# Point Set Topology

## Labix

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#### Abstract

Topology is the most general setting ever to study any properties of a so called space as it requires only set-theoretic notation to define. This allows this definition to encapsulate a wide range of different spaces as well as allowing some very weird sets to appear as topological space.

This subject in maths is the main gateway to higher level mathematics: almost every other mathematical subject requires a space to work on. Therefore it is important to layout the foundations.

As expected by some readers, this subject will be definition heavy, since it involves the classfication and identification of different types of spaces. We classify it so that our theories can work on some spaces that contain more sturcture, instead of the general setting.

#### References

- A course in Point Set Topology by John B. Conway
- Lecture Notes of MAT327 at the University of Toronto by Ivan Khatchatourian

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#### 1 **Topological Spaces**

#### 1.1 **Basic Definitions**

We begin with our main object of study.

#### **Definition 1.1.1: Topological Space**

A topological space is a pair of objects  $(X, \mathcal{T})$  where X is a set and  $\mathcal{T}$  is a collection of subsets of X satisfying

- $\emptyset, X \in \mathcal{T}$
- $\{G_i : i \in I\} \subseteq \mathcal{T} \Longrightarrow \bigcup_{i \in I} G_i \in \mathcal{T}$   $G_1, \dots, G_n \in \mathcal{T} \Longrightarrow \bigcap_{k=1}^n G_k \in \mathcal{T}$

The collection  $\mathcal{T}$  is called the topology on X and sets in  $\mathcal{T}$  are called open sets.

The definition of a topological space requires only set-theoretic language. This allows for a wide range of potentialy spaces that we want to encapsulate with this structure since its definition simply relies on sets and not other additional structures. Later on we will meet a lot of fancy names for some more sets in a topological space, below is one of them.

#### **Definition 1.1.2: Closed Sets**

A subset A of a topological space X is said to be closed if  $X \setminus A$  is open.

Beware that this definition means that sets which are both open and closed could exist. They are not binary and should not be treated as such. As taking complements of sets allows De Morgans' law to be applied with unions and intersection, we have the following so called alternative definition of a topological space.

### **Proposition 1.1.3**

Let  $(X, \mathcal{T})$  be a topological space.

- X and  $\emptyset$  is closed
- If  $U_1, \ldots, U_n$  are closed then  $\bigcup_{k=1}^n U_k$  is closed
- If  $\{U_i : i \in I\}$  is closed for all i then  $\bigcap_{i \in I} U_i$  is closed

*Proof.* Let  $(X, \mathcal{T})$  be a topological space.

- X,  $\emptyset$  are open thus  $\emptyset$ , X are closed
- $X \setminus U_1, \dots, X \setminus U_n$  are open thus  $\bigcap_{k=1}^n (X \setminus U_k) = X \setminus \bigcup_{k=1}^n U_k$  is open and  $\bigcup_{k=1}^n U_k$  is
- $\{X \setminus U_i : i \in I\}$  are open sets thus  $\bigcup_{i \in I} (X \setminus U_i) = X \setminus \bigcap_{i \in I} U_i$  is open and  $\bigcap_{i \in I} U_i$  is closed

It is an alternative definition in the sense that by defining closed sets in a topological space, its open sets becomes apparent by taking complements. Just like how specifying open sets automatically creates the collection of all closed sets in that particular space.

And then we have the problem of the amount of open sets.

#### **Definition 1.1.4: Refinements**

Let X be a set. Let  $\mathcal{T}_1, \mathcal{T}_2$  be topologies on X. We say that  $\mathcal{T}_1$  refines  $\mathcal{T}_2$  if  $\mathcal{T}_1 \supset \mathcal{T}_2$ 

We could define two different topologies on the same set so long as it satisfies the axioms of a toplogical space. This is why we may have the notion of coarse and fine topologies relative to each other.

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#### **Definition 1.1.5: Basis**

Let *X* be a set. A collection of sets  $\mathcal{B} \subseteq \mathcal{P}(X)$  is called a basis on *X* if

- For every  $x \in X$ , there exists  $B \in \mathcal{B}$  such that  $x \in B$ , meaning  $\bigcup_{B \in \mathcal{B}} B = X$
- For every  $B_1, B_2 \in \mathcal{B}$ , for every  $x \in B_1 \cap B_2$ , there exists  $B \in \mathcal{B}$  such that  $x \in B \subseteq B_1 \cap B_2$

Similar to a vector space, we allow a smaller collection of open sets to "generate" the entire topology. This allows us to simply define the topology based on some smaller collection of open sets instead of having to write out every single open set in the topology. We prove this fact with the below proposition.

# Proposition 1.1.6

Let X be a set and  $\mathcal{B}$  be a basis on X. Then

$$\mathcal{T}_{\mathcal{B}} = \left\{ \bigcup_{X \in \mathcal{C}} X : \mathcal{C} \subseteq \mathcal{B} \right\}$$

is a topology of X generated by  $\mathcal{B}$ .

*Proof.* We prove that  $\mathcal{T}_{\mathcal{B}}$  satisfies the three definitions of a topology. Note that  $\emptyset \subseteq \mathcal{B}$  and  $\bigcup_{X \in \emptyset} X = \emptyset$  thus  $\emptyset \in \mathcal{T}_{\mathcal{B}}$ . Also  $\bigcup_{B \in \mathcal{B}} B = X$ . Now let  $\{V_i : i \in I\} \subseteq \mathcal{T}_{\mathcal{B}}$ .  $V_i \in \mathcal{T}_{\mathcal{B}}$  means that there exists some  $C_i \in \mathcal{B}$  such that  $\bigcup_{X \in C_i} X = V_i$ . Now consider  $\bigcup_{i \in I} \bigcup_{X \in C_i} X$ .

$$\bigcup_{i \in I} \bigcup_{X \in \mathcal{C}_i} X = \bigcup_{X \in \bigcup_{i \in I} \mathcal{C}_i} X$$

