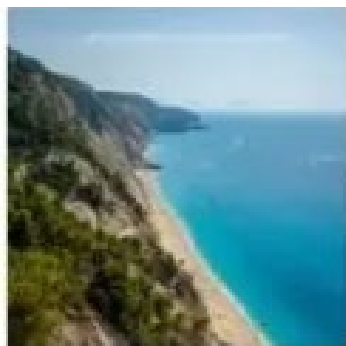


# Diffusion Model Intro

2015年Google发布Deep Dream



2016年提出Diffusion Models



2022 年3月 Midjourney



2022 年 4 月OpenAI 发布DALL-E 2

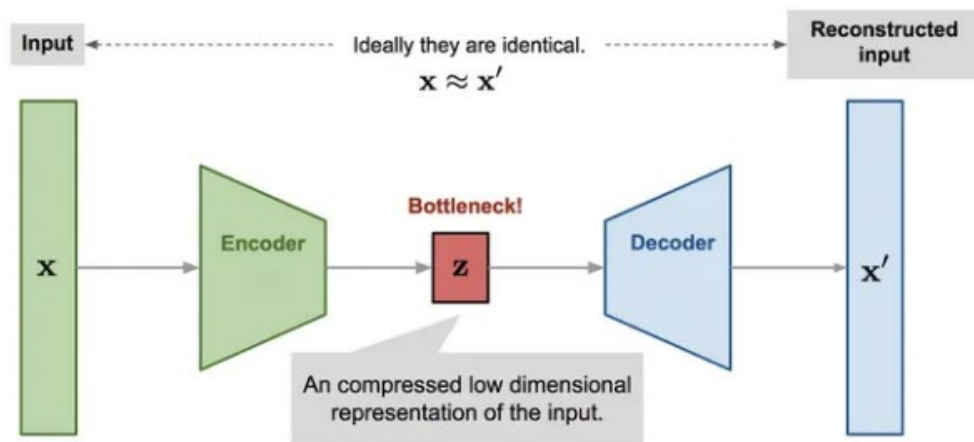


2022年7月 发布Stable diffusion

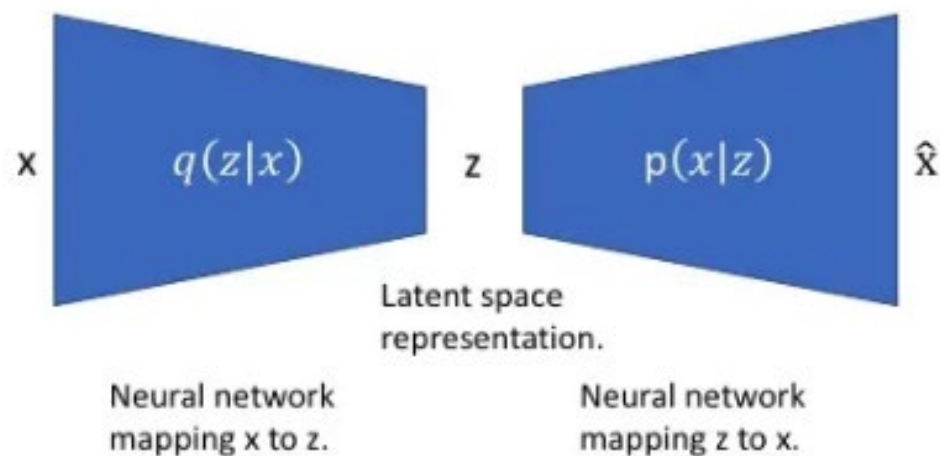


在 AI 艺术生成器的发展进程中，**DeepDream** 和 **DALLE** 是两个具有里程碑意义的模型。DeepDream 根据神经网络学到的表征来生成图像。而 DALLE 结合了将图像映射到低维标记的离散变分自编码器（dVAE）和自回归建模文本和图像词元的 Transformer 模型。

