# Pro Bash

Learn to Script and Program the GNU/Linux Shell

Third Edition

Jayant Varma Chris F. A. Johnson

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Jayant Varma Chris F. A. Johnson Berwick, VIC, Australia Toronto, ON, Canada

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To all the people that have helped me become what I am today:

My mother and father (may they rest in peace)

My family and siblings

My pets (may they rest in peace)

My work family across the many organizations so far

The team at Apress

And, most importantly, the readers of this book

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## **About the Authors**



Jayant Varma has donned many hats in the tech field. He has a richer and wider experience across several domains and industries. He has worked in several capacities from an IC (Individual Contributor) to managing multiple large teams across geographies. He has taught at a couple of Australian universities and is a speaker and has conducted multiple workshops. He has several books published by Apress on topics like SwiftUI, Lua and Xcode as well as open source topics like Linux, bash, and shell scripting. He loves to travel and explore new places.



**Chris F. A. Johnson** was introduced to Unix in 1990 and learned shell scripting because there was no C compiler on the system. His first major project was a menu-driven, user-extensible database system with report generator. Chris is now retired and currently resides in Toronto, Canada.

## **About the Technical Reviewer**



**Emma Saroyan** graduated with a BS in computer science. She worked at startups at the intersection of technology and education, and she enjoys sharing her knowledge and learning from fellow developers. Emma is currently a developer advocate, mentor, and educator.

## **Acknowledgments**

Writing a book is not an easy task especially at a time where technology changes and everything is online. Add to that the time constraints with life and work being in the way. This book is going to be in its third revision, which is a good milestone and indicates that there are people that are still reading. A book cannot publish itself though there are several articles on the use of AI and self-publishing; it takes a lot of effort from everyone involved, from the author to the publishing house to the reviewer. This book is a result of sweat and hard work and the hope that it will serve and continue to serve the readers that are interested in bash and shell programming.

I have to also acknowledge my family that has seen me toiling away at the chapters after work, though their major complaint has been that they have not seen me during this process.

Lastly, my passion is coding, teaching, and writing. As my career progressed, the days were consumed with more and more meetings and business/strategy discussions. The third edition came as pressure on me to juggle the task as well as a relief from those back-to-back meetings. Hope you like this revision and it has been helpful in your journey.

## Hello, World: Your First Shell Program

A *shell script* is a file containing one or more commands that you would type on the command line. This chapter describes how to create such a file and make it executable. It also covers some other issues surrounding shell scripts, including what to name the files, where to put them, and how to run them.

## What Is a Shell Script?

A shell script is a file that contains one or more commands, which can be commands that you might type on a command line. The script file when executed (run) would run these commands one after the other like how one might have typed them on a command line one after the other sequentially. In this chapter, we shall look at how to create such a file and make it executable. We shall also cover some other points like naming these script files, where to save them, and how to run them.

When starting with a new language, the first program that a developer writes is a standard HelloWorld program, one that prints "Hello, World!" to the terminal. This simple program demonstrates quite a few important concepts. The code itself is the simplest part of this chapter. The file naming and deciding where to place the files are not complicated either but are important.

For a major portion of the book, we shall work with the terminal; it could be a virtual terminal, a terminal window, or even a dumb terminal. For many of the readers, some of these might be a new concept. For simplicity's sake, we shall use the term "terminal" to represent them across all the platforms and across all types. In the terminal, the shell will immediately execute any commands that are typed (after pressing Enter, of course).

#### CHAPTER 1 HELLO, WORLD: YOUR FIRST SHELL PROGRAM

When you start a terminal, you can first type the following command to find your home directory:

\$ echo "\$HOME"
/home/jayant

This prints the home directory, which is stored in the variable called HOME; when using a variable, we use the \$ sign prepended to the variable name, in this case \$HOME.

We can also find the current directory using the pwd (*print current/working directory*) command or the PWD variable as

\$ pwd
/home/jayant
\$ echo "\$PWD"
/home/jayant

When we start a terminal, we are generally in the home directory; however, if at any time we are not in the home directory, we can switch back to the home directory by using the cd command. cd stands for *Change Directory*. When used without any parameters, it will take us back to the home directory. When used with parameters, it will change to the directory name as passed in the parameters (if such a directory existed in the path). We can also use the .. as parameters to go back one level on the directory hierarchy (if we are not in the parent directory already).

#### The Hello World Code

The code is as simple as

\$ echo Hello, World!
Hello, World!

There are three words on this command line: the command itself and two arguments. The command, echo, prints its arguments separated by a single space and terminated with a newline.

An important note here is that the text after echo is parameters and these parameters are separated by spaces, so we have Hello, as the first parameter and World! as the second.

## **The Hello World Program File**

Before we can turn this code into a script, we need to make two important decisions:

- What will we name this file where we shall save our code into. This
  name has to be unique (i.e., it should not conflict with any other
  commands)
- 2. Where to save this so that the shell can find it

## **Naming the Script File**

One of the most common mistakes that beginners make is calling the trial script test. At first, it does make sense, but to know why this is an issue, let us simply type the following command:

\$ type test
test is a shell builtin

The type command tells us what the shell will execute (and where this could be found if it is an external file) for any given command. In bash, type -a test will display all the commands that match the name test.

\$ type -a test
test is a shell builtin
test is /usr/bin/test
test is /bin/test

**Note** The output of this command could be different depending on the system it is run on.

As we can see, the command called test already exists; it is used to test file types and to compare values. If our script is called test, it will not be run when we try to run test at the shell prompt; the first command that is identified by type will be run instead. We shall see both the type and test commands in detail in later chapters.

Typically, Unix command names are as short as possible. They are often the first two consonants of a descriptive word, for example, mv for move or 1s for list, or the first letters of a descriptive phrase, for example, ps for process status or sed for stream editor.

For this exercise, we shall call the script hw as in hello world. Many shell programmers add a suffix such as . sh to indicate that the program is a shell script. The script does not require it, and it is used for programs that are being developed. One way is to add the suffix -sh to the name during development, and then when the program is completed, the suffix can be removed. The shell script then becomes another command and does not need to be distinguished from any other type of command.

**Note** This is a suggestion, and with the advent of verbose naming, some developers can choose to have a verbose name for their scripts; however, keeping in line with the Unix command naming convention, shorter names are preferred.

## **Selecting a Directory for the Script**

When a command is typed or the shell given a command to execute, it looks for that name in the directories listed in the PATH variable. This variable contains a colon-separated list of directories that contain executable commands. This is a typical value for \$PATH:

#### **\$** echo \$PATH

/bin:/usr/bin:/usr/local/bin:/usr/games

The directories could look different depending on the platform and the additional directories added to the \$PATH variable.

If the program is not in one of the PATH directories, then a pathname is required; this can be an absolute or a relative pathname, for bash to find it. An *absolute pathname* gives the location from the root of the filesystem such as /home/jayant/bin/hw; a *relative pathname* is given in relation to the current working directory (which should be currently the home directory) as in bin/hw.

Commands are usually stored in directories named bin, and the personal programs belonging to a user are stored in a bin subdirectory in the \$HOME directory. Since there is no such bin directory in the user's profile directory, we can create this by simply using the following command:

#### \$ mkdir bin

Now that it has been created and exists, it must be added to the PATH variable using the following command:

#### **\$** PATH=\$PATH:\$HOME/bin

For this change to be applied every time we open a new shell, we can add it to a file that will *source* when it is invoked. Depending on the platform and how bash is invoked, it could be .bash\_profile, .bashrc, or .profile. These files are sourced only for interactive shells, not for scripts.

## **Creating the File and Running the Script**

Usually, we would require a text editor to create a program. However, for this simple hello world script, it is not necessary to invoke or use a text editor. We can create the file from the command line using redirection:

#### \$ echo echo Hello, World! > bin/hw

The greater than sign (>) tells the shell to send the output of a command to the specified resource than to the terminal. We will look at more redirection in Chapter 2.

#### **Note** There is a double echo in the aforementioned command.

The program can now be run by calling it as an argument to the shell command:

#### \$ bash bin/hw

That works, but it is not entirely satisfactory. We would want to run it by simply typing hw, without having to precede it with the bash command. To do so, we need to give the file executable permission.

#### \$ chmod +x bin/hw

Now the command can be run simply by just its name:

## \$ hw Hello, World!

## **Choosing and Using a Text Editor**

With modern computers, a text editor is an important piece of software; there are many options available, from simple text editors to advanced word processors. This book, for example, is written using Word, which is part of the Microsoft Office 365 offering. There are other options like LibreOffice Writer and Pages. If we discuss text editors, Sublime Text is a popular and common editor that works across all the platforms. It is a powerful GUI-based text editor. Then we also have editors that work in the terminal such as vi, vim, emacs, nedit, and nano, to name a few. The choice of a text editor is personal, and it is left entirely up to everyone to choose their own preferred editor. However, when using a terminal, I prefer the use of vim; see Figure 1-1.

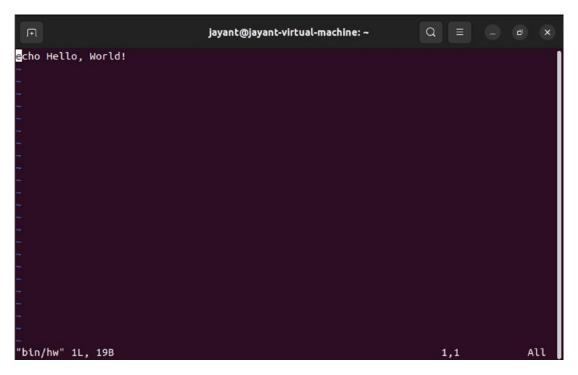


Figure 1-1. Shell script in the vim editor running on Ubuntu Linux

## **Building a Better "Hello, World!"**

Earlier in the chapter, we created a script using redirection. The script was, to say the least, minimalistic. All programs, even one liners, require documentation. Information should include at least the author, the date, and a description of the command. We can add some comments to the code using a text editor as in Listing 1-1.

#### Listing 1-1. hw

#!/bin/bash

#: Title : hw

#: Date : 2023-02-01 #: Author : Jayant Varma

#: Version : 1.0

#: Description : prints Hello, World!

#: Options : None

printf "%s\n" "Hello, World!"

Comments begin with an octothorpe, or the *hash* symbol (#), at the beginning of a *word* and continue until the end of the line. The shell ignores them. The colon is simply added to indicate the type of comment. It makes it easier to search the file for the type required, ignoring all the other comments.

The first line is a special type of comment called the *shebang* or *hash-bang*. It informs the systems about which *interpreter* to use to execute the file. The characters !# must appear at the very beginning of the first line – in other words, the first two bytes of the file for it to be recognized. The shell to use follows those two characters; in this case, we are using the bash shell found at /bin/bash.

## **Summary**

In this chapter, we have covered and looked at the following commands, concepts, and variables.

### **Commands**

- pwd: Prints the name of the current working directory
- cd: Changes the shell's working directory
- echo: Prints its arguments separated by a space and terminated by a newline
- type: Displays information about a command
- mkdir: Creates a new directory
- chmod: Modifies the permissions of a file
- source: a.k.a. .(dot), executes a script in the current shell environment
- printf: Prints the arguments as specified by a format string

## **Concepts**

- Script: A file containing commands to be executed by the shell.
- Word: A word is a sequence of characters considered to be a single unit by the shell.
- Output redirection: The output of a command can be sent to a file rather than the terminal using > FILENAME.
- Variables: These are the names where values are stored.
- Comments: These consist of an unquoted word beginning with a #.
   All remaining characters on that line constitute a comment and will be ignored.
- Shebang or hash-bang: This is a hash and an exclamation mark (#!) followed by the path to the interpreter that should execute the file.
- Interpreter: This is a program that reads a file and executes the statements it contains. It may be a shell or another language interpreter such as awk or Python.

## **Variables**

- PWD contains the pathname of the shell's current working directory.
- HOME stores the pathname of the user's home directory.
- PATH is a colon-separated list of directories in which command files are stored. The shell searches the directories for commands it is asked to execute.

## **Exercises**

- Write a script that creates a directory called bpl inside \$HOME. Populate this directory with two subdirectories: bin and scripts.
- 2. Write a script to create the "Hello, World!" script, hw, in \$HOME/bpl/bin/; make it executable; and then execute it.
- 3. Make the modifications to now enable running the script using the command hw instead of /bpl/bin/hw from the user home directory.

## Input, Output, and Throughput

Two of the commands we used in Chapter 1 are workhorses of the shell scripter's stable: echo and printf. Both are bash *built-in* commands. Both print information to the *standard output* stream, but printf is much more powerful, and echo has its problems.

In this chapter, we will cover echo and the problems it brings, the capabilities of printf, the read command, and the standard input and output streams. We'll start at first with an overview of parameters and variables.

#### **Parameters and Variables**

To quote the bash manual (type man bash at the command prompt to read it or it can also be read online at www.gnu.org/software/bash/manual/html\_node/Shell-Parameters.html), "A parameter is an entity that stores values." There are three types of parameters: positional parameters, special parameters, and variables. Positional parameters are arguments present on the command line, and they are referenced by a number. Special parameters are set by the shell to store information about aspects of its current state, such as the number of arguments and the exit code of the last command. Their names are non-alphanumeric characters (e.g., \*, #, and \_). Variables are identified by a name. What's in a name? We'll look at that in the "Variables" section.

The value of a parameter is accessed by preceding its name, number, or character with a dollar sign, as in \$3, \$#, or \$HOME. The name may be surrounded by braces, as in \${10}, \${PWD}, or \${USER}.

#### **Positional Parameters**

When we call a command and pass it some arguments like we did earlier with echo in Chapter 1, these arguments are numbered and passed to a shell program; these are called numbered parameters. The first argument is \$1, the second \$2, and so on. The first positional parameter is not \$0; this is the name of the shell or the shell script/command we are calling.

Let us modify the hw script from Chapter 1 and make it more flexible by using positional parameters. Let's call it hello as seen in Listing 2-1.

#### Listing 2-1. hello

```
#: Description: print Hello and the first command-line argument
printf "Hello, %s!\n" "$1"
```

Now we can call the script with an argument to change its output:

```
$ hello John
Hello, John!
$ hello Susan
Hello, Susan!
```

The original Bourne shell could only address up to nine positional parameters. If a script were to use \$10, it would be interpreted as \$1 followed by a literal 0. To be able to run older scripts, bash maintains this behavior. To access positional parameters greater than 9, the number must be enclosed in braces like \${15}.

The script is passed to the parameters that can be accessed via their positions, \$0, \$1, \$2, and so on. There exists a function shift N, which moves the positional parameters by N positions. If we ran shift (the default value of N is 1), then \$0 would be discarded and \$1 would become \$0, \$2 would become \$1, and so on. They would all be shifted by 1 position. There are some very clever and simple uses of shift to iterate through a list of parameters of unknown length.

**Note** The shift function is destructive; that is, the parameters discarded are gone and cannot be retrieved again.

## Special \*@#0\$?\_!- Parameters

The first two special parameters, \$\* and \$@, expand to the value of all the positional parameters combined, and \$# expands to the number of positional parameters. \$0 contains the path to the currently running script or to the shell itself if no script is being executed.

\$\$ contains the process identification number (PID) of the current process, \$? is set to the exit code of the last executed command, and \$\_ is set to the last argument to that command. \$! contains the PID of the last command executed in the background, and \$- is set to the options flag currently in effect.

We will discuss these parameters more in detail as they come up in the chapters and writing scripts.

## **Variables**

A variable is a parameter denoted by a name; a name is a word containing only letters, numbers, or underscores and beginning with a letter or an underscore.

Values can be assigned to variables as such:

name=value

**Note** bash is particular in the way it handles spacing; there are no spaces before the = and none after as well. If you have spaces, the command will not work.

Many variables are set by the shell itself, including the three we have already seen: HOME, PWD, and PATH. With the two minor exceptions of auto\_resume and histchars, all the variables set by the shell are all in uppercase letters.

## **Arguments and Options**

The words entered after the command are its arguments. These words are separated by whitespace (one or more spaces or tabs); if the whitespace is escaped or quoted, it no longer separates words but becomes part of the word.

The following command lines all have four arguments:

```
$ echo 1 '2 3' 4 5
$ echo -n Now\ is the time
printf "%s %s\n" one two three
```

In the first line, the spaces between 2 and 3 are quoted because they are surrounded by single quotation marks. In the second, the space after Now is escaped by a backslash, which is the shell's escape character.

In the final line, a space is quoted with double quotes.

In the second command, the first argument is an option. Traditionally, options to Unix commands are a single letter preceded by a hyphen, sometimes followed by an argument. The GNU commands found in Linux distributions often accept *long options* as well. These are words preceded by a double hyphen. For example (Figure 2-1), most GNU utilities have an option --version that prints the version.

```
jayant@jayant-virtual-machine:~$ bash --version
GNU bash, version 5.1.16(1)-release (x86_64-pc-linux-gnu)
Copyright (C) 2020 Free Software Foundation, Inc.
License GPLv3+: GNU GPL version 3 or later <http://gnu.org/licenses/gpl.html>
This is free software; you are free to change and redistribute it.
There is NO WARRANTY, to the extent permitted by law.
```

Figure 2-1. Long option for version

## Why You Should Avoid echo

All modern shells have echo, which is an internal command; when used, echo prints its arguments with a single space between them to the standard output stream, followed by a newline.

```
$ echo The quick brown fox
The quick brown fox
```

The default newline can be suppressed by using the option -n.

```
$ echo -n No newline
No newline$
```

**Note** There were differences in the past between different flavors of bash between the AT&T's System V and BSD.

The other way of escaping and suppressing the newline character was the use of the escape sequence /c, which would not work on many of the bash shells. However, we can use the option -e, which tells echo to recognize all the provided escape sequences.

```
$ echo "No Newline\c"
No newline
$ echo -e "No newline\c"
No newline$
```

**Tip** Add -e to the echo command if you want the escape sequences to be recognized.

The trouble is that bash has an xpg\_echo option (XPG stands for X/Open Portability Guide, a specification for Unix systems) that makes echo behave like that other version. This can be turned on or off while in the shell (using shopt -s xpg\_echo either at the command line or in a script), or it can be turned on when the shell is compiled. In other words, even in bash, we cannot be absolutely sure which behavior you are going to get.

If we limit the use of echo to situations where there cannot be a conflict, that is, where we are sure the arguments do not begin with -n and do not contain escape sequences, we will be fairly safe. For everything else (or if we are not sure), use printf.

## printf: Formatting and Printing Data

Derived from the C programming language function of the same name, the shell command printf is similar in purpose but differs in some of the details. Like the C function, it uses a format string to indicate how to present the rest of its arguments:

```
printf FORMAT ARG ...
```

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The FORMAT string can contain ordinary characters, escape sequences, and format specifiers. Ordinary characters are printed unchanged to the standard output. Escape sequences are converted to the characters they represent. Format specifiers are replaced with arguments from the command line.

## **Escape Sequences**

Escape sequences are single letters preceded by a backslash:

- \a:: Alert (bell)
- \b: Backspace
- \e: Escape character
- \f: Form feed
- \n: Newline
- \r: Carriage return
- \t: Horizontal tab
- \v: Vertical tab
- \\: Backslash
- \nnn: A character specified by one to three octal digits
- \xHH: A character specified by one or two hexadecimal digits

The backslashes must be protected from the shell by quotes or another backslash:

```
printf "Q\t\141\n\x42\n"
Q a
R
```

## **Format Specifiers**

The format specifiers are letters preceded by a percent sign. Optional modifiers may be placed between the two characters. The specifiers are replaced by the corresponding argument. When there are more arguments than specifiers, the format string is reused until all the arguments have been consumed. The most commonly used specifiers are %s, %d, %f, and %x.

The %s specifier prints the literal characters in the argument:

```
$ printf "%s\n" Print arguments on "separate lines"
Print
arguments
on
separate lines
```

%b is like %s except that escape sequences in the arguments are translated:

```
$ printf "%b\n" "Hello\nworld" "12\tword"
Hello
world
12 word
```

Integers are printed with %d. The integer may be specified as a decimal, octal (using a leading 0), or hexadecimal (preceding the hex number with 0x) number. If the number is not a valid integer, printf prints an error message:

```
$ printf "%d\n" 23 45 56.78 0xff 011
23
45
bash: printf: 56.78: invalid number
0
255
9
```

For decimal fractions or floating-point numbers, use %f. By default, they will be printed with six decimal places:

```
$ printf "%f\n" 12.34 23 56.789 1.2345678
12.340000
23.000000
56.789000
1.234568
```

Floating-point numbers can be presented in exponential (also known as *scientific*) notation using %e:

```
$ printf "%e\n" 12.34 23 56.789 123.45678
```

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```
1.234000e+01
2.300000e+01
5.678900e+01
1.234568e+ 02
```

Integers can be printed in hexadecimal using %x for lowercase letters or %X for uppercase letters. For example, when specifying colors for a web page, they are specified in hex notation. I know from the rgb.txt file included with the X Window system that the red-green-blue values for royal blue are 65, 105, and 225. To convert them to a style rule for a web page, use this:

```
$ printf "color: #%02x%02x%02x;\n" 65 105 225
color: #4169e1;
```

## **Width Specification**

We can modify the formats by following the percent sign with a width specification. The argument will be printed flush right in a field of that width or will be flush left if the number is negative. Here, we have the first field with a width of eight characters; the words will be printed flush right. Then there is a field 15 characters wide that will be printed flush left:

```
$ printf "%8s %-15s:\n" first second third fourth fifth sixth
first second :
third fourth :
fifth sixth :
```

If the width specification is preceded by a 0, the numbers are padded with leading zeros to fill the width:

```
$ printf "%04d\n" 12 23 56 123 255
0012
0023
0056
0123
0255
```

A width specifier with a decimal fraction specifies the precision of a floating-point number or the maximum width of a string:

```
$ printf "%12.4s %9.2f\n" John 2 Jackson 4.579 Walter 2.9
John 2.00
Jack 4.58
Walt 2.90
```

The script shown in Listing 2-2 uses printf to output a simple sales report.

#### Listing 2-2. Report

```
#!/bin/
              bash
#: Description : print formatted sales report
## Build a long string of equals signs
divider=$divider$divider
## Format strings for printf
header="\n %-10s %11s %8s %10s\n"
format=" %-10s %11.2f %8d %10.2f\n"
## Width of divider
totalwidth=44
## Print categories
printf "$header" ITEM "PER UNIT" NUM TOTAL
## Print divider to match width of report
printf "%$totalwidth.${totalwidth}s\n" "$divider"
## Print lines of report
printf "$format" \
 Chair 79.95 4 319.8 \
 Table 209.99 1 209.99 \
 Armchair 315.49 2 630.98
```

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The resulting report looks like this:

ITEM	PER UNIT	NUM	TOTAL	
=======	=======		=======	
Chair	79.95	4	319.80	
Table	209.99	1	209.99	
Armchair	315.49	2	630.98	

Note the use of braces around the second totalwidth variable name:

\${totalwidth}. In the first instance, the name is followed by a period, because a period cannot be part of a variable name; it is ok to have it following the variable name and is treated like a literal period. In the second, it is followed by the letter s, which could be part of the variable name, and hence, to avoid that mix-up, the totalwidth name must be separated from it by using braces.

# **Printing to a Variable**

With version 3.1, bash added a -v option to store the output in a variable instead of printing it to the standard output:

```
$ printf -v num4 "%04d" 4
$ printf "%s\n" "$num4"
0004
```

#### **Line Continuation**

At the end of the report script, the last four lines are read as a single line, using *line continuation*. A backslash at the end of a line tells the shell to ignore the newline character, effectively joining the next line to the current one.

# Standard Input/Output Streams and Redirection

In Unix (of which Linux is a variety), everything is a stream of bytes. The streams are accessible as files, but there are three streams that are rarely accessed by a file name. These are the input/output (I/O) streams attached to every command: standard input, standard output, and standard error. By default, these streams are connected to the terminal.

When a command reads a character or a line, it reads from the standard input stream, which is the keyboard. When it prints information, it is sent to the standard output, the monitor. The third stream, standard error, is also connected to the monitor; as the name implies, it is used for error messages. These streams are referred to by numbers, called *file descriptors* (FDs). These are 0, 1, and 2, respectively. The stream names are also often contracted to *stdin*, *stdout*, and *stderr*.

I/O streams can be redirected to (or from) a file or into a *pipeline*.

# Redirection: >, >>, and <

In Chapter 1, we redirected standard output to a file using the > redirection operator.

When redirecting using >, the file is created if it doesn't exist. If it does exist, the file is truncated to zero length before anything is sent to it. We can create an empty file by redirecting an empty string (i.e., nothing) to the file:

or by simply using this:

Redirection is performed before any command on the line is executed. If we redirect to the same file we are reading from, that file will be truncated, and the command will have nothing to read.

The >> operator doesn't truncate the destination file; it appends to it. We could add an additional line to the hw command from the first chapter by doing the following:

Redirecting standard output does not redirect standard error. Error messages will still be displayed on the monitor. To send the error messages to a file – in other words, to redirect FD2 – the redirection operator is preceded by the FD.

Both standard output and standard error can be redirected on the same line. The next command sends standard output to FILE and standard error to ERRORFILE:

```
$ printf '%s\n%v\n' OK? Oops! > FILE 2> ERRORFILE
```

#### \$ cat ERRORFILE

bash4: printf: `v': invalid format character

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In this case, the error message is going to a special file, /dev/null. Sometimes called the *bit bucket*, anything written to it is discarded.

Instead of sending output to a file, it can be redirected to another I/O stream by using >&N, where N is the number of the file descriptor. This command sends both standard output and standard error to FILE:

Here, the order is important. The standard output is sent to FILE, and then standard error is redirected to where standard output is going. If the order is reversed, the effect is different. The redirection sends standard error to wherever standard output is currently going and then changes where standard output goes. Standard error still goes to where standard output was originally directed:

bash has also a nonstandard syntax for redirecting both standard output and standard error to the same place:

#### &> FILE

To append both standard output and standard error to FILE, use this:

A command that reads from standard input can have its input redirected from a file:

We can use the exec command to redirect the I/O streams for the rest of the script or until it's changed again.

All standard output will now go to the file tempfile, input will be read from datafile, and error messages will go to errorfile without having to specify it for every command.

# **Reading Input**

The read command is a built-in command that reads from the standard input. By default, it reads until a newline is received. The input is stored in one or more variables given as arguments:

read var

If more than one variable is given, the first word (the input up to the first space or tab) is assigned to the first variable, the second word is assigned to the second variable, and so on, with any leftover words assigned to the last one:

```
$ read a b c d
January February March April May June July August
$ echo $a
January
$ echo $b
February
$ echo $c
March
$ echo $d
April May June July August
```

The bash version of read has several options. Only the -r option is recognized by the POSIX standard. It tells the shell to interpret escape sequences literally.

By default, read strips backslashes from the input, and the following character is taken literally. The major effect of this default behavior is to allow the continuation of lines. With the -r option, a backslash followed by a newline is read as a literal backslash and the end of input.

We'll discuss the other options in Chapter 15.

Like any other command that reads standard input, read can get its input from a file through redirection. For example, to read the first line from FILENAME, use this:

```
$ read var < FILENAME</pre>
```

# **Pipelines**

*Pipelines* connect the standard output of one command directly to the standard input of another. The pipe symbol (|) is used between the commands:

```
$ printf "%s\n" "$RANDOM" "$RANDOM" "$RANDOM" "$RANDOM" | tee
FILENAME
618
11267
5890
8930
```

The tee command reads from the standard input and passes it to one or more files as well as to the standard output. \$RANDOM is a bash variable that returns a different integer between 0 and 32,767 each time it is referenced.

```
$ cat FILENAME
618
11267
5890
8930
```

## **Command Substitution**

We learned about redirection of inputs and outputs; however, there may be a scenario where we might want to save the results into a variable to use in a script. We can do so using something called command substitution. There are two ways to do so; the first, which originated in the Bourne shell, is the use of backticks as in

```
$ date=`data`
```

The newer and recommended way of doing the same is as follows:

```
$ date=$( date )
```

While it looks simple and easy, this should be generally reserved for external commands. When used with a built-in command, it tends to be very slow. This is the reason the -v option was added to the printf command.

# **Summary**

The following are the commands and concepts we have learned in this chapter.

## **Commands**

- cat: Prints the contents of one or more files to the standard output
- tee: Copies the standard input to the standard output and to one or more files
- read: A built-in shell command that reads a line from the standard input
- date: Prints the current date and time

# **Concepts**

- Standard I/O streams: These are streams of bytes from which commands read and to which output is sent.
- Arguments: These are words that follow a command; arguments may include options as well as other information such as file names.
- Parameters: These are entities that store values; the three types are positional parameters, special parameters, and variables.
- Pipelines: A pipeline is a sequence of one or more commands separated by |; the standard output of the command preceding the pipe symbol is fed to the standard input of the command following it.
- Line continuation: This is a backslash at the end of a line that removes the newline and combines that line with the next.
- Command substitution: This means storing the output of a command in a variable or on the command line.

## **Exercises**

1. What is wrong with this command?

```
tr A Z < $HOME/temp > $HOME/temp
```

2. Write a script, using \$RANDOM, to write the following output both to a file and to a variable. The following numbers are only to show the format; your script should produce different numbers:

1988.2365 13798.14178 10081.134 3816.15098

# **Looping and Branching**

At the heart of any programming language are iteration and conditional execution. *Iteration* is the repetition of a section of code until a condition changes. *Conditional execution* is making a choice between two or more actions (one of which may be to do nothing) based on a condition.

In the shell, there are three types of loops (while, until, and for) and three types of conditional execution (if, case, and the conditional operators && and ||, which mean AND and OR, respectively). Except for for and case, the exit status of a command controls the behavior.

#### **Exit Status**

We can test the success of a command directly using the shell keywords while, until, and if or with the control operators && and ||. The exit code is stored in the special parameter \$?.

If the command executed successfully (or true), the value of \$? is zero. If the command fails for some reason, \$? will contain a positive integer between 1 and 255, inclusive. A failed command usually returns 1. Zero and nonzero exit codes are also known as *true* and *false*, respectively.

A command may fail because of a syntax error:

```
$ printf "%v\n"
bash: printf: `v': invalid format character
$ echo $?
```

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Alternatively, failure may be the result of the command not being able to accomplish its task:

```
$ mkdir /qwerty
bash: mkdir: cannot create directory '/qwerty': Permission denied
$ echo $?
```

# **Testing an Expression**

Expressions are deemed to be *true* or *false* by the test command or one of two nonstandard shell-reserved words: [[ and ((. The test command compares strings, integers, and various file attributes; (( tests arithmetic expressions, and [[  $\dots$  ]] does the same as test with the additional feature of comparing regular expressions.

# test, a.k.a. [ ... ]

The test command evaluates many kinds of expressions, from file properties to integers to strings. It is a built-in command, and therefore, its arguments are expanded just as for any other command. (See Chapter 5 for more information.) The alternative version ([) requires a closing bracket at the end.

**Note** As noted earlier in Chapter 2, bash is particular about the spacing and requires spaces around the brackets. It also is important because the commands [test and [test without the space are different from what is intended.

#### **File Tests**

Several operators test the state of a file. A file's existence can be tested with -e (or the nonstandard -a). The type of file can be checked with -f for a regular file, -d for a directory, and -h or -L for a symbolic link. Other operators test for special types of files and for which permission bits are set.

Here are some examples:

```
test -f /etc/fstab  ## true if a regular file
test -h /etc/rc.local  ## true if a symbolic link
[ -x "$HOME/bin/hw" ]  ## true if you can execute the file
[[ -s $HOME/bin/hw ]]  ## true if the file exists and is not empty
```

## **Integer Tests**

Comparisons between integers use the -eq, -ne, -gt, -lt, -ge, and -le operators. The equality of integers is tested with -eq:

```
$ test 1 -eq 1
echo $?

[ 2 -eq 1 ]
echo $?
```

Inequality is tested with -ne:

```
$ [ 2 -ne 1 ]
$ echo $?
```

The remaining operators test greater than, less than, greater than or equal to, and less than or equal to.

# **String Tests**

Strings are concatenations of zero or more characters and can include any character except NUL (ASCII 0). They can be tested for equality or inequality, for nonempty string or null string, and in bash for alphabetical ordering. The = operator tests for equality, in other words, whether they are identical; != tests for inequality. bash also accepts == for equality, but there is no reason to use this nonstandard operator.

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Here are some examples:

```
test "$a" = "$b"
[ "$q" != "$b" ]
```

The -z and -n operators return successfully if their arguments are empty or nonempty:

```
$ [ -z "" ]
$ echo $?
0
$ test -n ""
$ echo $?
```

The greater than and less than symbols are used in bash to compare the lexical positions of strings and must be escaped to prevent them from being interpreted as redirection operators:

```
$ str1=abc
$ str2=def
$ test "$str1" \< "$str2"
$ echo $?
0
$ test "$str1" \> "$str2"
$ echo $?
```

The previous tests can be combined in a single call to test with the -a (logical AND) and -o (logical OR) operators:

```
test -f /path/to/file -a $test -eq 1
test -x bin/file -o $test -gt 1
```

test is usually used in combination with if or the conditional operators && and | |.

# [[ ... ]]: Evaluate an Expression

Like test, [[ ... ]] evaluates an expression. Unlike test, it is not a built-in command. It is part of the shell grammar and not subject to the same parsing as a built-in command. Parameters are expanded, but word splitting and file name expansion are not performed on words between [[ and ]].

It supports all the same operators as test, with some enhancements and additions. It is, however, nonstandard, so it is better not to use it when test could perform the same function.

#### **Enhancements over Test**

When the argument to the right of = or != is unquoted, it is treated as a pattern and duplicates the functionality of the case command.

The feature of  $[[ \dots ]]$  that is not duplicated elsewhere in the shell is the ability to match an extended regular expression using the  $=\sim$  operator:

```
$ string=whatever
[[ $string =~ h[aeiou] ]]
$ echo $?
0
$ [[ $string =~ h[sdfghjkl] ]]
$ echo $?
```

Regular expressions are explained in Chapter 8.

# (( ... )): Evaluate an Arithmetic Expression

A nonstandard feature, ((arithmetic expression)) returns false if the arithmetic expression evaluates to zero and returns true otherwise. The portable equivalent uses test and the POSIX syntax for shell arithmetic:

```
test $(( a - 2 )) -ne 0
[ $a != 0 ]
```

But because ((expression)) is shell syntax and not a built-in command, expression is not parsed in the same way as arguments to a command. This means, for example, that a *greater than* sign(>) or *less than* sign(<) is not interpreted as a redirection operator:

```
if (( total > max )); then : ...; fi
```

A bare variable is tested for zero or nonzero, exiting successfully if the variable is nonzero:

```
((verbose)) && command ## execute command if verbose != 0
   Non-numeric values are equivalent to 0:
$ y=yes
$ ((y)) && echo $y || echo n
$ nLists
```

A *list* is a sequence of one or more commands separated by semicolons, ampersands, control operators, or newlines. A list may be used as the condition in a while or until loop, an *if* statement, or as the body of any loop. The exit code of a list is the exit code of the last command in the list.

#### **Conditional Execution**

Conditional constructs enable a script to decide whether to execute a block of code or to select which of two or more blocks to execute.

#### if

The basic if command evaluates a list of one or more commands and executes a list if the execution of <condition list> is successful:

```
if <condition list>
then
    fi
```

Usually, the <condition list> is a single command, very often test or its synonym, [, or, in bash, [[. In Listing 3-1, the -z operand to test checks whether a name was entered.

#### Listing 3-1. Read and Check Input

```
read name
if [[ -z $name ]]
then
   echo "No name entered" >&2
   exit 1 ## Set a failed return code
fi
```

Using the else keyword, a different set of commands can be executed if the <condition list> fails, as shown in Listing 3-2. Note that in numeric expressions, variables do not require a leading \$.

*Listing* 3-2. Prompt for a Number and Check That It Is Not Greater Than Ten

```
printf "Enter a number not greater than 10: "
read number
if (( number > 10 ))
then
    printf "%d is too big\n" "$number" >&2
    exit 1
else
    printf "You entered %d\n" "$number"
fi
```

More than one condition can be given, using the elif keyword, so that if the first test fails, the second is tried, as shown in Listing 3-3.

*Listing* 3-3. Prompt for a Number and Check That It Is Within a Given Range

```
printf "Enter a number between 10 and 20 inclusive: "
read number
if (( number < 10 ))
then
    printf "%d is too low\n" "$number" >&2
```

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```
exit 1
elif (( number > 20 ))
then
    printf "%d is too high\n" "$number" >&2
    exit 1
else
    printf "You entered %d\n" "$number"
fi
```

**Note** In real use, a number entered in the previous examples would be checked for invalid characters before its value is compared. Code to do that is given in the "case" section.

Often more than one test is given in the <condition list> using && and ||.

# **Conditional Operators: && and II**

Lists containing the AND and OR conditional operators are evaluated from left to right. A command following the AND operator (&&) is executed if the previous command is successful. The part following the OR operator (||) is executed if the previous command fails.

For example, to check for a directory and cd into it if it exists, use this:

```
test -d "$directory" && cd "$directory"
```

To change directory and exit with an error if cd fails, use this:

```
cd "$HOME/bin" || exit 1
```

The next command tries to create a directory and cd to it. If either mkdir or cd fails, it exits with an error:

```
mkdir "$HOME/bin" && cd "$HOME/bin" || exit 1
```

Conditional operators are often used with if. In this example, the echo command is executed if both tests are successful:

```
if [ -d "$dir" ] && cd "$dir"
then
    echo "$PWD"
fi
```

#### case

A case statement compares a word (usually a variable) against one or more patterns and executes the commands associated with that pattern. The patterns are pathname expansion patterns using wildcards (\* and ?) and character lists and ranges ([...]). The syntax is as follows:

```
case WORD in
  PATTERN) COMMANDS ;;
  PATTERN) COMMANDS ;; ## optional
esac
```

A common use of case is to determine whether one string is contained in another. It is much faster than using grep, which creates a new process. This short script would normally be implemented as a shell function (see Chapter 6) so that it will be executed without creating a new process, as shown in Listing 3-4.

Listing 3-4. Does One String Contain Another?

```
case $1 in
    *"$2"*) true ;;
    *) false ;;
esac
```

The commands, true and false, do nothing but succeed or fail, respectively. Another common task is to check whether a string is a valid number. Again, Listing 3-5 would usually be implemented as a function.

#### *Listing* **3-5.** valint, Is This a Valid Positive Integer?

```
case $1 in
    *[!0-9]*) false;;
    *) true ;;
esac
```

Many scripts require one or more arguments on the command line. To check whether the correct number of arguments are passed, case is often used:

```
case $# in
3) ;; ## We need 3 args, so do nothing
*) printf "%s\n" "Please provide three names" >&2
    exit 1
    ;;
esac
```

# Looping

There may be a time when one might need to repeat a command several times; one way is to write it out as many times over, and then if there were a change, reducing the lines or increasing the lines accordingly and ensuring that it was correct can become a nightmare and painful. In many programming languages, this problem is solved by using a loop. The shell can offer three types of loops, namely, while, until, and for. The first two are executed until a condition is true or false; the third type loops through a list of values.