However,  $\bigcup_{i \in I} C_i \subseteq \mathcal{B}$  thus we are done. Finally, we prove that for  $U, V \in \mathcal{T}_{\mathcal{B}}$ ,  $U \cap V \in \mathcal{T}_{\mathcal{B}}$ . Let

$$U = \bigcup_{X \in \mathcal{A}} X$$

and

$$V = \bigcup_{X \in \mathcal{C}} X$$

We have that

$$U\cap V=\bigcup\{A\cap C:A\in\mathcal{A},C\in\mathcal{C}\}$$

Now consider  $A \cap C$  for any  $A \in \mathcal{A}$  and  $C \in \mathcal{C}$ . Let  $x \in A \cap C$ . Since  $\mathcal{B}$  is a basis, there exists  $B_x \in \mathcal{B}$  such that  $x \in B_x \subset A \cap C$ . Since  $x \in A \cap C$  implies  $x \in B_x$ ,

$$A \cap C \subset \bigcup_{x \in A \cap C} B_x$$

But also,  $B_x \subset A \cap C$  thus

$$\bigcup_{x \in A \cap C} B_x \subset A \cap C$$

Thus

$$\bigcup_{x \in A \cap C} B_x = A \cap C$$

Since  $B_x \in \mathcal{B}$  for all x, we have  $A \cap C \in \mathcal{T}_{\mathcal{B}}$  and since we prove property 2 of definition of a topology, we have  $U \cap V = \bigcup \{A \cap C : A \in \mathcal{A}, C \in \mathcal{C}\} \subset \mathcal{T}_{\mathcal{B}}$ 

Aside from the concept of a basis which generates a topology, sub basis also generates a topology.

#### **Definition 1.1.7: Sub Basis**

A sub basis for a topology  $\mathcal{T}$  on T is a collection  $\mathcal{B} \subset \mathcal{T}$  such that every set  $\mathcal{T}$  is a union of finite intersections of sets from  $\mathcal{B}$ .

Comparing the two notions of basis in a topology, a basis generates the topology by creating unions of sets while a sub basis generates a topology using finite intersections. In practice both are useful in their respectful places. Often sub basis consists of less sets, but requires you to think about finite intersections which may or may not be harder depending on the situation.

#### **Proposition 1.1.8**

If  $\mathcal{B}$  is any collection of subsets of a set X, and  $\bigcup_{B \in \mathcal{B}} B = X$  then there exists a unique topology  $\mathcal{T}$  on X with sub basis  $\mathcal{B}$ . In particular,

 $\mathcal{T} = \{ \text{Union of finite intersections of sets of } \mathcal{B} \}$ 

*Proof.* Let  $\mathcal{B}$  be a sub basis of a topology  $\mathcal{T}$ . Then by definition, since every  $U \in \mathcal{T}$  is a finite intersection of sets from  $\mathcal{B}$ , the collection of finite intersections precisely form a basis for  $\mathcal{T}$ .

## 1.2 Closure, Interior and Boundary

We give some more names to some more random sets.

#### Definition 1.2.1: Neighbourhood

A neighbourhood of  $x \in X$  a topological space is a set  $U \subset X$  such that  $x \in B \subset U$  for some  $B \in \mathcal{T}$ .

The neighbourhood acts like the open ball in metric spaces. Since we have no open balls in a topological space, this acts like the open ball for more potential structures such as limits. In fact the open balls are stricter than open neighbourhoodds in a metric space, but they are mostly interchangable.

Also, the B seems quite random in the definition, but is in fact necessary. There are some topological spaces where sets like  $\{x\}$  does not exists. To talk about the surrounding of x, we must somehow quantify it. Therefore we first use some set that contains x, then surround it with another set.

In the remainder of the section, three operations will be given to sets in a topological space. They each have unique properties which will soon prove itself useful.

## **Definition 1.2.2: Closure**

Let  $(X, \mathcal{T})$  be a topological space. Let  $A \subset X$ . Define the closure of A, denoted  $\overline{A}$  to be the intersection of all closed subsets of X that contain A, meaning

$$A = \bigcap_{\substack{A \subseteq U \subseteq X \\ U \text{ is closed}}} U$$

You can think of closure as the boundary of a set plus the set itself. It sort of completes the set by closing it. Inherently it implies that  $\overline{A}$  is the smallest closed set containing A. Indeed if there were an even smaller closed set F between A and  $\overline{A}$ , then it should be contained in the intersection as well, which means that  $\overline{A} \subset F$ , a contradiction of the construction of F. We dedicate the next few theorems in establishing properties of closure.

#### Lemma 1.2.3

Let *X* be a topological space. Let  $A \subset X$ . Then  $\overline{A}$  is closed.

*Proof.*  $\overline{A}$  is necessarily closed since it is the intersection of closed subsets.

Closed sets are special in the sense that limits of convergence sequences will be contained in these sets (in particularly nice spaces), as we will see later, by taking the closure of a set, we are implicitly containing all all limits of sequences into the original set. And as you ahve already seem, closed intervals in real analysis give rise to many interesting theorems such as Bolzano-Weierstrass theorem as well as a bunch of continuity theorems that actually depend on the fact that its domain is a closed set .

## **Proposition 1.2.4**

Let  $(X, \mathcal{T})$  be a topological space. Let  $A \subset X$ . A is closed if and only if  $\overline{A} = A$ .

*Proof.* First let A be closed. Then A is the smallest subset of X that contains A. Thus the intersection of all closed subsets larger than A is equal to A. Thus  $\overline{A} = A$ .

Suppose that  $\overline{A} = A$ . Then  $\overline{A}$  is proved to be closed already.

That gives us a neat condition to show that whether a set is closed instead of having to show that its complement is open. However, this privilege must wait until we define the boundary of a set.

#### **Proposition 1.2.5**

Let  $(X, \mathcal{T})$  be a topological space. Let  $A, B \subset X$ . Then

- $\overline{\overline{A}} = \overline{A}$
- $A \subseteq \overline{A}$
- $A \subseteq B \implies \overline{A} \subseteq \overline{B}$
- $\bullet \ \overline{A \cup B} = \overline{A} \cup \overline{B}$

*Proof.* Let X be a topological space and  $A \subset X$ .

