# The Prague Markup Language (Version 1.1)

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Revision	Histor
Revision	1.0.0

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Initial revision for UFAL technical report no. TR-2005-29 Revision 1.0.1 4 Aug 2006

Added revision history; added missing list of allowed attributes to the specification of the PML schema element root

Revision 1.1.0 1 May 2006

This revision introduces schema language versioning, and several major changes concerning sequence, element, and root data types. In this revision, element is no more a separate data type, but only a syntactic construction of a sequence (similar to the member subelement of structure). A new data type container is introduced which replaces the previous data type function of element. Possible orderings of sequence elements can now be specified via a regular-grammar-based attribute content pattern.

Newly introduced PML schema elements import and derive provide modularization support for PML schemas.

This revision also restricts the format ID to the  $\mathtt{NCName}$  production of Namespaces in  $XML^2$  and introduces a set of new formats based on W3C XML Schema built-in simple types.

Revision 1.1.1 26 Jun 2006
Since this revision, the root can also be of a container type.
Revision 1.1.2 11 Jul 2006

Since this revision, PML schema may be embedded in the schema element of PML instance header (in which case href attribute is omitted). Revision 1.1.3 20 Aug 2006

This revision clarifies that type, root, structure, element, member, and attribute names must match the NCName production  $^3$  of Namespaces in XML $^4$  and that root, member, and element names must be different from LM and AM.

Additional constraints on the declarations with role #KNIT were added and minor edits for improved clarity were made.

Revision 1.1.4 19 July 2008

An attribute may also be of the type constant.

Revision 1.1.5 13 March 2010

The role attribute cannot be used with the type element. The use of the type attribute in connection with a derive element has been clarified. The use of xml:id as an attribute name explicitly allowed.

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<sup>&</sup>lt;sup>1</sup> http://www.w3.org/TR/1999/REC-xml-names-19990114/#NT-NCName

<sup>&</sup>lt;sup>2</sup> http://www.w3.org/TR/1999/REC-xml-names-19990114/

<sup>&</sup>lt;sup>3</sup> http://www.w3.org/TR/1999/REC-xml-names-19990114/#NT-NCName

<sup>&</sup>lt;sup>4</sup> http://www.w3.org/TR/1999/REC-xml-names-19990114/

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This is how a paragraph modified between two latest major revisions looks like.

### 1. Introduction

The Prague Markup Language (PML) is a common basis of an open family of XML-based data formats for representing rich linguistic annotations of texts, such as morphological tagging, dependency trees, etc. PML is an on-going project in its early stage. This documentation reflects the current status of the PML development.

PML tries to identify common abstract data types and structures used in linguistic annotations of texts as well as in lexicons (especially those intended for machine use in NLP) and other types of linguistic data, and to define a unified, straightforward and coherent XML-based representation for values of these abstract types. PML also emphasizes the following aspects of linguistic annotation: the stand-off annotation methodology, possibility to stack layers of annotation one over another, and extensive cross-referencing. PML also tries to retain simplicity, so that PML instances (actual PML representation of the data) could be processed with conventional XML-oriented tools.

Unlike, e.g. TEI XML, XHTML or DocBook, PML by itself is not a full XML vocabulary but rather a system for defining such vocabularies.

A fully specified XML vocabulary satisfying the requirements constituted in this document is called an application of PML. An Application of PML is formally defined using a specialized XML file called PML schema. PML schema provides one level of abstraction over standard XML-schema languages such as Relax NG<sup>5</sup> or W3C XML Schemas<sup>6</sup>. It defines an XML

<sup>&</sup>lt;sup>5</sup> http://www.relaxng.org/

<sup>&</sup>lt;sup>6</sup> http://www.w3.org/XML/Schema

vocabulary and document structure by means of *PML data types* and *PML roles*. An XML document conforming to a PML schema is a PML *instance* of the schema. PML data types, described in detail in Section 2, "PML data types", include atomic types (identifiers, strings, integers, enumerated types, id-references, etc.), and complex types, which are composed from abstract types such as attribute-value structures (AVS), lists, alternatives, and mixed-type sequences. We refer to a value of a complex type as a *construct*. The information provided by PML roles is orthogonal to data typing. It identifies a construct as a bearer of an additional higher-level property of the annotation, such as being a node of a dependency tree, or being a unique identifier (see Section 4, "PML roles").

Based on a PML schema of a particular application of PML, it is possible to automatically derive a corresponding Relax NG schema that conventional XML-oriented tools can use to validate actual PML *instances* (see Section 10.2, "Tools").

All XML tags used in applications of PML belong to a dedicated XML namespace

```
http://ufal.mff.cuni.cz/pdt/pml/
```

We will refer to the above namespace as PML namespace.

PML schema files use the following XML namespace referred to as *PML schema namespace*:

```
http://ufal.mff.cuni.cz/pdt/pml/schema/
```

Currently PML reserves three element names from the PML namespace for the representation of the technical elements: LM (for bracketing list members), AM (for bracketing alternative members), and head (for a common PML instance header described in detail in Section 5, "Header of a PML instance").

### 2. PML data types

The PML currently recognizes the following abstract data types described below. Specific types are are built from abstract types means of composition. For each abstract type, an example of a concrete declaration in the PML schema is given toghether with examples of the XML representation of the corresponding data in an instance conforming to that schema.

### 2.1. Character data type (cdata)

Atomic values are literal strings. The exact content of an atomic value may be further specified as its format (see Section 3, "Atomic data formats"). In the XML, atomic values are (depending on the context) represented in XML either as a CDATA (i.e. text) content of an element or as an attribute value.

#### Example 1:

#### Example 2:

```
<link>http://www.mff.cuni.cz</link>
```

### 2.2. Enumerated atomic type

An atomic-value type defined as an exhaustive list of possible values of that type.

#### Example 3:

### Example 4:

```
<pos>verb</pos>
```

### 2.3. Constant atomic type

This is like an enumerated type with just one possible value. Therefore, whenever e.g. an attribute or structure member is declared with content is of a constant type, its value must be equal to this constant. Moreover, unless the attribute or member is required, the constant value is assummed when the attribute or member is omitted.

#### Example 5:

#### Example 6:

```
<node/>
<node type="terminal"/>
```

### 2.4. Structures

A structure is a versatile PML abstract type. Sometimes it is called a feature-structure, attribute-value structure or AVS. To avoid confusion with XML attributes, we refer to attributes of a structure as *members*. A structure is similar to a struct type in the C programming language. A structure is fully specified by names, types and optionally roles for each of its members. Different members of the structure must have distinct names. The structure is represented in XML by an element whose only content are attributes and/or sub-elements representing the members of the structure. An attribute or sub-element representing a member is named by the member and its content is the XML representation of the member's value. The order of members in the structure as represented in XML may be arbitrary. Whether a particular member is represented by an attribute or a sub-element is specified in the PML schema, however, only members with values of atomic types can be represented by attributes. Some structure members may in the PML schema be formally declared as required, in which case they must appear in the structure and its XML representation and must have non-empty content. All members not explicitly declared as required are optional.

#### Example 7:

#### Example 8:

```
<some_element id="a1" xmlns="http://ufal.mff.cuni.cz/pdt/pml/">
  <pos>noun</pos>
  <case><AM>1</AM><AM>4</AM></case>
</some_element>
```

### **2.5.** Lists

PML offers unified representation of both ordered and unordered lists of constructs of the same type (the *list member type*). PML lists represent data similar to arrays in various programming languages. An XML element representing a construct of a list type must as its only child-nodes have either zero or more XML elements named LM ("List Member"), each representing a construct of the list member type, or else (as a compact representation of singleton lists) its content must be of the list member type. List member type can not be a list, i.e. lists of lists are not allowed. Technically, the difference between ordered and unordered lists is only in the declaration. Ordered lists may still contain repeated member (members with the same value). Applications are only required to preserve the ordering of ordered lists.

### Example 9:

```
<type name="morph-analyses.type" xmlns="http://ufal.mff.cuni.cz/pdt/pml/schema/">
        st type="morph-analysis.type" ordered="1"/>
        </type>
```

#### Example 10:

## Example 11: Example of an XML representation of a list with only one element

```
<analyses id="a1">
  <pos>noun</pos>
  <case><AM>1</AM><AM>4</AM></case>
</analyses>
```

### 2.6. Alternatives

Similar to unordered lists but different in usage and semantics are alternatives. Alternatives can be used to represent data where usually one value of a certain type is used, but under some circumstances several alternative (or parallel) values are allowed. An XML element representing an alternative of constructs of a certain type (*alternative member type*) is either a representation of a construct of that type (in case of a single value, i.e. no actual alternative values) or has as its only child-nodes two or more XML elements named AM ("Alternative Member"), each of which represents a construct of the alternative member type. Alternative member type must not be an alternative, i.e. alternatives of alternatives are not allowed.