#### while

The condition for a while loop is a list of one or more commands, and the commands to be executed while the condition remains true are placed between the keywords do and done:

```
while <condition>
do
      <commands>
done
36
```

A while loop can be run a specific number of times using a counter, a variable that is incremented each time the loop is executed.

```
n=1
while [ $n -le 10 ]
do
  echo "$n"
  n=\$(( \$n + 1 ))
done
   The true command can be used to create an infinite loop:
while true ## ':' can be used in place of true
do
  read x
done
   A while loop can be used to read a file line by line:
while IFS= read -r line
do
  : do something with "$line"
done < FILENAME?
```

#### until

An until loop is not used much, and it is the opposite of a while loop; it will loop if the condition fails, whereas the while loop keeps going till the condition passes.

```
n=1
until [ $n -gt 10 ]
do
   echo "$n"
   n=$(( $n + 1 ))
done
```

#### for

A for loop takes a list of values; these can be numbers, words, etc. It iterates through the given list of words passed and provides a value via the variable name set in the for loop. With each iteration, it advances to the next word on the list.

```
for var in Canada USA Mexico
do
  printf "%s\n" "$var"
done
```

There is another form of the for loop that is like the C programming language. Where there are three parts to the for loop, the first expression is evaluated when the loop starts, the second part is a test condition that determines if the loop needs to exit or go to the next iteration, and the third is evaluated at the end of each iteration.

```
for (( n=1; n<=10; ++n ))
do
    echo "$n"
done
```

#### break

Sometimes we might need to stop the loop from executing when a certain condition is met; this is also another way of exiting the loop. This can be achieved using the keyword break. Like its name, it allows us to break from the loop.

```
while :
do
  read x
  [ -z "$x" ] && break
done
```

The way break works in bash is a little different than in other languages, and instead of breaking from the current loop (in case of nested or a hierarchy of scopes), providing a numeric value after the break statement can help in breaking from multiple nested loops.

```
for n in a b c d e
do
 while true
 do
    if [ $RANDOM -gt 20000 ]
    then
      printf .
      break 2 ## break out of both while and for loops
   elif [ $RANDOM -lt 10000 ]
    then
      printf '"'
      break ## break out of the while loop
    fi
 done
done
echo
```

#### continue

There can also be situations inside a loop when we might want to not break the loop but process the next iteration of the loop. For that, we can use the keyword continue.

```
for n in {1..9} ## See Brace expansion in Chapter 4
do
    x=$RANDOM
    [ $x -le 20000 ] && continue
    echo "n=$n x=$x"
done
```

# **Summary**

Looping and branching are major building blocks of a computer program. In this chapter, we learned the commands and operators used for these tasks.

#### **Commands**

- test: Evaluates an expression and returns success or failure
- if: Executes a set of commands if a list of commands is successful and optionally executes a different set if it is not
- case: Matches a word with one or more patterns and executes the commands associated with the first matching pattern
- while: Repeatedly executes a set of commands while a list of commands executes successfully
- until: Repeatedly executes a set of commands until a list of commands executes successfully
- for: Repeatedly executes a set of commands for each word in a list
- break: Exits from a loop
- continue: Starts the next iteration of a loop immediately

# **Concepts**

- Exit status: The success or failure of a command, stored as 0 or a positive integer in the special parameter \$?
- List: A sequence of one or more commands separated by ;, &, &&, ||, or a newline

## **Exercises**

- 1. Write a script that asks the user to enter a number between 20 and 30. If the user enters an invalid number or a non-number, ask again. Repeat until a satisfactory number is entered.
- 2. Write a script that prompts the user to enter the name of a file. Repeat until the user enters a file that exists.

# Command-Line Parsing and Expansion

One of the things that shell programming language does is the parsing of command-line arguments and the expansion it performs on words in the line. Let us look in detail what happens when a command is called with arguments, what does the shell do before it invokes the command.

To help visualize what happens, we can use the short script as seen in Listing 4-1 called sa, which will display what the shell has passed to it after processing all of the arguments. Each argument is printed on a separate line, preceded by the value of \$pre and followed by the value of \$post.

*Listing 4-1.* sa; Displaying Command-Line Arguments

```
pre=:
post=:
printf "$pre%s$post\n" "$@"
```

**Note** Create a script called sa with the text as can be seen in Listing 4-1. This is used in the code samples in this chapter.

The special parameter \$@ expands to a list of all the command-line arguments, but the results differ depending on whether it is quoted or not. When quoted, it expands to the positional parameters "\$1", "\$2", "\$3", and so on, and the arguments containing whitespace will be preserved. If \$@ is unquoted, splitting will occur wherever whitespace is present.

#### CHAPTER 4 COMMAND-LINE PARSING AND EXPANSION

When a line is executed, whether at the command prompt or in a script, the shell splits the line into words wherever there is unquoted whitespace. The bash examines the resulting words, performing up to eight types of expansion on them as appropriate. The results of the expansions are passed to the command as its arguments. This chapter examines the entire process, from the initial parsing into words based on unquoted whitespace to each of the expansions in the order in which they are performed:

- 1. Brace expansion
- 2. Tilde expansion
- 3. Parameter and variable expansion
- 4. Arithmetic expansion
- 5. Command substitution
- 6. Word splitting
- 7. Pathname expansion
- 8. Process substitution

The chapter ends with a shell program that demonstrates how to parse options (arguments beginning with a hyphen) on the command line using the getopts built-in command.

# Quoting

The shell's initial parsing of the command line uses unquoted whitespace, that is, spaces, tabs, and newlines, to separate the words. Spaces between single or double quotes or spaces preceded by the escape character (\) are considered part of the surrounding word, if any. The delimiting quotation marks are stripped from the arguments.

The following code has five arguments. The first is the word this preceded by a space (the backslash removes its special meaning). The second argument is "is a"; the entire argument is enclosed in double quotes, again removing the special meaning from the space. The phrase demonstration of is enclosed in single quotes. Next is a single, escaped space. Finally, the string quotes and escapes are held together by the escaped spaces.

```
$ sa \ this "is a" 'demonstration of' \ quotes\ and\ escapes
: this:
:is a:
:demonstration of:
: :
:quotes and escapes:
```

Quotes can be embedded in a word. Inside double quotes, a single quote is not special, but a double quote must be escaped. Inside single quotes, a double quote is not special.

```
$ sa "a double-quoted single quote, '" "a double-quoted double
quote, \""
:a double-quoted single quote, ':
:a double-quoted double quote, ":
$ sa 'a single-quoted double quotation mark, "'
:a single-quoted double quotation mark, ":
```

All characters inside a single-quoted word are taken literally. A single-quoted word cannot contain a single quote even if it is escaped; the quotation mark will be regarded as closing the preceding one, and another single quote opens a new quoted section. Consecutive quoted words without any intervening whitespace are considered as a single argument:

```
$ sa "First argument "'still the first argument'
:First argument still the first argument:
```

In bash, single quotes can be included in words of the form \$'string' if they are escaped. In addition, the escape sequences listed in Chapter 2's description of printf are replaced by the characters they represent:

```
$ echo $'\'line1\'\n\'line2\''
'line1'
'line2'
```

Quoted arguments can contain literal newlines:

```
$ sa "Argument containing 
> a newline"
:Argument containing
a newline:
```

**Note** The  $\ensuremath{\checkmark}$ J is the enter key and not something to be typed on the terminal. Since the shell determines that the command is incomplete, it displays a > prompt allowing you to complete the command.

# **Brace Expansion**

The first expansion performed, brace expansion, is nonstandard (i.e., it is not included in the POSIX specification). It operates on unquoted braces containing either a commaseparated list or a sequence. Each element becomes a separate argument.

```
$ sa {one,two,three}
:one:
:two:
:three:
$ sa {1..3} ## added in bash3.0
:1:
:2:
:3:
$ sa {a..c}
:a:
:b:
:c:
```

A string before or after the brace expression will be included in each expanded argument:

```
$ sa pre{d,1}ate
:predate:
:prelate:
```

Braces may be nested:

```
$ sa {{1..3},{a..c}}
:1:
:2:
:3:
:a:
:b:
:c:
```

Multiple braces within the same word are expanded recursively. The first brace expression is expanded, and then each of the resulting words is processed for the next brace expression. With the word  $\{1..3\}\{a..c\}$ , the first term is expanded, giving the following:

Each of these words is then expanded for this final result:

```
$ sa {1..3}{a..c}
:1a:
:1b:
:1c:
:2a:
:2b:
:2c:
:3a:
:3b:
:3c:
```

In version 4 of bash, further capabilities have been added to brace expansion. Numerical sequences can be padded with zeros, and the increment in a sequence can be specified. The syntax becomes {start..end..increment}.

```
$ sa {01..13..3}
:01:
:04:
:07:
:10:
```

Increments can also be used with alphabetic sequences:

```
$ sa {a..h..3}
:a:
:d:
:g:
```

# **Tilde Expansion**

An unquoted tilde expands to the user's home directory \$HOME:

```
$ sa ~
:/home/jayant:
```

Followed by a login name, it expands to that user's home directory:

```
$ sa ~root ~jayant
:/root:
:/home/jayant:
```

When quoted, either on the command line or in a variable assignment, the tilde is not expanded:

```
$ sa "~" "~root"
:~:
:~root:
$ dir=~jayant
$ dir2="~jayant"
$ sa "$dir" "$dir2"
:/home/jayant:
:~jayant:
```

If the name following the tilde is not a valid login name, no expansion is performed:

```
$ sa ~qwerty
:~qwerty:
```

# **Parameter and Variable Expansion**

Parameter expansion replaces a variable with its contents; it is introduced by a dollar sign (\$). It is followed by the symbol or name to be expanded:

```
$ var=whatever
$ sa "$var"
:whatever:
```

The parameter may be enclosed in braces:

```
$ var=qwerty
$ sa "${var}"
:qwerty:
```

In most cases, the braces are optional. They are required when referring to a positional parameter greater than nine or when a variable name is followed immediately by a character that could be part of a name:

```
$ first=Jane
$ last=Johnson
$ sa "$first_$last" "${first}_$last"
:Johnson:
:Jane Johnson:
```

Because first\_ is a valid variable name, the shell tries to expand it rather than first; adding the braces removes the ambiguity.

Braces are also used in expansions that do more than simply returning the value of a parameter. These often-cryptic expansions (e.g.,  $\{var##*/\}$  and  $\{var//x/y\}$ ) add a great deal of power to the shell and are examined in detail in the next chapter.

Parameter expansions that are not enclosed in double quotes are subject to *word splitting* and *pathname expansion*.

# **Arithmetic Expansion**

When the shell encounters \$((expression)), it evaluates expression and places the result on the command line; expression is an arithmetic expression. Besides the four basic arithmetic operations of addition, subtraction, multiplication, and division, its most used operator is % (modulo, the remainder after division).

```
$ sa "$(( 1 + 12 ))" "$(( 12 * 13 ))" "$(( 16 / 4 ))" "$((
6 - 9 ))"
:13:
:156:
:4:
:-3:
```

The arithmetic operators (see Tables 4-1 and 4-2) take the same precedence that you learned in school (basically, that multiplication and division are performed before addition and subtraction), and they can be grouped with parentheses to change the order of evaluation.

 Table 4-1. Arithmetic Operators

Operator	Description		
- +	Unary minus and plus		
! ~	Logical and bitwise negation		
* / %	Multiplication, division, remainder		
+ -	Addition, subtraction		
<< >>	Left and right bitwise shifts		
<= >= < >	Comparison		
== !=	Equality and inequality		
&	Bitwise AND		
^	Bitwise exclusive OR		
1	Bitwise OR		
&&	Logical AND		
П	Logical OR		
= *= /= %= += -= <<= >>=	Assignment		
&= ^=  =			

<i>Table 4-2.</i>	hash	<b>Extensions</b>
14016 4-2.	DUSII	Laterisions

Operator	Description
**	Exponentiation
id++ id	Variable post- increment and post- decrement
++idid	Variable pre- increment and pre- decrement
expr ? expr1 : expr2	Conditional operator
expr1, expr2	Comma

The modulo operator, %, returns the remainder after division:

```
$ sa "$(( 13 % 5 ))" :3:
```

Converting seconds (which is how Unix systems store times) to days, hours, minutes, and seconds involves division and the modulo operator, as shown in Listing 4-2.

*Listing 4-2.* secs2dhms, Convert Seconds (in Argument \$1) to Days, Hours, Minutes, and Seconds

If not enclosed in double quotes, the results of arithmetic expansion are subject to word splitting.

#### **Command Substitution**

Command substitution replaces a command with its output. The command must be placed either between backticks (`command`) or between parentheses preceded by a dollar sign (\$(command)). For example, to count the lines in a file whose name includes today's date, this command uses the output of the date command:

```
$ wc -1 $( date +%Y-%m-%d ).log
61 2023-07-11.log
```

The old format for command substitution uses backticks. This command is the same as the previous one:

```
$ wc -1 `date +%Y-%m-%d`.log
61 2023-07-11.log
```

If the command substitution is not quoted, *word splitting* and *pathname expansion* are performed on the results.

# **Word Splitting**

The results of parameter and arithmetic expansions, as well as command substitution, are subjected to word splitting if they were not quoted:

```
$ var="this is a multi-word value"
$ sa $var "$var"
:this:
:is:
:a:
:multi-word:
:value:
:this is a multi-word value:
```

#### CHAPTER 4 COMMAND-LINE PARSING AND EXPANSION

Word splitting is based on the value of the internal field separator variable, IFS. The default value of IFS contains the whitespace characters of space, tab, and newline (IFS=\$' \t\n'). When IFS has its default value or is unset, any sequence of default IFS characters is read as a single delimiter.

```
$ var=' spaced
out '
$ sa $var
:spaced:
:out:
```

If IFS contains another character (or characters) as well as whitespace, then any sequence of whitespace characters plus that character will delimit a field, but every instance of a non-whitespace character delimits a field:

```
$ IFS=':'
$ var="qwerty : uiop : :: er " ## : :: delimits 2
empty fields
$ sa $var
:qwerty:
:uiop:
::
::
::
::
:::
```

If IFS contains only non-whitespace characters, then every occurrence of every character in IFS delimits a field, and whitespace is preserved:

```
$ IFS=:
    var="qwerty : uiop : :: er "
$    sa $ var
:qwerty :
: uiop :
: :
::
:: er :
```

# **Pathname Expansion**

Unquoted words on the command line containing the characters \*, ?, and [ are treated as *file globbing patterns* and are replaced by an alphabetical list of files that match the pattern. If no files match the pattern, the word is left unchanged.

The asterisk matches any string. h\* matches all files in the current directory that begin with h, and \*k matches all files that end with k. The shell replaces the wildcard pattern with the list of matching files in alphabetical order. If there are no matching files, the wildcard pattern is left unchanged.

```
$ cd "$HOME/bin"
$ sa h*
:hello:
:hw:
$ sa *k
:incheck:
:numcheck:
:rangecheck:
```

A question mark matches any single character; the following pattern matches all files whose second letter is a:

```
$ sa ?a*
:rangecheck:
:ba:
:valint:
:valnum:
```

Square brackets match any one of the enclosed characters, which may be a list, a range, or a class of characters: [aceg] matches any one of a, c, e, or g; [h-o] matches any character from h to o inclusive; and [[:lower:]] matches all lowercase letters.

You can disable file name expansion with the set  $\,$  -f command. bash has a number of options that affect file name expansion. I'll cover them in detail in Chapter 8 .

#### **Process Substitution**

Process substitution creates a temporary file name for a command or list of commands. You can use it anywhere a file name is expected. The form <(command) makes the output of command available as a file name; >(command) is a file name that can be written to.

```
$ sa <(ls -1) >(pr -Tn)
:/dev/fd/63:
:/dev/fd/62:
```

**Note** The pr command converts text files for printing by inserting page headers. The headers can be turned off with the -T option, and the -n option numbers the lines.

When the file name on the command line is read, it produces the output of the command. Process substitution can be used in place of a pipeline, allowing variables defined within a loop to be visible to the rest of the script. In this snippet, totalsize is not available to the script outside the loop:

```
$ ls -l * |
> while read perms links owner group size month day time file
> do
> printf "%10d %s\n" "$size" "$file"
> totalsize=$(( ${totalsize:=0} + ${size:-0} ))
> done
$ echo ${totalsize-unset} ## print "unset" if variable is not set unset
```

By using process substitution instead, the variable totalsize becomes available outside of the loop:

```
$ while read perms links owner group size month day time file
> do
> printf "%10d %s\n" "$size" "$file"
> totalsize=$(( ${totalsize:=0} + ${size:-0} ))
> done < <(ls -l *)
$ echo ${totalsize-unset}</pre>
12879
```

# **Parsing Options**

The options to a shell script, single characters preceded by a hyphen, can be parsed with the built-in command getopts. There may be arguments to some options, and options must precede non-option arguments.

Multiple options may be concatenated with a single hyphen, but any that take an argument must be the final option in the string. Its argument follows, with or without intervening whitespace.

On the following command line, there are two options: -a and -f. The latter takes a file name argument. John is the first non-option argument, and -x is not an option because it comes after a non-option argument.

```
myscript -a -f filename John -x Jane
```

The syntax for getopts is as follows:

```
getopts OPTSTRING var
```

The OPTSTRING contains all the option's characters; those that take arguments are followed by a colon. For the script in Listing 4-3, the string is f:v. Each option is placed in the variable \$var, and the option's argument, if any, is placed in \$OPTARG.

Usually used as the condition to a while loop, getopts returns successfully until it has parsed all the options on the command line or until it encounters the word --. All remaining words on the command line are arguments passed to the main part of the script.

A frequently used option is -v to turn on verbose mode, which displays more than the default information about the running of the script. Other options - for example, -f - require a file name argument.

This sample script processes both the -v and -f options and, when in verbose mode, displays some information.

#### **Listing 4-3.** parseopts, Parse Command-Line Options

```
progname=${0##*/} ## Get the name of the script without its path
## Default values
verbose=0
filename=
## List of options the program will accept;
```

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```
## those options that take arguments are followed by a colon
optstring=f:v
## The loop calls getopts until there are no more options on the
command line
## Each option is stored in $opt, any option arguments are stored in OPTARG
while getopts $optstring opt
do
  case $opt in
    f) filename=$OPTARG ;; ## $OPTARG contains the argument to the option
    v) verbose=$(( $verbose + 1 )) ;;
    *) exit 1 ;;
  esac
done
## Remove options from the command line
## $OPTIND points to the next, unparsed argument
shift "$(( $OPTIND - 1 ))"
## Check whether a filename was
                                             entered
if [ -n "$filename" ]
then
   if [ $verbose -gt 0 ]
   then
      printf "Filename is %s\n" "$filename"
   fi
else
   if [ $verbose -gt 0 ]
   then
     printf "No filename entered\n" >&2
   fi
   exit 1
fi
## Check whether file exists
if [ -f "$filename" ]
then
  if [ $verbose -gt 0 ]
  then
```

```
printf "Filename %s found\n" "$filename"
fi
else
  if [ $verbose -gt 0 ]
  then
    printf "File, %s, does not exist\n" "$filename" >&2
  fi
  exit 2
fi
## If the verbose option is selected,
## print the number of arguments remaining on the command line
if [ $verbose -gt 0 ]
then
  printf "Number of arguments is %d\n" "$#"
fi
```

Running the script without any arguments does nothing except generate a failing return code:

```
$ parseopts
$ echo $?
```

With the verbose option, it prints an error message as well:

```
$ parseopts -v
No filename entered
$ echo $?
```

With an illegal option (i.e., one that is not in \$optstring), the shell prints an error message:

```
$ parseopts -x
/home/jayant/bin/parseopts: illegal option - x
```

If a file name is entered and the file doesn't exist, it produces this:

```
$ parseopts -vf qwerty; echo $?
Filename is qwerty
File, qwerty, does not exist
2
```

To allow a non-option argument to begin with a hyphen, the options can be explicitly ended with --:

```
$ parseopts -vf ~/.bashrc -- -x
Filename is /home/jayant/.bashrc
Filename /home/jayant/.bashrc found
Number of arguments is 1
```

## **Summary**

The shell's pre-processing of the command line before passing it to a command saves programmers a great deal of work. The following are the commands you learned in this chapter, followed by some exercises to test your knowledge.

#### **Commands**

- head: Extracts the first N lines from a file; N defaults to 10.
- cut: Extracts columns from a file.

### **Exercises**

1. How many arguments are there on this command line?

```
sa $# $(date "+%Y %m %d") John\ Doe
```

2. What potential problem exists with the following snippet?

```
year=$( date +%Y )
month=$( date +%m )
day=$( date +%d )
hour=$( date +%H )
minute=$( date +%M )
second=$( date +%S )
```

# **Parameters and Variables**

Variables have been part of the Unix shell since its inception more than 30 years ago, but their features have grown over the years. The standard Unix shell now has parameter expansions that perform sophisticated manipulations on their contents. bash adds even more expansion capabilities as well as indexed and associative arrays.

This chapter covers what we can do with variables and parameters, including their scope. In other words, after a variable has been defined, where can its value be accessed? This chapter gives a glimpse of the more than 80 variables used by the shell that are available to the programmer. It discusses how to name the variables and how to pick them apart with parameter expansion.

Positional parameters are the arguments passed to a script. They can be manipulated with the shift command and used individually by number or in a loop.

Arrays assign more than one value to a name. bash has both numerically indexed arrays and, beginning with bash-4.0, associative arrays that are assigned and referenced by a string instead of a number.

## The Naming of Variables

Variable names can contain only letters, numbers, and underscores, and they must start with a letter or an underscore. Apart from those restrictions, you are free to build your names as you see fit. It is, however, a good idea to use a consistent scheme for naming variables, and choosing meaningful names can go a long way toward making your code self-documenting.

Perhaps the most frequently cited (though less often implemented) convention is that environment variables should be in capital letters, while local variables should be in lowercase. Given that bash itself uses more than 80 uppercase variables internally, this is a dangerous practice, and conflicts are not uncommon. There are plenty of cases where variables such as PATH, HOME, LINES, SECONDS, and UID are misused with potentially

#### CHAPTER 5 PARAMETERS AND VARIABLES

disastrous consequences. None of bash's variables begin with an underscore, so in the book *Shell Scripting Recipes: A Problem-Solution Approach* (Apress, 2005) and in this book, we are using uppercase names preceded by an underscore for values set by shell functions.

Single-letter names should be used rarely. They are appropriate as the index in a loop, where its sole function is as a counter. The letter traditionally used for this purpose is i, but a good preference is n. The letters l, o, and i can be easily confused with numbers like 1 and 0.

The other place where single-letter variables can be used is when using a throwaway material from a file. If a couple of fields are required from a file, then one could use it like

```
while IFS=: read login a b c name e
do
  printf "%-12s %s\n" "$login" "$name"
done < /etc/passwd</pre>
```

One can use either of the two naming schemes. The first is used by Heiner Steven on his Shelldorado website at www.shelldorado.com/. He capitalizes the first letter of all variables and the first letters of further words in the name: ConfigFile, LastDir, and FastMath.

With other programming languages and JSON, snake\_case is quite a popular methodology, which uses all lowercase letters separated by underscores, or another way would be to use camelCase, which has the first word in lowercase and the subsequent words starting with a capital letter. Whichever system one might choose, the choice of a system is a name that gives a real indication of what the variable contains and makes it readable. Some examples are configFile, lastDir, fastMath or line\_width, bg\_underline, and day\_of\_week, but be aware of not getting carried away with names like a\_long\_variable\_name\_which\_may\_tell\_you\_something\_about\_its\_purpose=1

## The Scope of a Variable: Can You See It from Here?

By default, a variable's definition is known only to the shell in which it is defined (and to subshells of that shell). The script that called the current script will not know about it, and a script called by the current script will not know about the variable unless it is exported to the *environment*.

The environment is an array of strings of the form name=value. Whenever an external command is executed (creating a child process), whether it is a compiled, binary command or an interpreted script, this array is passed to it behind the scenes. In a shell script, these strings are available as variables.

Variables assigned in a script may be exported to the environment using the shell built-in command export:

```
var=whatever
export var
```

In bash, this may be abbreviated like this:

```
export var=whatever
```

There is no need to export a variable unless you want to make it available to scripts (or other programs) called from the current script (and their children and *their* children's children and so on). Exporting a variable doesn't make it visible anywhere except child processes.

Listing 5-1 tells you whether the variable \$x is in the environment and what it contains if anything.

*Listing 5-1.* showvar, Print Value of Variable x

```
if [[ ${x+X} = X ]] ## If $x is set
then
  if [[ -n $x ]] ## if $x is not empty
  then
    printf " \$x = %s\n" "$x"
  else
    printf " \$x is set but empty\n"
  fi
else
  printf " %s is not set\n" "\$x"
fi
```

Once a variable is exported, it remains in the environment until it is unset:

#### CHAPTER 5 PARAMETERS AND VARIABLES

```
$
             unset x
$
             showvar
  $x is not set
$
             x=3
$
             showvar
  $x is not set
$
             export x
$
             showvar
  x = 3
$ x= ## in bash, reassignment doesn't remove a variable from the
environment
             showvar
  $x is set but empty
```

**Note** showvar is not a bash command, but a script, as seen in Listing 5-1, that works with the value of x.

Variables set in a subshell are not visible to the script that called it. Subshells include command substitution, as in \$(command) or `command`; all elements of a pipeline; and code enclosed in parentheses, as in (command).

Probably the most frequently asked question about shell programming is, "Where did my variables go? I know I set them, so why are they empty?" Often, this is caused by piping the output of one command into a loop that assigns variables:

```
printf "%s\n" ${RANDOM}{,,,,,} |
  while read num
  do
    (( num > ${biggest:=0} )) && biggest=$num
  done
printf "The largest number is: %d\n" "$biggest"
```

When biggest is found to be empty, complaints of variables set in while loops not being available outside them are heard in all the shell forums. But the problem is not the loop; it is that the loop is part of a pipeline and therefore is being executed in a subshell.

With bash-4.2, a new option, lastpipe, enables the last process in a pipeline to be executed in the current shell. It is invoked with the following:

shopt -s lastpipe

#### **Shell Variables**

The shell either sets or uses more than 80 variables. Many of these are used by bash internally and are of little use to shell programmers. Others are used in debugging, and some are in common use in shell programs. About half are set by the shell itself, and the rest are set by the operating system, the user, the terminal, or a script.

Of those set by the shell, you have already looked at RANDOM, which returns a random integer between 0 and 32,767, and PWD, which contains the path to the current working directory. You saw OPTIND and OPTARG used in parsing command-line options (Chapter 4). Sometimes, BASH\_VERSION (or BASH\_VERSINFO) is used to determine whether the running shell is capable of running a script. Some of the scripts in this book require at least bash-3.0 and might use one of those variables to determine whether the current shell is recent enough to run the script:

```
case $BASH_VERSION in
  [12].*) echo "You need at least bash3.0 to run this script" >&2; exit 2;;
esac
```

The prompt string variables, PS1 and PS2, are used in interactive shells at the command line; PS3 is used with the select built-in command, and PS4 is printed before each line in execution trace mode (more on that in Chapter 10).

#### **Shell Variables**

The following variables are set by the shell:

#### CHAPTER 5 PARAMETERS AND VARIABLES

BASH	BASHOPTS	BASHPID	BASH_ALIASES
BASH_ARGC	BASH_ARGV	BASH_CMDS	BASH_COMMAND
BASH_EXECUTION_STRING	BASH_LINENO	BASH_REMATCH	BASH_SOURCE
BASH_SUBSHELL	BASH_VERSINFO	BASH_VERSION	COMP_CWORD
COMP_KEY	COMP_LINE	COMP_POINT	COMP_TYPE
COMP_WORDBREAKS	COMP_WORDS	COPROC	DIRSTACK
EUID	FUNCNAME	GROUPS	HISTCMD
HOSTNAME	HOSTTYPE	LINENO	MACHTYPE
MAPFILE	OLDPWD	OPTARG	OPTIND
OSTYPE	PIPESTATUS	PPID	PWD
RANDOM	READLINE_LINE	READLINE_POINT	REPLY
SECONDS	SHELLOPTS	SHLVL	UID

The following variables are used by the shell, which may set a default value for some of them (e.g., IFS):

BASH_COMPAT	BASH_ENV	BASH_XTRACEFD	CDPATH
CHILD_MAX	COLUMNS	COMPREPLY	EMACS
FCEDIT	FIGNORE	FUNCNEST	GLOBIGNORE
HISTCONTROL	HISTFILE	HISTFILESIZE	HISTIGNORE
HISTSIZE	HISTTIMEFORMAT	HOME	HOSTFILE
IFS	IGNOREEOF	INPUTRC	LANG
LC_ALL	LC_COLLATE	LC_CTYPE	LC_MESSAGES
LC_NUMERIC	LC_NUMERIC	LINES	MAIL
MAILCHECK	MAILPATH	OPTERR	PATH
POSIXLY_CORRECT	PROMPT_COMMAND	PROMPT_DIRTRIM	PS1
PS2	PS3	PS4	SHELL
TIMEFORMAT	TMOUT	TMPDIR	auto_resume
histchars			

See Appendix A for a description of all the shell variables.

## **Parameter Expansion**

Much of the power of the modern Unix shell comes from its parameter expansions. In the Bourne shell, these mostly involved testing whether a parameter is set or empty and replacing it with a default or alternate value. KornShell additions, which were incorporated into the POSIX standard, added string manipulation. KornShell 93 added more expansions that have not been incorporated into the standard that bash has adopted. bash-4.0 has added two new expansions of its own.

### **Bourne Shell**

The Bourne shell and its successors have expansions to replace an empty or unset variable with a default, to assign a default value to a variable if it is empty or unset, and to halt execution and print an error message if a variable is empty or unset.

# **\${var:-default} and \${var-default}: Use Default Values**

When using variables in the shell script, we might need to determine if the variable has been set or not. When a variable is not assigned a value, it is either *unset* or *empty*. The expansions offer to provide a default value to those variables if a value is not set. The most commonly used expansion is \${var:-default}.

The most commonly used expansion, \${var:-default}, checks to see whether a variable is unset or empty and expands to a default string if it is:

```
$ var=
$ sa "${var:-default}" ## The sa script was introduced in
Chapter 4
```

:default:

If the colon is omitted, the expansion checks only whether the variable is unset:

```
$ var=
$ sa "${var-default}" ## var is set, so expands to nothing
::
$ unset var
```

```
$ sa "${var-default}" ## var is unset, so expands to
"default"
```

:default:

This snippet assigns a default value to \$filename if it is not supplied by an option or inherited in the environment:

```
defaultfile=$HOME/.bashrc
## parse options here
filename=${filename:-"$defaultfile"}
```

# **\${var:+alternate}** and **\${var+alternate}**: Use Alternate Values

The complement to the previous expansion substitutes an alternate value if the parameter is not empty or, without a colon, if it is set. The first expansion will use alternate only if \$var is set and is not empty:

```
$ var=
$ sa "${var:+alternate}" ## $var is set but empty
::
$ var=value
$ sa "${var:+alternate}" ## $var is not empty
:alternate:
```

Without the colon, alternate is used if the variable is set, even if it is empty:

```
$ var=
$ sa "${var+alternate}" ## var is set
:alternate:
$ unset var
$ sa "${var+alternate}" ## $var is not set
::
$ var=value
$ sa "${var:+alternate}" ## $var is set and not empty
:alternate:
```

n

This expansion is often used when adding strings to a variable. If the variable is empty, you don't want to add a separator:

```
$
                    var=
                    for n in a b c d e f g
$
> do
    var="$var $n"
> done
                    sa "$var"
: a b c d e f g:
   To prevent the leading space, you can use parameter expansion:
$
                    var=
$
                    for n in a b c d e f g
> do
    var="${var:+"$var "}$n"
> done
                    sa "$var"
:a b c d e f g:
   That is a shorthand method of doing the following for each value of n:
if [ -n "$var" ]
then
  var="$var $n"
else
  var=$n
fi
```

or:

[ -n "\$var" ] && var="\$var \$n" || var=\$

# **\${var:=default}** and **\${var=default}**: Assign Default Values

The \${var:=default} expansion behaves in the same way as \${var:-default} except that it also assigns the default value to the variable:

```
$ unset n
while:
> do
> echo:$n:
> [ ${n:=0} -gt 3 ] && break ## set $n to 0 if unset or empty
> n=$(( $n + 1 ))
> done
::
:1:
:2:
:3:
:4:
```

# **\${var:?message} and \${var?message}: Display Error Message If Empty or Unset**

If var is empty or not set, message will be printed to the standard error, and the script will exit with a status of 1. If message is empty, parameter null or not set will be printed. Listing 5-2 expects two non-null command-line arguments and uses this expansion to display error messages when they are missing or null.

#### Listing 5-2. checkarg, Exit If Parameters Are Unset or Empty

```
## Check for unset arguments
: ${1?An argument is required} \
    ${2?Two arguments are required}
## Check for empty arguments
```

```
: ${1::A non-empty argument is required} \
    ${2::Two non-empty arguments are required}
echo "Thank you."
```

The message will be printed by the first expansion that fails, and the script will exit at that point:

```
$ checkarg
/home/jayant/bin/checkarg: line 10: 1: An argument is required
$ checkarg x
/home/jayant/bin/checkarg: line 10: 2: Two arguments are required
$ checkarg '' ''
/home/jayant/bin/checkarg: line 13: 1: A non-empty argument is required
$ checkarg x ''
/home/jayant/bin/checkarg: line 13: 2: Two non-empty arguments are required
$ checkarg x x
Thank you.
```

#### **POSIX Shell**

Besides the expansions from the Bourne shell, the POSIX shell includes several expansions from the KornShell. These include returning the length and removing a pattern from the beginning or end of a variable's contents.

## \${#var}: Length of Variable's Contents

There can be times when one would want to determine the length of the value stored in the variable. This could be helpful to determine the length of the username, the length of the password, etc. This expansion returns the length of the expanded value of the variable:

```
read passwd
if [ ${#passwd} -lt 8 ]
then
  printf "Password is too short: %d characters\n" "${#passwd}" >&2
  exit 1
fi
```

# **\${var%PATTERN}:** Remove the Shortest Match from the End

The variable is expanded, and the shortest string that matches PATTERN is removed from the end of the expanded value. The PATTERN here and in other parameter expansions is a file name expansion (a.k.a. *file globbing*) pattern.

Given the string Wollongong and the pattern o\*, the shortest matching pattern is the final o:

```
$ var=Wollongong
$ printf "%s\n" "${var%o*}"
Wollong
```

Because the truncated string has been assigned to var, the shortest string that now matches the pattern is Woll:

```
$ printf "%s\n" "${var%o*}"
Woll
```

This expansion can be used to replace the external command, dirname, which strips the file name portion of a path, leaving the path to the directory (Listing 5-3). If there is no slash in the string, the current directory is printed if it is the name of an existing file in the current directory; otherwise, a dot is printed.

*Listing* **5-3.** dname, Print the Directory Portion of a File Path

```
case $1 in
  */*) printf "%s\n" "${1%/*}" ;;
  *) [ -e "$1" ] && printf "%s\n" "$PWD" || echo '.' ;;
esac
```

**Note** This script is named dname rather than dirname because it doesn't follow the POSIX specification for the dirname command. In the next chapter, there is a shell function called dirname that does implement the POSIX command.

```
$ dname /etc/passwd
```

/etc

**\$** dname bin

/home/jayant

# \${var%%PATTERN}: Remove the Longest Match from the End

The variable is expanded, and the longest string that matches the PATTERN from the end of the expanded value is removed:

```
$ var=Wollongong
$ sa "${var%o*}"
:W:
```

# \${var#PATTERN}: Remove the Shortest Match from the Beginning

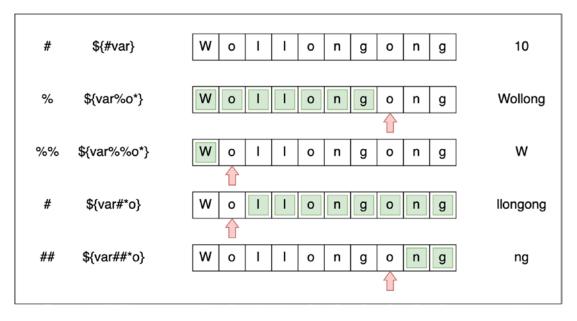
The variable is expanded, and the shortest string that matches PATTERN is removed from the beginning of the expanded value:

```
$ var=Wollongong
$ sa "${var#*o}"
:llongong:
```

# **\${var##PATTERN}: Remove the Longest Match from the Beginning**

The variable is expanded, and the longest string that matches PATTERN is removed from the beginning of the expanded value. This is often used to extract the name of a script from the \$0 parameter, which contains the full path to the script:

```
$ var=Wollongong
$ sa "${var##*o}"
:ng:
```



**Figure 5-1.** A summary of the expansions and how it affects the character's removal

### bash

Two expansions from KornShell 93 were introduced in bash2: search and replace and substring extraction.

# **\${var//PATTERN/STRING}: Replace All Instances of PATTERN with STRING**

Because the question mark matches any single character, this example hides a password:

```
$ passwd=zxQ1.=+-a
$ printf "%s\n" "${passwd//?/*}"
********
```

With a single slash, only the first matching character is replaced.

```
$ printf "%s\n" "${passwd/[[:punct:]]/*}"
zx01*=+-a
```

Here's another example with our string Wollongong where we can replace all of the o's with an e:

```
$ var=Wollongong
$ printf "%s\n" "${var//o/e}"
Wellengeng
```

Similarly, we can also use the single slash to change just a single character instead of all instances

```
$ var=Wollongong
$ printf "%s\n" "${var/o/e}"
Wellongong
```

# \${var:OFFSET:LENGTH}: Return a Substring of \$var

A substring of \$var starting at OFFSET is returned. If LENGTH is specified, that number of characters is substituted; otherwise, the rest of the string is returned. The first character is at offset 0:

```
$ var=Wollongong
$ sa "${var:4:3}"
:ong:
$ sa "${var:4}"
:ongong:
```

A negative OFFSET is counted from the end of the string. If a literal minus sign is used (as opposed to one contained in a variable), it must be preceded by a space to prevent it from being interpreted as a default expansion:

```
$ sa "${var: -4}"
:gong:
$ sa "${var:0: -4}"
:Wollon:
```

## **\${!var}: Indirect Reference**

If you have one variable containing the name of another, for example, x=yes and a=x, bash can use an indirect reference:

```
$ x=yes
a=x
$ sa "${a}"
:x:
$ sa "${!a}"
:yes:
```

The same effect can be had using the eval built-in command, which expands its arguments and executes the resulting string as a command:

There is nothing special or there are no system functions or syntax magic used here. a contains the value x, and all we are doing is evaluating a string "sa \\$\$a", which expands to "sa x", which, when evaluated, would return the value held in variable x, which is yes.

See Chapter 9 for a more detailed explanation of eval.

#### bash-4.0

In version 4.0, bash introduced two new parameter expansions: one for converting to uppercase and one for lowercase. Both have single-character and global versions.

# **\${var^PATTERN}: Convert to Uppercase**

The first character of var is converted to uppercase if it matches PATTERN; with a double caret (^^), it converts all characters matching PATTERN. If PATTERN is omitted, all characters are matched:

```
$ var=melbourne
$ sa "${var^}"
```

## \${var,PATTERN}: Convert to Lowercase

This expansion works in the same way as the previous one, except that it converts uppercase to lowercase:

```
$ var=MELBOURNE
$ sa "${var,}"
:mELBOURNE:
$ sa "${var,,}"
:melbourne:
$ sa "${var,,[N-Q]}"
:MELBOURNE:
#There is also an undocumented expansion that inverts the case:
$ var=MELBOURNE
$ sa "${var~}"
:mELBOURNE:
$ sa "${var~~}"
:melbourne:
```

#### **Positional Parameters**

The positional parameters can be referenced individually by number ( $1 \dots $9$  10) or all at once with "0" or "1". As has already been noted, parameters greater than 9 must be enclosed in braces: 10, 11.

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The shift command without an argument removes the first positional parameter and shifts the remaining arguments forward so that \$2 becomes \$1, \$3 becomes \$2, and so on. With an argument, it can remove more. To remove the first three parameters, supply an argument with the number of parameters to remove:

```
$ shift 3
```

To remove all the parameters, use the special parameter \$#, which contains the number of positional parameters:

```
$ shift "$#"
```

To remove all but the last two positional parameters, use this:

```
$ shift "$(( $# - 2 ))"
```

To use each parameter in turn, there are two common methods. The first way is to loop through the values of the parameters by expanding "\$@":

```
for param in "$@" ## or just: for param
do
    : do something with $param
done
    And this is the second:
while (( $# ))
do
    : do something with $1
    shift
done
```

### **Arrays**

All the variables used so far have been *scalar variables*; that is, they contain only a single value. In contrast, *array variables* can contain many values. The POSIX shell does not support arrays, but bash (since version 2) does. Its arrays are one dimensional and indexed by integers and, since bash-4.0, with strings.

## **Integer-Indexed Arrays**

The individual members of an array variable are assigned and accessed with a subscript of the form [N]. The first element has an index of 0. In bash, arrays are sparse; they needn't be assigned with consecutive indices. An array can have an element with an index of 0, another with an index of 42, and no intervening elements.

# **Displaying Arrays**

Array elements are referenced by the name and a subscript in braces. This example will use the shell variable BASH\_VERSINFO. It is an array that contains version information for the running shell. The first element is the major version number; the second is the minor:

```
$ printf "%s\n" "${BASH_VERSINFO[0]}"
5
$ printf "%s\n" "${BASH_VERSINFO[1]}"
1
```

All the elements of an array can be printed with a single statement. The subscripts @ and \* are analogous to their use with the positional parameters: \* expands to a single parameter if quoted; if unquoted, word splitting, and file name expansion is performed on the result. Using @ as the subscript and quoting the expansion, each element expands to a separate argument, and no further expansion is performed on them.

