# "ASC\_NTS.DOC" FILE FOR THE QUARTERLY DATA EXTRACT (QDE) FROM THE FDA ADVERSE EVENT REPORTING SYSTEM (FAERS)

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#### TABLE OF CONTENTS

- A. INTRODUCTION
- B. ENTITY RELATIONSHIP DIAGRAM (ERD)
- C. FILE DESCRIPTIONS
- D. DATA ELEMENT DESCRIPTIONS
- E. DATA ELEMENT CONTENTS AND MAXIMUM LENGTHS
- F. END NOTES
- G. LEGACY AERS VS. FDA AERS ASCII TAG COMPARISON TABLES
- H. REVISION HISTORY

#### A. INTRODUCTION

The ASCII data files are '\$' delimited; that is, a '\$' separates the data fields. You can import these files into SAS, MS Access or other database programs. (Some data files, such as DRUGyyQq and REACyyQq, will exceed the maximum number of records that can be imported into spreadsheet programs such as MS Excel.)

In the ASCII format, file names have the format <file-descriptor>yyQq, where <file-descriptor> is a 4-letter abbreviation for the data source, 'yy' is a 2-digit identifier for the year, 'Q' is the letter Q, and 'q' is a 1-digit identifier for the quarter. As an example, DEMO12Q4 represents demographic file for the 4th quarter of 2012.

The set of seven ASCII data files in each extract contains data for the full quarter covered by the extract.

#### B. ENTITY RELATIONSHIP DIAGRAM (ERD).

For every report, there is one row in the "demographic" table (file). Each row in the demographic table can be linked to none, one, or more than one row in the "Reaction", "Outcome" and "Report\_Sources" tables. Also for every one row in the "demographic" table, you can have one or more rows in the "Drug" table. For every drug, you can have one or more rows in the "Therapy" table that shows when the drug was started and stopped. Also for every drug you can have none, one or more than one indication in the "Indication" table (see section F.2).

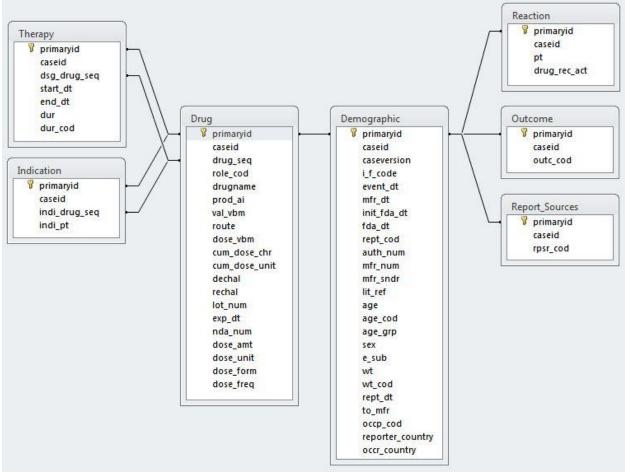


Figure 1 - ASCII Entity Relationship Diagram (ERD)

#### C. FILE DESCRIPTIONS

#### ASCII Data Files:

#### \_\_\_\_\_\_

- 1. DEMOyyQq.TXT contains patient demographic and administrative information, a single record for each event report.
- 2. DRUGyyQq.TXT contains drug/biologic information for as many medications as were reported for the event (1 or more per event).
- 3. REACyyQq.TXT contains all "Medical Dictionary for Regulatory Activities" (MedDRA) terms coded for the adverse event (1 or more). For more information on MedDRA, please contact the MSSO Help Desk at <a href="massachelp@meddra.org">mssohelp@meddra.org</a>. The website is <a href="www.meddra.org">www.meddra.org</a>.
- 4.  ${\tt OUTCyyQq.TXT}$  contains patient outcomes for the event (0 or more).
- 5. RPSRyyQq.TXT contains report sources for the event (0 or more).
- 6. THERyyQq.TXT contains drug therapy start dates and end dates for the reported drugs (0 or more per drug per event).

7. INDIyyQq.TXT contains all "Medical Dictionary for Regulatory Activities" (MedDRA) terms coded for the indications for use (diagnoses) for the reported drugs (0 or more per drug per event).

# ASCII Informational Files:

\_\_\_\_\_

- 1. ASC\_NTS.DOC, which you are reading, shows in some detail the organization and content of the ASCII data files.
- 2. STATyyQq.TXT gives null (that is, no data) counts and frequency counts for selected fields in the ASCII data sets. (The frequency counts also include the number of null values; however, the percentages shown are for non-null values only.)