#### Example 12:

### Example 13:

```
<case>
  <AM probability="0.7">1</AM>
  <AM probability="0.3">4</AM>
</case>
```

## Example 14: Example of an XML representation of an alternative with only one member

```
<case probability="1.0">7</case>
```

### 2.7. Sequences

Sequences are similar to ordered lists but do not require their member constructs to be of the same type. Each member of a sequence is represented by an XML element whose name is bound in the sequence definition with the type of the construct it bears and whose content represents the value. The order and number of occurrences of elements in a sequence may be specified by a regular expression or left unrestricted.

#### Example 15:

#### Example 16:

```
<chapter>
  <title>Introduction</title>
  <para>....</para>
  <para>....</para>
  <section>...</section>
   <section>...</section>
   <section>...</section>
   <section>...</section>
  <section>...</section>
  <sec-also>...</see-also>
</chapter>
```

### 2.8. Containers

Containers are similar but simpler to structures and can be used to annotate a piece of data by a set of attribute-value pairs with text-only values. They are represented in XML by an element whose content is the data and XML attributes are the annotation. The content of a container can be of any type except for a container and structure.

#### **Important**

Because of the compact representation of singleton lists and alternatives, a special care should be taken when using containers with content whose type is a list or alternative of containers or structures in order to avoid possible collisions between names of the attributes of the container and attributes or members rendered as attribute of the contained (singleton) container or structure. (This problem also applies to type derivation and also to inheritance which is to appear in a future revision of this specification). To avoid such problems, applications serializing PML data to XML are allowed to surround singleton list or alternative members within a container by LM or AM tags respectively, and they must do so if a name collision is apparent from the PML schema.

#### Example 17:

#### Example 18:

```
<chapter id="ch1" author.rf="authors#johnsmith">
  <title>Introduction</title>
  <para>....</para>
  <!-- ...etc... -->
</chapter>
```

### 3. Atomic data formats

PML currently recognizes the following atomic data formats: In the future, specification for more formats will be added and/or some generic mechanism for introducing user-defined atomic formats will be added.

any

Arbitrary string of characters (used in all cases not covered by the formats below).

ID

An identifier string, i.e. a string satisfying the NCName production<sup>7</sup> of the W3C specification Namespaces in XML<sup>8</sup>. Note in particular that the specification explicitly forbids a colon (:) to occur within an identifier.

```
Example: ab, doc1.para2, and _d3p9_34-a2, are all valid identifiers, (whereas -ab, 234a, and a:x34 are all invalid).
```

<sup>&</sup>lt;sup>7</sup> http://www.w3.org/TR/1999/REC-xml-names-19990114/#NT-NCName

<sup>&</sup>lt;sup>8</sup> http://www.w3.org/TR/1999/REC-xml-names-19990114/

#### PMLREF

An atomic value which either is of the ID format described above, or consists of two substrings of the format ID delimited by the character #. Values of this format usually represent a reference (link), see Section 9, "References in PML".

Example: doc1#chap2-para3 or doc1.

#### Formats borrowed from the W3C XML Schema specification:

PML further recognizes the following selected XML Schema built-in simple types  $^{10}$  as PML cdata formats (each format is specified to cover the lexical space of the corresponding simple type in the XML Schema specification without consraining facets): string  $^{11}$ , normalized String  $^{12}$ , token  $^{13}$ , base 64Binary  $^{14}$ , hexBinary  $^{15}$ , integer  $^{16}$ , positive Integer  $^{17}$ , negative Integer  $^{18}$ , nonNegative Integer  $^{19}$ , nonPositive Integer  $^{20}$ , long  $^{21}$ , unsigned Long  $^{22}$ , int  $^{23}$ , unsigned Int  $^{24}$ , short  $^{25}$ , unsigned Short  $^{26}$ , byte  $^{27}$ , unsigned Byte  $^{28}$ , decimal  $^{29}$ , float  $^{30}$ , double  $^{31}$ , boolean  $^{32}$ , duration  $^{33}$ , date Time  $^{34}$ , date  $^{35}$ , time  $^{36}$ , gYear  $^{37}$ , gYear  $^{37}$ , gYear  $^{38}$ 

```
9 http://www.w3.org/TR/xmlschema-0/
10 http://www.w3.org/TR/xmlschema-0/#CreatDt
11 http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#string
12 http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#normalizedString
13 http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#token
14 http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#base64Binary
15 http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#hexBinary
<sup>16</sup> http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#integer
17 http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#positiveInteger
http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#negativeInteger
<sup>19</sup> http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#nonNegativeInteger
http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#nonPositiveInteger
http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#long http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#unsignedLong
<sup>23</sup> http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#int
<sup>24</sup> http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#unsignedInt
http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#short
<sup>26</sup> http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#unsignedShort
<sup>27</sup> http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#byte
<sup>28</sup> http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#unsignedByte
<sup>29</sup> http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#decimal
30 http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#float
31 http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#double
http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#boolean
33 http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#duration
34 http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#dateTime
35 http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#date
<sup>36</sup> http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#time
<sup>37</sup> http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#gYear
```

 $Month^{38}$  ,  $gMonth^{39}$  ,  $gMonthDay^{40}$  ,  $gDay^{41}$  ,  $Name^{42}$  ,  $NCName^{43}$  ,  $anyURI^{44}$  ,  $language^{45}$  ,  $IDREF^{46}$  ,  $IDREFS^{47}$  ,  $NMTOKENS^{48}$  ,  $NMTOKENS^{49}$ 

### 4. PML roles

PML roles indicate a formal role that a given construct plays in the annotation schema. Roles are orthogonal to types, but usually are compatible only with certain types of constructs. Roles are primarily intended to be used by applications processing the data. So far the following roles have been specified:

#### **#TREES**

Only applicable to list or sequence constructs. This role identifies a construct whose member constructs represent dependency or constituency trees.

#### #NODE

Only applicable to a structure or a sequence-member construct. This role identifies a node of a dependency or constituency tree.

#### #CHILDNODES

Only applicable to a member of a structure with the role #NODE or a list or sequence content type of a container with the role #NODE. This role identifies a construct representing a list of child nodes of a node in a dependency or constituency tree.

#### #ID

Only applicable to an atomic construct, typically with the format ID. A value with this role uniquely identifies a construct (a structure, sequence, container, etc.) in the PML instance. This means that all values with the role #ID within a PML instance are distinct..

#### **#KNIT**

This role indicates that the application may resolve the atomic value(s) as PML references and replace their content with copies of referenced PML constructs. In case of an in-memory representation, the application may even arrange the data structures so that the PML reference is replaced by a direct pointer to or a shared copy of the corresponding data structure in the referenced PML construct in a way that allows accessing the referenced data structure as if it were part of the referring PML instance and so that change to it in any of the instances immediately causes the same change to be visible to the other.

This role is only applicable to either:

 $<sup>^{38}</sup>$  http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#gYearMonth  $^{39}$  http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#gMonth

<sup>40</sup> http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#gMonthDay

<sup>41</sup> http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#gDay

<sup>42</sup> http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#Name

<sup>43</sup> http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#NCName

<sup>44</sup> http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#anyURI

<sup>45</sup> http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#language

<sup>46</sup> http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#IDREF

<sup>47</sup> http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#IDREFS

<sup>48</sup> http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#NMTOKEN

<sup>&</sup>lt;sup>49</sup> http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/datatypes.html#NMTOKENS

- a structure member of cdata type with the PMLREF format
- a sequence element of cdata type with the PMLREF format
- a list of cdata members with the PMLREF format. The list must occur in a container or as a structure member.

#### **#ORDER**

This role identifies a structure member containing a non-negative integer value used for ordering nodes in an ordered tree.

#### #HIDE

This role identifies a structure member whose non-zero non-empty value indicates that an application may hide the structure from the user.

### 5. Header of a PML instance

Every PML instance starts with the header element which must occur as the first sub-element of the document element. The header element has the following sub-elements:

#### schema

Associates the instance with a PML schema file, indicating that the instance conforms to the associated schema.

The associated PML schema may be either *external*, in which case its filename or URL is specified in the attribute href, or *internal*, in which case there is no href attribute and the schema element contains a single subelement pml\_schema from PML schema namespace containing the definition of the associated PML schema as specified in Section 6, "PML schema file".

#### references

This element contains zero or more reffile sub-elements, each of which maps a filename or URL (attribute href) of some external resource to an identifier (attribute id) used as aliases when referring to the resource from the instance (see Section 9, "References in PML"). If the external resource is an instance bound with the current instance as declared in the PML schema, then reffile must have also a third attribute, name, containing the name used in the tag reference in the PML schema declaration of the bound instance. For every resource bound to the instance in the PML schema (using reference tag) there must be a corresponding reffile.

### 6. PML schema file

In this section, the syntax of a PML schema file is specified. We describe the content of individual PML schema elements by formal patterns similar to the grammar used in DTD for element-content model specification:

#### name

lower-case literals denote names of XML elements

#### PCDATA

denotes arbitrary text content

#### EMPTY

denotes empty content

(...) brackets delimit groups of adjacent content

? indicates that the element or group whose specification immediately precedes is optional

indicates that the element or group whose specification immediately can be repeated

separates specifications of exclusively alternative content

separates specifications of adjacent content

A formal definition of the PML schema file syntax is available as a Relax NG schema, see Appendix A, *Relax NG for PML schema*.