```
printf "%s\n" "${BASH_VERSINFO[*]}"
1 16 1 release x86_64-pc-linux-gnu
printf "%s\n" "${BASH_VERSINFO[@]}"

1 16 1
1 release
x86_64-pc-linux-gnu
```

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Various parameter expansions work on arrays; for example, to get the second and third elements from an array, use this:

```
$ printf "%s\n" "${BASH_VERSINFO[@]:1:2}" ## minor version
number and patch level
1
16
```

The length expansion returns the number of elements in the array when the subscript is \* or @, and it returns the length of an individual element if a numeric index is given:

```
$ printf "%s\n" "${#BASH_VERSINFO[*]}"
6
$ printf "%s\n" "${#BASH_VERSINFO[2]}" "${#BASH_VERSINFO[5]}"
2
19
```

# **Assigning Array Elements**

Elements can be assigned using an index; the following commands create a sparse array:

```
name[0]=Aaron
name[42]=Adams
```

Indexed arrays are more useful when elements are assigned consecutively (or *packed*), because it makes operations on them simpler. Assignments can be made directly to the next unassigned element:

```
$ unset a
$ a[${#a[@]}]="1 $RANDOM" ## ${#a[@]} is 0
$ a[${#a[@]}]="2 $RANDOM" ## ${#a[@]} is 1
$ a[${#a[@]}]="3 $RANDOM" ## ${#a[@]} is 2
$ a[${#a[@]}]="4 $RANDOM" ## ${#a[@]} is 3
$ printf "%s\n" "${a[@]}"
```

```
    6007
    3784
    32330
    25914
```

An entire array can be populated with a single command:

```
$ states=( TAS QLD VIC )
$ printf "%s\n" "${states[@]}"
TAS
QLD
VIC
```

The += operator can be used to append values to the end of an indexed array. This results in a neater form of assignment to the next unassigned element:

# **Associative Arrays**

Associative arrays, introduced in bash in version 4.0, use strings as subscripts and must be declared before being used:

```
$ declare -A array
$ for subscript in a b c d e
> do
> array[$subscript]="$subscript $RANDOM"
> done
$ printf ":%s:\n" "${array["c"]}" ## print one element
:c 1574:
$ printf ":%s:\n" "${array[@]}" ## print the entire array
:a 13856:
```

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:b 6235: :c 1574: :d 14020: :e 9165:

## **Summary**

The largest portion of this chapter is the parameter expansion. The parameter expansions introduced by KornShell that were then introduced into the Unix shell are quite important. These provide a powerful repository of tools to the user. The examples are quite simple; however, the full potential of expansions can be explored as we develop serious programs later in the book.

The other important point is arrays, though not a part of the POSIX standard, they add a great deal of functionality to the shell by making it possible to collect data in logical units.

Understanding the scope of variables can save a lot of head scratching, and adopting a good variable naming convention also makes the program readable and maintainable.

The portion on positional parameters is minor in this chapter but an important aspect of shell programming, and the examples in this chapter are simple; they will be revised and expanded upon later in the book.

#### **Commands**

- declare: Declares variables and sets their attributes
- eval: Expands arguments and executes the resulting command
- export: Places variables into the environment so that they are available to child processes
- shift: Deletes and renumbers positional parameters
- shopt: Sets shell options
- unset: Removes a variable entirely

### **Concepts**

- Environment: A collection of variables inherited from the calling program and passed to child processes
- Array variables: Variables that contain more than one value and accessed using a subscript
- Scalar variables: Variables that contain a single value
- Associative arrays: Array variables whose subscript is a string rather than an integer

### **Exercises**

- By default, where can a variable assigned in a script be accessed?
   Select all that apply.
  - In the current script
  - In functions defined in the current script
  - In the script that called the current script
  - In scripts called by the current script
  - In subshells of the current script
- 2. I advised against using single-letter variable names but give a couple of places where they are reasonable. Can you think of any other legitimate uses for them?
- 3. Given var=192.168.0.123, write a script that uses parameter expansion to extract the second number, 168.

# **Shell Functions**

A *shell function* is a compound command that has been given a name. It stores a series of commands for later execution. The name becomes a command and can be used in the same way as any other command. Its arguments are available in the positional parameters, just as in any other script. Like other commands, it sets a return code.

A function is executed in the same process as the script that calls it. This makes it fast because no new process must be created. All the variables of the script are available to it without having to be exported, and when a function changes those variables, the changes will be seen by the calling script. That said, you can make variables local to the function so that they do not affect the calling script; the choice is yours.

Not only do functions encapsulate code for reuse in a single script, but they can make it available to other scripts. They make top-down design easy and improve legibility. They break scripts into manageable chunks that can be tested and debugged separately.

At the command line, functions can do things that an external script cannot, such as change directories. They are much more flexible and powerful than aliases, which simply replace the command you type with a different command. Chapter 11 presents several functions that make working at the prompt more productive.

## **Definition Syntax**

When shell functions were introduced in the KornShell, the definition syntax was as follows:

```
function name { <compound command> }
```

When the Bourne shell added functions in 1984, the syntax (which was later included in ksh and adopted by the POSIX standard) was as follows:

```
name() { <compound command> }
```

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bash allows either syntax as well as the hybrid:

```
function name() { <compound command> }
```

The following is a function that I wrote several years ago and that, I recently discovered, is included as an example in the bash source code package. It checks whether a dotted-quad Internet Protocol (IP) address is valid. In this book, we always use the POSIX syntax for function definition:

```
isvalidip()
```

Then the body of the function is enclosed in braces ({ ... }) followed by optional redirection (see the uinfo function later in this chapter for an example).

The first set of tests is contained in a case statement:

```
case $1 in
   "" | *[!0-9.]* | *[!0-9]) return 1 ;;
esac
```

It checks for an empty string, invalid characters, or an address that doesn't end with a digit. If any of these items is found, the shell built-in command return is invoked with an exit status of 1. This exits the function and returns control to the calling script. An argument sets the function's return code; if there is no argument, the exit code of the function defaults to that of the last command executed.

The next command, local, is a shell built in that restricts a variable's scope to the function (and its children), but the variable will not change in the parent process. Setting IFS to a period causes word splitting at periods, rather than whitespace, when a parameter is expanded. Beginning with bash-4.0, local and declare have an option, -A, to declare an associative array.

```
local IFS=.
```

The set built-in replaces the positional parameters with its arguments. Since \$IFS is a period, each element of the IP address is assigned to a different parameter.

```
set -- $1
```

The final two lines check each positional parameter in turn. If it's greater than 255, it is not valid in a dotted-quad IP address. If a parameter is empty, it is replaced with the invalid value of 666. If all tests are successful, the function exits successfully; if not, the return code is 1, or failure.

```
[ $\{1:-666\} -le 255 ] && [ $\{2:-666\} -le 255 ] && [ $\{3:-666\} -le 255 ] && [ $\{4:-666\} -le 255 ]
```

Listing 6-1 shows the complete function with comments.

*Listing 6-1.* isvalidip, Check Argument for Valid Dotted-Quad IP Address

```
isvalidip() #@ USAGE: isvalidip DOTTED-QUAD
{
 case $1 in
    ## reject the following:
         empty string
    ##
    ##
         anything other than digits and dots
         anything not ending in a digit
    "" | *[!0-9.]* | *[!0-9]) return 1 ;;
 esac
 ## Change IFS to a dot, but only in this function
 local IFS=.
 ## Place the IP address into the positional parameters;
 ## after word splitting each element becomes a parameter
 set -- $1
  [ $# -eq 4 ] && ## must be four parameters
                  ## each must be less than 256
 ## A default of 666 (which is invalid) is used if a parameter is empty
 ## All four parameters must pass the test
  [ ${1:-666} -le 255 ] && [ ${2:-666} -le 255 ] &&
  [ ${3:-666} -le 255 ] && [ ${4:-666} -le 255 ]
}
```

**Note** Formats other than dotted quads can be valid IP addresses, as in 127.1, 216.239.10085 and 3639551845.

The function returns successfully (i.e., a return code of 0) if the argument supplied on the command line is a valid dotted-quad IP address. You can test the function at the command line by sourcing the file containing the function:

```
$ . isvalidip-func
```

The function is now available at the shell prompt. Let's test it with a few IP addresses:

```
$
             for ip in 127.0.0.1 168.260.0.234 1.2.3.4 123.100.34.21
204.225.122.150
> do
    if isvalidip "$ip"
>
    then
>
      printf "%15s: valid\n" "$ip"
>
    else
>
      printf "%15s: invalid\n" "$ip"
>
    fi
> done
      127.0.0.1: valid
  168.260.0.234: invalid
        1.2.3.4: valid
  123.100.34.21: invalid
204.225.122.150: valid
```

# **Compound Commands**

A compound command is a list of commands enclosed in  $(\ldots)$  or  $\{\ldots\}$ , expressions enclosed in  $((\ldots))$  or  $[[\ldots]]$ , or one of the block-level shell keywords (i.e., case, for, select, while, and until).

The valint program from Chapter 3 is a good candidate for converting to a function. It is likely to be called more than once, so the time saved could be significant. The program is a single compound command, so braces are not necessary (see Listing 6-2).

#### Listing 6-2. valint, Check for Valid Integer

If a function's body is wrapped in parentheses, then it is executed in a subshell, and changes made during its execution do not remain in effect after it exits:

```
$ funky() ( name=nobody; echo "name = $name" )
$ name=Rumpelstiltskin
$ funky
name = nobody
$ echo "name = $name"
name = Rumpelstiltskin
```

## **Getting Results**

The two previous functions are both called for their exit status; the calling program needs to know only whether the function succeeds or fails. Functions can also return information from a range of return codes by setting one or more variables or by printing its results.

### **Set Different Exit Codes**

You can convert the rangecheck script from Chapter 3 to a function with a couple of improvements; it returns 0 on success as before but differentiates between a number that is too high and one that is too low. It returns 1 if the number is too low, or it returns 2 if it is too high. It also accepts the range to be checked as arguments on the command line, defaulting to 10 and 20 if no range is given (Listing 6-3).

Listing 6-3. rangecheck, Check Whether an Integer Is Within a Specified Range

```
rangecheck() #@ USAGE: rangecheck int [low [high]]
  if [ "$1" -lt ${2:-10} ]
  then
    return 1
  elif [ "$1" -gt ${3:-20} ]
  then
    return 2
```

```
else
return 0
fi
```

Return codes are a single, unsigned byte; therefore, their range is 0 to 255. If you need numbers larger than 255 or less than 0, use one of the other methods of returning a value.

#### **Print the Result**

A function's purpose may be to print information, either to the terminal or to a file (Listing 6-4).

*Listing 6-4.* uinfo, Print Information About the Environment

```
uinfo() #@ USAGE: uinfo [file]
{
 printf "%12s: %s\n" \
           "${USER:-No value assigned}" \
   USER
           "${PWD:-No value assigned}" \
   PWD
   COLUMNS "${COLUMNS:-No value assigned}" \
         "${LINES:-No value assigned}" \
   LINES
           "${SHELL:-No value assigned}" \
   SHELL
           "${HOME:-No value assigned}" \
   HOME
           "${TERM:-No value assigned}"
   TERM
} > ${1:-/dev/fd/1}
```

The redirection is evaluated at runtime. In this example, it expands to the function's first argument or to /dev/fd/1 (standard output) if no argument is given:

```
$ uinfo
USER: jayant
PWD: /home/jayant/work/BashProgramming
COLUMNS: 100
LINES: 43
SHELL: /bin/bash
HOME: /home/jayant
```

```
TERM: rxvt

cd; uinfo $HOME/tmp/info

start $HOME/tmp/info

USER: jayant

PWD: /home/jayant

COLUMNS: 100

LINES: 43
```

When the output is printed to the standard output, it may be captured using command substitution:

```
info=$( uinfo )
```

But command substitution creates a new process and is therefore slow; save it for use with external commands. When a script needs output from a function, put it into variables.

### **Place Results in One or More Variables**

Say we wanted to sort three integers from the lowest to the highest. We could use an external command for a maximum of three comparisons, so this is the best place to add a function as can be seen in Listing 6-5. The way it works is it stores the results in three variables: MIN3, MID3, and MAX3.

```
Listing 6-5. _max3, Sort Three Integers
```

#### CHAPTER 6 SHELL FUNCTIONS

In the first edition of this book, the convention for function names that was used started with an underscore as in \_max3 for functions that set variables rather than printing the results. The variables set were the name of the function but in uppercase. However, in these instances, it required additional variables. Instead, an array could have been used to minimize the number of variables.

```
MAX3=( "$3" "$2" "$1" )
```

Now, with the nameref property, introduced in bash-4.x, the name of a variable can be passed to store the result.

```
max3() #@ Sort 3 integers and store in an array
{          #@ USAGE: max3 N1 N2 N3 [VARNAME]
          declare -n _max3=${4:-_MAX3}
          (( $# < 3 )) && return 4
          (( $1 > $2 )) && set -- "$2" "$1" "$3"
          (( $2 > $3 )) && set -- "$1" "$3" "$2"
          (( $1 > $2 )) && set -- "$2" "$1" "$3"
          _max3=( "$3" "$2" "$1" )
}
```

If no variable name is supplied on the command line, MAX3 is used.

#### **Function Libraries**

How one arranges functions is entirely up to them; some can have hundreds of files that have nothing but functions. There can be a single function in a single file or a collection of functions in a file. It does not matter how they are organized. One good way could be to organize them according to what they achieve, for example, a library of string functions, or a library of functions that manipulate dates, another for reading function keys and cursor keys, and another for mouse buttons.

## **Using Functions from Libraries**

Most of the time, all the functions in the script are included from the file:

```
. date-funcs
```

Occasionally, one might need only one function from a library, so the function can be cut and paste into a new script.

## **Sample Script**

The following script defines four functions: die, usage, version, and readline. The readline function will differ according to which shell you are using. The script creates a basic web page, complete with title and primary headline (<H1>). The readline function uses options to the built-in command read that will be examined in detail in Chapter 9.

```
##
## Set defaults
##
prompt=" ==> "
template='<!DOCTYPE html>
<html lang="en">
  <head>
    <meta charset=utf-8>
    <title>%s</title>
    <link href="%s" rel="stylesheet">
  </head>
  <body>
    <h1>%s</h1>
    <div id=main>
    </div>
  </body>
</html>
##
## Define shell functions
##
die() #@ DESCRIPTION: Print error message and exit with ERRNO code
      #@ USAGE: die ERRNO MESSAGE ...
  error=$1
  shift
```

#### CHAPTER 6 SHELL FUNCTIONS

```
[ -n "$*" ] && printf "%s\n" "$*" >&2
  exit "$error"
}
usage() #@ Print script's usage information
        #@ USAGE: usage
 printf "USAGE: %s HTMLFILE\n" "$progname"
version() #@ Print scrpt's version information
           #@ USAGE: version
 printf "%s version %s" "$progname" "${version:-1}"
#@ USAGE: readline var prompt default
#@ DESCRIPTION: Prompt user for string and offer default
##
#@ Define correct version for your version of bash or other shell
bashversion=${BASH VERSION%%.*}
if [ ${bashversion:-0} -ge 4 ]
then
  ## bash4.x has an -i option for editing a supplied value
 readline()
    read -ep "${2:-"$prompt"}" -i "$3" "$1"
elif [ ${bashversion:-0} -ge 2 ]
then
  readline()
    history -s "$3"
    printf "Press up arrow to edit default value: '%s'\n" "${3:-none}"
    read -ep "${2:-"$prompt"}" "$1"
  }
else
  readline()
  {
    printf "Press enter for default of '%s'\n" "$3"
```

```
printf "%s " "${2:-"$prompt"}"
    read
    eval "$1=\${REPLY:-"$3"}"
}
fi
if [ $# -ne 1 ]
then
    usage
    exit 1
fi
filename=$1
readline title "Page title: "
readline h1 "Main headline: " "$title"
readline css "Style sheet file: " "${filename%.*}.css"
printf "$template" "$title" "$css" "$h1" > "$filename"
```

# **Summary**

Shell functions enable you to create large, fast, sophisticated programs. Without them, the shell could hardly be called a real programming language. Functions will be part of almost everything from here to the end of the book.

#### **Commands**

- local: Restricts a variable's scope to the current function and its children
- return: Exits a function (with an optional return code)
- set: With --, replaces the positional parameters with the remaining arguments (after --)

# **Exercises**

- 1. Rewrite function isvalidip using parameter expansion instead of changing IFS.
- 2. Add a check to max3 to verify that VARNAME is a valid name for a variable.

# **String Manipulation**

In the Bourne shell, very little string manipulation was possible without resorting to external commands. Strings could be concatenated by juxtaposition, they could be split by changing the value of IFS, and they could be searched with case, but anything else required an external command.

Even things that *could* be done entirely in the shell were often relegated to external commands, and that practice has continued to this day. In some Linux distributions, you can find the following snippet in /etc/profile. It checks whether a directory is included in the PATH variable:

```
if ! echo ${PATH} |grep -q /usr/games
then
  PATH=$PATH:/usr/games
fi
```

Even in a Bourne shell, you can do this without an external command:

```
case :$PATH: in
 *:/usr/games:*);;
 *) PATH=$PATH:/usr/games ;;
esac
```

The POSIX shell includes several parameter expansions that slice and dice strings, and bash adds even more. These were outlined in Chapter 5, and their use is expanded upon in this chapter along with other string techniques.

#### **Concatenation**

*Concatenation* is the joining together of two or more items to form one larger item. In this case, the items are strings. They are joined by placing one after the other. A common example, which is used in Chapter 1, adds a directory to the PATH variable. It concatenates a variable with a single-character string (:), another variable, and a literal string:

```
PATH=$PATH:$HOME/bin
```

If the right side of the assignment contains a literal space or other character special to the shell, then it must be quoted with double quotes (variables inside single quotes are not expanded):

```
var=$HOME/bin # this comment is not part of the assignment
var="$HOME/bin # but this is"
```

In bash-3.1, a string append operator (+=) was added:

```
$ var=abc
$ var+=xyz
$ echo "$var"
abcxyz
```

This append operator += looks much better and is clearer to understand. It also has a slight performance advantage over the other method. It also makes sense to use += for appending to an array, as demonstrated in Chapter 5.

```
Tip For those that want to benchmark the two methods, you could try this little one liner: var=; time for i in {1..1000}; do var=${var} foo;done; var=; time for i in {1..1000}; do var+=foo;done.
```

# Repeat Character to a Given Length

Concatenation is used in this function that builds a string of N characters; it loops, adding one instance of \$1 each time, until the string (\$\_REPEAT) reaches the desired length (contained in \$2).

```
_repeat()
{
  #@ USAGE: _repeat string number
  _REPEAT=
  while (( ${#_REPEAT} < $2 ))
  do
  _REPEAT=$_REPEAT$1
  done
}
  The result is stored in the variable _REPEAT:
$ _repeat % 40
$ printf "%s\n" "$_REPEAT"</pre>
```

You can speed that function up by concatenating more than one instance in each loop so that the length increases geometrically. The problem with this version is that the resulting string will usually be longer than required. To fix that, parameter expansion is used to trim the string to the desired length (Listing 7-1).

#### *Listing 7-1.* repeat, Repeat a String N Times

```
_repeat()
{
    #@ USAGE: _repeat string number
    _REPEAT=$1
    while (( ${#_REPEAT} < $2 )) ## Loop until string exceeds desired length
    do
    _REPEAT=$_REPEAT$_REPEAT$_REPEAT ## 3 seems to be the optimum number
    done
    REPEAT=${ REPEAT:0:$2} ## Trim to desired length</pre>
```

```
}
repeat()
{
   _repeat "$@"
   printf "%s\n" "$_REPEAT"
}

The _repeat function is called by the alert function (Listing 7-2).
```

Listing 7-2. alert, Print a Warning Message with a Border and a Beep

```
alert() #@ USAGE: alert message border
{
   _repeat "${2:-#}" $(( ${#1} + 8 ))
   printf '\a%s\n' "$_REPEAT" ## \a = BEL
   printf '%2.2s %s %2.2s\n' "$_REPEAT" "$1" "$_REPEAT"
   printf '%s\n' "$_REPEAT"
}
```

The function prints the message surrounded by a border generated with repeat:

The border character can be changed with a command-line argument:

# **Processing Character by Character**

There are no direct parameter expansions to give either the first or last character of a string, but by using the wildcard (?), a string can be expanded to everything *except* its first or last character:

```
$ var=strip
$ allbutfirst=${var#?}
$ allbutlast=${var%?}
$ sa "$allbutfirst" "$allbutlast"
:trip:
:stri:
```

The values of allbutfirst and allbutlast can then be removed from the original variable to give the first or last character:

```
$ first=${var%"$allbutfirst"}
$ last=${var#"$allbutlast"}
$ sa "$first" "$last"
:s:
:p:
```

The first character of a string can also be obtained with printf:

```
printf -v first "%c" "$var"
```

To operate on each character of a string one at a time, use a while loop and a temporary variable that stores the value of var minus its first character. The temp variable is then used as the pattern in a \${var%PATTERN} expansion. Finally, \$ temp is assigned to var, and the loop continues until there are no characters left in var:

```
while [ -n "$var" ]
do

temp=${var#?} ## everything but the first character
char=${var%"$temp"} ## remove everything but the first character
: do something with "$char"
var=$temp ## assign truncated value to var
done
```

#### Reversal

You can use the same method to reverse the order of characters in a string. Each letter is tacked on to the end of a new variable (Listing 7-3).

Listing 7-3. revstr, Reverse the Order of a String; Store Result in REVSTR

```
_revstr() #@ USAGE: revstr STRING
{
  var=$1
  _REVSTR=
  while [ -n "$var" ]
  do
   temp=${var#?}
  _REVSTR=$temp${var%"$temp"}
  var=$temp
  done
}
```

#### **Case Conversion**

In the Bourne shell, case conversion was done with external commands such as tr, which translates characters in its first argument to the corresponding character in its second argument:

```
$ echo abcdefgh | tr ceh CEH # c => C, e => E, h => H
abCdEfgH
$ echo abcdefgh | tr ceh HEC # c => H, e => E, h => C
abHdEfgC
```

Ranges specified with a hyphen are expanded to include all intervening characters:

```
$ echo touchdown | tr 'a-z' 'A-Z'
TOUCHDOWN
```

In the POSIX shell, short strings can be converted efficiently using parameter expansion and a function containing a case statement as a lookup table. The function looks up the first character of its first argument and stores the uppercase equivalent in \_UPR. If the first character is not a lowercase letter, it is unchanged (Listing 7-4).

#### *Listing 7-4.* to upper, Convert First Character of \$1 to Uppercase

```
to_upper()
  case $1 in
   a*) _UPR=A ;; b*) _UPR=B ;; c*) _UPR=C ;; d*) _UPR=D ;;
  e*) _UPR=E ;; f*) _UPR=F ;; g*) _UPR=G ;; h*) _UPR=H ;;
  i*) _UPR=I ;; j*) _UPR=J ;; k*) _UPR=K ;; l*) _UPR=L ;;
  m*) _UPR=M ;; n*) _UPR=N ;; o*) _UPR=O ;; p*) _UPR=P ;;
  q*) _UPR=Q ;; r*) _UPR=R ;; s*) _UPR=S ;; t*) _UPR=T ;;
  u*) _UPR=U ;; v*) _UPR=V ;; w*) _UPR=W ;; x*) _UPR=X ;;
  y*) _UPR=Y ;; z*) _UPR=Z ;; *) _UPR=${1%${1#?}} ;;
  esac
```

To capitalize a word (i.e., just the first letter), call to\_upper with the word as an argument, and append the rest of the word to \$\_UPR:

```
$ word=function
$ to_upper "$word"
$ printf "%c%s\n" "$_UPR" "${word#?}"
Function
```

To convert the entire word to uppercase, you can use the upword function shown in Listing 7-5.

#### Listing 7-5. upword, Convert Word to Uppercase

```
_upword () #@ USAGE: upword STRING
{
  local word=$1
  while [ -n "$word" ] ## loop until nothing is left in $word
  do
   to_upper "$word"
  _UPWORD=$_UPWORD$_UPR
  word=${word#?} ## remove the first character from $word
  done
}
```

#### CHAPTER 7 STRING MANIPULATION

```
upword()
{
   _upword "$@"
   printf "%s\n" "$_UPWORD"
}
```

You can use the same technique to convert uppercase to lowercase; you can try to write the code for that as an exercise.

The basics of case conversion using the parameter expansions introduced in bash-4.x were covered in Chapter 5. Some uses for them are shown in the following sections. Using those expansions would make for some extensible functions, since the functions we write can be limited to the code we write.

```
$ title=" für èlisé"
$ upword $title
FüR èLISé
$ echo ${title^^}
FÜR ÈLISÉ
```

So if we were to rewrite our \_upword and upword functions as \_newupword and newupword, it would look something like

```
_newupword () #@ USAGE: upword STRING
{
     _NEWUPWORD=${1^^}}
}
newupword()
{
    _newupword "$@"
    printf "%s\n" "$_NEWUPWORD"
}
```

Many of the functions in this book are retained for portability with earlier versions of bash and could be replaced with shorter and more extensible versions with the features released in the newer versions like the example shown previously that is literally a one liner.

# **Comparing Contents Without Regard to Case**

When getting user input, a programmer often wants to accept it in either uppercase or lowercase or even a mixture of the two. When the input is a single letter, as in asking for Y or N, the code is simple. There is a choice of using the or symbol (|):

```
read ok
case $ok in
  y|Y) echo "Great!" ;;
  n|N) echo Good-bye
    exit 1
    ;;
  *) echo Invalid entry ;;
esac
    or a bracketed character list:
read ok
case $ok in
  [yY]) echo "Great!" ;;
  [nN]) echo Good-bye
    exit 1
    ;;
  *) echo Invalid entry ;;
esac
```

When the input is longer, the first method requires all possible combinations to be listed, for example:

```
jan | jaN | jAn | jAN | Jan | JAN | JAN) echo "Great!" ;;
```

The second method works but is ugly and hard to read, and the longer the string is, the harder and uglier it gets:

```
read monthname
case $monthname in ## convert $monthname to number
[Jj][Aa][Nn]*) month=1 ;;
[Ff][Ee][Bb]*) month=2 ;;
## ...put the rest of the year here
```

```
[Dd][Ee][Cc]*) month=12 ;;
[1-9]|1[0-2]) month=$monthname ;; ## accept number if entered
*) echo "Invalid month: $monthname" >&2 ;;
esac
```

A better solution is to convert the input to uppercase first and then compare it:

```
_upword "$monthname"
case $_UPWORD in ## convert $monthname to number
   JAN*) month=1 ;;
FEB*) month=2 ;;
## ...put the rest of the year here
DEC*) month=12 ;;
[1-9]|1[0-2]) month=$monthname ;; ## accept number if entered
*) echo "Invalid month: $monthname" >&2 ;;
esac
```

**Note** See Listing 7-11 at the end of this chapter for another method of converting a month name to a number.

In bash-4.x and later, the \_upword function can be replaced with case \${monthname^^} in, although keeping it in a function might ease the transition between versions of bash.

#### **Check for Valid Variable Name**

As a developer or one that writes scripts, we might know what the rules around variable naming conventions are; however, if we leave that task on a user, there are chances that it might not end well, especially when we might have an automated script that autogenerates code or scripts. In such scenarios, we might want to at first validate if the name entered by the user is a valid name and it does not violate any of the rules. We shall ensure that the name consists of only letters, numbers, and underscores and these must begin with a letter or an underscore.

#### *Listing* 7-6. validname, Check \$1 for a Valid Variable or Function Name

```
validname() #@ USAGE: validname varname
case $1 in
  ## doesn't begin with a letter or an underscore, or
  ## contains something that is not a letter, a number, or an underscore
  [!a-zA-Z_]* | *[!a-zA-ZO-9_]* ) return 1;;
esac
```

The function is successful if the first argument is a valid variable name; otherwise, it fails.

```
$ for name in name1 2var first.name first_name last-name
> do
> validname "$name" && echo " valid: $name" || echo "invalid: $name"
> done
  valid: name1
invalid: 2var
invalid: first_name
  valid: first_name
invalid: last-name
```

# **Insert One String into Another**

To insert a string into another string, it is a simple operation; we need to splice the string into two parts: the left part and the right part at the point where the insert string needs to be inserted. Then we can simply concatenate the three strings as *leftPart* + *insertString* + *rightString*.

This function takes three arguments: the main string, the string to be inserted, and the position at which to insert it. If the position is omitted, it defaults to inserting after the first character. The work is done by the first function, which stores the result in \_insert\_string. This function can be called to save the cost of using command substitution. The insert\_string function takes the same arguments, which it passes to \_insert\_string and then prints the result (Listing 7-7).

*Listing* 7-7. insert\_string, Insert One String into Another at a Specified Location

```
_insert_string() #@ USAGE: _insert_string STRING INSERTION [POSITION]
                                   ## default insert location
 local insert string dflt=2
local string=$1
                             ## container string
local i_string=$2
                              ## string to be inserted
local i pos=${3:-${insert string dflt:-2}} ## insert location
local left right
                              ## before and after strings
left=${string:0:$(( $i pos - 1 ))} ## string to left of insert
right=${string:$(( $i_pos - 1 ))} ## string to right of insert
 insert string=$left$i string$right ## build new string
insert string()
{
_insert_string "$@" && printf "%s\n" "$_insert_string"
```

#### **Examples**

```
$ insert_string poplar u 4
popular
$ insert_string show ad 3
shadow
$ insert_string tail ops ## use default position
topsail
```

# **Overlay**

Overlaying a string is basically replacing the characters in the string; it functions like the insert string function but the difference being that the string to overlay replaces the rightPart up to the length of the overlay. So instead of the *leftPart* + *insertString* + *rightPart*, it is *leftPart* + *overlayString* + *rightString* (overwriting the *rightPart* for the length of the *overlayString*)

Listing 7-8. overlay, Place One String over the Top of Another

**Note** The arithmetic within the substring expansion doesn't need the full POSIX arithmetic syntax; bash will evaluate an expression if it finds one in the place of an integer.

# **Examples**

```
$ {
> overlay pony b 1
> overlay pony u 2
> overlay pony s 3
> overlay pony d 4
> }
bony
puny
posy
pond
```

#### **Trim Unwanted Characters**

Variables often arrive with unwanted padding: usually spaces or leading zeros. These can easily be removed with a loop and a case statement:

```
var=" John "
while : ## infinite loop
do
  case $var in
   ' '*) var=${var#?} ;; ## if $var begins with a space remove it
   *' ') var=${var%?} ;; ## if $var ends with a space remove it
   *) break ;; ## no more leading or trailing spaces, so exit the loop esac
done
```

A faster method finds the longest string that doesn't begin or end with the character to be trimmed and then removes everything but that from the original string. This is similar to getting the first or last character from a string, where we used allbutfirst and allbutlast variables.

If the string is "John", the longest string that ends in a character that is not to be trimmed is "John". That is removed, and the spaces at the end are stored in rightspaces with this:

```
rightspaces=${var##*[!]} ## remove everything up to the last non-space
   Then you remove $rightspaces from $var:
var=${var%"$rightspaces"} ## $var now contains " John"
   Next, you find all the spaces on the left with this:
leftspaces=${var%%[!]*} ## remove from the first non-space to the end
   Remove $leftspaces from $var:
var=${var#"$leftspaces"} ## $var now contains "John"
```

This technique is refined a little for the trim function (Listing 7-9). Its first argument is the string to be trimmed. If there is a second argument, that is the character that will be trimmed from the string. If no character is supplied, it defaults to a space.

#### *Listing 7-9.* trim, Trim Unwanted Characters

```
_trim() #@ Trim spaces (or character in $2) from $1
{
  local trim_string
   _TRIM=$1
  trim_string=${_TRIM##*[!${2:- }]}
   _TRIM=${_TRIM%"$trim_string"}
  trim_string=${_TRIM%%[!${2:- }]*}
  _TRIM=${_TRIM#"$trim_string"}
}
trim() #@ Trim spaces (or character in $2) from $1 and print the result
{
   _trim "$@" && printf "%s\n" "$_TRIM"
}
```

# **Examples**

```
$ trim " Spacedout "
Spacedout
$ trim "0002367.45000" 0
2367.45
```

#### Index

The index function converts a month name into its ordinal number; it returns the position of one string inside another (Listing 7-10). It uses parameter expansion to extract the string that precedes the substring. The index of the substring is one more than the length of the extracted string.

#### Listing 7-10. index, Return Position of One String Inside Another

```
_index() #@ Store position of $2 in $1 in $_INDEX
{
  local idx
  case $1 in
```

```
"") _INDEX=0; return 1 ;;

*"$2"*) ## extract up to beginning of the matching portion
    idx=${1%"$2"*}
    ## the starting position is one more than the length
    _INDEX=$(( ${#idx} + 1 )) ;;

*) _INDEX=0; return 1 ;;
esac
}
index()
{
    _index "$@"
    printf "%d\n" "$_INDEX"
}
```

Listing 7-11 shows the function to convert a month name to a number. It converts the first three letters of the month name to uppercase and finds its position in the months string. Since we have all the month names as "JAN.FEB.MAR...", each month name is separated by a period and has a length of four characters. We can use the function index to get the position in the string where the month name is, and this position divided by 4 gives us the block where that month is, add 1 to this as the first block is 0, and we get our month converted to number.

Listing 7-11. month2num, Convert a Month Name to Its Ordinal Number

```
_month2num()
{
  local months=JAN.FEB.MAR.APR.MAY.JUN.JUL.AUG.SEP.OCT.NOV.DEC
  _upword "${1:0:3}" ## take first three letters of $1 and convert to
uppercase
  _index "$months" "$_UPWORD" || return 1
  _MONTH2NUM=$(( $_INDEX / 4 + 1 ))
}
```

```
month2num()
{
   _month2num "$@" &&
   printf "%s\n" "$_MONTH2NUM"
}
```

# **Summary**

We learned the following commands and functions in this chapter.

#### **Commands**

• tr: Translates characters

#### **Functions**

- repeat: Repeats a string until it has length N
- alert: Prints a warning message with a border and a beep
- revstr: Reverses the order of a string; stores result in \_REVSTR
- to\_upper: Converts the first character of \$1 to uppercase
- upword: Converts a word to uppercase
- validname: Checks \$1 for a valid variable or function name
- insert\_string: Inserts one string into another at a specified location
- overlay: Places one string over the top of another
- trim: Trims unwanted characters
- index: Returns the position of one string inside another
- month2num: Converts a month name to its ordinal number

#### **Exercises**

1. What is wrong with this code (besides the inefficiency noted at the beginning of the chapter)?

```
if ! echo ${PATH} |grep -q /usr/games
PATH=$PATH:/usr/games
fi
```

- 2. Write a function called to\_lower that does the opposite of the to upper function in Listing 7-4.
- 3. Write a function, palindrome, which checks whether its command-line argument is a palindrome (i.e., a word or phrase that is spelled the same backward and forward). Note that spaces and punctuation are ignored in the test. Exit successfully if it is a palindrome. Include an option to print a message as well as set the return code.
- 4. Write two functions, ltrim and rtrim, which trim characters in the same manner as trim but from only one side of the string, left and right, respectively.

# File Operations and Commands

Because the shell is an interpreted language, it is comparatively slow. Many operations on files are best done with external commands that implicitly loop over the lines of a file. At other times, the shell itself is more efficient. This chapter looks at how the shell works with files – both shell options that modify and extend file name expansion and shell options that read and modify the contents of files. Several external commands that work on files are explained, often accompanied by examples of when *not* to use them.

Some of the scripts in this chapter use an especially prepared file containing the King James version of the Bible. The file can be downloaded from http://cfajohnson.com/kjv/kjv.txt. Download it to your home directory with wget:

\$ wget http://cfajohnson.com/kjv/kjv.txt

In this file, each verse of the Bible is on a single line preceded by the name of the book and the chapter and verse numbers, all delimited with colons:

Genesis:001:001:In the beginning God created the heaven and the earth. Exodus:020:013:Thou shalt not kill. Exodus:022:018:Thou shalt not suffer a witch to live. John:011:035:Jesus wept.

The path to the file will be kept in the variable kjv, which will be used whenever the file is needed.

export kjv=\$HOME/kjv.txt

#### Reading a File

The most basic method of reading the contents of a file is a while loop with its input redirected:

```
while read ## no name supplied so the variable REPLY is used
do
  : do something with "$REPLY" here
done < "$kjv"</pre>
```

The file will be stored, one line at a time, in the variable REPLY. More commonly, one or more variable names will be supplied as arguments to read:

The lines are split using the characters in IFS as word delimiters. If the file contained in \$file contains these two lines:

```
John 555-1234
Jane 555-7531
```

the output of the previous snippet will be as follows:

```
Name: John Phone: 555-1234
Name: Jane Phone: 555-7531
```

By changing the value of IFS before the read command, other characters can be used for word splitting. The same script, using only a hyphen in IFS instead of the default space, tab, and newline, would produce this:

```
$ while IFS=- read name phone
} do
> printf "Name: %-10s\tPhone: %s\n" "$name" "$phone"
> done < "$file"
Name: John 555 Phone: 1234
Name: Jane 555 Phone: 7531</pre>
```

Placing an assignment in front of a command causes it to be local to that command and does not change its value elsewhere in the script.

To read the King James version of the Bible (henceforth referred to as KJV), the field separator IFS should be set to a colon so that lines can be split into book, chapter, verse, and text, each being assigned to a separate variable (Listing 8-1).

Listing 8-1. kjvfirsts, Print Book, Chapter, Verse, and First Words from KJV

```
while IFS=: read book chapter verse text
do
   firstword=${text%% *}
   printf "%s %s:%s %s\n" "$book" "$chapter" "$verse" "$firstword"
done < "$kjv"</pre>
```

The output (with more than 31,000 lines replaced by a single ellipsis) looks like this:

```
Genesis 001:001 In
Genesis 001:002 And
Genesis 001:003 And
...
Revelation 022:019 And
Revelation 022:020 He
Revelation 022:021 The
```

The awk programming language is often used in shell scripts when the shell itself is too slow (as in this case) or when features not present in the shell are required (e.g., arithmetic using decimal fractions). The language is explained in somewhat more detail in the following section.

#### **External Commands**

Many tasks can be accomplished using the shell without even calling any external commands. Some use more commands to provide data for a script or process, whereas other scripts are best written with nothing but external commands.

Often, the functionality of an external command can be duplicated within the shell, and sometimes, it cannot. Sometimes, using the shell is the most efficient method; sometimes, it is the slowest. Here, we'll cover several external commands that

process files and show how they are used (and often misused). These are not detailed explanations of the commands; usually they are an overview with, in most cases, a look at how they are used – or misused – in shell scripts.

#### cat

One of the most misused commands, cat reads all the files on its command line and prints their contents to the standard output. If no file names are supplied, cat reads the standard input. It is an appropriate command when more than one file needs to be read or when a file needs to be included with the output of other commands:

It is not necessary when the file or files could have been placed on the command line:

```
cat thisfile.txt | head -n 25 > thatfile.txt ## WRONG
head -n 25 thisfile.txt > thatfile.txt ## CORRECT
```

It is useful when more than one file (or none) needs to be supplied to a command that cannot take a file name as an argument or can take only a single file, as in redirection. It is useful when one or more file names may or may not be on the command line. If no files are given, the standard input is used:

```
cat "$@" | while read x; do whatever; done
```

The same thing can be done using process substitution, the advantage being that variables modified within the while loop will be visible to the rest of the script. The disadvantage is that it makes the script less portable.

