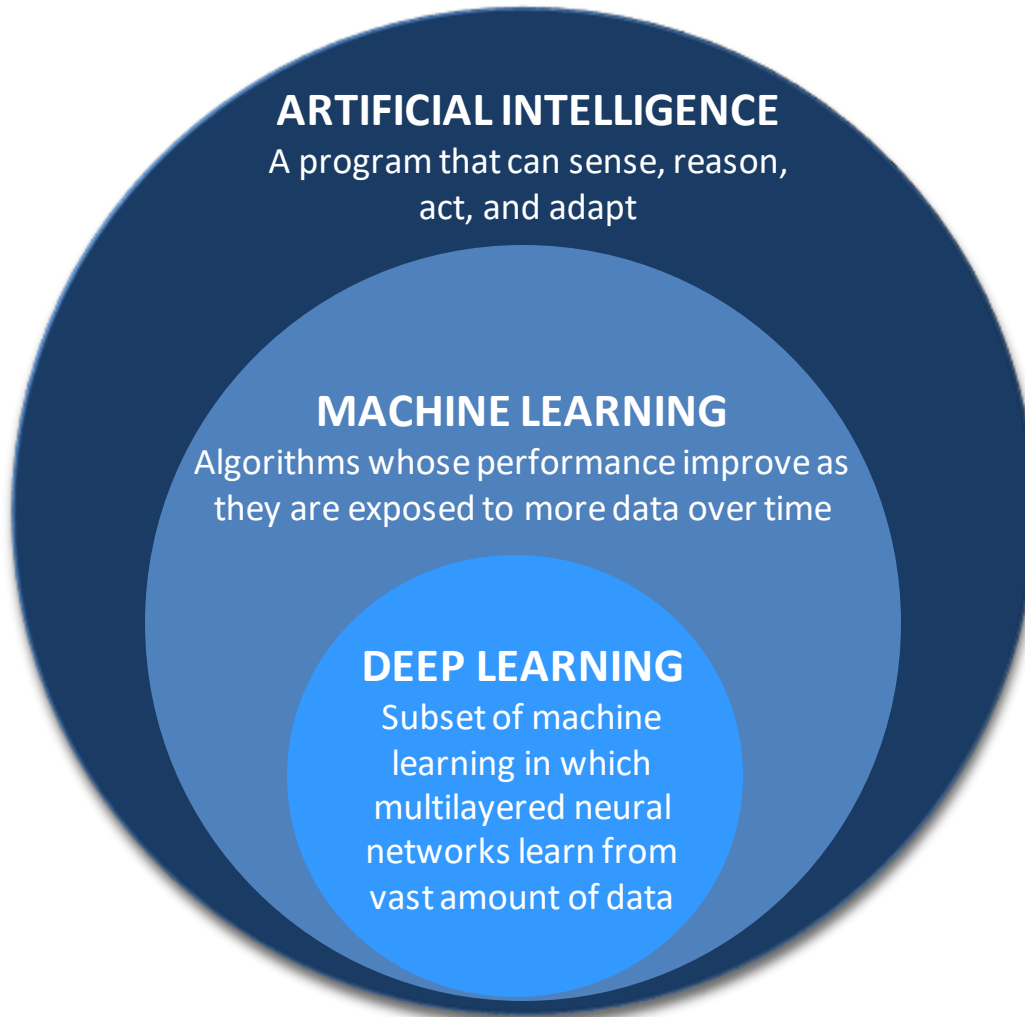
## ***(2) inductive machines***

*(Figure from: "Neurons spike back: The invention of inductive machines and the artificial intelligence controversy", D. Cardon, J.-P. Cointet, A. Mazières, Translated by Elizabeth Libbrecht In Réseaux Volume 211, Issue 5, 2018, pages 173 to 220)*

# Why Artificial Intelligence is so difficult to grasp?

- Frequently, when a technique reaches **mainstream use**, it is **no longer considered as artificial intelligence**; this phenomenon is described as the ***AI effect***: "AI is whatever hasn't been done yet." (***Larry Tesler's Theorem***)  
-> e.g. Path Finding (GPS), Chess electronic game, Alpha Go...
- Consequently, AI domain is continuously evolving and so very difficult to grasp.

# AI vs Machine Learning vs Deep Learning



# But what is Machine Learning?

# Machine Learning

$$\begin{pmatrix} \mathbf{x} \end{pmatrix} \xrightarrow{f(\mathbf{X}, \alpha) ?} y$$

$\begin{pmatrix} \mathbf{x} \end{pmatrix}$



Face detection



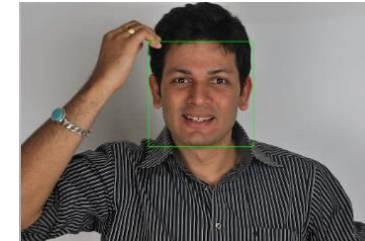
Scores prediction



Voice recognition



$y$

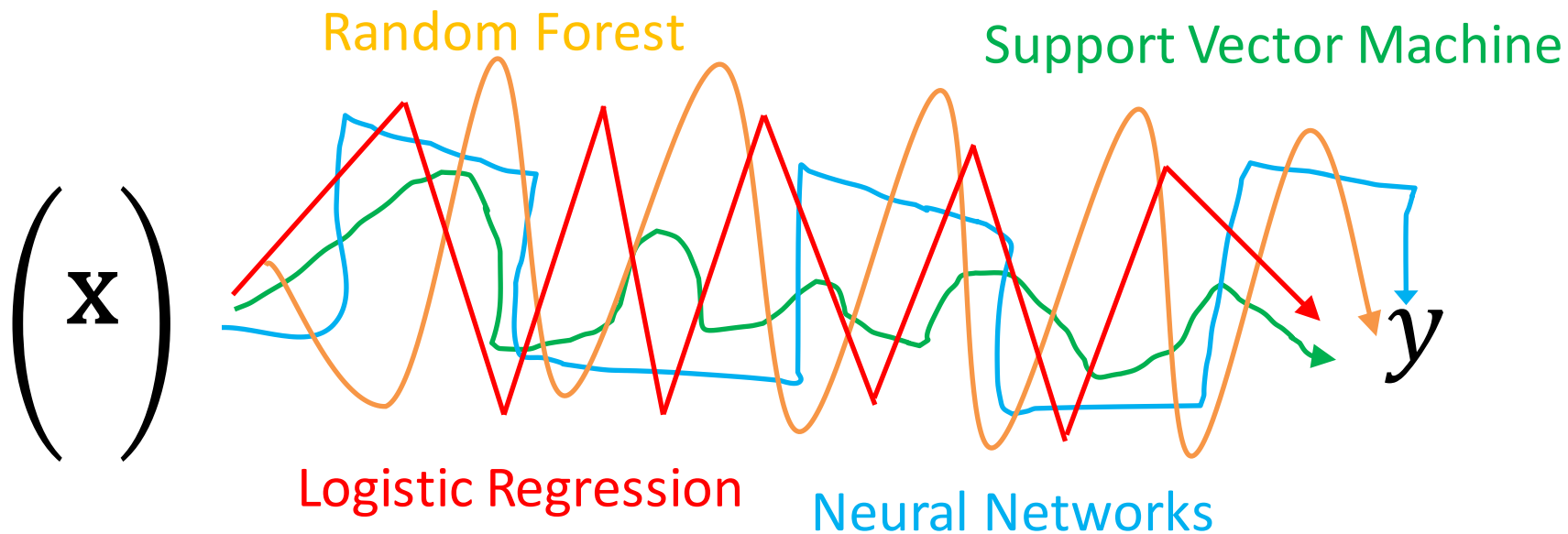


Sport bets



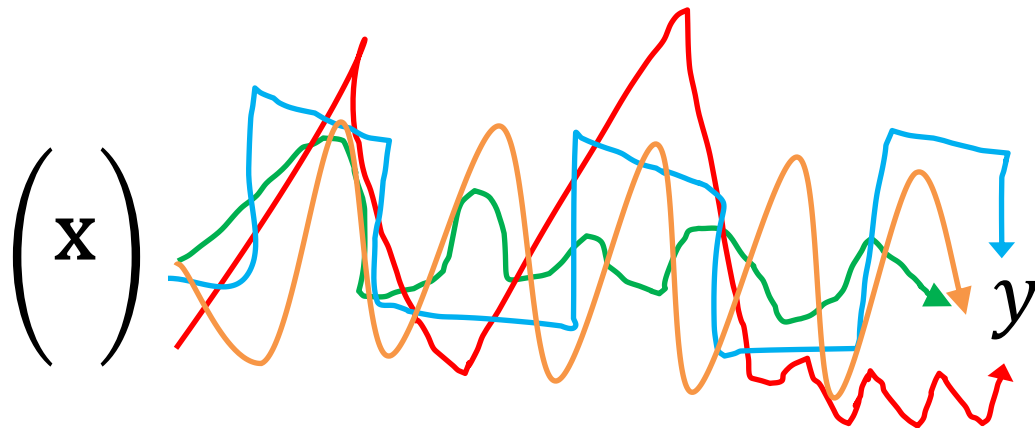
# Machine Learning

$$\begin{pmatrix} \mathbf{X} \end{pmatrix} \xrightarrow{f(\mathbf{X}, \alpha) ?} y$$

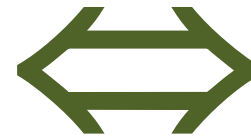


# Machine Learning

$$\begin{pmatrix} \mathbf{x} \end{pmatrix} \xrightarrow{f(\mathbf{X}, \alpha) ?} y$$



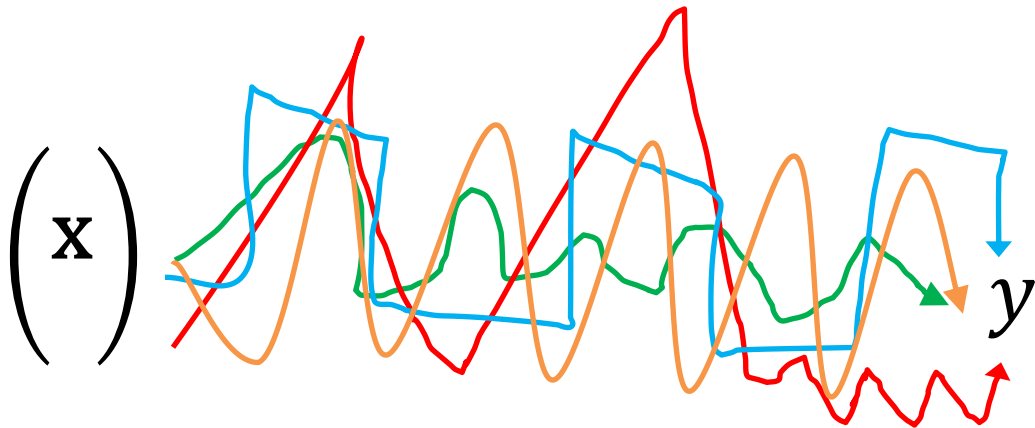
**ML**



“Weather Forecasting”

# Machine Learning

$$\begin{pmatrix} \mathbf{x} \end{pmatrix} \xrightarrow{f(\mathbf{X}, \alpha) ?} y$$



**ML**

**$\neq$**

**AI**

Francis Bach at *Frontier Research and Artificial Intelligence Conference*: “**Machine Learning is not AI**”

([https://erc.europa.eu/sites/default/files/events/docs/Francis\\_Bach-SEQUOIA-Robust-algorithms-for-learning-from-modern-data.pdf](https://erc.europa.eu/sites/default/files/events/docs/Francis_Bach-SEQUOIA-Robust-algorithms-for-learning-from-modern-data.pdf)

<https://webcast.ec.europa.eu/erc-conference-frontier-research-and-artificial-intelligence-25#> )



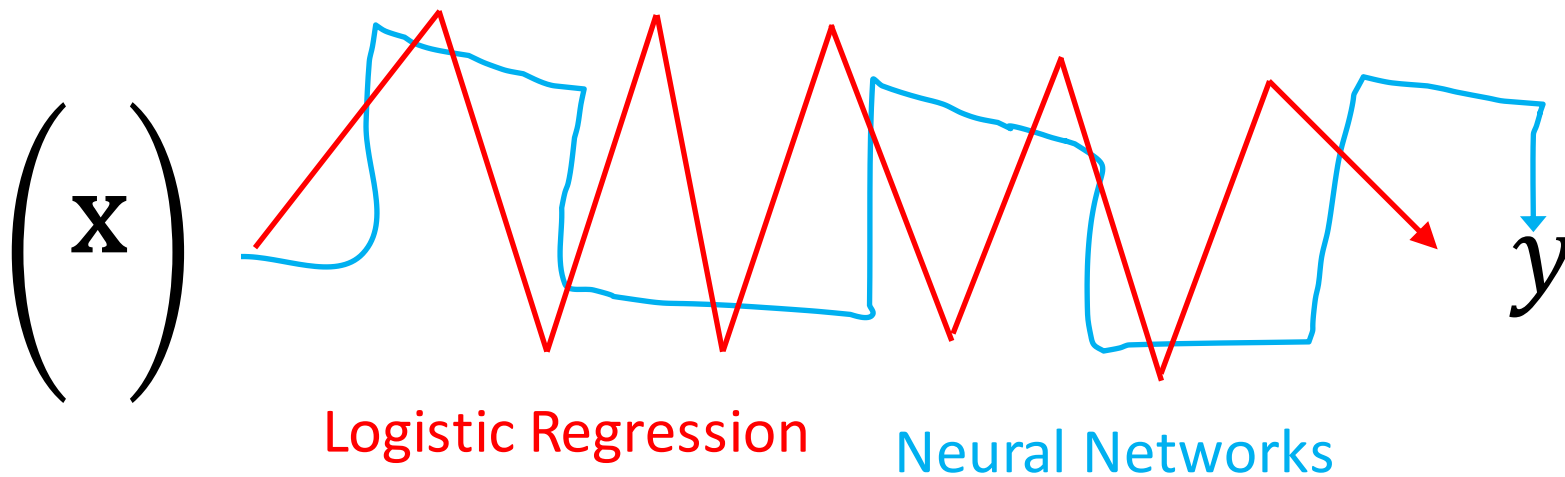
# Beware of the diversion!



*Trolley dilemma*

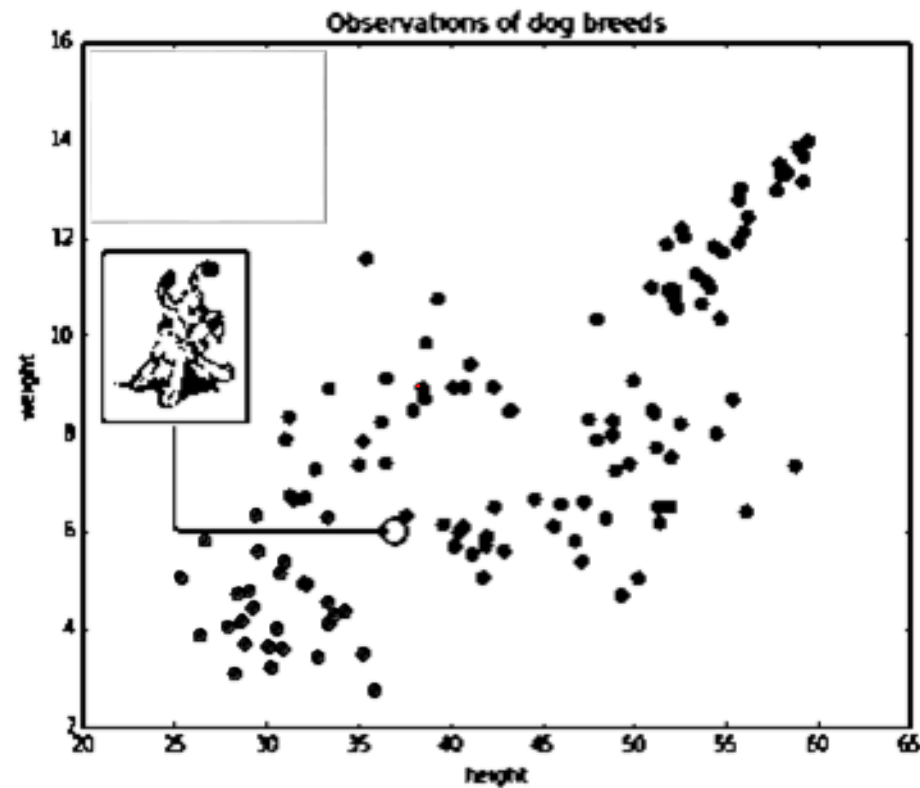
# Machine Learning

$$\begin{pmatrix} \mathbf{x} \end{pmatrix} \xrightarrow{f(\mathbf{X}, \alpha) ?} y$$



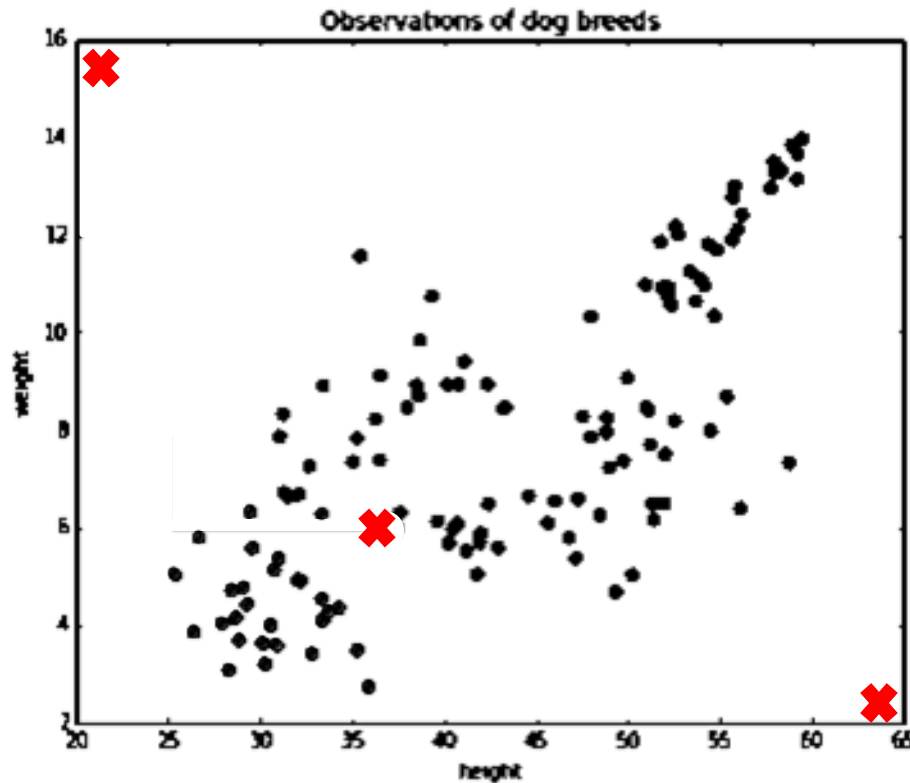
# Machine Learning is Statistics?

# What breed is that Dogmatix (Idéfix)?



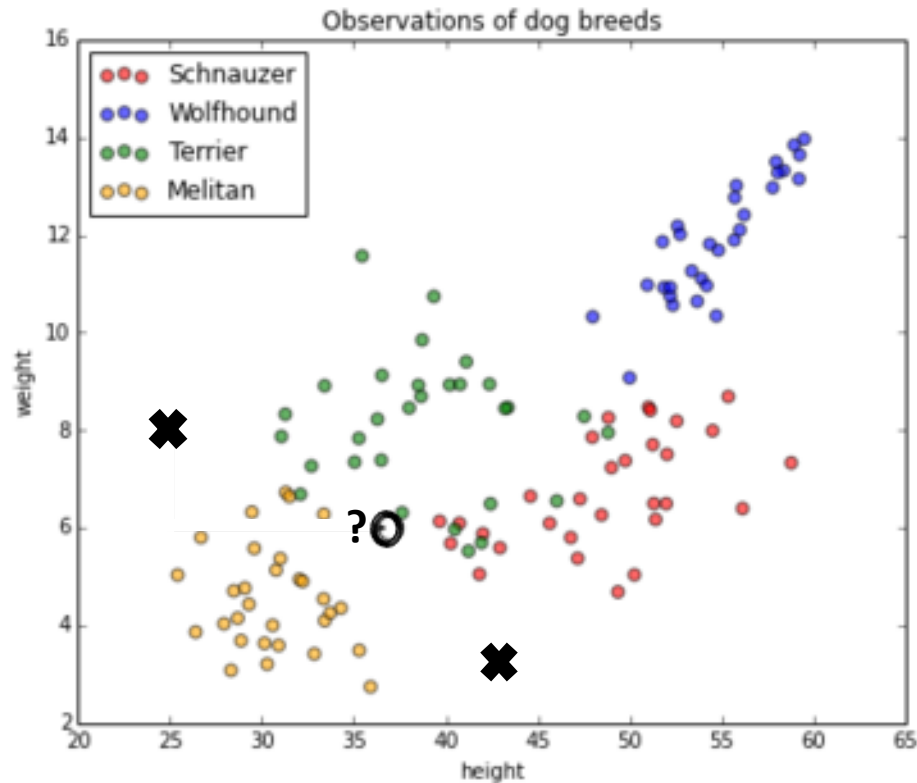
*The illustrations of the slides in this section come from the blog "Bayesian Vitalstatistix: What Breed of Dog was Dogmatix?"*

# Does any real dog get this height and weight?



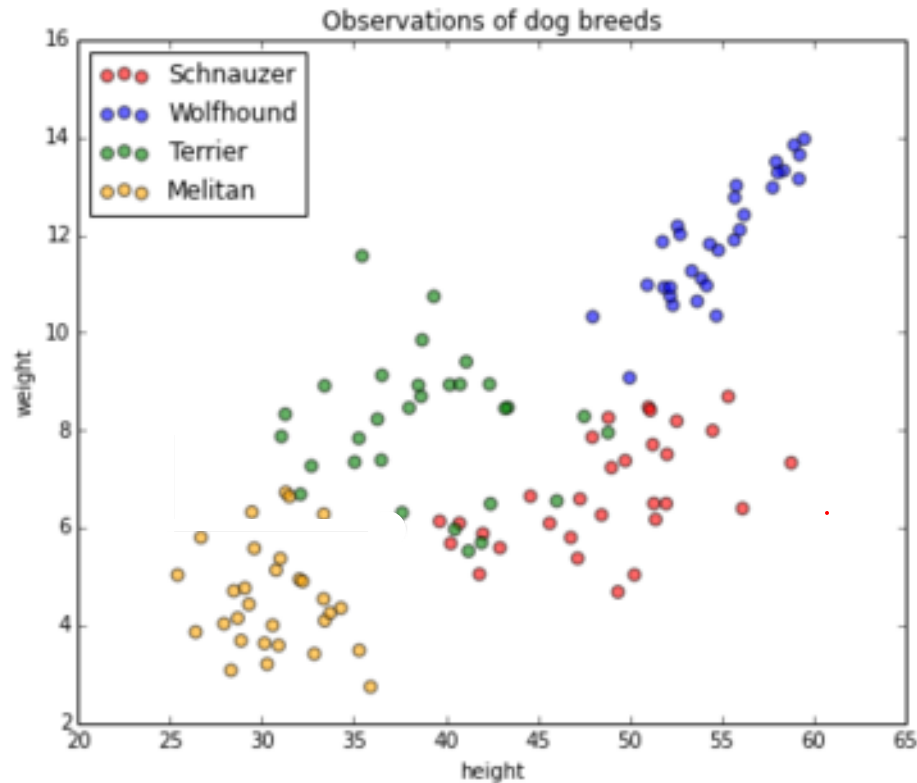
- Let us consider  $\mathbf{x}$ , vectors independently generated in  $\mathbf{R}^d$  (here  $\mathbf{R}^2$ ), following a probability distribution fixed but *unknown*  $P(\mathbf{x})$ .

# What should be the breed of these dogs?



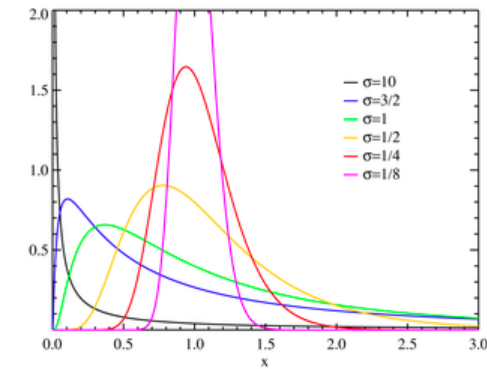
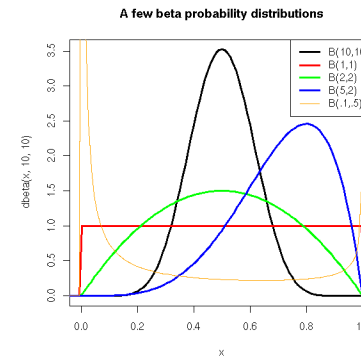
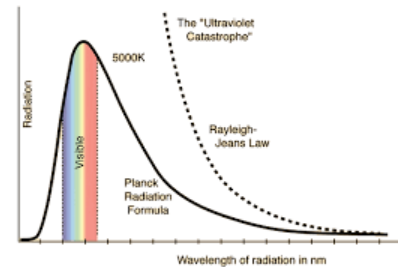
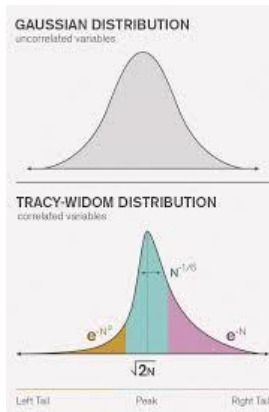
- An Oracle assigns a value  $y$  to each vector  $\mathbf{x}$  following a probability distribution  $P(y/\mathbf{x})$  also fixed but *unknown*.