- Immediate from the above two proposition.
- The closure is defined by taking intersection of subsets U of X where  $A \subseteq U$ . Thus necessarily A is also a subset of the intersection of those subsets.
- ullet Suppose that  $A\subseteq B$ . Then by definition any closed set containing B must contain A thus

$$\bigcap_{\substack{A\subseteq U\subseteq X\\U\text{ is closed}}}U\subseteq\bigcap_{\substack{B\subseteq U\subseteq X\\U\text{ is closed}}}U$$

and we are done.

• We first prove that  $\overline{A \cup B} \subseteq \overline{A} \cup \overline{B}$ . Notice that  $\overline{A} \cup \overline{B}$  is closed and contains A and B. By definition,  $\overline{A \cup B}$  is the smallest closed set containing A and B thus any closed set containing A and B must contain  $\overline{A \cup B}$ .

Now we prove the opposite inclusion. Notice that since  $A, B \subseteq A \cup B$ , we have that  $\overline{A}, \overline{B} \subseteq \overline{A \cup B}$  thus  $\overline{A} \cup \overline{B} \subseteq \overline{A \cup B}$  and we are done.

Notice that  $\overline{A \cap B} = \overline{A} \cap \overline{B}$  is generally wrong. A typical counter example would be two unit squares not including boundaries centered at (0.5,0) and (-0.5,0) respectively. Since they don't include their boundaries their intersection is empty, and taking closure of the mptyset is the emptyset. But if you take closure before you intersect them, their boundaries will meet and taking intersewctions would give the line segment between (0,0.5) and (0,-0.5).

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We finish the topic of closure with the following characterization of closure.

#### Theorem 1.2.6

Let  $(X, \mathcal{T})$  be a topological space, let  $A \subset X$ . Then

$$\overline{A} = \{x \in X \mid \forall U \in \mathcal{T} \text{ with } x \in U, U \cap A \neq \emptyset\}$$

*Proof.* Let  $x \in \overline{A}$ . Suppose for a contradiction that there exists an open set U containing x such that  $U \cap A = \emptyset$ . Then  $X \setminus U$  does not contain x and is closed. Then  $\overline{A} \subseteq X \setminus U$  which is a contradiction since x in  $\overline{A}$  but  $x \notin X \setminus U$ .

Now suppose that  $x \in X$  such that for all open sets  $U, U \cap A \neq \emptyset$ . Suppose for a contradiction that  $x \notin \overline{A}$ . Then there exists a closed set F such that  $x \notin F$  and  $A \subseteq F$ . Then  $X \setminus F$  is open and contains x but  $X \setminus F \cap A = \emptyset$  thus this is a contradiction.  $\square$ 

If we can "complete" a set into its closed form by closure, we could also dig out the contents and leave out its boundary (if it has one) with interior.

#### **Definition 1.2.7: Interior**

Let A be a subset of a topological space  $(X, \mathcal{T})$ . We say that  $x \in A$  is an interior point of A if there exists an open set U such that  $x \in U \subset A$ . Denote the set of all interior points of A by  $A^{\circ}$ . Then

$$A^{\circ} = \{ x \in A | \exists U \in \mathcal{T}, x \in U \subset A \}$$

We have the following equivalent characterization of interiors.

## **Proposition 1.2.8**

Let  $(X, \mathcal{T})$  be a topological space and  $A \subset X$ . Then  $A^{\circ}$  is the union of all open sets of X contained in A. In other words,

$$A^{\circ} = \bigcup_{\substack{U \subset A \\ U \text{ is open}}} U$$

*Proof.* Let  $x \in \bigcup_{U \text{ is open}} U$ . Then  $x \in U$  for some open set  $U \subset A$ . Thus  $\bigcup_{U \text{ is open}} U \subseteq A^{\circ}$ .

Let  $x \in A^{\circ}$ . Then there exists  $U \in \mathcal{T}$  such that  $x \in U \subset A$  with U open. Thus  $x \in \bigcup_{U \text{ is open}} U$  and we are done.

Similar to the observation in closure, from this definition we see that  $A^{\circ}$  is the largest open set contained in A because if there were an even larger set, then taking union of that set will imply that  $A^{\circ} \subset A^{\circ} \cup U \subset A$  which means that  $A^{\circ} \cup U$  should be contained in  $A^{\circ}$ , a contradiction.

## **Proposition 1.2.9**

Let  $(X,\mathcal{T})$  be a topological space and  $A\subset X$ . Then A is open if and only if  $A=A^\circ$ .

*Proof.* Let A be open. Since A is open and A is the largest subset of A, by the equivalent characterization  $A^{\circ} = A$  thus we are done.

Let  $A^{\circ} = A$ . Then A is the union of open subsets thus A is also open.

The following lemma is already implicitly used above but for completeness I will state it here.

#### Lemma 1.2.10

Let  $(X, \mathcal{T})$  be a topological space and  $A \subset X$ . Then  $A^{\circ}$  is open.

*Proof.*  $A^{\circ}$  is a union of open sets.

If taking closure has the useful property that all its limits will be included (in metric spaces), we will soon see, and as I have already hinted, that open sets provide a notion of how close two points are in a topological space. Open sets also serve as the core part of a notion in topological spaces such as continuity and connecredness as we will see later. It is therefore often useful to consider interiors of a set if wanted to do a prove with open sets.

## **Proposition 1.2.11**

Let  $(X, \mathcal{T})$  be a topological space. Let  $A, B \subset X$ . Then

- $(A^{\circ})^{\circ} = A^{\circ}$
- $A^{\circ} \subseteq A$
- $\bullet \ A \subseteq B \implies A^\circ \subseteq B^\circ$
- $\bullet \ (A\cap B)^{\circ} = A^{\circ} \cap B^{\circ}$

*Proof.* Let  $A, B \subset X$ .

