

Normal forms and MVD

- **Normal Forms**
- Decomposition to 3NF
- Decomposition to BCNF

NORMAL FORMS

Normalization or Schema Refinement

Normalization or Schema Refinement is a technique of organizing the data in the database

A systematic approach of decomposing tables to eliminate data redundancy and undesirable characteristics

- Insertion Anomaly
- Update Anomaly
- Deletion Anomaly

Most common technique for the Schema Refinement is decomposition.

Goal of Normalization: Eliminate Redundancy

Redundancy refers to repetition of same data or duplicate copies of same data stored in different locations

Normalization is used for mainly two purpose:

- Eliminating redundant (useless) data
- Ensuring data dependencies make sense, that is, data is logically stored

Anom alies

- 1.** **Update Anomaly:** Employee 519 is shown as having different addresses on different records

Employees' Skills

Employee ID	Employee Address	Skill
428	87 Sycamore Grove	Type writing
428	87 Sycamore Grove	Shorthand
513	34 Chestnut Street	Public Speaking
513	35 Walnut Avenue	Carpentry

Resolution: Decompose the Schema

1. *Update*: (ID, Address), (ID, Skill)
 2. *Insert*: (ID, Name, Hire Date), (ID, Code)
 3. *Delete*: (ID, Name, Hire Date), (ID, Code)

- 2. Insertion Anomaly:** Until the new faculty member, Dr. Newsome, is assigned to teach at least one course, his details cannot be recorded

Faculty and Their Courses

Faculty ID	Faculty Name	Faculty Hire Date	Course Code
389	Dr. Giddens	10-Feb-1985	ENG-206
407	Dr. Saperstein	19-Apr-1999	CMP-101
407	Dr. Saperstein	19-Apr-1999	CMP-201
424	Dr. Newsome	29-Mar-2007	?

- 3. Deletion Anomaly:** All information about Dr. Giddens is lost if he temporarily ceases to be assigned to any courses.

Faculty and Their Courses

Faculty ID	Faculty Name	Faculty Hire Date	Course Code
389	Dr. Giddens	10-Feb-1985	ENG-206
407	Dr. Saperstein	19-Apr-1999	CMP-101
407	Dr. Saperstein	19-Apr-1999	CMP-201

Desirable Properties of Decomposition

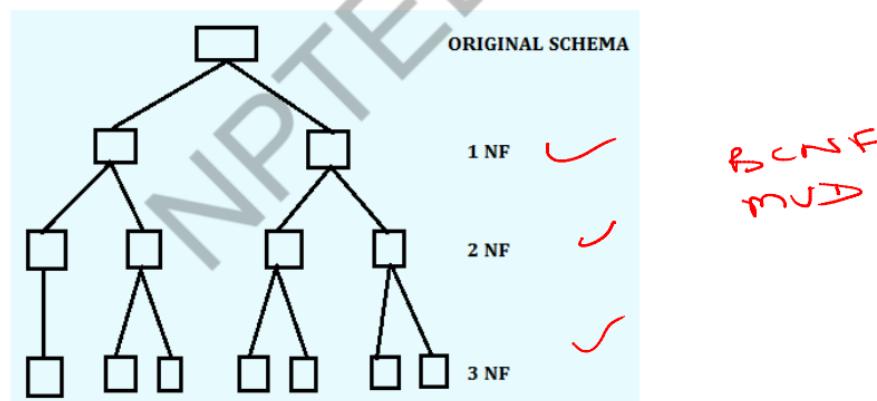
PPD

Lossless Join Decomposition Property

It should be possible to reconstruct the original table

Dependency Preserving Property

~~No functional dependency~~ (or other constraints should get violated)



Normalization and Normal Forms

A normal form specifies a set of conditions that the relational schema must satisfy in terms of its constraints – they offer varied levels of guarantee for the design

~~Normalization~~ rules are divided into various normal forms. Most common normal forms are:

First Normal Form (1 NF)

Second Normal Form (2 NF)

Third Normal Form (3 NF)

Informally, a relational database relation is often described as "normalized" if it meets third normal form. Most 3NF relations are free of insertion, update, and deletion anomalies

Normalization and Normal Forms

PPD

Additional Normal Forms

Elementary Key Normal Form (EKNF)

Boyce-codd Normal Form (BCNF)

Multivalued Dependencies And Fourth Normal Form (4 NF)

Essential Tuple Normal Form (ETNF)

Join Dependencies And Fifth Normal Form (5 NF)

Sixth Normal Form (6NF)

Domain/Key Normal Form (DKNF)

out of syllabus

First Normal Form (1 NF)

PPD

A relation is in first Normal Form if and only if all underlying domains contain atomic values only