All elements of the PML schema file belong to the PML schema namespace. The following elements may occur in a PML schema:

#### pml schema

This is the root element of a PML schema file. It may have no attributes (except for the xmlns declaration of the PML-schema namespace). It consists of an optional description, declarations of common instance references, Schema modularization instructions import and derive, a root declaration and zero or more declarations of named types (type).

PML schemas which contain no import and derive instructions are called *simplified PML schemas*. The section Section 7, "Processing modular PML schemas" describes how import and derive instructions are to be processed in order to obtain an equivalent simplified PML schema.

#### **Attributes**

#### version

Version of the PML specification the schema conforms to, currently 1.1

Content: (revision?, description?, reference\*, import\*, derive\*, root?, type\*)

#### revision

This optional element is used to assign a revision number to a particular version of the PML schema, see Section 8, "Numbering revisions of PML schemas"

Content: PCDATA

#### description

This element provides an optional short description of the PML schema.

Content: PCDATA

#### reference

This element declares that each instance of the PML schema is bound with another PML instance (usually of a different PML schema) and provides a hint for an application on how to process the bound instance.

#### **Attributes**

#### name

a symbolic name for the bound instance. This name is used in the reffile element in the referring file's header to identify the bound instance (see Section 5, "Header of a PML instance"). The name should match the NCName production<sup>50</sup> of Namespaces in XML<sup>51</sup>. (required)

#### readas

the value pml instructs the application to read the bound instance as PML (default); the value trees instructs the application to read the bound instance as a PML instance containing dependency or constituency trees; value dom instructs the application to read the bound instance as plain XML using the generic Document Object Model (DOM). (optional)

#### import

This element instructs an application processing the PML schema to load root and type declarations from an external PML schema file specified in the attribute schema to the current PML schema. The way in which the declarations are to be combined is described in Section 7, "Processing modular PML schemas".

#### **Attributes**

type

Name of a specific type to import from the external PML schema file.

#### schema

A filename or URL of the imported external PML schema file. If the URL is relative, the URL of the current document is used as the base URL. An implementation may additionally provide further means of resolving relative URLs, for example, a list of user-

<sup>&</sup>lt;sup>50</sup> http://www.w3.org/TR/1999/REC-xml-names-19990114/#NT-NCName

<sup>&</sup>lt;sup>51</sup> http://www.w3.org/TR/1999/REC-xml-names-19990114/

defined local paths in which it can search for schemas referred to by relative URLs if not found relative to the current document.

#### revision

Constrains revision of the imported schema to the specific value. See Section 8, "Numbering revisions of PML schemas" for information on comparing revision numbers. If this attribute is present, then minimal\_revision and maximal\_revision attributes should be absent. (optional)

#### minimal revision

Constrains the revision of the imported schema to revision numbers larger or equal to the one specified. See Section 8, "Numbering revisions of PML schemas" for information on comparing revision numbers. If this attribute present, then revision attribute should be absent. (optional)

#### maximal revision

Constrains revision of the imported schema to revisions numbers smaller or equal to the one specified. See Section 8, "Numbering revisions of PML schemas" for information on comparing revision numbers. If this attribute present, then revision attribute should be absent. (optional)

#### **Content:** EMPTY

#### derive

This element instructs an application processing the PML schema to create a new type declaration by extending or modifying an existing *base declaration* specified by the type attribute. The newly created type declaration is called the *derived declaration*. The base declaration may either be one explicitely given by a type element, or a previously derived one. The base declaration must declare one of the following types: structure, sequence, container, or choice.

The element derive must contain exactly one of the following subelements: structure, sequence, choice, container, corresponding to the type of the base declaration. In the context of the derive element, the content and semantics of either of the above listed subelements differs from what is defined elsewhere in this specification in the following way:

• each member, element, attribute, or value subelement either replaces a member, element, attribute, or value with the same name (or content in case of value) from the base declaration, if such a one exists, or adds a new member, element, attribute, or value declaration to the derived structure, sequence, container, or choice declaration (respectively).

additionally, the subelement may contain zero or more delete instructions each specifying a member, element, attribute, or value of the base declaration to be omitted from the derived declaration.

See Section 7, "Processing modular PML schemas" for detailed instructions on processing the derive instruction.

#### **Attributes**

type

A name of the base type declaration (required).

name

A name for the derived type declaration. If not specified, the derived declaration replaces the base declaration (this feature should be used with care and, advisably, only for base declarations imported from external PML schemas). The name should match the NCName production<sup>52</sup> of Namespaces in XML<sup>53</sup>. (optional)

Content: (structure | sequence | choice | container)

delete

This instruction can only occur in a structure, sequence, choice, or container subelement of a derive element (and is therefore not included in the specifications of the content of these individual elements).

The content is a name of a member, element, attribute, or value of a base declaration to be omitted from the derived declaration; see derive and Section 7, "Processing modular PML schemas" for processing details.

Content: #PCDATA

root

Declaration of the root element of a PML instance. A PML schema which does not (after possible simplification) contain a root declaration does not by itself fully define an application of PML, but may be used as a source of an import instruction in another PML schema.

#### **Attributes**

name

The (local) name of the root element. The name should match the  ${\tt NCName}$  production of Namespaces in  ${\tt XML}^{55}$  and must be different from LM and AM. (required)

type

declares that the root-element's content is a construct of a given named type. This attribute is complementary to content, i.e. if this attribute is present, then root must be an empty element. The named type this attribute refers to must follow the content pattern specified below.

Moreover, if the root element's content is declared as a container, then the container content type may only be a sequence.

 $<sup>^{52}\;</sup>http://www.w3.org/TR/1999/REC-xml-names-19990114/\#NT-NCName$ 

<sup>53</sup> http://www.w3.org/TR/1999/REC-xml-names-19990114/

<sup>54</sup> http://www.w3.org/TR/1999/REC-xml-names-19990114/#NT-NCName

<sup>55</sup> http://www.w3.org/TR/1999/REC-xml-names-19990114/

Content: (structure | sequence | container)

type

Declaration a named type. Named types are referred to from other elements using the attribute type. A named type may only be referred from contexts where the actual type represented by the named type is allowed. In other words, if an element in a PML schema refers to a named type, then the content of the named type definition must be also a valid content for the referring element.

#### **Attributes**

name

The name of the new named type. The name should match the NCName production<sup>56</sup> of Namespaces in XML<sup>57</sup>. (required)

Content: (alt | list | choice | constant | structure | container | sequence | cdata)

structure

Declares a complex type which is a structure with the specified members. Its content consists of one or more member elements defining members of the structure.

#### **Attributes**

name

An optional name of the type. This name is not used in the PML schema, but may be used by applications, e.g. when presenting constructs of the type to the user. The name should match the NCName production<sup>58</sup> of Namespaces in XML<sup>59</sup>. (optional)

role

The PML role of the constructs of the type (optional)

Content: (member) +

member

Declares a member of a structure. The attribute name defines the name of the member. The type of the member's value is specified either by the content or using the type attribute. It is an error if a structure declaration contains two member declarations with the same name.

 $<sup>^{56}\</sup> http://www.w3.org/TR/1999/REC-xml-names-19990114/\#NT-NCName$ 

<sup>57</sup> http://www.w3.org/TR/1999/REC-xml-names-19990114/

<sup>&</sup>lt;sup>58</sup> http://www.w3.org/TR/1999/REC-xml-names-19990114/#NT-NCName

<sup>&</sup>lt;sup>59</sup> http://www.w3.org/TR/1999/REC-xml-names-19990114/

#### **Attributes**

name

Name of the member. The name should match the NCName production<sup>60</sup> of Namespaces in XML<sup>61</sup> and must be different from LM and AM. (required)

required

value 1 declares the member as required, value 0 declares the member as optional (default is 0). Required member must be non-empty.

role

PML role of the member's value (optional)

as attribute

value 1 declares that the member is in XML realized as an attribute of the element realizing the structure. In that case, the value type must be atomic. Value 0 declares that the member is realized as an XML element whose content realizes the value construct. In the latter case case no restrictions are put on the value type (default is 0)

type

declares that the member is of a given named type. If this attribute is present then content of the element member should be empty, with the only exception when role is #KNIT in which case content should be a cdata definition with PMLREF format and type should be used to specify the type of the value after knitting).

```
Content: (alt | list | choice | constant | structure | contain-
er | sequence | cdata)
```

list

Defines a complex type as a list of constructs of a given type. The content or type defines the type of the list members.

#### **Attributes**

ordered

value 1 declares an ordered list, value 0 declares an unordered list (required)

type

declares that the member constructs are of a given named type. If this attribute is present then content of the list element should be empty, with the exception when role is #KNIT in which case content should be a cdata definition with PMLREF format and type should be used to specify the type of list members after knitting).

role

PML-role of constructs of the type - currently only roles #KNIT and #CHILDNODES may be used with lists (optional)

 $<sup>^{60}~</sup>http://www.w3.org/TR/1999/REC-xml-names-19990114/\#NT-NCName$ 

<sup>61</sup> http://www.w3.org/TR/1999/REC-xml-names-19990114/

Content: (alt | choice | constant | structure | container
| sequence | cdata)

alt

Defines a type which is an alternative of constructs of a given type. The content defines a type of the alternative members (unless a named type is specified in the type attribute).