## 1.AutoEncoder



## 2.VAE



VAE损失函数推导:

$$\begin{aligned}
 & KL(q_{\theta}(z|x) || p(z|x)) \\
 &= \int q_{\theta}(z|x) \ln \frac{q_{\theta}(z|x)}{p(z|x)} dz \\
 &= \mathbb{E}_{z \sim q_{\theta}(z|x)} \left[ \ln \frac{q_{\theta}(z|x)}{p(z|x)} \right] \\
 &= \mathbb{E}_{z \sim q_{\theta}(z|x)} [\ln q_{\theta}(z|x) - \ln p(z|x)] \\
 &= \mathbb{E}_{z \sim q_{\theta}(z|x)} \left[ \ln q_{\theta}(z|x) - \ln \frac{p(x|z)p(z)}{p(x)} \right] \\
 &= \mathbb{E}_{z \sim q_{\theta}(z|x)} [\ln q_{\theta}(z|x) - \ln p(z) - \ln p(x|z)] + \ln p(x) \\
 &= KL(q_{\theta}(z|x) || p(z)) - \mathbb{E}_{z \sim q_{\theta}(z|x)} [\ln p(x|z)] + \ln p(x)
 \end{aligned}$$

整理后得到:

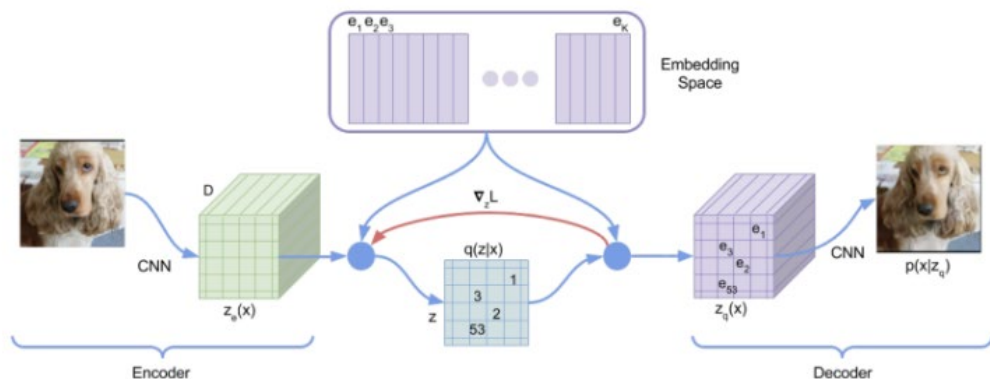
$$\ln p(x) - KL(q_{\theta}(z|x) || p(z|x)) = \mathbb{E}_{z \sim q_{\theta}(z|x)} [\ln p(x|z)] - KL(q_{\theta}(z|x) || p(z))$$

$$\ln p(x) \geq ELBO, \text{ 其中 } ELBO = \mathbb{E}_{z \sim q_{\theta}(z|x)} [\ln p(x|z)] - KL(q_{\theta}(z|x) || p(z))$$

为了方便我们假设  $p(z) \sim \text{Normal}$

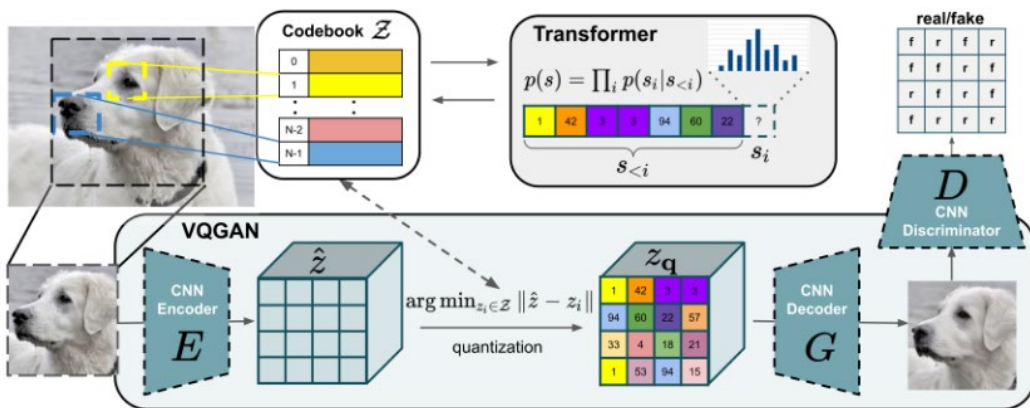
$$KL(q_{\theta}(z|x) || \mathcal{N}(0, I)) = \frac{1}{2} (-\ln \sigma^2 + \sigma^2 + \mu^2 - 1)$$