In other words, a relation doesn't have multivalued attributes (MVA)

Example:

STUDENT(Sid, Sname, Cname)

Students X		
SID	Sname	Cname
S1	A	C,C++
S2	B	C++, DB
S3	A	DB

~~SID → MVA~~
~~S1 → C, C++~~

Students		
SID	Sname	Cname
S1	A	C ✓
S1	A	C++ ✓
S2	B	C++ ✓
S2	B	DB ✓
S3	A	DB ✓

SID : Primary Key

First Normal Form (1 NF):

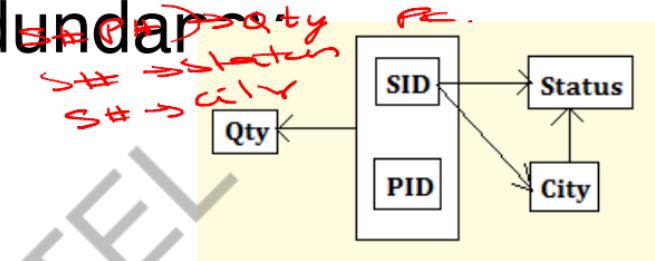
Possible Redundancy

Example:

Supplier(SID, Status, City, PID, Qty)

Supplier:				
SID	Status	City	PID	Qty
S1	30	Delhi	P1	100
S1	30	Delhi	P2	125
S1	30	Delhi	P3	200
S1	30	Delhi	P4	130
S2	10	Karnal	P1	115
S2	10	Karnal	P2	250
S3	40	Rohtak	P1	245
S4	30	Delhi	P4	300
S4	30	Delhi	P5	315

Key : (SID, PID)



Drawbacks:

- Deletion Anomaly** – If we delete the tuple $\langle S3, 40, \text{Rohtak}, P1, 245 \rangle$, then we lose the information about S3 that S3 lives in Rohtak.
- Insertion Anomaly** – We cannot insert a Supplier S5 located in Karnal, until S5 supplies at least one part.
- Update Anomaly** – If Supplier S1 moves from Delhi to Kanpur, then it is difficult to update all the tuples containing $\langle S1, \text{Delhi} \rangle$ as SID and City respectively.

Normal Forms are the methods of reducing redundancy. However, Sometimes 1 NF increases redundancy. It does not make any efforts in order to decrease redundancy.

X	Y
1	3
1	3
2	3
2	3
4	6



First Normal Form (1 NF): Possible Redundancy

PPD

When LHS is not a Superkey :

Let $X \rightarrow Y$ is a non trivial FD over R with X is not a superkey of R, then redundancy exist between X and Y attribute set.

Hence in order to identify the redundancy, we need not to look at the actual data, it can be identified by given functional dependency.

Example : $X \rightarrow Y$ and X is not a Candidate Key
 \Rightarrow X can duplicate
 \Rightarrow corresponding Y value would duplicate also.

When LHS is a Superkey :

If $X \rightarrow Y$ is a non trivial FD over R with X is a superkey of R, then redundancy does not exist between X and Y attribute set.

Example : $X \rightarrow Y$ and X is a Candidate Key
 \Rightarrow X cannot duplicate
 \Rightarrow corresponding Y value may or may not duplicate.

X	Y
1	4
2	6
3	4

Source: <http://www.edugrabs.com/normal-forms/#fnf>

Second Normal Form (2 NF)

PPD

Relation R is in Second Normal Form (2NF) only iff :

R should be in 1NF and

R should not contain any *Partial Dependency*



Partial Dependency:

Let R be a relational Schema and X, Y, A be the attribute sets over R where

X : Any Candidate Key, Y : Proper Subset of Candidate Key, and A : Non Key Attribute

If $Y \rightarrow A$ exists in R , then R is not in 2 NF.

$(Y \rightarrow A)$ is a Partial dependency only if

- Y : Proper subset of Candidate Key
- A : Non Prime Attribute

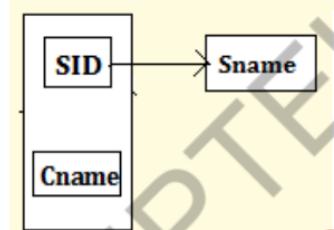
Source: <http://www.edugrabs.com/2nf-second-normal-form/>

Example:

STUDENT(Sid, Sname, Cname) (already in 1NF)

Students:		
SID	Sname	Cname
S1	A	C
S1	A	C++
S2	B	C++
S2	B	DB
S3	A	DB

(SID, Cname): Primary Key



Functional Dependencies:
 $\{SID, Cname\} \rightarrow Sname$
 $SID \rightarrow Sname$