#### **Attributes**

type

declares that the constructs contained in the list are of a given named type (complementary to content)

Content: (list | choice | constant | structure | container |
sequence | cdata)

choice

Defines an enumerated type with a set of possible values specified in the value sub-elements.

Content: (value) +

value

The text content of this element is one of the values of an enumerated type.

Content: PCDATA

cdata

Defines an atomic type. Constructs of atomic types are represented in XML as text or attribute values. The atomic type is further specified using the format attribute which can have one of the values listed in Section 3, "Atomic data formats".

Content: EMPTY

constant

Defines an atomic type with a constant value specified in the content.

Content: PCDATA

sequence

Defines a data type representing ordered sequences of zero or more constituents. Each constituent is either a string of text or a named element whose content data type is uniquely determined by the element's name. The declaration of a sequence

- specifies elements which can occur in the sequence, uniquely mapping element names to data types,
- indicates if text constituents are allowed to occur in the sequence (sequences permitting text constituents are called mixed-content sequences),

 and, optionally, provides a simple regular-expression-like pattern describing all admissible orderings of constituents (element and interleaved text) in the sequence

Two text constituents in a mixed-content sequences should never be adjacent, i.e. there must always be an element occurring between every two text constituents.

#### **Attributes**

role

PML role of constructs of the type (optional)

```
content pattern
```

This attribute constraints the order in which the constituents are allowed to appear in the sequence by means of an expression called content pattern (very similar and conceptually equivalent to the grammar of the element content model declaration in DTD and also similar to the syntax used in the productions in this specification).

The content pattern is built on content particles (cp's), which consist of constituent specifiers, choice lists of content particles, or sequence lists of content particles. The syntax of a content pattern is given by the following grammar production rules:

```
pattern ::= ( choice | seq | cp )

cnst ::= ( Element-name | '#TEXT' )

cp ::= ( cnst | '(' choice ')' | '(' seq ')' ) quantifier?

quantifier ::= ( '?' | '*' | '+' )?

choice ::= WS? cp ( WS? '|' WS? cp )+ WS?

seq ::= WS? cp ( WS? ',' WS? cp )* WS?

WS ::= (#x20 | #x9 | #xD | #xA)+
```

where pattern is the content pattern; a content particle (cp) represents one or more constituents, which may appear in the sequence on a position in which the content particle appears in the pattern; Element-name is a name of an element constituent (see element) and represents any element constituent with this name; the string '#TEXT' represents a text constituent; any of the content particles occurring in a choice group may appear in the sequence at a position in which the choice group appears in the pattern; content particles occurring in a seq group must each appear in the sequence in the order in which it is listed in the group; optional quantifier character following a content particle governs whether the content it represents may occur one or more (+), zero or more (\*), or zero or one times (?). The absence of a quantifier means that the content specified by the content particle must appear exactly once; content particles, brackets, commas, etc. may be optionally separated by white-space (WS).

A sequence matches a content pattern if and only if there is a path through the content pattern, obeying the sequence, choice, and quantifier operators and matching each constituent in the sequence against a cnst production (Element-name or '#TEXT'). It is an error if the pattern allows two adjacent text constituents.

#### Note

For compatibility with some SGML based content model implementations, it is advisable (but not enforced) to avoid non-deterministic (1-ambiguous) content patterns such as (a,b)\*,a? (see e.g. Appendix E Deterministic Content Models (Non-Normative)<sup>62</sup> to the XML 1.0 specification<sup>63</sup> and the pointers therein). In particular, a constituent should not match more than one occurrence of a cnst production in the content pattern.

Content: text?, (element) +

text

This element can be used at the beginning of the sequence element to indicate that the sequence is of mixed-content. In that case, every (maximal) contiguous character content (including white-space) occurring within the XML element representing the sequence is treated as a constituent of the sequence.

**Content:** EMPTY

element

Declares an element constituent of a sequence. The attribute name specifies its name and either the content or the type attribute defines the value type. It is an error if a sequence declaration contains two elements with the same name.

#### **Attributes**

name

name of the element. The name should match the  ${\tt NCName}$  production  $^{64}$  of Namespaces in  ${\tt XML}^{65}$  and must be different from LM and AM. (required)

role

PML role of the construct (optional)

type

declares that the value is of a given named type. If this attribute is present then element should be empty, with the only exception when role is #KNIT in which case content should be a cdata definition with PMLREF format and type should be used to specify the value type after knitting).

Content: (alt | list | choice | constant | structure | container | sequence | cdata)

container

Declares a container type. A container consists of a content value accompanied by an annotation provided by a set of name-value pairs with atomic values called attributes. The declaration consists of zero or more attribute declarations, followed by the content type

<sup>62</sup> http://www.w3.org/TR/2004/REC-xml-20040204/#determinism

<sup>63</sup> http://www.w3.org/TR/2004/REC-xml-20040204/#determinism

<sup>64</sup> http://www.w3.org/TR/1999/REC-xml-names-19990114/#NT-NCName

<sup>65</sup> http://www.w3.org/TR/1999/REC-xml-names-19990114/

declaration. The content can be of any type except for container and structure. Containers with empty content (indicated by absence of a content type declaration) are permitted.

#### **Attributes**

role

PML role of the construct (optional)

type

declares that the content is a construct of a given named type. This attribute is complementary to the part in brackets in the content specification below. That is, if the type attribute is present, then container can only contain attribute declarations.

Content: attribute\* (alt | list | choice | constant | sequence | cdata)?

attribute

Defines an attribute of a container. The content defines the type of attribute's value.

#### **Attributes**

name

name of the attribute. The name must either match the NCName production<sup>66</sup> of Namespaces in XML<sup>67</sup> or be equal to 'xml:id'. Note that the latter is particularly useful in combination with the role #ID, and allows applications that are not PML-aware to recognize the attribute as an identifier as described in the xml:id<sup>68</sup> specification. (required)

required

value 1 declares the attribute as required, i.e. one that must be present on its container; value 0 declares the attribute as optional (defaults to 0 - optional). Required attribute must be non-empty.

role

defines a PML role of the attribute (optional)

type

defines the type of the attribute value as a given named type. The named type must be atomic. (The type attribute is complementary to content.)

Content: (choice | cdata | constant)

 $<sup>^{66}\</sup> http://www.w3.org/TR/1999/REC-xml-names-19990114/\#NT-NCName$ 

<sup>67</sup> http://www.w3.org/TR/1999/REC-xml-names-19990114/

<sup>68</sup> www.w3.org/TR/xml-id/

### 7. Processing modular PML schemas

A *simplified PML schema* is one which does not contain any import and derive. Simplified PML schemas are thus self-contained.

This section describes how to process a PML schema containing import and derive instructions in order to obtain a simplified PML schema semantically equivalent to the original PML schema (we call two PML schemas semantically equivalent if they describe the same class of instances, mapping same data to same data types and identifying these types with the same PML roles).

We describe the process of simplification of a PML schema by means of modifications to the original PML schema, although a particular implementation might choose a different processing strategy. See Section 10.2, "Tools" for a pointer to a reference implementation of this process.

A PML schema processor must first process all import instructions in the order in which they appear in the PML schema and then process the derive instructions.

### 7.1. Processing import elements

We call *current schema* the PML schema containing the import element in turn, and *imported schema* the PML schema referred to by the attribute schema of the import element. The processing of the import instruction differs depending on the presence of the type attribute.

If the type attribute is present, the element is processed as follows:

- If the current schema contains a type declaration or a derive instruction whose attribute name equals to the value of the type attribute of the import element, then the processing of the import element stops and it is removed from the current schema (this includes cases when the type declaration was added to the current schema during processing of any preceding import elements).
- Otherwise, the imported schema is read from the file specified by an URL (absolute or relative to the location of the file containing the current schema) contained in the schema attribute of the element import.
- The imported schema is parsed and its revision number is mached against revision or minimal\_revision and maximal\_revision attributes of the import element (if any of them is present). More specifically, if revision attribute of import is present then the imported schema revision must be equal to it. If minimal\_revision attribute of import is present then the imported schema revision must be greater or equal to it. If maximal\_revision attribute of import is present then the imported schema revision must be less or equal to it. It is an error if the revision of the imported schema does not match these constraints. The details of revision numbering and comparison of revision numbers are given in Section 8, "Numbering revisions of PML schemas".
- The imported schema is processed according to these instructions into a simplified PML schema. (It is an error if two or more PML schemas refer among themselves via import

elements in a way that forms a cycle or if a PML schema refers via an import element to itself).

- A type declaration whose attribute name equals to the attribute type of the import
  element is located in the imported schema and copied to the current schema. It is an error
  if such a declaration cannot be found in the imported schema.
- Every named type referred to by a type attribute from any element occurring within the copied declaration is also copied from the imported schema to the current schema, unless a type declaration or a derive instruction with the same name already exists in the current schema. This step is repeated as long as there are copied type declarations referring to declarations in the imported schema for which there is no type declaration in the current schema with the same name (either a copied or an original one). In other words, after copying the first type declaration, other type declarations may be copied to the current schema so that all references to named types are satisfied.
- Finally, the import element is removed from the current schema.

