



# Matrix-free Neural Preconditioners for the Dirac Equations in Lattice Gauge Theory

**Yixuan Sun**

**Srinivas Eswar, Yin Lin, William Detmold, Phiala Shanahan, Sherry Li, Yang Liu, and  
Prasanna Balaprakash**

Argonne National Laboratory  
Massachusetts Institute of Technology  
Lawrence Berkeley National Laboratory  
Oak Ridge National Laboratory



U.S. DEPARTMENT  
of **ENERGY**

# Background

Calculations in Lattice quantum field theory requires solving large linear systems

$$D^\dagger D x = b$$

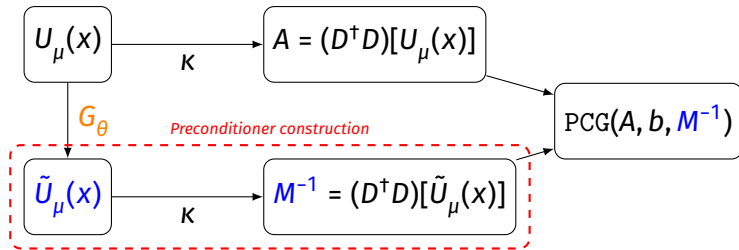
$D$  is the Wilson-Dirac operator ( $D^\dagger$  is its conjugate transpose).

- Large in size, could potentially go to millions
- Ill-conditioned, causing slow convergence of iterative solvers

Existing preconditioners

- Require deep understanding of the structure of the linear systems
- Potentially have high setup cost, especially for large systems
- Current neural preconditioners rely on explicit matrices

# Matrix-free Neural Preconditioners



- Create another “gauge field configuration”
- Generate a linear operator that approximates the inverse of the original one

# Operator Learners

*Assumption:* mapping is general for gauge fields  $U_\mu(x)$  regardless of the size of the underlying physical system.

$$G : \{U_\mu(x)\} \mapsto \{\tilde{U}_\mu(x)\}$$

where  $\{U_\mu(x)\}$  and  $\{\tilde{U}_\mu(x)\}$  represent sets of lattice gauge field configurations.

$$G_\theta(U_\mu(x)) = \tilde{U}_\mu(x), \quad U_\mu(x), \tilde{U}_\mu(x) \in U(1)^{X \times T \times d} \subset \mathbb{C}^{X \times T \times d}$$

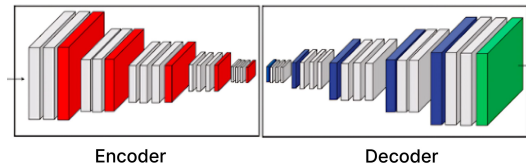
with operator learners of the structure

$$(G_\theta U_\mu)(x) = \left( K_\theta^{(N-1)} + B_\theta^{(N-1)} \right) \cdot \sigma \cdot \dots \cdot \sigma \cdot \left( K_\theta^{(0)} + B_\theta^{(0)} \right) (U_\mu)(x)$$

# Operator Learners: FCN

$$(K_{\theta}u)(x)_{\text{FCN}} = \sum_{\delta \in S} k_{\theta}(\delta)u(x - \delta)$$

- Fully Convolutional Network
- Handles variable sized inputs
- Kernel integral is spatially *local*

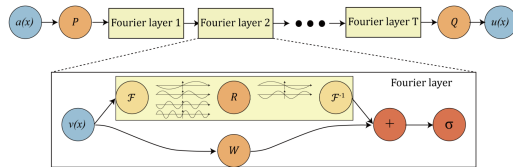


Source: [analyticsvidhya.com](https://analyticsvidhya.com)

# Operator Learners: FNO

$$(K_{\theta}u)(x)_{\text{FNO}} = \sum_{|f| \leq m} k_{\theta}(f) \hat{u}(f) e^{2\pi i f x}$$

- Fourier Neural Operator
- Also handles variable sized inputs
- Kernel integral is spatially *global*



Source: Li et al., "Fourier Neural Operator for Parametric Partial Differential Equations"

# Training the model

Aim to produce a linear operator approximating the inverse of  $D^\dagger D$

$$L(\theta) = \frac{1}{N \cdot K} \sum_{i=0}^{N-1} \sum_{j=0}^{K-1} \|M_i(\theta)^{-1} D_i^\dagger D_i v_j - v_j\|_2,$$