# D. DATA ELEMENT DESCRIPTIONS

| 1) DEMOGRAPHIC file |  |
|---------------------|--|
| Name                | Description  |
| PRIMARYID           | Unique number for identifying a FAERS report. This is the primary link field (primary key) between data files (example: 31234561). This is a concatenated key of Case ID and Case Version Number. It is the Identifier for the case sequence (version) number as reported by the manufacturer. |
| CASEID              | Number for identifying a FAERS case.   |
| CASEVERSION         | Safety Report Version Number. The Initial Case will be version 1; follow-ups to the case will have sequentially incremented version numbers (for example, 2, 3, 4, etc.).  |
| I_F_COD             | Code for initial or follow-up status of report, as reported by manufacturer.  CODE MEANING TEXT I Initial F Follow-up  |
| EVENT_DT            | Date the adverse event occurred or began. (YYYYMMDD format) - If a complete date is not available, a partial date is provided. See the NOTE on dates at the end of this section.   |
| MFR_DT              | Date manufacturer first received initial information. In subsequent versions of a case, the latest manufacturer received date will be provided (YYYYMMDD format). If a complete date is not available, a partial date will be provided. See the NOTE on dates at the end of this section.      |
| INIT_FDA_DT         | Date FDA received first version (Initial) of Case (YYYYMMDD format)  |
| FDA_DT              | Date FDA received Case. In subsequent versions of a case, the latest manufacturer received date will be provided (YYYYMMDD format).  |

| 1) DEMOGRAPHIC file | (DEMOyyQq.TXT)  |
|---------------------|---|
| Name                | Description   |
| REPT_COD            | Code for the type of report submitted (See table below) Also, see Section E, End Note below.  |
|                     | CODE MEANING_TEXT   |
|                     | EXP Expedited (15-Day) PER Periodic (Non-Expedited) DIR Direct  |
| AUTH_NUM            | Regulatory Authority's case report number, when available.  + New tag added in 2014Q3 extract.  |
| MFR_NUM             | Manufacturer's unique report identifier.  |
| MFR_SNDR            | Coded name of manufacturer sending report; if not found, then verbatim name of organization sending report.   |
| LIT_REF             | Literature Reference information, when available; populated with last 500 characters if >500 characters are available.  + New tag added in 2014Q3 extract.          |
| AGE                 | Numeric value of patient's age at event.  |
| AGE_COD             | Unit abbreviation for patient's age (See table below)  CODE MEANING_TEXT DEC DECADE YR YEAR MON MONTH WK WEEK DY DAY HR HOUR  |
| AGE_GRP             | Patient Age Group code as follows, when available:  CODE MEANING_TEXT N Neonate I Infant C Child T Adolescent A Adult E Elderly  + New tag added in 2014Q3 extract. |
| SEX                 | Code for patient's sex (See table below)  CODE MEANING_TEXT UNK Unknown M Male F Female   |
| E_SUB               | Whether $(Y/N)$ this report was submitted under the electronic submissions procedure for manufacturers.   |

| 1) DEMOGRAPHIC file | (DEMOyyQq.TXT)   |
|---------------------|--|
| Name                | Description  |
| WT                  | Numeric value of patient's weight.   |
|                     | Unit abbreviation for patient's weight (See table below)   |
| WT COD              | CODE MEANING_TEXT  |
| _                   | KG Kilograms LBS Pounds  |
|                     | GMS Grams  |
| REPT_DT             | Date report was sent (YYYYMMDD format). If a complete date is not available, a partial date is provided. See the NOTE on dates at the end of this section. |
| TO_MFR              | Whether $(Y/N)$ voluntary reporter also notified manufacturer (blank for manufacturer reports).  |
|                     | Abbreviation for the reporter's type of occupation in the latest version of a case.  |
|                     | CODE MEANING_TEXT  |
| OCCP_COD            | MD Physician   |
|                     | PH Pharmacist OT Other health-professional   |
|                     | LW Lawyer  |
|                     | CN Consumer  |
| REPORTER_COUNTRY    | The country of the reporter in the latest version of a case:   |
|                     | NOTE: Country codes are available per the links below.   |
|                     | https://www.fda.gov/industry/structured-product-labeling-<br>resources/geopolitical-entities-names-and-codes-genc  |
| OCCR_COUNTRY        | The country where the event occurred.  |