- Immediate from the above two propositions
- Direct from the definition
- Every open set that A contains B must also contain. Thus  $x \in A^{\circ}$  implies there exists U open such that  $x \in U \subset A \subset B$  which implies  $x \in B^{\circ}$ .
- We first prove that  $(A \cap B)^{\circ} \subseteq A^{\circ} \cap B^{\circ}$ . Note that  $A \cap B \subseteq A, B$ , taking the interior gives  $(A \cap B)^{\circ} \subseteq A^{\circ}, B^{\circ}$ . Thus  $(A \cap B)^{\circ} \subseteq A^{\circ} \cap B^{\circ}$ .

Now we prove the opposite inclusion. Notice that  $A^{\circ} \cap B^{\circ}$  is open and contains  $A \cap B$ . Since  $(A \cap B)^{\circ}$  is the largest open set contained in  $A \cap B$ . It should also contain  $A^{\circ} \cap B^{\circ}$  thus we are done.

In general,  $(A \cup B)^{\circ} \neq A^{\circ} \cup B^{\circ}$ . Try and consider the two unit squares again but this time include their boundaries.

Finally, we relate the two operations by the following rule.

## **Proposition 1.2.12**

Let  $(X, \mathcal{T})$  be a topological space. Let  $A \subset X$ . Then

$$A^{\circ} = X \setminus \overline{(X \setminus A)} \text{ and } \overline{A} = X \setminus (X \setminus A)^{\circ}$$

*Proof.* Let  $(X, \mathcal{T})$  be a topological space and  $A \subset X$ .

• We have that

$$X \setminus \bigcup_{\substack{U \subset A \\ U \text{ is open}}} U = \bigcap_{\substack{U \subset A \\ U \text{ is open}}} X \setminus U$$

Closures and interiors are like opposite operations. However from the above we can see that they are not opposite in the sense of set complements.

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#### **Definition 1.2.13: Boundary**

Let  $(X, \mathcal{T})$  be a topological space and  $A \subset X$ . x is a boundary point of A if for every neighbourhood U of x,  $U \cap A \neq \emptyset$  and  $U \cap X \setminus A \neq \emptyset$ . Denote the set of all boundary points by  $\partial A$ .

If you are able to concretely graspe the meaning of interiors and closures, you will see that they are very suitably names, especially when considering sets in  $\mathbb{R}^n$ . Boundary is no exception. These proposition will seem very trivial once the image is well produced in the back of your head.

#### **Proposition 1.2.14**

Let  $(X, \mathcal{T})$  be a topological space and  $A \subseteq X$ . Then

- $\bullet \ \partial A = \overline{A} \cap \overline{X \setminus A}$
- $\bullet \ \overline{A} = A \cup \partial A$
- $\partial A = \overline{A} \setminus A^{\circ}$

Proof.

• Let  $x \in \partial A$ . Then for every open neighbourhood U of x,  $U \cap A \neq \emptyset$  implies that  $x \in \overline{A}$  and  $U \cap (X \setminus A) \neq \emptyset$  implies that  $x \in \overline{X \setminus A}$ .

The above statements can all be reversed thus we are done.

• Let  $x \in \overline{A}$ . Then for every open neighbourhood U of x,  $U \cap A \neq \emptyset$ . This has two cases, either  $U \subseteq A$  or  $U \not\subseteq A$ . For the first case,  $x \in U \subseteq A$  then we are done. For the latter case assume that  $x \notin U \cap A$ . Then  $x \in U \cap X \setminus A \neq \emptyset$ . This implies that  $U \in \partial A$  thus we are done.

Now suppose that  $x \in A \cup \partial A$ . If  $x \in A$ , we are done. Suppose that  $x \in \partial A$ . Then for every open set U containing x,  $U \cap A \neq \emptyset$ . But this is the definition of  $x \in \overline{A}$  thus we are done.

• Let  $x \in \partial A$ , by the above, we know that  $\partial A \subseteq \overline{A}$ . We need to show that  $x \notin A^{\circ}$ . Suppose for a contradiction that  $x \in A^{\circ}$ . Then there exists an open set U containing x such that  $x \in U \subseteq A$ . But this is a contradiction of the definition of boundary since we require that  $U \cap X \setminus A \neq \emptyset$  for every open set U.

Now suppose that  $x \in \overline{A} \setminus A^{\circ}$ . Then since  $x \in \overline{A}$ , every open set U containing x has the property that  $U \cap A \neq \emptyset$ . Also  $x \notin A^{\circ}$  implies that if U is open and contains x, then  $U \not\subseteq A$  which implies that  $U \cap X \setminus A \neq \emptyset$ . Then this is precisely the definition of boundary thus  $x \in \partial A$  thus we are done.

We now give a name to a special type of points, where will see the reasonning later.

#### **Definition 1.2.15: Limit Points**

Let  $(X, \mathcal{T})$  be a topological space and  $A \subset X$ . x is a limit point of A if every neighbourhood of x intersects with  $A \setminus \{x\}$ . Meaning if U is a neighbourhood of x, then

$$U \cap A \setminus \{x\} \neq \emptyset$$

A point in A that is not a limit point is called an isolated point.

Note that limit points does not necessarily lie inside the set itself.

#### **Proposition 1.2.16**

Let  $(X, \mathcal{T})$  be a topological space and  $A \subset X$ . Then

$$\overline{A} = A \cup \{\text{Limit points of } A\}$$

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The final type of sets are called dense sets, which accurately as its name indicates, it dictates the sparseness of a subset with respect to its topological space.

#### **Definition 1.2.17: Dense Sets**

Let  $(X, \mathcal{T})$  be a topological space. Let  $D \subseteq X$ . D is said to be dense if  $\overline{D} = X$ 

#### Lemma 1.2.18

Every set is dense in and of itself.

*Proof.* Let X be a toplogical space. Then X is trivially closed thus  $\overline{X} = X$ .