- $v$  is a random vector sampled from isotropic Gaussian
- $K$  the number of random vectors, treated as a hyperparameter
- Proxy of the Frobenius norm of the difference matrix between the preconditioned and identity

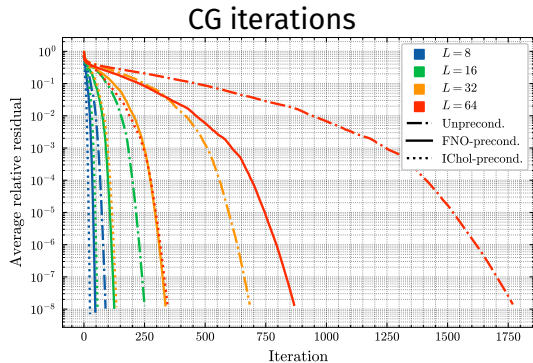
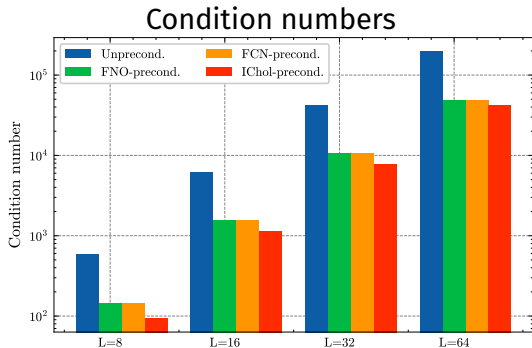
# Experiments

Models	$\kappa$	$\beta$	#train	#val	#test
$N_{L8}, N_{L16}, N_{L32}, N_{L64}$	0.276	2.0	1280	320	200

- Action-specific models – trained on fixed action parameters,  $L, \kappa, \beta$
- Volume transfer – applying a pretrained model to problems from various sizes and action parameters
- Examine the learned mapping



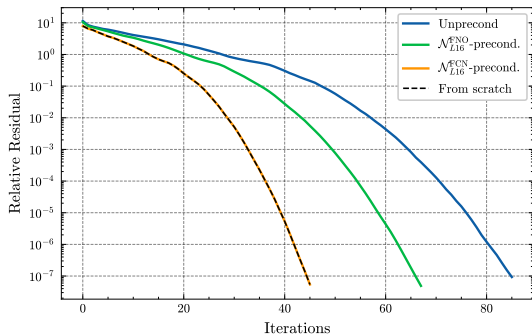
# Action-specific Models



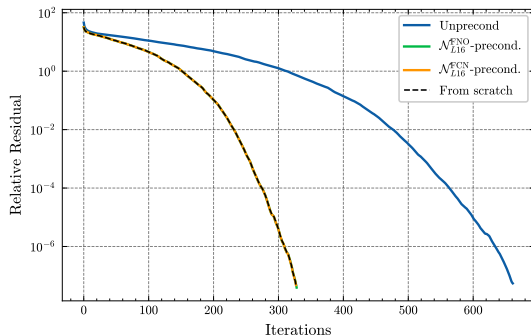
- Both FCN and FNO preconditioners substantially reduce the condition numbers
- Neural network-preconditioned CG takes approximately *half* the iterations as the unpreconditioned case

# Volume Transfer

$N_{L16}$  on  $L = 8$



$N_{L16}$  on  $L = 32$



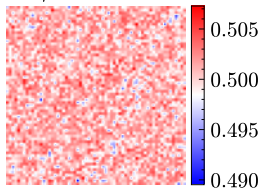
# Volume Transfer

Configuration	Unprecond.	lChol Precond.	FNO <sub>16</sub>	FCN <sub>16</sub>
$L = 8, \kappa = 0.276, \beta = 2.0$	$78 \pm 4$	$22 \pm 1$	$60 \pm 3$	$40 \pm 2$
$L = 8, \kappa = 0.276, \beta = 1.843$	$80 \pm 4$	$23 \pm 2$	$62 \pm 3$	$42 \pm 3$
$L = 8, \kappa = 0.260, \beta = 2.0$	$76 \pm 2$	$21 \pm 1$	$59 \pm 2$	$40 \pm 1$
$L = 16, \kappa = 0.276, \beta = 2.0$	$201 \pm 14$	$44 \pm 4$	$99 \pm 7$	$99 \pm 7$
$L = 16, \kappa = 0.276, \beta = 3.124$	$166 \pm 10$	$33 \pm 2$	$78 \pm 5$	$78 \pm 5$
$L = 32, \kappa = 0.276, \beta = 2.0$	$548 \pm 41$	$111 \pm 9$	$267 \pm 21$	$267 \pm 21$
$L = 32, \kappa = 0.276, \beta = 5.555$	$260 \pm 19$	$44 \pm 3$	$117 \pm 9$	$117 \pm 9$
$L = 64, \kappa = 0.276, \beta = 2.0$	$1540 \pm 91$	$300 \pm 17$	$719 \pm 47$	$719 \pm 47$