### 3.VQ-VAE



损失函数为  $\mathcal{L}_{VQ}(E, G, Z) = \|x - \hat{x}\|^2 + \|sg[E(x)] - z_q\|_2^2 + \beta \|sg[z_q] - E(x)\|_2^2$

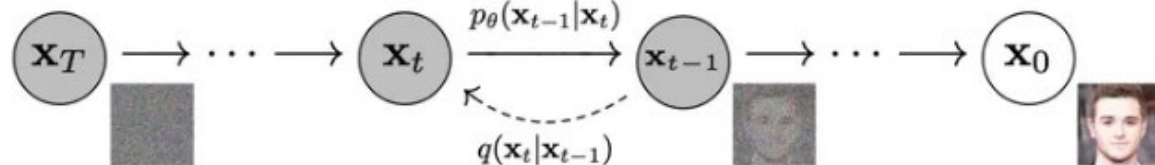
### 4.VQGAN



损失函数在VQVAE模型的损失函数上加上一部分

$$\mathcal{L}_{GAN}(\{E, G, Z\}, D) = [\log D(x) + \log(1 - D(\hat{x}))]$$

### 5.DDPM



加噪过程:  $q(\mathbf{x}_t|\mathbf{x}_{t-1}) = \mathcal{N}(\mathbf{x}_t; \sqrt{1 - \beta_t}\mathbf{x}_{t-1}, \beta_t\mathbf{I})$

可推导出  $q(\mathbf{x}_t|\mathbf{x}_0) = \mathcal{N}(\mathbf{x}_t; \sqrt{\bar{\alpha}_t}\mathbf{x}_0, (1 - \bar{\alpha}_t)\mathbf{I})$

去噪过程:  $q(\mathbf{x}_{t-1}|\mathbf{x}_t, \mathbf{x}_0) = \mathcal{N}(\mathbf{x}_{t-1}; \tilde{\mu}(\mathbf{x}_t, \mathbf{x}_0), \tilde{\beta}_t\mathbf{I})$

$$\tilde{\beta}_t = \frac{1 - \bar{\alpha}_{t-1}}{1 - \bar{\alpha}_t} \cdot \beta_t \quad \tilde{\mu}_t(\mathbf{x}_t, \mathbf{x}_0) = \frac{\sqrt{\alpha_t}(1 - \bar{\alpha}_{t-1})}{1 - \bar{\alpha}_t} \mathbf{x}_t + \frac{\sqrt{\bar{\alpha}_{t-1}}\beta_t}{1 - \bar{\alpha}_t} \mathbf{x}_0$$

用网络去拟合去噪过程

$$\begin{aligned} \log p_\theta(\mathbf{x}_0) &= \log \int p_\theta(\mathbf{x}_{0:T}) d\mathbf{x}_{1:T} \\ &= \log \int \frac{p_\theta(\mathbf{x}_{0:T}) q(\mathbf{x}_{1:T}|\mathbf{x}_0)}{q(\mathbf{x}_{1:T}|\mathbf{x}_0)} d\mathbf{x}_{1:T} \\ &\geq \mathbb{E}_{q(\mathbf{x}_{1:T}|\mathbf{x}_0)} [\log \frac{p_\theta(\mathbf{x}_{0:T})}{q(\mathbf{x}_{1:T}|\mathbf{x}_0)}] \end{aligned}$$