# An oracle provides me with examples?



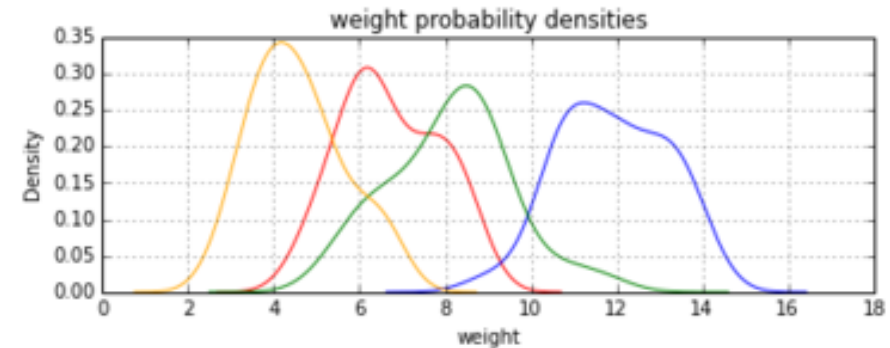
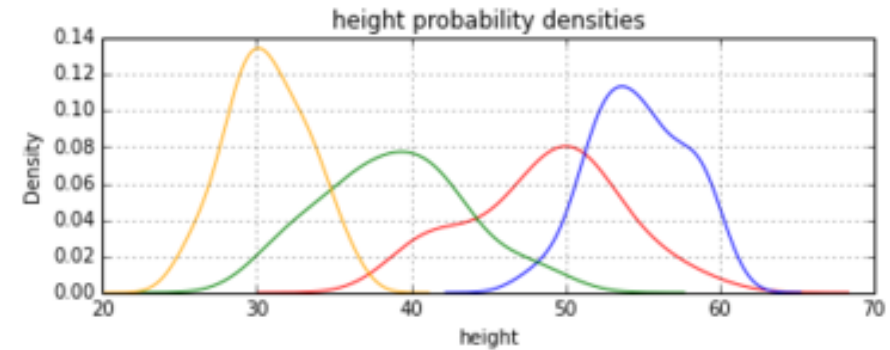
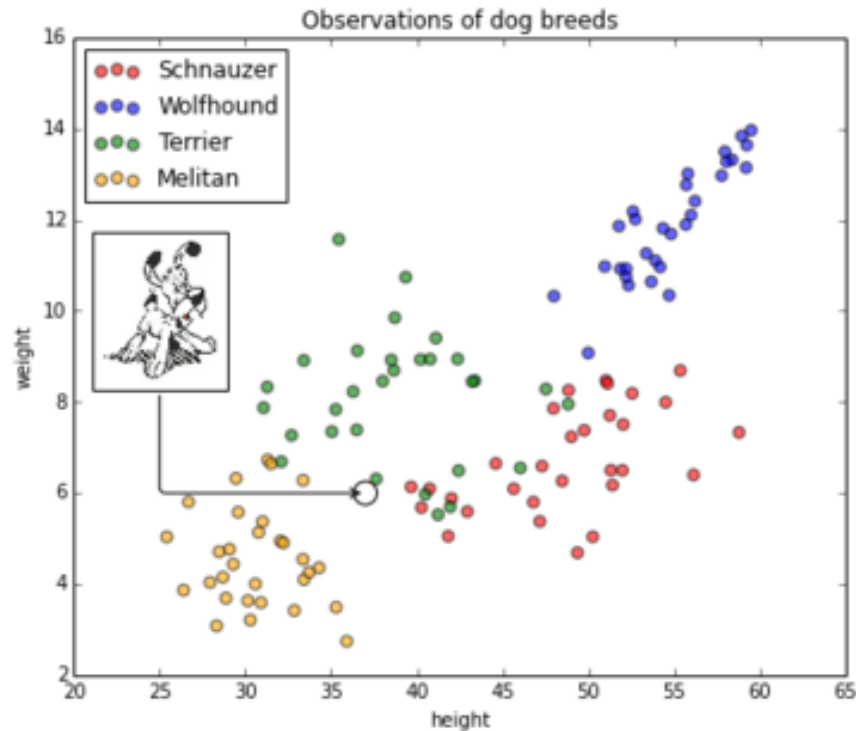
- Let  $S$  be a training set  $S = \{(\mathbf{x}_1, y_1), (\mathbf{x}_2, y_2), \dots, (\mathbf{x}_m, y_m)\}$ , with  $m$  training samples i.i.d. which follow the **joint probability**  $P(\mathbf{x}, y) = P(\mathbf{x})P(y|\mathbf{x})$ .

# Statistical solution: Models, Hypotheses on data distribution...

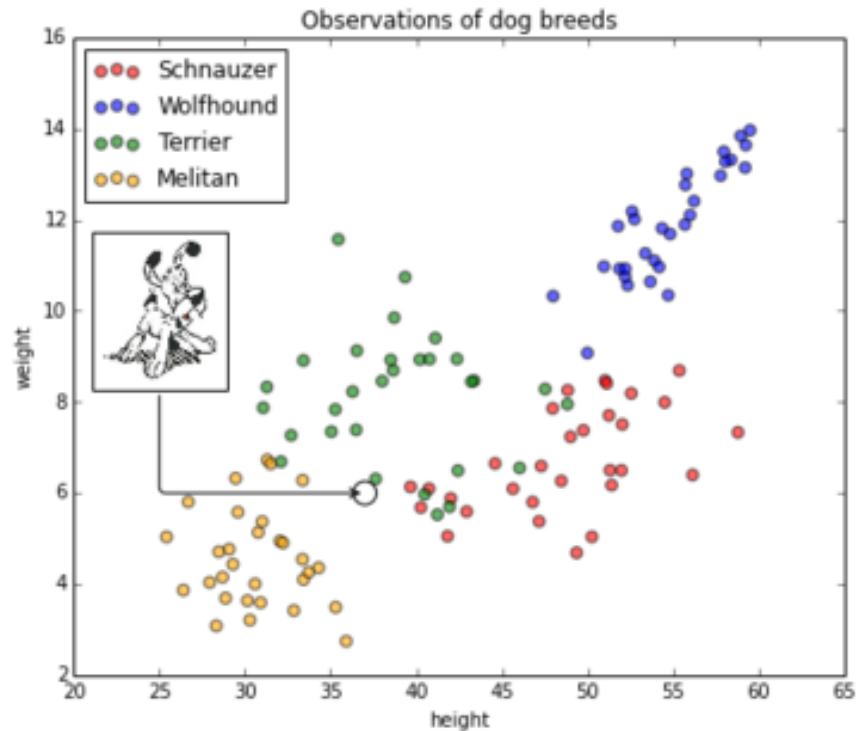




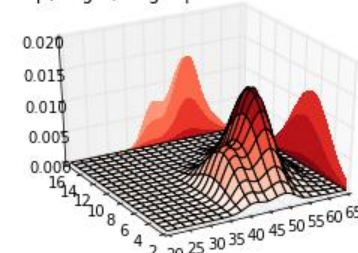
# Statistical solution $P(\text{height, weight}|\text{breed})$ ...



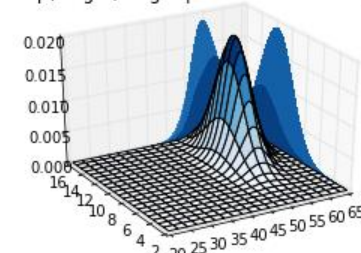
# Statistical solution $P(\text{height, weight}|\text{breed})...$



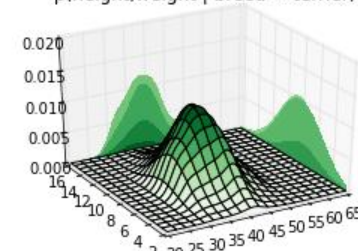
Joint Likelihood  
 $p(\text{height, weight} | \text{breed} = \text{schnauzer})$



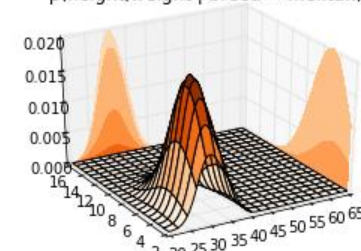
Joint Likelihood  
 $p(\text{height, weight} | \text{breed} = \text{wolfhound})$



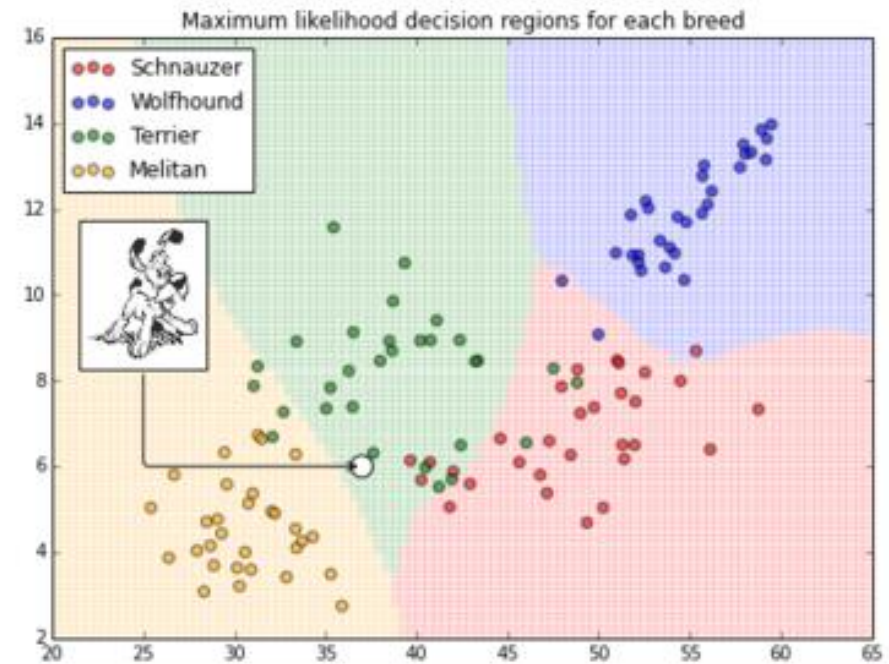
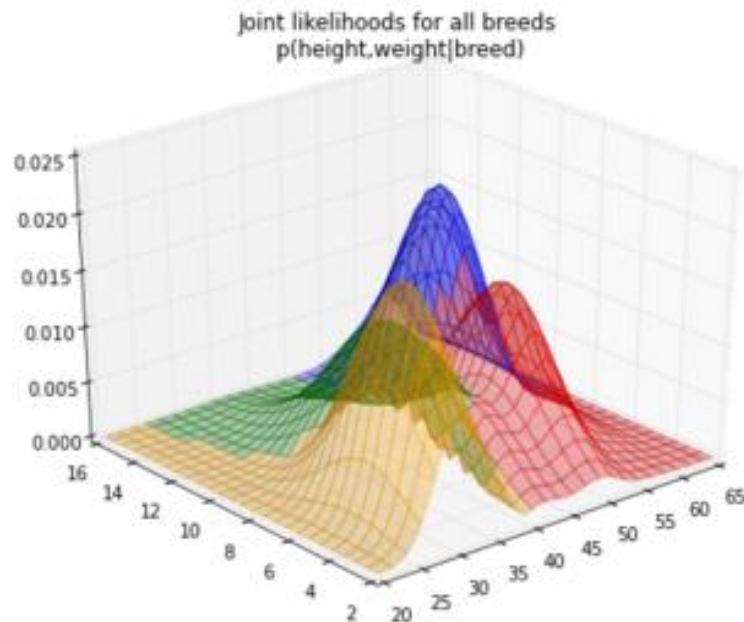
Joint Likelihood  
 $p(\text{height, weight} | \text{breed} = \text{terrier})$



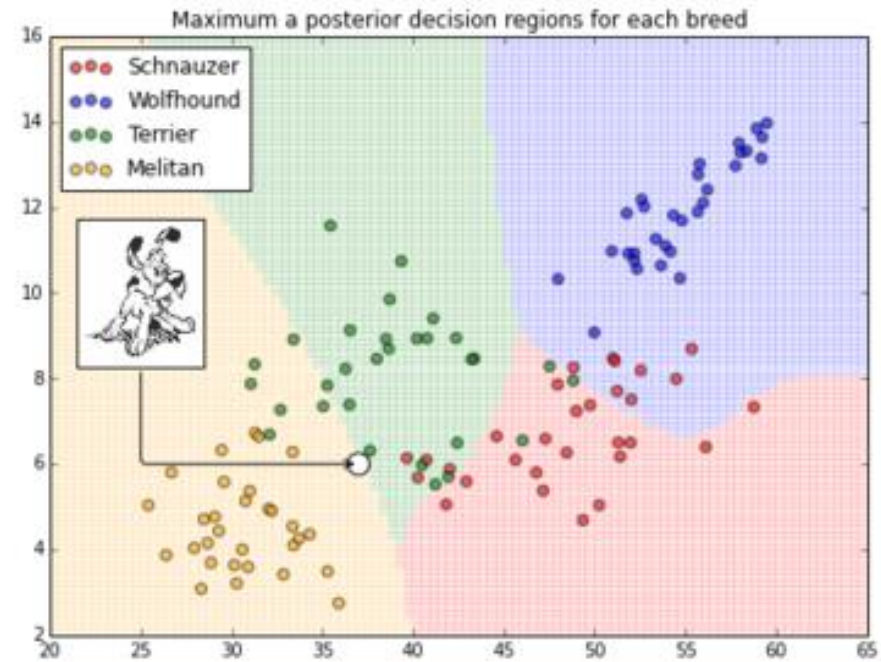
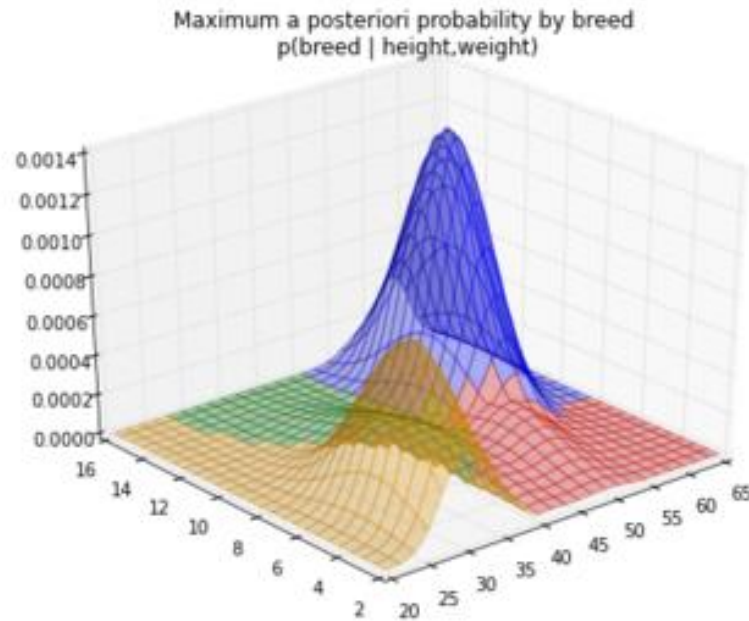
Joint Likelihood  
 $p(\text{height, weight} | \text{breed} = \text{melitan})$



# Statistical solution $P(\text{height, weight}|\text{breed})...$

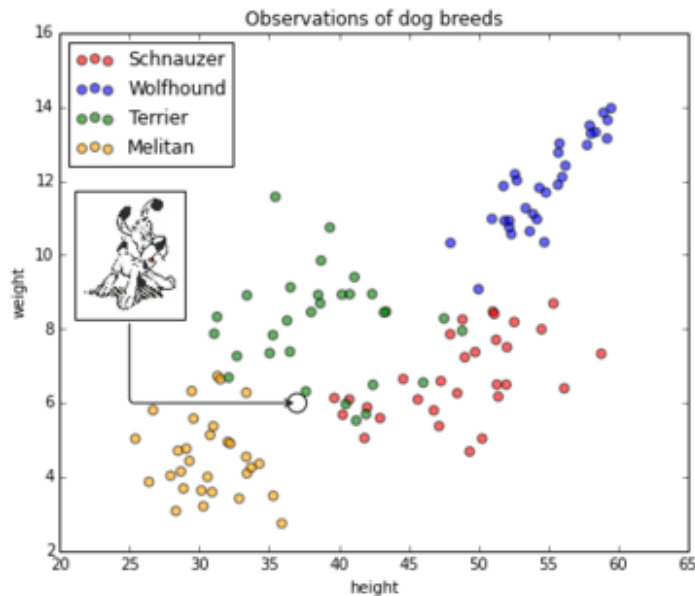


# Statistical solution: Bayes, $P(\text{breed}|\text{height, weight})\dots$



# Machine Learning

- we have a learning machine (i.e. an algorithm) which can provide a family of functions  $\{f(\mathbf{x};\alpha)\}$ , where  $\alpha$  is a set of parameters.



$$\left( \mathbf{x} \right) \xrightarrow{f(\mathbf{X},\alpha) ?} y$$

# The problem of (Machine) Learning

$$\left( \mathbf{x} \right) \xrightarrow{f(\mathbf{X}, \alpha) ?} y$$

- The problem of learning consists in finding the function (among the  $\{f(\mathbf{x}; \alpha)\}$ ) which provides the best approximation  $\hat{y}$  of the true label  $y$  given by the Oracle.
- “**best**” is defined in terms of minimizing a specific *error measure/cost/loss related to your problem/objectives*  
 $L((\mathbf{x}, y), \alpha) \in [a; b]$ .

# The problem of (Machine) Learning

- Thus, the objective is to minimize the (*real*) **Risk**, i.e. the expectation of the error cost:

$$R(\alpha) = \int L((\mathbf{x}, y), \alpha) dP(\mathbf{x}, y)$$

where  $P(\mathbf{x}, y)$  is unknown.

- The training set  $\mathbf{S} = \{(\mathbf{x}_i, y_i)\}_{i=1, \dots, m}$  is built through an i.i.d. sampling according to  $P(\mathbf{x}, y)$ . Since we cannot compute  $R(\alpha)$ , we look for minimizing the **Empirical Risk** instead:

$$R_{emp}(\alpha) = \frac{1}{m} \sum_{k=1}^m L((\mathbf{x}_i, y_i), \alpha)$$

# Machine Learning fundamental Hypothesis

$S = \{(x_i, y_i)\}_{i=1, \dots, m}$  is built through an *i.i.d.* sampling according to  $P(x, y)$ .

*Machine Learning*  *Statistics*

Train through Cross-Validation

*Machine Learning*  *Statistics*

Training set & Test set have to be distributed according to the same law



# Vapnik learning theory (1995)

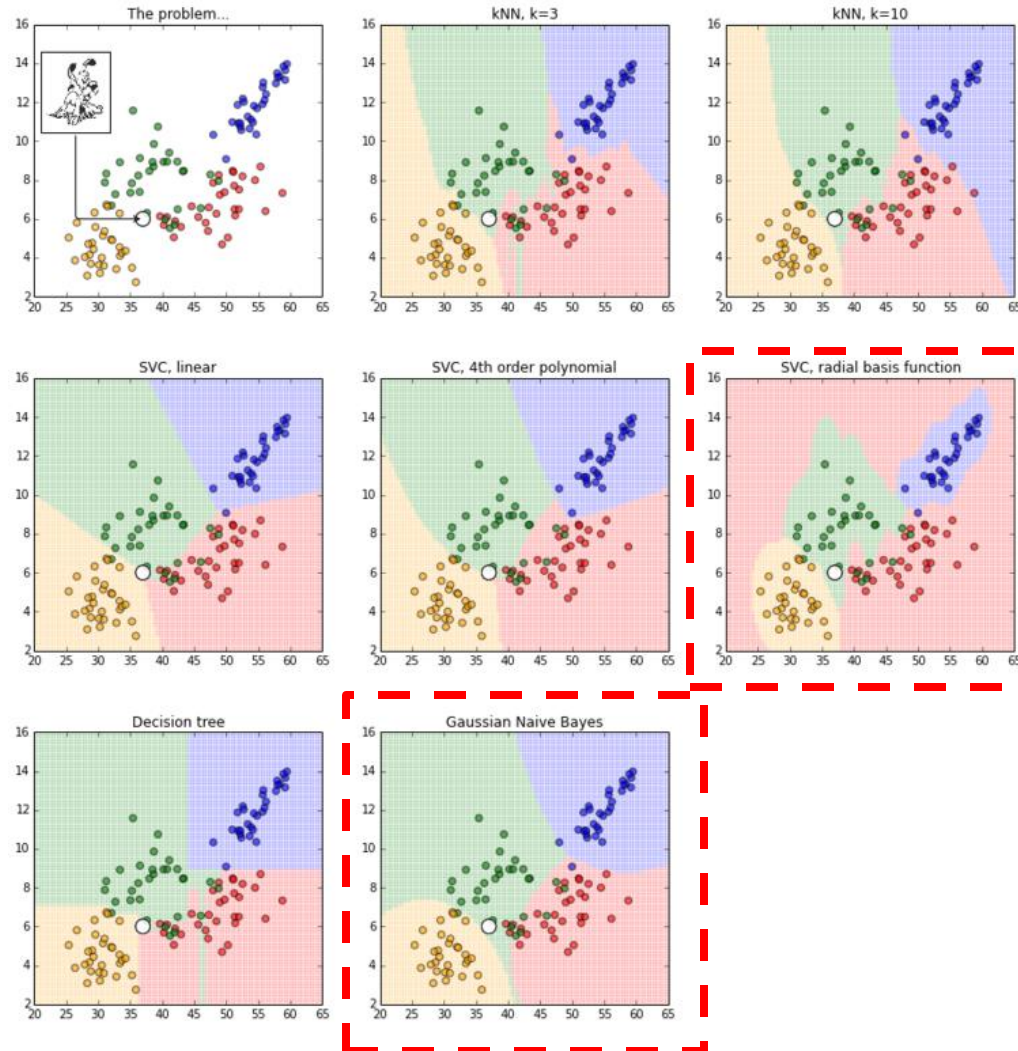
Vapnik had proven the following equation  $\forall m$  with a probability at least equal to  $1 - \eta$ :

$$R(\alpha_m) \leq R_{\text{emp}}(\alpha_m) + (b - a) \sqrt{\frac{d_{VC} (\ln(2m/d_{VC}) + 1) - \ln(\eta/4)}{m}}$$

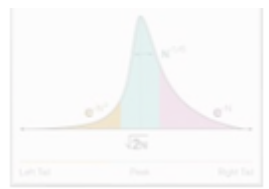
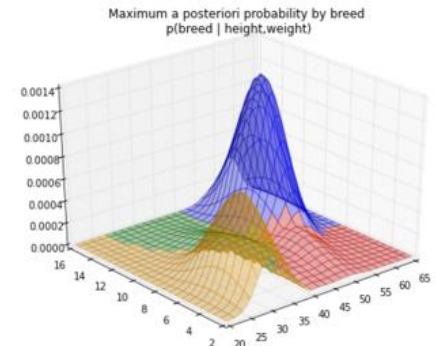
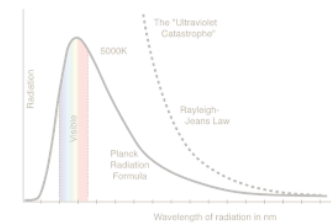
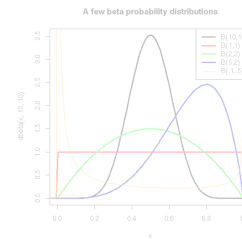
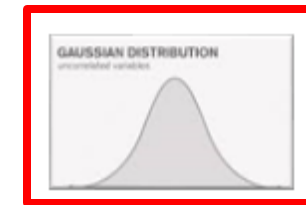
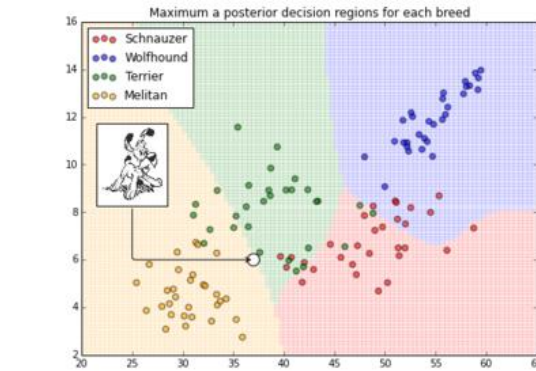
*Training Error* *Generalization Error*

Thus minimizing the **Risk** depends on minimizing the **Empirical Risk** and the **Generalization Error** of the model which depends on  $m$  (the number of training sample), and  $d_{VC}$  (the complexity of the model family chosen, also called *Vapnik-Chervonenkis Dimension*).

# Machine Learning vs Statistics



VS



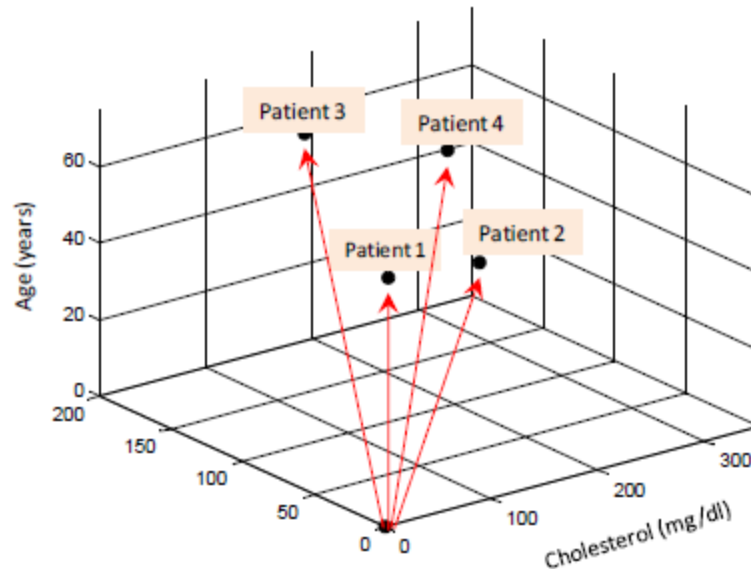
# MATHEMATICAL BASICS

# How to represent samples geometrically?

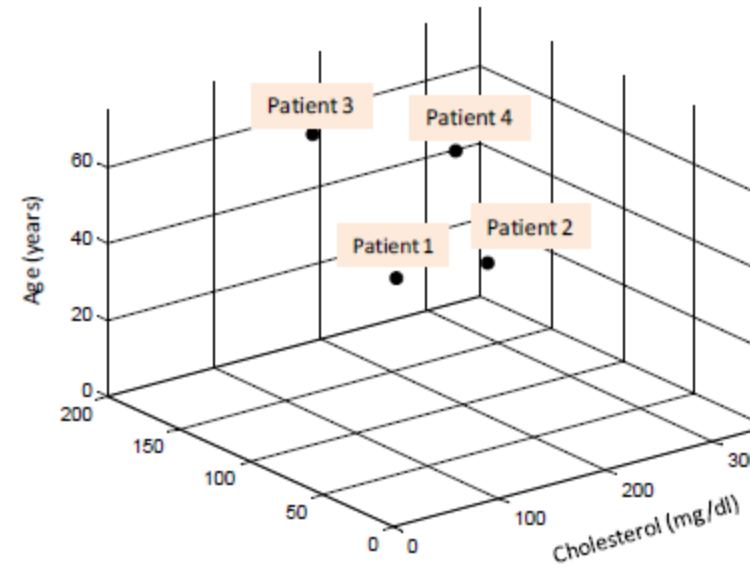
## Vectors & points in n-dimensional space ( $\mathbb{R}^n$ )

Patient id	<i>Cholesterol</i> (mg/dl)	<i>Systolic BP</i> (mmHg)	<i>Age</i> (years)	Tail of the vector	Arrow-head of the vector
1	150	110	35	(0,0,0)	(150, 110, 35)
2	250	120	30	(0,0,0)	(250, 120, 30)
3	140	160	65	(0,0,0)	(140, 160, 65)
4	300	180	45	(0,0,0)	(300, 180, 45)

Vector representation



Point representation



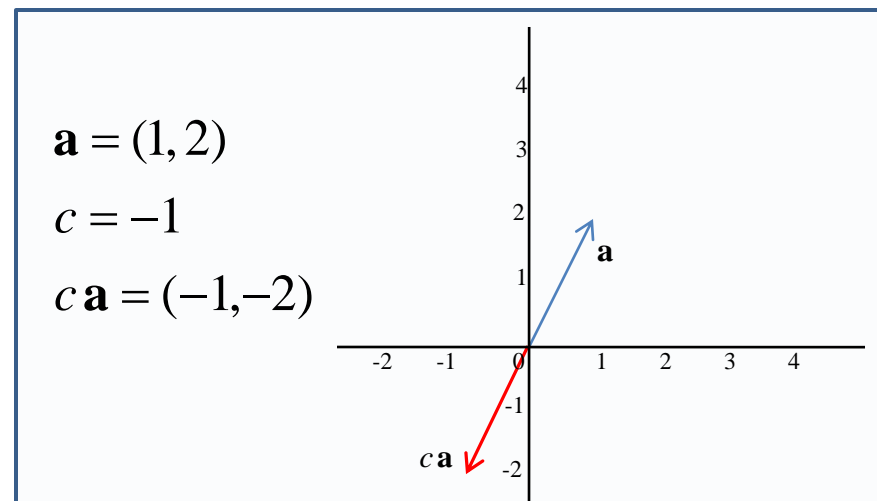
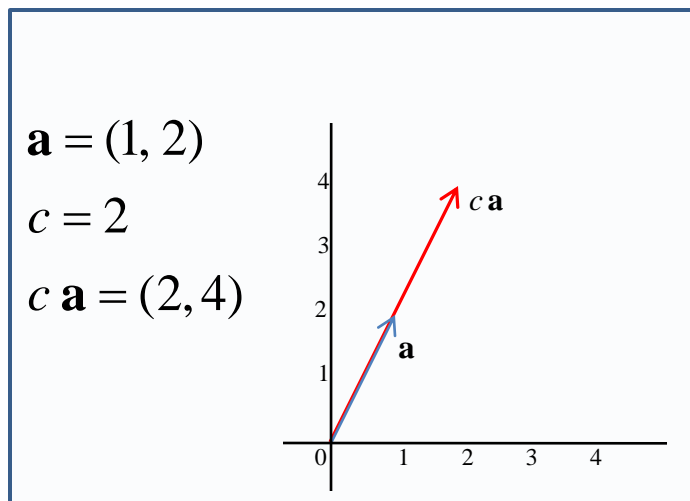
# Basic operation on vectors in $\mathbb{R}^n$