If the attribute type of the import element is absent, the instruction is processed as follows:

- The imported schema is read from the file specified by the schema attribute of the import element, parsed and processed just as in the prior case.
- If the current schema does not contain root declaration and there is a root declaration in the imported schema, it is copied to the current schema.
- Every type declaration is copied from the imported schema to the current schema, unless there already is a type declaration with the same name in the current schema.
- The import element is removed from the current schema.

### 7.2. Processing derive elements

The derive instructions cannot be processed if the schema contains any non-processed import instructions.

The derive element has an attribute type referring to a named type declaration which will be called the *base declaration*. It is an error if the PML schema (after all preceding derive instructions have been processed) does not contain a corresponding base declaration, i.e. a declaration whose attribute name equals to the attribute type of the derive element.

If the derive element contains an attribute name specifying a *target declaration name*, the base declaration is copied to the PML schema as a new type declaration under the target declaration name. We refer to this copy as *target declaration*. It is an error if prior to creating the target declaration the PML schema already contained a named type declaration with the same name. If the name attribute of the derive element is absent, the target declaration is the base declaration.

The derive element and the target declaration must contain the same subelement, which is one of structure, sequence, container, or choice. We refer to the subelement of the derive element as *source* and to the subelement of the type element representing the target declaration as *target*.

For each attribute of the source with a non-empty value the corresponding attribute on the target is added or if already present, its value is changed to match the value on the source. If an attribute is present on both the source and target but its value on the source is empty, the attribute on the target is removed from the target element. All other attributes of the target are left unchanged.

If the source (and hence also the target) is a structure element, all member subelements of the source are copied into the target, unless the target structure already contains a member subelement with the same name, in which case the member subelement from the source replaces the corresponding subelement of the target structure. Then, for every delete subelement of the source, the member subelement of the target structure whose name attribute equals to the content of the delete subelement is removed from the target structure. It is an error if the source contains a delete for which there is no matching member subelement in the target structure.

The processing of source and target elements which are a sequence, a container, or a choice is defined analogously, replacing in the definition the words structure and member with sequence and element, container and attribute, or choice and value, respectively, except that for choice, every delete subelement of the source deletes the value subelement of the target choice that has the same content (there is no name attribute for value elements).

The derive element is removed from the PML schema after the source has been processed as described above.

### 8. Numbering revisions of PML schemas

For maintenance and modularization purposes it is advisible that every revision of a PML schema which adds or modifies type declarations is assigned a unique revision number. For this purpose, PML provides the element revision of the PML schema. A modular PML schema using the import instruction to import types from another PML schema may specify constraints on the revision number of the imported schema. This section defines the format for PML schema revision numbers and revision number comparison method (implying a total order on revision numbers). Consequent revisions of a single PML schema file must be numbered in a non-decreasing order.

Revision numbers should be strings constisting of one or more interleaved non-negative integer numbers and the character '.', starting and ending with a number.

For example, 12, 0.2.223, and 12.23.1.2.2 are all valid revision numbers, whereas .3, -3, 1.2., or 74..23 are not.

We now describe comparizon of two revision numbers. Let  $R=r_1 \cdot r_2 \cdot ... \cdot r_n$  and  $S=s_1 \cdot s_2 \cdot ... \cdot s_k$  be two revision numbers, where  $r_i$  (for i=1,...,n) and  $s_j$  (for j=1,...,k) are non-negative integers and let n be less or equal to k. Define  $r_i=0$  for every i>n. Then R=S if and only if

 $r_i=s_i$  for every i=1, ..., k; R<S if and only if  $r_1 < s_1$  or there is some j < k such that  $r_i=s_i$  for every i=1, ..., j and  $r_{j+1}=s_{j+1}$ ; otherwise R>S.

For example, 1.0.0=1, 2.1.3.8<2.1.12.8, and 2>1.9.8.

### 9. References in PML

While it is likely that in the future PML will offer other kinds of references, such as XPointer, currently PML only defines syntax and semantics for simple ID-based references to PML structure, element or sequence constructs occurring either in the same or some other PML instance, and to XML elements of non-PML XML documents in general. Also, there is no syntax defined yet for references to non-XML resources or to constructs without an ID.

A reference to a construct occurring within the same PML instance is represented by the ID of the referred construct (see more specific definition below). A reference to an object occurring *outside* the PML instance is represented by a string formatted according to PMLREF format, i.e., a string consisting of a pair of identifiers separated by the # character. The first of the two identifiers is an ID associated in the header of the PML instance with the system file name or URL of the instance containing the referred object. The second of the identifiers is a unique ID of the construct (or element) within the PML (or XML) instance it occurs in.

If the referred construct is a structure, then its ID is the value of its member with the role #ID. If the referred construct is a container, then its ID is the value of its attribute with the role #ID. If the referred construct is an XML element in a non-PML XML document, then its ID is the value of its ID-attribute (e.g. either the attribute xml:id or some other attribute declared as ID in the document's DTD or schema).

#### Example 19:

```
<document xmlns="http://ufal.mff.cuni.cz/pdt/pml/">
     <schema>
      <!-- embedded schema -->
       <s:pml schema version="1.1" xmlns:s="http://ufal.mff.cuni.cz/pdt/pml/schema/">
         <s:root name="document">
           <s:structure>
      <s:member name="links">
               <s:list ordered="1">
          <s:cdata format="PMLREF"/>
               </s:list>
             </s:member>
     <s:member name="parts">
        <s:list ordered="0">
          <s:structure>
                   <s:member name="xml:id" role="#ID" as attribute="1">
                     <s:cdata format="ID"/>
                   </s:member>
     <s:member name="title">...</s:membmer>
     <s:member name="body">...</s:membmer>
                 </s:container>
               </s:list>
             </s:member>
           </s:structure>
         </s:root>
       </s:pml schema>
     </schema>
     <references>
      <!-- declare documents we will refer to -->
      <reffile id="p1" href="part_1.xml"/>
       <reffile id="p2" href="part_2.xml"/>
     </references>
  </head>
   ks>
    <LM>p1#d1002<LM/> <!-- reference to part with ID "d1002" in document "part 1.xml" -->
    <LM>p2#d2234<LM/> <!-- reference to part with ID "d2234" in document "part 2.xml" -->
    <LM>d3237<LM/> <!-- reference to part with ID "d3237" in this document -->
    <LM>d3664<LM/> <!-- reference to part with ID "d3664" in this document -->
   </links>
   <parts>
    <LM xml:id="d3237">
      <title>...</title>
      <body>...</body>
    </LM>
     <LM xml:id="d3664">
      <title>...</title>
      <body>...</body>
    </LM>
   </parts>
</document>
```

### 10. Final recommendations

### 10.1. Layers of annotation

PML references are suitable for stacking one layer of linguistic annotation upon another. For this purpose, the original text is usually transformed to a very simple PML instance that only adds the most essential features such as basic tokenization, identifiers of individual tokens, etc., providing the basis upon which further annotations could be stacked. If it is not possible or desirable to directly include tokens from the original text in such a base layer, then a suitable mechanism (currently not defined by PML) has to be employed in order to carry unambiguous references to the corresponding portions of the original text (regardless of the original format).

A specific PML schema is usually defined for each of the annotation layers. The relation between annotation layers is typically expressed on the instance level using PML references and on the PML schema level using the instance binding (PML schema element reference).

### **10.2.** Tools

The XSLT stylesheet pml2rng.xsl<sup>69</sup> transforms a PML schema to the corresponding Relax NG schema that can be used for validating instances of the PML schema. The resulting Relax NG refers to a portion of Relax NG common to all PML applications which is stored in the file pml\_common.rng<sup>70</sup>.

There are many standard freely available tools that can be used to validate an XML document against a Relax NG, such as jing<sup>71</sup> or xmllint<sup>72</sup>.

A Perl script pml\_simplify<sup>73</sup> implements a conversion from a modular PML schema to a simplified PML schema described in Section 7, "Processing modular PML schemas".

The Tree Editor TrEd<sup>74</sup> has built-in support for PML representation of dependency and constituency trees (see Section PMLBackend<sup>75</sup> in TrEd User's Manual<sup>76</sup> for details).

PML instances may also be processed using conventional XML-oriented tools without direct support for PML. One of them worth recommending is XSH<sup>77</sup>, which is a versatile tool for XML processing.