| 2) DRUG file (DRUGyyQq.TXT) |  |
|-----------------------------|--|
| Name                        | Description  |
| PRIMARYID                   | Unique number for identifying a FAERS report. This is the primary link field (primary key) between data files (example: 31234561). This is a concatenated key of Case ID and Case Version Number. It is the Identifier for the case sequence (version) number as reported by the manufacturer. |
| CASEID                      | Number for identifying a FAERS case.   |
| DRUG_SEQ                    | Unique number for identifying a drug for a Case. To link to the THERyyQq.TXT data file, both the Case number (primary key) and the DRUG_SEQ number (secondary key) are needed. (For an explanation of the DRUG_SEQ number, including an example, please see Section E, End Note 2, below.)     |
| ROLE_COD                    | Code for drug's reported role in event(See table below)  |
|                             | CODE MEANING_TEXT  PS Primary Suspect Drug SS Secondary Suspect Drug C Concomitant I Interacting   |
| DRUGNAME                    | Name of medicinal product. If a "Valid Trade Name" is populated for this Case, then DRUGNAME = Valid Trade Name; if not, then DRUGNAME = "Verbatim" name, exactly as entered on the report.  |
| PROD_AI                     | Product Active Ingredient, when available.  + New tag added in 2014Q3 extract.   |
| VAL_VBM                     | Code for source of DRUGNAME (See table below)  CODE MEANING_TEXT 1 Validated trade name used 2 Verbatim name used  |
| ROUTE                       | The route of drug administration   |
| DOSE_VBM                    | Verbatim text for dose, frequency, and route, exactly as entered on report.  |
| CUM_DOSE_CHR                | Cumulative dose to first reaction  |

| 2) DRUG file (DRUGy | yyQq.TXT)   |
|---------------------|---|
| Name                | Description   |
| CUM_DOSE_UNIT       | Cumulative dose to first reaction unit                      |
|                     |   |
|                     | CODE Meaning_Text   |
|                     | VC V-1  |
|                     | KG Kilogram(s) GM Gram(s)                                   |
|                     | MG Milligram(s)   |
|                     | UG Microgram(s) (µg)  |
|                     | NG Nanogram(s)  |
|                     | PG Picogram(s)  |
|                     | MG/KG Milligram(s)/Kilogram                                 |
|                     | UG/KG Microgram(s)/Kilogram (μG/KG)                         |
|                     | MG/M**2 Milligram(s)/Sq. Meter                              |
|                     | UG/M**2 Microgram(s)/Sq. Meter (μG/M**2)                    |
|                     | L Litre(s)  |
|                     | ML Millilitre(s)  |
|                     | UL Microlitre(s) (µL)                                       |
|                     | BQ Becquerel(s)   |
|                     | GBQ Gigabecquerel(s)  |
|                     | MBQ Megabecquerel(s)  |
|                     | KBQ Kilobecquerel(s)  |
|                     | CI Curie(s)   |
|                     | MCI Millicurie(s)   |
|                     | UCI Microcurie(s) (μCI) NCI Nanocurie(s)                    |
|                     | NCI Nanocurie(s) MOL Mole(s)                                |
|                     | MMOL Millimole(s)   |
|                     | UMOL Micromole(s)   |
|                     | IU International Unit(s)                                    |
|                     | KIU International Unit*(1000s)                              |
|                     | MIU International Unit*(1,000,000s)                         |
|                     | IU/KG IU/Kilogram   |
|                     | MEQ Milliequivalent(s)                                      |
|                     | PCT Percent (%)   |
|                     | GTT Drop(s)   |
|                     | DF Dosage Form  |
|                     | NOTE: The list below provides Dose codes which are commonly |
|                     | reported; however, dose codes are not limited to this list  |
|                     | and other code values may be present.                       |
| DECHAL              | Dechallenge code, indicating if reaction abated when drug   |
| 2201112             | therapy was stopped (See table below)                       |
|                     |   |
|                     | CODE MEANING TEXT   |
|                     |   |
|                     | Y Positive dechallenge                                      |
|                     | N Negative dechallenge                                      |
|                     | U Unknown   |
|                     | D Does not apply  |

| 2) DRUG file (DRUGy | yQq.TXT)  |
|---------------------|---|
| Name                | Description   |
| RECHAL              | Rechallenge code, indicating if reaction recurred when drug therapy was restarted (See table below)   |
|                     | CODE MEANING_TEXT   |
|                     | Y Positive rechallenge  |
|                     | N Negative rechallenge<br>U Unknown   |
|                     | U Unknown D Does not apply  |
| LOT_NUM             | Lot number of the drug (as reported).   |
| EXP_DT              | Expiration date of the drug. (YYYYMMDD format) - If a complete date is not available, a partial date is provided, See the NOTE on dates at the end of this section. |
| NDA_NUM             | NDA number (numeric only)   |
| DOSE_AMT            | Amount of drug reported   |
| DOSE_UNIT           | Unit of drug dose   |
| DOSE_FORM           | Form of dose reported   |
| DOSE_FREQ           | CODE Meaning_Text   |