$$L = -L_{VLB} = \mathbb{E}_{q(\mathbf{x}_{1:T}|\mathbf{x}_0)} [-\log \frac{p_\theta(\mathbf{x}_{0:T})}{q(\mathbf{x}_{1:T}|\mathbf{x}_0)}] = \mathbb{E}_{q(\mathbf{x}_{1:T}|\mathbf{x}_0)} [\log \frac{q(\mathbf{x}_{1:T}|\mathbf{x}_0)}{p_\theta(\mathbf{x}_{0:T})}]$$



$$= \underbrace{D_{\text{KL}}(q(\mathbf{x}_T|\mathbf{x}_0) \parallel p_\theta(\mathbf{x}_T))}_{L_T} + \sum_{t=2}^T \underbrace{\mathbb{E}_{q(\mathbf{x}_t|\mathbf{x}_0)} \left[ D_{\text{KL}}(q(\mathbf{x}_{t-1}|\mathbf{x}_t, \mathbf{x}_0) \parallel p_\theta(\mathbf{x}_{t-1}|\mathbf{x}_t)) \right]}_{L_{t-1}} - \underbrace{\mathbb{E}_{q(\mathbf{x}_1|\mathbf{x}_0)} \log p_\theta(\mathbf{x}_0|\mathbf{x}_1)}_{L_0}$$

$$L_{t-1} = \mathbb{E}_{\mathbf{x}_0, \epsilon \sim \mathcal{N}(\mathbf{0}, \mathbf{I})} \left[ \frac{1}{2\sigma_t^2} \left\| \frac{1}{\sqrt{\alpha_t}} \left( \mathbf{x}_t(\mathbf{x}_0, \epsilon) - \frac{\beta_t}{\sqrt{1-\bar{\alpha}_t}} \epsilon \right) - \boldsymbol{\mu}_\theta(\mathbf{x}_t(\mathbf{x}_0, \epsilon), t) \right\|^2 \right]$$

$$= \mathbb{E}_{\mathbf{x}_0, \epsilon \sim \mathcal{N}(\mathbf{0}, \mathbf{I})} \left[ \frac{\beta_t^2}{2\sigma_t^2 \alpha_t (1 - \bar{\alpha}_t)} \left\| \epsilon - \epsilon_\theta(\mathbf{x}_t(\mathbf{x}_0, \epsilon), t) \right\|^2 \right]$$

$$= \mathbb{E}_{\mathbf{x}_0, \epsilon \sim \mathcal{N}(\mathbf{0}, \mathbf{I})} \left[ \frac{\beta_t^2}{2\sigma_t^2 \alpha_t (1 - \bar{\alpha}_t)} \left\| \epsilon - \epsilon_\theta(\sqrt{\bar{\alpha}_t} \mathbf{x}_0 + \sqrt{1 - \bar{\alpha}_t} \epsilon, t) \right\|^2 \right]$$

$$L_{t-1}^{\text{simple}} = \mathbb{E}_{\mathbf{x}_0, \epsilon \sim \mathcal{N}(\mathbf{0}, \mathbf{I})} \left[ \left\| \epsilon - \epsilon_\theta(\sqrt{\bar{\alpha}_t} \mathbf{x}_0 + \sqrt{1 - \bar{\alpha}_t} \epsilon, t) \right\|^2 \right]$$

## 6.DDIM

$$q(\mathbf{x}_{t-1}|\mathbf{x}_t, \mathbf{x}_0) = \mathcal{N}(\mathbf{x}_{t-1}; \frac{\sqrt{\alpha_t}(1 - \bar{\alpha}_{t-1})}{1 - \bar{\alpha}_t} \mathbf{x}_t + \frac{\sqrt{\bar{\alpha}_{t-1}}\beta_t}{1 - \bar{\alpha}_t} \mathbf{x}_0, \frac{1 - \bar{\alpha}_{t-1}}{1 - \bar{\alpha}_t} \cdot \beta_t \mathbf{I})$$