A

Partial Dependencies:
 $SID \rightarrow Sname$ (as SID is a Proper Subset of Candidate Key {SID,Cname})

- Redundancy?
 - Sname
- Anomaly?
 - Yes

Post Normalization

R1:	
SID	Sname
S1	A
S2	B
S3	A

{SID}: Primary Key

R2:	
SID	Cname
S1	C
S1	C++
S2	C++
S2	DB
S3	DB

{SID,Cname}: Primary Key

The above two relations R1 and R2 are
 1. Lossless Join
 2. 2NF
 3. Dependency Preserving

Second Normal Form (2 NF):

Possible Redundancy

PPD

Example:

*Supplier(SID, Status, City, PID, Qty)***Supplier:**

SID	Status	City	PID	Qty
S1	30	Delhi	P1	100
S1	30	Delhi	P2	125
S1	30	Delhi	P3	200
S1	30	Delhi	P4	130
S2	10	Karnal	P1	115
S2	10	Karnal	P2	250
S3	40	Rohtak	P1	245
S4	30	Delhi	P4	300
S4	30	Delhi	P5	315

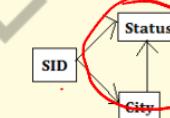
Key : (SID, PID)Source: <http://www.edugrabs.com/2nf-second-normal-form/>

Partial Dependencies:

 $SID \rightarrow Status$ $SID \rightarrow City$ $SID \rightarrow Qty$ $PID \rightarrow Qty$ $PID \rightarrow Status$ $PID \rightarrow City$ $Qty \rightarrow Status$ $Qty \rightarrow City$ $Status \rightarrow City$ $City \rightarrow Status$ $City \rightarrow Qty$ $City \rightarrow PID$ $PID \rightarrow Status$ $PID \rightarrow City$ $Status \rightarrow Qty$ $Qty \rightarrow PID$ $PID \rightarrow Qty$ $PID \rightarrow Status$ $PID \rightarrow City$ $Qty \rightarrow Status$ $Qty \rightarrow City$ $Status \rightarrow Qty$ $City \rightarrow Qty$ $City \rightarrow PID$ $PID \rightarrow Qty$ $PID \rightarrow Status$ $PID \rightarrow City$ $Qty \rightarrow Status$ $Qty \rightarrow City$ **Post Normalization**

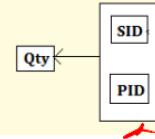
Sup_City :
SID Status City

FDD of Sup_City :



Sup_Qty :
SID PID Qty

FDD of Sup_qty :

**Drawbacks:**

- Deletion Anomaly** – If we delete a tuple in Sup_City, then we not only lose the information about a supplier, but also lose the status value of a particular city.
- Insertion Anomaly** – We cannot insert a City and its status until a supplier supplies at least one part.
- Updation Anomaly** – If the status value for a city is changed, then we will face the problem of searching every tuple for that city.

No Partial dependency
Atomic values

Third Normal Form (3 NF)



Let R be the relational schema

[E. F. Codd ,1971] R is in 3NF only if:

R should be in 2NF

R should not contain transitive dependencies (OR, Every non-prime attribute of R is non-transitively dependent on every key of R)

[Carlo Zaniolo, 1982] Alternately, R is in 3NF iff for each of its functional dependencies $X \rightarrow A$, at least one of the following conditions holds:

X contains A (that is, A is a subset of X , meaning $X \rightarrow A$ is trivial functional dependency), or

X is a superkey, or

Every element of $A-X$, the set difference between A and X , is a *prime attribute* (i.e., each attribute in $A - X$ is contained in some candidate key)

[Simple Statement] A relational schema R is in 3NF if for every FD $X \rightarrow A$ associated with R either

$A \subseteq X$ (i.e., the FD is trivial) or

X is a superkey of R or

A is part of some key (not just superkey!)

Third Normal Form (3 NF)

A **transitive dependency** is a functional dependency which holds by virtue of transitivity. A transitive dependency can occur only in a relation that has three or more attributes.

Let A, B, and C designate three distinct attributes (or distinct collections of attributes) in the relation. Suppose all three of the following conditions hold:

A → B

~~It is not the case that $B \rightarrow A$~~

B → C

Then the functional dependency $A \rightarrow C$ (which follows from 1 and 3 by the axiom of transitivity) is a transitive dependency.

NPN → NPN

Third Normal Form (3 NF)

Example of transitive dependency

The functional dependency $\{Book\} \rightarrow \{\text{Author Nationality}\}$ applies; that is, if we know the book, we know the author's nationality. Furthermore:

$\{Book\} \rightarrow \{\text{Author}\}$

$\{\text{Author}\}$ does not $\rightarrow \{Book\}$

$\{\text{Author}\} \rightarrow \{\text{Author Nationality}\}$

Therefore $\{Book\} \rightarrow \{\text{Author Nationality}\}$ is a transitive dependency.