## 1. Multiplication by a scalar

Consider a vector  $\mathbf{a} = (a_1, a_2, \dots, a_n)$  and a scalar  $c$

Define:  $c\mathbf{a} = (ca_1, ca_2, \dots, ca_n)$

*When you multiply a vector by a scalar, you “stretch” it in the same or opposite direction depending on whether the scalar is positive or negative.*

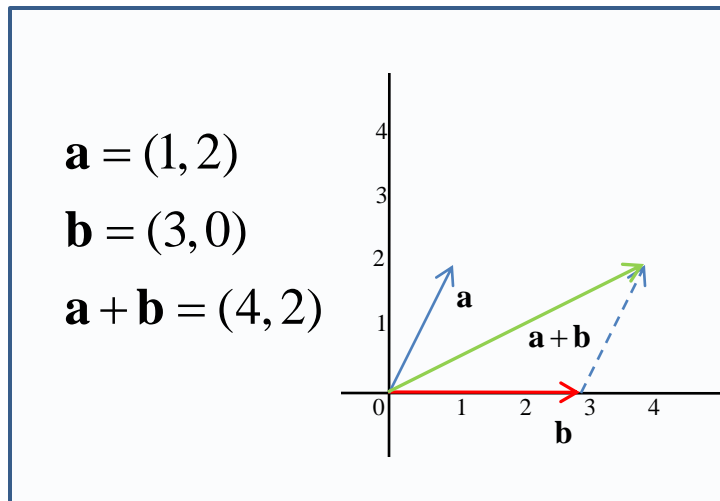


# Basic operation on vectors in $\mathbb{R}^n$

## 2. Addition

Consider vectors  $\mathbf{a} = (a_1, a_2, \dots, a_n)$  and  $\mathbf{b} = (b_1, b_2, \dots, b_n)$

Define:  $\mathbf{a} + \mathbf{b} = (a_1 + b_1, a_2 + b_2, \dots, a_n + b_n)$



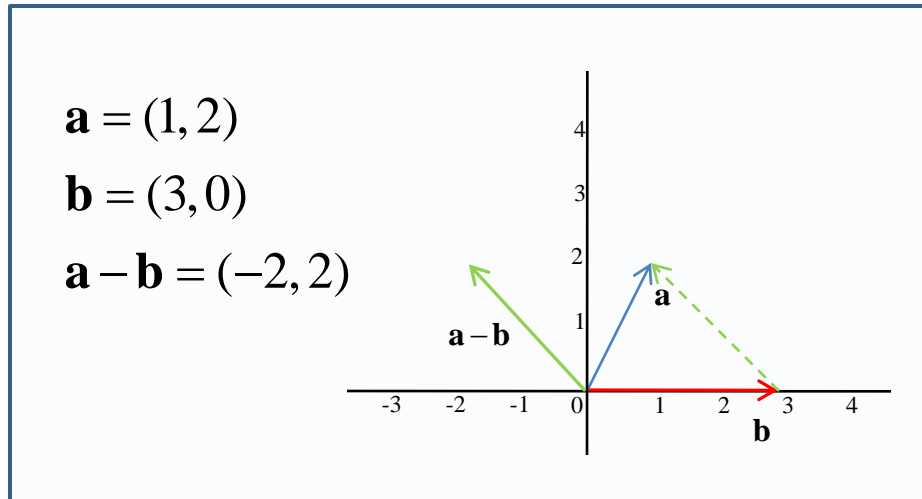
*Recall addition of forces in classical mechanics.*

# Basic operation on vectors in $\mathbb{R}^n$

## 3. Subtraction

Consider vectors  $\mathbf{a} = (a_1, a_2, \dots, a_n)$  and  $\mathbf{b} = (b_1, b_2, \dots, b_n)$

Define:  $\mathbf{a} - \mathbf{b} = (a_1 - b_1, a_2 - b_2, \dots, a_n - b_n)$



*What vector do we need to add to  $\vec{b}$  to get  $\vec{a}$ ? I.e., similar to subtraction of real numbers.*

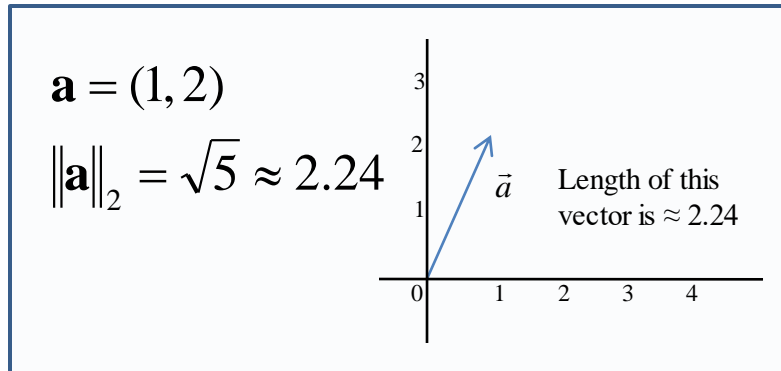
# Basic operation on vectors in $\mathbb{R}^n$

## 4. Euclidian length or L2-norm

Consider a vector  $\mathbf{a} = (a_1, a_2, \dots, a_n)$

Define the L2-norm:  $\|\mathbf{a}\|_2 = \sqrt{a_1^2 + a_2^2 + \dots + a_n^2}$

We often denote the L2-norm without subscript, i.e.  $\|\mathbf{a}\|$



*L2-norm is a typical way to measure length of a vector; other methods to measure length also exist.*



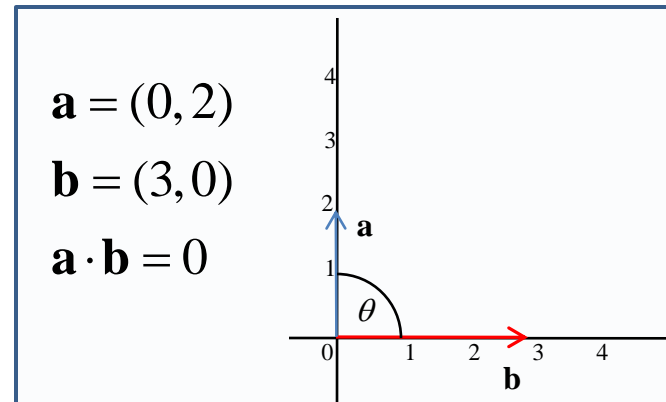
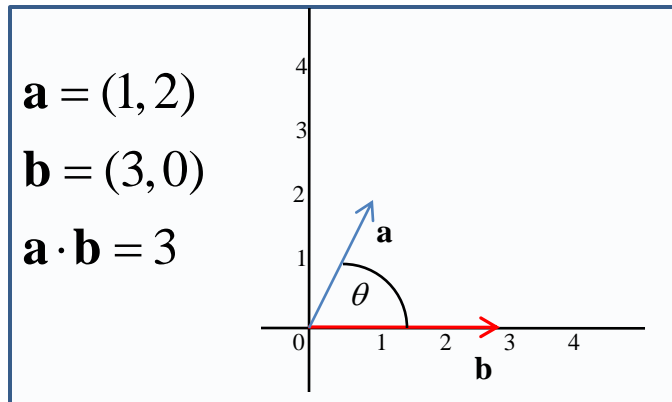
# Basic operation on vectors in $\mathbb{R}^n$

## 5. Dot product

Consider vectors  $\mathbf{a} = (a_1, a_2, \dots, a_n)$  and  $\mathbf{b} = (b_1, b_2, \dots, b_n)$

Define dot product:  $\mathbf{a} \cdot \mathbf{b} = a_1 b_1 + a_2 b_2 + \dots + a_n b_n = \sum_{i=1}^n a_i b_i$

The law of cosines says that  $\mathbf{a} \cdot \mathbf{b} = \|\mathbf{a}\|_2 \|\mathbf{b}\|_2 \cos \theta$  where  $\theta$  is the angle between  $\mathbf{a}$  and  $\mathbf{b}$ . Therefore, when the vectors are perpendicular  $\mathbf{a} \cdot \mathbf{b} = 0$ .



# Basic operation on vectors in $\mathbb{R}^n$

## 5. Dot product (continued)

$$\mathbf{a} \cdot \mathbf{b} = a_1 b_1 + a_2 b_2 + \dots + a_n b_n = \sum_{i=1}^n a_i b_i$$

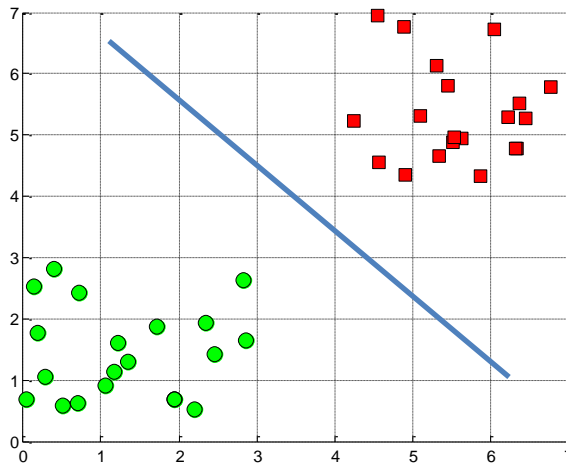
- Property:  $\mathbf{a} \cdot \mathbf{a} = a_1 a_1 + a_2 a_2 + \dots + a_n a_n = \|\mathbf{a}\|_2^2$
- In the classical regression equation  $y = \mathbf{w} \cdot \mathbf{x} + b$

the response variable  $y$  is just a dot product of the vector representing patient characteristics ( $\mathbf{x}$ ) and the regression weights vector ( $\mathbf{w}$ ) which is common across all patients plus an offset  $b$ .

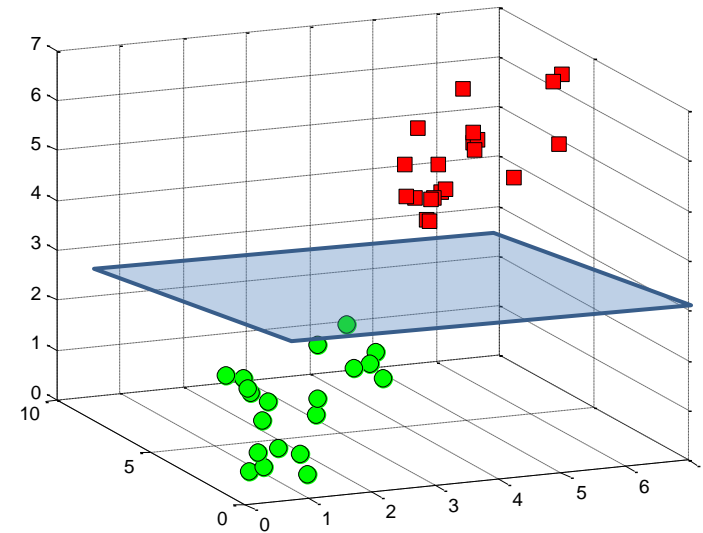
# Hyperplanes as decision surfaces

- A hyperplane is a linear decision surface that splits the space into two parts;
- It is obvious that a hyperplane is a binary classifier.

A hyperplane in  $\mathbb{R}^2$  is a line

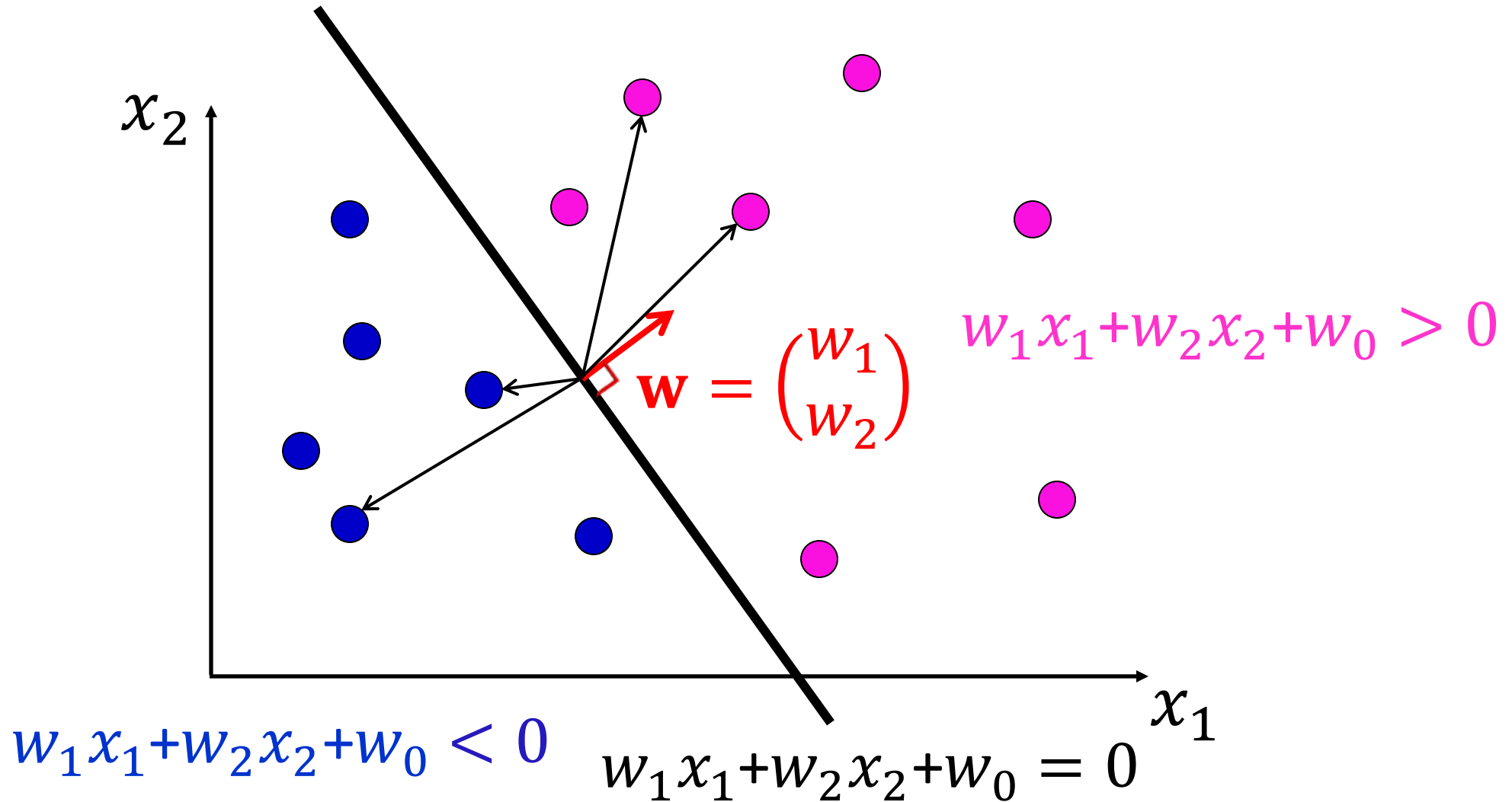


A hyperplane in  $\mathbb{R}^3$  is a plane

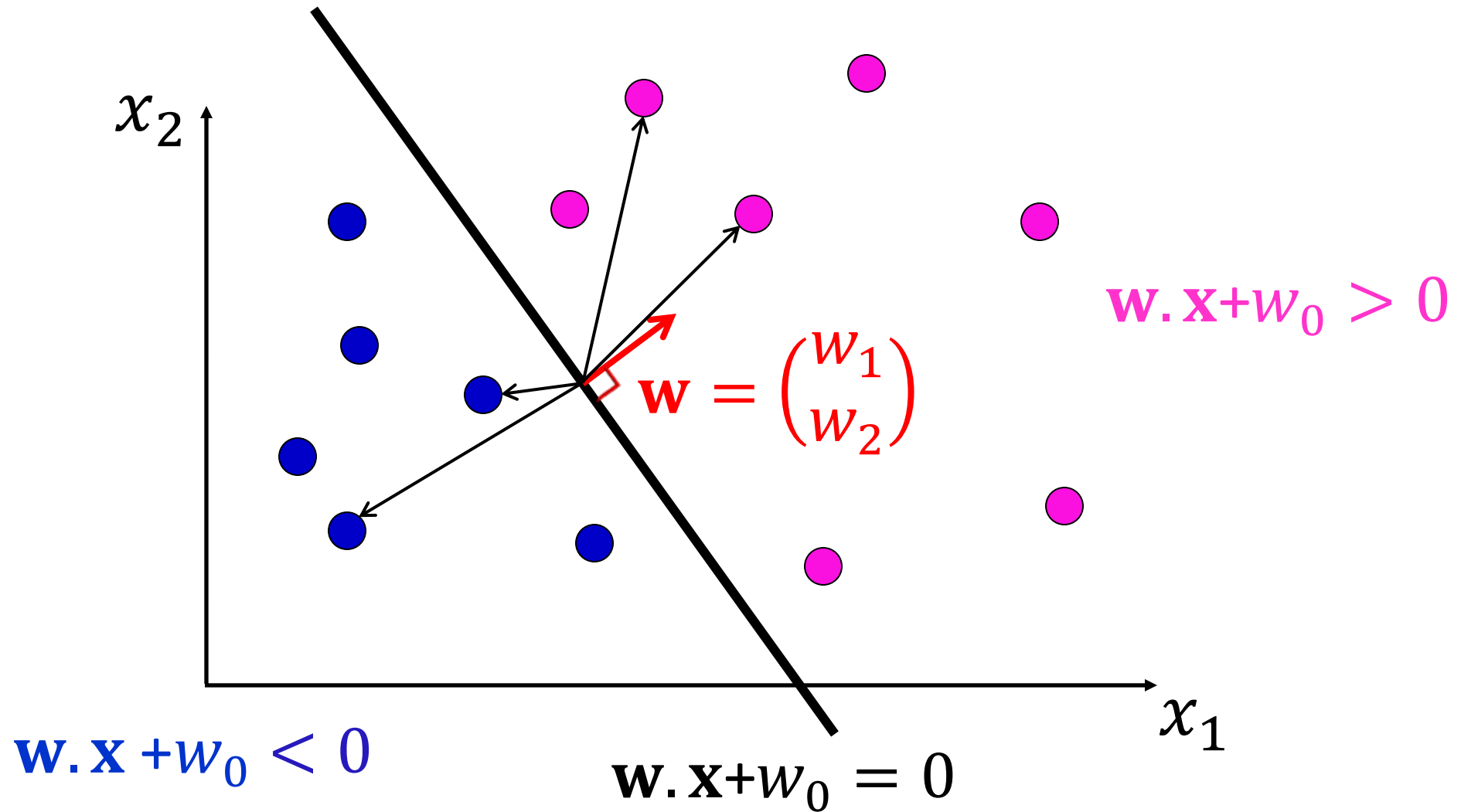


A hyperplane in  $\mathbb{R}^n$  is an  $n-1$  dimensional subspace

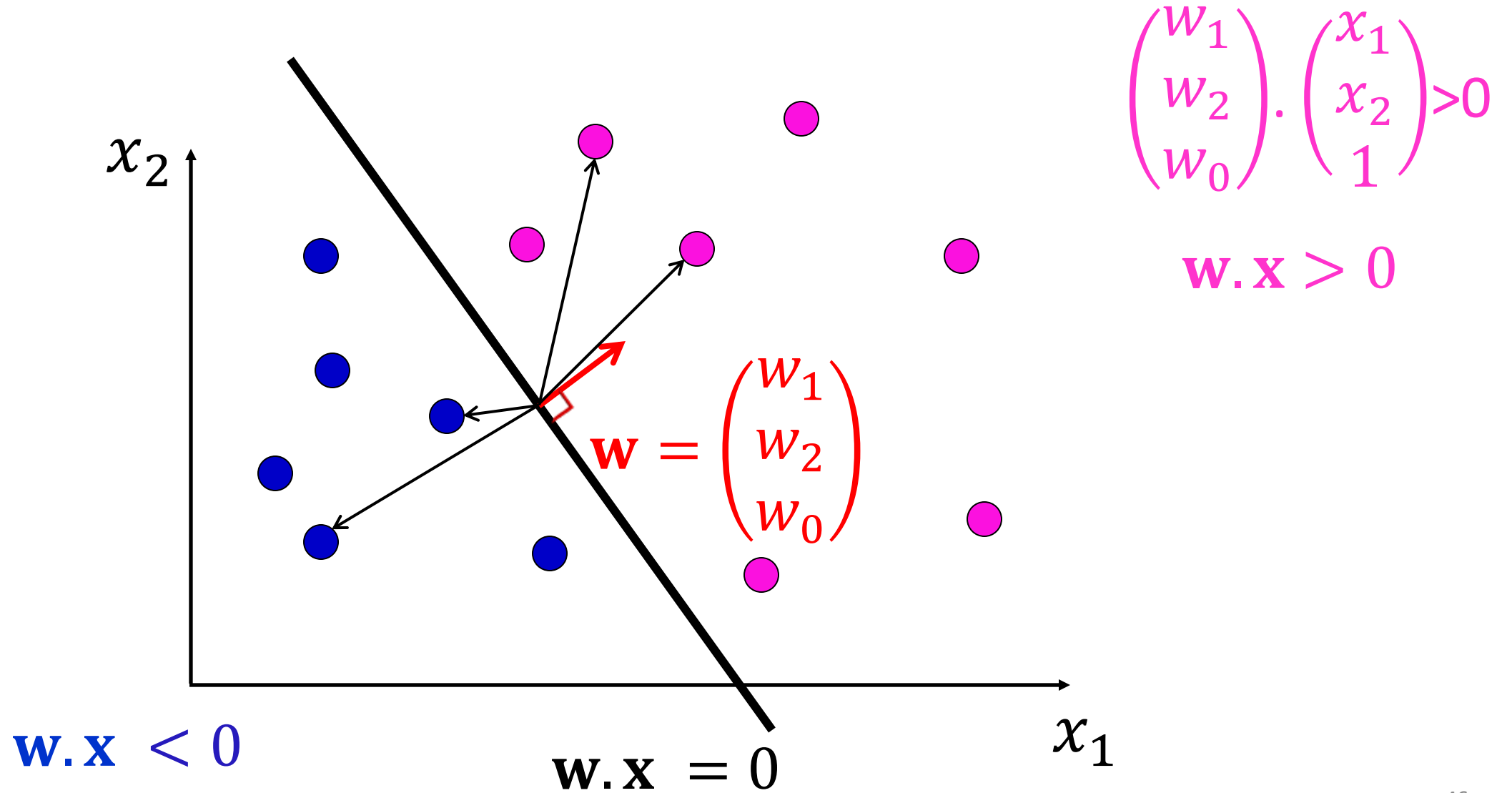
# Geometry and Algebra



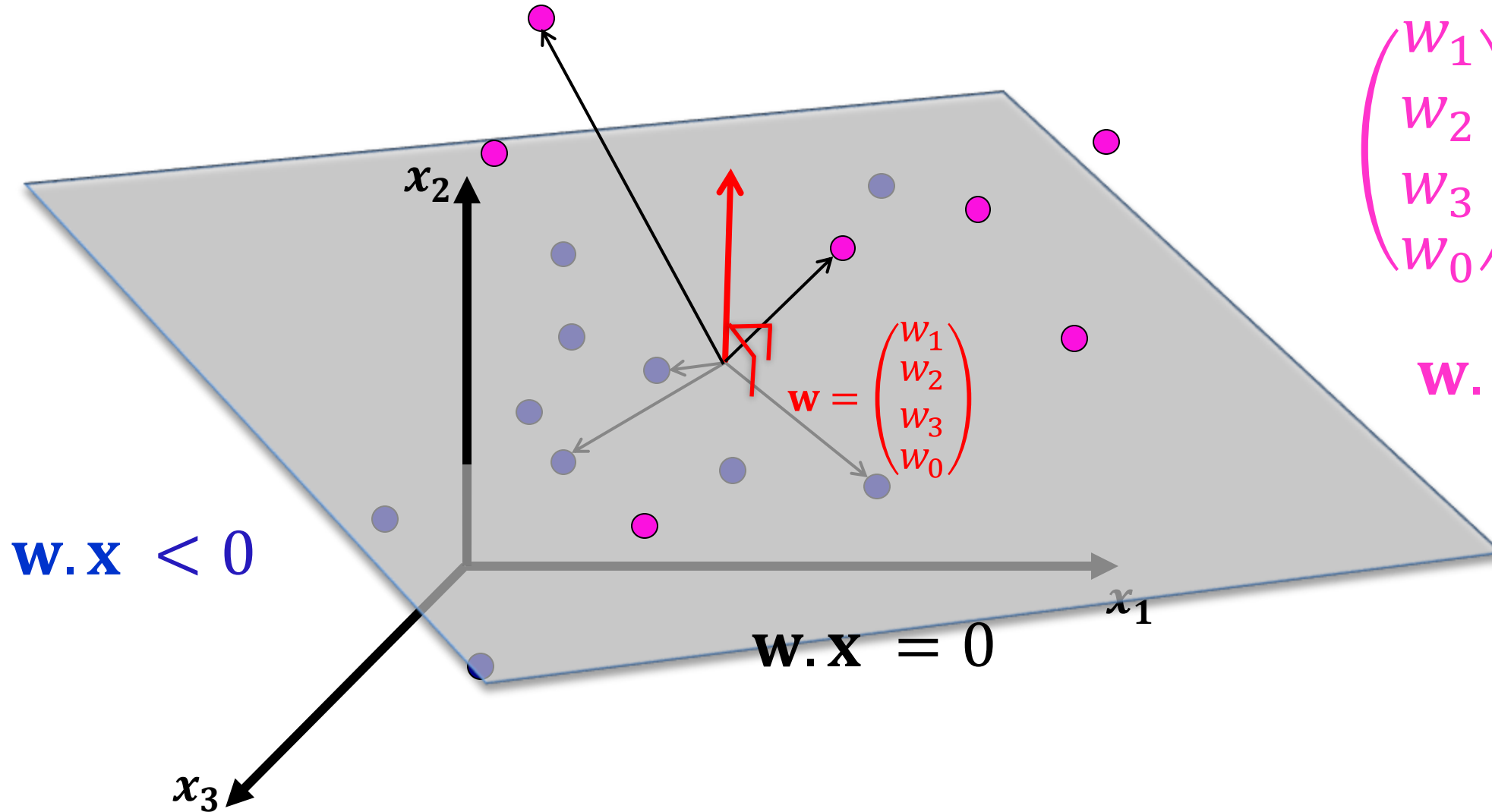
# Geometry and Algebra



# Simplification



# Geometry and Algebra



$$\begin{pmatrix} w_1 \\ w_2 \\ w_3 \\ w_0 \end{pmatrix} \cdot \begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ 1 \end{pmatrix} > 0$$

$$w \cdot x > 0$$

# Derivative

- Derivative of a scalar function (of one variable)
  - $(ax)' = a$
  - $(ax + b)' = a$
  - $(g(f(x)))' = g'(f(x))f'(x)$  **Chain rule**