<sup>&</sup>lt;sup>69</sup> rewrite:pml2rng.xsl

<sup>70</sup> rewrite:pml\_common.rng

<sup>71</sup> http://www.thaiopensource.com/relaxng/jing.html

<sup>72</sup> http://xmlsoft.org/

<sup>73</sup> rewrite:pml\_simplify

<sup>&</sup>lt;sup>74</sup> rewrite:tred

<sup>75</sup> rewrite:tred\_manual#pmlbackend

<sup>&</sup>lt;sup>76</sup> rewrite:tred\_manual

<sup>77</sup> http://xsh.sourceforge.net

### A. Relax NG for PML schema

In this appendix we provide a Relax NG schema for PML Schema files (it is a listing of the file pml\_schema.rng<sup>1</sup>). Note that this Relax NG schema is rather simplistic and that does not currently reflect all constraints implied on the syntax of the PML schema file expressed in this document. In particular, the Relax NG does not enforce constraints on applicability of roles nor the requirement that a named type may only be referred to in contexts where the actual type represented by the named type is permitted.

```
<?xml version="1.0"?>
<grammar xmlns="http://relaxng.org/ns/structure/1.0"</pre>
xmlns:s="http://ufal.mff.cuni.cz/pdt/pml/schema/"
        xmlns:a="http://relaxnq.org/ns/compatibility/annotations/1.0"
        xmlns:sch="http://www.ascc.net/xml/schematron"
datatypeLibrary="http://www.w3.org/2001/XMLSchema-datatypes">
 <sch:ns prefix="s" uri="http://ufal.mff.cuni.cz/pdt/pml/schema/"/>
 <a:documentation>Grammar for PML Schema (version 1.1 or 1.2)</a:documentation>
  <start>
    <ref name="pml schema.root element"/>
  </start>
  <define name="pml schema.root element">
    <choice>
      <ref name="pml1.2"/>
      <ref name="pml1.1"/>
    </choice>
  </define>
  <define name="pml1.2">
    <externalRef href="pml schema 1 2.rng"/>
  </define>
  <define name="pml1.1">
    <externalRef href="pml schema 1 1.rng"/>
  </define>
</grammar>
```

### **B.** Examples

In this appendix we provide some simple examples of PML usage. Rather than on practical applicability of the schemas that follow, we concentrate on demonstrating the features, definitions and representation of various constructs. We also show how PML references work and how annotation layers can be stacked one upon another.

### 1. Dependency trees

The PML schema in Example B.1, "PML schema (example1\_schema.xml)" and the instance instance in Example B.2, "Sample instance with the annotation of the sentence: 'John loves Mary. He told her this Friday.' (example1.xml)" show an application of PML to a very simple analytical dependency annotation of English sentences. In this example, the annotation consists of some meta data (annotator's name and a time stamp) and a list of trees. Each tree is

<sup>1</sup> rewrite:pml\_schema.rng

represented by its root-node. Nodes are structures with two members bearing the node-labels (word form and its syntactical function) and two technical members (index of the node in the ordering of the tree - represented by the attribute ord, and a list of child-nodes - represented by the element governs). Note that if a list of child-nodes has only one member, then this single child-node may be directly represented by the governs element. This eliminates the need for an extra LM bracketing element. Note that PML does not actually distinguish between dependency trees and constituency trees, but since dependency trees are ordered trees and are not necessarily projective, we have to employ an extra member ord with PML-role #ORDER for the tree ordering. Because we do not want any linguistic complexity to distract the reader's attention from the technical aspects of how data are defined and represented in PML, we have chosen two shamelessly simple sentences.

#### **Example B.1: PML schema**

```
<?xml version="1.0"?>
<pml schema version="1.1"</pre>
 xmlns="http://ufal.mff.cuni.cz/pdt/pml/schema/">
  <description>Example of dependency tree annotation</description>
  <root name="annotation">
    <structure>
      <member name="meta" type="meta.type"/>
      <member name="trees" role="#TREES" required="1">
<list type="node.type" ordered="1"/>
      </member>
    </structure>
  </root>
  <type name="meta.type">
    <structure>
      <member name="annotator"><cdata format="any"/></member>
      <member name="datetime"><cdata format="any"/></member>
    </structure>
  </type>
  <type name="node.type">
    <structure role="#NODE">
      <member name="ord" as attribute="1" required="1" role="#ORDER">
        <cdata format="nonNegativeInteger"/>
      <member name="func" type="func.type" required="1"/>
      <member name="form" required="1">
        <cdata format="any"/>
      <member name="governs" role="#CHILDNODES" required="0">
        <list type="node.type" ordered="0"/>
      </member>
    </structure>
  </type>
  <type name="func.type">
    <choice>
      <value>Pred</value>
      <value>Subj</value>
      <value>Obj</value>
      <value>Attrib</value>
      <value>Adv</value>
    </choice>
  </type>
</pml schema>
```

## Example B.2: Sample instance with the annotation of the sentence: `John loves Mary. He told her this Friday.'

```
<?xml version="1.0"?>
<annotation xmlns="http://ufal.mff.cuni.cz/pdt/pml/">
    <schema href="example1 schema.xml"/>
  </head>
  <meta>
    <annotator>Jan Novak</annotator>
    <datetime>Sun May 1 18:56:55 2005</datetime>
  <trees>
    <LM ord="2">
      <func>Pred</func>
      <form>loves</form>
      <governs>
        <LM ord="1">
          <func>Subj</func>
          <form>John</form>
        </LM>
        <LM ord="3">
          <func>Obj</func>
          <form>Mary</form>
        </LM>
      </governs>
    </LM>
    <LM ord="2">
      <func>Pred</func>
      <form>told</form>
      <governs>
        <LM ord="1">
          <func>Subj</func>
          <form>He</form>
        </LM>
        <LM ord="3">
          <func>Obj</func>
          <form>her</form>
        </LM>
        <LM ord="5">
          <func>Adv</func>
          <form>Friday</form>
          <governs ord="4"> <!-- ditto -->
            <func>Attrib</func>
            <form>this</form>
          </governs>
        </LM>
      </governs>
  </trees>
</annotation>
```

### 2. Constituency trees

On two simple (and of course incomplete) PML schema+instance examples, (Example B.3, "PML schema (example2\_schema.xml)" + Example B.4, "Sample instance with annotation of the sentence: 'John loves Mary. He told her this Friday.' (example2.xml)" and Ex-

ample B.5, "PML schema (example3\_schema.xml)" + Example B.6, "Sample instance (example3.xml)") we demonstrate how Penn-treebank-like constituency trees might be represented in PML. This situation differs from the dependency trees in two aspects: 1) with constituency trees we do not have to consider an external ordering of the nodes in the tree, 2) constituency trees usually distinguish between leaf nodes (terminal nodes) and branching nodes (non-terminal nodes). In the first sample we deal with this by declaring two node types and using sequences instead of lists (as lists would require all members to be of the same type). In the second sample we provide a minimalist approach taking advantage of the fact that a non-terminal node has at most one terminal child, which in turn eliminates the need to represent leaf nodes as nodes at all. This, in combination with the possibility to reuse element names for the actual labels, provides a very compact XML notation very close to the labeled-bracket syntax of Penn Treebank.

#### **Example B.3: PML schema**

```
<?xml version="1.0"?>
<pml schema version="1.1"</pre>
  xmlns="http://ufal.mff.cuni.cz/pdt/pml/schema/">
  <description>Example of constituency tree annotation/description>
  <root name="annotation">
    <sequence role="#TREES" content_pattern="meta, nt+">
      <element name="meta" type="meta.type"/>
      <element name="nt" type="nonterminal.type"/>
    </sequence>
  </root>
  <type name="meta.type">
    <structure>
      <member name="annotator"><cdata format="any"/></member>
      <member name="datetime"><cdata format="any"/></member>
    </structure>
  </type>
  <type name="nonterminal.type">
    <container role="#NODE">
      <attribute name="label" type="label.type"/>
      <sequence role="#CHILDNODES">
 <element name="nt" type="nonterminal.type"/>
 <element name="form" type="terminal.type"/>
      </sequence>
    </container>
  </type>
  <type name="terminal.type">
    <container role="#NODE">
      <cdata format="any"/>
    </container>
  </type>
  <type name="label.type">
    <choice>
      <value>S</value>
      <value>VP</value>
      <value>NP</value>
      <value>PP</value>
      <value>ADVP</value>
      <!-- etc. -->
    </choice>
  </type>
</pml schema>
```

## Example B.4: Sample instance with annotation of the sentence: `John loves Mary. He told her this Friday.'

```
<?xml version="1.0"?>
<annotation xmlns="http://ufal.mff.cuni.cz/pdt/pml/">
    <schema href="example2_schema.xml"/>
  </head>
  <meta>
    <annotator>John Smith</annotator>
    <datetime>Sun May 1 18:56:55 2005</datetime>
  </meta>
  <nt label="S">
    <nt label="NP">
      <form>John</form>
    </nt>
    <nt label="VP">
     <form>loves</form>
     <nt label="NP">
       <form>Mary</form>
      </nt>
    </nt>
  </nt>
  <nt label="S">
    <nt label="NP">
     <form>He</form>
    </nt>
    <nt label="VP">
      <form>told</form>
      <nt label="NP"><form>her</form></nt>
      <nt label="ADVP"><form>this Friday</form></nt>
    </nt>
  </nt>
</annotation>
```

For brevity, we will not repeat the meta element in the second example.

