## nndl-l-vinay-kumar-reddy-126-lab-3

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#1. Data Preprocessing:#

Load the CIFAR-10 dataset.

Perform necessary data preprocessing steps:

Normalize pixel values to range between 0 and 1.

Convert class labels into one-hot encoded format.

Split the dataset into training and test sets (e.g., 50,000 images for training and 10,000 for testing).

Optionally, apply data augmentation techniques (such as random flips, rotations, or shifts) to improve the generalization of the model.

```
[2]: import tensorflow as tf
     from tensorflow.keras.datasets import cifar10
     from tensorflow.keras.utils import to_categorical
     from sklearn.model_selection import train_test_split
     # Load the CIFAR-10 dataset
     (x_train, y_train), (x_test, y_test) = cifar10.load_data()
     # Normalize pixel values
     x_train = x_train.astype('float32') / 255.0
     x_test = x_test.astype('float32') / 255.0
     # Convert class labels to one-hot encoded format
     y_train = to_categorical(y_train, 10)
     y_test = to_categorical(y_test, 10)
     # Flatten the input data for ANN (from 32x32x3 to 3072)
     x_{train} = x_{train.reshape}(-1, 32*32*3)
     x_{test} = x_{test.reshape}(-1, 32*32*3)
     print("Training data shape", x_train.shape)
     print("Training labels shape", y_train.shape)
     print("Testing data shape", x_test.shape)
     print("Testing labels shape", y_test.shape)
```

Training data shape (50000, 3072)

```
Training labels shape (50000, 10)
Testing data shape (10000, 3072)
Testing labels shape (10000, 10)
```

**Normalization**: Scale pixel values to a range between 0 and 1.

One-Hot Encoding: Convert the class labels into one-hot encoded format for multi-class classification.

**Data Splitting:** Split the dataset into training (50,000) and testing (10,000) images.

#2. Network Architecture Design:#

Design a feedforward neural network to classify the images.

Input Layer: The input shape should match the 32x32x3 dimensions of the CIFAR-10 images.

Hidden Layers: Use appropriate layers.

Output Layer: The final layer should have 10 output neurons (one for each class) with a softmax activation function for multi-class classification.

```
[3]: from tensorflow.keras.models import Sequential
  from tensorflow.keras.layers import Dense, Dropout

# Define the ANN model
  model = Sequential()

# Input layer (3072 features from the 32x32x3 image)
  model.add(Dense(512, activation='relu', input_shape=(32*32*3,)))

# Hidden layers with ReLU and Dropout for regularization
  model.add(Dense(256, activation='relu'))
  model.add(Dropout(0.5)) # Dropout to avoid overfitting

model.add(Dense(128, activation='tanh'))
  model.add(Dropout(0.5))

# Output layer (10 classes with softmax for multi-class classification)
  model.add(Dense(10, activation='softmax'))

# Display model summary
  model.summary()
```

/usr/local/lib/python3.10/dist-packages/keras/src/layers/core/dense.py:87: UserWarning: Do not pass an `input\_shape`/`input\_dim` argument to a layer. When using Sequential models, prefer using an `Input(shape)` object as the first layer in the model instead.

```
super().__init__(activity_regularizer=activity_regularizer, **kwargs)
```

Model: "sequential"

Layer (type) ⊖Param #	Output Shape	Ш
dense (Dense) →1,573,376	(None, 512)	ш
dense_1 (Dense) →131,328	(None, 256)	ш
<pre>dropout (Dropout)  → 0</pre>	(None, 256)	Ц
dense_2 (Dense)  32,896	(None, 128)	Ц
<pre>dropout_1 (Dropout)  → 0</pre>	(None, 128)	Ц
dense_3 (Dense) ⇔1,290	(None, 10)	Ц

Total params: 1,738,890 (6.63 MB)

Trainable params: 1,738,890 (6.63 MB)

Non-trainable params: 0 (0.00 B)

Input Layer: 32x32x3 (RGB image).

Convolutional Layers: To detect patterns like edges, colors, or textures.

Pooling Layers: To downsample the image and reduce complexity.

Fully Connected Layers: To classify the extracted features into categories.

 $Output\ Layer$ : 10 neurons with softmax activation for multi-class classification.

## Justification

Convolutional layers help in automatically learning filters for feature extraction.

Pooling layers reduce the number of parameters and computational load.

Fully connected layers consolidate the extracted features into final class scores.