# Gradient operator

- Gradient of a multivariate function ( $\mathbf{x}$  is a vector)
  - $\nabla_{\mathbf{x}}(\mathbf{a}\mathbf{x}) = \mathbf{a}$
  - $\nabla_{\mathbf{x}}(\mathbf{a}\mathbf{x} + b) = \mathbf{a}$
  - $\nabla_{\mathbf{x}}(g(f(\mathbf{x}))) = \nabla_{\mathbf{x}}g(f(\mathbf{x})) \nabla_{\mathbf{x}}f(\mathbf{x})$  (Chain rule)
  - $\nabla_{\mathbf{x}_i}(\mathbf{v} \cdot \mathbf{x}) = \nabla_{\mathbf{x}_i}(v_1 \cdot x_1 + v_2 \cdot x_2 + \cdots + v_n \cdot x_n) = v_i$

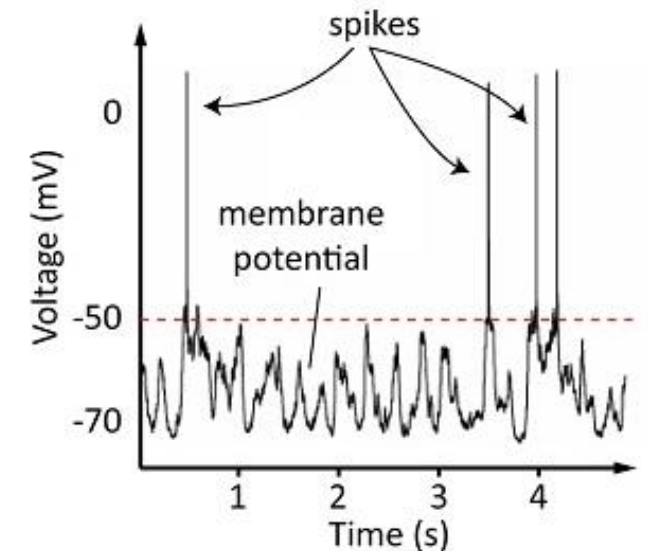
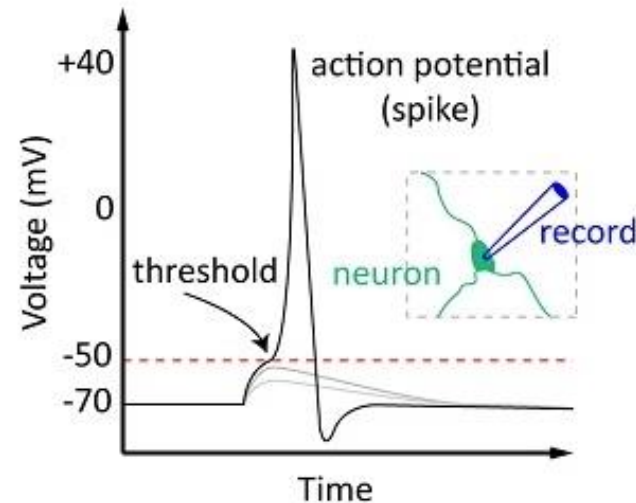
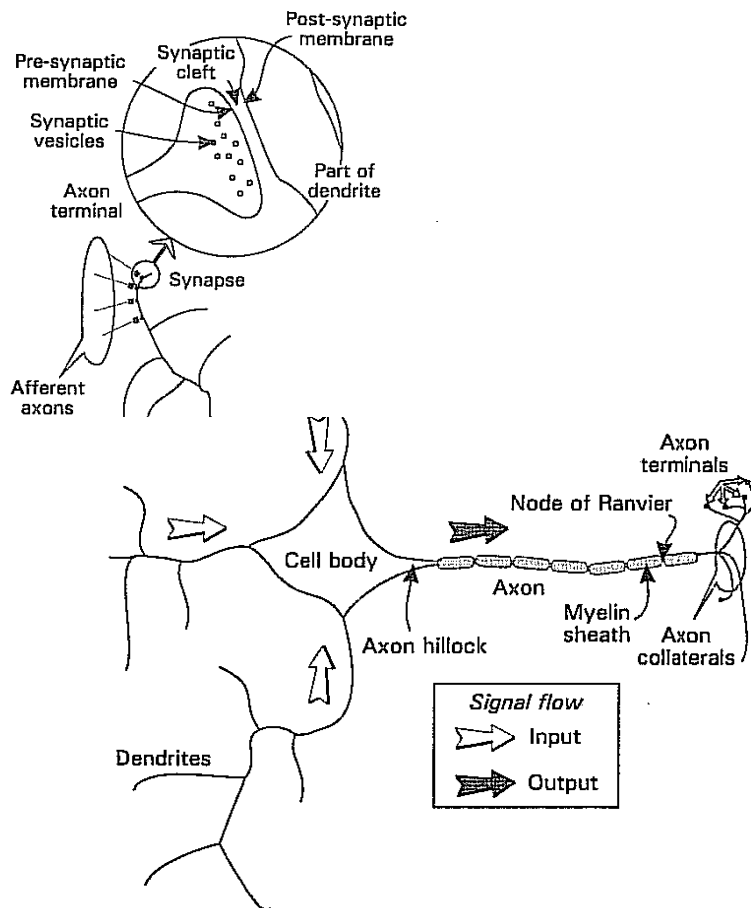
- Machine Learning vs Statistics
- Math Basics
- **Simple Model**
- From Simple to Complex

# SIMPLE MODEL

# Initial Model: Perceptron

# Biological neuron

- Before we study artificial neurons, let's look at a biological neuron



# First, biological neurons

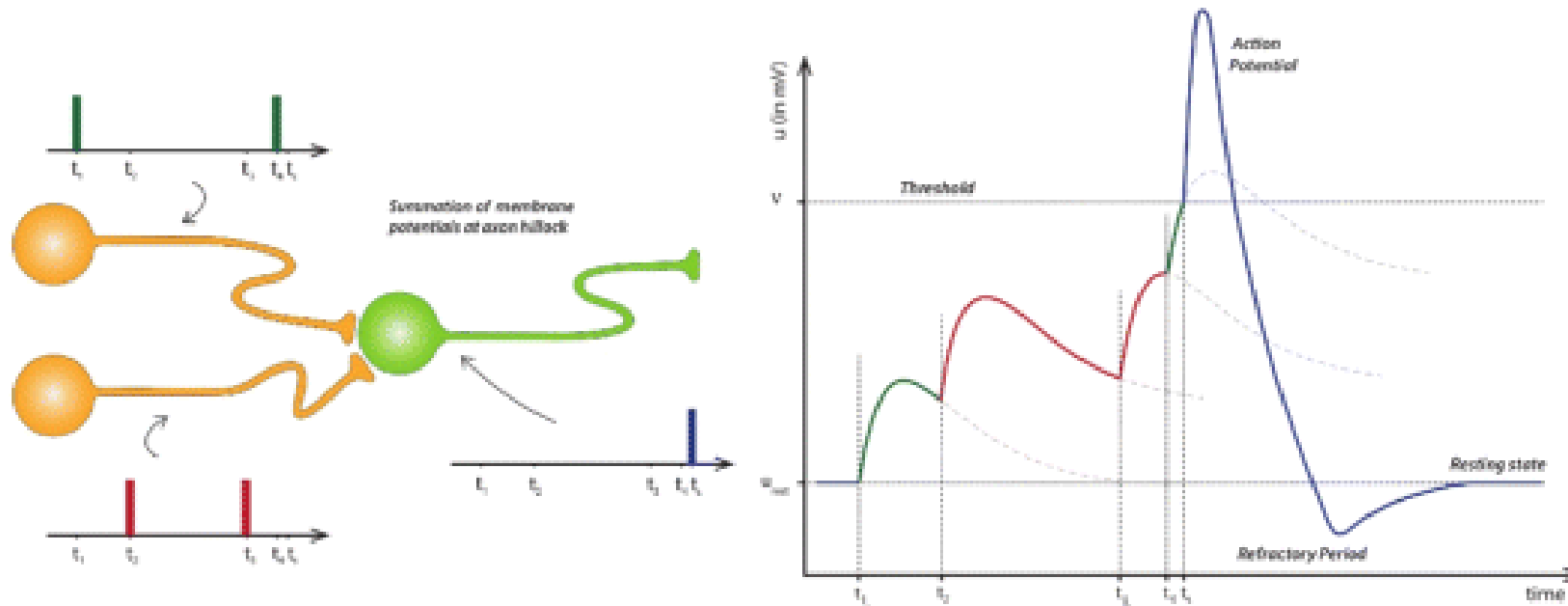
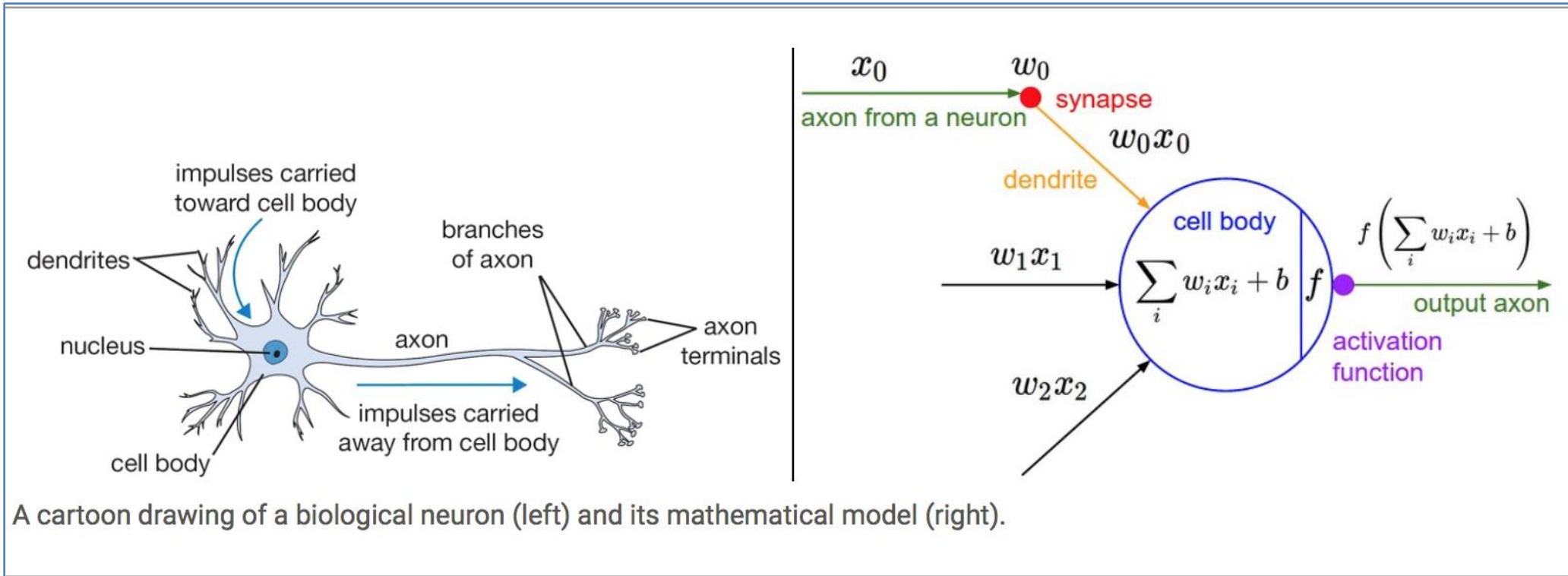


Figure from: Iakymchuk, T., et al. "Simplified spiking neural network architecture and STDP learning algorithm applied to image classification". In Journal of Image Video Proc. 2015, 4 (2015).

# Then, artificial neurons

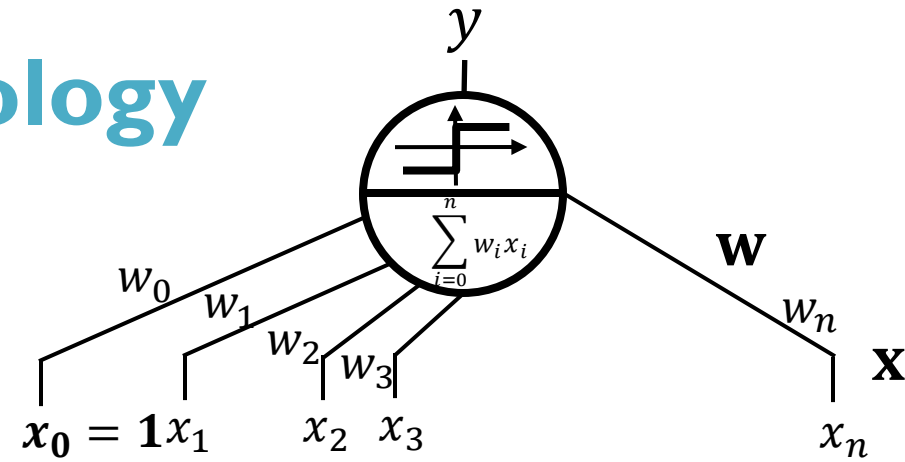


Pitts & McCulloch (1943), binary inputs & activation function  $f$  thresholding

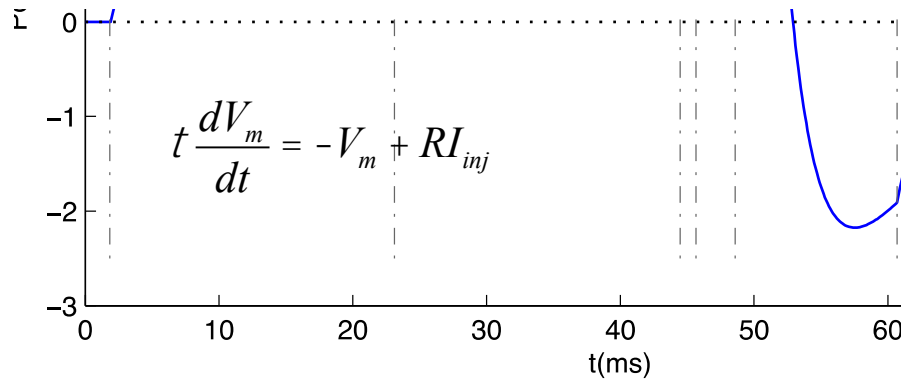
Rosenblatt (1956), real inputs & activation function  $f$  thresholding

# Artificial vs biology

mes

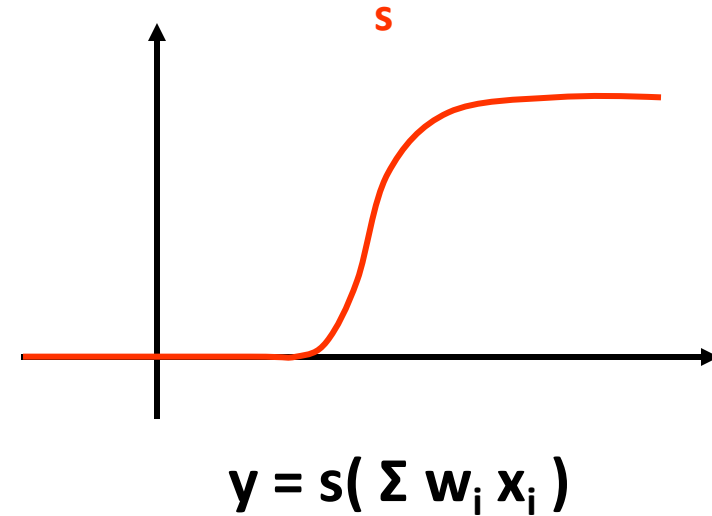


## Spike-based description



Gradient descent: KO

## Rate-based description *Steady regime*

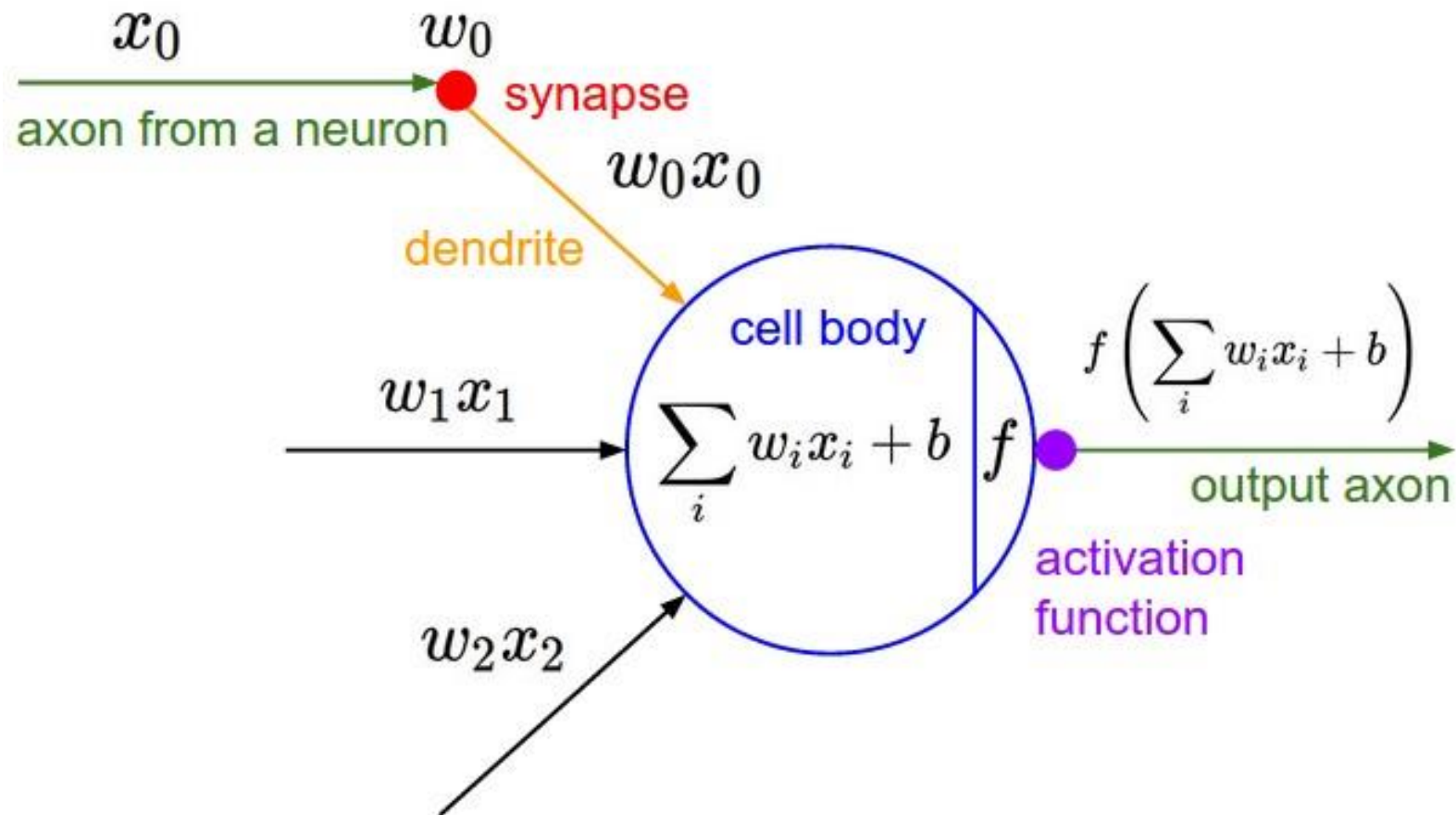


Gradient descent: OK



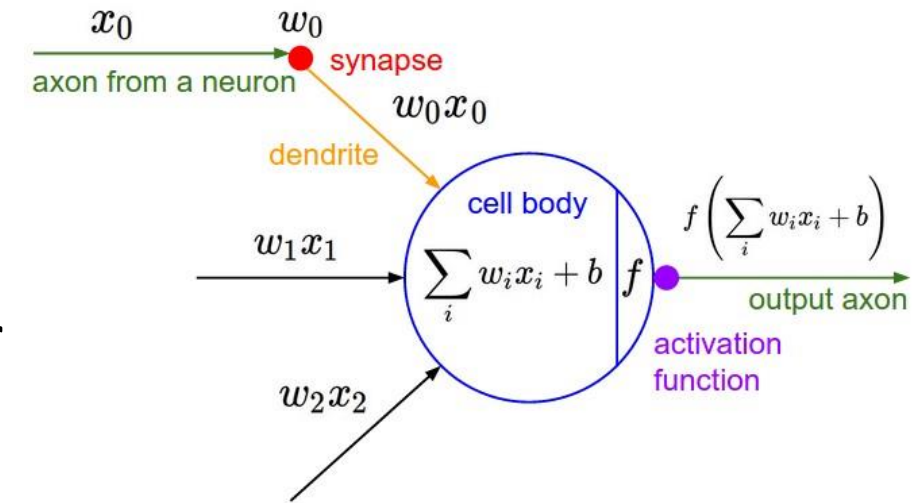
# A single artificial neuron

- It is a **very simple abstract** of a biological neuron (McCulloch & Pitts, 1943)

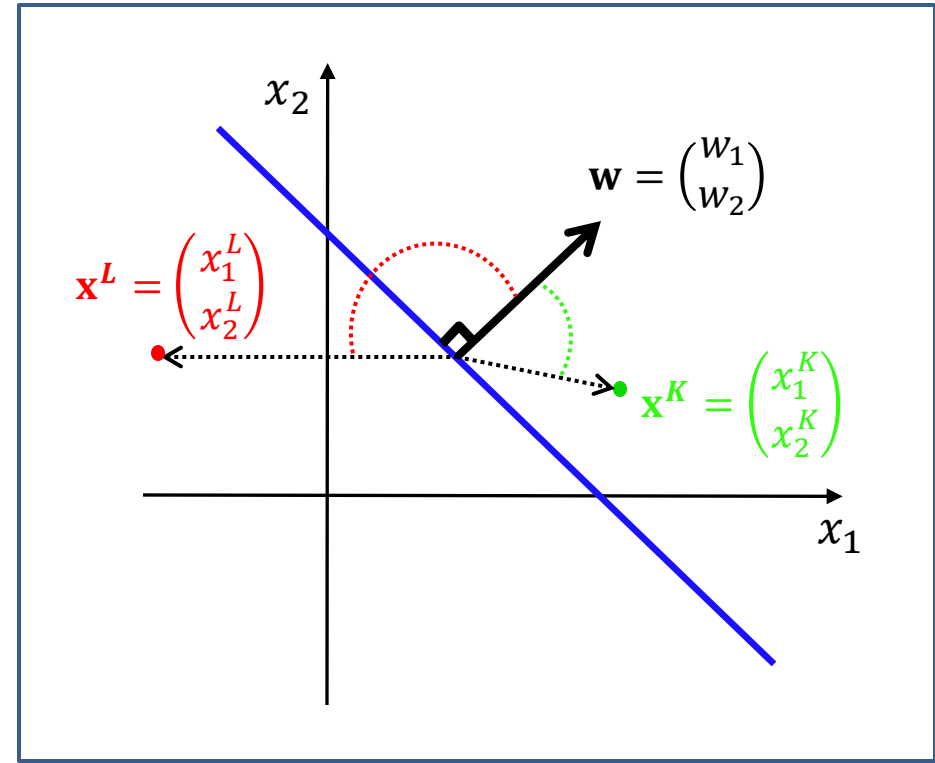
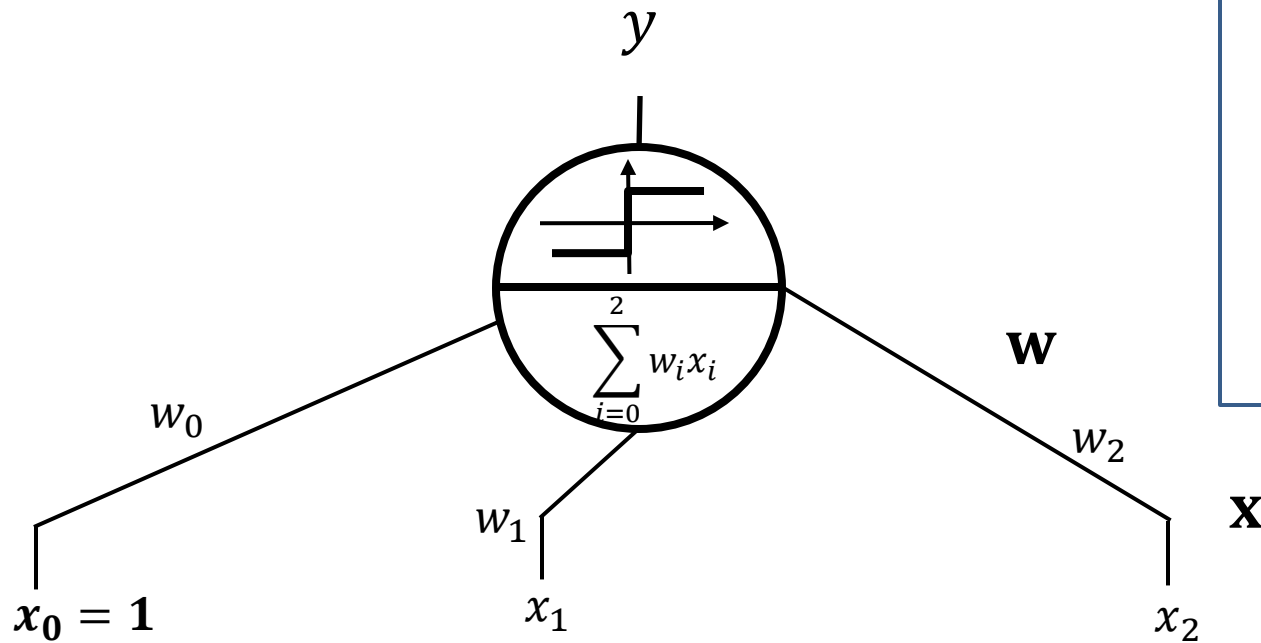


# A single artificial neuron

- Each input  $x$  has an associated **weight  $w$**  which can be modified
- Inputs  $x$  corresponds to signals from other neuron axons
  - $x_0$  - Bias are 'special' inputs, with weight  $w_0$
- Weights  **$W$**  corresponds to synaptic modulation (i.e. something like strength/amount of neurotransmitters)
- The summation corresponds to 'cell body'
- The activation function corresponds to axon hillock - computes some function  $f$  of the weighted sum of its inputs
- So, output  $y=f(z)$ , corresponds to axon signal

