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1. (1%) 實作early-stopping, 繪製training, validation loss/acc 的 learning curve, 比較實作前後的差異, 並說明early-stopping的運作機制 <code>

```
if loss_valid < loss_min:
    loss_min = loss_valid
    early_stop = 0

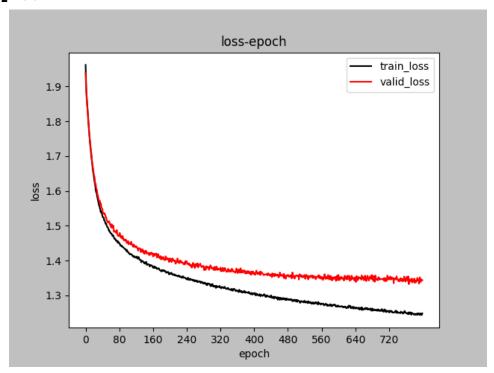
else:
    early_stop += 1
    if early_stop == args.early_stop:
        print("early stop! epoch = %5d, train_loss = %3.5f,
valid_loss = %3.5f, acc = %1.5f" % (epoch, loss_ave, loss_valid,
accuracy))

    break</pre>
```

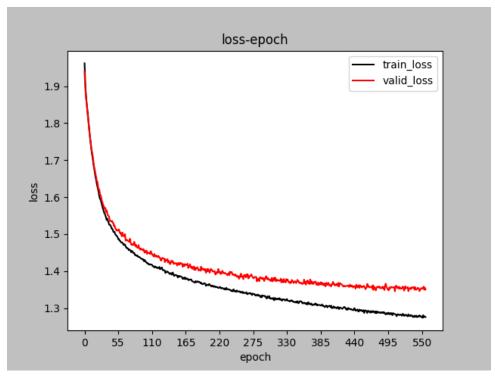
## 運作機制:

每一 epoch training 結束後,對 valid dataset 計算平均 loss 得到 loss\_valid。訓練開始時有預設 loss\_min (一開始給一個大值),當 loss\_valid 比 loss\_min 大時,early\_stop 計數器 +1,當 loss\_valid 比 loss\_min 小時,early\_stop 計數器歸零,且 loss\_min 更新等於 loss\_valid。若early\_stop 計數器持續增加,代表 training 卡住或是 overfitting,直到early\_stop 數與預設的 args.early\_stop 數一樣時,中止訓練。

## <loss plot>



<no early stop>



<early stop>

## 差異:

沒有 early stop 時,到最後雖然training loss在下降,但 valid loss 會不斷上升,越來越 overfit training data 不夠 generalize。有 early stop 則可以在開始上升的時候結束訓練,不浪費時間。

2. (1%) 嘗試使用 augmentation, 說明實作細節並比較有無該 trick 對結果表現的影響(validation 或是 testing 擇一即可), 且需說明為何使用這些 augmentation的原因。

(ref: https://pytorch.org/vision/stable/transforms.html)

traick: RandomHorizontalFlip(p=0.5)

## 使用原因:

因**為**資料集中人**臉**都是頭頂朝上**,**人像左右翻轉能**夠**增加圖片多樣性**,**而上下 **顛**倒就可能與此資料集較不相關。

## <valid loss>

for Cnn model in 800 epoch, early\_stop = 50

aug:

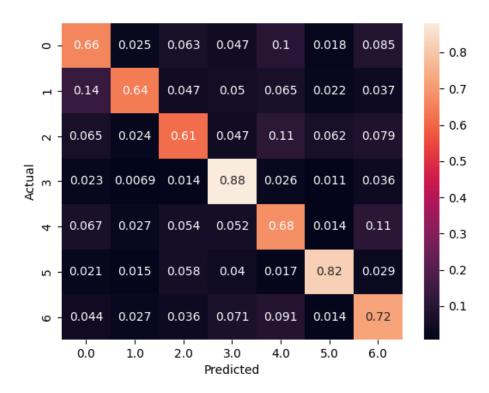
epoch = 481, train\_loss = 1.28932, valid\_loss = 1.35828, acc = 0.49151

no aug:

epoch = 473, train\_loss = 1.24919, valid\_loss = 1.38488, acc = 0.48090

3. (1%) 畫出 confusion matrix 分析哪些類別的圖片容易使 model 搞混,找出模型 出錯的例子,並分析可能的原因。

(ref: https://en.wikipedia.org/wiki/Confusion matrix)



