

Session 70: Generating Functions

- Generating Functions
- Solving recurrence relations with generating functions

Generating Functions

Definition: The **generating function** for the infinite sequence $a_0, a_1, \dots, a_k, \dots$ of real numbers is the infinite series

$$G(x) = a_0 + a_1x + \dots + a_kx^k + \dots = \sum_{k=0}^{\infty} a_kx^k.$$

Examples

The sequence $\{a_k\}$ with $a_k = 3$ has the generating function $\sum_{k=0}^{\infty} 3x^k$.

The sequence $\{a_k\}$ with $a_k = k + 1$ has the generating function $\sum_{k=0}^{\infty} (k + 1)x^k$.

The sequence $\{a_k\}$ with $a_k = 2^k$ has the generating function $\sum_{k=0}^{\infty} 2^k x^k$.

Useful Generating Functions

$$G(x) = (1 + x)^n = \sum_{k=0}^n C(n, k)x^k, a_k = C(n, k)$$

$$G(x) = \frac{1}{1 - x} = \sum_{k=0}^n x^k, a_k = 1$$

$$G(x) = \frac{1}{(1 - x)^n} = \sum_{k=0}^n C(n + k - 1, k)x^k, a_k = C(n + k - 1, k)$$

Solving Recurrence Relations with Generating Functions

Solve the recurrence relation $a_k = 3a_{k-1}$ with initial condition $a_0 = 2$.

Solving Recurrence Relations with Generating Functions

Solving Hanoi Tower

Transforming Fractions of Polynomials

Assume $G(x)$ is of the form $G(x) = \frac{p(x)}{q(x)}$ where the degree of $p(x)$ is less than the degree of $q(x)$ and $q(x)$ can be factored as $q(x) = (x - r_1)(x - r_2) \dots (x - r_n)$

1. Then $G(x)$ can be rewritten as

$$G(x) = \frac{p(x)}{q(x)} = \frac{c_1}{x - r_1} + \frac{c_2}{x - r_2} + \dots + \frac{c_n}{x - r_n}$$

2. The coefficients c_1, c_2, \dots, c_n can be obtained by equating the coefficient of the powers of $p(x)$

3. As a result we can use the generating functions for $\frac{c}{x - r}$ to obtain the sequence corresponding to the generating function $G(x)$

Solving Recurrence Relations with Generating Functions

Given some recurrence relation for a sequence $a_0, a_1, \dots, a_k, \dots$

General Approach to find a closed formula

- Find some closed formula for the generating function $G(x)$
- Use the recurrence relation to derive an alternative expression for $G(x)$
 - Frequently $G(x)$ is expressed as fraction of polynomials
- Determine the power expansion of this alternative expression of which the coefficients must be equal to the sequence

Summary

- Generating Functions
- Useful generating functions
- Solving recurrence relations with generating functions