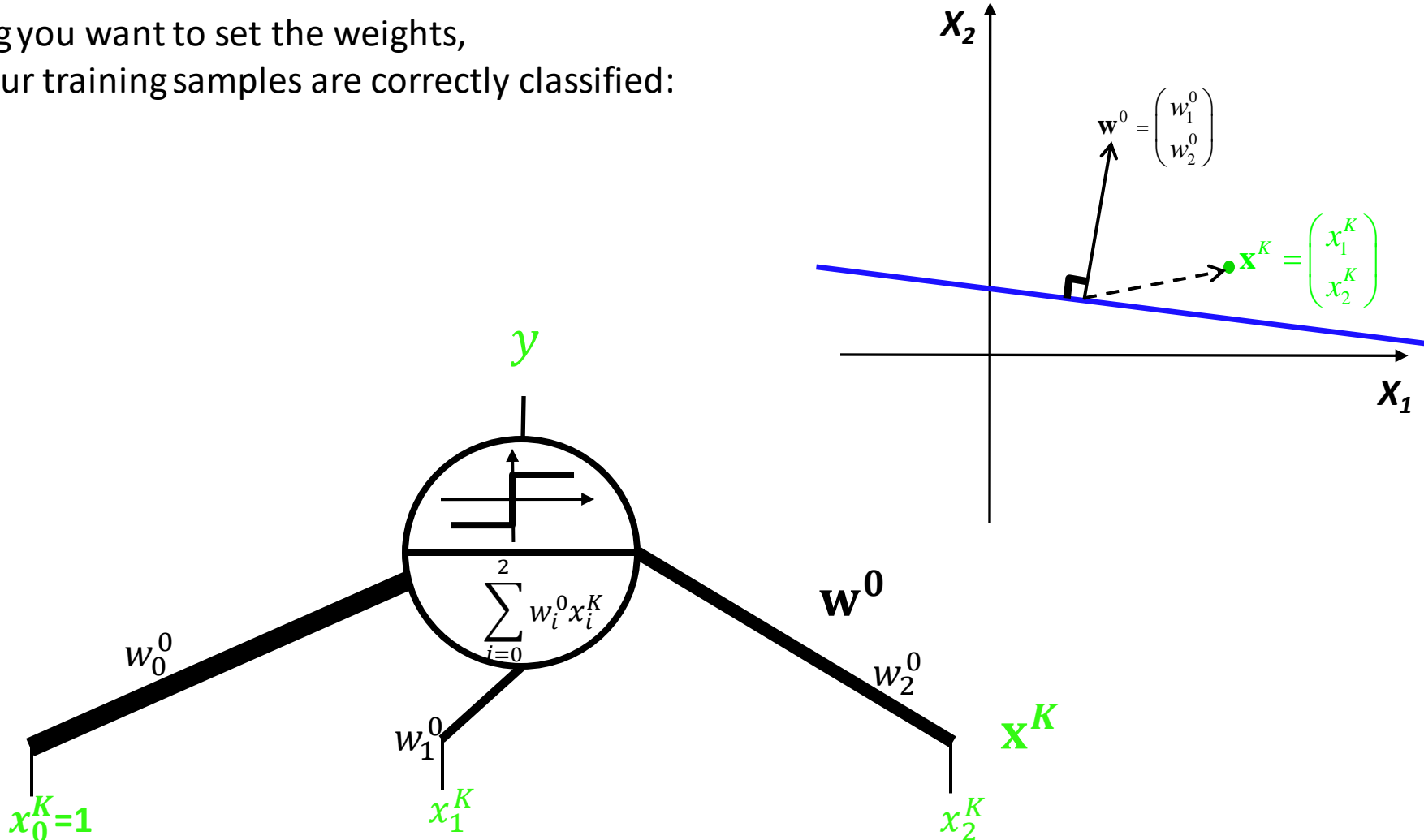


# Artificial neuron = a linear classifier



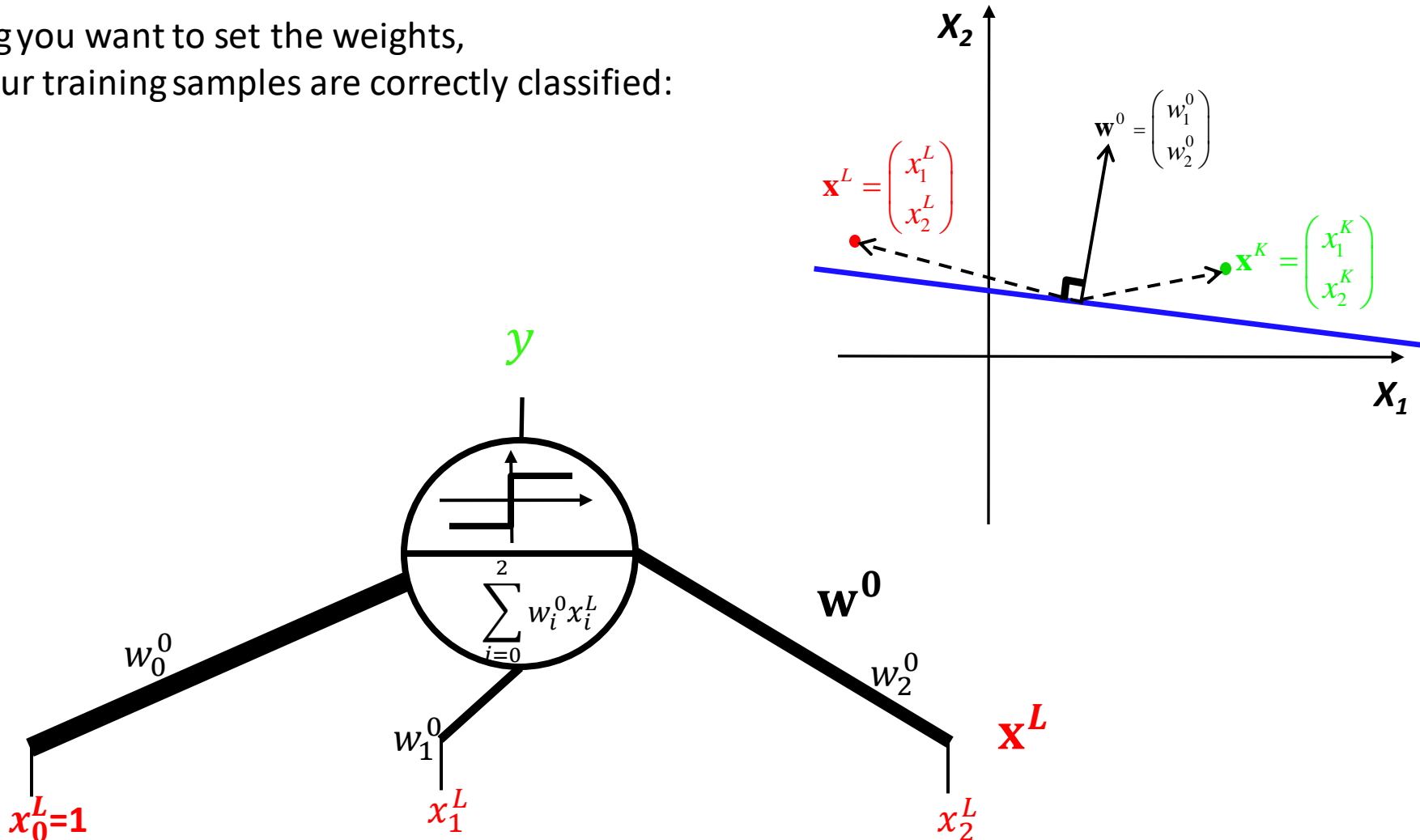
# One artificial neuron (perceptron)

At training you want to set the weights,  
so that your training samples are correctly classified:



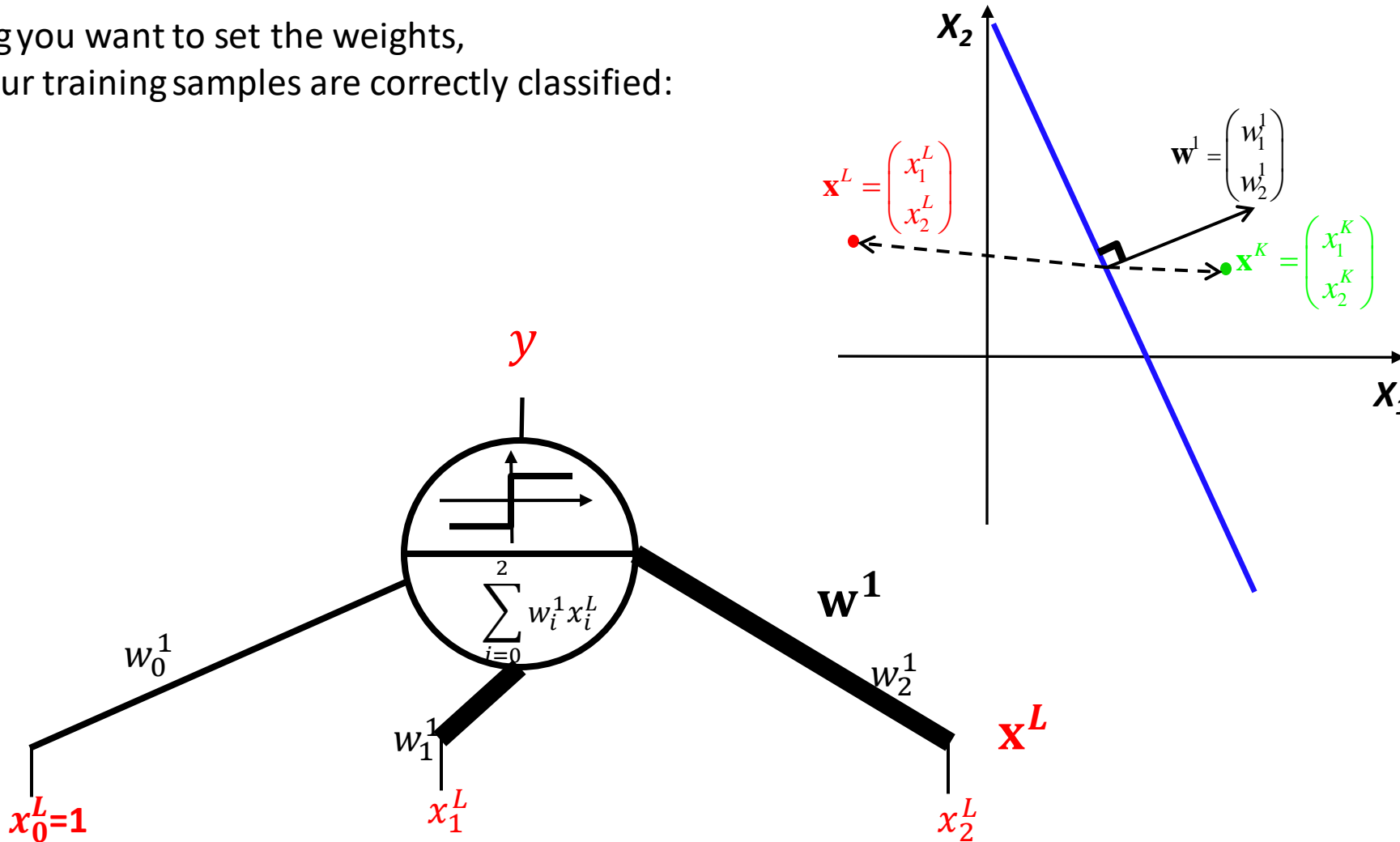
# One artificial neuron (perceptron)

At training you want to set the weights,  
so that your training samples are correctly classified:



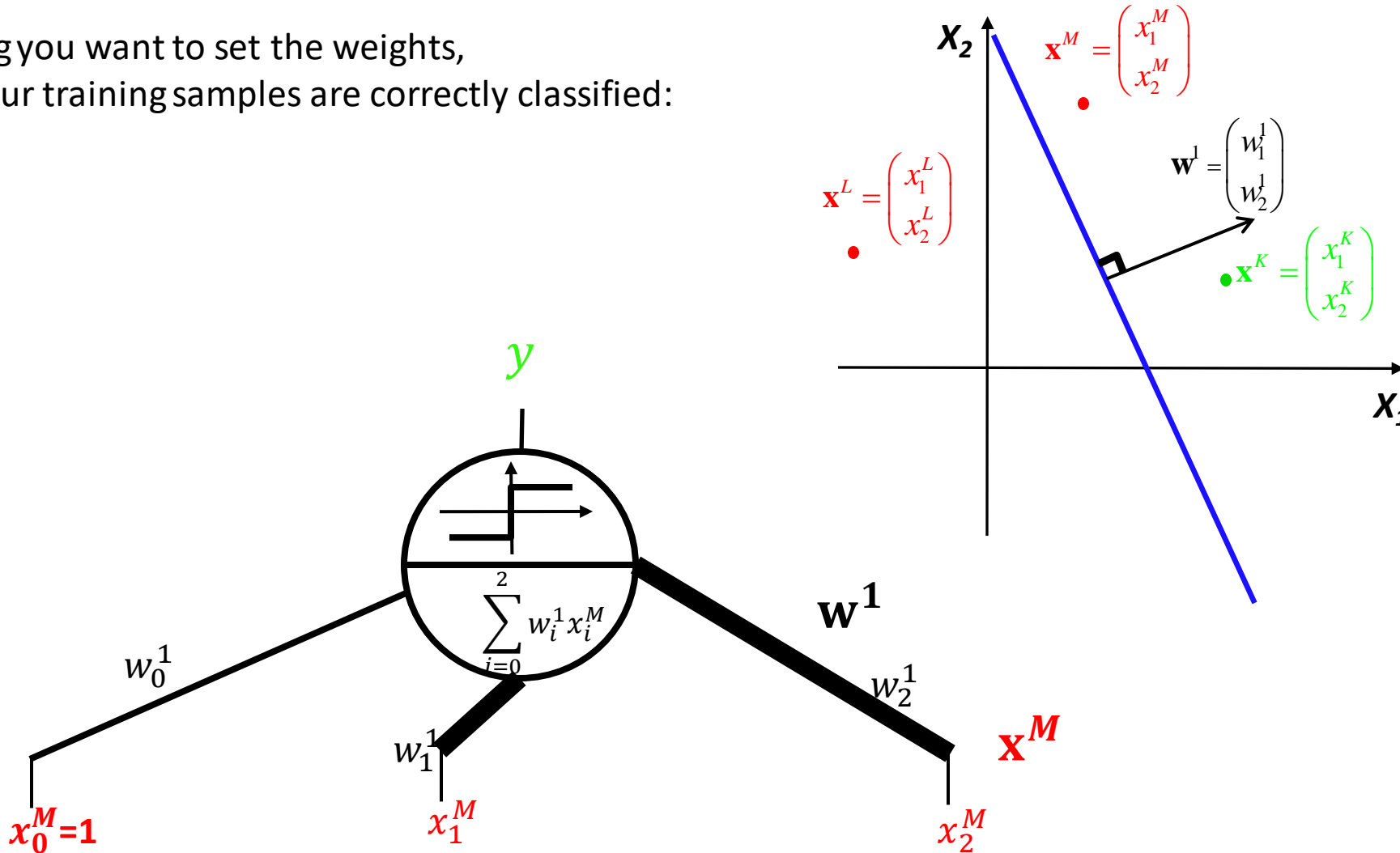
# One artificial neuron (perceptron)

At training you want to set the weights,  
so that your training samples are correctly classified:



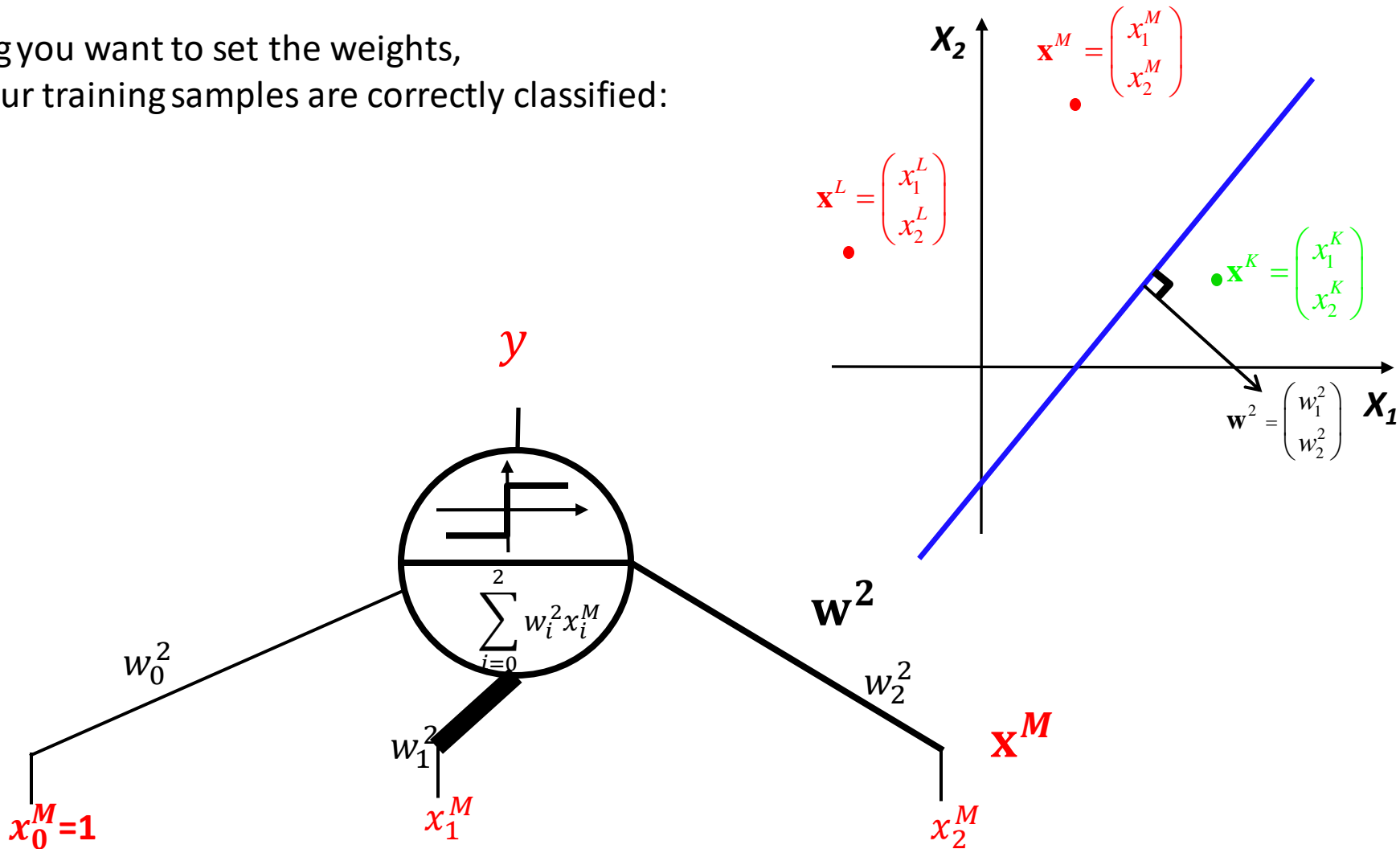
# One artificial neuron (perceptron)

At training you want to set the weights,  
so that your training samples are correctly classified:



# One artificial neuron (perceptron)

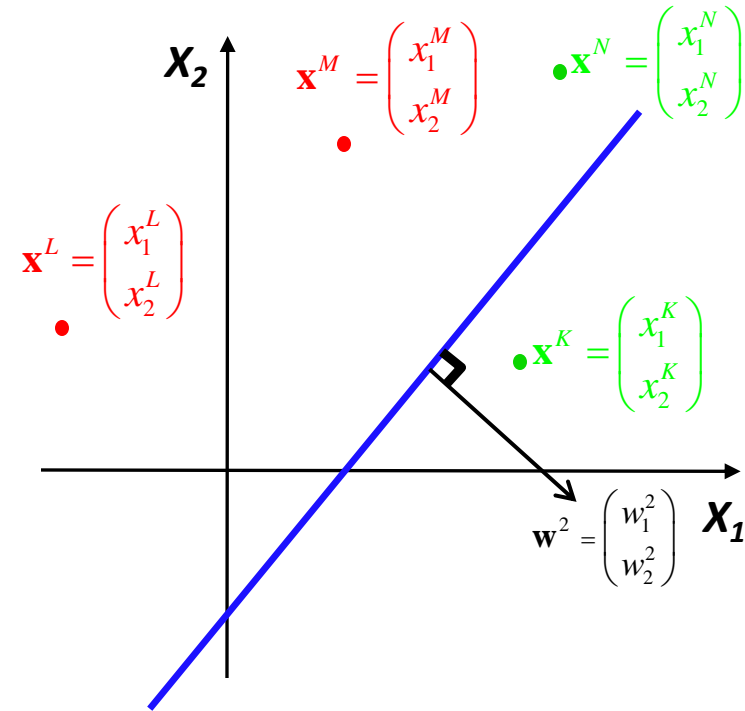
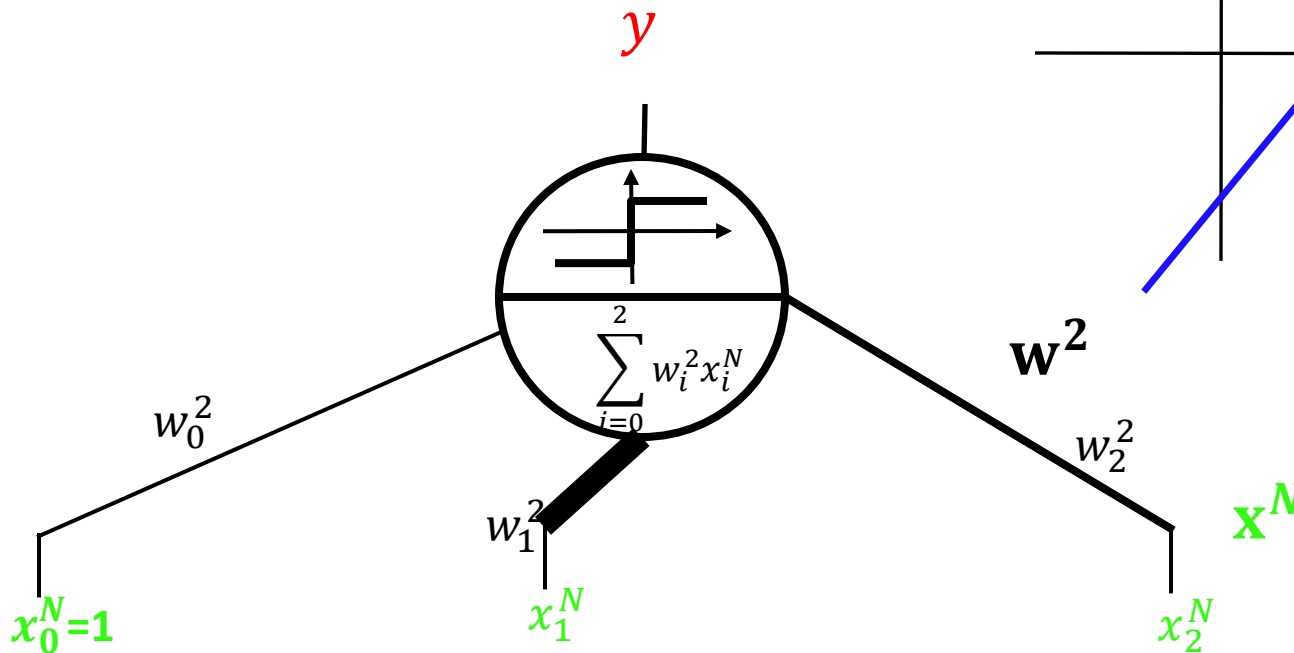
At training you want to set the weights,  
so that your training samples are correctly classified:





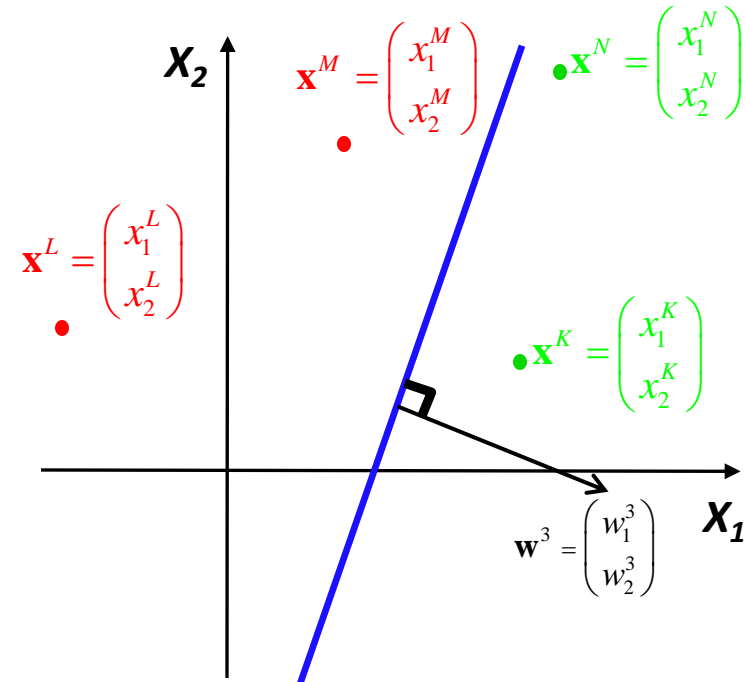
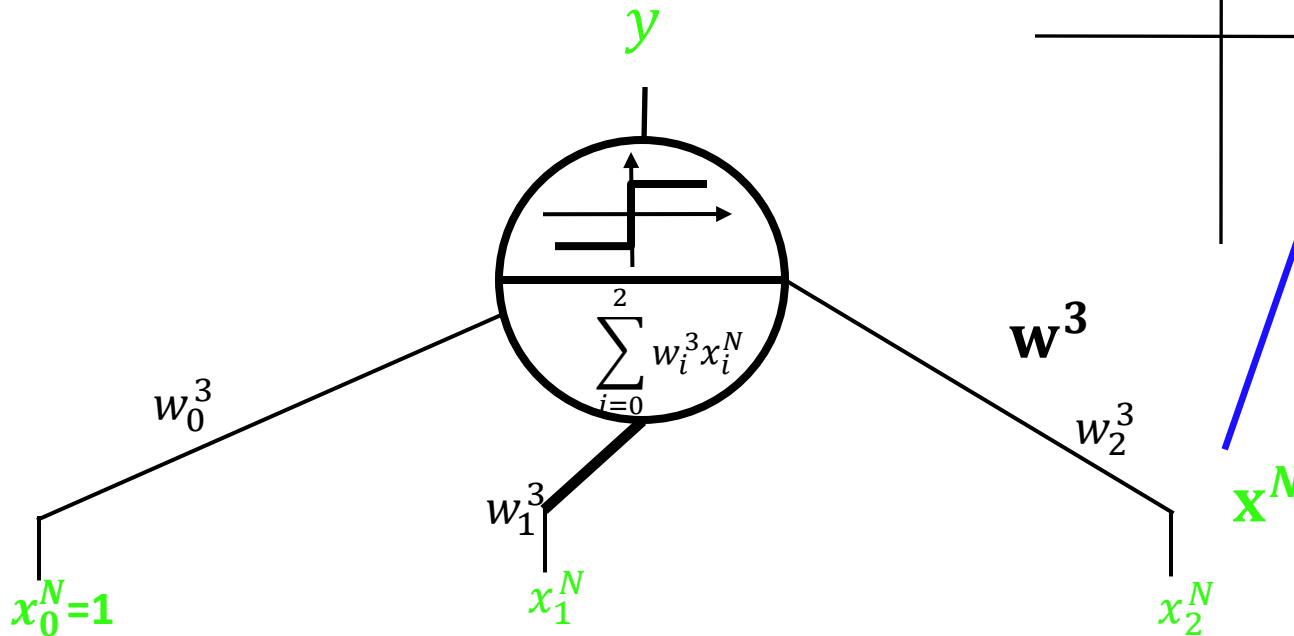
# One artificial neuron (perceptron)

At training you want to set the weights,  
so that your training samples are correctly classified:



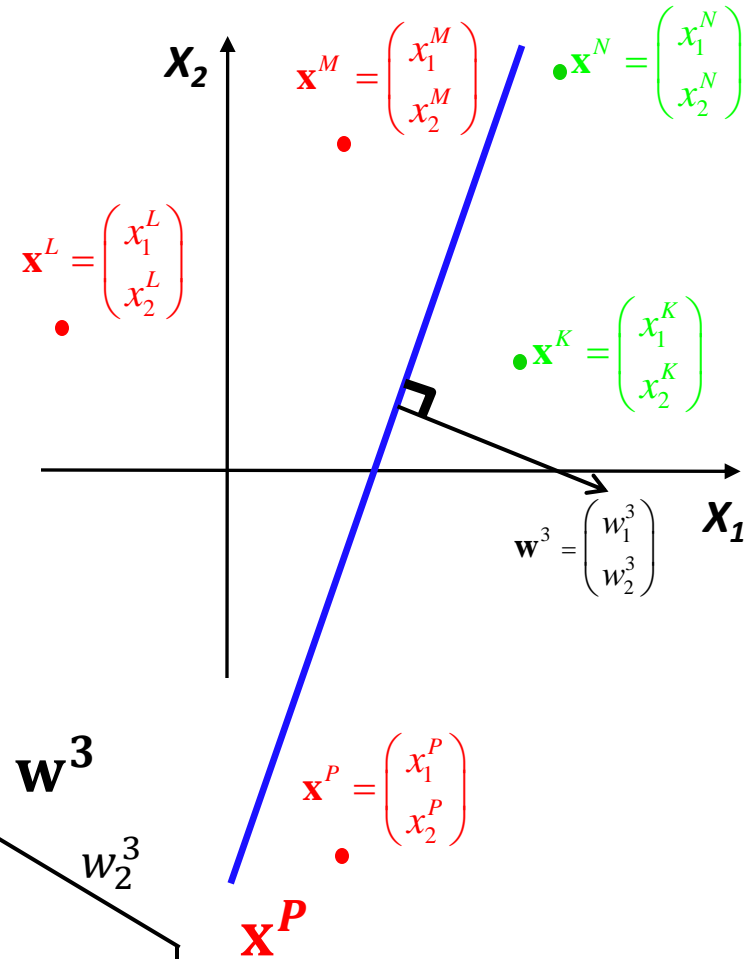
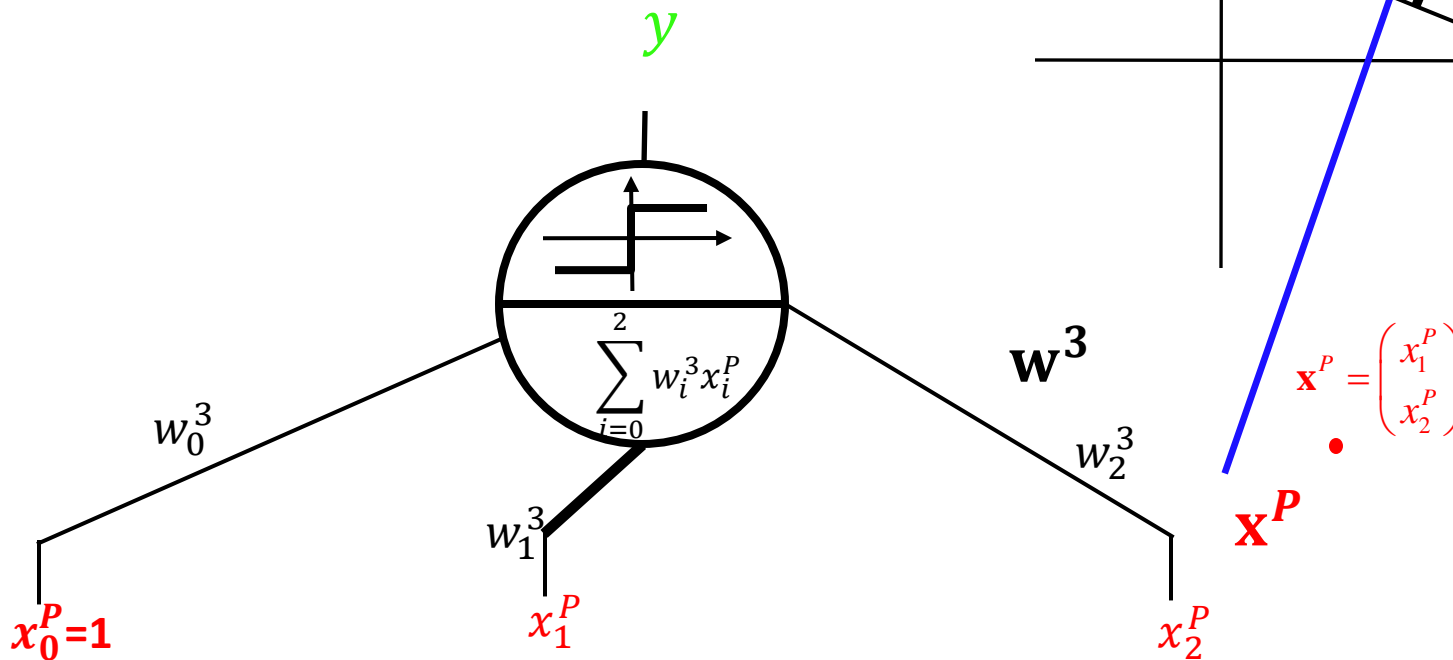
# One artificial neuron (perceptron)

At training you want to set the weights,  
so that your training samples are correctly classified:



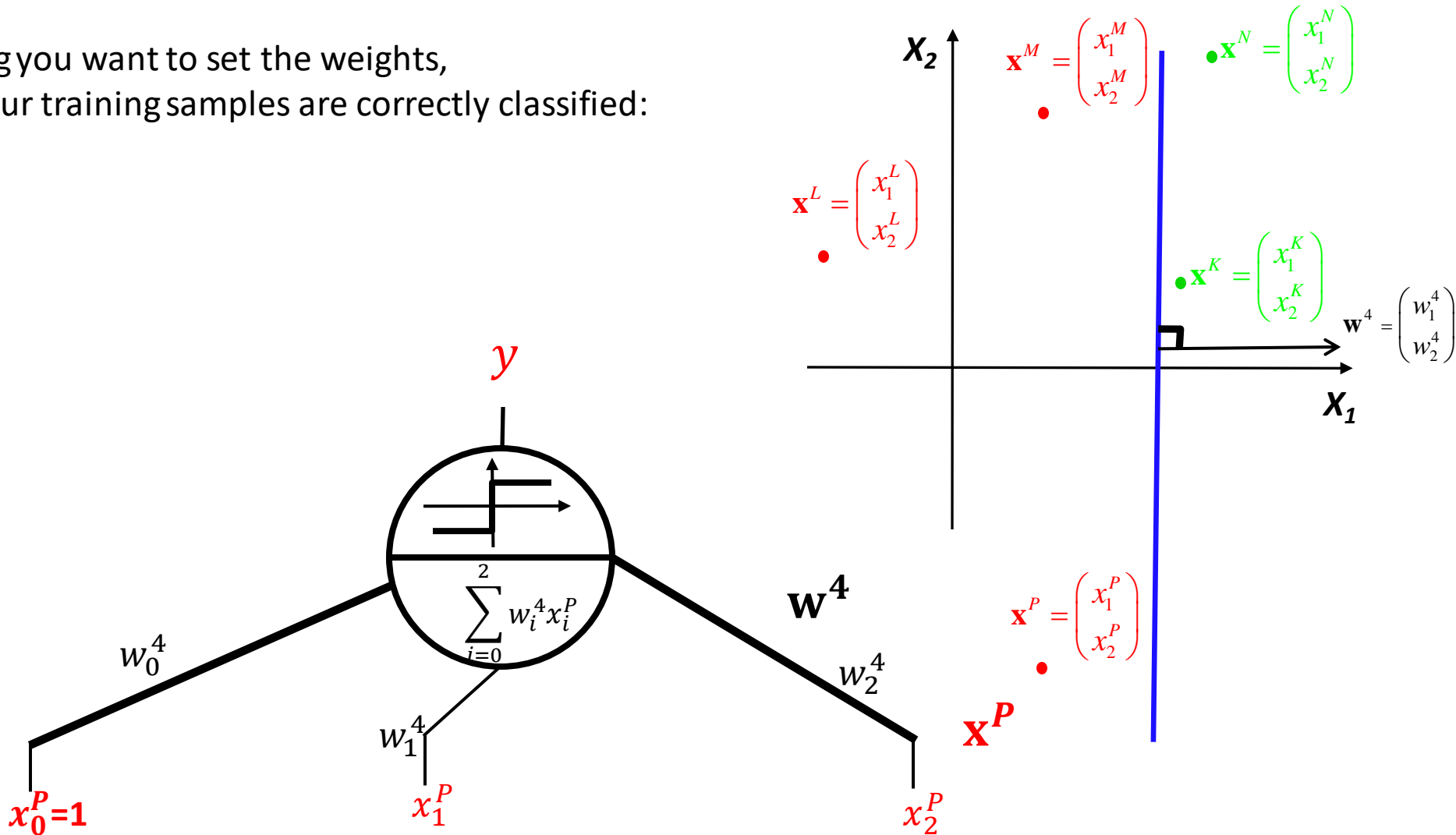
# One artificial neuron (perceptron)

At training you want to set the weights,  
so that your training samples are correctly classified:

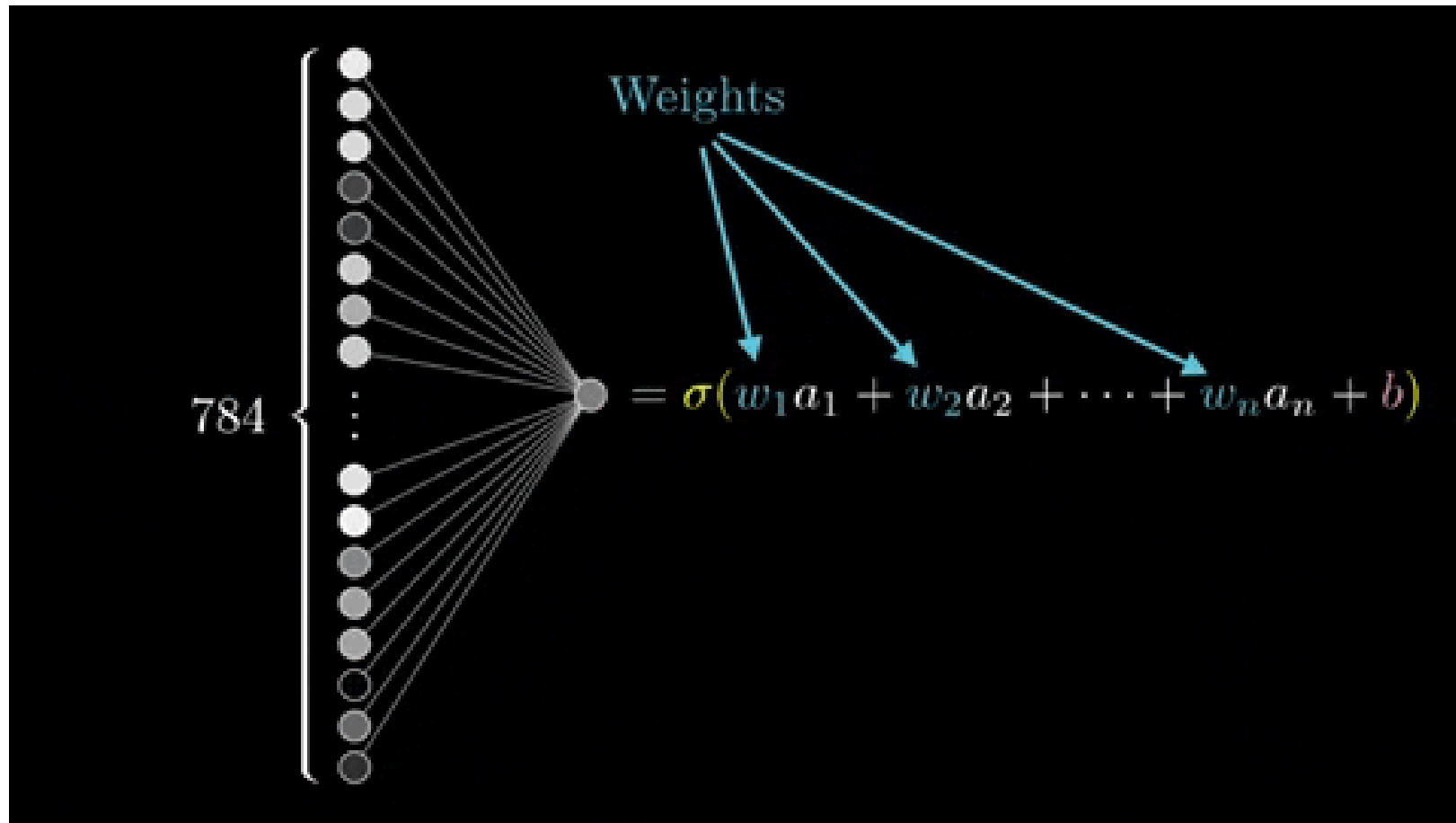


# One artificial neuron (perceptron)

At training you want to set the weights,  
so that your training samples are correctly classified:



# One neuron = simple linear decision



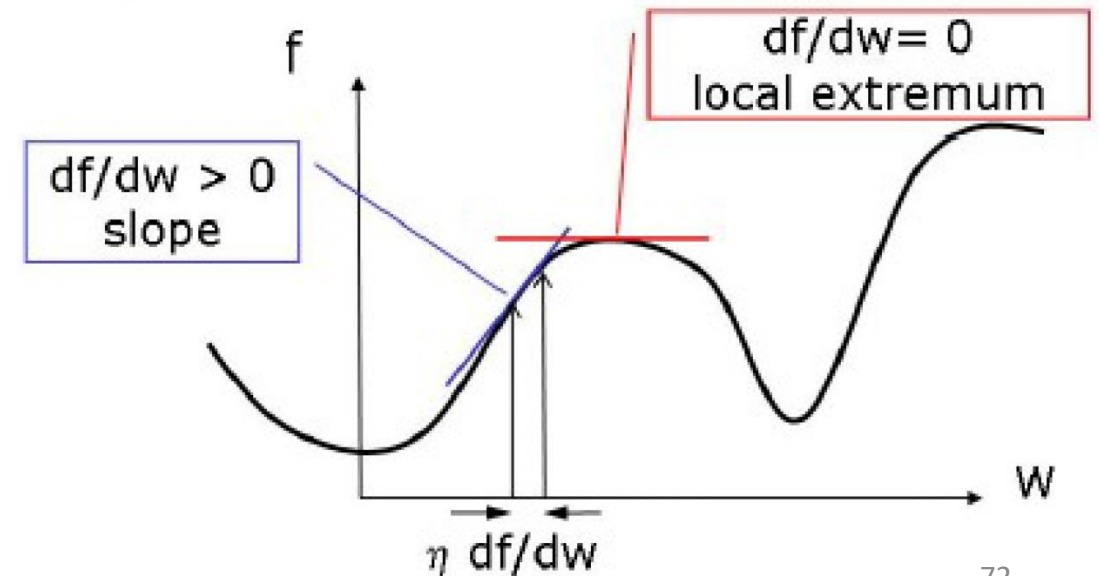
# Perceptron: Rosenblatt's Algorithm (1956-1958)

# Perceptron Algorithm

- Pick initial weight vector (including  $w_0$  ), e.g.  $(0, 0, \dots, 0)$
- Repeat until all points are correctly classified
  - *Repeat for each point*
    - Calculate  $y^i \mathbf{w} \mathbf{x}^i$  for point  $i$
    - If  $y^i \mathbf{w} \mathbf{x}^i > 0$ , the point is correctly classified
    - Else change the weights to increase the value of  $y^i \mathbf{w} \mathbf{x}^i$ ; change in weight proportional to  $y^i \mathbf{x}^i$

# Gradient Ascent

- Why pick  $y^i \mathbf{x}^i$  as increment to weights?
- To maximize scalar function of one variable  $f(\mathbf{w})$ 
  - Pick initial  $\mathbf{w}$
  - Change  $\mathbf{w}$  to  $\mathbf{w} + \eta \frac{df}{d\mathbf{w}}$  ( $\eta > 0$ , small)
  - Until  $f$  stops changing ( $\frac{df}{d\mathbf{w}} \approx 0$ )





# Gradient Ascent

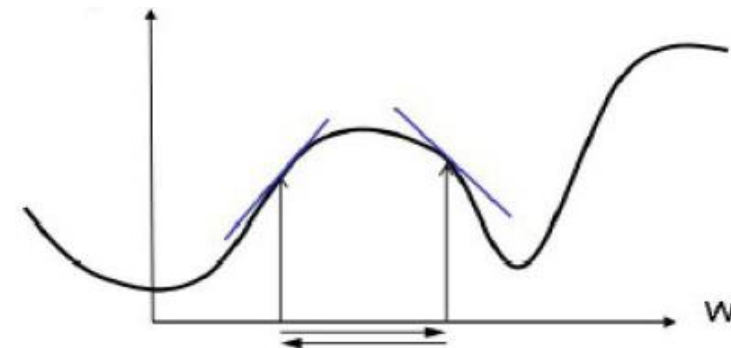
- To maximize a multivariate function  $f(\mathbf{w})$ 
  - Pick initial  $\mathbf{w}$
  - Change  $\mathbf{w}$  to  $\mathbf{w} + \eta \nabla f_{\mathbf{w}}$  ( $\eta > 0$ , small)
  - Until  $f$  stops changing (  $\nabla f_{\mathbf{w}} \approx 0$  )
- Find local maximum, unless function is globally convex

$$\nabla f_{\mathbf{w}} = \left[ \frac{\partial f}{\partial \mathbf{w}_1}, \quad \dots \quad , \frac{\partial f}{\partial \mathbf{w}_n} \right]$$

# Gradient Ascent

- To maximize a multivariate function  $f(\mathbf{w})$ 
  - Pick initial  $\mathbf{w}$
  - Change  $\mathbf{w}$  to  $\mathbf{w} + \eta \nabla f_{\mathbf{w}}$  ( $\eta > 0$ , small)
  - Until  $f$  stops changing ( $\nabla f_{\mathbf{w}} \approx 0$ )
- Find local maximum, unless function is globally convex
- If  $f$  is non-linear, the learning rate  $\eta$  has to be chosen very carefully
  - Too small  $\Rightarrow$  slow convergence
  - Too big  $\Rightarrow$  oscillations

$$\nabla f_{\mathbf{w}} = \left[ \frac{\partial f}{\partial \mathbf{w}_1}, \quad \dots, \quad \frac{\partial f}{\partial \mathbf{w}_n} \right]$$



# Gradient Ascent

- Maximize margin of misclassified points

$$f(\mathbf{w}) = \sum_{\text{on } \mathbf{i} \text{ misclassified points}} y^i \mathbf{w} \mathbf{x}^i$$

$$\nabla_{\mathbf{w}} f(\mathbf{w}) = \sum_{\text{on } \mathbf{i} \text{ misclassified points}} y^i \mathbf{x}^i$$

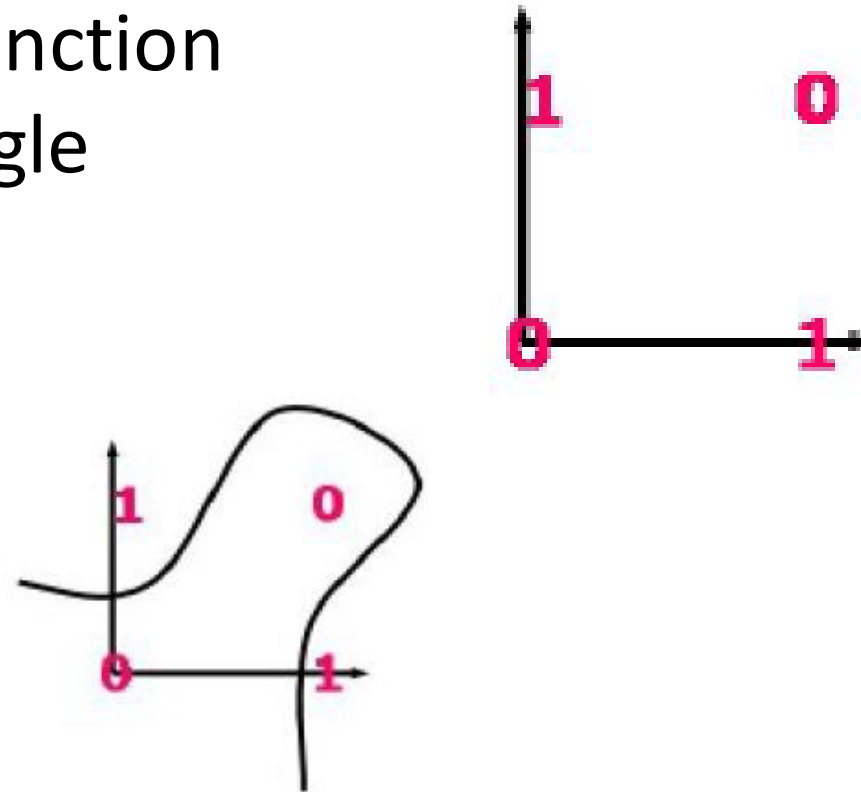
- Off-line training: Compute, at each iteration, the gradient as sum over all training points
- On-line training: Approximate gradient by one of the terms in the sum:  $y^i \mathbf{x}^i$  (principle of the *Stochastic Gradient Descent*, SGD)

# Perceptron Algorithm

- Each change of  $\mathbf{w}$  decreases the error on a specific point. However, changes for several points are correlated, that is different points could change the weights in opposite directions. Thus, this iterative algorithm requires several loops to converge.
- Guarantee to find a separating hyperplane if one exists – if data is linearly separable.
- If data are not linearly separable, then this algorithm loops indefinitely.

# Beyond Linear Separability

- Values of the XOR boolean function cannot be separated by a single perceptron unit [Minsky and Papert, 1969].

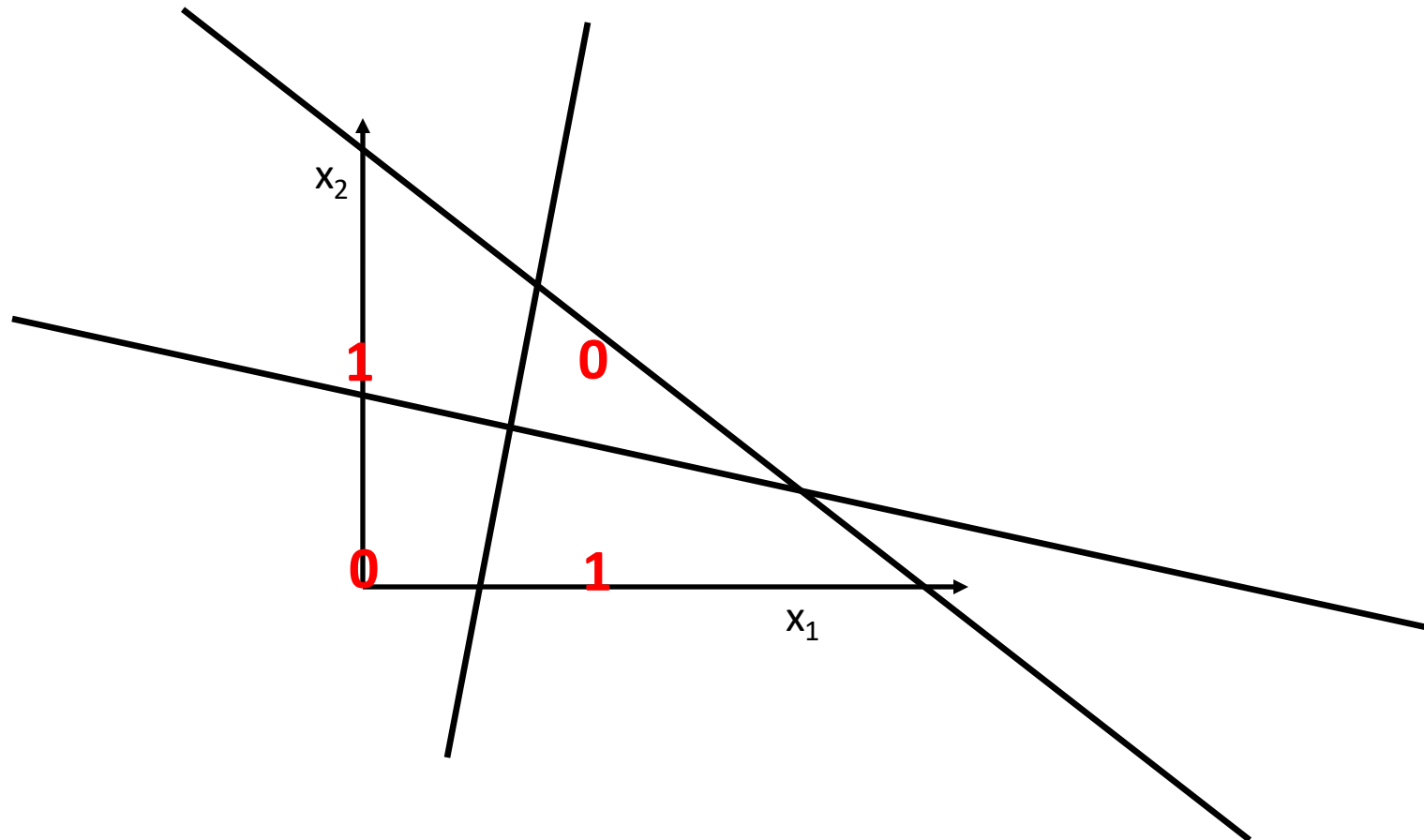


*Minsky, M. and Papert, S. (1969). Perceptrons: An Introduction to Computational Geometry. MIT Press.*

- Machine Learning vs Statistics
- Math Basics
- Simple Model
- **From Simple to Complex**

# FROM SIMPLE TO COMPLEX

# Problem which cannot be solved with a unique straight line





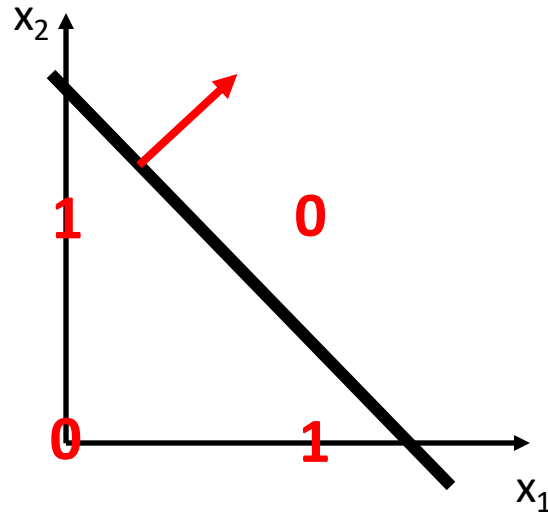
$$w_{01} = -3/2 \quad w_{11} = w_{21} = 1$$

$x_1$	$x_2$	$u_1$
0	0	0
0	1	0
1	0	0
1	1	1

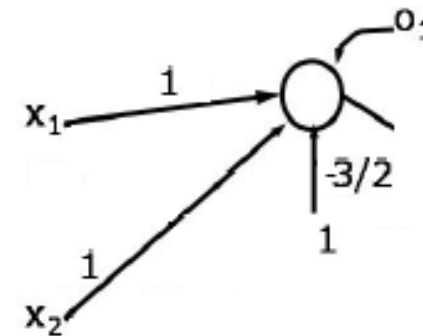
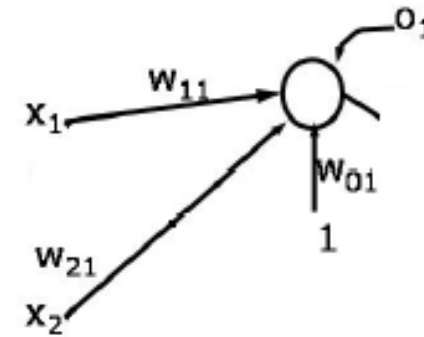
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# Problem which cannot be solved with a unique straight line