```
while read x; do : whatever; done < <( cat "$@" )</pre>
```

Another frequent misuse of cat is to use the output as a list with for:

```
for line in (cat "kjv"); do n=(( \{n:-0\} + 1 )); done
```

That script does not put lines into the line variable; it reads each word into it. The value of n will be 795989, which is the number of words in the file. There are 31,102 lines in the file. (And if you really wanted that information, you would use the wc command.)

#### head

By default, head prints the first ten lines of each file on the command line, or from the standard input if no file name is given. The -n option changes that default:

```
$ head -n 1 "$kjv"
Genesis:001:001:In the beginning God created heaven and the earth.
```

The output of head, like that of any command, can be stored in a variable:

```
filetop=$( head -n 1 "$kjv")
```

In that instance, head is unnecessary; this shell one liner does the same thing without any external command:

```
read filetop < "$kjv"
```

Using head to read one line is especially inefficient when the variable then has to be split into its constituent parts:

```
book=${filetop%%:*}
text=${filetop##*:}
```

That can be accomplished much more rapidly with read:

```
$ IFS=: read book chapter verse text < "$kjv"
$ sa "$book" "$chapter" "$ verse "
"${text% *}"
:Genesis:
:001:
:101:</pre>
```

Even reading multiple lines into variables can be faster using the shell instead of head:

```
{
  read line1
  read line2
  read line3
  read line4
} < "$kjv"

or you can put the lines into an array:
for n in {1..4}
do
  read lines[${#lines[@]}]
done < "$kjv"</pre>
```

In bash-4.x, the new built-in command mapfile can also be used to populate an array:

```
mapfile -tn 4 lines < "$kjv"</pre>
```

The mapfile command is explained in more detail in Chapter 13.

#### touch

The default action of touch is to update the timestamp of a file to the current time, creating an empty file if it doesn't exist. An argument to the -d option changes the timestamp to that time rather than the present. It is not necessary to use touch to create a file. The shell can do it with redirection:

```
> filename
```

Even to create multiple files, the shell is faster:

#### Is

Unless used with one or more options, the 1s command offers little functional advantage over shell file name expansion. Both list files in alphabetical order. If you want the files displayed in neat columns across the screen, 1s is useful. If you want to do anything with those file names, it can be done better, and often more safely, in the shell.

With options, however, it's a different matter. The -1 option prints more information about the file, including its permissions, owner, size, and date of modification. The -t option sorts the files by last modification time, most recent first. The order (whether by name or by time) is reversed with the -r option.

Is is many times misused in a manner that can break a script. File names containing spaces are an abomination, but they are so common nowadays that scripts *must* take their possibility (or would it be, say, inevitability?) into account. In the following construction (that is seen all too often), not only is 1s unnecessary, but its use will break the script if any file names contain spaces:

```
for file in $(ls); do
```

The result of command substitution is subject to word splitting, so file will be assigned to each word in a file name if it contains spaces:

```
$ touch {zzz,xxx,yyy}\ a ## create 3 files with a space in
their names
$ for file in $(ls *\ *); do echo "$file"; done
xxx
a
yyyy
a
zzz
a
```

On the other hand, using file name expansion gives the desired (i.e., correct) results:

```
$ for file in *\ *; do echo "$file"; done
xxx a
yyy a
zzz a
```

#### cut

The cut command extracts portions of a line, specified either by character or by field. Cut reads from files listed on the command line or from the standard input if no files are specified. The selection to be printed is done by using one of three options, -b, -c, and -f, which stand for bytes, characters, and fields. Bytes and characters differ only when used in locales with multibyte characters. Fields are delimited by a single tab (consecutive tabs delimit empty fields), but that can be changed with the -d option.

The -c option is followed by one or more character positions. Multiple columns (or fields when the -f option is used) can be expressed by a comma-separated list or by a range:

```
$ cut -c 22 "$kjv" | head -n3
e
h
o
$ cut -c 22,24,26 "$kjv" | head -n3
ebg
h a
o a
$ cut -c 22-26 "$kjv" | head -n3
e beg
he ea
od sa
```

A frequent misuse of cut is to extract a portion of a string. Such manipulations can be done with shell parameter expansion. Even if it takes two or three steps, it will be much faster than calling an external command.

```
$ boys="Brian,Carl,Dennis,Mike,Al"
$ printf "%s\n" "$boys" | cut -d, -f3 ## WRONG

Dennis
$ IFS=, ## Better, no external command used
$ boyarray=( $boys )
$ printf "%s\n" "${boyarray[2]}"

Dennis
```

```
$ temp=${boys#*,*,} ## Better still, and more portable
$ printf "%s\n" "${temp%,*}"
Dennis
```

#### WC

To count the number of lines, words, or bytes in a file, use wc. By default, it prints all three pieces of information in that order followed by the name of the file. If multiple file names are given on the command line, it prints a line of information for each one and then the total:

```
$ wc "$kjv" /etc/passwd
31102 795989 4639798 /home/jayant/kjv.txt
50 124 2409 /etc/passwd
31152 796113 4642207 total
```

If there are no files on the command line, cut reads from the standard input:

The output can be limited to one or two pieces of information by using the -c, -w, or -1 option. If any options are used, wc prints only the information requested:

Newer versions of wc have another option, -m, which prints the number of characters, which will be less than the number of bytes if the file contains multibyte characters. The default output remains the same, however.

As with so many commands, wc is often misused to get information about a string rather than a file. To get the length of a string held in a variable, use parameter expansion: \${#var}. To get the number of words, use set and the special parameter \$#:

```
set -f
set -- $var
echo $#
```

#### CHAPTER 8 FILE OPERATIONS AND COMMANDS

To get the number of lines, use this:

```
IFS=$'\n'
set -f
set -- $var
echo $#
```

# **Regular Expressions**

Regular expressions (often called *regexes* or *regexps*) are a more powerful form of pattern matching than file name globbing and can express a much wider range of patterns more precisely. They range from very simple (a letter or number is a regex that matches itself) to the mind-bogglingly complex. Long expressions are built with a concatenation of shorter expressions and, when broken down, are not hard to understand.

There are similarities between regexes and file globbing patterns: a list of characters within square brackets matches any of the characters in the list. An asterisk matches zero or more – not any character as in file expansion – of the preceding character. A dot matches any character, so .\* matches any string of any length, much as an asterisk does in a globbing pattern.

Three important commands use regular expressions: grep, sed, and awk. The first is used for searching files, the second for editing files, and the third for almost anything because it is a complete programming language in its own right.

#### grep

grep searches files on the command line, or the standard input if no files are given, and prints lines matching a string or regular expression.

```
$ grep ':0[57]0:001:' "$kjv" | cut -c -78

Genesis:050:001:And Joseph fell upon his father's face, and wept upon him, and Psalms:050:001:The mighty God, even the LORD, hath spoken, and called the earth Psalms:070:001:MAKE HASTE, O GOD, TO DELIVER ME; MAKE HASTE TO HELP ME, O LORD Isaiah:050:001:Thus saith the LORD, Where is the bill of your mother's divorce Jeremiah:050:001:The word that the LORD spake against Babylon and against the
```

The shell itself could have done the job:

```
while read line
do
  case $line in
   *0[57]0:001:*) printf "%s\n" "${line:0:78}" ;;
  esac
done < "$kjv"</pre>
```

but it takes many times longer.

Often grep and other external commands are used to select a small number of lines from a file and pipe the results to a shell script for further processing:

```
$ grep 'Psalms:023' "$kjv" |
> {
> total=0
> while IFS=: read book chapter verse text
> do
> set -- $text ## put the verse into the positional parameters
> total=$(( $total + $# )) ## add the number of parameters
> done
> echo $total
}
```

grep should *not* be used to check whether one string is contained in another. For that, there is case or bash's expression evaluator, [[ ... ]].

#### sed

For replacing a string or pattern with another string, nothing beats the **s**tream **ed**itor sed. It is also good for pulling a particular line or range of lines from a file. To get the first three lines of the book of Leviticus and convert the name of the book to uppercase, you'd use this:

```
$ sed -n '/Lev.*:001:001/,/Lev.*:001:003/ s/Leviticus/
LEVITICUS/p' "$kjv" |
> cut -c -78
```

```
LEVITICUS:001:001:And the LORD called unto Moses, and spake unto him out of th

LEVITICUS:001:002:Speak unto the children of Israel, and say unto them, If any

LEVITICUS:001:003:If his offering be a burnt sacrifice of the herd, let him of
```

The -n option tells sed not to print anything unless specifically told to do so; the default is to print all lines whether modified or not. The two regexes, enclosed in slashes and separated by a comma, define a range from the line that matches the first one to the line that matches the second; s is a command to search and replace and is probably the one most often used.

When modifying a file, the standard Unix practice is to save the output to a new file and then move it to the place of the old one if the command is successful:

```
sed 's/this/that/g' "$file" > tempfile && mv tempfile "$file"
```

Some recent versions of sed have an -i option that will change the file *in situ*. If used, the option should be given a suffix to make a backup copy in case the script mangles the original irretrievably:

```
sed -i.bak 's/this/that/g' "$file"
```

More complicated scripts are possible with sed, but they quickly become very hard to read. This example is far from the worst I've seen, but it takes much more than a glance to figure out what it is doing. (It searches for *Jesus wept* and prints lines containing it along with the lines before and after; you can find a commented version at <a href="https://www.grymoire.com/Unix/Sed.html">www.grymoire.com/Unix/Sed.html</a>.)

```
sed -n '
/Jesus wept/ !{
    h
}
/Jesus wept/ {
    N
    x
    G
    p
```

```
a\
---
s/.*\n.*\n.*$/\1/
h
}' "$kjv"
```

As you'll see shortly, the same program in awk is comparatively easy to understand. There will be more examples of sed in later chapters, so we'll move on with the usual admonishment that external commands should be used on files, not strings. '*Nuff sed!* 

#### awk

awk is a pattern scanning and processing language. An awk script is composed of one or more condition-action pairs. The condition is applied to each line in the file or files passed on the command line or to the standard input if no files are given. When the condition resolves successfully, the corresponding action is performed.

The condition may be a regular expression, a test of a variable, an arithmetic expression, or anything that produces a nonzero or nonempty result. It may represent a range by giving two conditions separated by a comma; once a line matches the first condition, the action is performed until a line matches the second condition. For example, this condition matches input lines 10 to 20 inclusive (NR is a variable that contains the current line number):

```
NR == 10, NR == 20
```

There are two special conditions: BEGIN and END. The action associated with BEGIN is performed before any lines are read. The END action is performed after all the lines have been read or another action executes an exit statement.

The action can be any computation task. It can modify the input line, it can save it in a variable, it can perform a calculation on it, it can print some or all of the line, and it can do anything else you can think of.

Either the condition or the action may be missing. If there is no condition, the action is applied to all lines. If there is no action, matching lines are printed.

Each line is split into fields based on the contents of the variable FS. By default, it is any whitespace. The fields are numbered: \$1, \$2, and so on. \$0 contains the entire line. The variable NF contains the number of fields in the line.

In the awk version of the kjvfirsts script, the field separator is changed to a colon using the -F command-line option (Listing 8-2). There is no condition, so the action is performed for every line. It splits the fourth field, the verse itself, into words, and then it prints the first three fields and the first word of the verse.

*Listing 8-2.* kjvfirsts-awk, Print Book, Chapter, Verse, and First Words from the KJV

```
awk -F: ' ## -F: sets the field delimiter to a colon
{
## split the fourth field into an array of words
split($4,words," ")
## printf the first three fields and the first word of the fourth
printf "%s %s:%s %s\n", $1, $2, $3, words[1]
}' "$kjv"
```

To find the shortest verse in the KJV, the next script checks the length of the fourth field. If it is less than the value of the shortest field seen so far, its length (minus the length of the name of the book), measured with the length() function, is stored in min, and the line is stored in verse. At the end, the line stored in verse is printed.

```
$ awk -F: 'BEGIN { min = 999 } ## set min larger than any
verse length
length($0) - length($1) < min {
    min = length($0) - length($1)
    verse = $0
}
END { print verse }' "$kjv"
John:011:035:Jesus wept.</pre>
```

As promised, here is an awk script that searches for a string (in this case, *Jesus wept*) and prints it along with the previous and next lines:

```
awk '/Jesus wept/ {
   print previousline
   print $0
   n = 1
   next
  }
n == 1 {
   print $0
   print "---"
   n = 2
   previousline = $0
  }' "$kjv"
to total a column of numbers:
               printf "%s\n" {12..34} | awk '{ total += $1 }
> END { print total }'
529
```

This has been a very rudimentary look at awk. There will be a few more awk scripts later in the book, but for a full understanding, there are various books on awk:

- The AWK Programming Language by the language's inventors (Alfred V. Aho, Peter J. Weinberger, and Brian W. Kernighan)
- sed & awk by Dale Dougherty and Arnold Robbins
- Effective awk Programming by Arnold Robbins

Or start with the main page.

# **File Name Expansion Options**

To show you the effects of the various file name expansion options, the sa command defined in Chapter 4 as well as pr4, a function that prints its arguments in four columns across the screen, will be used. The script sa is implemented as a function, along with pr4, and has been added to the .bashrc file:

```
sa()
{
    pre=: post=:
    printf "$pre%s$post\n" "$@"
}
```

The pr4 function prints its argument in four equal columns, truncating any string that is too long for its allotted space:

```
pr4()
{
    ## calculate column width
    local width=$(( (${COLUMNS:-80} - 2) / 4 ))
    ## Note that braces are necessary on
    the second $width to separate it from 's'
    local s=%-$width.${width}s
    printf "$s $s $s $s\n" "$@"
}
```

There are six shell options that affect the way in which file names are expanded. They are enabled and disabled with the shopt command using options -s and -u, respectively:

```
shopt -s extglob ## enable the extglob option
shopt -u nocaseglob ## disable the nocaseglob option
```

To demonstrate the various globbing options, we'll create a directory, cd to it, and put some empty files in it:

```
$ mkdir "$HOME/globfest" && cd "$HOME/globfest" || echo
Failed >&2
$ touch {a..f}{0..9}{t..z}$RANDOM .{a..f}{0..9}$RANDOM
```

This has created 420 files beginning with a letter and 60 beginning with a dot. There are, for example, seven files beginning with a1:

```
$ sa a1*
:a1t18345:
:a1u18557:
:a1v12490:
:a1w22008:
:a1x6088:
:a1y28651:
:a1z18318:
```

# nullglob

Normally, when a wildcard pattern doesn't match any files, the pattern remains the same:

```
$ sa *xy :*xy:
```

If the nullglob option is set and there is no match, an empty string is returned:

```
$ shopt -s nullglob
$ sa *xy
::
$ shopt -u nullglob ## restore the default behavior
```

# failglob

If the failglob option is set and no files match a wildcard pattern, an error message is printed:

```
$ shopt -s failglob
$ sa *xy
bash: no match: *xy
$ shopt -u failglob ## restore the default behavior
```

## dotglob

A wildcard at the beginning of a file name expansion pattern does not match file names that begin with a dot. These are intended to be "hidden" files and are not matched by standard file name expansion:

```
$ sa * | wc -l ## not dot files
```

To match "dot" files, the leading dot must be given explicitly:

```
$ sa .* | wc -l ## dot files; includes . and ..
```

The touch command at the beginning of this section created 60 dot files. The  $\cdot^*$  expansion shows 62 because it includes the hard-linked entries  $\cdot$  and  $\cdot$  that are created in all subdirectories.

The dotglob option causes dot files to be matched just like any other files:

```
$ shopt -s dotglob
$ printf "%s\n" * | wc -l
480
```

Expansions of \*, with dotglob enabled, do not include the hard links . and  $\dots$ 

# extglob

When extended globbing is turned on with shopt -s extglob, five new file name expansion operators are added. In each case, the pattern-list is a list of pipe-separated globbing patterns. It is enclosed in parentheses, which are preceded by ?, \*, +, @, or !, for example, +(a[0-2]|34|2u), ?(john|paul|george|ringo).

To demonstrate extended globbing, remove the existing files in \$HOME/globfest, and create a new set:

# ?(pattern-list)

This pattern-list matches zero or one occurrence of the given patterns. For example, the pattern ?(john|paul)2 matches john2, paul2, and 2:

\$ pr4 ?(john paul)2*	

222844	228151	231909	232112
john214726	john216085	john26	paul218047

paul220720 paul231051

# \*(pattern-list)

This is like the previous form, but it matches zero or more occurrences of the given patterns; \*(john|paul)2 will match all files matched in the previous example, as well as those that have either pattern more than once in succession:

pr4	*(john	paul)2*
-----	--------	---------

222844	228151	231909	232112
john214726	john216085	john26	johnjohn23185
johnpaul25000	paul218047	paul220720	paul231051
pauljohn221365	paulpaul220101		

# @(pattern-list)

The pattern @(john|paul)2 matches files that have a single instance of either pattern followed by a 2:

\$	pr4 @(john paul)2*		
john214726	john216085	john26	paul218047
paul220720	paul231051		

# +(pattern-list)

The pattern +(john|paul)2 matches files that begin with one or more instances of a pattern in the list followed by a 2:

\$	pr4 +(john paul)2*		
john214726	john216085	john26	johnjohn23185
johnpaul25000	paul218047	paul220720	paul231051
pauljohn221365	paulpaul220101		

# !(pattern-list)

The last extended globbing pattern matches anything except one of the given patterns. It differs from the rest in that each pattern must match the entire file name. The pattern !(r|p|j)\* will not exclude files beginning with r, p, or j (or any others), but the following pattern will (and will also exclude files beginning with a number):

\$	pr4 !([jpr0-9]*)		
george115425	george132443	george1706	george212389
george223300	george27803		
georgegeorge16122	georgegeorge28573		
georgejohn118699	georgejohn29502	georgepaul12721	
georgepaul222618			
georgeringo115095	georgeringo227768		

**Note** The explanation given here for the last of these patterns is simplified but should be enough to cover its use in the vast majority of cases. For a more complete explanation, see Chapter 9 in *From Bash to Z Shell* (Apress, 2005).

# nocaseglob

When the nocaseglob option is set, lowercase letters match uppercase letters, and vice versa:

```
$ cd $HOME/globfest
$ rm -rf *
$ touch {{a..d},{A..D}}$RANDOM
```

**\$** pr4 \*

A31783	B31846	C17836	D14046
a31882	b31603	c29437	d26729

The default behavior is for a letter to match only those of the same case:

The nocaseglob option causes a letter to match both cases:

\$ shopt -s nocaseglob

**\$** pr4 [ab]\*

A31783 B31846 a31882 b31603

# globstar

Introduced in bash-4.0, the globstar option allows the use of \*\* to descend recursively into directories and subdirectories looking for matching files. As an example, create a hierarchy of directories:

```
$ cd $HOME/globfest
$ rm -rf *
```

 $\mbox{mkdir -p {ab,ac}}RANDOM/{q1,q2}/{z,x}((\mbox{RANDOM }% 10 ))$ 

The double asterisk wildcard expands to all the directories:

\$ shopt -s globstar

**\$** pr4 \*\*

ab11278 ab11278/22190q1 ab11278/22190q1/z7 ab1394

ab1394/10985q2 ab1394/10985q2/x5 ab4351 ab4351/23041q1

ab4351/23041q1/x1 ab4424 ab4424/8752q2

ab4424/8752q2/z9

ac11393 ac11393/20940q1 ac11393/20940q1/z4 ac17926

ac17926/19435q2 ac17926/19435q2/x0 ac23443 ac23443/5703q2

ac23443/5703q2/z4 ac5662

ac5662/17958q1 ac5662/17958q1/x4

# **Summary**

Many external commands deal with files. In this chapter, the most important ones and those that are most often misused have been covered. They have not been covered in detail, and some emphasis has been placed on how to avoid calling them when the shell can do the same job more efficiently. Basically, it boils down to this: *use external commands to process files, not strings*.

# **Shell Options**

- nullglob: Returns null string if no files match pattern
- · failglob: Prints error message if no files match
- dotglob: Includes dot files in pattern matching
- extglob: Enables extended file name expansion patterns
- nocaseglob: Matches files ignoring case differences
- globstar: Searches file hierarchy for matching files

### **External Commands**

- awk: Is a pattern scanning and processing language
- cat: Concatenates files and prints on the standard output
- cut: Removes sections from each line of one or more files
- grep: Prints lines matching a pattern
- head: Outputs the first part of one or more files
- 1s: Lists directory contents
- sed: Is a stream editor for filtering and transforming text
- touch: Changes file timestamps
- wc: Counts lines, words, and characters in one or more files

# **Exercises**

- 1. Modify the kjvfirsts script: accept a command-line argument that specifies how many chapters are to be printed.
- 2. Why are the chapter and verse numbers in kjvfirsts formatted with %s instead of %d?
- 3. Write an awk script to find the longest verse in KJV.

# Reserved Words and Built-In Commands

There are almost 60 built-in commands and more than 20 reserved words in bash. Some of them are indispensable, and some are rarely used in scripts. Some are used primarily at the command line, and some are seldom seen anywhere. Some have been discussed already, and others will be used extensively in future chapters.

The reserved words (also called *keywords*) are !, case, coproc, do, done, elif, else, esac, fi, for, function, if, in, select, then, until, while, {, }, time, [[, and ]]. All except coproc, select, and time have been covered earlier in the book.

In addition to the standard commands, new built-in commands can be dynamically loaded into the shell at runtime. The bash source code package has more than 20 such commands ready to be compiled.

Because keywords and built-in commands are part of the shell itself, they execute much faster than external commands. They do not have to start a new process, and they have access to, and can change, the shell's environment.

This chapter looks at some of the more useful reserved words and built-in commands, examining some in detail and some with a summary; a few are deprecated. Many more are described elsewhere in the book. For the rest, there is the built-ins man page and the help built-in.

# help, Display Information About Built-In Commands

The help command prints brief information about the usage of built-in commands and reserved words. With the -s option, it prints a usage synopsis.

#### CHAPTER 9 RESERVED WORDS AND BUILT-IN COMMANDS

Two new options are available with bash-4.x: -d and -m. The first prints a short, one-line description of the command; the latter formats the output in the style of a man page:

```
$ help -m help
NAME
    help - Display information about builtin commands.
SYNOPSTS
    help [-dms] [pattern ...]
DESCRIPTION
    Display information about builtin commands.
    Displays brief summaries of builtin commands. If PATTERN is
    specified, gives detailed help on all commands matching PATTERN,
    otherwise the list of help topics is printed.
    Options:
      -d
                output short description for each topic
                display usage in pseudo-manpage format
                output only a short usage synopsis for each topic matching
        PATTERN
    Arguments:
      PATTERN
                Pattern specifying a help topic
    Exit Status:
    Returns success unless PATTERN is not found or an invalid option is given.
SEE ALSO
    bash(1)
IMPLEMENTATION
    GNU bash, version 5.1.16(1)-release (x86 64-pc-linux-gnu)
    Copyright (C) 2020 Free Software Foundation, Inc.
    License GPLv3+: GNU GPL version 3 or later <
                                                             http://gnu.org/
    licenses/gpl.html
```

The pattern is a globbing pattern, in which \* matches any number of any characters and [...] matches any single character in the enclosed list. Without any wildcard, a trailing \* is assumed:

\$ help -d '\*le' tr ## show commands ending in le and beginning with tr Shell commands matching keyword '\*le, tr'

```
enable - Enable and disable shell builtins.
mapfile - Read lines from the standard input into an array variable.
while - Execute commands as long as a test succeeds.
trap - Trap signals and other events.
true - Return a successful result.
```

# time, Print Time Taken for Execution of a Command

The reserved word time prints the time it takes for a command to execute. The command can be a simple or compound command or a pipeline. The default output appears on three lines, showing the real time, user CPU time, and system CPU time that was taken by the command:

You can modify this output by changing the TIMEFORMAT variable:

```
$ TIMEFORMAT='%R seconds %P%% CPU usage'
$ time echo {1..30000} >/dev/null
0.153 seconds 97.96% CPU usage
```

Appendix A contains a full description of the TIMEFORMAT variable.

A frequently asked question about the time command is, "Why can't I redirect the output of time?" The answer demonstrates a difference between a reserved word and a built-in command. When the shell executes a command, the process is strictly defined. A shell keyword doesn't have to follow that procedure. In the case of time, the entire command line (except for the keyword itself but including the redirection) is passed to the shell to execute. When the command has completed, the timing information is printed.

To redirect the output of time, enclose it in braces:

```
$ { time echo {1..30000} >/dev/null 2>&1 ; } 2> numlisttime
$ cat numlisttime
0.193 seconds 90.95% CPU usage
```

# read, Read a Line from an Input Stream

If read has no arguments, bash reads a line from its standard input stream and stores it in the variable REPLY. If the input contains a backslash at the end of a line, it and the following newline are removed, and the next line is read, joining the two lines:

```
$ printf "%s\n" ' First line \' ' Second line ' | {
> read
> sa "$REPLY"
> }
: First line Second line :
```

**Note** The braces ({ }) in this and the following snippets create a common subshell for both the read and sa commands. Without them, read would be in a subshell by itself, and sa would not see the new value of REPLY (or of any other variable set in the subshell).

Only one option, -r, is part of the POSIX standard. The many bash options (-a, -d, -e, -n, -p, -s, -n, -t, -u, and, new to bash-4.x, -i) are part of what makes this shell work so well for interactive scripts.

### -r, Read Backslashes Literally

With the -r option, backslashes are treated literally:

```
$ printf "%s\n" ' First line\' " Second line " | {
> read -r
> read line2
> sa "$REPLY" "$line2"
> }
: First line\:
:Second line:
```

The second read in that snippet supplies a variable to store the input rather than using REPLY. As a result, it applies word splitting to the input, and leading and trailing spaces are removed. If IFS had been set to an empty string, then spaces would not be used for word splitting:

```
$ printf "%s\n" ' First line\' " Second line " | {
> read -r
> IFS= read line2
> sa "$REPLY" "$line2"
> }
: First line\:
: Second line :
```

If more than one variable is given on the command line, the first field is stored in the first variable, and subsequent fields are stored in the following variables. If there are more fields than variables, the last one stores the remainder of the line:

```
$ printf "%s\n" "first second third fourth fifth sixth" | {
> read a b c d
> sa "$a" "$b" "$c" "$d"
> }
:first:
:second:
:third:
:fourth fifth sixth:
```

### -e, Get Input with the readline Library

When at the command line or when using read with the -e option to get input from the keyboard, the readline library is used. It allows full-line editing. The default editing style, found in most shells, only allows editing by erasing the character to the left of the cursor with a backspace.

With -e, a backspace still works, of course, but the cursor can be moved over the entire line character by character with the arrow keys or with *Ctrl-B* and *Ctrl-N* for backward and forward, respectively. *Ctrl-A* moves to the beginning of the line, and *Ctrl-E* moves to the end.

In addition, other readline commands can be bound to whatever key combinations you like. I have *Ctrl-left arrow* bound to backward-word and *Ctrl-right arrow* to forward-word. Such bindings can be placed in \$HOME/.inputrc. Mine has entries for two terminals: rxvt and xterm:

```
"\eOd": backward-word ## rxvt
```

#### CHAPTER 9 RESERVED WORDS AND BUILT-IN COMMANDS

```
"\e0c": forward-word ## rxvt
"\e[1;5D": backward-word ## xterm
"\e[1;5C": forward-word ## xterm
```

To check which code to use in your terminal emulation, press  $^{\text{V}}(\mathit{Ctrl-v})$  and then the key combination you want. For example, in xterm, I see  $^{\text{I}}[1;5D]$  when I press  $\mathit{Ctrl-left\ arrow}$ .

### -a, Read Words into an Array

The -a option assigns the words read to an array, starting at index zero:

```
$ printf "%s\n" "first second third fourth fifth sixth" | {
> read -a array
> sa "${array[0]}"
> sa "${array[5]}"
> }
:first:
:sixth:
```

## -d DELIM, Read Until DELIM Instead of a Newline

The -d option takes an argument that changes read's delimiter from a newline to the first character of that argument:

### -n NUM, Read a Maximum of NUM Characters

Most frequently used when a single character (e.g., y or n) is required, read returns after reading NUM characters rather than waiting for a newline. It is often used in conjunction with -s.

### -s, Do Not Echo Input Coming from a Terminal

Useful for entering passwords and single-letter responses, the -s option suppresses the display of the keystrokes entered.

### -p PROMPT:, Output PROMPT Without a Trailing Newline

The following snippet is a typical use of these three options:

```
read -sn1 -p "Continue (y/n)? " var
case ${var^} in ## bash 4.x, convert $var to uppercase
  Y);;
  N) printf "\n%s\n" "Good bye."
    exit
   ;;
esac
```

When run, it looks like this when n or N is entered:

```
Continue (y/n)? Good bye.
```

# -t TIMEOUT, Only Wait TIMEOUT Seconds for Complete Input

The -t option was introduced in bash-2.04 and accepts integers greater than 0 as an argument. If TIMEOUT seconds pass before a complete line has been entered, read exits with failure; any characters already entered are left in the input stream for the next command that reads the standard input.

In bash-4.x, the -t option accepts a value of 0 and returns successfully if there is input waiting to be read. It also accepts fractional arguments in decimal format:

```
read -t .1 var ## timeout after one-tenth of a second read -t 2 var ## timeout after 2 seconds
```

Setting the variable TMOUT to an integer greater than 0 has the same effect as the -t option. In bash-4.x, a decimal fraction can also be used:

```
$ TMOUT=2.5
$ TIMEFORMAT='%R seconds %P%% CPU usage'
$ time read
2.500 seconds 0.00% CPU usage
```

# -u FD: Read from File Descriptor FD Instead of the Standard Input

The -u option tells bash to read from a file descriptor. Given this file:

```
First line
Second line
Third line
Fourth line
```

this script reads from it, alternating between redirection and the -u option, and prints all four lines:

```
exec 3<$HOME/txt
read var <&3
echo "$var"
read -u3 var
echo "$var"
read var <&3
echo "$var"
read -u3 var
echo "$var"
```

### -i TEXT, Use TEXT As the Initial Text for Readline

New to bash-4.x, the -i option, used in conjunction with the -e option, places text on the command line for editing.

```
$ read -ei 'Edit this' -p '==>'
would look like
==> Edit this •
```

The bash-4.x script shown in Listing 9-1 loops, showing a spinning busy indicator, until the user presses a key. It uses four read options: -s, -n, -p, and -t.

**Listing 9-1.** spinner, Show a Busy Indicator While Waiting for the User to Press a Key

```
spinner="\|/-"
                            ## spinner
chars=1
                            ## number of characters to display
                            ## time in seconds between characters
delay=.15
prompt="press any key..." ## user prompt
clearline="\e[K"
                            ## clear to end of line (ANSI terminal)
CR="\r"
                            ## carriage return
## loop until user presses a key
until read -sn1 -t$delay -p "$prompt" var
 printf " %.${chars}s$CR" "$spinner"
  temp=${spinner#?}
                                  ## remove first character from $spinner
  spinner=$temp${spinner%"$temp"} ## and add it to the end
done
printf "$CR$clearline"
```

**Tip** If delay is changed to an integer, the script will work in all versions of bash, but the spinner will be very slow.

# *eval*, Expand Arguments and Execute the Resulting Command

In Chapter 5, the eval built-in was used to get the value of a variable whose name was in another variable. It accomplished the same task as bash's variable expansion, \${!var}. What actually happened was that eval expanded the variable inside quotation marks; the backslashes removed the special meanings of the quotes and the dollar sign so that they remained the literal characters. The resulting string was then executed:

```
$ x=yes
$ a=x
$ eval "sa \"\$$a\"" ## executes: sa "$x"
yes
```

Other uses of eval include assigning values to a variable whose name is contained in another variable and obtaining more than one value from a single command.

# **Poor Man's Arrays**

Before bash had associative arrays (i.e., before version 4.0), they could be simulated with eval. These two functions set and retrieve such values and take them for a test run (Listing 9-2).

#### *Listing* 9-2. varfuncs, Emulate Associative Arrays

```
validname() ## Borrowed from Chapter 7
case $1 in
    [!a-zA-Z_]* | *[!a-zA-ZO-9_]* ) return 1;;
esac
setvar() #@ DESCRIPTION: assign value to supplied name
{     #@ USAGE: setvar varname value
    validname "$1" || return 1
     eval "$1=\$2"
}
getvar() #@ DESCRIPTION: print value assigned to varname
{     #@ USAGE: getvar varname
     validname "$1" || return 1
```

```
eval "printf '%s\n' \"\${$1}\""
}
echo "Assigning some values"
for n in {1..3}
do
    setvar "var_$n" "$n - $RANDOM"
done
echo "Variables assigned; printing values:"
for n in {1..3}
do
getvar "var_$n"
done
```

Here's a sample result from a run:

```
Assigning some values
Variables assigned; printing values:
1 - 28538
2 - 22523
3 - 19362
```

Note the assignment in setvar. Compare it with this:

```
setvar() { eval "$1=\"$2\""; }
```

If you substitute this function for the one in varfuncs and run the script, the results look very much the same. What's the difference? Let's try it with a different value, using stripped-down versions of the functions at the command line:

```
$ {
> setvar() { eval "$1=\$2"; }
> getvar() { eval "printf '%s\n' \"\${$1}\""; }
> n=1
> setvar "qwerty_$n" 'xxx " echo Hello"'
> getvar "qwerty_$n"
> }
xxx " echo hello"
$
```

```
> setvar2() { eval "$1=\"$2\""; }
> setvar2 "qwerty_$n" 'xxx " echo Hello"'
> }
Hello
```

Hello? Where did that come from? With set -x, you can see exactly what is happening:

The last line is the important one; the variable qwerty\_1 is set to whatever is in \$2. \$2 is not expanded or interpreted in any way; its value is simply assigned to qwerty 1:

```
$ setvar2 "qwerty_$n" 'xxx " echo Hello"'
+ setvar2 qwerty_1 'xxx " echo Hello"'
+ eval 'qwerty_1="xxx " echo Hello""'
++ qwerty_1='xxx '
++ echo HelloHello
```

In this version, \$2 is expanded before the assignment and is therefore subject to word splitting; eval sees an assignment followed by a command. The assignment is made, and then the command is executed. In this case, the command was harmless, but if the value had been entered by a user, it could have been something dangerous.

To use eval safely, ensure that the unexpanded variable is presented for assignment using eval "\$var=\\$value". If necessary, combine multiple elements into one variable before using eval:

```
string1=something
string2='rm -rf *' ## we do NOT want this to be executed
eval "$var=\"Example=$string1\" $string2" ## WRONG!! Files gone!
combo="Example=$string1 $string2"
eval "$var=\$combo" ## RIGHT!
```

The value of the variable whose name is in var is now the same as the contents of combo, if var was set to xx:

```
$ printf "%s\n" "$xx"
Example=something rm -rf *
```

### **Setting Multiple Variables from One Command**

I have seen many scripts in which several variables are set to components of the date and time using this command (or something similar):

```
year=$(date +%Y)
month=$(date +%M)
day=$(date +%d)
hour=$(date +%H)
minute=$(date +%M)
second=$(date +%S)
```

This is inefficient because it calls the date command six times. It could also give the wrong results. What happens if the script is called a fraction of a second before midnight and the date changes between setting the month and day? The script was called at 2023-05-31T23:59:59 (this is the ISO standard format for date and time), but the values assigned could amount to 2023-06-01T00:00:00. The date that was wanted was 31 May 2023 23:59:59 or 01 June 2023 00:00:00; what the script got was 1 May 2023 00:00:00. That's a whole month off! If you are still wondering why this happened, it is because when the year and month were evaluated, the date was still 31 May 2023 at 23:59:59, but when the day was evaluated, it changed to 01 June 2023 at 00:00:00; however, the script discarded everything except for the day, which is 01, so when put together, we get 01 from the day but May from the month.

A better method is to get a single string from date and then work with that string splitting it into its parts:

```
date=$(date +%Y-%m-%dT%H:%M:%S)
time=${date#*T}
date=${date%T*}
year=${date%-*}
daymonth=${date#*-}
```

```
month=${daymonth%-*}
day=${daymonth#*-}
hour=${time%:*}
minsec=${time#*-}
minute=${minsec%-*}
second=${minsec#*-}

Better still, use eval:

$ eval "$(date "+year=%Y month=%m day=%d hour=%H minute=%M second=%S")"
```

The output of the date command is executed by eval:

```
year=2015 month=04 day=25 hour=22 minute=49second=04
```

The last two methods use only one call to date, so the variables are all populated using the same timestamp. They both take about the same amount of time, which is a fraction of the time of multiple calls to date. The clincher is that the eval method is about one-third as long as the string-splitting method.

bash 5.0 introduced two new variables that work with dates: \$EPOCHSECONDS and \$EPOCHREALTIME. Prior to bash version 5.0, one might use

```
$ date '+%s'
1676376326
$ echo $EPOCHSECONDS
1676376326
$ echo $EPOCHREALTIME
1676376326.4177260399
```

# type, Display Information About Commands

Many people use which to determine the actual command that will be used when one is executed. There are two problems with that.

The first is that there are at least two versions of which, one of which is a csh script that doesn't work well in a Bourne-type shell (thankfully, this version is becoming very rare). The second problem is that which is an external command, and it cannot know exactly what the shell will do with any given command. All it does is search the directories in the PATH variable for an executable with the same name:

\$ which echo printf
/bin/echo
/usr/bin/printf

*You* know that both echo and printf are built-in commands, but which doesn't know that. Instead of which, use the shell built-in type:

```
$ type echo printf sa
echo is a shell builtin
printf is a shell builtin
sa is a function
sa ()
{
    pre=: post=:;
    printf "$pre%s$post\n" "$@"
}
```

When there's more than one possible command that would be executed for a given name, they can all be shown by using the -a option:

```
$ type -a echo printf
echo is a shell builtin
echo is /bin/echo
printf is a shell builtin
printf is /usr/bin/printf
```

The -p option limits the search to files and does not give any information about built-ins, functions, or aliases. If the shell executes the command internally, nothing will be printed unless the -a option is also given:

```
Or you can use -P:
```

```
$ type -P echo printf sa time
/bin/echo
/usr/bin/printf
/usr/jayant/bin/sa
/usr/bin/time
```

The -t option gives a single word for each command, either alias, keyword, function, builtin, file, or an empty string:

```
$ type -t echo printf sa time ls
builtin
builtin
function
keyword
file
```

The type command fails if any of its arguments are not found.

### builtin, Execute a Built-In Command

The argument to builtin is a shell built-in command that will be called rather than a function with the same name. It prevents the function from calling itself and calling itself ad nauseam:

```
cd() #@ DESCRIPTION: change directory and display 10 most recent files
{    #@ USAGE: cd DIR
    builtin cd "$@" || return 1 ## don't call function recursively
    ls -t | head
}
```

# **command**, Execute a Command or Display Information About Commands

With -v or -V, display information about a command. Without options, call the command from an external file rather than a function.

### pwd, Print the Current Working Directory

pwd prints the absolute pathname of the current directory. With the -P option, it prints the physical location with no symbolic links:

```
$ ls -ld $HOME/Book ## Directory is a symbolic link
lrwxrwxrwx 1 jayant jayant 10 Feb 25 2023 /home/jayant/Book -> work/Cook
$ cd $HOME/Book
$ pwd ## Include symbolic links
/home/jayant/Book
$ pwd -P ## Print physical location with no links
/home/jayant/work/Book
```

### unalias, Remove One or More Aliases

In my ~/.bashrc file, I have unalias -a to remove all aliases. Some GNU/Linux distributions make the dangerous mistake of defining aliases that replace standard commands.

One of the worst examples is the redefinition of rm (remove files or directories) to rm -i. If a person, used to being prompted before a file is removed, puts rm \* (for example) in a script, all the files will be gone without any prompting. Aliases are not exported and, by default, not run in shell scripts, even if defined.

### **Deprecated Built-Ins**

I don't recommend using the following deprecated built-in commands:

- alias: Defines an alias. As the bash man page says, "For almost every purpose, aliases are superseded by shell functions."
- let: Evaluates arithmetic expressions. Use the POSIX syntax \$(( expression )) instead.
- select: An inflexible menuing command. Much better menus can be written easily with the shell.
- typeset: Declares a variable's attributes and, in a function, restricts a variable's scope to that function and its children. Use local to restrict a variable's scope to a function, and use declare to set any other attributes (if necessary).

### **Dynamically Loadable Built-Ins**

bash can load new built-in commands at runtime if or when needed. The bash source package has a directory full of examples ready to be compiled. To do that, download the source from https://ftp.gnu.org/gnu/bash/. Unpack the tarball, cd into the top level directory, and run the configure script:

```
version=5.1.16 ## or use your bash version
wget ftp://ftp.gnu.org/gnu/bash/bash-$version.tar.gz
gunzip bash-$version.tar.gz
tar xf bash-$version.tar
cd bash-$version
./configure
```

**Note** It would be recommended to use 4.3 or higher as the version since it is the current version and has bug fixes for vulnerabilities that were found in earlier versions.

Think of dynamically loadable built-ins as custom libraries of commands that are written in C and available as compiled binaries. These can also be shared with others in the compiled form. When loaded, they provide new commands or commands that were originally not available in bash. These work more like native bash commands than external scripts or programs.

The configure script creates makefiles throughout the source tree, including one in examples/loadables. In that directory are the source files for built-in versions of a number of standard commands, as the README file says, "whose execution time is dominated by process startup time." You can cd into that directory and run make:

```
cd examples/loadables
make
```

You'll now have a number of commands ready to load into your shell. These include the following:

```
logname tee head mkdir rmdir uname
ln cat id whoami
```

There are also some useful new commands:

```
print ## Compatible with the ksh print command
finfo ## Print file information
strftime ## Format date and time
```

These built-ins can be loaded into a running shell with the following command:

```
enable -f filename built-in-name
```

The files include documentation, and the help command can be used with them, just as with other built-in commands:

```
$ enable -f ./strftime strftime
$ help strftime
strftime: strftime format [seconds]
```

Converts date and time format to a string and displays it on the standard output. If the optional second argument is supplied, it is used as the number of seconds since the epoch to use in the

conversion, otherwise the current time is used.

# **Summary**

You learned about the following commands in this chapter.

### **Commands and Reserved Words**

- builtin: Executes a built-in command
- command: Executes an external command or prints information about a command
- eval: Executes arguments as a shell command
- help: Displays information about built-in commands
- pwd: Prints the current working directory
- read: Reads a line from the standard input and splits it into fields
- time: Reports time consumed by pipeline's execution
- type: Displays information about command type

### **Deprecated Commands**

- alias: Defines or displays aliases
- let: Evaluates arithmetic expressions
- select: Selects words from a list and executes commands
- typeset: Sets variable values and attributes

### **Exercise**

Write a script that stores the time it takes a command (your choice of command) to run in three variables, real, user, and system, corresponding to the three default times that time prints.

# Writing Bug-Free Scripts and Debugging the Rest

A programmer that has never written a buggy program has either not written any code or is more imaginary than Santa Claus or the Easter Bunny. Bugs are the bane of a programmer's existence. These range from simple typing errors (causing syntax errors) to more complex bad code to faulty logic. These errors can be fixed, some easily while others can take hours or days to identify, trace, and fix.

At one end of the spectrum are the syntax errors that prevent a script from completing or running at all. These may involve a missing character: a space, a bracket or brace, a quotation mark, or a typo. It may be a mistyped command or variable name. It may be a missing keyword, such as then after elif. At the other end are the errors in logic. It may be counting from 1 when it should have started from 0, or it may be using -gt (greater than) when it should have been -ge (greater than or equal to). It could be a faulty formula (Fahrenheit to Celsius (F - 32) \* 1.8) or using the wrong field in a data record, say someone thought that the shell was field 5 in /etc/passwd)

In between the extremes, common errors include trying to operate on the wrong type of data (either the program itself supplied the wrong data or an external source did) and failing to check that a command succeeds before proceeding to the next step.

This chapter looks at various techniques to get a program doing what it is supposed to do, including the various shell options for checking and following a script's progress, strategically placing debugging instructions, and, most importantly, preventing bugs in the first place.

### **Prevention Is Better Than Cure**

It is far easier and better to avoid introducing bugs than to remove them. There's no way to guarantee bug-free scripts, but several precautions can reduce the frequency of bugs considerably like making your code easy to read, documenting the script to know what it's for, what it expects, what results it produces, and so on.

# **Structure Your Programs**

The term *structured programming* is applied to various programming paradigms, but they all involve modular programming – breaking the problem down into manageable parts. In developing a large application with the shell, this means either functions, separate scripts, or a combination of both.

Even a short program can benefit from some structure; it should contain discrete sections:

- Comments
- Initialization of variables
- Function definitions
- Runtime configuration (parse options, read configuration file, and so on)
- Sanity check (Are all values reasonable?)
- Process information (calculate, slice and dice lines, I/O, and so on)

Using this outline, all the components of a short but complete script are presented in the following sections. There are errors in the scripts provided; these will be found and corrected using various debugging techniques.

### **Comments**

The comments should include metadata about the script, including a description, a synopsis of how to call the command or function, author, date of creation, date of last revision, version number, options, and any other information that is needed to run the command successfully, as in the following examples:

```
#:
         Title: wfe - List words ending with PATTERN
      Synopsis: wfe [-c|-h|-v] REGEX
#:
          Date: 2023-02-13
#:
       Version: 1.0
#:
#:
        Author: Jayant Varma
#:
       Options: -c - Include compound words
                -h - Print usage information
#:
                -v - Print version number
#:
```

The #: is used to introduce these comments so that grep '^#:' wfe will extract all the metadata.

### **Initialization of Variables**

First, define some variables containing metadata. There will be some duplication with the previous comments, but these variables may be needed later:

```
## Script metadata
scriptname=${0##*/}
description="List words ending with REGEX"
usage="$scriptname [-c|-h|-v] REGEX"
date_of_creation=2023-02-13
version=1.0
author="Jayant Varma"
```

Then define the default values, file locations, and other information needed by this script:

```
## File locations
dict=$HOME
wordfile=$dict/singlewords
conpoundfile=$dict/Compounds
## Default is not to show compound words
compounds=
## Regular expression supplied on the command line
pattern=$
1
```

### **Function Definitions**

There are three functions that can be included in a script, or a function library sourced by a script. These are die, usage, and version (not included in the scripts for this book); however, examples of these are

```
## Function definitions
die() #@ DESCRIPTION: print error message and exit with supplied
return code
      #@ USAGE: die STATUS [MESSAGE]
  error=$1
  shift
  [ -n "$*" ] printf "%s\n" "$*" >&2
  exit "$error"
}
usage() #@ DESCRIPTION: print usage information
        #@ USAGE: usage
        #@ REOUIRES: variable defined: $scriptname
  printf "%s - %s\n" "$scriptname" "$description"
  printf "USAGE: %s\n" "$usage"
}
version() #@ DESCRIPTION: print version information
          #@ USAGE: version
{
          #@ REQUIRES: variables defined: $scriptname, $author and $version
  printf "%s version %s\n" "$scriptname" "$version"
  printf "by %s, %d\n" "$author" "${date of creation%%-*"
}
```

Any other functions will follow right after these generic functions.

# **Runtime Configuration and Options**

Chapter 12 will provide an in-depth look at runtime configuration and the different methods that can be used. Much of the time, all you need to do is parse the command-line options:

```
## parse command-line options, -c, -h, and -v
while getopts chv var
do
    case $var in
        c) compounds=$compoundfile ;;
        h) usage; exit ;;
        v) version; exit ;;
    esac
done
shift $(( $OPTIND - 1 ))
```

### **Process Information**

As is often the case in a short script, the actual work of the script is relatively short; setting up parameters and checking the validity of data take up the greater part of the program:

```
## Search $wordfile and $compounds if it is defined
{
  cat "$wordfile"
  if [ -n "$compounds" ]
  then
    cut -f1 "$compounds"
  fi
} | grep -i ".$regex$" |
sort -fu ## Case-insensitive sort; remove duplicates
```

Here, cat is necessary because the second file, whose location is stored in the compounds variable, cannot be passed as an argument to grep because it is more than a list of words. The file has three tab-separated fields: the phrase with spaces and other non-alpha characters is removed and the following letter is capitalized, the original phrase, and the lengths as they would appear in a cryptic crossword puzzle:

```
corkScrew cork-screw (4-5)
groundCrew ground crew (6,4)
haveAScrewLoose have a screw loose (4,1,5,5)
```

If it were a simple word list, like singlewords, the pipeline could have been replaced by a simple command:

```
grep -i ".$regex$" "$wordfile" ${compounds:+"$compounds"}
```

The grep command searches the files given on the command line for lines that match a regular expression. The -i option tells grep to consider uppercase and lowercase letters as equivalent.

### **Document Your Code**

When we write code, we can end up with several scripts in different stages of completion, some part of libraries, some parts of code snippets, and so on. It is almost impossible to find the scripts or organize them; there are plenty of GUI tools that have been created, but depending on the platform in use, it may or may not have those tools. Whereas with shell scripts and command-line tools available with bash, nothing beats documentation and adding notes, TODO, etc., that can be searched on.

# Format Your Code Consistently

There are various models for pretty printing code; some developers can get carried away and be quite passionate about their style and can get defensive. There is a particular style which one will notice in the scripts in this book. These come from the original author of this book, but what is most important is consistency than how many spaces in indentation, two, four, or six. The point being that indentation is important, not how many spaces are used.

Similarly, it does not matter whether we have then on the same line as if or not. Either style is fine:

```
if [ "$var" = "yes" ]; then
  echo "Proceeding"
fi
```

or using then on the next line:

```
if [ "$var" = "yes" ]
then
  echo "Proceeding"
fi
```

The same goes for other loops and function definitions. This format is a personal preference:

```
funcname()
{
    : body here
}
Others like this format:
funcname() {
    : body here
}
```

As long as the formatting is consistent and makes the structure clear, it doesn't matter which format you use.

# The K.I.S.S. Principle

Simplicity aids in understanding the intent of your program, but it's not just keeping code as short as possible that counts. When someone posted the following question, the first thoughts were, "That will be a complicated regex," followed by "wouldn't want to use a regular expression":

• We need a regular expression to express financial quantities in American notation. They have a leading dollar sign and an optional string of asterisks, a string of decimal digits, and a fractional part consisting of a decimal point (.) and two decimal digits. The string to the left of the decimal point could be a single zero. Otherwise, it must not start with a zero. If there are more than three digits to the left of the decimal point, groups of three must be separated by commas, for example, \$\*\*2,345.67.

This would see the task broken down into discrete steps and code each one separately. For example, the first check would be

```
amount='$**2,345.67'
case $amount in
  \$[*0-9]*) ;; ## OK (dollar sign followed by asterisks or digits),
  do nothing
  *) exit 1 ;;
esac
```

By the time the tests are finished, there will be a lot more code than there would be in a regular expression, but it will be easier to understand and to change if the requirements change.

# **Grouping Commands**

Rather than redirecting each of several lines, group them with braces and use a single redirection. One forum had this code:

```
echo "user odad odd" > ftp.txt
echo "prompt" >> ftp.txt
echo "cd $i" >> ftp.txt
echo "ls -ltr" >> ftp.txt
echo "bye" >> ftp.txt

Instead, a recommended alternative would be

{
   echo "user odad odd"
   echo "prompt"
   echo "cd $i"
   echo "ls -ltr"
   echo "bye"
} > ftp.txt
```

#### **Test As You Go**

Rather than saving all the debugging until the end, it should be an integral part of the process of developing a program. Each section should be tested as it is written. As an example, let's look at a function written as part of a chess program. No, it's not a chess-playing program (though it could be when it's completed); that would be excruciatingly slow in the shell. It's a set of functions for preparing instructional material.

It needs to be able to convert one form of chess notation to another and to list all possible moves for any piece on the board. It needs to be able to tell whether a move is legal and to create a new board position after a move has been made. At its most basic level, it has to be able to convert a square in standard algebraic notation (SAN) to its numeric rank and file. That's what this function does.

The SAN format for naming a square is a lowercase letter representing the file and a number representing the rank. Files are rows of squares from white's side of the board to black's. Ranks are rows of squares from left to right. The square in white's left-hand corner is a1; that in black's is h8. To calculate possible moves, these need to be converted to the rank and file: a1 is converted to rank=1 and file=1; h8 becomes rank=8 and file=8.

It's a simple function, but this code demonstrates how to test a function. The function receives the name of a square as an argument and stores the rank and file in those variables. If the square is not valid, it sets both rank and file to 0 and returns an error:

```
*) file=0
    rank=0
    return 1  ## Not a valid square
    ;;
esac
  return 0
}
```

To test this function, it is passed all possible legitimate squares as well as some that are not. It prints the name of the square and the file and rank numbers:

```
test_split_square()
{
  local f r
  for f in {a..i}
  do
    for r in {1..9}
    do
      split_square "$f$r"
      printf "$f$r %d-%d " "$file" "$rank"
    done
    echo
  done
}
```

When the test is run, the output is as follows:

```
      a1
      1-1
      a2
      1-2
      a3
      1-3
      a4
      1-4
      a5
      1-5
      a6
      1-6
      a7
      1-7
      a8
      1-8
      a9
      0-0

      b1
      2-1
      b2
      2-2
      b3
      2-3
      b4
      2-4
      b5
      2-5
      b6
      2-6
      b7
      2-7
      b8
      2-8
      b9
      0-0

      c1
      3-1
      c2
      3-2
      c3
      3-3
      c4
      3-4
      c5
      3-5
      c6
      3-6
      c7
      3-7
      c8
      3-8
      c9
      0-0

      d1
      4-1
      d2
      4-2
      d3
      4-3
      d4
      4-4
      d5
      4-5
      d6
      4-6
      d7
      4-7
      d8
      4-8
      d9
      0-0

      e1
      5-1
      e2
      5-2
      e3
      5-3
      e4
      5-4
      e5
      5-5
      e6
      5-6
      e7
      5-7
      e8
      5-8
      e9
      0-0

      f1
      6-1
      f2
      6-2
      f3
      6-3
      f4
      6-4
      f5
      6-5
      f6
      6-6
      f7
      6-7
```

All squares with the rank and file 0-0 are invalid.

## **Debugging a Script**

In the wfe script, which was presented section by section earlier, there are a few bugs. Let's run that script and see what happens. The script is in \$HOME/bin, which is in the PATH, and hence, it can be called by its name alone. Before that, however, a good first step is to check the script with the -n option. This tests for any syntax errors without executing the code:

```
$ bash -n wfe
```

/home/jayant/bin/wfe-sh: wfe: line 70: unexpected EOF while looking for matching '"'

/home/jayant/bin/wfe-sh: wfe: line 72: syntax error: unexpected end of file

The error message says that there's a missing quotation mark ("). It has reached the end of the file without finding it. That means it could be missing anywhere in the file. After a quick (or not-so-quick) glance through the file, it's not apparent where it should be.

When that happens, I start removing sections from the bottom of the file until the error disappears. I remove the last section; it's still there. I remove the option parsing, and the error hasn't disappeared. I remove the last function definition, version(), and the error has gone. The error must be in that function; where is it?

There are no mismatched quotation marks, so some other closing character must be missing and causing the problem. After a quick look, I see that the last variable expansion is missing a closing brace. Fixed, it becomes "\${date\_of\_creation%-\*}". Another check with -n and it gets a clean bill of health. Now it's time to run it:

#### **\$** wfe

bash: /home/jayant/bin/wfe: Permission denied

Oops! We forgot to make the script executable. This doesn't usually happen with a main script; it happens more often with scripts that are called by another script. Change the permissions and try again:

```
$ chmod +x /home/jayant/bin/wfe
$ wfe
cat: /home/jayant/singlewords: No such file or directory
```

Have the files singlewords and Compounds been downloaded? If so, where were they saved? In the script, they are declared to be in \$dict, which is defined as \$HOME. We can put them somewhere else, such as in a subdirectory named words, and change that line in the script. Let's make a directory, words, and put them in there:

```
mkdir $HOME/words &&

cd $HOME/words &&

wget https://cfajohnson.com/wordfinder/singlewords &&

wget https://cfajohnson.com/wordfinder/Compounds
```

In the script, change the assignment of dict to reflect the actual location of these files:

```
Let's try again:

$ wfe
a
aa
Aachen
aalii
aardvark
.... 183,758 words skipped ....
zymotic
zymotically
zymurgy
Zyrian
zythum
```

dict=\$HOME/words

We forgot to tell the program what we are searching for. The script ought to have checked that an argument was supplied, but we forgot to include a sanity check section. Add that before the search is done (after the line shift \$(( \$OPTIND - 1 ))):

```
## Check that user entered a search term
if [ -z "$pattern" ]
then
    echo "Search term missing"
    usage
  } >&2
  exit 1
fi
   Now, try again:
$
             wfe
Search term missing
wfe - List words ending with REGEX
USAGE: wfe [-c|-h|-v] REGEX
   That's better. Now let's really look for some words:
$
             wfe drow
a
aa
Aachen
aalii
aardvark
.... 183,758 words skipped ....
zymotic
zymotically
zymurgy
Zyrian
zythum
```

There's still something wrong.

One of the most useful debugging tools is set - x, which prints each command with its expanded arguments as it is executed. Each line is preceded by the value of the PS4 variable. The default value of PS4 is "+"; we'll change it to include the number of the line being executed. Put these two lines before the final section of the script:

```
export PS4='+ $LINENO: ' ## single quotes prevent $LINENO being expanded
immediately
set -x
```

and try again:

On line 82, we can see that the pattern entered on the command line is missing. How did that happen? It should be grep -i '.drow\$'. Line 82 in the script should be as follows:

```
} | grep -i ".$regex$" |
```

What happened to the value of regex? Comment out set -x, and add the set -u option at the top of the script. This option treats unset variables as an error when they are expanded. Run the script again to check whether regex is set:

```
$ wfe drow
/home/jayant/bin/wfe: line 84: regex: unbound variable
```

Why is regex unset? Look at the earlier script and see which variable was used to hold the command-line argument. Oh! It was pattern, not regex. We must be consistent, and regex is a better description of its contents, so let's use that. Change all instances of pattern to regex. You should do it in the comments at the top, as well. Now try it:

```
$ wfe drow
windrow
```

Success! Now add compound words and phrases to the mix with the -c option:

```
$ wfe -c drow
/home/jayant/bin/wfe: line 58: compoundfile: unbound variable
```

Here we go again! Surely, we assigned the Compounds file in the *file locations* section. Take a look; yes, it's there on line 23 or thereabout. Wait a minute, there's a typo: conpoundfile=\$dict/Compounds. Change con to com. Keep your fingers crossed:

```
$ wfe -c drow
$
```

What? Nothing? Not even windrow? It's time to set -x and see what's going on. Uncomment that line, and play it again:

```
$ wfe -c drow
++ 79: cat /home/jayant/singlewords
++ 84: grep -i '.-c$'
++ 85: sort -fu
++ 80: '[' -n /home/jayant/Compounds ']'
++ 82: cut -f1 /home/jayant/Compounds
```

At least that's easy to figure out. We assigned regex before processing the options, and it snarfed the first argument, the -c option. Move the assignment down to after the getopts section, specifically, to after the shift command. (And you'll probably want to comment out set -x):

```
shift $(( $OPTIND - 1 ))
## Regular expression supplied on the command line
regex=$1
```

Are there any more issues?

```
$ wfe -c drow
skidRow
windrow
```

That looks good. It might seem like a lot of work for a small script, but it seems longer in the telling than in the doing, especially once you get used to doing it – or, better still, getting it right in the first place.

## **Summary**

Bugs are inevitable, but with care, most can be prevented. When they do materialize, there are shell options to help trace the problem.

### **Exercises**

- 1. What is wrong with if [ \$var=x ]? What should it be? Why does it give the result it does?
- 2. Write a function, valid\_square(), that returns successfully if its sole argument is a valid SAN chessboard square or fails if it is not. Write a function to test whether it works.

# Programming for the Command Line

This book is about programming with the shell, not about using it at the command line. The information provided here is about editing the command line, creating a command prompt (the PS1 variable), or retrieving commands from the interactive history. This chapter is about scripts that will mostly be useful at the command line rather than in other scripts.

Many of the scripts presented in this chapter are shell functions. Some of them have to be that way because they change the environment. Others are functions because they are used often and are quicker that way. Others are both functions and stand-alone scripts.

## **Manipulating the Directory Stack**

The cd command remembers the previous working directory, and cd - will return to it. There is another command that changes the directory and remembers an unlimited number of directories: pushd. The directories are stored in an array, DIRSTACK. To return to a previous directory, popd pulls the top entry off DIRSTACK and makes that the current directory. We can use two functions that make handling DIRSTACK easier, and there's an added third one just to complete the functionality.

**Note** The names of some of the functions that are created in this chapter are similar to the commands available in bash. The reason for this is to use your existing shell scripts without making any changes to them and still availing of some additional functionality.

#### cd

The cd function replaces the built-in command of the same name. The function uses the built-in command pushd to change the directory and store the new directory on DIRSTACK. If no directory is given, pushd uses \$HOME. If changing the directory fails, cd prints an error message, and the function returns with a failing exit code (Listing 11-1).

*Listing 11-1.* cd, Change Directory, Saving Location on the Directory Stack

```
cd() #@ Change directory, storing new directory on DIRSTACK
{
 local dir error
                           ## variables for directory and return code
 while:
                           ## ignore all options
 do
    case $1 in
      --) break ;;
      -*) shift ;;
      *) break ;;
    esac
 done
 dir=$1
  if [ -n "$dir" ]
                          ## if a $dir is not empty
 then
    pushd "$dir"
                           ## change directory
 else
    pushd "$HOME"
                           ## go HOME if nothing on the command line
 fi 2>/dev/null
                           ## error message should come from cd, not pushd
                           ## store pushd's exit code
 error=$?
  if [ $error -ne 0 ]
                           ## failed, print error message
 then
   builtin cd "$dir"
                           ## let the builtin cd provide the error message
 fi
 return "$error"
                           ## leave with pushd's exit code
} > /dev/null
```

The standard output is redirected to the bit bucket because pushd prints the contents of DIRSTACK, and the only other output is sent to standard error (>&2).

**Note** A replacement for a standard command such as cd should accept anything that the original accepts. In the case of cd, the options -L and -P are accepted, even though they are ignored.

## pd

The pd function is here for the sake of completeness (Listing 11-2). It is a lazy man's way of calling popd; not much use for it – if you are not lazy. :)

*Listing 11-2.* pd, Return to the Previous Directory with popd

```
pd ()
{
    popd
} >/dev/null ### for the same reason as cd
```

#### cdm

Using the pd command can alter the DIRSTACK; if the DIRSTACK is left alone intact, one can move back and forth between directories. For that reason, we can use a menu that presents all the directories in DIRSTACK.

The cdm function sets the input field separator (IFS) to a single newline (NL or LF) to ensure that the output of the dirs built-in command keeps file names together after word splitting (Listing 11-3). File names containing a newline would still cause problems; names with spaces are an annoyance, but names with newlines are an abomination.

The function loops through the names in DIRSTACK (for dir in \$(dirs -1 -p)), adding each one to an array, item, unless it is already there. This array is then used as the argument to the menu function (discussed in the following), which must be sourced before cdm can be used.

#### dirs Built-In Command

The dirs built-in command lists the directories in the DIRSTACK array. By default, it lists them on a single line with the value of HOME represented by a tilde. The -1 option expands  $\sim$  to \$HOME, and -p prints the directories, one per line.

**Listing 11-3.** cdm, Select New Directory from a Menu of Those Already Visited cdm() #@ select new directory from a menu of those already visited { local dir IFS=\$'\n' item for dir in \$(dirs -l -p) ## loop through directories in DIRSTACK[@] do [ "\$dir" = "\$PWD" ] && continue ## skip current directory case \${item[\*]} in \*"\$dir:"\*) ;; ## \$dir already in array; do nothing \*) item+=( "\$dir:cd '\$dir'" ) ;; ## add \$dir to array esac done menu "\${item[@]}" Quit: ## pass array to menu function } When run, the menu looks like this: \$ cdm /public/music/magnatune.com 2. /public/video /home/jayant 4. /home/jayant/tmp/qwe rty uio p 5. /home/jayant/tmp

6. Quit (1 to 6) ==>

#### menu

The calling syntax for the menu function comes from 9menu, which was part of the Plan 9 operating system. Each argument contains two colon-separated fields: the item to be displayed and the command to be executed. If there is no colon in an argument, it is used both as the display and as the command:

```
$ menu who date "df:df ."
    1. who
    2. date
    3. df
(1 to 3) ==> 3
Filesystem
                     1K-blocks
                                    Used Available Use% Mounted on
/dev/hda5
                      48070472 43616892
                                           2011704 96% /home
$ menu who date "df: df ."
    1. who
   2. date
    3. df
(1 to 3) ==> 1
jayant
         tty8
                       Jun 18 14:00 (:1)
                       Jun 21 18:10
jayant
         tty2
```

A for loop numbers and prints the menu; read gets the response; and a case statement checks for the exit character q, Q, or 0 in the response. Finally, indirect expansion retrieves the selected item, further expansion extracts the command, and eval executes it: eval "\${!num#\*:}" (Listing 11-4).

Listing 11-4. menu, Print the Menu and Execute the Associated Command

```
menu()
{
  local IFS=$' \t\n'  ## Use default setting of IFS
  local num n=1 opt item cmd
  echo
  ## Loop through the command-line arguments
  for item
  do
```

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```
printf " %3d. %s\n" "$n" "${item%%:*}"
 n=\$(( $n + 1 ))
done
echo
## If there are fewer than 10 items, set option to accept key
without ENTER
if [ $# -lt 10 ]
then
 opt=-sn1
else
  opt=
fi
read -p " (1 to $#) ==> " $opt num ## Get response from user
## Check that user entry is valid
case $num in
  [q00] | "" ) return ;;
                                          ## q, Q or 0 or "" exits
 *[!0-9]* | 0*)
                                          ## invalid entry
     printf "\aInvalid response: %s\n" "$num" >&2
    return 1
    ;;
esac
echo
if [ "$num" -le "$#" ] ## Check that number is <= to the number of
                        menu items
then
  eval "${!num#*:}" ## Execute it using indirect expansion
else
  printf "\aInvalid response: %s\n" "$num" >&2
  return 1
fi
```

}

## **Filesystem Functions**

These functions vary from laziness (giving a short name to a longer command) to adding functionality to standard commands (cp and mv). They list, copy, or move files or create directories.

There is no single-letter command required by the POSIX specification, and there is only one that is found on most Unixes: w, which shows who is logged on and what they are doing. Here, we have defined a number of single-letter functions:

- a: Lists the currently playing music track
- c: Clears the screen (sometimes quicker or easier than ^L)
- d: The date "+%A, %-d %B %Y %-I:%M:%S %P (%H:%M:%S)"
- k: Is equivalent to man -k, or apropos
- t: For the Amiga and MS-DOS command type, invokes less
- v and V: Lower and raise the sound volume, respectively
- x: Logout

And there's this one that pipes a long file listing through less, as shown in Listing 11-5.

#### *Listing 11-5.* 1, List Files in Long Format, Piped Through less

#### Isr

The commands that can get used most frequently are 1, cd, xx.sh, cdm, and lsr; xx.sh is a file for throwaway scripts. We can keep adding new ones to the top; lsr displays the most recent files (or with the -o option, the oldest files). The default setting is for ten files to be shown, but that can be changed with the -n option. The script in Listing 11-6 uses the -t (or -tr) option to ls and pipes the result to head.

*Listing 11-6.* 1sr, List Most Recently Modified Files

```
## number of files
num=10
to print
short=0
                                                  ## set to 1 for
short listing
timestyle='--time-style="+ %d-%b-%Y %H:%M:%S "' ## GNU-specific
time format
opts=Aadn:os
while getopts $opts opt
do
  case $opt in
      a|A|d) ls opts="$ls opts -$opt" ;; ## options passed to ls
      n) num=$OPTARG ;;
                                           ## number of files to display
      o) ls opts="$ls opts -r" ;;
                                           ## show oldest files, not newest
      s) short=$(( $short + 1 )) ;;
  esac
done
shift $(( $OPTIND - 1 ))
case $short in
    0) ls opts="$ls opts -l -t" ;;
                                           ## long listing, use -1
    *) ls opts="$ls opts -t" ;;
                                           ## short listing, do not use -1
esac
ls $ls opts $timestyle "$@" | {
    read
                                           ## In bash, the same as: IFS=
    read -r REPLY
    case $line in
```

#### cp, mv

In the following example Listing 11-7, which provides the same functionality as the cp command, the -b flag is GNU specific, and if a different version is in use, that can be removed.

*Listing 11-7.* cp, Copy, Using the Current Directory If No Destination Is Given

```
cp()
{
 local final
 if [ $# -eq 1 ]
                                   ## Only one arg,
 then
    command cp -b "$1" .
                                   ## so copy it to the current directory
 else
   final=${!#}
   if [ -d "$final" ]
                                   ## if last arg is a directory
   then
      command cp -b "$@"
                                   ## copy all the files into it
    else
      command cp -b "$@".
                                   ## otherwise, copy to the current
      directory
    fi
 fi
}
```

The mv function is identical to the preceding code, except that it has mv wherever cp appears in that function.

#### md

Laziness is the order of the day with the md function (Listing 11-8). It calls mkdir with the -p option to create intermediate directories if they don't exist. With the -c option, md creates the directory (if it doesn't already exist) and then cds into it. Because of the -p option, no error is generated if the directory exists.

## *Listing 11-8.* md, Create a New Directory and Intermediate Directories and Optionally cd into It

```
md() { #@ create new directory, including intermediate directories if
necessary
  case $1 in
    -c) mkdir -p "$2" && cd "$2" ;;
    *) mkdir -p "$@" ;;
  esac
}
```

## **Miscellaneous Functions**

These next two functions are quite helpful, but they don't fit into any category.

## pr1

The pr1 function is both a function and a stand-alone script (Listing 11-9). It prints each of its arguments on a separate line. By default, it limits the length to the number of columns in the terminal, truncating lines as necessary.

There are two options: -w and -W. The former removes the truncation, so lines will always print in full, wrapping to the next line when necessary. The latter specifies a width at which to truncate lines.

#### Listing 11-9. pr1, Function to Print Its Arguments One to a Line

```
pr1() #@ Print arguments one to a line
{
  case $1 in
```

The script version (Listing 11-10) uses getopts, and therefore, it is not POSIX compliant.

#### Listing 11-10. pr1, Script to Print Its Arguments One to a Line

```
while getopts wW: opt
do
  case $opt in
  w) w=
     shift
  ;;
  W) w=$OPTARG ;;
  *) w=-.${COLUMNS:-80} ;;
  esac
done
shift $(( $OPTIND - 1 ))
printf "%${w}s\n" "$@"
```

#### calc

bash lacks the capacity for arithmetic with decimal fractions, so this function (Listing 11-11) uses awk to do the dirty work. Note that characters special to the shell must be escaped or quoted on the command line. This applies particularly to the multiplication symbol, \*.

#### *Listing 11-11.* calc, Print the Result of Arithmetic Expression

```
calc() #@ Perform arithmetic, including decimal fractions
{
  local result=$(awk 'BEGIN { OFMT="%f"; print '"$*"'; exit}')
  case $result in
    *.*0) result=${result%"${result##*[!0]}"} ;;
  esac
  printf "%s\n" "$result"
}
```

The case statement removes trailing zeros after a decimal point.

## **Managing Man Pages**

Here are three useful functions related to man pages. The first searches a man page for a pattern or string, the second looks up a POSIX man page, and the third is equivalent to man -k.

#### sman

The sman function calls up a man page and searches for a given string. It assumes that less is the default pager (Listing 11-12).

#### *Listing 11-12.* sman, Call Up a Man Page and Search for a Pattern

```
sman() #@ USAGE: sman command search_pattern
{
   LESS="$LESS${2:+ +/$2}" man "$1"
}
```

#### **SUS**

To check the portability of a given command or, more usually, to check which options are specified by POSIX, we can use sus. It stores a copy of the POSIX man page locally so that it doesn't need to be fetched on subsequent queries (Listing 11-13).

#### *Listing 11-13.* sus, Look Up a Man Page in the POSIX Spec

```
sus()
{
    local html_file=/usr/share/sus/$1.html ## adjust to taste
    local dir=9699919799
    local sus_dir= http://www.opengroup.org/onlinepubs/$dir/
    utilities/
    [ -f "$html_file" ] ||
        lynx -source $sus_dir${1##*/}.html > $html_file ##>/dev/null 2>&1
    lynx -dump -nolist $html_file | ${PAGER:-less}
}
```

Here, lynx is a text-mode web browser. Though normally used interactively to access the Web, the -source and -dump directives can be used in scripts.

#### k

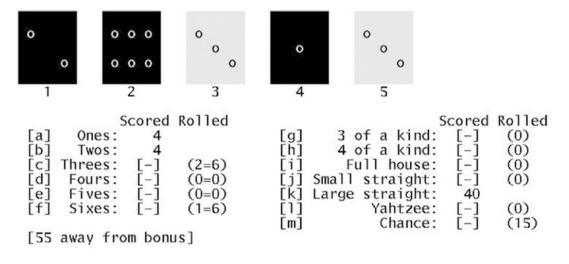
The k function saves all the typing of apropos or man -k. It actually does a little more. It filters the result so that only user commands (from the first section of the man pages) show. System and kernel functions and file specifications, and so on, do not get shown (Listing 11-14).

*Listing 11-14.* k, List Commands Whose Short Descriptions Include a Search String

```
k() #@ USAGE: k string
{
    man -k "$@" | grep '(1'
}
```

#### Games

What's a command line without games? Boring, that's what! Chris has written a number of games using the shell. They include yahtzee (Figure 11-1), a game that uses five dice; maxit (Figure 11-2), based on an arithmetic game for the Commodore 64; and, of course, tic-tac-toe (Figure 11-3). All these games are too large to include their scripts in this book, but sections of them (such as the yahtzee dice) will be demonstrated in later chapters. The one game included here is the fifteen puzzle.



Upper total: 8 Bonus: 0 Lower total: 40 Grand total: 48

**Figure 11-1.** The game of yahtzee, in which the player attempts to get runs, a full house, or three, four, or five of a kind

H: human: 43 (last= 4) V: max1: 29 (last= 4) Points left: -96
-4  13  -6  8  -6  1  -9  1
-1  -2  7  9  -6  -7  1  -1
-6  -4  -7  13    2 7  -6
-5  4  -2 -10    -9
3  11  -1  0  2    1
-12 -13  -6    -2  -5 -13
-15  -8  11  0  1  -6  13  -8
10  10 -12 -12  2 -14  -1  -7

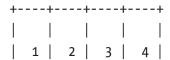
**Figure 11-2.** The game of maxit, in which one player selects from a row and the other from a column

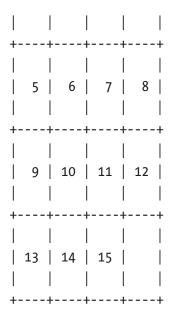
Select ( 2 8 9 ):

Figure 11-3. The ubiquitous game of tic-tac-toe

## The fifteen Puzzle

The fifteen puzzle consists of 15 numbered, sliding tiles in a frame; the object is to arrange them in ascending order like this:





In this script (Listing 11-15), the tiles are moved with the cursor keys.

Listing 11-15. fifteen, Place Tiles in Ascending Order

```
## Meta data
scriptname=${0##*/}
description="The Fifteen Puzzle"
author="Jayant Varma"
created=2023-02-15
## Variables
board=( {1..15} "" )
                 ## The basic board array
target=( "${board[@]}" ) ## A copy for comparison (the target)
empty=15
                    ## The empty square
last=0
                    ## The last move made
A=0 B=1 C=2 D=3
                    ## Indices into array of possible moves
topleft='\e[0;0H'
                    ## Move cursor to top left corner of window
                   ## Make cursor invisible
nocursor='\e[?25l'
normal=\e[Om\e[?121\e[?25h ## Resume normal operation
```

```
## Board layout is a printf format string
## At its most basic, it could be a simple:
fmt="$nocursor$topleft
   %2s %2s %2s %2s
   %2s %2s %2s %2s
   %2s %2s %2s %
                          2s
   %2s %2s %2s %2s
## I prefer this ASCII board
fmt="\e[?251\e[0;0H\n
\t+---+
\t| | | | |
\t| %2s | %2s | %2s | %2s |
\t| | | |
\t+---+
\t| | | | |
\t| %2s | %2s | %2s | %2s |
\t| | | |
\t+---+
\t| | | |
\t| %2s | %2s | %2s | %2s |
\t| | | | |
\t+---+
\t| | | | |
\t| %2s | %2s | %2s | %2s |
\t| | | |
\t+---+\n\n"
### Functions
print board() #@ What the name says
{
 printf "$fmt" "${board[@]}"
borders() #@ List squares bordering on the empty square
```

```
{
  ## Calculate x/y co-ordinates of the empty square
  local x=$(( ${empty:=0} % 4 )) y=$(( $empty / 4 ))
  ## The array, bordering, has 4 elements, corresponding to the 4
  directions
  ## If a move in any direction would be off the board, that element
  is empty
  ##
  unset bordering ## clear array before setting it
  [ $y -lt 3 ] && bordering[$A]=$(( $empty + 4 ))
  [ $v -gt 0 ] && bordering[$B]=$(( $empty - 4 ))
  [ $x -gt 0 ] && bordering[$C]=$(( $empty - 1 ))
  [ $x -lt 3 ] && bordering[$D]=$(( $empty + 1 ))
}
check() #@ Check whether puzzle has been solved
{
  ## Compare current board with target
  if [ "${board[*]}" = "${target[*]}" ]
  then
    ## Puzzle is completed, print message and exit
    print
                          board
    printf "\a\tCompleted in %d moves\n\n" "$moves"
  fi
}
move() #@ Move the square in $1
  movelist="$empty $movelist"
                                 ## add current empty square to the
  move list
  moves=\$((\$moves+1))
                                 ## increment move counter
  board[$empty]=${board[$1]}
                                 ## put $1 into the current empty square
  board[$1]=""
                                 ## remove number from new empty square
                                 ## .... and put it in old empty square
  last=$empty
  empty=$1
                                 ## set new value for empty-square pointer
}
```

```
random move() #@ Move one of the squares in the arguments
{
 ## The arguments to random move are the squares that can be moved
 ## (as generated by the borders function)
 local sq
 while:
 do
   sq=$(( $RANDOM % $# + 1 ))
   sq=${!sq}
   [ $sq -ne ${last:-666} ] && ## do not undo last move
 done
 move "$sq"
}
shuffle() #@ Mix up the board using legitimate moves (to ensure
solvable puzzle)
 local n=0 max=$(( $RANDOM % 100 + 150 )) ## number of moves to make
 while [ $((n += 1)) - lt $max ]
 do
   borders
                                       ## generate list of
                                       possible moves
   random_move "${bordering[@]}"
                                       ## move to one of them
                                       at random
 done
### End of functions
trap 'printf "$normal"' EXIT
                                       ## return terminal to normal
                                       state on exit
### Instructions and
                               initialization
*************************************
clear
```

#### CHAPTER 11 PROGRAMMING FOR THE COMMAND LINE

```
print board
echo
printf "\t%s\n" "$description" "by $author, ${created\%-*}" ""
printf "
Use the cursor keys to move the tiles around.
The game is finished when you return to the
position shown above.
Try to complete the puzzle in as few moves
as possible.
       Press \e[1mENTER\e[0m to continue
shuffle
                                        ## randomize board
                                        ## reset move counter
moves=0
read -s
                                        ## wait for user
                                        ## clear the screen
clear
### Main loop
while:
do
 borders
 print board
 printf "\t %d move" "$moves"
  [ $moves -ne 1 ] && printf "s"
 check
 ## read a single character without waiting for <ENTER>
 read -sn1 -p $'
                       \e[K' kev
 ## The cursor keys generate three characters: ESC, [ and A, B, C, or D;
 ## this loop will run three times for each press of a cursor key
 ## but will not do anything until it receives a letter
 ## from the cursor key (or entered directly with A etc.), or a
  'q' to exit
 case $key in
   A) [ -n "${bordering[$A]}" ] && move "${bordering[$A]}" ;;
   B) [ -n "${bordering[$B]}" ] && move "${bordering[$B]}" ;;
```

```
C) [ -n "${bordering[$C]}" ] && move "${bordering[$C]}" ;;
D) [ -n "${bordering[$D]}" ] && move "${bordering[$D]}" ;;
q) echo; break ;;
esac
done
```

## **Summary**

The scripts provided in this chapter are a smattering of the possibilities for using scripts at the command line. Where the environment needs to be changed (as in cd and cdm), the scripts must be shell functions. These are usually kept in \$HOME/.bashrc or in a file sourced by .bashrc.