## **<**分析>

模型在 classl 判别時,有 14% 判成 class 0, 厭惡會判成生氣。



<class 1>

<class0>

可能原因是厭惡表情在眼部特徵與生氣相像,且又無其他特別於生氣的特徵, 另一原因是厭惡的資料數量較少,約為生氣的1/9,模型易偏向增加生氣的機率值。

4. (1%) 請統計訓練資料中不同類別的數量比例, 並說明:

對 testing 或是 validation 來說,不針對特定類別,直接選擇機率最大的類別會是最好的結果嗎?

(ref: <a href="https://arxiv.org/pdf/1608.06048.pdf">https://arxiv.org/pdf/1608.06048.pdf</a>, or hints: imbalanced classification)

<Ans> Training data + {0: 3579, 1: 402, 2: 3736, 3: 6525, 4: 4321, 5: 2872, 6: 4452}

直接選擇機率大的可能會造成模型偏頗, classl size 約為其他 class size 的 1/10, 需要在 crossentropyloss 中加上 weight 來平衡。

## 5. (4%)Refer to math problem

 $\frac{https://hackmd.io/@lH2AB7kCSAS3NPw2FffsGg/r1otQp7Gi?fbclid=IwAR0cs5CajVy\_zhD}{mHEDgze2V1\_Jlxp95N45BF6hg1l6CgG-6IViYGAIGReE}$ 

# Hw2-math

## **Problem 1 (1%)**

Consider a generative classification model of K classes  $C_1,...,C_K$  described by prior probabilities  $p(C_k)=\pi_k$  and conditional probabilities  $p(x|C_k)$ , where x is the input feature vector. Suppose we are given a training data set  $((x_i,t_i))_{i=1}^N$  where i=1,...,N, and  $t_i=(t_i^1,...,t_i^K)\in\{0,1\}^K$  is the 1-of-K encoding given by

$$t_i^k = \left\{egin{array}{ll} 1 & ext{, if the $i$'th pattern is from class $C_k$} \\ 0 & ext{, otherwise} \end{array}
ight.$$

Assume the data points are drawn independently from this model. Show that the maximum-likelihood solution for the prior probabilities is given by

$$\pi_k = rac{N_k}{N}$$

where  $N_k$  is the number of data points belonging to class  $C_k$ .

<Ans>

$$p(arphi_n,C_j)=p(arphi_n|C_j)p(C_j)$$
 (chain rule) $p(t_i,arphi_i|\pi)=\Pi_{j=1}^K(\pi_jp(arphi_i|C_j))^{t_{nj}}$ 

get loss likelihood

$$\ln p(D|\pi) = \ln p(t,\Phi|\pi) = \sum_{n=1}^N \sum_{j=1}^K t_n j (ln(\pi_j) + ln(p(arphi_n|C_j)))$$

use 
$$\sum_{j=1}^K \pi_j = 1$$
 and lagrange function

1. 
$$\sum_{n=1}^N rac{t_n^k}{\pi_k} + \lambda = rac{N_k}{\pi_k} + \lambda = 0$$

$$egin{aligned} & o \pi_k = -N_k/\lambda \ & ext{2. } \sum_{j=1}^K \pi_j = /cfrac - 1\lambda \sum_{j=1}^K N_j = 1 \ & o \lambda = -N \ & ext{ } \therefore \pi_k = rac{-N_k}{-N} \end{aligned}$$

# **Problem 2 (1%)**

a.<proof>

$$egin{aligned} f(w) &= w^T A w \ f(w+h) - f(w) &= (w+h)^T A (w+h) - w^T A w \ &= w^T A w + w^T A h + h^T A w + h^T A h - w^T A w \ &= h^T (A^T w + A w) + c \end{aligned}$$

$$\therefore v = A^T w + A w$$

and for A is symmetric matrix  $A^T = A$ , v = 2Aw

b.<proof>

$$rac{\partial tr(AB)}{\partial a_{ij}} = rac{\partial (\sum_{i=1}^{m}\sum_{j=1}^{m}a_{ij}b_{ji})}{\partial a_{ij}} = b_{ji}$$

C.

## Reference

1. Pattern Recognition and Machine Learning 2006