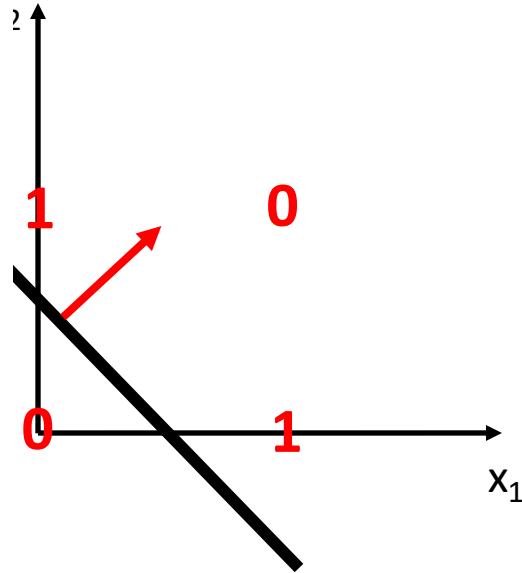
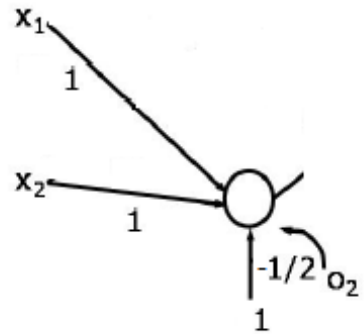
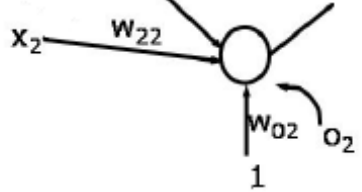


0  
0  
0  
1



# Problem which cannot be solved with a unique straight line

$x_1$	$x_2$	$o_1$	$o_2$
0	0	0	0
0	1	0	1
1	0	0	1
1	1	1	1

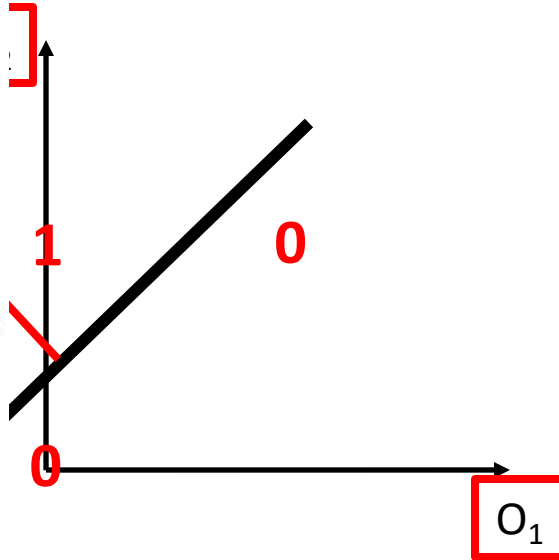
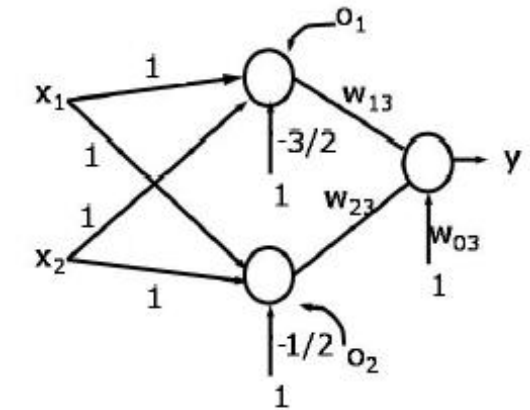


$$w_{02} = -1/2 \quad w_{12} = w_{22} = 1$$

0 0  
0 1  
0 1  
1 1

# Problem which cannot be solved with a unique straight line

$x_1$	$x_2$	$o_1$	$o_2$	$y$
0	0	0	0	0
0	1	0	1	1
1	0	0	1	1
1	1	1	1	0



$$O_2 - O_1 - 1/2 = 0$$

0 0  
0 1  
0 1  
1 1

$O_1$

$O_2$

$O_1$

1

1 / -1/2

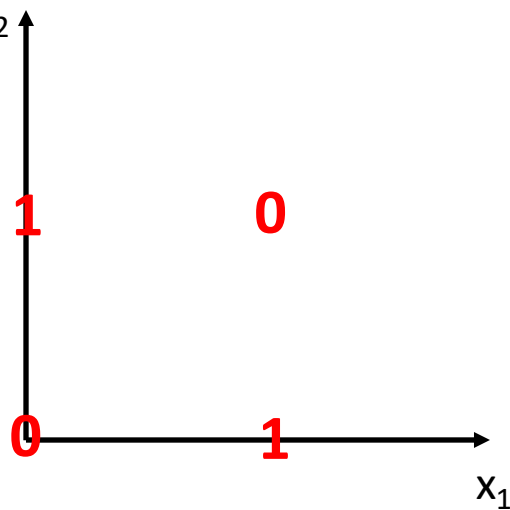
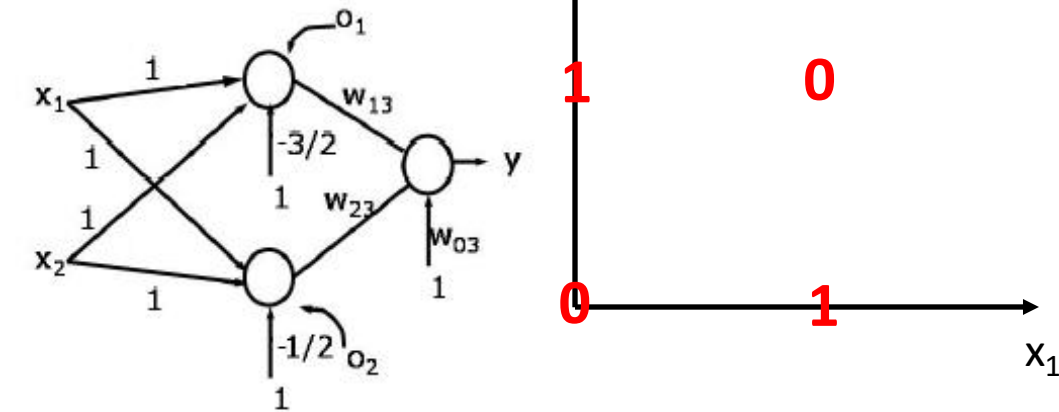
$O_2$

$$w_{23}O_2 + w_{13}O_1 + w_{03} = 0$$

$$w_{03} = -1/2, w_{13} = -1, w_{23} = 1$$

# Problem which cannot be solved with a unique straight line

$x_1$	$x_2$	$o_1$	$o_2$	$y$
0	0	0	0	0
0	1	0	1	1
1	0	0	1	1
1	1	1	1	0



0 0  
0 1  
0 1  
1 1

$O_1$

$O_2$

$O_1$

1

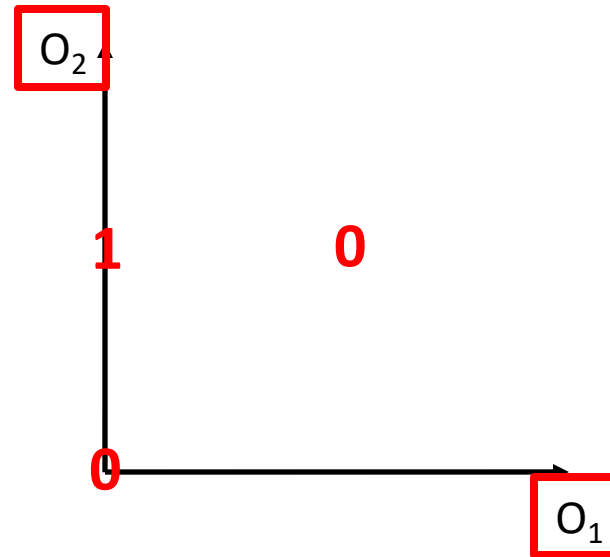
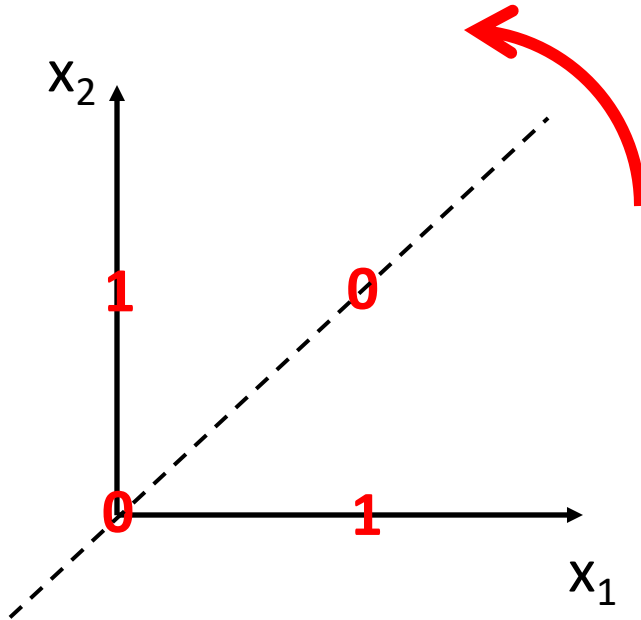
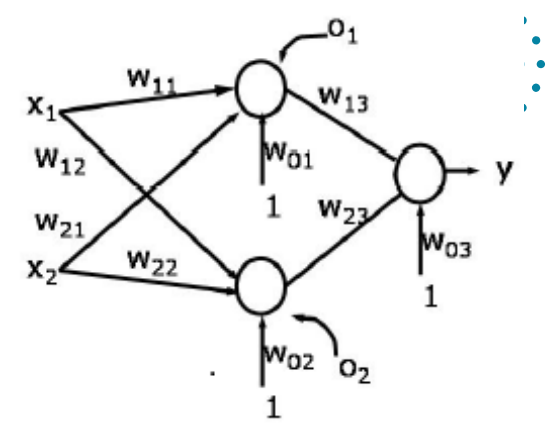
1 / -1/2

$O_2$

$$w_{23}O_2 + w_{13}O_1 + w_{03} = 0$$

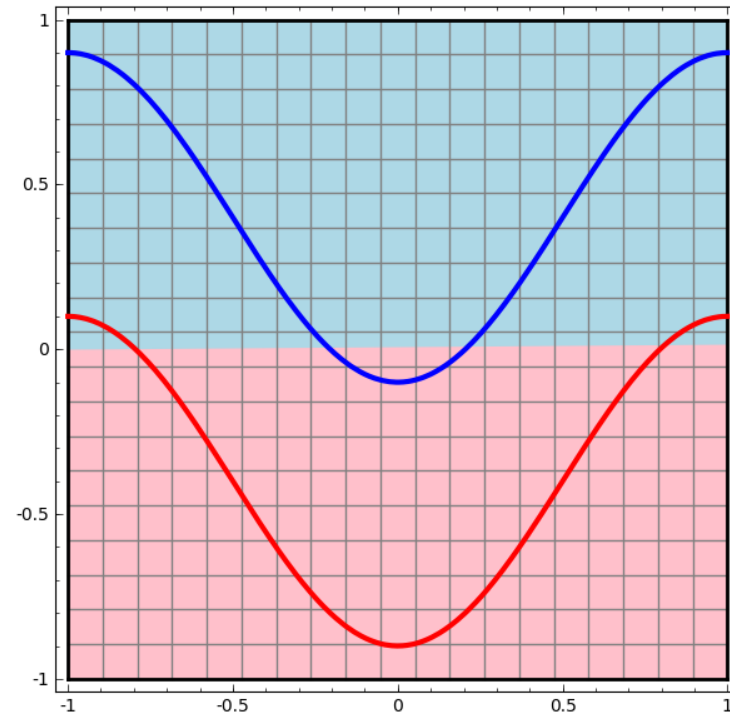
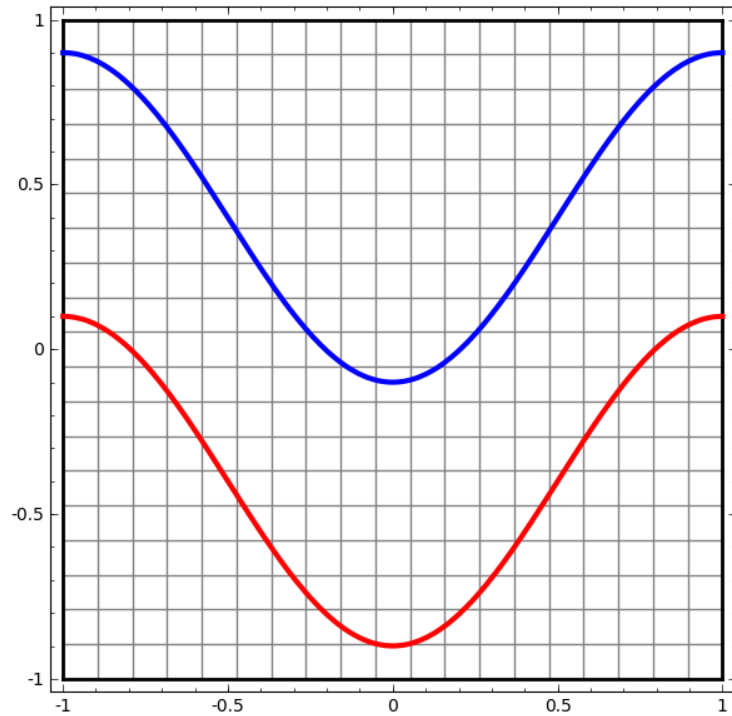
$$w_{03} = -1/2, w_{13} = -1, w_{23} = 1$$

# Problem which cannot be solved with a unique straight line



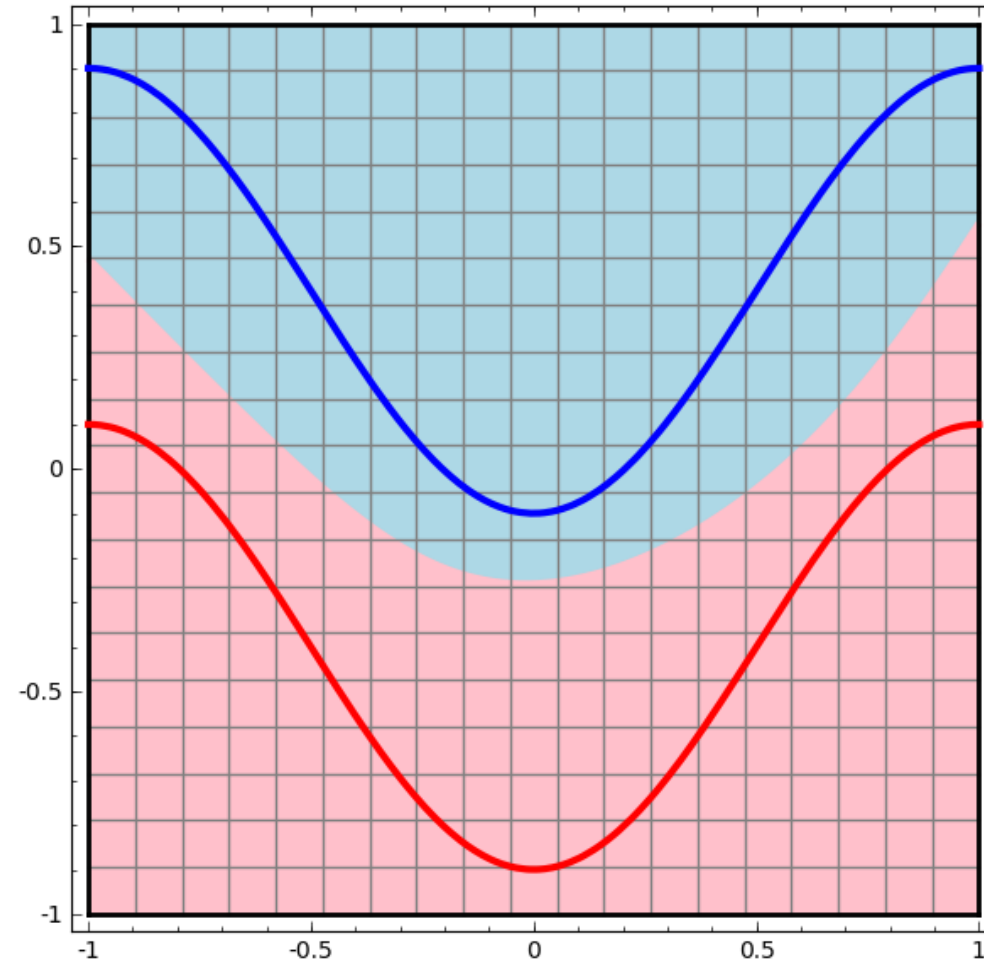
Adding a hidden layer of neurons has fold the input space

# One perceptron



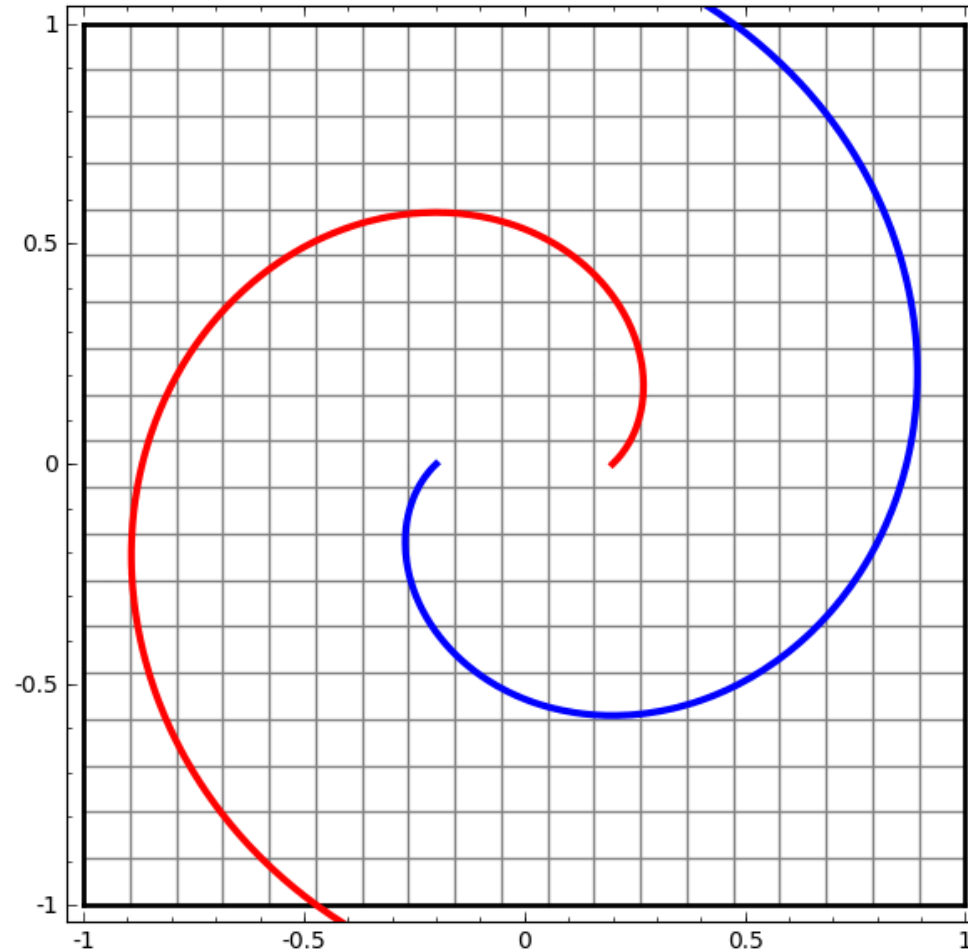
Illustrations from: <http://colah.github.io/posts/2014-03-NN-Manifolds-Topology/>

# Multi-Layer Perceptron, manifold disentanglement



Illustrations from: <http://colah.github.io/posts/2014-03-NN-Manifolds-Topology/>

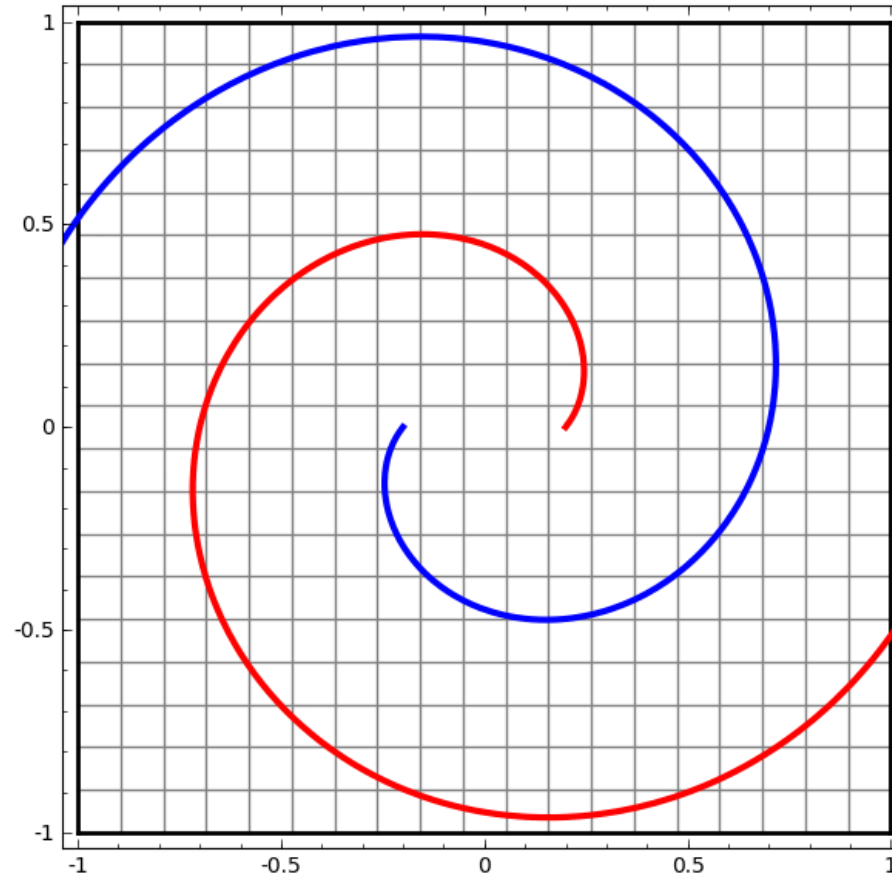
# Multi-Layer Perceptron, manifold disentanglement



Illustrations from: <http://colah.github.io/posts/2014-03-NN-Manifolds-Topology/>

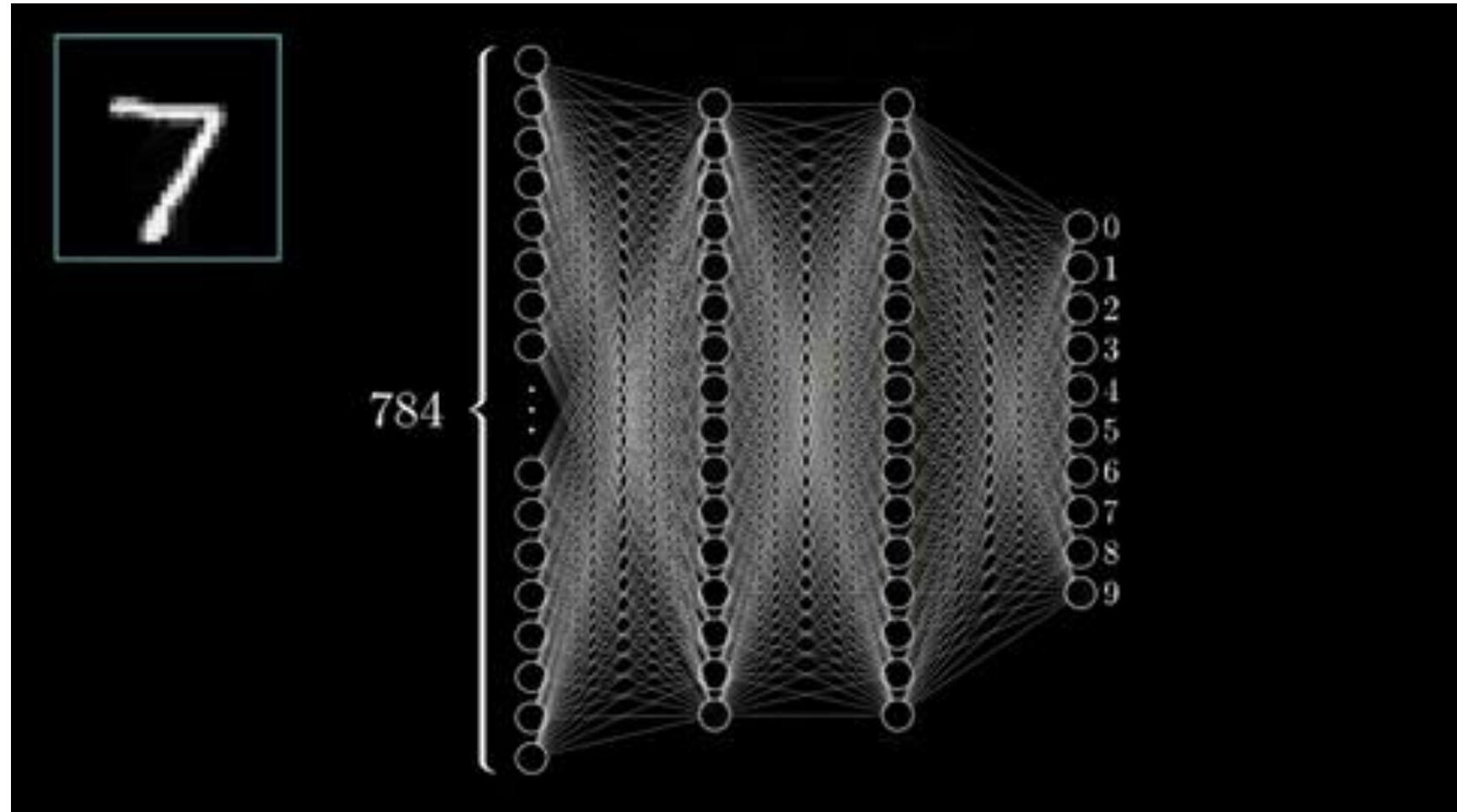


# Multi-Layer Perceptron, manifold disentanglement

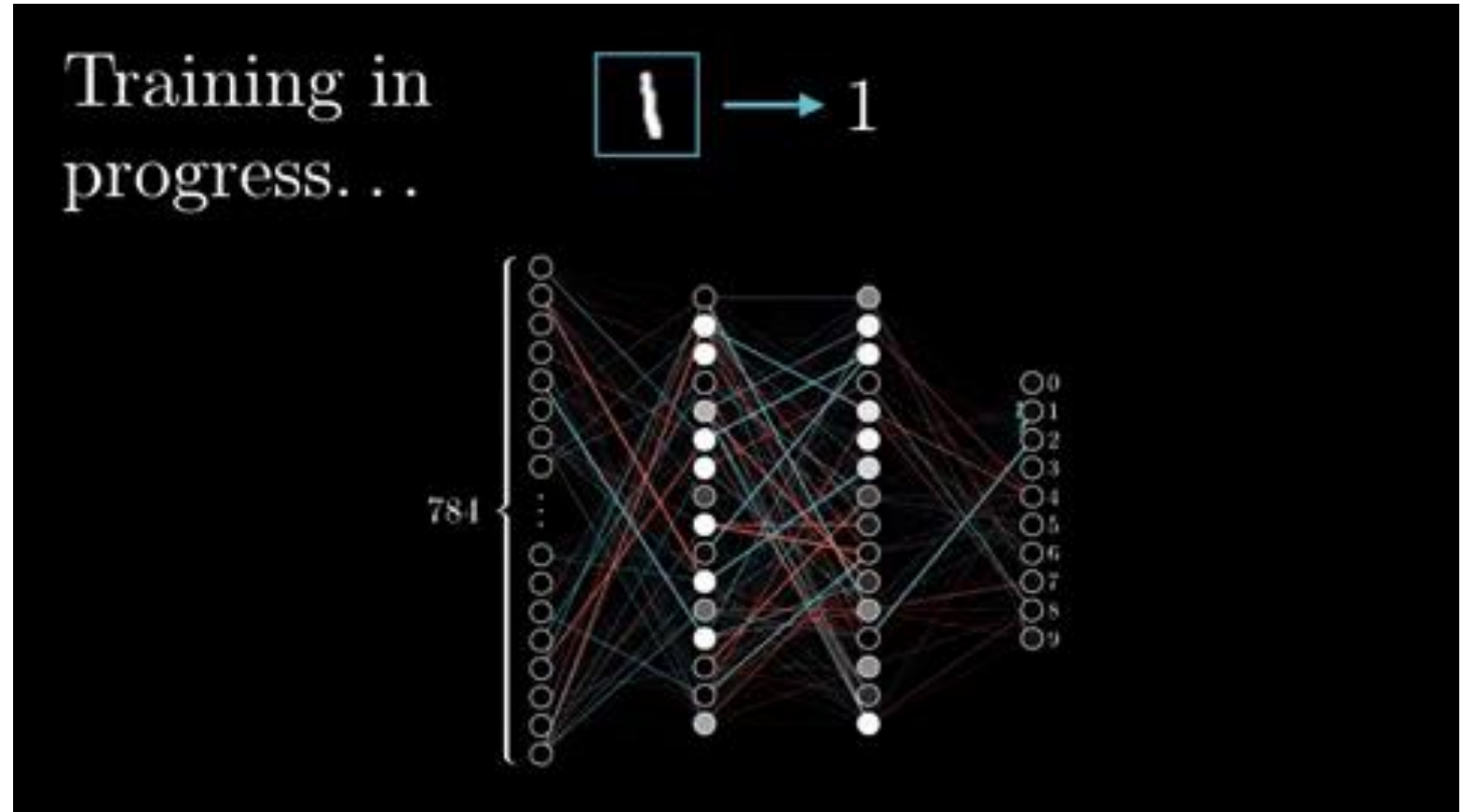


Illustrations from: <http://colah.github.io/posts/2014-03-NN-Manifolds-Topology/>