Unfortunately this lemma does not give much insight as to why this is the case. A good example would be that  $\mathbb Q$  is dense in  $\mathbb Q$  and also dense in  $\mathbb R$ . The meaning of dense here is something we have already seen in real analysis. We already know that rational numbers are dense in the sense that there exists a countably amount of rational numbers between any two. It is also dense in  $\mathbb R$  because there are an countable number of real numbers between any two rational numbers. (In fact there should be an infinite amount instead of countably infinite but we have yet to seen this result).

Another way to think about this is that since I have secretly told you that taking closure will include the limit points of the original set, we know that every irrational number can be approximated by a sequence of rational numbers. Thus every real number is a limit of some sequence in  $\mathbb{Q}$ , which gives the name dense its meaning.

#### **Proposition 1.2.19**

Let  $(X, \mathcal{T})$  be a topological space. D is dense if and only if for all non-empty  $U \subset X$ ,  $D \cap U \neq \emptyset$ .

#### **Definition 1.2.20: Separable Space**

A topological space *X* is said to be separable if it has a countably dense subset.

## 1.3 Continuity and Homeomorphism

We would like to classify different types of spaces by similar properties they hold. One way to do this is to define homeomorphisms between spaces. Similar to how isomorphism will preserve structure between groups, hoemoemorphism preserves structures called topological invariants which we will soon see what properties fall under this category.

We first define the notion of continuity, slightly different from that of  $\mathbb{R}$ .

## **Definition 1.3.1: Continuity**

Let  $(X, \mathcal{T})$  and  $(Y, \mathcal{U})$  be topological spaces. Let  $f: X \to Y$  be a function. We say that f is continuous if  $f^{-1}(U) \in \mathcal{T}$  for every  $U \in \mathcal{U}$ .

## **Proposition 1.3.2**

Let X be a set and  $\mathcal{T}_1, \mathcal{T}_2$  be two topologies on X. Then the identity function  $id: X \to X$  is continuous if and only if  $\mathcal{T}_1 \supseteq \mathcal{T}_2$ 

#### Proposition 1.3.3

Let  $(X, \mathcal{T})$  and  $(Y, \mathcal{U})$  be topological spaces. Let  $f: X \to Y$  be a function. Let  $\mathcal{B}$  be a basis of Y and  $\mathcal{S}$  a subbasis on Y both generating  $\mathcal{U}$ . The following are equivalent.

- *f* is continuous
- $f^{-1}(U) \in \mathcal{T}$  for all  $U \in \mathcal{B}$
- $f^{-1}(U) \in \mathcal{T}$  for all  $U \in \mathcal{S}$
- For every closed set  $C \subseteq Y$ ,  $f^{-1}(C)$  is closed in X.
- For every  $A \subseteq X$ ,  $f(\overline{A}) \subseteq \overline{f(A)}$

## **Proposition 1.3.4**

Let  $(X, \mathcal{T}_1)$  and  $(X, \mathcal{T}_2)$  be topological spaces. Then the following are equivalent.

- $\mathcal{T}_2$  refines  $\mathcal{T}_1$
- For any toplogical space  $(Y, \mathcal{U})$ , if  $f: Y \to (X, \mathcal{T}_2)$  is continuous then  $f: Y \to (X, \mathcal{T}_1)$  is also continuous
- For any topological space  $(Y, \mathcal{U})$ , if  $f: (X, \mathcal{T}_1) \to Y$  is continuous then  $f: (X, \mathcal{T}_2) \to Y$  is also continuous.

## **Proposition 1.3.5**

Let  $(X_1, \mathcal{T}_1), (X_2, \mathcal{T}_2), (X_3, \mathcal{T}_3)$  be a topological spaces and  $f: X_1 \to X_2$  and  $g: X_2 \to X_3$  be continuous then  $g \circ f$  is also continuous.

### **Proposition 1.3.6**

Let  $f,g:X\to\mathbb{R}$  be continuous functions from a toplogical space X. Then f+g, fg are continuous. f/g is also continuous on the set  $\{x\in X|g(x)\neq 0\}$ .

#### **Definition 1.3.7: Homeomorphism**

Let  $(X, \mathcal{T})$  and  $(Y, \mathcal{U})$  be topological spaces. Let  $f: X \to Y$  be a bijective function. f is said to be homeomorphic and write  $(X, \mathcal{T}) \cong (Y, \mathcal{U})$  if f is continuous and  $f^{-1}$  is continuous.

#### **Proposition 1.3.8**

Let  $(X, \mathcal{T})$  and  $(Y, \mathcal{U})$  be topological spaces. Let  $f: X \to Y$  be a bijective function. The following are equivalent.

- *f* is a homeomorphism
- $U \subset X$  is open if and only if  $f(U) \subset Y$  is open

*Proof.* Suppose that f is a homeomorphism. Then  $U \subset X$  being open implies  $f(U) \subset Y$  being open is clear by continuity of  $f^{-1}$ .  $f(U) \subset Y$  being open implies  $U \subset X$  being open is also clear from the continuity of f.

The only if part of the statement is also clear from the definition of continuity.

#### **Definition 1.3.9: Topological Invariants**

A property  $\phi$  of topological spaces is called topological invariant if whenever  $(X,\mathcal{T})$  and  $(Y,\mathcal{U})$  are homeomorphic topological space, one has property  $\phi$  if and only if the other has it.

The following are some properties that we will see later which are indeed topological invariants:  $T_0, T_1, T_2$  spaces and compactness and connectedness. These theorem will come up in the form of: Continuity pre-

serves  $\phi.$  This means that  $\phi$  is a topological invariant.

# 2 New Topologies from Old

Similar to what we did with groups and subgroups as well as products of groups, we also have similar notion where we define subspaces, product spaces, and more. Naturally they will inherit some properties of the original topological space. We give special names as we will see later for properties that can be inherited by subspaces and product spaces respectively.

## 2.1 Subspace Topologies

We begin by considering subsets of a topological space.