| 3) REACTION file (REACyyQq.TXT) |   |
|---------------------------------|---|
| Name                            | Description   |
| PRIMARYID                       | Unique number for identifying a FAERS report. This is the primary link field (primary key) between data files (example: 31234561). This is a concatenated key of Case ID and Case Version Number. It is the Identifier for the case sequence (version) number as reported by the manufacturer.                      |
| CASEID                          | Number for identifying a FAERS case.  |
| PT                              | "Preferred Term"-level medical terminology describing the event, using the Medical Dictionary for Regulatory Activities (MedDRA).  The order of the terms for a given event does not imply priority. In other words, the first term listed is not necessarily considered more significant than the last one listed. |
| DRUG_REC_ACT                    | Drug Recur Action data - populated with reaction/event information (PT) if/when the event reappears upon readministration of the drug.  + New tag added in 2014Q3 extract.  |

| 4) OUTCOME file (OUTCyyQq.TXT) |   |
|--------------------------------|---|
| Name                           | Description   |
| PRIMARYID                      | Unique number for identifying a FAERS report. This is the primary link field (primary key) between data files (example: 31234561). This is a concatenated key of Case ID and Case Version Number. It is the Identifier for the case sequence (version) number as reported by the manufacturer.  |
| CASEID                         | Number for identifying a FAERS case.  |
| OUTC_COD                       | Code for a patient outcome (See table below)  CODE MEANING_TEXT DE Death LT Life-Threatening HO Hospitalization - Initial or Prolonged DS Disability CA Congenital Anomaly RI Required Intervention to Prevent Permanent Impairment/Damage OT Other Serious (Important Medical Event)  NOTE: The outcome from the latest version of a case is provided. If there is more than one outcome, the codes will be line listed. |

| 5) REPORT SOURCE file (RPSRyyQq.TXT) |  |
|--------------------------------------|--|
| Name                                 | Description  |
| PRIMARYID                            | Unique number for identifying a FAERS report. This is the primary link field (primary key) between data files (example: 31234561). This is a concatenated key of Case ID and Case Version Number. It is the Identifier for the case sequence (version) number as reported by the manufacturer. |
| CASEID                               | Number for identifying a FAERS case.   |
| RPSR_COD                             | Code for the source of the report (See table below)  CODE MEANING_TEXT   |

| 6) THERAPY dates file (THERyyQq.TXT) |  |
|--------------------------------------|--|
| Name                                 | Description  |
| PRIMARYID                            | Unique number for identifying a FAERS report. This is the primary link field (primary key) between data files (example: 31234561). This is a concatenated key of Case ID and Case Version Number. It is the Identifier for the case sequence (version) number as reported by the manufacturer. |
| CASEID                               | Number for identifying a FAERS case.   |
| DSG_DRUG_SEQ                         | Drug sequence number for identifying a drug for a Case. To link to the DRUGyyQq.TXT data file, both the Case number primary key) and the DRUG_SEQ number (secondary key) are needed. (For an explanation of the DRUG_SEQ number, including an example, see Section E, End Note 2, below.)      |
| START_DT                             | Date the therapy was started (or re-started) for this drug (YYYYMMDD) - If a complete date not available, a partial date is provided. See the NOTE on dates at the end of this section.  |
| END_DT                               | A date therapy was stopped for this drug. (YYYYMMDD) - If a complete date not available, a partial date will be provided. See the NOTE on dates at the end of this section.  |
| DUR                                  | Numeric value of the duration (length) of therapy  |

| 6) THERAPY dates file (THERyyQq.TXT) |  |  |
|--------------------------------------|--|--|
| Name                                 | Description  |  |
| DUR_COD                              | Unit abbreviation for duration of therapy (see table below)  CODE MEANING TEXT |  |

| 7) INDICATIONS for use file (INDIyyQq.TXT) |  |
|--|--|
| Name                                       | Description  |
| PRIMARYID                                  | Unique number for identifying a FAERS report. This is the primary link field (primary key) between data files (example: 31234561). This is a concatenated key of Case ID and Case Version Number. It is the Identifier for the case sequence (version) number as reported by the manufacturer. |
| CASEID                                     | Number for identifying a FAERS case.   |
| INDI_DRUG_SEQ                              | Drug sequence number for identifying a drug for a Case. To link to the DRUGyyQq.TXT data file, both the Case number (primary key) and the DRUG_SEQ number (secondary key) are needed. (For an explanation of the DRUG_SEQ number, including an example, see Section E, End Note 2, below.)     |
| INDI_PT                                    | "Preferred Term"-level medical terminology describing the Indication for use, using the Medical Dictionary for Regulatory Activities MedDRA).  |