Transitive dependency occurred because a non-key attribute (Author) was determining another non-key attribute (Author Nationality).

Book	Genre	Author	Author Nationality
Twenty Thousand Leagues Under the Sea	Science Fiction	Jules Verne	French
Journey to the Center of the Earth	Science Fiction	Jules Verne	French
Leaves of Grass	Poetry	Walt Whitman	American
Anna Karenina	Literary Fiction	Leo Tolstoy	Russian
A Confession	Religious Autobiography	Leo Tolstoy	Russian

Third Normal Form (3 NF)

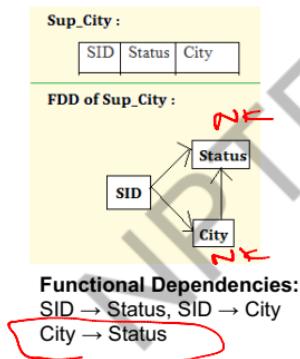
PPD

Example:

Sup_City(SID, Status, City) (already in 2NF)

Sup_City:		
SID	Status	City
S1	30	Delhi
S2	10	Karnal
S3	40	Rohtak
S4	30	Delhi

SID: Primary Key



- **Redundancy?**
 - Status
- **Anomaly?**
 - Yes

Transitive Dependency :
 $SID \rightarrow Status$ (As $SID \rightarrow City$ and
 $City \rightarrow Status$)

Post Normalization

SC:	
SID	City
S1	Delhi
S2	Karnal
S3	Rohtak
S4	Delhi

SID: Primary Key

CS:	
City	Status
Delhi	30
Karnal	10
Rohtak	40
Delhi	30

City: Primary Key



The above two relations SC and CS are

1. Lossless Join
2. **3NF**
3. Dependency Preserving

Third Normal Form (3 NF)

Example

Relation dept advisor:

dept_advisor (s_ID, i_ID, dept_name)

$$F = \{s_ID, dept_name \rightarrow i_ID, i_ID \rightarrow dept_name\}$$

Two candidate keys: s_ID , $dept_name$, and i_ID , s_ID

R is in 3NF

s ID, dept name → *i ID*

s ID , dept name is a superkey

i ID → dept name

~~dept_name~~ is contained in a candidate key

A relational schema R is in 3NF if for every FD $X \rightarrow A$ associated with R either

- $A \subseteq X$ (i.e., the FD is trivial) or
 - X is a superkey of R or
 - A is part of some key (not just superkey!)

Third Normal Form (3 NF): Possible Redundancy

PPD

A table is automatically in 3NF if one of the following hold :

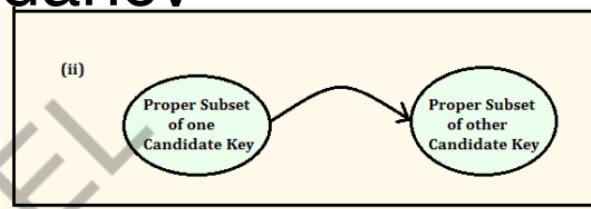
- (i) If relation consists of two attributes.
- (ii) If 2NF table consists of only one non key attributes

If $X \rightarrow A$ is a dependency, then the table is in the 3NF, if one of the following conditions exists:

- If X is a superkey
- If X is a part of superkey

If $X \rightarrow A$ is a dependency, then the table is said to be NOT in 3NF if the following:

- If X is a proper subset of some key (partial dependency)
- If X is not a proper subset of key (non key)



Third Normal Form: Motivation

There are some situations where

BCNF is not dependency preserving, and

Efficient checking for FD violation on updates is important

Solution: define a weaker normal form, called Third Normal Form (3NF)

Allows some redundancy (with resultant problems; as seen above)

But functional dependencies can be checked on individual relations without computing a join

There is always a lossless-join, dependency-preserving decomposition into 3NF

Testing for 3NF

Optimization: Need to check only FDs in F , need not check all FDs in F^+ .

Use attribute closure to check for each dependency $\alpha \rightarrow \beta$, if α is a superkey.

