

# Algebraic K Theory

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**Abstract**

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# 1 The $K_0$ -Group

## 1.1 $K_0$ of a Symmetric Monoidal Category

### Definition 1.1.1: The $K_0$ -Group of a Symmetric Monoidal Category

Let  $(\mathcal{C}, I, \oplus)$  be a symmetric monoidal category. Let  $\mathcal{C}^{\text{iso}}$  be the category consisting of isomorphism classes of objects, which is also an abelian monoid under the operation  $\oplus$ . Define the  $K_0$  group of  $\mathcal{C}$  by the Grothendieck completion

$$K_0(\mathcal{C}, I, \oplus) = (\mathcal{C}^{\text{iso}})^{-1} \mathcal{C}^{\text{iso}}$$

## 1.2 $K_0$ of a Ring

### Definition 1.2.1: The Category of Finitely Generated Projective Modules over a Ring

Let  $R$  be a ring. Define the category  $\mathbf{FGP}(R)$  of projective modules over  $R$  as follows.

- The objects are the isomorphism classes of finitely generated projective modules  $[M]$  over  $R$
- For two isomorphism classes of projective modules  $[M], [N]$  over  $R$ , a morphism  $[M] \rightarrow [N]$  is just an  $R$ -module homomorphism.
- Composition is given by the composition of functions.

### Lemma 1.2.2

Let  $R$  be a ring. Then the category  $\mathbf{FGP}(R)$  is a symmetric monoidal category with the following data.

- The binary operator  $\oplus : P(R) \times P(R) \rightarrow P(R)$  is given by

$$[M] + [N] = [M \oplus N]$$

which is the direct sum.

- The unital object is the isomorphism class  $[R]$  of the  $R$ -module  $R$ .

### Definition 1.2.3: The $K_0$ -Group of a Ring

Let  $R$  be a ring. Define the  $K_0$ -group of  $R$  by the Grothendieck completion of the abelian monoid:

$$K_0(R) = P(R)^{-1} P(R) = K_0(P(R), R, \oplus)$$

### Definition 1.2.4: The $K_0$ Functor

Define the  $K_0$ -functor

$$K_0 : \mathbf{Ring} \rightarrow \mathbf{Grp}$$

to consist of the following data.

- For each ring  $R$ , define  $K_0(R)$  to be the  $K_0$ -group of  $R$
- For each ring homomorphism  $f : R \rightarrow S$ , define  $K_0(f) : K_0(R) \rightarrow K_0(S)$  by the formula

$$[P] \mapsto [S \otimes_R P]$$

### Theorem 1.2.5: Universal Property of the $K_0$ -Group

Let  $R$  be a ring.

Recall that a ring  $R$  is a principal ideal domain if every ideal of  $R$  is generated by one element. By the

structure theorem of finitely generated  $R$ -modules over PID, we can immediately conclude that there is an isomorphism

$$\mathbb{Z} \cong K_0(R)$$

given by  $n \mapsto [R^n]$ .

#### Definition 1.2.6: Reduced $K_0$ -Group

Let  $R$  be a ring. Define the reduced  $K_0$ -group  $\tilde{K}_0(R)$  of  $R$  to be the quotient

$$\tilde{K}_0(R) = \frac{K_0(R)}{\{[R^m] - [R^n] \mid n, m \in \mathbb{N}\}}$$

#### Lemma 1.2.7

Let  $R$  be a ring. Then the unique ring homomorphism  $f : \mathbb{Z} \rightarrow R$  induces an isomorphism

$$\tilde{K}_0(R) \cong \frac{K_0(R)}{\text{im}(K_0(f))}$$

Recall that a stably free module is an  $R$ -module  $M$  such that there exists a finitely generated free  $R$ -module  $T$  such that  $M \oplus T$  is free. Now  $[P] \in \tilde{K}_0(R)$  is trivial if and only if  $P$  is stably free and finitely generated. Thus the reduced  $K_0$  of a ring measures how far away a finitely generated  $R$ -module from also being stably free.