# NOTE: Date fields will be coded as follows based upon data available in FAERS:

year month day (YYYYMMDD)
year month (YYYYMM)
year (YYYY)

# E. DATA ELEMENT CONTENTS AND MAXIMUM LENGTHS

| DATA ELEMENT | DATA CONTENT      | MAX LENGTH                      |
|--------------|-------------------|---------------------------------|
| AGE          | N (numeric)       | 12 (including 2 decimal places) |
| AGE_COD      | A (Alpha)         | 7                               |
| AGE_GRP      | AN (alphanumeric) | 15                              |
| AUTH_NUM     | AN (alphanumeric) | 500                             |

| DATA ELEMENT     | DATA CONTENT      | MAX LENGTH |
|------------------|-------------------|------------|
| CASEID           | N (numeric)       | 500        |
| CASEVERSION      | N (numeric)       | 10         |
| CUM_DOS_UNIT     | AN (alphanumeric) | 50         |
| CUM_DOSE_CHR     | AN (alphanumeric) | 15         |
| DECHAL           | A (Alpha)         | 20         |
| DOSE_AMT         | AN (alphanumeric) | 15         |
| DOSE_FORM        | AN (alphanumeric) | 50         |
| DOSE_FREQ        | AN (alphanumeric) | 50         |
| DOSE_UNIT        | AN (alphanumeric) | 50         |
| DOSE_VBM         | AN (alphanumeric) | 300        |
| DRUG_REC_ACT     | AN (alphanumeric) | 500        |
| DRUG_SEQ         | N (numeric)       | 10         |
| DRUGNAME         | AN (alphanumeric) | 500        |
| DSG_DRUG_SEQ     | N (numeric)       | 10         |
| DUR              | N (numeric)       | 150        |
| DUR_COD          | A (Alpha)         | 500        |
| E_SUB            | AN (alphanumeric) | 1          |
| END_DT           | N (or D, date)    | 8          |
| EVENT_DT         | N (or D, date)    | 8          |
| EXP_DT           | N (or D, date)    | 1000       |
| FDA_DT           | N (or D)          | 8          |
| I_F_CODE         | AN (alphanumeric) | 1          |
| INDI_DRUG_SEQ    | N (numeric)       | 10         |
| INDI_PT          | AN (alphanumeric) | 1000       |
| INIT_FDA_DT      | N (or D)          | 8          |
| LIT_REF          | AN (alphanumeric) | 1000       |
| LOT_NUM          | AN (alphanumeric) | 1000       |
| MFR_DT           | N (or D)          | 8          |
| MFR_NUM          | AN (alphanumeric) | 500        |
| MFR_SNDR         | AN (alphanumeric) | 300        |
| NDA_NUM          | N (numeric)       | 100        |
| OCCP_COD         | A (Alpha)         | 300        |
| OCCR_COUNTRY     | A (Alpha)         | 2          |
| OUTC_COD         | A (Alpha)         | 4000       |
| PRIMARYID        | N (numeric)       | 1000       |
| PROD_AI          | AN (alphanumeric) | 500        |
| PT               | AN (alphanumeric) | 500        |
| RECHAL           | A (Alpha)         | 20         |
| REPORTER_COUNTRY | A (Alpha)         | 500        |

| DATA ELEMENT | DATA CONTENT   | MAX LENGTH                      |
|--------------|----------------|---------------------------------|
| REPT_COD     | A (Alpha)      | 9                               |
| REPT_DT      | N (or D, date) | 8                               |
| ROLE_COD     | A (Alpha)      | 22                              |
| ROUTE        | A (Alpha)      | 500                             |
| RPSR_COD     | A (Alpha)      | 32                              |
| SEX          | A (Alpha)      | 5                               |
| START_DT     | N (or D, date) | 8                               |
| TO_MFR       | A (Alpha)      | 100                             |
| VAL_VBM      | N (numeric)    | 22                              |
| WT           | N (numeric)    | 14 (including 5 decimal places) |
| WT_COD       | A (Alpha)      | 20                              |

#### F. END NOTES

- 1 REPT\_COD (Demographic file). Expedited (15-day) and Periodic (Non-Expedited) reports are from manufacturers; "Direct" reports are voluntarily submitted to the FDA by non-manufacturers.
- DRUG\_SEQ (drug sequence number found in the Drug file, Therapy file, and Indications file) denotes the relationship between the drug(s) reported for a Case, the therapy date(s) reported for the drug(s), and the indications reported for the drug(s).