If α is not a superkey, we have to verify if each attribute in β is contained in a candidate key of R .

this test is rather more expensive, since it involve finding candidate keys

testing for 3NF has been shown to be NP-hard

Interestingly, decomposition into third normal form (described shortly) can be done in polynomial time

LHS \rightarrow RHS
 \downarrow =
if it contains C

3NF

Decomposition

Given: relation R over F of functional dependencies

Find: decomposition of R into a set of 3NF relation Ri

Algorithm:

1. Eliminate redundant FDs, resulting in a canonical cover Fc of F
2. Create a relation Ri = XY for each FD X → Y in Fc
3. If the key K of R does not occur in any relation Ri, create one more relation Ri=K

Relation schema:

$\text{cust_banker_branch} = (\text{customer_id}, \text{employee_id}, \text{branch_name}, \text{type})$

The functional dependencies for this relation schema are:

1. $\text{customer_id}, \text{employee_id} \rightarrow \text{branch_name}, \text{type}$
2. $\text{employee_id} \rightarrow \text{branch_name}$
3. $\text{customer_id}, \text{branch_name} \rightarrow \text{employee_id}$

We first compute a canonical cover

branch_name is extraneous in the r.h.s. of the 1st dependency

No other attribute is extraneous, so we get $F_C =$

$\text{customer_id}, \text{employee_id} \rightarrow \text{type}$ $\text{employee_id} \rightarrow \text{branch_name}$
 $\text{customer_id}, \text{branch_name} \rightarrow \text{employee_id}$

Example of 3NF Decomposition

The **for** loop generates following 3NF schemas: $(customer_id, employee_id, type)$ ($employee_id$, $branch_name$) ($customer_id$, $branch_name$, $employee_id$)

Observe that $(customer_id, employee_id, type)$ contains a candidate key of the original schema, so no further relation schema needs be added

At end of for loop, detect and delete schemas, such as $(employee_id, branch_name)$, which are subsets of other schemas

result will not depend on the order in which FDs are considered

The resultant simplified 3NF schema is: $(customer_id, employee_id, type)$ ($customer_id$, $branch_name$, $employee_id$)



Solution: Practice Problem for 3NF Decomposition: 1

PPD

$$R = ABCDEFGH$$

$$FD = F = \{A \rightarrow B, ABCD \rightarrow E, EF \rightarrow GH, ACDF \rightarrow EG\}$$

Compute Canonical Cover (F_c)

Make RHS a single attribute: $\{A \rightarrow B, ABCD \rightarrow E, EF \rightarrow G, EF \rightarrow H, ACDF \rightarrow E, ACDF \rightarrow G\}$

Minimize LHS: $ACD \rightarrow E$ instead of $ABCD \rightarrow E$

Eliminate redundant FDs

- Can $ACDF \rightarrow G$ be removed?
- Can $ACDF \rightarrow E$ be removed?

$$F_c = \{A \rightarrow B, ACD \rightarrow E, EF \rightarrow G, EF \rightarrow H\}$$

Superkey = $ACDF$

3NF Decomposition = $\{AB, ACDE, EFG, EFH\} \cup \{ACDF\}$

R_1, R_2, R_3, R_4

$$\begin{aligned} A \rightarrow B &\rightarrow R_1(A B) \\ ACD \rightarrow E &\rightarrow R_2(A C D E) \\ EF \rightarrow G &\rightarrow R_3(E F G) \\ EF \rightarrow H &\rightarrow R_4(E F H) \\ ACDF &= R_5(A C D F) \end{aligned}$$

This is a hidden slide giving solution. Check only after you have solved)

Practice Problem for 3NF

Decomposition: 2

R = CSJDPQV

FDs = {C→CSJDPQV, SD→P, JP→C, J→S}

PPD

Solution is given in the next slide (hidden from presentation – check after you have solved)

Solution: Practice Problem for 3NF Decomposition: 2

PPD

FDs = $F = \{C \rightarrow CSJDPQV, SD \rightarrow P, JP \rightarrow C, J \rightarrow S\}$

Compute Canonical Cover (F_c)

Minimal cover: $\{C \rightarrow J, C \rightarrow D, C \rightarrow Q, C \rightarrow V, JP \rightarrow C, J \rightarrow S, SD \rightarrow P\}$ (Combine LHS)

$F_c = \{C \rightarrow JDQV, JP \rightarrow C, J \rightarrow S, SD \rightarrow P\}$

Superkey = C

3NF Decomposition = {CJDQV, JPC, JS, SDP}

This is a hidden slide giving solution. Check only after you have solved)

- Normal Forms
- Decomposition to 3NF
- **Decomposition to BCNF**

DECOMPOSITION TO BCNF

Testing for BCNF

To check if a non-trivial dependency $\alpha \rightarrow \beta$ causes a violation of BCNF

1. compute α^+ (the attribute closure of α), and
2. verify that it includes all attributes of R , that is, it is a superkey of R .

Simplified test: To check if a relation schema R is in BCNF, it suffices to check only the dependencies in the given set F for violation of BCNF, rather than checking all dependencies in F^+ .