Even games can be programmed without needing a GUI interface.

#### **Exercises**

- 1. Modify the menu function to accept its parameters from a file.
- 2. Rewrite the pr1 function as prx that will behave in the manner of pr4 from Chapter 8 but will take an option for any number of columns.
- 3. Add a getopts section to the fifteen game that allows the user to select between three different board formats. Write a third format.

## **Runtime Configuration**

When I download my e-mail from three or four different POP3 servers, I don't use a different script for each one. When I open a terminal to ssh to a remote computer (half a dozen of them) with a different background color for each, I use the same script for every connection. To upload files to my websites, I use the same script for all of them.

You can configure a script's behavior in several ways when you run it. This chapter looks at seven methods: initialized variables, command-line options and arguments, menus, Q&A dialogue, configuration files, multiple names for one script, and environment variables. These methods are not mutually exclusive; in fact, they are often combined. A command-line option could tell the script to use a different configuration file or present the user with a menu.

## **Defining Variables**

If the runtime requirements for a script would not change, then hard-coded variables (a sort of configuration) may be all the configuration that is needed (Listing 12-1). We can set them when the script is installed; when a change is needed, the parameters can quickly be changed using a text editor.

#### Listing 12-1. Example of Initialized Default Variables

## File locations
dict=/usr/share/dict
wordfile=\$dict/singlewords
compoundfile=\$dict/Compounds
## Default is not to show compound words
compounds=no

If the variables need changing often, one or more of the other methods can be added.

## **Command-Line Options and Arguments**

The most common method for changing runtime behavior uses command-line options. As shown in Listing 12-2, all the values defined earlier can be modified at the command line.

#### **Listing 12-2.** Parse Command-Line Options

```
while getopts d:w:f:c var
do
   case "$var" in
   c) compounds=1 ;;
   d) dict=$OPTARG ;;
   w) wordfile=$OPTARG ;;
   f) compoundfile=$OPTARG ;;
   esac
done
```

#### Menus

For a user unfamiliar with a piece of software, a menu is a good way to allow runtime changes. In the menu example shown in Listing 12-3, the selections are numbered from 1 to 4, and q exits the menu.

#### *Listing 12-3.* Set Parameters via Menu

```
while : ## loop until user presses 'q'
do

## print menu
printf "\n\n%s\n" "$bar"
printf " Dictionary parameters\n"
printf "%s\n\n" "$bar"
printf " 1. Directory containing dictionary: %s\n" "$dict"
printf " 2. File containing word list: %s\n" "$wordfile"
printf " 3. File containing compound words and phrases: %s\n"
"$compoundfile"
```

```
printf " 4. Include compound words and phrases in results? %s\n"
  "$compounds"
 printf " q. %s\n" "Exit menu"
 printf "\n%s\n\n" "$bar"
 ## get user response
 read -sn1 -p "Select (1,2,3,4,q): " input
 echo
 ## interpret user response
 case $input in
    1) read -ep "Enter dictionary directory: " dict ;;
    2) read -ep "Enter word-list file: " wordfile ;;
    3) read -ep "Enter compound-word file: " compoundfile ;;
    4) [ "$compounds" = y ] && compounds=n || compounds=y ;;
    q) break ;;
    *) printf "\n\aInvalid selection: %c\n" "$input" >&2
    sleep 2
    ;;
 esac
done
```

## **Q&A Dialogue**

A question-and-answer function cycles through all the parameters, prompting the user to enter a value for each one (Listing 12-4). This can get tedious for the user, and it is probably best used when there are no defaults, when there are very few parameters to enter, or when values need to be entered for a new configuration file.

#### *Listing 12-4.* Set Variables by Question and Answer

```
read -ep "Directory containing dictionary: " dict
read -ep "File containing word list: " wordfile
read -ep "File containing compound words and phrases: " compoundfile
read -sn1 -p "Include compound words and phrases in results (y/n)? "
compounds
echo
read -ep "Save parameters (y/n)? " save
```

## **Configuration Files**

Configuration files can use any format, but it's easiest to make them shell scripts that can be sourced. The example file shown in Listing 12-5 can be sourced, but it can also provide more information.

#### Listing 12-5. Configuration File, words.cfg

```
dict=/usr/share/dict ## directory containing dictionary files
wordfile=singlewords ## file containing word list
compoundfile=Compounds ## file containing compound words and phrases
compounds=no ## include compound words and phrases in
results?
```

The words.cfg file can be sourced with either of these two commands:

```
. words.cfg
source words.cfg
```

Rather than sourcing the file, it can be parsed in various ways (Listing 12-6). Since bash-4.x, we can read the file into an array and extract the variables and comments using *parameter expansion*, the expansion being applied to each element of the array.

#### **Listing 12-6.** Parsing Configuration File

```
IFS=$'\n'
file=words.cfg
settings=( $( < "$file") )  ## store file in array, 1 line
per element
eval "${settings[@]%#*}"  ## extract and execute the assignments
comments=( "${settings[@]#*## }" ) ## store comments in array</pre>
```

The comments array contains just the comments, and the assignments can be extracted from settings with "\${settings[@]\\#\*}":

```
$ printf "%s\n" "${comments[@]}"
directory containing dictionary files
file containing word list
file containing compound words and phrases
include compound words and phrases in results?
```

You can also read the file in a loop to set the variables and provide information about the variables it contains by displaying the comments (Listing 12-7).

#### *Listing 12-7.* Parsing Configuration File with Comments

Configuration files can be made as complex as you like, but parsing them then falls more properly under the category of data processing, which is the subject of Chapter 13.

## **Scripts with Several Names**

By storing the same file under different names, you can avoid command-line options and menus. The script in Listing 12-8 opens a terminal and connects to different remote computers using a secure shell. The terminal's colors, the machine / host to log on to, and the name of the remote user are all determined by the name of the script.

Listing 12-8. bashful, Connect to the Remote Computer via ssh

```
scriptname=${0##*/}
## default colours
bg=#ffffcc
               ## default background: pale yellow
fg=#000000
               ## default foreground: black
               ## default user name
user=bashful
term=xterm
               ## default terminal emulator (I prefer rxvt)
case $scriptname in
  sleepy)
     bg=#ffffff
     user=sleepy
     host=sleepy.example.com
     ;;
  sneezy)
     fg=#aa0000
     bg=#ffeeee
     host=sneezy.example.org
     ;;
  grumpy)
     fg=#006600
     bg=#eeffee
     term=rxvt
     host=cfajohnson.example.com
     ;;
  dopey)
```

```
host=127.0.0.1
;;

*) echo "$scriptname: Unknown name" >&2
exit 1
;;

esac
"$term" -fg "$fg" -bg "$bg" -e ssh -l "$user" "$host"

To create the multiple names for the same file, create links with ln (Listing 12-9).
```

#### Listing 12-9. Make Multiple Links to bashful Script

```
cd "$HOME/bin" &&
for name in sleepy sneezy grumpy dopey
do
    ln -s bashful "$name" ## the -s option can be left out
done
```

**Note** The -s option with In is to make symbolic link files instead of hard links.

#### **Environment Variables**

We can also pass settings to a program using variables. These can be either exported or defined on the same line as the command. In the latter case, the variable is defined for that command only.

We alter the behavior of the program by checking for the value of a variable or even just for its existence. This technique is most often used to adjust the output of a script using verbose. This would be a typical line in a script:

```
[ ${verbose:-0} -gt 0 ] && printf "%s\n" "Finished parsing options"
   The script would be called with the following:
verbose=1 myscriptname
```

You can see an example in the following script.

# **All Together Now**

The following is the program used to update all my websites. It finds new or modified files in a directory hierarchy, stores them in a tarball, and uploads them to a website on a (usually) remote computer. All of the sites have shell access enabled so that a secure shell connection can be made using ssh, to transfer the files and unpack them with tar on the site:

All the sites use authentication keys (created with ssh-keygen) so that no password is required, and the script can be run as a cron job.

This program uses all the techniques mentioned earlier except for multiple names. It's more than what one would usually use in a single program, but it's a good illustration.

The user can select whether to use command-line options, a menu, a Q&A dialogue, or a configuration file to adjust the settings, or the user can even use the defaults. Command-line options are available for all settings:

- -c configfile: Reads settings from configfile
- -h host: Specifies the URL or IP address of a remote computer
- -p port: Specifies the SSH port to use
- -d dest: Specifies the destination directory on the remote host
- u user: Specifies the user's login name on a remote computer
- -a archivedir: Specifies the local directory to store archive files
- -f syncfile: Specifies the file whose timestamp is the cutoff point

And there are three further options that control the script itself:

- -t: Tests only, displays final settings, does not archive or upload
- -m: Presents the user with the menu
- -q: Uses Q&A dialogue

The script is examined in the following sections in detail, section by section.

**Note** This is a book on Pro Bash Scripts and hence the approach using scripting. Writing a script may not necessarily be the best solution.

There are a couple of other options not necessarily bash scripting based that are created solely to achieve administration outcomes. There is a perl script wrapper called Cluster SSH (open source found at https://github.com/duncs/clusterssh) that allows you to send a command to multiple servers at the same time and is GUI based. There is another called Puppet, which is quite popular.

# **Script Information**

Note that parameter expansion is used to pull the script name from \$0, not the external command, basename (Listing 12-10).

*Listing 12-10.* upload, Archive and Upload Files to a Remote Computer

```
scriptname=${0##*/}
description="Archive new or modified files and upload to web site"
author="Jayant Varma"
version=1.0
```

# **Default Configuration**

Besides setting the variables, an array containing the names of the variables and their descriptions is created (Listing 12-11). This is used by the menu and qa (question and answer) functions for labels and prompts.

### Listing 12-11. Default Values and settings Array

```
## archive and upload settings
host=127.0.0.1  ## Remote host (URL or IP address)
port=22  ## SSH port
dest=work/upload  ## Destination directory
user=jayant  ## Login name on remote system
source=$HOME/public html/oz-apps.com ## Local directory to upload
```

```
archivedir=$HOME/work/webarchives
                                   ## Directory to store archive files
                                    ## File to touch with time of
syncfile=.sync
last upload
## array containing variables and their descriptions
varinfo=( "" ## Empty element to emulate 1-based array
  "host:Remote host (URL or IP address)"
  "port:SSH port"
  "dest:Destination directory"
  "user:Login name on remote system"
  "source:Local directory to upload"
  "archivedir:Directory to store archive files"
  "syncfile: File to touch with time of last upload"
## These may be changed by command-line options
menu=0
               ## do not print a menu
               ## do not use question and answer
qa=0
               ## 0 = upload for real; 1 = don't archive/upload, show
test=0
settings
configfile=
              ## if defined, the file will be sourced
configdir=$HOME/.config ## default location for configuration files
              ## delay in seconds after printing messages
sleepytime=2
## Bar to print across top and bottom of menu (and possibly elsewhere)
bar=$bar$bar$bar
                     ## make long enough for any terminal window
menuwidth=${COLUMNS:-80}
```

### **Screen Variables**

These variables use the ISO 6429 standard, which is now all but universal in terminals and terminal emulators (Listing 12-12). This is discussed in detail in Chapter 14. When printed to the terminal, these escape sequences perform the actions indicated in the comments.

#### **Listing 12-12.** Define Screen Manipulation Variables

```
topleft='\e[0;0H' ## Move cursor to top left corner of screen
clearEOS='\e[J' ## Clear from cursor position to end of screen
clearEOL='\e[K' ## Clear from cursor position to end of line
```

### **Function Definitions**

There are five functions, two of which, menu and qa, allow the user to change the settings. With readline able to accept the user's input, the -i option to read is used if the shell version is bash-4.x or greater. If the test option is used, the print\_config function outputs the settings in a format that is suitable for a configuration file, complete with comments.

### Function: die

The program exits via the die function when a command fails (Listing 12-13).

### Listing 12-13. Define die Function

```
die() #@ Print error message and exit with error code
{    #@ USAGE: die [errno [message]]
    error=${1:-1}  ## exits with 1 if error number not given
    shift
    [ -n "$*" ] &&
        printf "%s%s: %s\n" "$scriptname" ${version:+" ($version)"} "$*" >&2
        exit "$error"
}
```

### Function: menu

The menu function uses its command-line arguments to populate the menu (Listing 12-14). Each argument contains a variable name and a description of the variable separated by a colon.

# The Upload Settings Menu

\_\_\_\_\_ UPLOAD SETTINGS \_\_\_\_\_\_ 1: Remote host (URL or IP address) (127.0.0.1) 2: ssh port (22) 3: Destination directory (work/upload) 4: Login name on remote system (jayant) 5: Local directory to upload (/home/jayant/public html/oz-apps.com) 6: Directory to store archive files (/home/jayant/work/webarchives) 7: File to touch with time of last upload (.sync) q: Quit menu, start uploading 0: Exit upload

The function enters an infinite loop, from which the user exits by selecting q or 0. Within the loop, menu clears the screen and then cycles through each argument, storing it in item. It extracts the variable name and description using parameter expansion:

\_\_\_\_\_\_ o'

```
var=${item%%:*}
description=${item#*:}
```

Select 1...7 or 'q/

The value of each var is obtained through indirect expansion, \${!var}, and is included in the menu labels. The field width for the menu number is \${#max}, that is, the length of the highest item number.

#### *Listing 12-14.* Define menu Function

```
menu() #@ Print menu, and change settings according to user input
  local max=$#
  local menutitle="UPLOAD SETTINGS"
  local readopt
  if [ $max -lt 10 ]
  then
                   ## if fewer than ten items,
    readopt=-sn1 ## allow single key entry
```

```
else
 readopt=
fi
printf "$topleft$clearEOS" ## Move to top left and clear screen
while: ## infinite loop
do
 ## display menu
 ##
 printf "$topleft" ## Move cursor to top left corner of screen
 ## print menu title between horizontal bars the width of the screen
 printf "\n%s\n" "${bar:0:$menuwidth}"
 printf " %s\n" "$menutitle"
 printf "%s\n\n" "${bar:0:$menuwidth}"
 menunum=1
 ## loop through the positional parameters
 for item
 do
   var=${item%%:*} ## variable name
   description=${item#*:} ## variable description
   ## print item number, description and value
            %${#max}d: %s (%s)$clearEOL\n" \
            "$menunum" "$description" "${!var}"
   menunum=$(( $menunum + 1 ))
 done
 ## ... and menu adds its own items
          %${##}s\n" "q: Quit menu, start uploading" \
 printf "
                "0: Exit $scriptname"
 printf "\n${bar:0:$menuwidth}\n" ## closing bar
 printf "$clearEOS\n" ## Clear to end of screen
 ##
 ## User selection and parameter input
 ##
```

```
read -p " Select 1..$max or 'q' " $readopt x
   echo
   [ "$x" = q ] && break ## User selected Quit
   [ "$x" = 0 ] && exit ## User selected Exit
   case $x in
     *[!0-9]* | "")
            ## contains non digit or is empty
            printf "\a %s - Invalid entry\n" "$x" >&2
            sleep "$sleepytime"
            ;;
     *) if [ $x -gt $max ]
        then
          printf "\a %s - Invalid entry\n" "$x" >&2
         sleep "$sleepytime"
         continue
        fi
        var=${!x\\:*}
        description=${!x#*:}
        ## prompt user for new value
        printf " %s$clearEOL\n" "$description"
        readline value " >> " "${!var}"
        ## if user did not enter anything, keep old value
        if [ -n "$value" ]
        then
          eval "$var=\$value"
        else
         printf "\a Not changed\n" >&
                                                    2
         sleep "$sleepytime"
        fi
        ;;
   esac
   ##
   done
}
```

# Function: qa

The qa function takes the same arguments as menu, but instead of putting them into a menu, it prompts the user for a new value for each variable (Listing 12-15). When it has run through all the command-line arguments, which it splits up in the same manner as menu, it calls the menu function for verification and editing of the values. Also like menu, it uses readline to get the input and keeps the old value if nothing is entered.

#### Listing 12-15. Define qa Function

```
qa() #@ Question and answer dialog for variable entry
 local item var description
 printf "\n %s - %s\n" "$scriptname" "$description"
 printf " by %s, copyright %d\n" "$author" "$copyright"
 echo
 if [ ${BASH VERSINFO[0]} -ge 4 ]
   printf " %s\n" "You may edit existing value using the arrow keys."
 else
   printf " %s\n" "Press the up arrow to bring existing value" \
                   "to the cursor for editing with the arrow keys"
 fi
 echo
 for item
 do
    ## split $item into variable name and description
   var=${item%%:*}
    description=${item#*:}
   printf "\n %s\n" "$description"
   readline value " >> " "${!var}"
    [ -n "$value" ] && eval "$var=\$value"
 done
 menu "$@"
}
```

The dialogue looks like this:

```
$
                 upload -qt
upload - Archive new or modified files and upload to web site
by Jayant Varma, copyright 2023
You may edit existing value using the arrow keys.
Remote host (URL or IP address)
   >> oz-apps.com
SSH port
   >> 99
Destination directory
   >> public html
Login name on remote system
   >> jayant
Local directory to upload
   >> /home/jayant/public html/oz-apps.com
Directory to store archive files
   >> /home/jayant/work/webarchives
File to touch with time of last upload
   >> .sync
```

# Function: *print\_config*

The print\_config function prints all the variables listed in the varinfo array to the standard output in a format suitable for a configuration file, as described earlier in this chapter. Although probably not necessary in this program, it encloses the assignment value in double quotes and escapes double quotes in the value using bash's search-and-replace parameter expansion:

```
$ var=location
$ val='some"where'
$ printf "%s\n" "$var=\"${val//\"/\\"}\""
location="some\"where"
```

See the options-parsing section in Listing 12-16 for an example of the output of print config.

#### *Listing 12-16.* Define print config Function

```
print_config() #@ Print values in a format suitable for a configuration file
{
  local item var description
  [ -t 1 ] && echo ## print blank line if output is to a terminal
  for item in "${varinfo[@]}"
  do
    var=${item%:*}
    description=${item#*:}
    printf "%-35s ## %s\n" "$var=\"\${!var/\\"/\\\"}\"" "$description"
    done
  [ -t 1 ] && echo ## print blank line if output is to a terminal
}
```

### Function: readline

If bash-4.x or later is in use, the readline function will place a value before the cursor to edit (Listing 12-17). With an earlier version of bash, it puts the value into the history so that it can be brought up with the up arrow (or Ctrl+P) and then edit it.

### *Listing 12-17.* Define readline Function

# **Parse Command-Line Options**

We can set the seven configuration variables with the a, d, f, h, p, s, and u options. In addition, we can also specify a configuration file with the c option. A test run, which prints the configuration information but doesn't attempt to create a tarball or upload any files, can be triggered with the t option. The m and q options offer the user a menu and a question-and-answer dialogue, respectively.

If a host is given as an option, a config file name is built using a standard formula. If the file exists, it is assigned to the configfile variable so that the parameters will be loaded from it. Usually this is all that would be needed to add to the command line for this purpose (Listing 12-18).

#### Listing 12-18. Parse Command-Line Options

```
while getopts c:h:p:d:u:a:s:f:mqt var
do
  case "$var" in
    c) configfile=$OPTARG ;;
    h) host=$OPTARG
       hostconfig=$configdir/$scriptname.$host.cfg
       [ -f "$hostconfig" ] &&
         configfile=$hostconfig
       ;;
    p) port=$OPTARG ;;
    s) source=$OPTARG ;;
    d) dest=$OPTARG ;;
    u) user=$OPTARG ;;
    a) archivedir=$OPTARG ;;
    f) syncfile=$OPTARG ;;
    t) test=1 ;; ## show configuration, but do not archive or upload
    m) menu=1 ;;
    q) qa=1 ;;
  esac
done
shift $(( $OPTIND - 1 ))
```

Using options and redirection, this program can create new configuration files. Here, parameters are given on the command line, and defaults are used for those not given.

```
$
               upload -t -h www.example.com -p 666 -u paradigm -d
public html\ -s $HOME/public html/www.example.com >
                                                                   WWW.
example.com.cfg
                                www.example.com.cfg
                                    ## Remote host (URL or IP address)
host="www.example.com"
port="666"
                                    ## SSH port
dest="public html"
                                    ## Destination directory
user="paradigm"
                                    ## Login name on remote system
                                                               " ## Local
source="/home/jayant/public html/www.example.com
directory to upload
archivedir="/home/jayant/work/webarchives" ## Directory to store
archive files
                                    ## File to touch with time of
syncfile=".sync"
last upload
```

### **Bits and Pieces**

Listing 12-19 shows the rest of the script.

#### *Listing 12-19.* The Rest of the Script

```
## If a configuration file is defined, try to load it
if [ -n "$configfile" ]
then
  if [ -f "$configfile" ]
  then
    ## exit if problem with config file
    . "$configfile" || die 1 Configuration error
  else
    ## Exit if configuration file is not found.
    die 2 "Configuration file, $configfile, not found"
  fi
fi
```

#### CHAPTER 12 RUNTIME CONFIGURATION

```
## Execute menu or ga if defined
if [ $menu -eq 1 ]
then
  menu "${varinfo[@]}"
elif [ $qa -eq 1 ]
then
  qa "${varinfo[@]}"
fi
## Create datestamped filename for tarball
tarfile=$archivedir/$host.$(date +%Y-%m-%dT%H:%M:%S.tgz)
if [ $test -eq 0 ]
then
  cd "$source" || die 4
fi
## verbose must be set (or not) in the environment or on the command line
if [ ${verbose:-0} -gt 0 ]
then
  printf "\nArchiving and uploading new files in directory: %s\n\n" "$PWD"
 opt=v
else
 opt=
fi
## IFS=$'\n' # uncomment this line if you have spaces in filenames (shame
on you!)
if [ ${test:-0} -eq 0 ]
then
  remote command="cd \"$dest\" || exit;tar -xpzf -"
  ## Archive files newer than $syncfile
  tar cz${opt}f "$tarfile" $( find . -type f -newer "$syncfile") &&
    ## Execute tar on remote computer with input from $tarfile
    ssh -p "$port" -l "$user" "$host" "$remote command" < "$tarfile" &&
       ## if ssh is successful
       touch "$syncfile"
```

```
else ## test mode
  print_config
fi
```

# **Summary**

This chapter demonstrated seven methods of altering the runtime behavior of a script. If changes will be rare, variables defined in the script may be adequate. When that isn't enough, command-line options (parsed with getopts) are often enough.

You can use a menu or question-and-answer dialogue both for runtime configuration and for creating configuration files that can be sourced on demand. Using differently named files for the same script can save typing. In some cases, setting a variable in the shell's environment is enough.

### **Exercises**

- 1. Add code to the upload script that checks that all variables have been set to legitimate values (e.g., that port is an integer).
- 2. Write a usage or help function and add it to the upload script.
- 3. Add an option to the upload script to save the configuration if it has been saved.
- 4. Write a script that creates a configuration file in the same form as words.cfg, prompting the user for the information to put in it.

# **Data Processing**

Data manipulation includes a wide range of actions, far more than can be adequately covered in a single chapter. However, most actions are just the application of techniques already covered in earlier chapters. Arrays are a basic data structure, and although the syntax was covered in Chapter 5 and they were used in the fifteen puzzle code in Chapter 11, we haven't yet explored their uses. Parameter expansion has been used in several chapters, but its application to parsing data structures has not been discussed.

This chapter will cover different ways of using strings and arrays, how to parse character-delimited records into their individual fields, and how to read a data file. There are two function libraries for manipulating two-dimensional grids, and there are functions for sorting and searching arrays.

# **Arrays**

POSIX shell does not include support for arrays, but bash has used *indexed arrays* since version 2.0, and in version 4.0, *associative arrays* were added. The main difference between indexed arrays and associative arrays is that indexed arrays are referenced by an *integer subscript* whereas the associated arrays have a *string key*. There is no preset limit to the number of elements an array can contain; they are limited only by available memory.

# **Holes in an Indexed Array**

If some elements of an indexed array are unset, the array is left with holes, and it becomes a *sparse* array. It will then be impossible to traverse the array merely by incrementing an index. There are various ways of dealing with such an array. To demonstrate, let's create an array and poke some holes (figuratively and programmatically) in it:

```
array=( a b c d e f g h i j )
unset array[2] array[4] array[6] array[8]
```

The array now contains six elements instead of the original ten:

```
$ sa "${array[@]}"
:a:
:b:
:d:
:f:
:h:
:j:
```

One way to iterate through all the remaining elements is to expand them as arguments to for. In this method, there is no way of knowing what the subscript for each element is:

```
for i in "${array[@]}"
do
   : do something with each element, $i, here
done
```

With a packed array (one with no holes), the index can start at 0 and be incremented to get the next element. With a sparse (or any) array, the  $\{!array[@]\}$  expansion lists the subscripts:

```
$ echo "${!array[@]}"
0 1 3 5 7 9
```

This expansion can be used as the argument to for:

```
for i in "${!array[@]}"
do
   : do something with ${array[$i]} here
done
```

That solution does not provide a method of referring to the next element. We can save the previous element yet not get the value of the next one. To do that, we could put the list of subscripts into an array and use its elements to reference the original array.

Alternatively, we could pack the array, that is, use the elements of the array to create a new array from the elements and assign it to the same variable, which removes the holes and resets the element indexes.

```
$ array=( "${array[@]}" )
$ echo "${!array[@]}"
0 1 2 3 4 5
```

**Note** This will convert an associative array to an indexed array.

We can also assign a string key value to the array, that is, create an associative array.

# **Using an Array for Sorting**

Ordering data alphabetically (or numerically) is not usually a task for the shell. The sort command is a very flexible and efficient tool that can handle most sorting needs. There are, however, a couple of cases where sorting can best be done by the shell.

The most obvious is file name expansion, in which the result of expanding wildcards is always sorted alphabetically. This is useful, for example, when working with date-stamped files. If the date stamp uses the standard ISO format, YYYY-MM-DD, or a compressed version, YYYYMMDD, the files will automatically be sorted in date order. If we have files in the format log. YYYYMMDD, this loops through them in chronological order:

```
for file in log.* ## loop through files in chronological order
do
   : do whatever
done
```

There is no need to use 1s; the shell sorts the wildcard expansion.

Since bash-4.x, another expansion is sorted alphabetically: associative arrays with single-character subscripts:

```
$ declare -A q
$ q[c]=1 q[d]=2 q[a]=4
$ sa "${q[@]}"
```

```
:2:
:1:
:4:
```

**Note** In bash 5.1.x, the array indexes are sorted in descending order.

This led to writing a function that sorts the letters of a word (Listing 13-1).

Listing 13-1. lettersort, Sort Letters in a Word Alphabetically

```
lettersort() #@ Sort letters in $1, store in $2
{
  local letter string
  declare -A letters
  string=${1:?}
  while [ -n "$string" ]
  do
    letter=${string:0:1}
    letters["$letter"]=${letters["$letter"]}$letter
    string=${string#?}
  done
  printf -v "${2:-_LETTERSORT}" "%s" "${letters[@]}"
}
```

What's the point, you ask? Take a look at these examples:

```
$ lettersort triangle; printf "%s\n" "$_LETTERSORT"
trnligea # in earlier versions it would have been aegilnrt
$ lettersort integral; printf "%s\n" "$_LETTERSORT"
trnligea # in earlier versions it would have been aegilnrt
```

When the letters are sorted, you can see that the two words contain the same letters. Therefore, they are anagrams of each other. Try this process with the words *altering*, *alerting*, and *relating*.

### **Insertion Sort Function**

If one would want to use the external command for sort in the shell, it can be used. The thing to note is that the function listed in Listing 13-2 is much slower than the external sort command when dealing with more than estimated 15 to 20 elements. It inserts each element into the correct position in an array and then prints the resulting array.

**Note** The sort function is a program written in C, optimized for speed, and compiled, whereas the script written in bash is interpreted at runtime. However, it all depends on the number of elements you are sorting and the way your script is structured, which determines the suitability of sort overusing your own scripted sort.

Listing 13-2. isort, Sort Command-Line Arguments

```
isort()
{
 local -a a
 a=( "$1" ) ## put first argument in array for initial comparison
            ## remove first argument
 for e
             ## for each of the remaining arguments...
 do
   if [ "$e" \< "${a[0]}" ]
                                           ## does it precede the first
    element?
    then
     a=( "$e" "${a[@]}" )
                                           ## if yes, put it first
   elif [ "$e" \> "{a[${\#a[@]}-1]}" ] ## if no, does it go at the end?
    then
     a=( "${a[@]}" "$e" )
                                           ## if yes, put it at the end
    else
                                           ## otherwise,
     n=0
     while [ "${a[$n]}" \< "$e" ]
                                           ## find where it goes
       n=\$(( \$n + 1 ))
```

```
done
      a=( "\{a[@]:0:n\}" "\}e" "\{a[@]:n\}" ) ## and put it there
    fi
  done
  printf "%s\n" "${a[@]}"
}
   To put the eight Australian capital cities in alphabetical order, we can use this code:
                  states=( "New South Wales" Victoria Queensland Tasmania
$
                  "South Australia" \
                    "Western Australia" "Northern Territory" "Australian
                    Capital Territory" )
                  isort ${states[@]}
$
Australian Capital Territory
New South Wales
Northern Territory
Oueensland
South Australia
Tasmania
Victoria
Western Australia
```

# **Searching an Array**

As with the isort function, this function is designed for use with relatively small arrays. If the array contains more than a certain number of elements (50? 60? 70?), it is faster to pipe it through grep. The function in Listing 13-3 takes the name of an array and a search string as arguments and stores elements containing the search string in a new array, asearch elements.

```
Listing 13-3. asearch, Search Elements of an Array for a String
```

```
eval "array=( \"\${$arrayname[@]}\" )"
  case ${array[*]} in
    *"$substring"*) ;; ## it's there; drop through
    *) return 1 ;; ## not there; return error
  esac
  unset _asearch_elements
  for subscript in "${!array[@]}"
  do
    case ${array[$subscript]} in
        *"$substring"*)
        _asearch_elements+=( "${array[$subscript]}" )
        ;;
  esac
  done
}
```

To see the function in action, put the Australian capital cities into an array and call asearch:

# **Reading an Array into Memory**

There are various ways of reading a file into an array with bash. The most obvious is also the slowest, a while read loop:

#### CHAPTER 13 DATA PROCESSING

```
unset array
while read line
do
   array+=( "$line" )
done < "$kjv"  ## kjv is defined in Chapter 8</pre>
```

A faster method that is still portable uses the external command, cat:

In bash, cat is unnecessary, the redirection itself can serve the functionality of the cat command:

```
array=$( < "$kjv" ) ## IFS is still set to a newline
```

With bash-4.x, a new built-in command, mapfile, is even faster:

```
mapfile -t array < "$kjv"</pre>
```

The options to mapfile allow you to select the line at which to start reading (actually, it's the number of lines to skip before starting to read), the number of lines to read, and the index at which to start populating the array. If no array name is given, the variable MAPFILE is used.

The following are the seven options to mapfile:

- -n num: Reads no more than num lines.
- -0 index: Begins populating the array at element index.
- -s num: Discards the first num lines.
- -t: Removes the trailing newline from each line.
- -u fd: Reads from input stream fd instead of the standard input.
- -C callback: Evaluates the shell command callback every N lines, where N is set by -c N.
- -c N: Specifies the number of lines between each evaluation of callback; the default is 5000.

With older versions of bash, you could use sed to extract ranges of lines from a file; with bash-4.x, you could use mapfile. Listing 13-4 installs a function that uses mapfile if the version of bash is 4.x or greater, but sed is used if not.

Listing 13-4. getlines, Store a Range of Lines from a File in an Array

```
if [ "${BASH_VERSINFO[0]}" -ge 4 ]
then
  getlines() #@ USAGE: getlines file start num arrayname
  {
    mapfile -t -s$(( $2 - 1 )) -n ${3:?} "$4" < "$1"
  }
else
  getlines() #@ USAGE: getlines file start num arrayname
  {
    local IFS=$'\n' getlinearray arrayname=${4:?}
    getlinearray=( $(sed -n "$2,$(( $2 - 1 + $3 )) p" "$1") )
    eval "$arrayname=( \"\${getlinearray[@]}\" )"
  }
fi</pre>
```

Process substitution and external utilities can be used with mapfile to extract portions of a file using different criteria:

```
mapfile -t exodus < <(grep ^Exodus: "$kjv") ## store the book of Exodus
mapfile -t books < <(cut -d: -f1 "$kjv" | uniq) ## store names of all
books in KJV</pre>
```

**Tip** We can also use readarray to read the data from a file into an array; it is basically an alias for mapfile.

### **Two-Dimensional Grids**

Programmers often have to deal with two-dimensional grids. Crossword puzzles are a grid, a chess game has a grid, games like tic-tac-toe are a smaller grid, and fifteen as seen earlier in Chapter 11 is a board game that also utilizes a grid.

The obvious structure to use for these is a two-dimensional array. The dilemma is that bash has only one-dimensional arrays, which requires a workaround to simulate two dimensions. This can be achieved by creating *an array*, *a string*, and *an array of strings* – namely, a "poor man's array" (see Chapter 9).

For a chess game, an associative array could be used, with the squares identified using the standard algebraic notations (SAN) for squares such as a1, b1, up to g8, and h8.

```
declare -A chessboard
chessboard["a1"]=R
chessboard["a2"]=P
: ... 60 squares skipped
chessboard["g8"]=r
chessboard["h8"]=b
```

This is a common way to represent the array where in the array each element is a string that represents a rank.

```
chessboard=(
RNBQKBRN
PPPPPPPP
" "
" "
" "
" "
" pppppppp
rnbqkbnr
)
```

Another preference, when using bash, could be to use a simple indexed array:

```
chessboardarray=(
R N B Q K B R N
P P P P P P P P
```

Next, let us look at two function libraries, one for dealing with grids in a single string and the other for grids stored in arrays.

# **Working with Single-String Grids**

We will create a function library, stringgrid-funcs, for dealing with two-dimensional grids stored in a single string. There is a function to initialize all elements of a grid to a given character and one to calculate the index in the string of a character based on the x and y coordinates. There's one to fetch the character in the string using x/y and one to place a character into the grid at x/y. Finally, there are functions to print a grid, starting either with the first row or with the last row. These functions only work with square grids.

# Function: initgrid

Given the name of the grid (i.e., the variable name), the size, and optionally the character with which to fill it, initgrid (Listing 13-5) creates a grid with the parameters supplied. If no character is supplied, a space is used.

### Listing 13-5. initgrid, Create a Grid and Fill It

```
export gridsize=${2:?}  ## set gridsize globally
size=$(( $gridsize ** 2 ))  ## total number of characters in grid
printf -v grid "%$size.${size}s" " ## print string of spaces to
variable
eval "$gridname=\${grid// /"$char"}" ## replace spaces with desired
character
}
```

The length of the string is the number of squares in a row of the grid, which is also the grid size. A string of that length is created using a width specification in printf, with the -v option to save it to a variable supplied as an argument. Pattern substitution then replaces the spaces with the requested string.

This and the other functions in this library use the \${var:?} expansion, which displays an error and exits the script if there is no value for the parameter. This is appropriate because it is a programming error, not a user error if a parameter is missing. Even if it's missing because the user failed to supply it, it is still a programming error; the script should have checked that a value had been entered.

A tic-tac-toe grid is a string of nine spaces. For something this simple, the initgrid function is hardly necessary, but it is a useful abstraction:

```
$ . stringgrid-funcs
$ initgrid ttt 3 "="
$ sa "$ttt"  ## The sa script/function has been used in previous
chapters
:======:
```

# Function: gridindex

We can use simple algebra to store the x and y coordinates as a single index number. This can be simply achieved by first subtracting 1 from the row number (y) and then multiplying it by the gridsize and then adding the column (x) - 1. In Listing 13-6, gridindex is a simple formula that could be used inline when needed, but again the abstraction makes using string grids easier and localizes the formula so that if there is a change, it only needs fixing in one place.

#### *Listing 13-6.* gridindex, Calculate Index from Row and Column

```
gridindex() #@ Store row/column's index into string in var or $ gridindex
         #@ USAGE: gridindex row column [gridsize] [var]]
{
  local row=${1:?} col=${2:?}
  ## If gridsize argument is not given, take it from definition in
  calling script
  local gridsize=${3:-$gridsize}
  printf -v "${4:- GRIDINDEX}" "%d" "$(( ($row - 1) * $gridsize +
  $col - 1))"
}
   What's the index of row 2, column 3 in the tic-tac-toe grid string?
$
                    gridindex 2 3
                                     ## gridsize=3
$
                   echo "$ GRIDINDEX"
5
```

# Function: putgrid

To change a character in the grid string, putgrid (Listing 13-7) takes four arguments: the name of the variable containing the string, the row and column coordinates, and the new character. It splits the string into the part before the character and the part after it using bash's substring parameter expansion. It then sandwiches the new character between the two parts and assigns the composite string to the gridname variable. (Compare this with the overlay function in Chapter 7.)

Listing 13-7. putgrid, Insert Character in Grid at Specified Row and Column

```
gridindex ${2:?} ${3:?} "$gridsize" index
left=${grid:0:index}
right=${grid:index+1}
grid=$left$4$right
eval "$gridname=\$grid"
}
```

Here's the code for the first move in a tic-tac-toe game:

```
putgrid ttt 1 2 X

sa "$ttt"

X
```

# Function: getgrid

The opposite of putgrid is getgrid (Listing 13-8). It returns the character in a given position. Its arguments are the grid name (we could have used the string itself because nothing is being assigned to it, but the grid name is used for consistency), the coordinates, and the name of the variable in which to store the character. If no variable name is supplied, it is stored in GRIDINDEX.