## **Definition 2.1.1: Subspace Topology**

Let  $(X, \mathcal{T})$  be a topological space. Let  $Y \subset X$ . Define the subspace topology  $\mathcal{T}_Y$  on Y by

$$\mathcal{T}_Y = \{ U \cap Y | U \in \mathcal{T} \}$$

## **Proposition 2.1.2**

In the above definition  $\mathcal{T}_Y$  is a topology on Y.

*Proof.* Trivially,  $\emptyset$  and Y are in  $\mathcal{T}_Y$ .

Now let  $\{A_i|i\in I\}\subseteq \mathcal{T}_Y$ . Then

$$\bigcup_{i \in I} A_i = \bigcup_{i \in I} (U_i \cap Y) = \left(\bigcup_{i \in I} U_i\right) \cap Y$$

and since X is a topological space,  $V = \bigcup_{i \in I} U_i \in \mathcal{T}$  and thus  $V \cap Y \in \mathcal{T}_Y$  and we have proved the second property.

Let  $A, B \in \mathcal{T}_Y$ . Then

$$A \cap B = (U_A \cap Y) \cap (U_B \cap Y) = (U_A \cap U_B) \cap Y \in \mathcal{T}_Y$$

thus we are done.

We have seen that open sets are inherited from the original topological space. In fact, basis elements can also be inherited from the parent space.

#### **Proposition 2.1.3**

Let  $(X, \mathcal{T})$  be a topological space and let  $\mathcal{B}$  be a basis on X that generates  $\mathcal{T}$ . Let  $Y \subset X$ . The collection

$$\mathcal{B}_Y = \{ B \cap Y | B \in \mathcal{B} \}$$

is a basis on Y that generates the subspace topology  $\mathcal{T}_Y$  on Y.

*Proof.* Let  $y \in Y$ . Then there exists  $B \in \mathcal{B}$  such that  $y \in B$ . Then  $B \cap Y \in \mathcal{B}_Y$  and  $y \in B \cap Y$  and the first property is proven.

Let  $B_1, B_2 \in \mathcal{B}_Y$ . Then there exists  $A_1, A_2 \in \mathcal{B}$  such that  $B_1 = A_1 \cap Y$  and  $B_2 = A_2 \cap Y$ . Let  $y \in B_1 \cap B_2 = A_1 \cap A_2 \cap Y$ . By definition of a basis there exists  $A \in \mathcal{B}$  such that  $y \in A \subset A_1 \cap A_2$ . Then  $y \in A \cap Y \subset B_1 \cap B_2$  and  $A \cap Y \in \mathcal{B}$ . Thus we are done.

#### **Proposition 2.1.4**

Let  $(X, \mathcal{T})$  be a topological space and let Y be a subspace of X. If U is an open subset of Y and Y is an open subset of X, then U is an open subset of X.

## **Proposition 2.1.5**

Let  $(X, \mathcal{T})$  be a topological space and let Y be a subspace of X. Let A be a subset of Y. The subspace topology inherits from Y is equal to the subspace topology inherits from X.

## **Proposition 2.1.6**

Let  $(X, \mathcal{T})$  be a topological space and let Y be a subspace of X. For any  $Z \subset Y$ ,  $\overline{Z}_Y = Y \cap \overline{Z}_X$ , where  $\overline{Z}_Y$  is the closure of Z in Y.

## **Proposition 2.1.7**

Let  $(X, \mathcal{T})$  be a topological space and let Y be a subspace of X. The inclusion map  $i: Y \to X$  given by i(x) = x is continuous.

*Proof.* Trivial by proposition 2.1.4.

## **Proposition 2.1.8**

Let  $f:X\to Y$  be a continuous function and  $A\subset X$  is a subspace. Then  $f|_A:A\to Y$  is continuous.

*Proof.* Trivial by considering  $f|_A:A\to f(A)$ .

## Lemma 2.1.9: Pasting Lemma

Let  $(X, \mathcal{T})$  and  $(Y, \mathcal{U})$  be topological spaces. Let  $A, B \subset X$ , either both open or both closed, such that  $X = A \cup B$  and both are subspaces of X. Let  $f : A \to Y$  and  $g : B \to Y$  be continuous functions that agree on  $A \cap B$ . Define  $h : X \to Y$  by

$$h(x) = \begin{cases} f(x) & \text{if } x \in A \\ g(x) & \text{if } x \in B \end{cases}$$

Then h is continuous.

*Proof.* Problem arises when an open set that is part of both A and B is considered. Otherwise they are continuous in its own way.

#### **Definition 2.1.10: Hereditary Properties**

A topological property  $\phi$  is hereditary if every subspace of a topological space with  $\phi$  has it.

## **Proposition 2.1.11**

The following topological properties are hereditary.

- $T_0, T_1, T_2$
- Countable

- First Countable
- Second Countable

## 2.2 Product Topology

## **Definition 2.2.1: Product Topology**

Let  $(X, \mathcal{T})$  and  $(Y, \mathcal{U})$  be topological spaces. The product topology on  $X \times Y$  is the topology generated by the basis

$$\mathcal{B}_{X,Y} = \{U \times V : U \in \mathcal{T}, V \in \mathcal{U}\}$$

#### Lemma 2.2.2

Let X, Y be topological spaces. Then  $\mathcal{B}_{X,Y}$  is indeed a topology on  $X \times Y$ .

## **Proposition 2.2.3**

Let  $(X, \mathcal{T})$  and  $(Y, \mathcal{U})$  be topological spaces. Let  $\mathcal{B}_X$  and  $\mathcal{B}_Y$  be bases on X and Y that generate  $\mathcal{T}$  and  $\mathcal{U}$ , respectively. Then

$$\mathcal{B} = \{ U \times V | U \in \mathcal{B}_X, V \in \mathcal{B}_Y \}$$

is a basis for the product topology on  $X \times Y$ .