修改后  $q(\mathbf{x}_{t-1}|\mathbf{x}_t, \mathbf{x}_0) = \mathcal{N}(\mathbf{x}_{t-1}; \sqrt{\bar{\alpha}_{t-1}} \mathbf{x}_0 + \sqrt{1 - \bar{\alpha}_{t-1} - \tilde{\beta}_t} \cdot \frac{\mathbf{x}_t - \sqrt{\bar{\alpha}_t} \mathbf{x}_0}{\sqrt{1 - \bar{\alpha}_t}}, \tilde{\beta}_t \mathbf{I})$

其中  $\tilde{\beta}_t(\eta) = \eta \frac{(1 - \bar{\alpha}_{t-1})}{(1 - \bar{\alpha}_t)} \cdot \beta_t$   $\eta = 0$ , 模型是DDIM;  $\eta = 1$ , 模型是DDPM

$S$	CIFAR10 ( $32 \times 32$ )					CelebA ( $64 \times 64$ )					
	10	20	50	100	1000	10	20	50	100	1000	
$\eta$	0.0	<b>13.36</b>	<b>6.84</b>	<b>4.67</b>	<b>4.16</b>	4.04	<b>17.33</b>	<b>13.73</b>	<b>9.17</b>	<b>6.53</b>	3.51
	0.2	14.04	7.11	4.77	4.25	4.09	17.66	14.11	9.51	6.79	3.64
	0.5	16.66	8.35	5.25	4.46	4.29	19.86	16.06	11.01	8.09	4.28
	1.0	41.07	18.36	8.01	5.78	4.73	33.12	26.03	18.48	13.93	5.98
$\hat{\sigma}$	367.43	133.37	32.72	9.99	<b>3.17</b>	299.71	183.83	71.71	45.20	<b>3.26</b>	



## 7.classifier guidance

---

**Algorithm 1** Classifier guided diffusion sampling, given a diffusion model  $(\mu_\theta(x_t), \Sigma_\theta(x_t))$ , classifier  $p_\phi(y|x_t)$ , and gradient scale  $s$ .

---

Input: class label  $y$ , gradient scale  $s$   
 $x_T \leftarrow$  sample from  $\mathcal{N}(0, \mathbf{I})$   
**for all**  $t$  from  $T$  to 1 **do**  
     $\mu, \Sigma \leftarrow \mu_\theta(x_t), \Sigma_\theta(x_t)$   
     $x_{t-1} \leftarrow$  sample from  $\mathcal{N}(\mu + s\Sigma \nabla_{x_t} \log p_\phi(y|x_t), \Sigma)$   
**end for**  
**return**  $x_0$

---

本质核心就是利用一个分类器提供分类梯度，用于指导Diffusion Model合理采样

## 8.classifier-free guidance

通过梯度更新图像会导致对抗攻击效应，生成图像可能会通过人眼不可察觉的细节欺骗分类器，实际上并没有按条件生成。

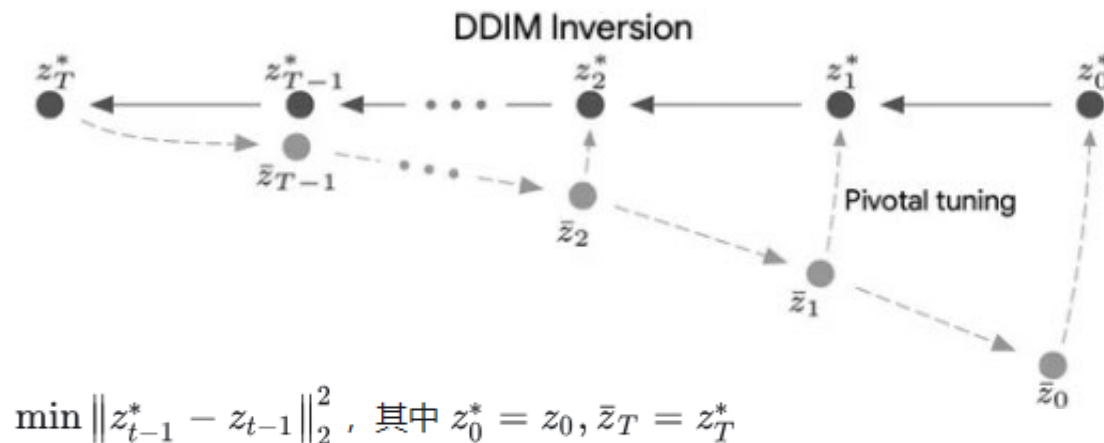
$$\tilde{\epsilon}_\theta(z_t, t, \mathcal{C}, \emptyset) = w \cdot \epsilon_\theta(z_t, t, \mathcal{C}) + (1 - w) \cdot \epsilon_\theta(z_t, t, \emptyset)$$