#### **Example B.5: PML schema**

```
<?xml version="1.0"?>
<pml schema version="1.1" xmlns="http://ufal.mff.cuni.cz/pdt/pml/schema/">
 <description>
   Example of very compact constituency tree annotation
 </description>
 <root name="annotation">
    <sequence role="#TREES">
     <element name="S" type="nonterminal.type"/>
   </sequence>
 </root>
 <type name="nonterminal.type">
    <container role="#NODE">
     <attribute name="form"><cdata format="any"/></attribute>
     <sequence role="#CHILDNODES">
<element name="VP" role="#NODE" type="nonterminal.type"/>
<element name="NP" role="#NODE" type="nonterminal.type"/>
<element name="PP" role="#NODE" type="nonterminal.type"/>
<element name="ADVP" role="#NODE" type="nonterminal.type"/>
<!-- etc. -->
     </sequence>
   </container>
 </type>
</pml schema>
```

#### **Example B.6: Sample instance**

Note that once the labels of non-terminals coincide with the names of elements representing nodes, we could apply further restrictions on the nesting directly in the PML schema. For example, it would be very easy to incorporate some grammar rules (such as that ADVP can only occur within VP, etc.).

### 3. Internal references

To demonstrate cross-referencing in a PML instance we define two simple PML schemas (Example B.7, "PML schema (example4\_schema.xml)" and Example B.9, "PML schema (example5\_schema.xml)") for representing arbitrary graph with both labeled nodes. In

the first schema, we represent the graph by a list of its vertices and a list of its edges. With the second schema the same graph is represented by a list of structures for nodes consisting of a label and a a list of pointers to the nodes connected with the current node by an edge.

#### Example B.7: PML schema

```
<?xml version="1.0"?>
<pml schema version="1.1" xmlns="http://ufal.mff.cuni.cz/pdt/pml/schema/">
  <description>An oriented graph</description>
  <root name="graph">
    <structure>
      <member name="verteces">
        <list ordered="0">
          <structure>
            <member name="id" as attribute="1" required="1" role="#ID">
              <cdata format="ID"/>
            </member>
            <member name="label" required="1">
              <cdata format="any"/>
            </member>
          </structure>
        </list>
      </member>
      <member name="edges">
        <list ordered="0">
          <structure>
            <member name="from.rf" required="1" as attribute="1">
              <cdata format="PMLREF"/>
            </member>
            <member name="to.rf" required="1" as attribute="1">
              <cdata format="PMLREF"/>
            </member>
          </structure>
        </list>
      </member>
    </structure>
  </root>
</pml schema>
```

#### **Example B.8: Sample instance**

```
<?xml version="1.0"?>
<graph xmlns="http://ufal.mff.cuni.cz/pdt/pml/">
 <head><schema href="example4 schema.xml"/></head>
 <verteces>
    <LM id="v1"><label>A</label></LM>
    <LM id="v2"><label>B</label></LM>
   <LM id="v3"><label>A</label></LM>
    <LM id="v4"><label>C</label></LM>
    <LM id="v5"><label>D</label></LM>
  </verteces>
  <edges>
    <LM from.rf="v1" to.rf="v2"/>
   <LM from.rf="v1" to.rf="v3"/>
   <LM from.rf="v2" to.rf="v4"/>
   <LM from.rf="v3" to.rf="v4"/>
    <LM from.rf="v4" to.rf="v1"/>
 </edges>
</graph>
```

## Example B.9: PML schema

```
<?xml version="1.0"?>
<pml schema version="1.1" xmlns="http://ufal.mff.cuni.cz/pdt/pml/schema/">
  <description>An oriented graph</description>
  <root name="graph">
    <structure>
      <member name="body" required="1">
<list ordered="0">
   <structure>
     <member name="id" as attribute="1"</pre>
      required="1" role="#ID">
       <cdata format="ID"/>
     </member>
     <member name="label" required="1">
       <cdata format="any"/>
     </member>
     <member name="edges.rf">
      <list ordered="0">
  <cdata format="PMLREF"/>
       </list>
     </member>
  </structure>
</list>
      </member>
    </structure>
 </root>
</pml schema>
```

#### **Example B.10: Sample instance**

```
<?xml version="1.0"?>
<graph xmlns="http://ufal.mff.cuni.cz/pdt/pml/">
 <head><schema href="example5 schema.xml"/></head>
 <body>
    <LM id="v1">
     <label>A</label>
      <edges.rf>
<LM>v2</LM>
<LM>v3</LM>
      </edges.rf>
    </LM>
    <LM id="v2">
     <label>B</label>
     <edges.rf>v4</edges.rf>
    </LM>
   <LM id="v3">
     <label>A</label>
      <edges.rf>v4</edges.rf>
    <LM id="v4">
     <label>C</label>
      <edges.rf>v1</edges.rf>
    <LM id="v5">
     <label>D</label>
    </LM>
 </body>
</graph>
```

## 4. External references

In this example we define PML schemas for two annotation layers. The first layer represents the tokenized text with the sentence-boundary markup. The second layer is a constituency-tree annotation of the sentences on the first (lower) layer. This constituency annotation is similar to the samples Section 2, "Constituency trees", but this time the terminals contain references to the tokenized text.

The schema in Example B.11, "PML schema (example6\_schema.xml)" and the instance in Example B.12, "Sample instance (example6.xml)" show a tokenization layer.

#### Example B.11: PML schema

```
<?xml version="1.0"?>
<pml schema version="1.1" xmlns="http://ufal.mff.cuni.cz/pdt/pml/schema/">
  <revision>0.2</revision>
  <description>Example of tokenization layer</description>
  <root name="tokenization">
    <structure>
      <member name="sentences">
 <list ordered="1" type="sentence.type"/>
      </member>
    </structure>
  </root>
  <type name="sentence.type">
    <structure>
      <member name="id" role="#ID" type="ID.type"</pre>
 required="1" as_attribute="1"/>
     <member name="tokens"> <!-- words (tokens) -->
        <sequence>
          <element name="w" type="w.type"/>
        </sequence>
      </member>
    </structure>
  </type>
  <type name="w.type">
    <container>
      <attribute name="id" role="#ID" type="ID.type"</pre>
 required="1"/>
      <cdata format="any"/>
    </container>
  </type>
  <type name="ID.type">
    <cdata format="ID"/>
  </type>
</pml schema>
```

#### **Example B.12: Sample instance**

```
<?xml version="1.0"?>
<tokenization xmlns="http://ufal.mff.cuni.cz/pdt/pml/">
  <head>
    <schema href="example6 schema.xml"/>
  </head>
  <sentences>
    <LM id="s1">
      <tokens>
       <w id="s1w1">John</w>
        <w id="s1w2">loves</w>
        <w id="s1w3">Mary</w>
        <w id="s1w4">.</w>
      </tokens>
    </LM>
    <LM id="s2">
      <tokens>
       <w id="s2w1">He</w>
        <w id="s2w2">told</w>
       <w id="s2w3">her</w>
        <w id="s2w4">this</w>
        <w id="s2w5">Friday</w>
        < w id="s2w6">.</w>
      </tokens>
    </LM>
  </sentences>
</tokenization>
```

The schema and instance in Example B.13, "PML schema (example7\_schema.xml)" and Example B.14, "Sample instance (example7.xml)" show an annotation layer stacked over the previously defined tokenization layer. The relation between units on these layers, represented by PML references from the annotation layer to the tokenization layer, may in general be N to M. The references to the tokenization layer have role #KNIT, which indicates that applications may replace the member w.rf containing the list of references with the corresponding object from the lower layer (i.e. the w element).

#### Example B.13: PML schema

```
<?xml version="1.0"?>
<pml schema version="1.1" xmlns="http://ufal.mff.cuni.cz/pdt/pml/schema/">
 <description>
   Example of tree annotation over a tokenization layer
 </description>
 <reference name="tokenization" readas="dom"/>
 <root name="annotation">
    <sequence role="#TREES">
     <element name="S">
<container role="#NODE">
   <attribute name="sentence.rf">
     <cdata format="PMLREF"/>
  </attribute>
  <list ordered="1" role="#CHILDNODES" type="node.type"/>
</container>
      </element>
    </sequence>
 </root>
  <type name="node.type">
    <structure role="#NODE">
      <member as attribute="1" name="label">
        <choice>
          <value>S</value>
          <value>VP</value>
          <value>NP</value>
          <value>PP</value>
          <value>ADVP</value>
          <!-- etc. -->
        </choice>
      </member>
      <member name="w.rf">
        <list ordered="0" role="#KNIT" type="w.type">
          <cdata format="PMLREF"/>
        </list>
      </member>
      <member name="constituents" role="#CHILDNODES">
       <list ordered="1" type="node.type"/>
      </member>
    </structure>
  </type>
  <type name="w.type">
    <container>
      <attribute name="id" role="#ID" required="1">
        <cdata format="ID"/>
      </attribute>
      <cdata format="any"/>
    </container>
  </type>
</pml schema>
```

## **Example B.14: Sample instance**

```
<?xml version="1.0"?>
<annotation xmlns="http://ufal.mff.cuni.cz/pdt/pml/">
  <head>
    <schema href="example7 schema.xml"/>
    <references>
      <reffile name="tokenization" id="t" href="example6.xml"/>
    </references>
  </head>
  <S sentence.rf="s1">
    <LM label="NP"><w.rf>t#s1w1</w.rf></LM>
    <LM label="VP">
      <w.rf>t#s1w2</w.rf>
      <constituents label="NP">
        <w.rf>t#s1w3</w.rf>
      </constituents>
    </LM>
  </s>
  <S sentence.rf="s2">
    <LM label="NP"><w.rf>t#s2w1</w.rf></LM>
    <LM label="VP">
      <w.rf>t#s2w2</w.rf>
      <constituents>
        <LM label="NP"><w.rf>t#s2w3</w.rf></LM>
        <LM label="ADVP">
          <w.rf>
            <LM>t#s2w4</LM>
            <LM>t#s2w5</LM>
          </w.rf>
        </LM>
      </constituents>
    </LM>
  </s>
</annotation>
```

After knitting is applied on PML references in w.rf, the instance appears to the application as in Example B.15, "Sample instance after knitting (example7\_knit.xml)".