#3. Activation Functions

ReLU (Rectified Linear Unit) is efficient for preventing the vanishing gradient problem during backpropagation by allowing faster learning.

tanh ensures that the values are centered around zero, which can improve convergence in some cases.

```
[4]: # No change needed in the previous code as ReLU is already used.
```

## Role in Backpropagation:

ReLU: ReLU mitigates the vanishing gradient problem (which is common with Sigmoid and Tanh) because its gradient does not saturate (except for the zero output case). ReLU deactivates neurons when the input is negative (output is 0), making the model sparse and more computationally efficient.

tanh: can be useful in cases where the input data is centered around zero, but it may suffer from the vanishing gradient problem in deeper layers.

#4. Loss Function and Optimizer

The most suitable loss function for multi-class classification is categorical crossentropy. You could compare this with:

Mean Squared Error (MSE): Not ideal for classification but used to compare performance.

Sparse Categorical Crossentropy: Another variant of cross-entropy when the labels are integers.

Use Adam optimizer due to its adaptive learning rate and ability to handle sparse gradients.

Effect of Optimizer & Learning Rate:

Adam adjusts the learning rate dynamically, leading to faster convergence.

If the model isn't converging, reduce the learning rate to allow for finer updates.

#5. Training the Model:

Implement backpropagation to update the weights and biases of the network during training.