#### Theorem 1.2.8

Let  $R$  be a ring. Let  $n \geq 1$ . Then there is an isomorphism

$$\mu_R : K_0(R) \xrightarrow{\cong} K_0(M_n(R))$$

given by  $[P] \mapsto [R^n \oplus_R P]$ , where  $R^n$  here is considered as an  $(M_n(R), R)$ -bimodule. Moreover, the isomorphism is natural in the following sense. If  $f : R \rightarrow S$  is a ring homomorphism, then the following diagram is commutative:

$$\begin{array}{ccc} K_0(R) & \xrightarrow{K_0(f)} & K_0(S) \\ \mu_R \downarrow & & \downarrow \mu_S \\ K_0(M_n(R)) & \xrightarrow{K_0(M_n(f))} & K_0(M_n(S)) \end{array}$$

#### Proposition 1.2.9

Let  $R, S$  be rings. Denote  $p_1 : R \times S \rightarrow R$  and  $p_2 : R \times S \rightarrow S$  the projection maps. Then the projection maps induce an isomorphism

$$K_0(p_1) \times K_0(p_2) : K_0(R \times S) \xrightarrow{\cong} K_0(R) \times K_0(S)$$

#### Proposition 1.2.10

Let  $k$  be a field. Let  $V$  be a vector space over  $k$  with countable basis. Then

$$K_0(\text{End}_k(V)) \cong \{1\}$$

**Lemma 1.2.11**

Let  $G$  be a group. Let  $R$  be a commutative integral domain with quotient field  $F$ . Then there is an isomorphism

$$K_0(R[G]) \cong \tilde{K}_0(R[G]) \oplus \mathbb{Z}$$

given by  $[P] \mapsto ([P], \dim_F(F \otimes_{R[G]} P))$

**Conjecture 1.2.12: Farrell-Jones Conjecture**

Let  $G$  be a torsion-free group. Let  $R$  be a regular ring. Then the map  $\{1\} \hookrightarrow G$  induces an isomorphism

$$K_0(R) \cong K_0(R[G])$$

**1.3  $K_0$  of an Abelian Category****1.4  $K_0$  of a Waldhausen Category**

## 2 The $K_1$ -Group

### 2.1 $K_1$ of a Ring

#### Definition 2.1.1: The $K_1$ -Group of a Ring

Let  $R$  be a ring. Define the  $K_1$ -group of  $R$  to be the group

$$K_1(R) = \frac{GL(R)}{[GL(R), GL(R)]}$$

#### Proposition 2.1.2

Let  $R$  and  $S$  be two rings. Then there is an isomorphism

$$K_1(R \times S) \cong K_1(R) \oplus K_1(S)$$

#### Proposition 2.1.3

Let  $R$  be a ring. Then there is an isomorphism

$$K_1(R) \cong K_1(M_n(R))$$

for any  $n \in \mathbb{N}$ .

### 2.2 The Fundamental Theorems for $K_1$ and $K_0$

### 3 The Negative K-Groups

## 4 The $K_2$ -Group

### 4.1 The Steinberg Group

#### Definition 4.1.1: The $n$ th Steinberg Group

Let  $R$  be a ring. For  $n \geq 3$ , define the  $n$ th Steinberg group by

$$\mathrm{St}_n(R) = \frac{\langle x_{ij}(r) \text{ for } r \in R, 1 \leq i, j \leq n \rangle}{R}$$

where  $R$  is the relation generated by

- For  $r, s \in R$ ,  $x_{ij}(r)x_{ij}(s) = x_{ij}(rs)$  for  $1 \leq i, j \leq n$
- For  $r, s \in R$ ,

$$[x_{ij}(r), x_{kl}(s)] = \begin{cases} 1 & \text{if } j \neq k \text{ and } i \neq l \\ x_{il}(rs) & \text{if } j = k \text{ and } i \neq l \\ x_{kj}(-rs) & \text{if } j \neq k \text{ and } i = l \end{cases}$$

#### Lemma 4.1.2

Let  $R$  be a ring. For any  $n \geq 3$ , the  $n$ th Steinberg group  $\mathrm{St}_n(R)$  of  $R$  includes into the  $(n + 1)$ th Steinberg group  $\mathrm{St}_{n+1}(R)$ .

#### Proposition 4.1.3

Let  $R$  be a ring. Let  $n \geq 3$ . Then the universal property of free groups with relations induce a canonical group surjection

$$\phi_n : \mathrm{St}_n(R) \rightarrow [GL(R), GL(R)]$$

that sends  $x_{ij}(r)$  to  $e_{ij}(r)$ .