## **Proposition 2.2.4**

Let  $(X, \mathcal{T})$  and  $(Y, \mathcal{U})$  be topological spaces. Let  $x \in X$ . Define a map  $f: Y \to X \times Y$  by f(y) = (x, y) for all  $y \in Y$ . Then f is a homeomorphism.

*Proof.* The subspace  $\{x\} \cup Y$  has open sets of the form  $\emptyset \times U$  or  $\{x\} \times U$  for  $U \in \mathcal{U}$ . Then f is continuous since  $f^{-1}(\{x\} \cup U) = U$  and  $f^{-1}(\emptyset \cup U) = \emptyset$ . f is bijective since they have the same cardinality. The inverse map is also continuous since  $f(U) = \{x\} \times U$  is open for open sets U.

#### **Definition 2.2.5: Projection Maps**

Let  $(X_1, \mathcal{T}_1), \dots, (X_n, \mathcal{T}_n)$  be topological spaces. Define the projection maps

$$\pi_k: \prod_{i=1}^n X_i \to X_k$$

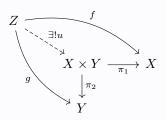
for  $k \in \{1, \ldots, n\}$  by

$$\pi_k(x_1,\ldots,x_n)=x_k$$

## **Proposition 2.2.6: Universal Property of Product Spaces**

Let  $(X, \mathcal{T}_X)$  and  $(Y, \mathcal{T}_Y)$  be topological spaces. Then the product space  $X \times Y$  satisfies the following universal property.

For any topological space  $(Z, \mathcal{T}_Z)$  and continuous functions  $f: Z \to X$  and  $g: Z \to Y$ , there exists a unique continuous function  $u: Z \to X \times Y$  such that the following diagram commutes:



## **Proposition 2.2.7**

Let X,Y,Z be topological spaces. Suppose that  $f:Z\to X\times Y$  is a function. Then f is continuous if and only if  $\pi_1\circ f:Z\to X$  and  $\pi_2\circ f:Z\to Y$  are continuous.

## 2.3 Quotient Topology

#### **Definition 2.3.1: Quotient Topology**

Let X be a topological space. Let  $\sim$  be an equivalence relation on X and write  $\pi: X \to X/\sim$  the quotient map of sets. Define a topology on  $X/\sim$  as follows. We say that  $U\subseteq X/\sim$  is open if and only if  $\pi^{-1}(U)$  is open in X.

One can perform quotient on subspaces as follows. For  $U \subseteq X$  a subset, we define an equivalence relation on X by declaring that  $x \sim y$  if and only if  $x, y \in U$ . Then we write the quotient space as

$$\frac{X}{U}$$

In particular, this has the effect of considering U as a single point in X.

Notice that the function  $\pi: X \to X/\sim$  in the definition becomes continuous by definition.

#### Theorem 2.3.2: Universal Property of Quotient Spaces

Let X be a topological space and  $\sim$  an equivalence relation on X. Then the quotient space  $X/\sim$  satisfy the following universal property.

For any topological space Y and a map  $f: X \to Y$  such that f(x) = f(y) for all  $x \sim y$ , then there exists a unique map  $u: X/\sim \to Y$  such that the following diagram commutes:

$$X \xrightarrow{\pi} \frac{X}{\sim} \downarrow \exists ! u \\ Y$$

#### **Proposition 2.3.3**

Let X be a topological space and  $\sim$  an equivalence relation on X. Let  $f: X/\sim \to Y$  be a function. Then f is continuous if and only if  $f\circ \pi: X\to Y$  is continuous.

# 3 Separation Axioms

## 3.1 Convergence and $T_0$ , $T_1$ , $T_2$ Spaces

## **Definition 3.1.1: Convergence**

Let  $(X, \mathcal{T})$  be a topological space. A sequence  $\{x_n\}_{n=1}^{\infty}$  is said to converge to a point  $x \in X$  if for every open set U containing x, there is an  $N \in \mathbb{N}$  such that  $x_n \in U$  for all n > N. In this case we write

$$\lim_{n \to \infty} x_n = x$$

#### **Proposition 3.1.2**

Let  $(X, \mathcal{T})$  be a topological space. Let  $A \subseteq X$ . Let  $\{a_n\} \subset A$  be a sequence. If  $a_n \to a$  then  $a \in \overline{A}$ .

#### **Definition 3.1.3: Kolmogorov** $T_0$ **Space**

Let  $(X, \mathcal{T})$  be a topological space. It is said to be  $T_0$  if for any pair of distinct points  $x, y \in X$ , there exists an open set U that contains one of them and not the other.

Unfortunately, sequences in  $T_0$  spaces are way too wild for us to perform analysis. They can virtually converge to any other point in the space. We need stronger separation axioms so that points in the space can be more distinctly presented. Even constant sequences can converge to different values!

## **Definition 3.1.4: Frechet** $T_1$ **Space**

Let  $(X, \mathcal{T})$  be a topological space. It is said to be  $T_1$  if there exists open sets U, V such that U contains x but not y and V contains y but not x.

This is better. Constant sequences now have unique convergent values. But still, the same cannot be said to general sequences. We need yet another stronger separation axiom. But before we look at  $T_2$ , we have some equivalent characterizations of  $T_1$  spaces.

#### **Proposition 3.1.5**

Let  $(X, \mathcal{T})$  be a topological space. The following are equivalent.

- $(X, \mathcal{T})$  is  $T_1$
- For every  $x \in X$ ,  $\{x\}$  is closed
- Every finite subset of *X* is closed.
- For every subset  $A \subset X$ ,  $A = \bigcap \{U \subset X : U \text{ is open and } A \subset U\}$

Now comes the main space of study.

#### **Definition 3.1.6: Hausdorff** $T_2$ **Space**

Let  $(X, \mathcal{T})$  be a topological space. It is said to be  $T_2$  if for every pair of distinct points  $x, y \in X$ , there exists open sets U, V such that  $U \cap V = \emptyset$  and  $x \in U$  and  $y \in V$ 

Most analysis and well behaved spaces lie under this category. The reason is given by the following theorem.