Train the model for a fixed number of epochs (e.g., 50 epochs) and monitor the training and validation accuracy.

```
[6]: # Train the model (batch size of 64 and 50 epochs)
history = model.fit(x_train, y_train, batch_size=64, epochs=50,

validation_data=(x_test, y_test))
```

```
Epoch 1/50
782/782
11s 8ms/step -
accuracy: 0.1552 - loss: 2.6320 - val_accuracy: 0.3099 - val_loss: 1.8767
Epoch 2/50
782/782
3s 3ms/step -
```

```
accuracy: 0.3168 - loss: 1.8655 - val_accuracy: 0.3550 - val_loss: 1.7682
Epoch 3/50
782/782
                   2s 3ms/step -
accuracy: 0.3337 - loss: 1.8257 - val_accuracy: 0.3673 - val_loss: 1.7387
Epoch 4/50
782/782
                   3s 3ms/step -
accuracy: 0.3409 - loss: 1.7992 - val accuracy: 0.3733 - val loss: 1.7248
Epoch 5/50
782/782
                   2s 3ms/step -
accuracy: 0.3614 - loss: 1.7763 - val_accuracy: 0.3783 - val_loss: 1.7143
Epoch 6/50
782/782
                   3s 3ms/step -
accuracy: 0.3620 - loss: 1.7554 - val_accuracy: 0.3723 - val_loss: 1.7151
Epoch 7/50
782/782
                   3s 3ms/step -
accuracy: 0.3685 - loss: 1.7403 - val_accuracy: 0.3816 - val_loss: 1.6966
Epoch 8/50
782/782
                   2s 3ms/step -
accuracy: 0.3773 - loss: 1.7181 - val_accuracy: 0.4048 - val_loss: 1.6604
Epoch 9/50
                   3s 4ms/step -
782/782
accuracy: 0.3838 - loss: 1.7164 - val accuracy: 0.4040 - val loss: 1.6692
Epoch 10/50
782/782
                   4s 3ms/step -
accuracy: 0.3877 - loss: 1.6961 - val_accuracy: 0.4022 - val_loss: 1.6640
Epoch 11/50
782/782
                   2s 3ms/step -
accuracy: 0.3951 - loss: 1.6878 - val_accuracy: 0.3919 - val_loss: 1.6895
Epoch 12/50
782/782
                   2s 3ms/step -
accuracy: 0.3949 - loss: 1.6804 - val_accuracy: 0.4141 - val_loss: 1.6362
Epoch 13/50
782/782
                   3s 3ms/step -
accuracy: 0.4059 - loss: 1.6702 - val_accuracy: 0.4128 - val_loss: 1.6388
Epoch 14/50
782/782
                   2s 3ms/step -
accuracy: 0.4036 - loss: 1.6684 - val accuracy: 0.4139 - val loss: 1.6243
Epoch 15/50
782/782
                   2s 3ms/step -
accuracy: 0.4089 - loss: 1.6511 - val_accuracy: 0.4078 - val_loss: 1.6748
Epoch 16/50
782/782
                   2s 3ms/step -
accuracy: 0.4052 - loss: 1.6561 - val_accuracy: 0.4153 - val_loss: 1.6438
Epoch 17/50
782/782
                   2s 3ms/step -
accuracy: 0.4157 - loss: 1.6421 - val_accuracy: 0.4179 - val_loss: 1.6238
Epoch 18/50
782/782
                   3s 3ms/step -
```

```
accuracy: 0.4130 - loss: 1.6383 - val_accuracy: 0.4208 - val_loss: 1.6243
Epoch 19/50
782/782
                   3s 3ms/step -
accuracy: 0.4124 - loss: 1.6362 - val_accuracy: 0.4262 - val_loss: 1.6074
Epoch 20/50
782/782
                   5s 3ms/step -
accuracy: 0.4175 - loss: 1.6288 - val accuracy: 0.4240 - val loss: 1.6126
Epoch 21/50
782/782
                   3s 3ms/step -
accuracy: 0.4145 - loss: 1.6290 - val_accuracy: 0.4254 - val_loss: 1.5939
Epoch 22/50
782/782
                   2s 3ms/step -
accuracy: 0.4208 - loss: 1.6191 - val_accuracy: 0.4254 - val_loss: 1.6119
Epoch 23/50
782/782
                   3s 3ms/step -
accuracy: 0.4202 - loss: 1.6188 - val_accuracy: 0.4384 - val_loss: 1.5773
Epoch 24/50
782/782
                   2s 3ms/step -
accuracy: 0.4231 - loss: 1.6113 - val_accuracy: 0.4421 - val_loss: 1.5734
Epoch 25/50
782/782
                   2s 3ms/step -
accuracy: 0.4260 - loss: 1.6054 - val accuracy: 0.4206 - val loss: 1.6191
Epoch 26/50
782/782
                   3s 3ms/step -
accuracy: 0.4204 - loss: 1.6215 - val_accuracy: 0.4220 - val_loss: 1.6222
Epoch 27/50
782/782
                   3s 3ms/step -
accuracy: 0.4280 - loss: 1.6083 - val_accuracy: 0.4305 - val_loss: 1.5984
Epoch 28/50
782/782
                   3s 3ms/step -
accuracy: 0.4291 - loss: 1.5953 - val_accuracy: 0.4320 - val_loss: 1.5823
Epoch 29/50
782/782
                   5s 3ms/step -
accuracy: 0.4258 - loss: 1.6027 - val_accuracy: 0.4364 - val_loss: 1.5797
Epoch 30/50
782/782
                   3s 3ms/step -
accuracy: 0.4298 - loss: 1.5961 - val accuracy: 0.4288 - val loss: 1.5952
Epoch 31/50
782/782
                   2s 3ms/step -
accuracy: 0.4295 - loss: 1.5993 - val_accuracy: 0.4325 - val_loss: 1.6086
Epoch 32/50
782/782
                   4s 5ms/step -
accuracy: 0.4334 - loss: 1.5843 - val_accuracy: 0.4437 - val_loss: 1.5594
Epoch 33/50
782/782
                   2s 3ms/step -
accuracy: 0.4351 - loss: 1.5852 - val_accuracy: 0.4344 - val_loss: 1.5834
Epoch 34/50
782/782
                   2s 3ms/step -
```

```
accuracy: 0.4342 - loss: 1.5794 - val_accuracy: 0.4422 - val_loss: 1.5607
Epoch 35/50
782/782
                   3s 4ms/step -
accuracy: 0.4366 - loss: 1.5766 - val_accuracy: 0.4275 - val_loss: 1.5992
Epoch 36/50
782/782
                   2s 3ms/step -
accuracy: 0.4330 - loss: 1.5863 - val accuracy: 0.4488 - val loss: 1.5587
Epoch 37/50
782/782
                   3s 4ms/step -
accuracy: 0.4375 - loss: 1.5689 - val_accuracy: 0.4350 - val_loss: 1.6005
Epoch 38/50
782/782
                   2s 3ms/step -
accuracy: 0.4388 - loss: 1.5768 - val_accuracy: 0.4476 - val_loss: 1.5720
Epoch 39/50
782/782
                   2s 3ms/step -
accuracy: 0.4368 - loss: 1.5705 - val_accuracy: 0.4393 - val_loss: 1.5753
Epoch 40/50
782/782
                   3s 3ms/step -
accuracy: 0.4363 - loss: 1.5720 - val_accuracy: 0.4476 - val_loss: 1.5504
Epoch 41/50
782/782
                   2s 3ms/step -
accuracy: 0.4373 - loss: 1.5691 - val accuracy: 0.4114 - val loss: 1.6363
Epoch 42/50
782/782
                   3s 3ms/step -
accuracy: 0.4417 - loss: 1.5624 - val_accuracy: 0.4354 - val_loss: 1.5883
Epoch 43/50
782/782
                   5s 3ms/step -
accuracy: 0.4459 - loss: 1.5598 - val_accuracy: 0.4395 - val_loss: 1.5676
Epoch 44/50
782/782
                   3s 3ms/step -
accuracy: 0.4401 - loss: 1.5594 - val_accuracy: 0.4401 - val_loss: 1.5761
Epoch 45/50
782/782
                   3s 3ms/step -
accuracy: 0.4470 - loss: 1.5567 - val_accuracy: 0.4349 - val_loss: 1.5849
Epoch 46/50
782/782
                   3s 3ms/step -
accuracy: 0.4470 - loss: 1.5523 - val accuracy: 0.4449 - val loss: 1.5622
Epoch 47/50
782/782
                   2s 3ms/step -
accuracy: 0.4447 - loss: 1.5530 - val_accuracy: 0.4425 - val_loss: 1.5735
Epoch 48/50
782/782
                   2s 3ms/step -
accuracy: 0.4484 - loss: 1.5519 - val_accuracy: 0.4499 - val_loss: 1.5479
Epoch 49/50
782/782
                   2s 3ms/step -
accuracy: 0.4513 - loss: 1.5453 - val_accuracy: 0.4449 - val_loss: 1.5692
Epoch 50/50
782/782
                   2s 3ms/step -
```

```
accuracy: 0.4503 - loss: 1.5412 - val_accuracy: 0.4500 - val_loss: 1.5541
```