Consider Case 3078140 version 1, received by the FDA on 12/31/97. The PRIMARYID for this case is 30781401. Like any Case, it appears once (and only once) in the Demographic file:

PRIMARYID --- 30781401

Four drugs were reported for this Case: Aricept was reported as suspect, and Estrogens, Prozac, and Synthroid as concomitant. Primaryid 30781401 appears four times in the Drug file, with a different DRUG SEQ for each drug:

| PRIMARYID | DRUG_SEQ | DRUGNAME                         |
|-----------|----------|----------------------------------|
|           |          |                                  |
| 30781401  | 1        | Aricept                          |
| 30781401  | 2        | Estrogens                        |
| 30781401  | 3        | Prozac( Fluoxetine Hydrochloride |
| 30781401  | 4        | Synthroid (Levothyroxine Sodium) |

Dates of therapy for Aricept were reported as "4/97 to 6/13/97", and "6/20/97 (ongoing)." Since the drug was started, stopped, then restarted, there are two entries in the Drug Therapy file. In such a circumstance, the two entries will have the same PRIMARYID and the same DRUG\_SEQ # (or DSG DRUG SEQ number as it is called in the Therapy file - see below). No

therapy dates were reported for the concomitants; therefore, they do not appear in the Drug Therapy file, which is excerpted as follows:

| PRIMARYID | DSG_DRUG_SEQ # | START_DT | END_DT   |
|-----------|----------------|----------|----------|
|           |                |          |          |
| 30781401  | 1              | 199704   | 19970613 |
| 30781401  | 1              | 19970620 |          |

NOTE: The Drug Seq number is no longer a unique key as was the case in LAERS QDE. The Drug Seq number simply shows the order of the DRUGNAME within a unique case. Additionally, the fields labeled DRUG\_SEQ, INDI\_DRUG\_SEQ, and DSG\_DRUG\_SEQ in the Drug, Indication, and Therapy files, respectively, all serve the same purpose of linking the data elements in each individual file together with the appropriate drug listed in the case using the PRIMARYID.

# G. Legacy AERS (LAERS) vs. FDA AERS (FAERS) ASCII Tag Comparison Tables

Note: The changes to the FAERS ASCII Tags are highlighted in yellow and also contain an asterisk (\*). Tags added after the initial FAERS extract contain a plus (\*) and the date add is noted in the tag description in Section C.

| LAERS ASCII Field | FAERS ASCII Field | ASCII File Name |
|-------------------|-------------------|-----------------|
| ISR               | PRIMARYID*        | DEMO            |
| CASE              | CASEID*           | DEMO            |
| FOLL_SEQ          | N/A*              | DEMO            |
| N/A               | CASEVERSION*      | DEMO            |
| I_F_COD           | I_F_COD           | DEMO            |
| IMAGE             | N/A*              | DEMO            |
| EVENT_DT          | EVENT_DT          | DEMO            |
| MFR_DT            | MFR_DT            | DEMO            |
| N/A               | INIT_FDA_DATE*    | DEMO            |
| FDA_DT            | FDA_DT            | DEMO            |
| REPT_COD          | REPT_COD          | DEMO            |
| N/A               | AUTH_NUM*+        | DEMO            |
| MFR_NUM           | MFR_NUM           | DEMO            |
| MFR_SNDR          | MFR_SNDR          | DEMO            |
| N/A               | LIT_REF*+         | DEMO            |
| AGE               | AGE               | DEMO            |
| AGE_COD           | AGE_COD           | DEMO            |
| N/A               | AGE_GRP*+         | DEMO            |
| GNDR_COD          | GNDR_COD          | DEMO            |
| E_SUB             | E_SUB             | DEMO            |
| WT                | WT                | DEMO            |
| WT_COD            | WT_COD            | DEMO            |
| REPT_DT           | REPT_DT           | DEMO            |