If none of the dependencies in F causes a violation of BCNF, then none of the dependencies in F^+ will cause a violation of BCNF either.

However, **simplified test using only F is incorrect when testing a relation in a decomposition of R**

Consider $R = (A, B, C, D, E)$, with $F = \{ A \rightarrow B, BC \rightarrow D \}$

Decompose R into $R_1 = (A, B)$ and $R_2 = (A, C, D, E)$

Neither of the dependencies in F contain only attributes from (A, C, D, E) so we might be misled into thinking R_2 satisfies BCNF.

In fact, dependency $AC \rightarrow D$ in F^+ shows R_2 is not in BCNF.

Testing Decomposition for

To check if a relation R , in a decomposition of R is in BCNF,

Either test R_i for BCNF with respect to the restriction of F to R_i (that is, all FDs in F^+ that contain only attributes from R_i)

or use the original set of dependencies F that hold on R , but with the following test:

for every set of attributes $\alpha \subseteq R_i$, check that α^+ (the attribute closure of α) either includes no attribute of $R_i - \alpha$, or includes all attributes of R_i .

If the condition is violated by some $\alpha \rightarrow \beta$ in F , the dependency

$$\alpha \rightarrow (\alpha^+ - \alpha^-) \cap R_i$$

can be shown to hold on R_i , and R_i violates BCNF.

We use above dependency to decompose R_i

BCNF

Decomposition

Algorithm

1. For all dependencies $A \rightarrow B$ in F^+ , check if A is a superkey
~~By using attribute closure~~
2. If not, then
 - Choose a dependency in F^+ that breaks the BCNF rules, say $A \rightarrow B$
Create $R1 = A B$
Create $R2 = \underline{(R - B)}$
In distribution of attributes among 2 tables
Note that: $R1 \cap R2 = A$ and $A \rightarrow AB (= R1)$, so this is lossless decomposition
3. Repeat for $R1$, and $R2$
By defining $F1^+$ to be all dependencies in F that contain only attributes in $R1$
Similarly $F2^+$

BCNF

Decomposition

Algorithm

Algorithm

```

result := ( $\emptyset$ ,  $\emptyset$ ;  $done$  := false;  $compute$  :=  $\emptyset$ );
while ( $not done$ ) do
  if (there is a schema  $R_i$  in  $result$  that is not in BCNF)
    then begin
      let  $\alpha \rightarrow \beta$  be a nontrivial functional dependency that holds
      on  $R_i$  such that  $\alpha \rightarrow R_i$  is not in  $F$  ;
      and  $\alpha \cap \beta = \emptyset$ ;
      result := ( $result - R_i$ )  $\cup$  ( $R_i - \beta$ )  $\cup$  ( $\alpha, \beta$ );
      end
    else  $done$  := true;
  
```

Note: each R_i is in BCNF, and decomposition is lossless-join.

Example of BCNF Decomposition

$$R = (A, B, C)$$

$$F = \{A \rightarrow B$$

$$B \rightarrow C\}$$
 Key = {A}

R is not in BCNF ($B \rightarrow C$ but B is not superkey)

Decomposition

$$R_1 = (B, C)$$

$$R_2 = (A, B)$$

Example of BCNF Decomposition

class (course_id, title, dept_name, credits, sec_id, semester, year, building, room_number, capacity, time_slot_id)

Functional dependencies:

$\text{course_id} \rightarrow \text{title, dept_name, credits}$

$\text{building, room_number} \rightarrow \text{capacity}$

$\text{course_id, sec_id, semester, year} \rightarrow \text{building, room_number, time_slot_id}$

A candidate key {course_id, sec_id, semester, year}.

BCNF Decomposition:

$\text{course_id} \rightarrow \text{title, dept_name, credits}$ holds

but course_id is not a superkey.

We replace class by:

$\text{course}(\text{course_id, title, dept_name, credits})$

$\text{class-1}(\text{course_id, sec_id, semester, year, building, room_number, capacity, time_slot_id})$

BCNF

Decomposition (Cont.)

course is in BCNF

How do we know this?

building, room_number→*capacity* holds on *class-1(course_id, sec_id, semester, year, building, room_number, capacity, time_slot_id)*

but $\{building, room_number\}$ is not a superkey for class-1

We replace *class-1* by:

classroom (building, room_number, capacity)

section (course_id, sec_id, semester, year, building, room_number, time_slot_id)

classroom and *section* are in BCNF.