#### **Example B.15: Sample instance after knitting**

```
<?xml version="1.0"?>
<annotation xmlns="http://ufal.mff.cuni.cz/pdt/pml/">
 <head>
   <schema href="example7 schema.xml"/>
    <references>
     <reffile name="tokenization" id="t" href="example6.xml"/>
    </references>
  </head>
  <S sentence.rf="s1">
    <LM label="NP"><w id="s1w1">John</w></LM>
    <LM label="VP">
     <w id="s1w2">loves</w>
     <constituents label="NP">
       <w id="s1w3">Mary</w>
      </constituents>
    </LM>
  </s>
  <S sentence.rf="s2">
    <LM label="NP"><w id="s2w1">He</w></LM>
    <LM label="VP">
     <w id="s2w2">told</w>
      <constituents>
       <LM label="NP"><w id="s2w3">her</w></LM>
       <LM label="ADVP">
            <LM id="s2w4">this</LM>
            <LM id="s2w5">Friday</LM>
          </w>
      </constituents>
    </LM>
  </s>
</annotation>
```

# 5. Modular schemas

In the last schema example example7\_schema.xml, we have repeated the type w.type from example6\_schema.xml. In real-world cases, copy-paste repetitions lead to many maintainability issues and are not a good practice. Starting from version 1.1, PML provides support for modularization of PML schemas via import and derive instructions. Here is how example7 schema.xml schema could be rewritten using these features:

#### Example B.16: Modular version of the schema example 7 schema.xml

```
<?xml version="1.0"?>
<pml schema version="1.1" xmlns="http://ufal.mff.cuni.cz/pdt/pml/schema/">
 <revision>0.7</revision>
 <description>
    Example of tree annotation over a tokenization layer
  </description>
  <reference name="tokenization" readas="dom"/>
 <import type="w.type" schema="example6 schema.xml" revision="0.2"/>
  <!-- after DOM-knitting, #ID attribute contains a PMLREF -->
  <derive type="w.type">
    <container>
      <attribute name="id" role="#ID" required="1">
<cdata format="PMLREF"/>
      </attribute>
    </container>
  </derive>
  <root name="annotation" type="annotation.type"/>
 <type name="annotation.type">
    <sequence role="#TREES">
     <element name="S" type="S.type"/>
   </sequence>
  </type>
  <type name="S.type">
    <container role="#NODE">
      <attribute name="sentence.rf">
<cdata format="PMLREF"/>
      </attribute>
      <list ordered="1" role="#CHILDNODES" type="node.type"/>
    </container>
  </type>
  <type name="node.type">
    <structure role="#NODE">
     <member as attribute="1" name="label" type="label.type"/>
      <member name="w.rf">
        <list ordered="0" role="#KNIT" type="w.type">
          <cdata format="PMLREF"/>
       </list>
      </member>
      <member name="constituents" role="#CHILDNODES">
        <list ordered="1" type="node.type"/>
      </member>
    </structure>
  </type>
  <type name="label.type">
    <choice>
     <value>S</value>
     <value>VP</value>
     <value>NP</value>
     <value>PP</value>
      <value>ADVP</value>
      <!-- etc. -->
    </choice>
  </type>
</pml schema>
```

The modularity allows for extending the imported schema. Example B.17, "Extending PML schemas (example9\_schema.xml)." presents a PML schema based on example8\_schema.xml but largely extended. It also borrows a single type from example1 schema.xml.

## **Example B.17: Extending PML schemas**

```
<?xml version="1.0"?>
<pml schema version="1.1" xmlns="http://ufal.mff.cuni.cz/pdt/pml/schema/">
  <revision>0.1</revision>
  <description>
   Extended example of tree annotation over a tokenization layer
  </description>
  <reference name="tokenization" readas="dom"/>
  <import schema="example8 schema.xml"</pre>
          minimal revision="0.4"
          maximal_revision="1.0"/>
  <import schema="example1 schema.xml" type="meta.type"/>
  <derive type="annotation.type">
    <sequence content_pattern="meta, S+">
      <element name="meta" type="newmeta.type"/>
    </sequence>
  </derive>
  <derive type="S.type">
    <container>
      <attribute name="annotators comment">
       <cdata format="any"/>
      </attribute>
    </container>
  </derive>
  <derive type="meta.type" name="changes.type">
    <structure>
      <member name="id" role="#ID" as attribute="1" required="1">
        <cdata format="ID"/>
      <member name="desc"><cdata format="any"/></member>
    </structure>
  </derive>
  <derive type="label.type">
    <choice>
      <value>SDECL</value>
      <value>SIMP</value>
      <value>SQUEST</value>
      <delete>S</delete>
    </choice>
  </derive>
  <type name="newmeta.type">
    <structure>
      <member name="lang"><cdata format="any"/></member>
      <member name="changes"><list type="changes.type" ordered="1"/></member>
    </structure>
  </type>
</pml schema>
```

Modular PML schemas can be converted back to non-modular, simplified, PML schemas for easier processing. The schema in Example B.18, "Extending PML schemas (ex-



#### **Example B.18: Extending PML schemas**

```
<?xml version="1.0"?>
<!--
 Created by pml simplify on Sun Mar 14 10:23:16 2010
 Command-line: pml simlify examples/example9 schema.xml
<pml schema xmlns="http://ufal.mff.cuni.cz/pdt/pml/schema/" version="1.1">
 <revision>0.1</revision>
 <description>
   Extended example of tree annotation over a tokenization layer
 </description>
 <reference name="tokenization" readas="dom"/>
 <!-- ====== import schema="example8 schema.xml" ======= -->
 <root name="annotation" type="annotation.type"/>
 <type name="w.type">
   <container>
     <attribute name="id" role="#ID" required="1">
       <cdata format="PMLREF"/>
     </attribute>
     <cdata format="any"/>
   </container>
 </type>
 <type name="ID.type">
   <cdata format="ID"/>
 </type>
 <!-- ====== derived from annotation.type ======= -->
 <type name="annotation.type">
    <sequence role="#TREES" content pattern="meta, S+">
     <element name="S" type="S.type"/>
     <element name="meta" type="newmeta.type"/>
   </sequence>
 </type>
 <!-- ====== derived from S.type ======= -->
 <type name="S.type">
   <container role="#NODE">
     <attribute name="annotators comment">
       <cdata format="any"/>
     </attribute>
     <attribute name="sentence.rf">
       <cdata format="PMLREF"/>
     </attribute>
     <list ordered="1" role="#CHILDNODES" type="node.type"/>
   </container>
 </type>
 <type name="node.type">
    <structure role="#NODE">
     <member as attribute="1" name="label" type="label.type"/>
     <member name="w.rf">
       <list ordered="0" role="#KNIT" type="w.type">
         <cdata format="PMLREF"/>
       </list>
     </member>
     <member name="constituents" role="#CHILDNODES">
       <list ordered="1" type="node.type"/>
     </member>
   </structure>
 <!-- ======= derived from label.type ======= -->
 <type name="label.type">
```

```
<choice>
    <value>VP</value>
     <value>NP</value>
     <value>PP</value>
     <value>ADVP</value>
     <!-- etc. -->
     <value>SDECL</value>
     <value>SIMP</value>
     <value>SQUEST</value>
   </choice>
 </type>
 <!-- ======= import schema="example1 schema.xml" type="meta.type"======== -->
 <type name="meta.type">
   <structure>
    <member name="annotator">
      <cdata format="any"/>
    </member>
     <member name="datetime">
      <cdata format="any"/>
     </member>
   </structure>
 </type>
 <type name="newmeta.type">
   <structure>
    <member name="lang">
      <cdata format="any"/>
     </member>
     <member name="changes">
      <list type="changes.type" ordered="1"/>
     </member>
   </structure>
 </type>
 <!-- ====== derived from meta.type ======= -->
 <type name="changes.type">
   <structure>
    <member name="annotator">
      <cdata format="any"/>
    </member>
     <member name="datetime">
      <cdata format="any"/>
     <member name="id" role="#ID" as attribute="1" required="1">
      <cdata format="ID"/>
     </member>
     <member name="desc">
      <cdata format="any"/>
     </member>
   </structure>
 </type>
</pml schema>
```