| LAERS ASCII Field | FAERS ASCII Field | ASCII File Name |
|-------------------|-------------------|-----------------|
| TO_MFR            | TO_MFR            | DEMO            |
| OCCP_COD          | OCCP_COD          | DEMO            |
| DEATH_DT          | N/A*              | DEMO            |
| CONFID            | N/A*              | DEMO            |
| REPORTER_COUNTRY  | REPORTER_COUNTRY  | DEMO            |
| N/A               | OCCR_COUNTRY*     | DEMO            |
| ISR               | PRIMARYID*        | DEMO            |
| CASE              | CASEID*           | DEMO            |
| FOLL_SEQ          | N/A*              | DEMO            |
| N/A               | CASEVERSION*      | DEMO            |
| I_F_COD           | I_F_COD           | DEMO            |
| IMAGE             | N/A*              | DEMO            |
| EVENT_DT          | EVENT_DT          | DEMO            |
| MFR_DT            | MFR_DT            | DEMO            |
| N/A               | INIT_FDA_DATE*    | DEMO            |
| FDA_DT            | FDA_DT            | DEMO            |
| REPT_COD          | REPT_COD          | DEMO            |
| MFR_NUM           | MFR_NUM           | DEMO            |
| MFR_SNDR          | MFR_SNDR          | DEMO            |
| AGE               | AGE               | DEMO            |
| AGE_COD           | AGE_COD           | DEMO            |
| GNDR_COD          | GNDR_COD          | DEMO            |
| E_SUB             | E_SUB             | DEMO            |
| WT                | WT                | DEMO            |
| WT_COD            | WT_COD            | DEMO            |
| REPT_DT           | REPT_DT           | DEMO            |
| TO_MFR            | TO_MFR            | DEMO            |
| OCCP_COD          | OCCP_COD          | DEMO            |
| DEATH_DT          | N/A*              | DEMO            |
| CONFID            | N/A*              | DEMO            |
| REPORTER_COUNTRY  | REPORTER_COUNTRY  | DEMO            |
| N/A               | OCCR_COUNTRY*     | DEMO            |
| ISR               | PRIMARYID*        | DRUG            |
| CASE              | CASEID*           | DRUG            |
| DRUG_SEQ          | DRUG_SEQ          | DRUG            |
| ROLE_COD          | ROLE_COD          | DRUG            |
| DRUGNAME          | DRUGNAME          | DRUG            |
| N/A               | PROD_AI*+         | DRUG            |
| VAL_VBM           | VAL_VBM           | DRUG            |
| ROUTE             | ROUTE             | DRUG            |

| LAERS ASCII Field | FAERS ASCII Field | ASCII File Name |
|-------------------|-------------------|-----------------|
| DOSE_VBM          | DOSE_VBM          | DRUG            |
| N/A               | CUM_DOSE_CHR*     | DRUG            |
| N/A               | CUM_DOS_UNIT*     | DRUG            |
| DECHAL            | DECHAL            | DRUG            |
| RECHAL            | RECHAL            | DRUG            |
| LOT_NUM           | LOT_NUM           | DRUG            |
| EXP_DT            | EXP_DT            | DRUG            |
| NDA_NUM           | NDA_NUM           | DRUG            |
| N/A               | DOSE_AMT*         | DRUG            |
| N/A               | DOSE_UNIT*        | DRUG            |
| N/A               | DOSE_FORM*        | DRUG            |
| N/A               | DOSE_FREQ*        | DRUG            |
| ISR               | PRIMARYID*        | REACTION        |
| N/A               | CASEID*           | REACTION        |
| PT                | PT                | REACTION        |
| ISR               | PRIMARYID*        | OUTCOME         |
| N/A               | CASEID*           | OUTCOME         |
| OUTC_COD          | OUTC_COD          | OUTCOME         |
| ISR               | PRIMARYID*        | REPORT SOURCE   |
| N/A               | CASEID*           | REPORT SOURCE   |
| RPSR_COD          | RPSR_COD          | REPORT SOURCE   |
| ISR               | PRIMARYID*        | THERAPY         |
| N/A               | CASEID*           | THERAPY         |
| DRUG_SEQ          | DSG_DRUG_SEQ*     | THERAPY         |
| START_DT          | START_DT          | THERAPY         |
| END_DT            | END_DT            | THERAPY         |
| DUR               | DUR               | THERAPY         |
| DUR_COD           | DUR_COD           | THERAPY         |
| ISR               | PRIMARYID*        | INDICATIONS     |
| N/A               | CASEID*           | INDICATIONS     |
| DRUG_SEQ          | INDI_DRUG_SEQ*    | INDICATIONS     |
| INDI_PT           | INDI_PT           | INDICATIONS     |
| ISR               | PRIMARYID*        | DRUG            |
| CASE              | CASEID*           | DRUG            |
| DRUG_SEQ          | DRUG_SEQ          | DRUG            |
| ROLE_COD          | ROLE_COD          | DRUG            |
| DRUGNAME          | DRUGNAME          | DRUG            |
| VAL_VBM           | VAL_VBM           | DRUG            |
| ROUTE             | ROUTE             | DRUG            |
| DOSE_VBM          | DOSE_VBM          | DRUG            |