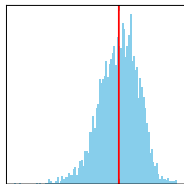
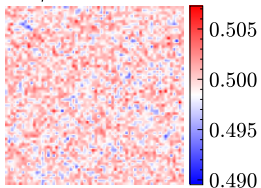
# Learned Mappings

Magnitude of  $\tilde{U}_\mu(x)$

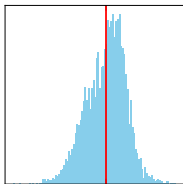
$|\tilde{U}_\mu(x)|, \mu = 1$



$|\tilde{U}_\mu(x)|, \mu = 2$



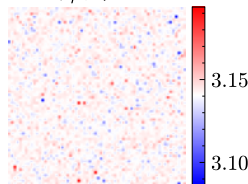
0.5009



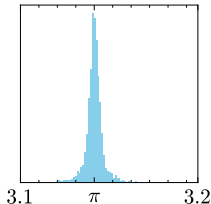
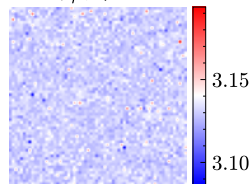
0.5003

Phase difference  $\Delta\phi \in [0, 2\pi)$

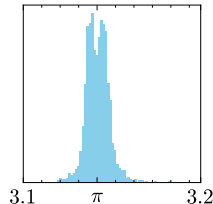
$\Delta\phi_\mu, \mu = 1$



$\Delta\phi_\mu, \mu = 2$



3.1  $\pi$  3.2



3.1  $\pi$  3.2

# Learned Mappings

$$\tilde{U}_\mu^{\text{simple}}(x) = -\frac{1}{2}U_\mu(x)$$

	$L = 8$	$L = 16$	$L = 32$
Unprecond.	$78 \pm 4$	$201 \pm 14$	$548 \pm 41$
$U_\mu^{\text{simple}}(x)$ -precond.	$43 \pm 2$	$104 \pm 7$	$278 \pm 20$
$N_L^{\text{FNO}}$ -precond.	$40 \pm 2$	$99 \pm 7$	$267 \pm 21$

$$D^\dagger D[-0.5U_\mu(x)] = |1 + \kappa H|^2 \underset{\kappa \rightarrow 0}{\approx} |1 + 2\kappa H| \approx |1 - 2\kappa H|^{-1} \approx (D^\dagger D[U_\mu(x)])^{-1}$$

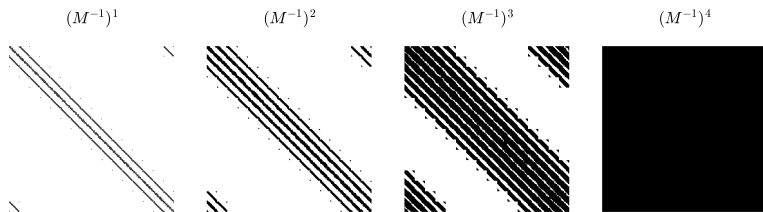
# Remarks

The trained neural preconditioners *avoid setup time and a linear solve* per iteration, thus have an advantage when

- Given problem has many unique linear operators but fewer right hand sides
- Problem size becomes over costly or prohibitive for IChol preconditioner construction

# Limitation

Structure limits the inverse approximation



- Same sparsity prevents close inverse approximation
- Higher powers densify the operator, showing improvement, but still limited

# Conclusion and Future work

## Our framework

- Provides effective preconditioners for solving Wilson-Dirac normal equations
- Is completely *matrix-free* during both training and application
- Uses effective and efficient loss in model training, avoiding expensive condition number computation
- Learns a general mapping effective for *unseen* lattice ensembles of different parameters and sizes, with no performance degradation

## Future work:

- Develop a more theoretically grounded training objective to improve preconditioners
- Extend this framework to higher dimensional problems in  $SU(2)$  and  $SU(3)$



# Thank you!