Listing 13-8. getgrid, Get Character at Row and Column Location in Grid

```
getgrid() #@ Get character from grid in row Y, column X
{          #@ USAGE: getgrid gridname row column var
          : ${1:?} ${2:?} ${3:?} ${4:?}
          local grid=${!1}
          gridindex "$2" "$3"
          eval "$4=\${grid:_GRIDINDEX:1}"
}
```

This snippet returns the piece in the square e1. A chess utility would convert the square to coordinates and then call the getgrid function. Here, it is used directly:

```
$ gridsize=8
$ chessboard="RNBQKBRNPPPPPP
PP pppppppprnbqkbnr"
```

```
$ getgrid chessboard 1 5 e1
$ sa "$e1"
:K:
```

**Note** There are 32 spaces in the code shown previously as they represent the middle four rows of the chessboard.

# **Function:** showgrid

This function (Listing 13-9) extracts rows from a string grid using substring expansion and the gridsize variable and prints them to the standard output.

#### *Listing 13-9.* showgrid, Print a Grid from a String

```
showgrid() #@ print grid in rows to stdout
{
           #@ USAGE: showgrid gridname [gridsize]
 local grid=${!1:?} gridsize=${2:-$gridsize}
              ## the row to be printed, then removed from local copy of grid
 while [ -n "$grid" ] ## loop until there's nothing left
 do
    row=${grid:0:"$gridsize"}
                                  ## get first $gridsize characters
                                  from grid
    printf "\t:%s:\n" "$row"
                                  ## print the row
    grid=${grid#"$row"}
                                  ## remove $row from front of grid
 done
}
```

Here, another move is added to the tic-tac-toe board and displays it:

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: X : : 0 :

# Function: rshowgrid

For most grids, counting begins in the top left corner. For others, such as a chessboard, it starts in the lower left corner. To display a chessboard, the rshowgrid function extracts and displays rows starting from the end of the string rather than from the beginning.

Listing 13-10. rshowgrid, Print a Grid in Reverse Order

In Listing 13-10, substring expansion is used with a negative.

Here, rshowgrid is used to display the first move of a chess game. (For those who are interested, the opening is called Bird's Opening. It's not often played, but Chris has been using it successfully for 45 years.)

These output functions can be augmented by piping the output through a utility such as sed or awk or even replaced with a custom function for specific uses. He finds that the chessboard looks better when piped through sed to add some spacing:

```
rshowgrid chessboard | sed 's/./& /g' ## add a space
after every
character

r n b q k b n r :
pppppppp:

processes after every
character

r n b q k b n r :
pppppppp pp pp :
processes after every
character

r n b q k b n r :
ppppppppp pp :
processes after every
character

r n b q k b n r :
pppppppp pp :
processes after every
character

r n b q k b n r :
pppppppp pp pp :
processes after every
character

r n b q k b n r :
pppppp pp pp :
processes after every
character
```

# **Two-Dimensional Grids Using Arrays**

For many grids, a single string is more than adequate (and is portable to other shells), but an array-based grid offers more flexibility. In the fifteen puzzle in Chapter 11, the board is stored in an array. It is printed with printf using a format string that can easily be changed to give it a different look. The tic-tac-toe grid in an array could be as follows:

```
$ ttt=( "" X "" "" 0 "" "" X "" )
And this is the format string:
$ fmt="
```

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And the result, when printed, looks like this:

If the format string is changed to this:

the output will look like this:

The same output could be achieved with a single-string grid, but it would require looping over every character in the string. An array is a group of elements that can be addressed individually or all at once, depending on the need.

The functions in arraygrid-funcs mirror those in stringgrid-funcs. In fact, the gridindex function is identical to the one in stringgrid-funcs, so it's not repeated here. As with the sdtring grid functions, some of them expect the size of the grid to be available in a variable, agridsize.

# Function: initagrid

Most of the functions for array grids are simpler than their single-string counterparts. A notable exception is initagrid (Listing 13-11), which is longer and slower, due to the necessity of a loop instead of a simple assignment. The entire array may be specified as arguments, and any unused array elements will be initialized to an empty string.

### Listing 13-11. initagrid, Initialize a Grid Array

```
while [ ${#grid[@]} -lt $size ]
do
    grid+=( "" )
done
eval "$gridname=( \"\${grid[@]}\" )"
}
```

# Function: putagrid

Changing a value in an array is a straightforward assignment. Unlike changing a character in a string, there is no need to tear it apart and put it back together. All that's needed is the index calculated from the coordinates. This function (Listing 13-12) requires agridsize to be defined.

#### Listing 13-12. putagrid, Replace a Grid Element

# Function: getagrid

Given the x and y coordinates, getagrid fetches the value at that position and stores it in a supplied variable (Listing 13-13).

### Listing 13-13. getagrid, Extract an Entry from a Grid

```
getagrid() #@ Get entry from grid in row Y, column X
{      #@ USAGE: getagrid gridname row column var
    : ${1:?} ${2:?} ${3:?} ${4:?}
    local grid
```

```
eval "grid=( \"\${$1[@]}\" )"
  gridindex "$2" "$3"
  eval "$4=\${grid[$_GRIDINDEX]}"
}
```

# **Function:** *showagrid*

The function showagrid (Listing 13-14) prints each row of an array grid on a separate line.

#### Listing 13-14. showagrid, Description

# **Function:** *rshowagrid*

The function rshowagrid (Listing 13-15) prints each row of an array grid on a separate line in reverse order.

### *Listing 13-15.* rshowagrid, Description

```
## to distinguish it from default value substitution
printf "$format" "${grid[@]: -$agridsize}"
grid=( "${grid[@]:0:${#grid[@]}-$agridsize}" )
done
}
```

### **Data File Formats**

Data files are used for many purposes and come in many different flavors, which are divided into two main types: line oriented and block oriented. In line-oriented files, each line is a complete record, usually with fields separated by a certain character. In block-oriented files, each record can span many lines, and there may be more than one block in a file. In some formats, a record is more than one block (a chess game in PGN format, for example, is two blocks separated by a blank line).

The shell is not the best language for working with large files of data; it is better when working with individual records. However, there are utilities such as sed and awk that can work efficiently with large files and extract records to pass to the shell. This section deals with processing single records.

### **Line-Based Records**

Line-based records are those where each line in the file is a complete record. It will usually be divided into fields by a delimiting character, but sometimes the fields are defined by length: the first 20 characters are the names, the next 20 are the first line of the address, and so on.

When the files are large, the processing is usually done by an external utility such as sed or awk. Sometimes, an external utility will be used to select a few records for the shell to process. This snippet searches the password file for users whose shell is bash and feeds the results to the shell to perform some (unspecified) checks:

```
grep 'bash$' /etc/passwd |
while read line
do
   : perform some checking here
done
```

## **Delimiter-Separated Values**

Most single-line records will have fields delimited by a certain character. In /etc/ passwd, the delimiter is a colon. In other files, the delimiter may be a tab, tilde, or, very commonly, a comma. For these records to be useful, they must be split into their separate fields.

When records are received on an input stream, the easiest way to split them is to change IFS and read each field into its own variable:

```
grep 'bash$' /etc/passwd |
while IFS=: read user passwd uid gid name homedir shell
do
 printf "%16s: %s\n" \
          "$user" \
     User
      Password "$passwd" \
      "User ID" "$uid" \
      "Group ID" "$gid" \
                "$name" \
     Name
"Home directory" "$homedir" \
     Shell
                "$shell"
 read < /dev/ttv
done
```

Sometimes, it is not possible to split a record as it is read, such as if the record will be needed in its entirety as well as split into its constituent fields. In such cases, the entire line can be read into a single variable and then split later using any of several techniques. For all of these, the examples here will use the root entry from /etc/passwd:

```
record=root:x:0:0:root:/root:/bin/bash
```

The fields can be extracted one at a time using parameter expansion:

```
for var in user passwd uid gid name homedir shell
do
   eval "$var=\${record\%:*}" ## extract the first field
   record=${record#*:} ## and take it off the record
done
```

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As long as the delimiting character is not found within any field, records can be split by setting IFS to the delimiter. When doing this, file name expansion should be turned off (with set -f) to avoid expanding any wildcard characters. The fields can be stored in an array, and variables can be set to reference them:

```
IFS=:
set -f
data=( $record )
user=0
passwd=1
uid=2
gid=3
name=4
homedir=5
shell=6
```

The variable names are the names of the fields that can then be used to retrieve values from the data array:

```
$ echo;printf "%16s: %s\n" \
            "${data[$user]}" \
     User
     Password "${data[$passwd]}" \
     "User ID" "${data[$uid]}" \
      "Group ID" "${data[$gid]}" \
               "${data[$name]}" \
     Name
"Home directory" "${data[$homedir]}" \
                "${data[$shell]}"
      Shell
           User: root
       Password: x
        User ID: 0
       Group ID: 0
           Name: root
 Home directory: /root
          Shell: /bin/bash
```

It is more usual to assign each field to a scalar variable. This function (Listing 13-16) takes a passwd record and splits it on colons and assigns fields to the variables.

## **Listing 13-16.** split\_passwd, Split a Record from /etc/passwd into Fields and Assign to Variables

```
split passwd() #@ USAGE: split passwd RECORD
{
 local opts=$- ## store current shell options
 local IFS=:
 local record=${1:?} array
                                          ## Turn off filename expansion
 set -f
 array=( $record )
                                          ## Split record into array
 case $opts in *f*);; *) set +f;; esac
                                          ## Turn on expansion if
                                          previously set
 user=${array[0]}
 passwd=${array[1]}
 uid=${array[2]}
 gid=${array[3]}
 name=${array[4]}
 homedir=${array[5]}
  shell=${array[6]}
}
```

The same thing can be accomplished using a *here* document (Listing 13-17).

## **Listing 13-17.** split\_passwd, Split a Record from /etc/passwd into Fields and Assign to Variables

```
split_passwd()
{
   IFS=: read user passwd uid gid name homedir shell <<.
$1
.
}</pre>
```

More generally, any character-delimited record can be split into variables for each field with this function (Listing 13-18).

```
Listing 13-18. split record, Split a Record by Reading Variables
split record() #@ USAGE parse record record delimiter var ...
{
  local record=${1:?} IFS=${2:?} ## record and delimiter must be provided
  : ${3:?}
                                  ## at least one variable is required
  shift 2
                                  ## remove record and delimiter, leaving
                                  variables
  ## Read record into a list of variables using a 'here document'
  read "$@" <<.
$record
}
   Using the record defined earlier, here's the output:
                 split record "$record" : user passwd uid gid name
$
                 homedir shell
                 sa "$user" "$passwd" "$uid" "$gid" "$name" "$homedir"
$
                  "$shell"
:root:
:x:
:0:
:0:
:root:
:/root:
:/bin/bash:
```

#### **Fixed-Length Fields**

Less common than delimited fields are fixed-length fields. They aren't used often, but when they are, they would be looped through name=width strings to parse them, which is how many text editors import data from fixed-length field data files:

```
line="John 123 Fourth Street Toronto Canada "
for nw in name=15 address=20 city=12 country=22
```

```
do
  var=${nw%=*}  ## variable name precedes the equals sign
  width=${nw#*=}  ## field width follows it
  eval "$var=\${line:0:width}"  ## extract field
  line=${line:width}  ## remove field from the record
done
```

#### **Block File Formats**

Among the many types of block data files to work with is the portable game notation (PGN) chess file. It stores one or more chess games in a format that is both human readable and machine readable. All chess programs can read and write this format.

Each game begins with a seven-tag roster that identifies where and when the game was played, who played it, and the results. This is followed by a blank line and then the moves of the game.

Here's a PGN chess game file (https://en.wikipedia.org/wiki/Portable\_Game\_Notation):

```
[Event "ICS rated blitz match"]
[Site "69.36.243.188"]
[Date "2009.06.07"]
[Round "-"]
[White "torchess"]
[Black "FidelCastro"]
[Result "1-0"]
1. f4 c5 2. e3 Nc6 3. Bb5 Qc7 4. Nf3 d6 5. b3 a6 6. Bxc6+ Qxc6 7. Bb2 Nf6 8. 0-0 e6 9. Qe1 Be7 10. d3 0-0 11. Nbd2 b5 12. Qg3 Kh8 13. Ne4 Nxe4 14. Qxg7#
{FidelCastro checkmated} 1-0
```

We can use a while loop to read the tags and then mapfile to get the moves of the game. The gettag function extracts the value from each tag and assigns it to the tag name (Listing 13-19).

*Listing 13-19.* readpgn, Parse a PGN Game and Print the Game in a Column

```
pgnfile="${1:?}"
header=0
game=0
gettag() #@ create a variable with the same name and value as the tag
{
  local tagline=$1
  tag=${tagline%% *}
                            ## get line before the first space
                           ## remove the open bracket
  tag=${tag#?}
  IFS='"' read a val b <<. ## get the 2nd field, using " as delimiter
   $tagline
  eval "$tag=\$val"
}
{
  while IFS= read -r line
  do
    case $line in
      \[*) gettag "$line" ;;
      "") [ -n "$Event" ] && break;; ## skip blank lines at
                                       beginning of file
    esac
  done
  mapfile -t game
                                       ## read remainder of the file
} < "$pgnfile"</pre>
## remove blank lines from end of array
while [ -z "${game[${#game[@]}-1]}" ]
do
  unset game[${#game[@]}-1]
done
## print the game with header
echo "Event: $Event"
echo "Date: $Date"
echo
set -f
```

#### **Summary**

This chapter only scratched the surface of the possibilities for data manipulation, but it is hoped that it will provide techniques to solve some of your needs and provide hints for others. Much of the chapter involved using the most basic of programming structures: arrays. Techniques were shown for working with single-line, character-delimited records and basic techniques for working with blocks of data in files.

#### **Exercises**

- 1. Modify the isort and asearch functions to use sort and grep, respectively, if the array exceeds a certain size.
- Write a function that transposes rows and columns in a grid (either a single-string grid or an array). For example, transform these:

123

456

789

into these:

147

256

369

- 3. Convert some of the grid functions, either string or array versions, to work with grids that are not square, for example,  $6 \times 3$ .
- Convert the code that parses fixed-width records into a function that accepts the line of data as the first argument, followed by the varname=width list.

# Scripting the Screen

Unix purists will shake their heads over this chapter. Traditionally, screen manipulation is done through the termcap or terminfo database that supplies the information necessary to manipulate any of dozens or even hundreds of types of terminals. The shell interface to the database is an external command, tput.

On some systems, tput uses the termcap database; on others (mostly newer systems), it uses the terminfo database. The commands for the two databases are not the same, so a tput command written for one system may not work on another.

On one system, the command to place the cursor at the 20th column on the 10th row is as follows:

tput cup 9 19

On another system, this is the command:

tput cm 9 19

These commands will produce the correct output for whatever type of terminal is specified in the TERM variable. (Note: tput starts counting at 0.)

However, the plethora of terminal types has, for all intents and purposes, been reduced to a single, standard type. This standard, ISO 6429 (also known as ECMA-48 and formerly known as ANSI X3.64 or VT100), is ubiquitous, and terminals that do not support it are few and far between. As a result, it is now feasible to code for a single terminal type. One advantage of this homogeneity is that the necessary coding can be done entirely within the shell. There's no need for an external command.

#### **Teletypewriter vs. Canvas**

There are two methods of sending the output of a script to a terminal screen. The first and more traditional method uses the terminal as if it were a printer or teletypewriter (which is the origin of the abbreviation tty for the screen or terminal). In this mode, as each line is printed, the paper (or screen image) is scrolled up. Old lines fall to the floor (or disappear off the top of the screen). It's simple, and it is more than adequate for many applications.

The second method treats the screen as a blackboard or canvas and prints to specific points on its surface. It erases and overprints previously written sections. It may print text in columns or at specific locations on the screen. The terminal becomes a random-access, rather than serial, device.

This chapter looks at the screen as a canvas or blackboard. It defines a number of variables and functions for screen manipulation as well as presents some demonstration programs that use them.

#### **Stretching the Canvas**

To use the screen as a canvas, the most important capability is to be able to position the cursor at any given location on the screen. The sequence for that is ESC[<ROW>;<COL>H. When converted to a printf format string, it can be used directly or in a function:

```
cu_row_col=$'\e[%d;%dH'
printf "$cu_row_col" 5 10 ## Row 5, column 10
echo "Here I am!"
```

All of the functions in this chapter are part of the screen-funcs library, which sources the screen-vars file. Listing 14-1 gives the screen manipulation function.

Listing 14-1. screen-funcs, Library of Screen Manipulation Functions

screen-vars

The printat function (Listing 14-2) places the cursor at the requested location, and if there are any further arguments, it prints them. If the row and column are not specified, printat moves the cursor to the top left corner of the screen.

## **Listing 14-2.** printat, Place the Cursor at a Specified Location and Print Optional String

```
printat() #@ USAGE: printat [row [column [string]]]
{
    printf "${cu_row_col?}" ${1:-1} ${2:-1}
    if [ $# -gt 2 ]
    then
        shift 2
        printf "%s" "$*"
    fi
}
```

#### **Control Sequence Introducer**

Like all the escape sequences, cu\_row\_col begins with ESC[. This is the control sequence introducer (CSI). It is defined in the screen-vars file (Listing 14-3).

#### Listing 14-3. screen-vars, Screen Variable Definitions

```
ESC=$'\e'
CSI=$ESC[
```

### **Priming the Canvas**

Before drawing on the screen, it must usually be cleared, and from time to time, various parts of the screen will need to be cleared. These variables contain the fundamental sequences for clearing the screen or lines (Listing 14-4).

## *Listing 14-4.* screen-vars, Variable Definitions for Erasing All or Part of the Screen

```
topleft=${CSI}H  ## move cursor to top left corner of screen
cls=${CSI}J  ## clear the screen
clear=$topleft$cls  ## clear the screen and move to top left corner
clearEOL=${CSI}K  ## clear from cursor to end of line
```

```
clearBOL=${CSI}1K  ## clear from cursor to beginning of line
clearEOS=${CSI}0J  ## clear from cursor to end of screen
clearBOS=${CSI}1J  ## clear from cursor to beginning of screen
```

There are also functions for clearing rectangular areas of the screen, which are presented later in the chapter.

#### **Moving the Cursor**

Besides being moved to an absolute location, the cursor can be moved relative to its current position. The first four sequences are the same as those generated by the cursor keys, and they take arguments for moving more than one line or column. The next two turn the cursor on and off. The following two variables save the cursor position and move it back to the saved position, respectively.

The last two move to the next or previous line at the same column as the beginning of the previously printed line. The printf specifier, %s, is removed because it would consume arguments that are to be printed (Listing 14-5).

*Listing 14-5.* screen-vars, Variable Definitions for Moving the Cursor

```
## cursor movement strings
     cu up=${CSI}%sA
     cu down=${CSI}%sB
     cu right=${CSI}%sC
     cu left=${CSI}%sD
## turn the cursor off and on
     cu hide=${CSI}?251
     cu show=${CSI}?121${CSI}?25h
## save the cursor position
     cu save=${CSI}s
                                          ## or ${ESC}7
## move cursor to saved position
                                      ## or ${ESC}8
  cu restore=${CSI}u
## move cursor to next/previous line in block
     cu NL=$cu restore${cu down/\%s/}$cu save
     cu PL=$cu restore${cu up/\%s/}$cu save
```

The format strings for cursor movement use the %s specifier rather than %d, even though any argument will be a number. This is because printf replaces %d with a zero when there is no argument to fill it. If that happened, the cursor would not move at all. With %s, they move one column or row when there is no argument because %s is replaced by a null string.

The script in Listing 14-6 puts these variables and the printat function to work.

*Listing 14-6.* screen-demo1, Script to Make printat Work

```
    screen-funcs

                                            ## source the screen-
funcs library
printf "$clear$cu hide"
                                            ## Clear the screen and hide
the cursor
printat 10 10 "${cu save}XX"
                                            ## move, save position, and
print XX
sleep 1
                                            ## ZZZZZZZZ
printat 20 20 "20/20"
                                            ## move and print
sleep 1
                                            ## 7777777
printf "$cu_restore$cu_down${cu save}YY"
                                            ## restore pos., move, print,
save pos.
sleep 1
                                            ## 7777777
printf "$cu restore$cu down${cu save}ZZ" 4 ## restore pos., move, print,
save pos.
sleep 1
                                            ## 7777777
printat 1 1 "$cu show"
                                            ## move to top left and
show cursor
```

For a variation, try changing the coordinates of the first printat command to other values, say, 5 and 40.

## **Changing Rendition Modes and Colors**

Characters can be printed in bold, underline, or reverse modes as well as in various colors for those terminals that support them. (Are there any left that don't?) These attributes are all modified with a sequence in the form ESC [ATTRm, where ATTR is the number of an attribute or color (Listing 14-7). Multiple attributes can be specified by separating them with semicolons.

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Colors are specified with the integers 0 to 7, and 9 will reset to the default. These are prefixed by 3 for foreground color and 4 for background color. Attributes are also specified by 0 to 7 but without a prefix. Though eight attributes are defined, only three are widely supported: 1 (bold), 4 (underline), and 7 (reverse). These attributes can be turned off individually with the values 22, 24, and 27, respectively. A value of 0 resets all attributes and colors to their defaults.

*Listing 14-7.* screen-vars, Variable Definitions for Colors and Attributes

```
## colours
    black=0
    red=1
    green=2
    yellow=3
    blue=4
    magenta=5
    cyan=6
    white=7
    fg=3 ## foreground prefix
    bg=4 ## background prefix
## attributes
    bold=1
    underline=4
    reverse=7
## set colors
    set bg="${CSI}4%dm"
                                  ## set background color
    set fg="${CSI}3%dm"
                                  ## set foreground color
    set fgbg="${CSI}3%d;4%dm"
                                 ## set foreground and background colors
```

As the next demonstration script shows, the colors and attributes can be used in "tty" mode as well as "canvas" mode (Listing 14-8).

#### Listing 14-8. screen-demo2, Color and Attributes Mode

```
. screen-funcs
echo
for attr in "$underline" 0 "$reverse" "$bold" "$bold;$reverse"
```

```
do
  printf "$set_attr" "$attr"
  printf "$set_fg %s " "$red" RED
  printf "$set_fg %s " "$green" GREEN
  printf "$set_fg %s " "$blue" BLUE
  printf "$set_fg %s " "$black" BLACK
  printf "\e[m\n"
done
echo
```

#### Placing a Block of Text on the Screen

The put\_block function prints its arguments one beneath the other at the current cursor position; put\_block\_at moves the cursor to the specified location, shifts the arguments to remove the row and column, and then calls put\_block with the remaining arguments (Listing 14-9).

The cu\_NL variable moves the cursor to the saved position and then moves down a line and saves that position.

*Listing 14-9.* put\_block and put\_block\_ at, Print a Block of Text Anywhere on the Screen

#### CHAPTER 14 SCRIPTING THE SCREEN

Listing 14-10 shows the script for screen-demo3, which displays blocks of data on the screen in columnar format.

#### *Listing 14-10.* screen-demo3

```
. screenfuncs
printf "$cls"
put_block_at 3 12 First Second Third Fourth Fifth
put_block_at 2 50 January February March April May June July
```

The output of screen-demo3 is as follows:

	January
First	February
Second	March
Third	April
Fourth	May
Fifth	June
	July

The put\_block and put\_block\_at functions work well when the screen is empty. If there's a lot of text already on the screen, the output may be obscured. For those cases, there are the print\_block\_at and print\_block functions that clear a rectangular area around the block.

To determine the width that needs to be cleared, put\_block passes its arguments to the \_max\_length function, which loops through the arguments to find the longest (Listing 14-11).

```
Listing 14-11. _max_length, Store Length of the Longest Argument in _MAX_LENGTH
_max_length() #@ store length of longest argument in _MAX_LENGTH
{
   local var
   _MAX_LENGTH=${#1} ## initialize with length of first parameter
   shift ## ...and remove first parameter
```

```
for var  ## loop through remaining parameters
do
  [ "${#var}" -gt "$_MAX_LENGTH" ] && _MAX_LENGTH=${#var}
done
}
```

The print\_block function uses the result from \_max\_length as a width specification to printf (Listing 14-12). Blank lines are printed before and after the text, and a space is printed before and after each line. The only difference between print\_block\_at and put\_block\_at is that one calls print\_block and the other calls put\_block.

Listing 14-12. print block, Clear Area and Print Block

```
print_block() #@ Print arguments in a block with space around them
{
   local _MAX_LENGTH
   _max_length "$@"
   printf "$cu_save"
   printf " %-${_MAX_LENGTH}s $cu_NL" " " "$@" " "
}

print_block_at() #@ Move to position, remove 2 parameters and call

print_block
{
   printat $1 $2
   shift 2
   print_block "$@"
}
```

The text to be printed with either print\_block or put\_block is more likely to be a single string than separate arguments. To split the string into words or phrases short enough to fit a given space, use the wrap function (Listing 14-13). This function splits a string into lines with a maximum width that is specified on the command line.

## *Listing 14-13.* wrap, Split the String into Array with Elements Not Exceeding Maximum Length

```
wrap() #@ USAGE: wrap string length
      #@ requires bash-3.1 or later
{
  local words=$1 textwidth=$2 line= opts=$-
  local len=0 templen=0
  set -f
  unset -v wrap
  for word in $words
  do
   templen=$(( $len + 1 + ${#word} )) ## Test adding a word
   if [ "$templen" -gt "$textwidth" ] ## Does adding a word exceed length?
   then
     wrap+=( "$line" )
                                      ## Yes, store line in array
      printf -v line "%s" "$word"
                                     ## begin new line
      len=${#word}
   else
      len=$templen
                                       ## No, add word to line
      printf -v line "%s" "${line:+"$line "}" "$word"
   fi
  done
 wrap+=( "$line" )
  case $opts in
   *f*) ;;
   *) set +f ;;
  esac
}
```

The sample shown in Listing 14-14 uses wrap and print block at.

**Listing 14-14.** screen-demo4, Demonstrates the wrap and print\_block Functions

The output is as follows:

```
xxxxxxxxxxxxxxxxxxxxxxxxxxx The quick
    XXXXXXXXXXXXXXXXXXXXXXXXXXX
```

#### **Scrolling Text**

By combining an array with substring expansion, text can be scrolled in any area of the screen. Because the entire area can be printed with a single printf command, scrolling is fast, though it gets slower as the array size increases. The demonstration in Listing 14-15 stores the file names in /usr/bin/ in the array, list; scrolls the list up; waits for a second; and then scrolls it down.

Each loop, up and down, contains a commented-out read -t "\$delay" line. When uncommented, it will slow down the scrolling. It uses the bash-4.x fractional delay. If you are using an earlier version, use sleep instead. Most implementations (certainly GNU and \*BSD) accept a fractional argument.

*Listing 14-15.* scroll-demo, Scrolls a Block of Text Up and Then Down

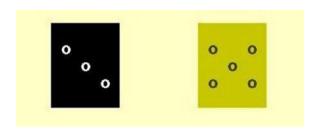
```
list=( /usr/bin/* )
                           ## try it with other directories or lists
                           ## number of rows in scrolling area
rows=9
                           ## delay between scroll advance
delay=.01
width=-33.33
                           ## width spec: (no more than) 33 chars,
flush left
x=$x$x$x$x$x
                           ## longer bar
clear
                           ## clear the screen
printf "%50.50s\n" $x{,,,,,,,,,,}
                                           ## print 14 lines of 'X's
                           ## start display with first element
n=0
## scroll upwards until reaching the bottom
while [ (n += 1)) -lt (( {\#list[@]} - {rows})) ]
do
 printf "\e[3;1H"
 printf "\e[7C %${width}s\n" "${list[@]:n:rows}"
# read -sn1 -t "$delay" && break
done
sleep 1
## scroll downwards until reaching the top
while [ $(( n -= 1 )) -ge 0 ]
do
 printf "\e[3;1H"
 printf "\e[7C %${width}s\n" "${list[@]:n:rows}"
# read -sn1 -t "$delay" && break
done
printf "\e[15;1H" ## finish with cursor well below scrolling area
```

## **Rolling Dice**

Dice are used in many games and are simple to program if you are satisfied with printing just the number:

```
printf "%s\n" "$(( $RANDOM % 6 + 1 ))"
```

However, a respectable graphic rendition can be programmed surprisingly easily with the shell. To print a die, position the cursor at the desired location on the screen, set the foreground and background colors, and print the element from the array (Figure 14-1).



*Figure 14-1.* Listing 14-16 contains the code for these dice

An array of six dice can be programmed in about 25 lines of code. Each die is a concatenation of 18 variables. Some of these have the same contents as those in the screen-funcs library, but their names are shortened here to keep the lines shorter. Here is a description of the die with the number 5:

```
$b
      ## set bold attribute (optional)
$cs
     ## save cursor position
$p0
     ## print blank row
$cr
     ## restore cursor to left side of die
     ## move down one line
$dn
$cs
     ## save cursor position
$p4
     ## print row with two pips
     ## restore cursor to left side of die
$cr
$dn
     ## move down one line
$cs
     ## save cursor position
$p2
     ## print row with one pip
      ## restore cursor to left side of die
$cr
$dn
     ## move down one line
$cs
     ## save cursor position
     ## print row with two pips
$p4
     ## restore cursor to left side of die
$cr
     ## move down one line
$dn
$p0
     ## print blank
                                row
```

After defining the dice, the script in Listing 14-16 clears the screen and prints two random dice near the top of the screen.

*Listing 14-16.* dice, Defines an Array of Six Dice and Places Two on the Screen

```
## character to use for the pips
pip=o
p0="
                           ## blank line
p1=" $pip
                           ## one pip at the left
p2="
       $pip
                           ## one pipe in the middle of the line
         $pip "
                           ## one pip at the right
p3="
            $pip "
                           ## two pips
p4=" $pip
p5=" $pip $pip $pip "
                           ## three pips
cs=$'\e7'
                           ## save cursor position
cr=$'\e8'
                           ## restore cursor position
dn=$'\e[B'
                           ## move down 1 line
b=$'\e[1m'
                           ## set bold attribute
cu put='\e[%d;%dH'
                           ## format string to position cursor
fgbg='\e[3%d;4%dm'
                           ## format string to set colors
dice=(
  ## dice with values 1 to 6 (array elements 0 to 5)
  "$b$cs$p0$cr$dn$cs$p0$cr$dn$cs$p2$cr$dn$cs$p0$cr$dn$p0"
  "$b$cs$p0$cr$dn$cs$p1$cr$dn$cs$p0$cr$dn$cs$p3$cr$dn$p0"
  "$b$cs$p0$cr$dn$cs$p1$cr$dn$cs$p2$cr$dn$cs$p3$cr$dn$p0"
  "$b$cs$p0$cr$dn$cs$p4$cr$dn$cs$p0$cr$dn$cs$p4$cr$dn$p0"
  "$b$cs$p0$cr$dn$cs$p4$cr$dn$cs$p2$cr$dn$cs$p4$cr$dn$p0"
  "$b$cs$p0$cr$dn$cs$p5$cr$dn$cs$p0$cr$dn$cs$p5$cr$dn$p0"
  )
clear
printf "$cu put" 2 5
                                   ## position cursor
printf "$fgbg" 7 0
                                   ## white on black
printf "%s\n" "${dice[RANDOM%6]}"
                                   ## print random die
printf "$cu put" 2 20
                                   ## position cursor
printf "$fgbg" 0 3
                                   ## black on yellow
printf "%s\n" "${dice[RANDOM%6]}"
                                   ## print random
                                                                 die
```

#### **Summary**

Without touching on traditional ASCII art, there are many ways to draw things on a terminal screen. This chapter has presented a number of them, giving the basics that can be used to create many more.

#### **Exercises**

- Write a function, hbar, that accepts two integer arguments, a
  width and a color, and prints a bar of that color and width. Write
  a second function, hbar\_at, that accepts four arguments: row,
  column, width, and color; moves the cursor to the row and
  column; and passes the remaining arguments to hbar.
- Write a function, clear\_area, that accepts two integer arguments, rows and columns, and clears a rectangular area of that many rows and columns.

# **Entry-Level Programming**

The preference for bash over any other POSIX shell stems to a great extent from its extensions that enhance interactive programming. The extended options to the read built-in command (which were described in Chapter 9), combined with the history and readline libraries, add functionality that no other shell can match.

Despite its richness, there is still no easy way for the shell to deal with keys such as function keys that generate multiple characters. For that, this chapter presents the keyfuncs library of functions. The second major section of this chapter describes how to use the mouse in shell scripts and provides a demonstration program.

Between those sections, we'll deal with checking user input for validity and the history library. Most people use bash's history library only at the command line. We'll use it in scripts, and this chapter will show how that is done, by using the history command in a rudimentary script for editing a multifield record.

## Single-Key Entry

When writing an interactive script, we might want a single key to be pressed without requiring the user to press Enter. The portable way to do that is to use stty and dd:

```
stty -echo -icanon min 1
_KEY=$(dd count=1 bs=1 2>/dev/null)
stty echo icanon
```

Using three external commands every time we need a key press is overkill. When we need to use a portable method, we can usually first make a call to stty at the beginning of the script and the other at the end, often in an EXIT trap:

```
trap 'stty echo icanon' EXIT
```

bash, on the other hand, doesn't need to call any external commands. It may still be a good idea to use stty to turn off echoing at the beginning and back on before exiting. This will prevent characters from showing up on the screen when the script is not waiting for input.

## **Function Library,** *key-funcs*

The functions in this section comprise the key-funcs library. It begins with two variable definitions, shown here in Listing 15-1.

```
Listing 15-1. key-funcs, Read a Single Key Press
```

```
ESC=$'\e'
CSI=$'\e['
```

To get a single keystroke with bash, we can use the function in Listing 15-2.

*Listing 15-2.* \_key, Functions for Reading a Single Key Press

```
_key()
{
    IFS= read -r -s -n1 -d '' "${1:-_KEY}"
}
```

First, the field separator is set to an empty string so that read doesn't ignore a leading space (it's a valid keystroke, so we want it); the -r option disables backslash escaping, -s turns off echoing of keystrokes, and -n1 tells bash to read a single character only.

The -d '' option tells read not to regard a newline (or any other character) as the end of input; this allows a newline to be stored in a variable. The code instructs read to stop after the first key is received (-n1) so it doesn't read forever.

The last argument uses \${@:-\_KEY} to add options or a variable name to the list of arguments. We can see its use in the \_keys function in Listing 15-3. (Note that if we use an option without also including a variable name, the input will be stored in \$REPLY.)

**Note** For this to work on earlier versions of bash or on the Mac OS X, add the variable name to the read command, such as IFS= read -r -s -n1 -d' '\_KEY "\${1:-\_KEY}". If not, then we have to look to \$REPLY for the key press read.

The \_key function can be used in a simple menu, as shown in Listing 15-3.

*Listing 15-3.* simplemenu, Menu That Responds to a Single Key Press

```
## the key function should be defined here if it is not already
while:
do
  printf "\n\n\t$bar\n"
 printf "\t %d. %s\n" 1 "Do something" \
                       2 "Do something else" \
                       3 "Ouit"
  printf "\t%s\n" "$bar"
  key
  case $ KEY in
     1) printf "\n%s\n\n" Something ;;
     2) printf "\n%s\n\n" "Something else" ;;
     3) break ;;
     *) printf "\a\n%s\n\n" "Invalid choice; try again"
        continue
        ;;
  esac
  printf ">>> %s " "Press any key to continue"
  key
done
```

Although \_key is a useful function by itself, it has its limitations (Listing 15-4). It can store a space, a newline, a control code, or any other single character, but what it doesn't do is handle keys that return more than one character: function keys, cursor keys, and a few others.

#### CHAPTER 15 ENTRY-LEVEL PROGRAMMING

These special keys return ESC ( $0 \times 1B$ , which is kept in a variable \$ESC) followed by one or more characters. The number of characters varies according to the key (and the terminal emulation), so we cannot ask for a specific number of keys. Instead, we have to loop until one of the terminating characters is read. This is where it helps to use bash's built-in read command rather than the external dd.

*Listing 15-4.* \_keys, Read a Sequence of Characters from a Function or Cursor Key

The while: loop calls \_key with the argument -t1, which tells read to time out after one second, and the name of the variable in which to store the keystroke. The loop continues until a key in \$ESC\_END is pressed or read times out, leaving \$ KX empty.

The timeout is a partially satisfactory method of detecting the escape key by itself. This is a case where dd works better than read, because it can be set to time out in increments of one-tenth of a second.

To test the functions, use \_key to get a single character; if that character is ESC, call \_keys to read the rest of the sequence, if any. The following snippet assumes that \_key and \_keys are already defined and pipes each keystroke through hexdump - C to show its contents:

Unlike the output sequences, which work everywhere, there is no homogeneity among key sequences produced by various terminal emulators. Here is a sample run, in an rxvt terminal window, of pressing F1, F12, up arrow, Home, and q to quit:

```
      1b 5b 31 31 7e
      |.[11~|

      1b 5b 32 34 7e
      |.[24~|

      1b 5b 41
      |.[A|

      1b 5b 35 7e
      |.[5~|

      71
      |q|
```

Here are the same keystrokes in an xterm window:

1b	4f	50		.OP
1b	5b	32 34	7e	.[24~
1b	5b	41		.[A
1b	5b	48		.[H
71				q

Finally, here they are as produced by a Linux virtual console:

```
1b 5b 5b 41 |.[[A|
1b 5b 32 34 7e |.[24~|
```

```
      1b 5b 41
      |.[A|

      1b 5b 31 7e
      |.[1~|

      71
      |q|
```

All the terminals tested fit into one of these three groups, at least for unmodified keys.

The codes stored in \$\_KEY can be either interpreted directly or in a separate function. It is better to keep the interpretation in a function that can be replaced for use with different terminal types. For example, if this is run on using a Wyse60 terminal, the source wy60-keys function would set the replacement keys.

Listing 15-5 shows a function, \_esc2key, that works for the various terminals on a Linux box, as well as in putty in Windows. It converts the character sequence into a string describing the key, for example, UP, DOWN, F1, and so on:

*Listing 15-5.* \_esc2key, Translate a String to a Key Name

```
_esc2key()
  case $1 in
   ## Cursor keys
    "$CSI"A | ${CSI}OA ) ESC2KEY=UP ;;
    "$CSI"B | ${CSI}OB ) _ESC2KEY=DOWN ;;
    "$CSI"C | ${CSI}OC ) ESC2KEY=RIGHT ;;
    "$CSI"D | ${CSI}OD ) ESC2KEY=LEFT ;;
    ## Function keys (unshifted)
    "$CSI"11~ | "$CSI["A | ${ESC}OP ) ESC2KEY=F1 ;;
    "$CSI"12~ | "$CSI["B | ${ESC}0Q ) ESC2KEY=F2 ;;
    "$CSI"13~ | "$CSI["C | ${ESC}OR ) _ESC2KEY=F3 ;;
    "$CSI"14~ | "$CSI["D | ${ESC}OS ) ESC2KEY=F4 ;;
    "$CSI"15~ | "$CSI["E ) ESC2KEY=F5 ;;
    "$CSI"17~ | "$CSI["F ) ESC2KEY=F6 ;;
    "$CSI"18~ ) ESC2KEY=F7 ;;
    "$CSI"19~ ) ESC2KEY=F8 ;;
    "$CSI"20~ ) _ESC2KEY=F9 ;;
    "$CSI"21~ ) _ESC2KEY=F10 ;;
    "$CSI"23~ ) ESC2KEY=F11 ;;
    "$CSI"24~ ) ESC2KEY=F12 ;;
```

```
## Insert, Delete, Home, End, Page Up, Page Down
"$CSI"2~ ) _ESC2KEY=INS ;;
"$CSI"3~ ) _ESC2KEY=DEL ;;
"$CSI"[17]~ | "$CSI"H ) _ESC2KEY=HOME ;;
"$CSI"[28]~ | "$CSI"F ) _ESC2KEY=END ;;
"$CSI"5~ ) _ESC2KEY=PGUP ;;
"$CSI"6~ ) _ESC2KEY=PGDN ;;
## Everything else; add other keys before this line
*) _ESC2KEY=UNKNOWN ;;
esac
[ -n "$2" ] && eval "$2=\$_ESC2KEY"
}
```

We can wrap the \_key and \_esc2key functions into another function, called get\_key (Listing 15-6), which returns either the single character pressed or, in the case of multicharacter keys, the name of the key.