## 9.DDIM Inversion

$$x_t = \frac{\sqrt{\alpha_t}}{\sqrt{\alpha_t} - 1} (x_{t-1} - \sqrt{1 - \alpha_{t-1}} \epsilon_t) + \sqrt{1 - \alpha_t} \epsilon_t$$

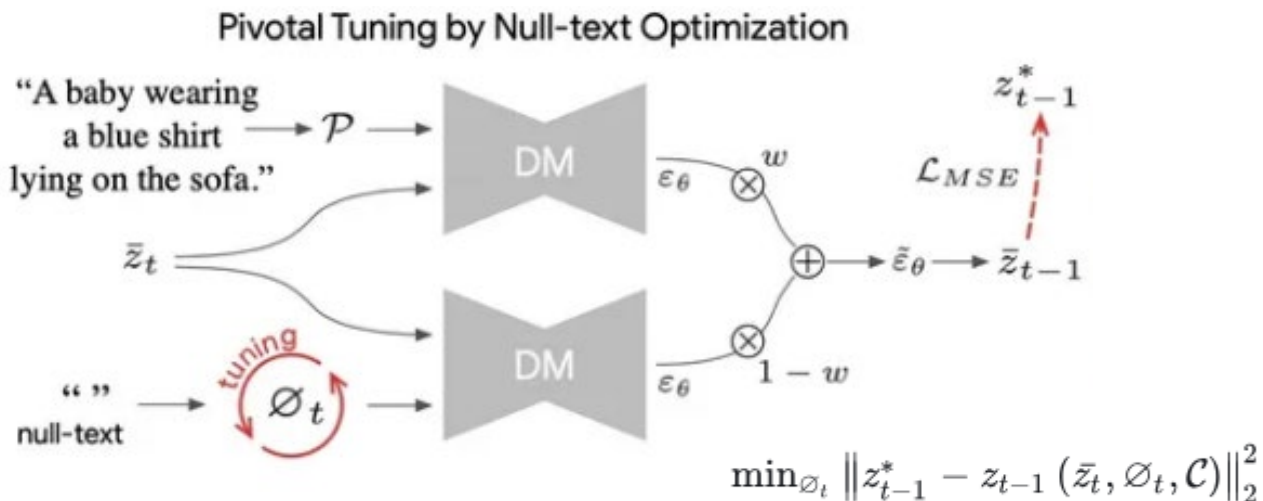
Inversion是为了找到一个latent embedding，使其能够经过生成器得到目标图像。而对于Diffusion来说，Inversion就是找到一个噪音，使得以该噪音为起点，经过采样得到目标图像。

## 10. Pivotal inversion



在实践中，DDIM Inversion每一步都会产生误差，对于无条件扩散模型，累积误差可以忽略。但是对基于classifier-free guidance的扩散模型，累积误差会不断增加，DDIM Inversion最终获得的噪声向量可能会偏离高斯分布，再经过DDIM采样，最终生成的图像会严重偏离原图像，并可能产生视觉伪影。因此，作者提出Pivotal Inversion来解决classifier-free guidance扩散模型误差累积的问题。

## 11. Null-text Optimization





THANK YOU!