#### Definition 4.1.4: The Steinberg Group of a Ring

Let  $R$  be a ring. Define the Steinberg group of  $R$  by the direct limit

$$\mathrm{St}(R) = \varinjlim_{n \in \mathbb{N} \setminus \{0,1,2\}} \mathrm{St}_n(R)$$

#### Proposition 4.1.5

Let  $R$  be a ring. The universal property of the direct limit induces a canonical group surjection

$$\phi : \mathrm{St}(R) \rightarrow [GL(R), GL(R)]$$

### 4.2 $K_2$ of a Ring

#### Definition 4.2.1: The $K_2$ -Group of a Ring

Let  $R$  be a ring. Define the  $K_2$ -group of  $R$  to be the kernel

$$K_2(R) = \ker(\phi : \mathrm{St}(R) \rightarrow [GL(R), GL(R)])$$



**Lemma 4.2.2**

Let  $R$  be a ring. Then there is an exact sequence of groups

$$0 \longrightarrow K_2(R) \longrightarrow \mathrm{St}(R) \longrightarrow [GL(R), GL(R)] \longrightarrow K_1(R) \longrightarrow 0$$

**Theorem 4.2.3: (Stein)**

For any ring  $R$ , the  $K_2$ -group  $K_2(R)$  is an abelian group. Moreover, we have

$$Z(\mathrm{St}(R)) = K_2(R)$$

## 5 The $K_n$ -Group

### 5.1 Universal Definition

#### Definition 5.1.1: The Plus Construction

Let  $R$  be a ring. Define  $BGL(R)^+$  to be any CW complex that has a distinguished map  $BGL(R) \rightarrow BGL(R)^+$  such that the following are true.

- There is an isomorphism  $\pi_1(BGL(R)^+) \cong K_1(R)$  given by the induced map  $\pi_1(BGL(R)) \rightarrow \pi_1(BGL(R)^+)$ , which is required to be surjective with kernel  $[GL(R), GL(R)]$
- For each  $n \in \mathbb{N}$ , there are isomorphisms

$$H_n(BGL(R); M) \cong H_n(BGL(R)^+; M)$$

for any  $R$ -module  $M$ .

Intuitively,  $BGL(R)^+$  is a modification of the classifying space of  $GL(R)$  so that their homology remains the same while its fundamental group returns  $K_1(R)$ . The latter point is important because  $K_n$  will be defined as the  $n$ th homotopy group.

#### Definition 5.1.2: $K_n$ of a Ring

Let  $R$  be a ring. Define the  $n$ th  $K$ -group of  $R$  to be

$$K_n(R) = \pi_n(BGL(R)^+)$$

for  $n \geq 1$ .

Notice that  $BGL(R)^+$  for a ring  $R$  is not defined uniquely. However, we can prove that any two such plus constructions are homotopy equivalent so that  $K_n(R)$  is well defined.

In order to accommodate the 0th  $K$ -group, we make the following amendments.

#### Definition 5.1.3: K-Theory of a Ring

Let  $R$  be a ring. Define the  $K$ -theory of  $R$  by

$$K(R) = K_0(R) \times BGL(R)^+$$

so that  $\pi_n(BGL(R)^+) = K_n(R)$  for all  $n \geq 0$ .

## 6

### 6.1

#### Theorem 6.1.1: Serre-Swan Theorem I

Let  $M$  be a smooth manifold. Let  $E$  be a smooth vector bundle over  $M$ . Then the space of smooth sections  $\Gamma(E)$  of  $E$  is finitely generated and projective over  $C^\infty(M)$ .

If  $M$  is connected, then the space of smooth section is one-to-one with the finitely generated and projective modules over  $C^\infty(M)$ .

**Theorem 6.1.2**

Let  $M$  be a smooth and connected manifold. Then the category of smooth vector bundles  $\text{SVect}(M)$  is equivalent to the category of finitely generated projective modules  $\text{FinProj}_{C^\infty(M)}\text{Mod}$  via the global section functor

$$\Gamma : \text{SVect}(M) \rightarrow \text{FinProj}_{C^\infty(M)}\text{Mod}$$

defined by  $E \mapsto \Gamma(E)$

**6.2**