BCNF and Dependency Preservation

It is not always possible to get a BCNF decomposition that is dependency preserving.

$$\begin{aligned} R &= (J, K, L) \\ F &= \{JK \rightarrow L \\ &\quad L \rightarrow K\} \end{aligned}$$

Two candidate keys = JK and JL

R is not in BCNF

Any decomposition of R will fail to preserve

$$JK \rightarrow L$$

This implies that testing for $JK \rightarrow L$ requires a join

Practice Problem for BCNF Decomposition

PPD

$R = ABCDE. F = \{A \rightarrow B, BC \rightarrow D\}$

$R = ABCDE. F = \{A \rightarrow B, BC \rightarrow D\}$

$R = ABCDEH. F = \{A \rightarrow BC, E \rightarrow HA\}$

$R = CSJDPQV. F = \{C \rightarrow CSJDPQV, SD \rightarrow P, JP \rightarrow C, J \rightarrow S\}$

$R = ABCD. F = \{C \rightarrow D, C \rightarrow A, B \rightarrow C\}$

Comparison of BCNF and 3NF

It is always possible to decompose a relation into a set of relations that are in 3NF such that:

- the decomposition is lossless
the dependencies are preserved

It is always possible to decompose a relation into a set of relations that are in BCNF such that:

- the decomposition is lossless
it may not be possible to preserve dependencies.

S#	3NF	BCNF
1.	It concentrates on Primary Key	It concentrates on Candidate Key.
2.	Redundancy is high as compared to BCNF	0% redundancy
3.	It may preserve all the dependencies	It may not preserve the dependencies.
4.	A dependency $X \rightarrow Y$ is allowed in 3NF if X is a super key or Y is a part of some key.	A dependency $X \rightarrow Y$ is allowed if X is a super key

Example problems
worked out and
uploaded in teams.

- Multivalued Dependencies
- Decomposition to 4NF
- Database-Design Process
- Modeling Temporal Data

MULTIVALUED DEPENDENCY

→→ notation for MV

Multivalued

PPD

Dependency

Persons(Man, Phones, Dog_Like)

© Silverhatz, Korthanand Sudarsan
SWAYAM: NPTEL-NOC MOOCs Instructor: Prof. P Das, IIT Kharagpur, Jan-Apr., 2018

Person :			Meaning of the tuples
Man(M)	Phones(P)	Dogs_Like(D)	
M1	P1/P2	D1/D2	M1 have phones P1 and P2, and likes the dogs D1 and D2.
M2	P3	D2	M2 have phones P3, and likes the dog D2.
Key : MPD			

There are no non trivial FDs because all attributes are combined forming Candidate Key i.e. MDP. In the above relation, two multivalued dependencies exists –

- **Man →→ Phones**
- **Man →→ Dogs_Like**

A man's phone are independent of the dogs they like. But after converting the above relation in Single Valued Attribute, each of a man's phones appears with each of the dogs they like in all combinations.

Source: <http://www.edugrabs.com/multivalued-dependency-mvd/>

Post 1NF Normalization

Man(M)	Phones(P)	Dogs_Likes(D)
M1	P1	D1
M1	P2	D2
M2	P3	D2
M1	P1	D2
M1	P2	D1

- Multivalued dependency occurs when two attributes in a table are independent of each other but, both depend on a third attribute.

$$\begin{array}{l} (A) \Rightarrow B \checkmark \\ A \Rightarrow C \checkmark \end{array}$$

but B and C are not connected.

Multivalued Dependency

If two or more independent relations are kept in a single relation, then Multivalued Dependency is possible. For example, Let there are two relations :

Student(SID, Sname) where ($SID \rightarrow Sname$)

Course(CID, Cname) where ($CID \rightarrow Cname$)

There is no relation defined between Student and Course. If we kept them in a single relation named **Student_Course**, then MVD will exists because of *m:n Cardinality*.

If two or more MVDs exist in a relation, then while converting into SVAs, MVD exists.

Student:	
SID	Sname
S1	A
S2	B

Course:	
CID	Cname
C1	C
C2	B

SID	Sname	CID	Cname
S1	A	C1	C
S1	A	C2	B
S2	B	C1	C
S2	B	C2	B

2 MVDs exist:

1. $SID \rightarrow\rightarrow CID$
2. $SID \rightarrow\rightarrow Cname$

Source: <http://www.edugrabs.com/multivalued-dependency-mvd/>

Multivalued Dependencies

Suppose we record names of children, and phone numbers for instructors:

inst_child(ID, child_name)

inst_phone(ID, phone_number)

If we were to combine these schemas to get

inst_info(ID, child_name, phone_number)

Example data:

(99999, David, 512-555-1234)
(99999, David, 512-555-4321)
(99999, William, 512-555-1234)
(99999, William, 512-555-4321)

This relation is in BCNF

Why?

Multivalued Dependencies

PPD

Let R be a relation schema, and let $\alpha \in R$ and $\beta \subseteq R$.