Listing 15-6. get\_key, Gets a Key and, If Necessary, Translates It to a Key Name

```
get_key()
{
    _key
    case $_KEY in
        "$ESC") _keys
        _esc2key "$ESC$_KEYS" _KEY
    ;;
    esac
}
```

In bash-4.x, we can use a simpler function to read keystrokes. The get\_key function in Listing 15-7 takes advantage of the capability of read's -t option to accept fractional times. It reads the first character and then waits for one-ten-thousandth of a second for another character. If a multicharacter key was pressed, there will be one to read within that time. If not, it will fall through the remaining read statements before another key can be pressed.

*Listing 15-7.* get\_key, Reads a Key and, If It Is More Than a Single Character, Translates It to a Key Name

Whenever we want to use cursor or function keys in a script, or for any single-key entry, we can source key-funcs and call get\_key to capture key presses. Listing 15-8 is a simple demonstration of using the library.

Listing 15-8. keycapture, Read and Display Keystrokes Until Q Is Pressed

The script in Listing 15-9 prints a block of text on the screen. It can be moved around the screen with the cursor keys, and the colors can be changed with the function keys. The odd-numbered function keys change the foreground color; the even-numbered keys change the background.

*Listing 15-9.* key-demo, Capture Function and Cursor Keys to Change Colors and Move a Block of Text Around the Screen

```
trap '' 2
trap 'stty sane; printf "${CSI}?121${CSI}?25h\e[Om\n\n"' EXIT
stty -echo ## Turn off echoing of user keystrokes
. key-funcs ## Source key functions
clear
           ## Clear the screen
har============
## Initial position for text block
row=$(( (${LINES:-24} - 10) / 2 ))
col=$(( (${COLUMNS:-80} - ${#bar}) / 2 ))
## Initial colours
fg="${CSI}33m"
bg="${CSI}44m"
## Turn off cursor
printf "%s" "${CSI}?251"
## Loop until user presses "q"
while:
do
 printf "\e[1m\e[%d;%dH" "$row" "$col"
 printf "\e7 %-${#bar}.${#bar}s ${CSI}om \e8\e[1B" "${CSI}om"
 printf "\e7 $fg$bg%-${#bar}.${#bar}s${CSI}Om \e8\e[1B" "$bar" \
             "" " Move text with cursor keys" \
             "" " Change colors with function keys" \
             "" " Press 'q' to quit" \
             "" "$bar"
 get key k
  case $k in
     UP) row=$(( $row - 1 )) ;;
     DOWN) row=\$((\$row + 1));;
     LEFT) col=$(( $col - 1 )) ;;
     RIGHT) col=$(( $col + 1 )) ;;
     F1) fg="${CSI}30m";;
     F2) bg="${CSI}47m";;
```

```
F3) fg="${CSI}31m";;
     F4) bg="${CSI}46m";;
     F5) fg="${CSI}32m";;
     F6) bg="${CSI}45m";;
      F7) fg="${CSI}33m";;
     F8) bg="${CSI}44m";;
     F9) fg="${CSI}35m";;
     F10) bg="${CSI}43m";;
     F11) fg="${CSI}34m" ;;
     F12) bg="${CSI}42m";;
      q|0) break ;;
 esac
  colmax=$(( ${COLUMNS:-80} - ${#bar} - 4 ))
 rowmax=$(( ${LINES:-24} - 10 ))
  [ $col -lt 1 ] && col=1
  [ $col -gt $colmax ] && col=$colmax
  [ $row -lt 1 ] && row=1
  [ $row -gt $rowmax ] && row=$rowmax
done
```

## **History in Scripts**

In the readline functions in Chapters 6 and 12, history -s was used to place a default value into the history list. In those examples, only one value was stored, but it is possible to store more than one value in history or even to use an entire file. Before adding to the history, we should (in most cases) clear it:

```
history -c
```

By using more than one history -s command, we can store multiple values:

```
history -s Genesis
history -s Exodus
```

With the -r option, we can read an entire file into history. This snippet puts the names of the first five books of the Bible into a file and reads that into the history:

```
cut -d: -f1 "$kjv" | uniq | head -5 > pentateuch
history -r pentateuch
```

The readline functions in Chapters 6 and 12 use history if the bash version is less than 4, but read's -i option with version 4 (or greater). There are times when it might be more appropriate to use history rather than -i even when the latter is available. A case in point is when the new input is likely to be very different from the default but there is a chance that it might not be.

For history to be available, we must use the -e option with read. This also gives us access to other key bindings defined in the .inputrc file.

### **Sanity Checking**

Sanity checking is testing input for the correct type and a reasonable value. If a user inputs *Jane* for her age, it's obviously wrong: the data is of the wrong type. If she enters 666, it's the correct type but almost certainly an incorrect value. The incorrect type can easily be detected with the valint script (see Chapter 3) or function (see Chapter 6). We can use the rangecheck function from Chapter 6 to check for a reasonable value.

Sometimes, the error is more problematic, or even malicious. Suppose a script asks for a variable name and then uses eval to assign a value to it:

```
read -ep "Enter variable name: " var
read -ep "Enter value: " val
eval "$var=\$val"
```

Now, suppose the entry goes like this:

```
Enter variable name: rm -rf *;name
Enter value: whatever
```

The command that eval will execute is as follows:

```
rm -rf *;name=whatever
```

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Poof! All the files and subdirectories are gone from the current directory. It could have been prevented by checking the value of var with the validname function from Chapter 7:

validname "\$var" && eval "\$var=\\$val" || echo Bad variable name >&2

When editing a database, checking that there are no invalid characters is an important step. For example, in editing /etc/passwd (or a table from which it is created), we must make sure that there are no colons in any of the fields. Figure 15-1 adds some humor to this discussion.

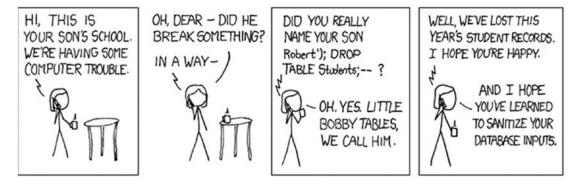


Figure 15-1. Cartoon courtesy of Randall Munroe at http://xkcd.com

### **Form Entry**

The script in Listing 15-10 is a demonstration of handling user input with a menu and history. It uses the key-

funcs library to get the user's selection and to edit password fields. It has a hard-coded record and doesn't read the /etc/passwd file. It checks for a colon in an entry and prints an error message if one is found.

The record is read into an array from a here document. A single printf statement prints the menu using a format string with seven blanks and the entire array as its arguments.

#### *Listing 15-10.* password, Simple Record-Editing Script

```
record=root:x:0:0:root:/root:/bin/bash
                                            ## record to edit
fieldnames=( User Password UID
            GID Name Home Shell )
. key-funcs
                                            ## load the key functions
IFS=: read -a user <<EOF
                                            ## read record into array
$record
E0F
z=0
clear
while:
                                            ## loop until user
presses 0 or q
do
 printf "\e[H\n
  0.
         Ouit
         User: %s\e[K
  2. Password: %s\e[K
         UID: %s\e[K
   3.
  4.
         GID: %s\e[K
      Name: %s\e[K
  5.
  6.
        Home: %s\e[K
  7.
        Shell: %s\e[K
   Select field (1-7): \e[0J" "${user[@]}"
                                             ## print menu and prompt
  get key field
                                             ## get user input
  printf "\n\n"
                                              ## print a blank line
  case $field in
   0|q|0) break ;;
                                             ## quit
   [1-7]);;
                                             ## menu item selected;
   fall through
    *) continue;;
  esac
                                             ## clear history
  history -c
  history -s "${user[field-1]}"
                                             ## insert current value
  in history
```

#### **Reading the Mouse**

On the Linux console\_codes<sup>1</sup> man page, there is a section labeled "mouse tracking." Interesting! It reads: "The mouse tracking facility is intended to return xterm-compatible mouse status reports." Does that mean the mouse can be used in shell scripts?

According to that man page, mouse tracking is available in two modes: X10 compatibility mode, which sends an escape sequence on button press, and normal tracking mode, which sends an escape sequence on both button press and release. Both modes also send modifier-key information.

To test this, printf "\e[?9h" was first entered at a terminal window. This is the escape sequence that sets the "X10 Mouse Reporting (default off): Set reporting mode to 1 (or reset to 0)". If the mouse button is pressed, the computer will beep and print "FB" on the screen. Repeating the mouse click at various points on the screen will net more beeps and "&% -( 5. =2 H7 T= ]C fG rJ }M."

A mouse click sends six characters: ESC, [, M, b, x, and y. The first three characters are common to all mouse events, the second three contain the button pressed, and the final ones are the x and y locations of the mouse. To confirm this, save the input in a variable and pipe it to hexdump:

```
$ printf "\e[?9h"
```

http://man7.org/linux/man-pages/man4/console\_codes.4.html

The first three appear as expected, but what are the final three? According to the man page, the lower two bits of the button character tell which button has been pressed; the upper bits identify the active modifiers. The x and y coordinates are the ASCII values to which 32 has been added to take them out of the range of control characters. The ! is 1, " is 2, and so on.

That gives us a 1 for the mouse button, which means button 2, since 0 to 2 are buttons 1, 2, and 3, respectively, and 4 is released. The x and y coordinates are 45  $(0 \times 4d = 77; 77 - 32 = 45)$  and 47.

Surprisingly, since running across this information about mouse tracking in a Linux console\_codes man page, it was found that these escape codes do not work in all Linux consoles. They work in xterm, rxvt, and gnome-terminal on Linux and FreeBSD. They can also be used on FreeBSD and NetBSD, via ssh from a Linux rxvt terminal window. They *do not work* in a KDE konsole window.

Now we know that mouse reporting works (in most xterm windows) and can get information from a mouse click on the standard input. That leaves two questions: How do we read the information into a variable (without having to press Return), and how can the button and x, y information be decoded in a shell script?

With bash, use the read command's -n option with an argument to specify the number of characters. To read the mouse, six characters are needed:

```
read -n6 x
```

Neither of these is adequate for a real script (not all input will be mouse clicks, and we might want to get single keystrokes), but they suffice to demonstrate the concept.

The next step is to decode the input. For the purposes of this demonstration, we can assume that the six characters do indeed represent a mouse click and that the first three characters are ESC, [, and M. Here, we are only interested in the last three, so we extract them into three separate variables using POSIX parameter expansion:

```
m1=${x#???} ## Remove the first 3 characters
m2=${x#????} ## Remove the first 4 characters
m3=${x#????} ## Remove the first 5 characters
```

#### CHAPTER 15 ENTRY-LEVEL PROGRAMMING

Then convert the first character of each variable to its ASCII value. This uses a POSIX printf extension: "If the leading character is a single-quote or double-quote, the value shall be the numeric value in the underlying codeset of the character following the single-quote or double-quote."<sup>2</sup>

```
printf -v mb "%d" "'$m1"
printf -v mx "%d" "'$m2"
printf -v my "%d" "'$m3"
```

Finally, interpret the ASCII values. For the mouse button, do a bitwise AND 3. For the x and y coordinates, subtract 32:

```
## Values > 127 are signed, so fix if less than 0
[ $mx -lt 0 ] && mx=$(( 255 + $mx ))
[ $my -lt 0 ] && my=$(( 255 + $my ))
BUTTON=$(( ($mb & 3) + 1 ))
MOUSEX=$(( $mx - 32 ))
MOUSEY=$(( $my - 32 ))
```

Putting it all together, the script in Listing 15-11 prints the mouse's coordinates whenever we press a mouse button.

There are two sensitive areas on the top row. Clicking the left one toggles the mouse reporting mode between reporting only a button press and reporting the release as well. Clicking the right one exits the script.

Listing 15-11. mouse-demo, Example of Reading Mouse Clicks

²www.opengroup.org/onlinepubs/9699919799/utilities/printf.html

```
printf "${ESC}[${1};${2}H"
}
print buttons()
{
   num but=$#
   gutter=2
   gutters=$(( $num but + 1 ))
   but width=$(( ($COLUMNS - $gutters) / $num but ))
   n=0
   for but str
    col=$(( $gutter + $n * ($but width + $gutter) ))
    printat $but row $col
    printf "${ESC}[7m%${but width}s" " "
    printat $but row $(( $col + ($but width - ${#but str}) / 2 ))
    printf "%.${but width}s${ESC}[Om" "$but str"
    n=\$(( $n + 1 ))
   done
}
clear
while:
do
  [ $mv -eq 9 ] && mv str="Click to Show Press & Release" ||
                   mv str="Click to Show Press Only"
  print buttons "$mv str" "Exit"
  read -n6 x
  m1=${x#???}
               ## Remove the first 3 characters
 m2=${x#????} ## Remove the first 4 characters
  m3=${x#????}} ## Remove the first 5 characters
  ## Convert to characters to decimal values
  printf -v mb "%d" "'$m1"
  printf -v mx "%d" "'$m2"
 printf -v my "%d" "'$m3"
  ## Values > 127 are signed
  [ $mx -lt 0 ] && MOUSEX=$(( 223 + $mx )) || MOUSEX=$(( $mx - 32 ))
```

```
[ $my -1t o ] && MOUSEY=$(( 223 + $my )) || MOUSEY=$(( $my - 32 ))
  ## Button pressed is in first 2 bytes; use bitwise AND
  BUTTON=\$(((\$mb \& 3) + 1))
  case $MOUSEY in
       $but row) ## Calculate which on-screen button has been pressed
                 button=$(( ($MOUSEX - $gutter) / $but width + 1 ))
                 case $button in
                      1) printf "${ESC}[?${mv}l"
                         [ $mv -eq 9 ] && mv=1000 || mv=9
                         printf "${ESC}[?${mv}h"
                         [ \text{$mv -eq 1000 }] \&\& x=\$(dd bs=1 count=6 2)/
                         dev/null)
                         ;;
                      2) break ;;
                 esac
                 ;;
       *) printat $MOUSEY $MOUSEX
          printf "X=%d Y=%d [%d] " $MOUSEX $MOUSEY $BUTTON
          ;;
  esac
done
printf "${ESC}[?${mv}1" ## Turn off mouse reporting
stty "$ STTY"
                       ## Restore terminal settings
printf "${ESC}[?121${ESC}[?25h" ## Turn cursor back on
printf "\n${ESC}[OJ\n" ## Clear from cursor to bottom of screen,
```

## **Summary**

bash has a rich set of options for interactive programming. In this chapter, we learned how to leverage that to read any keystroke, including function keys and others that return more than a single character.

# **Exercises**

- 1. Using the key-funcs library, write a menu script that uses the function keys for selection.
- 2. Rewrite the key-funcs library to include mouse handling, and incorporate the function into the mouse-demo script.
- 3. The password script does minimal checking for invalid entries. What checking would one add? How would you code it?

## **APPENDIX A**

# **Shell Variables**

This list is excerpted from the bash man page and edited to make a stand-alone document. The following variables are set by bash.

## **BASH**

Expands to the full file name used to invoke this instance of bash.

#### **BASHOPTS**

A colon-separated list of enabled shell options. Each word in the list is a valid argument for the -s option to the shopt built-in command (see "Shell Builtin Commands" in the bash man page). The options appearing in BASHOPTS are those reported as on by shopt. If this variable is in the environment when bash starts up, each shell option in the list will be enabled before reading any startup files. This variable is read-only.

## **BASHPID**

Expands to the process ID of the current bash process. This differs from \$\$ under certain circumstances, such as subshells that do not require bash to be reinitialized.

## **BASH ALIASES**

An associative array variable whose members correspond to the internal list of aliases as maintained by the alias built-in. Elements added to this array appear in the alias list; unsetting array elements causes aliases to be removed from the alias list.

## **BASH ARGC**

An array variable whose values are the number of parameters in each frame of the current bash execution call stack. The number of parameters to the current subroutine (shell function or script executed with . or source) is at the top of the stack. When a subroutine is executed, the number of parameters passed is pushed onto BASH\_ARGC. The shell sets BASH\_ARGC only when in extended debugging mode (see the description of the extdebug option to the shopt built-in in the bash man page).

## BASH\_ARGV

An array variable containing all the parameters in the current bash execution call stack. The final parameter of the last subroutine call is at the top of the stack; the first parameter of the initial call is at the bottom. When a subroutine is executed, the parameters supplied are pushed onto BASH\_ARGV. The shell sets BASH\_ARGV only when in extended debugging mode (see the description of the extdebug option to the shopt built-in in the bash man page).

## **BASH ARGVO**

When referenced, this variable expands to the name of the shell or shell script (identical to \$0). Assignment to BASH\_ARGVO causes the value assigned to also be assigned to \$0. If BASH\_ARGVO is unset, it loses its special properties, even if it is subsequently reset.

## BASH\_CMDS

An associative array variable whose members correspond to the internal hash table of commands as maintained by the hash built-in. Elements added to this array appear in the hash table; unsetting array elements causes commands to be removed from the hash table.

## **BASH COMMAND**

The command currently being executed or about to be executed, unless the shell is executing a command as the result of a trap, in which case it is the command executing at the time of the trap.

## **BASH EXECUTION STRING**

The command argument to the -c invocation option.

## **BASH LINENO**

An array variable whose members are the line numbers in source files corresponding to each member of FUNCNAME.

\${BASH\_LINENO[\$i]} is the line number in the source file \${BASH\_LINENO[\$i+1]} where \${FUNCNAME[\$i]} was called (or \${BASH\_LINENO[\$i-1]} if referenced within another shell function). The corresponding source file name is \${BASH\_SOURCE[\$i]}. Use LINENO to obtain the current line number.

## BASH\_LOADABLES\_PATH

A colon-separated list of directories in which the shell looks for dynamically loadable built-ins specified by the enable command.

## BASH\_REMATCH

An array variable whose members are assigned by the =~ binary operator to the [[ conditional command. The element with index 0 is the portion of the string matching the entire regular expression. The element with index n is the portion of the string matching the nth parenthesized subexpression. This variable is read-only.

## **BASH SOURCE**

An array variable whose members are the source file names where the corresponding shell function names in the FUNCNAME array variable are defined. The shell function \${FUNCNAME[\$i]} is defined in the file \${BASH\_SOURCE[\$i]} and called from \${BASH\_SOURCE[\$i+1]}.

## **BASH SUBSHELL**

Incremented by one each time a subshell or subshell environment is spawned. The initial value is 0.

## **BASH VERSINFO**

A read-only array variable whose members hold version information for this instance of bash. The values assigned to the array members are as follows:

- BASH VERSINFO[0]: The major version number (the release)
- BASH VERSINFO[1]: The minor version number (the version)
- BASH\_VERSINFO[2]: The patch level
- BASH\_VERSINFO[3]: The build version
- BASH\_VERSINFO[4]: The release status (e.g., beta1)
- BASH VERSINFO[5]: The value of MACHTYPE

## **BASH VERSION**

Expands to a string describing the version of this instance of bash.

## COMP\_CWORD

An index into \${COMP\_WORDS} of the word containing the current cursor position. This variable is available only in shell functions invoked by the programmable completion facilities (see "Programmable Completion" in the bash man page).

## **COMP KEY**

The key (or final key of a key sequence) used to invoke the current completion function.

## **COMP LINE**

The current command line. This variable is available only in shell functions and external commands invoked by the programmable completion facilities (see "Programmable Completion" in the bash man page).

## **COMP POINT**

The index of the current cursor position relative to the beginning of the current command. If the current cursor position is at the end of the current command, the value of this variable is equal to \${#COMP\_LINE}. This variable is available only in shell functions and external commands invoked by the programmable completion facilities (see "Programmable Completion" in the bash man page).

## **COMP TYPE**

Set to an integer value corresponding to the type of completion attempted that caused a completion function to be called: TAB for normal completion, ? for listing completions after successive tabs, ! for listing alternatives on partial word completion, @ to list completions if the word is not unmodified, or % for menu completion. This variable is available only in shell functions and external commands invoked by the programmable completion facilities (see "Programmable Completion" in the bash man page).

## COMP\_WORDBREAKS

The set of characters that the readline library treats as word separators when performing word completion. If COMP\_ WORDBREAKS is unset, it loses its special properties, even if it is subsequently reset.

## **COMP WORDS**

An array variable (see "Arrays" in the bash man page) consisting of the individual words in the current command line. The line is split into words as readline would split it, using COMP\_WORDBREAKS as described previously. This variable is available only in shell functions invoked by the programmable completion facilities (see "Programmable Completion" in the bash man page).

## **COPROC**

An array variable (see "Arrays" in the bash man page) created to hold the file descriptors for output from and input to an unnamed coprocess (see "Coprocesses" in the bash man page).

## **DIRSTACK**

An array variable (see "Arrays" in the bash man page) containing the current contents of the directory stack. Directories appear in the stack in the order they are displayed by the dirs built-in. Assigning to members of this array variable may be used to modify directories already in the stack, but the pushd and popd built-ins must be used to add and remove directories. Assignment to this variable will not change the current directory. If DIRSTACK is unset, it loses its special properties, even if it is subsequently reset.

## **EPOCHREALTIME**

Each time this parameter is referenced, it expands to the number of seconds since the Unix Epoch (see time(3)) as a floating-point value with microsecond granularity. Assignments to EPOCHREALTIME are ignored. If EPOCHREALTIME is unset, it loses its special properties, even if it is subsequently reset.

## **EPOCHSECONDS**

Each time this parameter is referenced, it expands to the number of seconds since the Unix Epoch (see time(3)). Assignments to EPOCHSECONDS are ignored. If EPOCHSECONDS is unset, it loses its special properties, even if it is subsequently reset.

## **EUID**

Expands to the effective user ID of the current user, initialized at shell startup. This variable is read-only.

## **FUNCNAME**

An array variable containing the names of all shell functions currently in the execution call stack. The element with index 0 is the name of any currently executing shell function. The bottommost element is main. This variable exists only when a shell function is executing. Assignments to FUNCNAME have no effect and return an error status. If FUNCNAME is unset, it loses its special properties, even if it is subsequently reset.

This variable can be used with BASH\_LINENO and BASH\_SOURCE. Each element of FUNCNAME has corresponding elements in BASH\_LINENO and BASH\_SOURCE to describe the call stack. For instance, \${FUNCNAME[\$i]} was called from the file \${BASH\_SOURCE[\$i+1]} at line number \${BASH\_LINENO[\$i]}. The caller built-in displays the current call stack using this information.

## **GROUPS**

An array variable containing the list of groups of which the current user is a member. Assignments to GROUPS have no effect and return an error status. If GROUPS is unset, it loses its special properties, even if it is subsequently reset.

## **HISTCMD**

The history number, or index in the history list, of the current command. If HISTCMD is unset, it loses its special properties, even if it is subsequently reset.

## HOSTNAME

Automatically set to the name of the current host.

## **HOSTTYPE**

Automatically set to a string that uniquely describes the type of machine on which bash is executing. The default is system dependent.

## LINENO

Each time this parameter is referenced, the shell substitutes a decimal number representing the current sequential line number (starting with 1) within a script or function. When not in a script or function, the value substituted is not guaranteed to be meaningful. If LINENO is unset, it loses its special properties, even if it is subsequently reset.

## **MACHTYPE**

Automatically set to a string that fully describes the system type on which bash is executing, in the standard GNU cpu-company-system format. The default is system dependent.

## **MAPFILE**

An array variable (see "Arrays" in the bash man page) created to hold the text read by the mapfile built-in when no variable name is supplied.

## **OLDPWD**

The previous working directory as set by the cd command.

## **OPTARG**

The value of the last option argument processed by the getopts built-in command (see "Shell Builtin Commands" in the bash man page).

## **OPTIND**

The index of the next argument to be processed by the getopts built-in command (see "Shell Builtin Commands" in the bash man page).

## **OSTYPE**

Automatically set to a string that describes the operating system on which bash is executing. The default is system dependent.

## **PIPESTATUS**

An array variable (see "Arrays" in the bash man page) containing a list of exit status values from the processes in the most recently executed foreground pipeline (which may contain only a single command).

## **PPID**

The process ID of the shell's parent. This variable is read-only.

## **PWD**

The current working directory as set by the cd command.

#### **RANDOM**

Each time this parameter is referenced, a random integer between 0 and 32767 is generated. The sequence of random numbers may be initialized by assigning a value to RANDOM. If RANDOM is unset, it loses its special properties, even if it is subsequently reset.

## READLINE\_LINE

The contents of the readline line buffer, for use with "bind -x" (see "Shell Builtin Commands" in the bash man page).

## READLINE\_MARK

The position of the mark (saved insertion point) in the readline line buffer, for use with "bind -x" (see "Shell Builtin Commands" in the bash man page). The characters between the insertion point and the mark are often called the region.

## READLINE POINT

The position of the insertion point in the readline line buffer, for use with "bind -x" (see "Shell Builtin Commands" in the bash man page).

## REPLY

Set to the line of input read by the read built-in command when no arguments are supplied.

## **SECONDS**

Each time this parameter is referenced, the number of seconds since shell invocation is returned. If a value is assigned to SECONDS, the value returned upon subsequent references is the number of seconds since the assignment plus the value assigned. If SECONDS is unset, it loses its special properties, even if it is subsequently reset.

## **SHELLOPTS**

A colon-separated list of enabled shell options. Each word in the list is a valid argument for the -o option to the set built-in command (see "Shell Builtin Commands" in the bash man page). The options appearing in SHELLOPTS are those reported as on by set -o. If this variable is in the environment when bash starts up, each shell option in the list will be enabled before reading any startup files. This variable is read-only.

## SHLVL

Incremented by one each time an instance of bash is started.

#### **SRANDOM**

This variable expands to a 32-bit pseudorandom number each time it is referenced. The random number generator is not linear on systems that support /dev/urandom or arc4random, so each returned number has no relationship to the numbers preceding it. The random number generator cannot be seeded, so assignments to this variable have no effect. If SRANDOM is unset, it loses its special properties, even if it is subsequently reset.

## **UID**

Expands to the user ID of the current user, initialized at shell startup. This variable is read-only.

The following variables are used by the shell. In some cases, bash assigns a default value to a variable; these cases are noted in the following sections.

## **BASH COMPAT**

The value is used to set the shell's compatibility level. See SHELL COMPATIBILITY MODE below for a description of the various compatibility levels and their effects. The value may be a decimal number (e.g., 4.2) or an integer (e.g., 42) corresponding to the desired compatibility level. If BASH\_COMPAT is unset or set to the empty string, the compatibility level is set to the default for the current version. If BASH\_COMPAT is set to a value that is not one of the valid compatibility levels, the shell prints an error message and sets the compatibility level to the default for the current version. The valid values correspond to the compatibility levels described below under SHELL COMPATIBILITY MODE. For example, 4.2 and 42 are valid values that correspond to the compatable shopt option and set the compatibility level to 42. The current version is also a valid value.

## **BASH ENV**

If this parameter is set when bash is executing a shell script, its value is interpreted as a file name containing commands to initialize the shell, as in ~/.bashrc. The value of BASH\_ENV is subjected to parameter expansion, command substitution, and arithmetic expansion before being interpreted as a file name. PATH is not used to search for the resultant file name.

## **BASH XTRACEFD**

If set to an integer corresponding to a valid file descriptor, bash will write the trace output generated when set -x is enabled to that file descriptor. The file descriptor is closed when BASH XTRACEFD is unset or assigned a new value. Unsetting BASH XTRACEFD

or assigning it the empty string causes the trace output to be sent to the standard error. Note that setting BASH\_XTRACEFD to 2 (the standard error file descriptor) and then unsetting it will result in the standard error being closed.

#### **CDPATH**

The search path for the cd command. This is a colon-separated list of directories in which the shell looks for destination directories specified by the cd command. A sample value is .:~:/usr.

## CHILD\_MAX

Sets the number of exited child status values for the shell to remember. bash will not allow this value to be decreased below a POSIX-mandated minimum, and there is a maximum value (currently 8192) that this may not exceed. The minimum value is system dependent.

## **COLUMNS**

Used by the select built-in command to determine the terminal width when printing selection lists. This is automatically set upon receipt of a SIGWINCH.

## **COMPREPLY**

An array variable from which bash reads the possible completions generated by a shell function invoked by the programmable completion facility (see "Programmable Completion" in the bash man page).

## **EMACS**

If bash finds this variable in the environment when the shell starts with value t, it assumes that the shell is running in an emacs shell buffer and disables line editing.

## **ENV**

Expanded and executed similarly to BASH\_ENV (see "Invocation" in the bash man page) when an interactive shell is invoked in POSIX mode.

## **EXECIGNORE**

A colon-separated list of shell patterns (see Pattern Matching) defining the list of file names to be ignored by command search using PATH. Files whose full pathnames match one of these patterns are not considered executable files for the purposes of completion and command execution via PATH lookup. This does not affect the behavior of the [, test, and [ [ commands. Full pathnames in the command hash table are not subject to EXECIGNORE. Use this variable to ignore shared library files that have the executable bit set but are not executable files. The pattern matching honors the setting of the extglob shell option.

## **FCEDIT**

The default editor for the fc built-in command.

## **FIGNORE**

A colon-separated list of suffixes to ignore when performing file name completion (see READLINE in the bash man page). A file name whose suffix matches one of the entries in FIGNORE is excluded from the list of matched file names. A sample value is .o:~.

## **FUNCNEST**

If set to a numeric value greater than 0, it defines a maximum function nesting level. Function invocations that exceed this nesting level will cause the current command to abort.

## **GLOBIGNORE**

A colon-separated list of patterns defining the set of file names to be ignored by pathname expansion. If a file name matched by a pathname expansion pattern also matches one of the patterns in GLOBIGNORE, it is removed from the list of matches.

## HISTCONTROL

A colon-separated list of values controlling how commands are saved on the history list. If the list of values includes ignorespace, lines that begin with a space character are not saved in the history list. A value of ignoredups causes lines matching the previous history entry to not be saved. A value of ignoreboth is shorthand for ignorespace and ignoredups. A value of erasedups causes all previous lines matching the current line to be removed from the history list before that line is saved. Any value not in the previous list is ignored. If HISTCONTROL is unset or does not include a valid value, all lines read by the shell parser are saved on the history list, subject to the value of HISTIGNORE. The second and subsequent lines of a multiline compound command are not tested and are added to the history regardless of the value of HISTCONTROL.

## HISTFILE

The name of the file in which command history is saved (see HISTORY in the bash man page). The default value is ~/.bash\_history. If unset, the command history is not saved when an interactive shell exits.

## HISTFILESIZE

The maximum number of lines contained in the history file. When this variable is assigned a value, the history file is truncated, if necessary, by removing the oldest entries to contain no more than that number of lines. The default value is 500. The history file is also truncated to this size after writing it when an interactive shell exits.

## **HISTIGNORE**

A colon-separated list of patterns used to decide which command lines should be saved on the history list. Each pattern is anchored at the beginning of the line and must match the complete line (no implicit \* is appended). Each pattern is tested against the line after the checks specified by HISTCONTROL are applied. In addition to the normal shell pattern matching characters, & matches the previous history line. & may be escaped using a backslash; the backslash is removed before attempting a match. The second and subsequent lines of a multiline compound command are not tested and are added to the history regardless of the value of HISTIGNORE.

## **HISTSIZE**

The number of commands to remember in the command history (see HISTORY in the bash man page). The default value is 500.

## HISTTIMEFORMAT

If this variable is set and not null, its value is used as a format string for strftime(3) to print the time stamp associated with each history entry displayed by the history built-in. If this variable is set, time stamps are written to the history file so they may be preserved across shell sessions. This uses the history comment character to distinguish timestamps from other history lines.

## **HOME**

The home directory of the current user; the default argument for the cd built-in command. The value of this variable is also used when performing tilde expansion.

## HOSTFILE

Contains the name of a file in the same format as /etc/hosts that should be read when the shell needs to complete a hostname. The list of possible hostname completions may be changed while the shell is running; the next time hostname completion is attempted after the value is changed, bash adds the contents of the new file to the existing list. If HOSTFILE is set but has no value, bash attempts to read /etc/hosts to obtain the list of possible hostname completions. When HOSTFILE is unset, the hostname list is cleared.

## **IFS**

The internal field separator that is used for word splitting after expansion and to split lines into words with the read built-in command. The default value is ''''.

## **IGNOREEOF**

Controls the action of an interactive shell on receipt of an EOF character as the sole input. If set, the value is the number of consecutive EOF characters that must be typed as the first characters on an input line before bash exits. If the variable exists but does not have a numeric value or does not have a value, the default value is 10. If it does not exist, EOF signifies the end of input to the shell.

## **INPUTRC**

The file name for the readline startup file, overriding the default of  $\sim$ /.inputrc (see READLINE in the bash man page).

## **INSIDE EMACS**

If this variable appears in the environment when the shell starts, bash assumes that it is running inside an emacs shell buffer and may disable line editing, depending on the value of TERM.

## **LANG**

Used to determine the locale category for any category not specifically selected with a variable starting with  ${\sf LC}\,$  .

## LC ALL

This variable overrides the value of LANG and any other LC\_ variable specifying a locale category.

## LC COLLATE

This variable determines the collation order used when sorting the results of pathname expansion and determines the behavior of range expressions, equivalence classes, and collating sequences within pathname expansion and pattern matching.

## LC CTYPE

This variable determines the interpretation of characters and the behavior of character classes within pathname expansion and pattern matching.

## LC MESSAGES

This variable determines the locale used to translate double-quoted strings preceded by a \$.

## LC NUMERIC

This variable determines the locale category used for number formatting.

## LC\_TIME

This variable determines the locale category used for data and time formatting.

## **LINES**

Used by the select built-in command to determine the column length for printing selection lists. This is automatically set upon receipt of a SIGWINCH.

#### MAIL

If this parameter is set to a file name and the MAILPATH variable is not set, bash informs the user of the arrival of mail in the specified file.

#### MAILCHECK

Specifies how often (in seconds) bash checks for mail. The default is 60 seconds. When it is time to check for mail, the shell does so before displaying the primary prompt. If this variable is unset or set to a value that is not a number greater than or equal to zero, the shell disables mail checking.

## **MAILPATH**

A colon-separated list of file names to be checked for mail. The message to be printed when mail arrives in a particular file may be specified by separating the file name from the message with a ?. When used in the text of the message, \$\_ expands to the name of the current mail file. Here's an example:

MAILPATH='/var/mail/bfox?"You have mail":~/shell-mail?"\$ has mail!"'

bash supplies a default value for this variable, but the location of the user mail files that it uses is system dependent (e.g., /var/mail/\$USER).

#### **OPTERR**

If set to the value 1, bash displays error messages generated by the getopts built-in command (see "Shell Builtin Commands" in the bash man page). OPTERR is initialized to 1 each time the shell is invoked or a shell script is executed.

## **PATH**

The search path for commands. It is a colon-separated list of directories in which the shell looks for commands (see "Command Execution" in the bash man page). A zero-length (null) directory name in the value of PATH indicates the current directory. A null directory name may appear as two adjacent colons or as an initial or trailing colon. The default path is system-dependent and is set by the administrator who installs bash. A common value is /usr/gnu/bin:/usr/local/bin:/usr/usr/bin.

## POSIXLY\_CORRECT

If this variable is in the environment when bash starts, the shell enters POSIX mode before reading the startup files, as if the --posix invocation option had been supplied. If it is set while the shell is running, bash enables POSIX mode, as if the command set -o posix had been executed.

## PROMPT\_COMMAND

If set, the value is executed as a command prior to issuing each primary prompt.

## PROMPT DIRTRIM

If set to a number greater than 0, the value is used as the number of trailing directory components to retain when expanding the \w and \W prompt string escapes (see "Prompting" in the bash man page). Characters removed are replaced with an ellipsis.

## PS<sub>0</sub>

The value of this parameter is expanded (see "Prompting" in the bash man page) and displayed by interactive shell after reading a command and before the command is executed.

## PS1

The value of this parameter is expanded (see "Prompting" in the bash man page) and used as the primary prompt string. The default value is "s-v".

## PS2

The value of this parameter is expanded as with PS1 and used as the secondary prompt string. The default is "> ".

## PS3

The value of this parameter is used as the prompt for the select command (see "Shell Grammar" earlier).

#### PS4

The value of this parameter is expanded as with PS1, and the value is printed before each command bash displays during an execution trace. The first character of PS4 is replicated multiple times, as necessary, to indicate multiple levels of indirection. The default is "+ ".

## SHELL

The full pathname to the shell is kept in this environment variable. If it is not set when the shell starts, bash assigns to it the full pathname of the current user's login shell.

## **TIMEFORMAT**

The value of this parameter is used as a format string specifying how the timing information for pipelines prefixed with the time reserved word should be displayed. The % character introduces an escape sequence that is expanded to a time value or other information. The escape sequences and their meanings are as follows; the braces denote optional portions.

- %%: A literal %.
- %[p][1]R: The elapsed time in seconds.

- %[p][1]U: The number of CPU seconds spent in user mode.
- %[p][1]S: The number of CPU seconds spent in system mode.
- %P: The CPU percentage, computed as (%U + %S) / %R. The optional p is a digit specifying the precision, the number of fractional digits after a decimal point. A value of 0 causes no decimal point or fraction to be output. At most three places after the decimal point may be specified; values of p greater than 3 are changed to 3. If p is not specified, the value 3 is used. The optional l specifies a longer format, including minutes, of the form MMmSS.FFs. The value of p determines whether the fraction is included. If this variable is not set, bash acts as if it had the value \$'\nreal\t%31R\nuser\t%31U\nsys%31S'. If the value is null, no timing information is displayed. A trailing newline is added when the format string is displayed.

## **TMOUT**

If set to a value greater than 0, TMOUT is treated as the default timeout for the read built-in. The select command terminates if input does not arrive after TMOUT seconds when input is coming from a terminal. In an interactive shell, the value is interpreted as the number of seconds to wait for input after issuing the primary prompt. bash terminates after waiting for that number of seconds if input does not arrive.

## **TMPDIR**

If set, bash uses its value as the name of a directory in which bash creates temporary files for the shell's use.

## auto resume

This variable controls how the shell interacts with the user and job control. If this variable is set, single-word simple commands without redirections are treated as candidates for resumption of an existing stopped job. There is no ambiguity allowed; if there is more than one job beginning with the string typed, the job most recently accessed is selected. The name of a stopped job, in this context, is the command line used to start it. If set to the value exact, the string supplied must match the name of a stopped job exactly; if set to substring, the string supplied needs to match a substring of the name of a stopped job. The substring value provides functionality analogous to the %? job identifier (see "Job Control" in the bash man page). If set to any other value, the supplied string must be a prefix of a stopped job's name; this provides functionality analogous to the %string job identifier.

#### APPENDIX A SHELL VARIABLES

## histchars

The two or three characters that control history expansion and tokenization (see "History Expansion" in the bash man page). The first character is the history expansion character, the character that signals the start of a history expansion, normally !. The second character is the quick substitution character, which is used as shorthand for rerunning the previous command entered, substituting one string for another in the command. The default is ^. The optional third character is the character that indicates that the remainder of the line is a comment when found as the first character of a word, normally #. The history comment character causes history substitution to be skipped for the remaining words on the line. It does not necessarily cause the shell parser to treat the rest of the line as a comment.

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