Backpropagation & Learning Rate:

313/313

Backpropagation updates the weights in each layer by calculating the gradient of the loss with respect to the weights and adjusting them using the learning rate.

The learning rate determines how large these weight updates are. If it's too high, the model may overshoot optimal points; if too low, it might converge slowly.

#6. Model Evaluation: After training, evaluate the performance of your model on the test set.

Calculate accuracy, precision, recall, F1-score, and the confusion matrix to understand the model's classification performance.

```
[7]: from sklearn.metrics import classification_report, confusion_matrix

# Evaluate the model

test_loss, test_acc = model.evaluate(x_test, y_test)

# Get predictions

y_pred = model.predict(x_test)

y_pred_classes = y_pred.argmax(axis=1)

y_true = y_test.argmax(axis=1)

# Classification report

print(classification_report(y_true, y_pred_classes))

# Confusion matrix

print(confusion_matrix(y_true, y_pred_classes))
```

accuracy: 0.4525 - loss: 1.5387					
313/313 1s 3ms/step					
	precision	recall	f1-score	support	
0	0.45	0.55	0.50	1000	
1	0.58	0.56	0.57	1000	
2	0.36	0.17	0.23	1000	
3	0.30	0.35	0.32	1000	
4	0.42	0.32	0.36	1000	
5	0.41	0.32	0.36	1000	
6	0.48	0.52	0.50	1000	
7	0.43	0.60	0.50	1000	
8	0.50	0.67	0.57	1000	
9	0.52	0.46	0.49	1000	
acy			0.45	10000	
avg	0.45	0.45	0.44	10000	
avg	0.45	0.45	0.44	10000	
	0 1 2 3 4 5 6 7 8 9	1s 3ms, precision  0 0.45 1 0.58 2 0.36 3 0.30 4 0.42 5 0.41 6 0.48 7 0.43 8 0.50 9 0.52  acy avg 0.45	1s 3ms/step precision recall  0 0.45 0.55 1 0.58 0.56 2 0.36 0.17 3 0.30 0.35 4 0.42 0.32 5 0.41 0.32 6 0.48 0.52 7 0.43 0.60 8 0.50 0.67 9 0.52 0.46  acy avg 0.45 0.45	1s 3ms/step precision recall f1-score  0 0.45 0.55 0.50 1 0.58 0.56 0.57 2 0.36 0.17 0.23 3 0.30 0.35 0.32 4 0.42 0.32 0.36 5 0.41 0.32 0.36 6 0.48 0.52 0.50 7 0.43 0.60 0.50 8 0.50 0.67 0.57 9 0.52 0.46 0.49  acy avg 0.45 0.45 0.44	

1s 1ms/step -

```
[[549
                                            45]
       32
            28
                34
                     19
                          11
                              14
                                   64 204
 [ 68 559
            11
                42
                     14
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                                   31 110 140]
[142
       32 166 102 148
                         76 138 142
                                       36
                                            18]
       28
            46 350
                     55 164 106 118
                                            41]
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                                       46
 Γ 80
            84
                89 318
                         52 144 173
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                     45 317
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                                   15 668
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[ 55 192
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                      2
                         17
                              15
                                   59 146 462]]
```

How to Improve Performance:

Data Augmentation: Introduce variations in the data to reduce overfitting.

More Complex Architectures: Add more layers or filters to improve feature extraction.

#7. Optimization Strategies **Early Stopping**: Stop training when validation accuracy no longer improves.

Learning Rate Scheduling: Gradually decrease the learning rate to allow finer convergence.

Weight Initialization: Start with weights near zero, but not zero, to ensure symmetry breaking and efficient learning.

## Weight Initialization Importance:

Poor initialization can cause vanishing/exploding gradients.

Techniques like He initialization for ReLU layers can help achieve faster convergence.