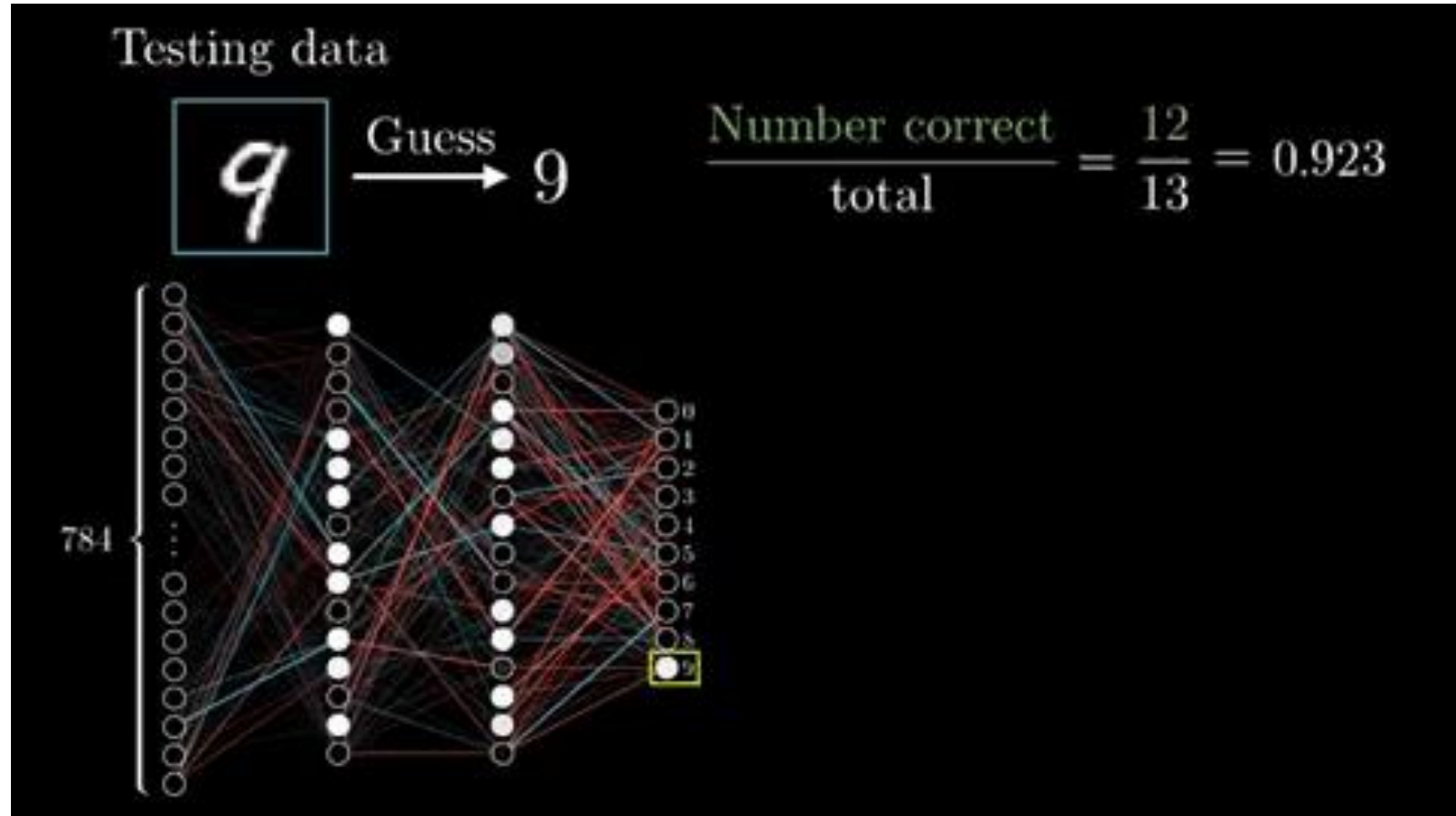
# Solution: Neural Network



# Training



# Inference/Test

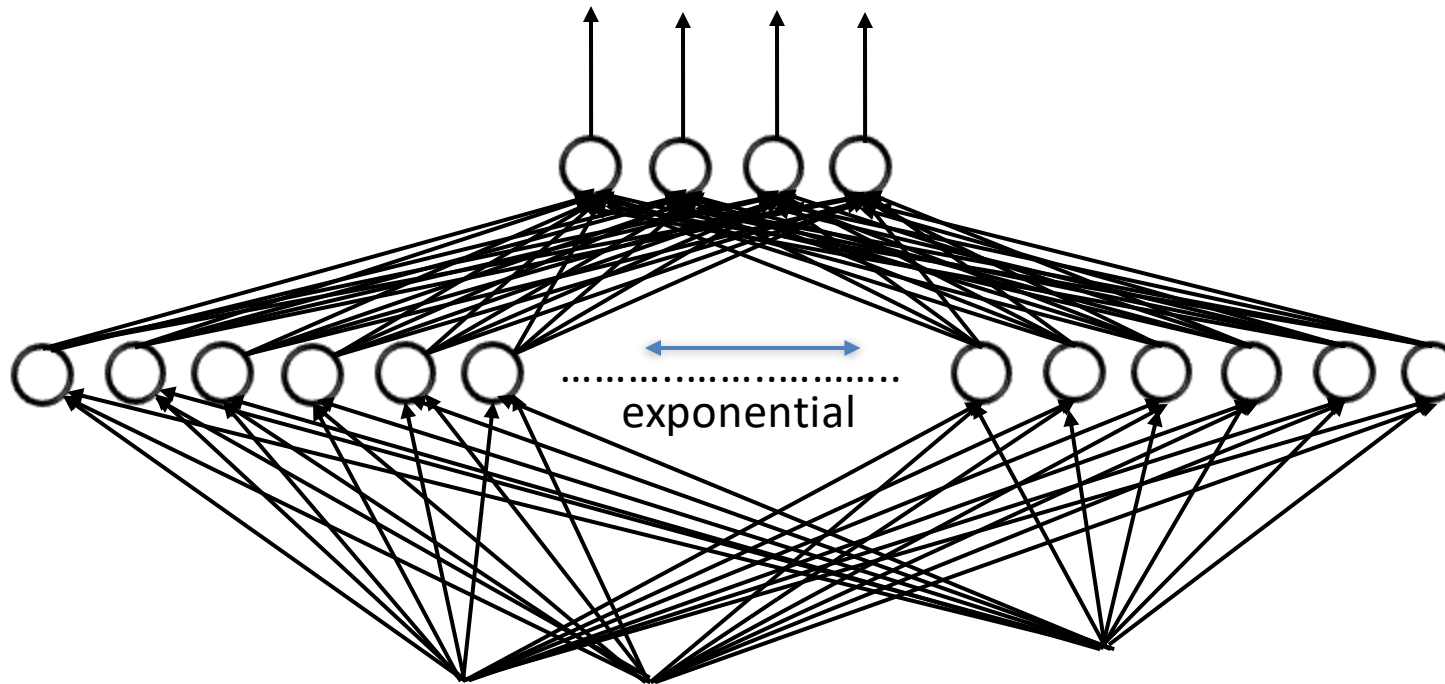


# DEEP LEARNING PRINCIPLES

# Deep representation origins

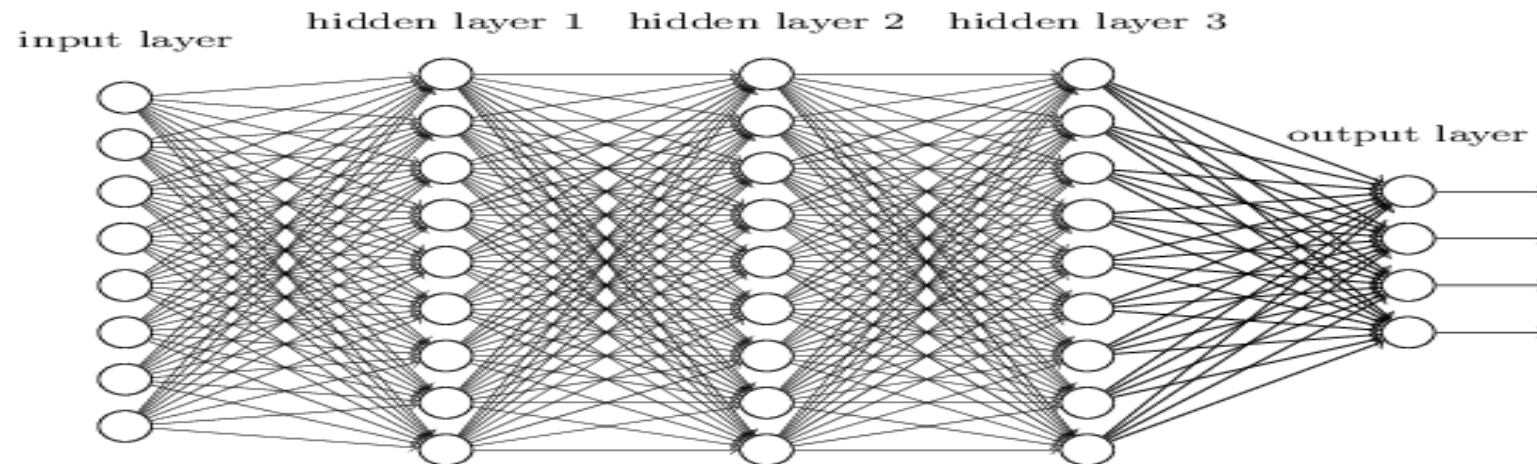
- **Theorems** (Cybenko (1989), Hornik & Stinchcombe & White (1989))

*A neural network with one single hidden layer is a universal “approximator”, it can represent any continuous function on compact subsets of  $\mathbf{R}^n \Rightarrow 2$  layers are enough...**but** hidden layer size may be exponential for error  $\varepsilon$  (or even infinite for error 0), and there is no efficient learning rule known.*



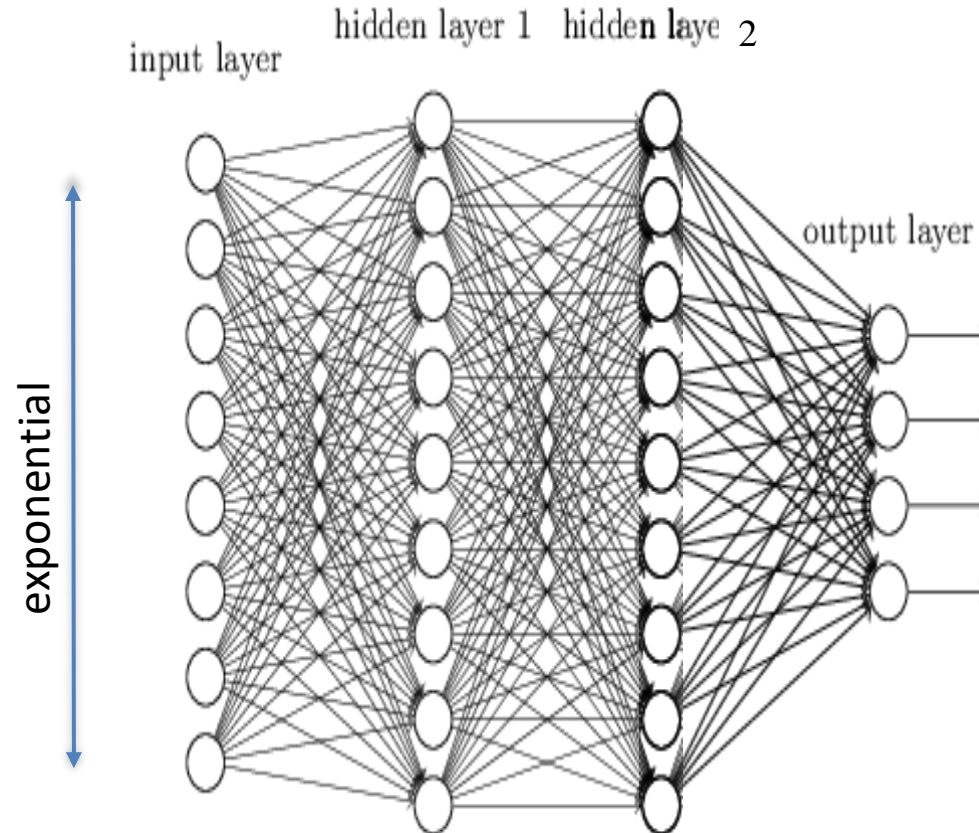
# Deep representation origins

- **Theorem Hastad** (1986), **Bengio** et al. (2007) Functions representable compactly with  $k$  layers may require exponentially size with  $k-1$  layers



# Deep representation origins

- **Theorem Hastad (1986), Bengio et al. (2007)** Functions representable compactly with  $k$  layers may require exponentially size with  $k-1$  layers





# The Blessing of dimensionality: Thomas Cover's Theorem (1965)

**Cover's theorem** states: A complex pattern-classification problem cast in a high-dimensional space nonlinearly is more likely to be linearly separable than in a low-dimensional space.

*(repeated sequence of Bernoulli trials)*

The number of groupings that can be formed by  $(l-1)$ -dimensional hyperplanes to separate  $N$  points in two classes is:

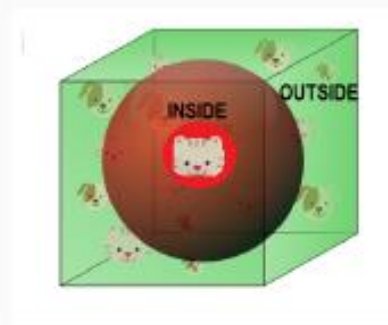
$$O(N, l) = 2 \sum_{i=0}^l \frac{(N-1)!}{(N-1-i)!i!}$$

*Notice: The total number of possible groupings is  $2^N$*

# The curse of dimensionality [Bellman, 1956]

- Euclidian distance is not relevant in high dimension:  $d \geq 10$ 
  - ① look at the examples at distance at most  $r$
  - ② the hypersphere volume is too small: practically empty of examples

$$\frac{\text{volume of the sphere of radial } r}{\text{hypersphere of } 2r \text{ width}} \xrightarrow{d \rightarrow \infty} 0$$



- ③ need a number of examples exponential in  $d$

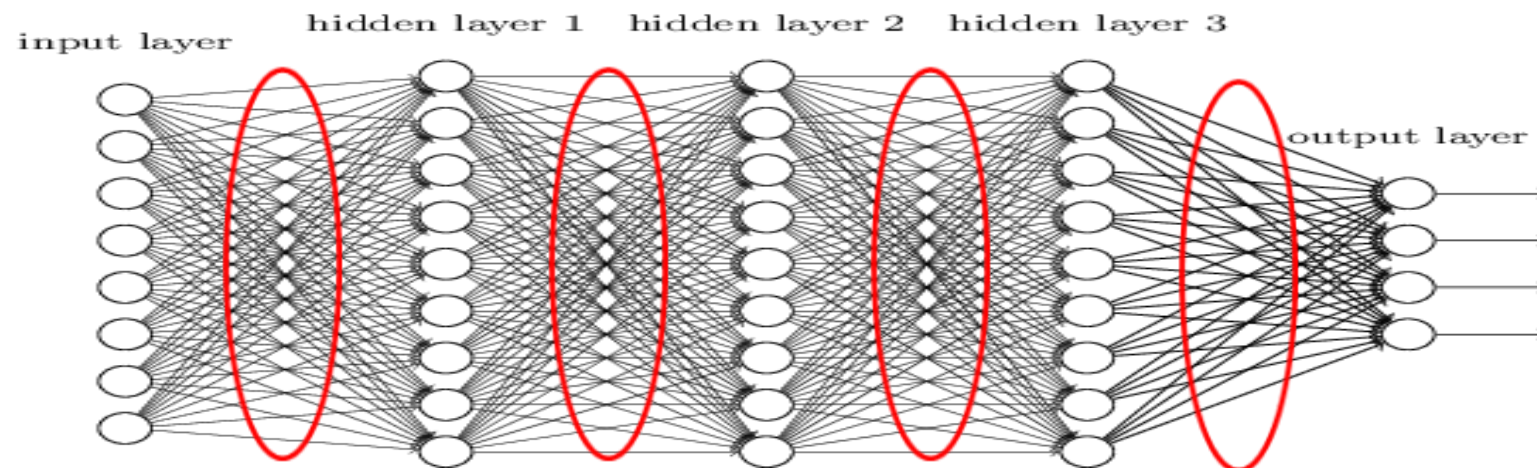
## Remark

*Specific care for data representation*

# Structure the network?

- Can we put any structure reducing the space of exploration and providing useful properties (invariance, robustness, sequentiality...)?

$$y = s(\underbrace{w_{13} s(\underbrace{w_{11}x_1 + w_{21}x_2 - w_{01}}_{z_1}) + w_{23} s(\underbrace{w_{12}x_1 + w_{22}x_2 - w_{02}}_{z_2}) - w_{03}}_{z_3})$$



# Enabling factors

- Why do it now ? Before 2006, training deep networks was unsuccessful because of practical aspects
  - faster CPU's
  - parallel CPU architectures
  - advent of GPU computing
  - Advances in ML/Optim (1995 -> 2005)
- Hinton, Osindero & Teh « A Fast Learning Algorithm for Deep Belief Nets », *Neural Computation*, 2006
- Bengio, Lamblin, Popovici, Larochelle « Greedy Layer-Wise Training of Deep Networks », *NIPS'2006*
- Ranzato, Poultney, Chopra, LeCun « Efficient Learning of Sparse Representations with an Energy-Based Model », *NIPS'2006*
- Results...
  - 2009, sound, interspeech +~24%
  - 2011, text, +~15% without linguistic at all
  - 2012, images, ImageNet +~20%
  - 2020, molecules/graphs, AlphaFold, +~24%

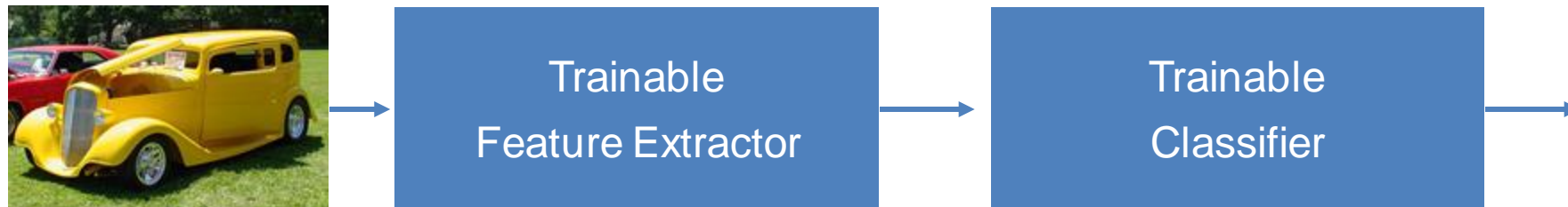
(sorry in French, <https://www.youtube.com/watch?v=OGewxRMME8o>)

# Deep learning = Learning representations/features

- The traditional model of pattern recognition (since the late 50's)
  - Fixed/engineered features (or fixed kernel) + trainable classifier



- End-to-end learning / Feature learning / Deep learning
  - Trainable features (or kernel) + trainable classifier

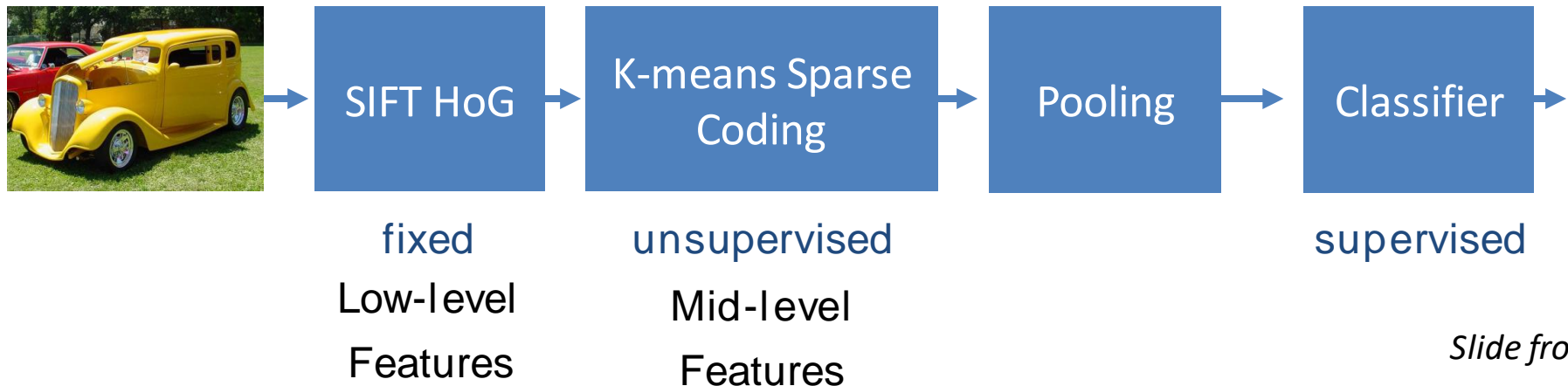


# Architecture of “mainstream” pattern recognition systems

- Modern architecture for pattern recognition
  - Speech recognition: early 90's – 2011



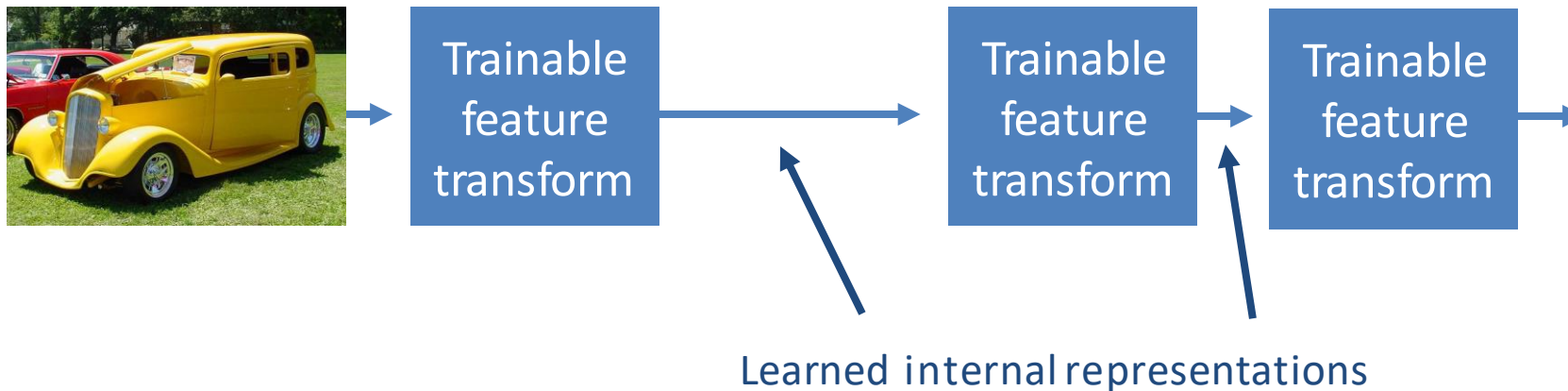
- Object Recognition: 2006 - 2012





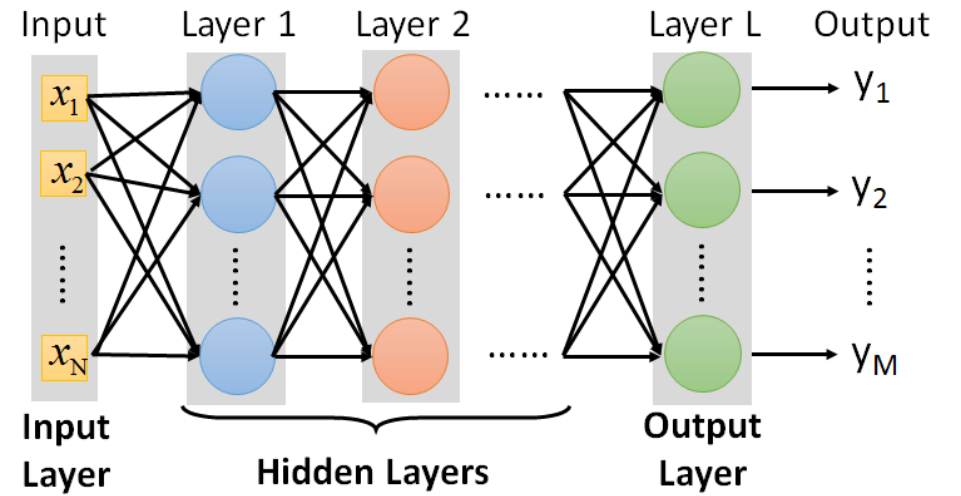
# Trainable feature hierarchies: end-to-end learning

- A hierarchy of trainable feature transforms
  - Each module transforms its input representation into a higher-level one.
  - High-level features are more global and more invariant
  - Low-level features are shared among categories



- How can we make all the modules trainable and get them to learn appropriate representations?

# FAQ



- Q: How many layers? How many neurons for each layer?

Trial and Error

+

Intuition

- Q: Can we design a specific network structure?

Lecture 2

- Q: Can the structure be automatically determined?
  - Yes, intense research in the last 2 years (e.g. **AutoML**, **AdaNet**).