| LAERS ASCII Field | FAERS ASCII Field | ASCII File Name |
|-------------------|-------------------|-----------------|
| N/A               | CUM_DOSE_CHR*     | DRUG            |
| N/A               | CUM_DOS_UNIT*     | DRUG            |
| DECHAL            | DECHAL            | DRUG            |
| RECHAL            | RECHAL            | DRUG            |
| LOT_NUM           | LOT_NUM           | DRUG            |
| EXP_DT            | EXP_DT            | DRUG            |
| NDA_NUM           | NDA_NUM           | DRUG            |
| N/A               | DOSE_AMT*         | DRUG            |
| N/A               | DOSE_UNIT*        | DRUG            |
| N/A               | DOSE_FORM*        | DRUG            |
| N/A               | DOSE_FREQ*        | DRUG            |
| ISR               | PRIMARYID*        | REACTION        |
| N/A               | CASEID*           | REACTION        |
| PT                | PT                | REACTION        |
| NA                | DRUG_REC_ACT*+    | REACTION        |
| ISR               | PRIMARYID*        | OUTCOME         |
| N/A               | CASEID*           | OUTCOME         |
| OUTC_COD          | OUTC_COD          | OUTCOME         |
| ISR               | PRIMARYID*        | REPORT SOURCE   |
| N/A               | CASEID*           | REPORT SOURCE   |
| RPSR_COD          | RPSR_COD          | REPORT SOURCE   |
| ISR               | PRIMARYID*        | THERAPY         |
| N/A               | CASEID*           | THERAPY         |
| DRUG_SEQ          | DSG_DRUG_SEQ*     | THERAPY         |
| START_DT          | START_DT          | THERAPY         |
| END_DT            | END_DT            | THERAPY         |
| DUR               | DUR               | THERAPY         |
| DUR_COD           | DUR_COD           | THERAPY         |
| ISR               | PRIMARYID*        | INDICATIONS     |
| N/A               | CASEID*           | INDICATIONS     |
| DRUG_SEQ          | INDI_DRUG_SEQ*    | INDICATIONS     |
| INDI_PT           | INDI_PT           | INDICATIONS     |

#### H. REVISION HISTORY

#### August 2013 (QDE 2012Q4)

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FDA converted from Legacy AERS to the new FDA Adverse Event Reporting System (FAERS) in September 2012.

Due to the timing of the commissioning of FAERS and work to ensure the new extract provides the necessary data, this extract will include data for September 2012 and the 4th Quarter (timeframe from August 28 - December 31, 2012).

The FAERS database introduces various changes to the data and tables due to the switch from an ISR-based system to a Case/Version-based system. We have added new data elements to the FAERS QDE, which we will provide in the files associated with this document.

For LAERS revision history details, refer to ASCII\_NTS.doc files from previous extracts available at

http://www.fda.gov/Drugs/GuidanceComplianceRegulatoryInformation/Surveillance
/AdverseDrugEffects/ucm083765.htm.

# August 2014 (QDE 2013Q4)

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Medical Dictionary for Regulatory Activities (MedDRA) Contact information was updated (Section B.3). Additionally, clarification was added in Section C.2 for Code for Frequency (DOSE FREQ).

# October 2014 (QDE 2014Q1)

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Correction was made in section C.2 to Cumulative dose to first reaction unit (CUM DOS UNIT) list.

#### April 2015 (QDE 2014Q3)

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A number of changes have been implemented with this release:

- Added new field for Authority Number (AUTH\_NUM) in Demographic file populated with Regulatory Authority's case report number, when available
- Added new field for Literature Reference (LIT\_REF) in Demographic file populated with Literature Reference information, when available
- Added new field for Age Group (AGE\_GRP) field in Demographic file populated with Age Group code as follows, when available:

|      | 2 -          |
|------|--------------|
| CODE | MEANING TEXT |
| N    | Neonate      |
| I    | Infant       |
| С    | Child        |
| T    | Adolescent   |
| A    | Adult        |
| E    | Elderly      |
|      |              |

 Added new field for Product Active Ingredient (PROD\_AI) in Drug file populated with Product Active Ingredient, when available

- Added new field for Drug Recur Action (DRUG\_REC\_ACT) in Reaction file populated with the Reaction/Event information if/when Rechallenge equals Y (Positive Rechallenge)
- Modified field header from GNDR COD to SEX in Demographic file

# March 2016 (QDE 2015Q4)

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Added Section B to provide an Entity Relationship Diagram (ERD) depicting how the relationship between the seven ASCII files is structured

#### June 2016 (QDE 2016Q1)

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Data Elements Max Lengths (Section E - "Data Element Contents And Maximum Lengths") were reviewed and updated.

# February 2022 (QDE 2021Q4)

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QDE will now uses GENC as the basis for country codes. See Section D, Demographic File, REPORTER\_COUNTRY, OCCR\_COUNTRY