The **multivalued dependency**

$\alpha \rightarrow\!\!\! \rightarrow \beta$

holds on R if in any legal relation $r(R)$, for all pairs for tuples t_1 and t_2 in r such that $t_1[\alpha] = t_2[\alpha]$, there exist tuples t_3 and t_4 in r such that:

$$\begin{aligned} t_1[\alpha] &= t_2[\alpha] = t_3[\alpha] = t_4[\alpha] \\ t_3[\beta] &= t_1[\beta] \\ t_3[R - \beta] &= t_2[R - \beta] \\ t_4[\beta] &= t_2[\beta] \quad t_4[R - \beta] = t_1[R - \beta] \end{aligned}$$

Example: A relation of university courses, the books recommended for the course, and the lecturers who will be teaching the course:

- $course \rightarrow\!\!\! \rightarrow book$
- $course \rightarrow\!\!\! \rightarrow lecturer$

Test: $course \rightarrow\!\!\! \rightarrow book$

Course	Book	Lecturer	Tuples
AHA ✓	Silberschatz ✓	John D ✓	t1
AHA ✓	Nederpelt ✓	William M ✓	t2 ✓
AHA ✓	Silberschatz ✓	William M ✓	t3
AHA ✓	Nederpelt ✓	John D ✓	t4 ✓
AHA	Silberschatz	Christian G	
AHA	Nederpelt	Christian G	
OSO	Silberschatz	John D	
OSO	Silberschatz	William M	



Example

Let R be a relation schema with a set of attributes that are partitioned into 3 nonempty subsets.

Y, Z, W

We say that $Y \twoheadrightarrow Z$ (Y **multidetermines** Z) if and only if for all possible relations $r(R)$

$< y_1, z_1, w_1 > \in r$ and $< y_1, z_2, w_2 > \in r$

then

$< y_1, z_1, w_2 > \in r$ and $< y_1, z_2, w_1 > \in r$

Note that since the behavior of Z and W are identical it follows that

$Y \twoheadrightarrow Z$ if $Y \twoheadrightarrow W$

Example (Cont.)

In our example:

ID →→ *child name*

ID →→ *phone_number*

The above formal definition is supposed to formalize the notion that given a particular value of Y (*ID*) it has associated with it a set of values of Z (*child_name*) and a set of values of W (*phone_number*), and these two sets are in some sense independent of each other.

Note:

If $Y \rightarrow Z$ then $Y \rightarrow\rightarrow Z$

Indeed we have (in above notation) $Z_1 = Z_2$

The claim follows.

Use of Multivalued Dependencies

We use multivalued dependencies in two ways

1. To test relations to **determine** whether they are legal under a given set of functional and multivalued dependencies
 2. To specify **constraints** on the set of legal relations. We shall thus concern ourselves *only* with relations that satisfy a given set of functional and multivalued dependencies.

If a relation r fails to satisfy a given multivalued dependency, we can construct a relation r' that does satisfy the multivalued dependency by adding tuples to r .



Theory of MVDs

PPD

	Name	Rule
C-	Complementation	: If $X \rightarrow\rightarrow Y$, then $X \rightarrow\rightarrow \{R - (X \cup Y)\}$.
A-	Augmentation	: If $X \rightarrow\rightarrow Y$ and $W \supseteq Z$, then $WX \rightarrow\rightarrow YZ$.
T-	Transitivity	: If $X \rightarrow\rightarrow Y$ and $Y \rightarrow\rightarrow Z$, then $X \rightarrow\rightarrow (Z - Y)$.
	Replication	: If $X \rightarrow Y$, then $X \rightarrow\rightarrow Y$ but the reverse is not true.
	Coalescence	: If $X \rightarrow\rightarrow Y$ and there is a W such that $W \cap Y$ is empty, $W \rightarrow Z$, and $Y \supseteq Z$, then $X \rightarrow Z$.

A MVD $X \rightarrow\rightarrow Y$ in R is called a trivial MVD if

Y is a subset of X ($X \supseteq Y$) or

$X \cup Y = R$. Otherwise, it is a non trivial MVD and we have to repeat values redundantly in the tuples.

Theory of MVDs

From the definition of multivalued dependency, we can derive the following rule:

If $\alpha \rightarrow \beta$, then $\alpha \rightarrow\rightarrow \beta$

That is, every functional dependency is also a multivalued dependency

The **closure** D^+ of D is the set of all functional and multivalued dependencies logically implied by D .

We can compute D^+ from D , using the formal definitions of functional dependencies and multivalued dependencies.

We can manage with such reasoning for very simple multivalued dependencies, which seem to be most common in practice

For complex dependencies, it is better to reason about sets of dependencies using a system of inference rules



That's
all