#### Theorem 3.1.7

Let  $(X, \mathcal{T})$  be a Hausdorff space. Then every sequence in X converges to at most one point.

*Proof.* Let  $x_n \to x$  and  $x_n \to y$  with  $x \neq y$ . Since X is Hausdorff there exists open sets U, V such that  $x \in U$ ,  $y \in V$  and  $U \cap V = \emptyset$ . By definition of convergence, there exists  $N_1 \geq 1$  and  $N_2 \geq 1$  such that  $x_n \in U$  for all  $n \geq N_1$  and  $x_n \in V$  for all  $n \geq n_2$ . This is a contradiction since  $x \in U \cup V$  when  $n \geq \max(N_1, N_2)$  but  $U \cap V = \emptyset$ .

Finally, sequences can converge uniquely. If you recall, notions such as continuity in real analysis are based on sequences. Therefore we really need sequences to be well behaved so that our foundations is solid. In fact, most of the more fun and useful spaces are Hausdorff. Therefore we paid less attention to  $T_0$  and  $T_1$  spaces. However, in order for sequences to capture key information of spaces, we need a bit more restrictions.

## 3.2 First Countability and Hausdorff

#### **Definition 3.2.1: Local Basis**

Let  $(X, \mathcal{T})$  be a topological space. Let  $x \in X$ . A local basis at x is a collection of open sets  $\mathcal{B}_x \subseteq \mathcal{T}$  following

- $x \in B$  for all  $B \in \mathcal{B}_x$
- For any open set U containing x, there exists  $B \in \mathcal{B}_x$  such that  $B \subseteq U$

#### **Definition 3.2.2: First Countable**

Let  $(X, \mathcal{T})$  be a topological space. It is first countable if every point in X has a countable local basis

## **Proposition 3.2.3**

Let  $(X, \mathcal{T})$  be a first countable topological space. Then for all  $x \in X$ , there exists a local basis  $\mathcal{B}_x = \{B_n : n \in \mathbb{N}\}$  such that  $B_1 \supseteq B_2 \supseteq B_3 \supseteq \cdots$ 

With the above proposition, we can reverse the previous proposition stating that convergent values lie inside the closure.

#### **Proposition 3.2.4**

Let  $(X, \mathcal{T})$  be a first countable topological space. Let  $A \subseteq X$ . Then  $x \in \overline{A}$  if and only if there is a sequence of elements of A converging to x.

The following is a partial converse to the unique limit point proposition that involves first countability.

## **Proposition 3.2.5**

Let  $(X, \mathcal{T})$  be a first countable topological space. If every convergent sequence has a unique limit point, then it is Hausdorff.

## 3.3 $T_3$ Spaces and Regularity

#### **Definition 3.3.1: Regular Space**

A topological space  $(X, \mathcal{T})$  is said to be regular if for any  $x \in X$  and any closed set C not containing x, there are disjoint open sets U, V such that  $x \in U$  and  $C \subset V$ 

## **Definition 3.3.2:** $T_3$ **Space**

A topological space is said to be  $T_3$  if it is  $T_1$  and regular.

## **Proposition 3.3.3**

Let  $(X, \mathcal{T})$  be regular. Then  $(X, \mathcal{T})$  is  $T_0$  if and only if it is  $T_1$  if and only if it is  $T_2$ .

## **Proposition 3.3.4**

A topological space  $(X, \mathcal{T})$  is regular if and only if for every point  $x \in X$  and every open set U containing x, there is an open set V such that  $x \in V \subseteq \overline{V} \subseteq U$ 

#### **Proposition 3.3.5**

Regularity and  $T_3$  are topological invariants.

## **Proposition 3.3.6**

Regularity and  $T_3$  are hereditary.

## **Proposition 3.3.7**

Regularity and  $T_3$  are finitely productive.

#### **Proposition 3.3.8**

Let  $(X, \mathcal{T})$  be a topological space that has a basis of clopen sets. Then  $(X, \mathcal{T})$  is regular.

## 3.4 $T_4$ Spaces and Normality

#### **Definition 3.4.1: Normal Spaces**

A topological space  $(X, \mathcal{T})$  is said to be normal if for any two disjoint, non-empty, closed subsets  $C, D \subseteq X$ , there are disjoint open sets U and V containing C and D respectively.

#### **Definition 3.4.2:** $T_4$ **Spaces**

A topological space  $(X, \mathcal{T})$  is said to be  $T_4$  if it is  $T_1$  and normal.

## **Proposition 3.4.3**

A topological space  $(X,\mathcal{T})$  is normal if and only if for every open set U and every closed set  $C\subset U$ , there exists an open set V such that  $C\subseteq V\subseteq \overline{V}\subseteq U$ 

## **Proposition 3.4.4**

Normality and  $T_4$  are topological invariants.

## **Proposition 3.4.5**

Normality is not hereditary.

# Proposition 3.4.6

Every closed subspace of a normal space is normal.

# **Proposition 3.4.7**

Normality is not finitely productive.

#### Theorem 3.4.8

Every regular, second countable topological space is normal.

# 4 Metric Spaces

Metric spaces are more closely related to analysis in both its proofs and possible question types when compared to a more set theoretic approach for topology. However topology provides a more general context than metric spaces to discuss properties such as compactness and connectedness. Therefore I have decide to include metric spaces into a set of notes for point set topology. However be aware that metric spaces require a more analytical approach.

One should also be clear on what properties are unique to metric spaces. This is often reflected in the proofs. If the techniques of the proofs make use of sets rather than closeness of points for instance, then it may be a more topological property. However Hausdorff and metric spaces are also closely related which we will see below.

## 4.1 The Metric Topology

## **Definition 4.1.1: Metric Topology**

Let (X,d) be a metric space. The metric topology on X is the topology on X generated by

$$\mathcal{B}_d = \{ B(x_0, r) \mid x_0 \in X, r \in \mathbb{R}^+ \}$$

This means that every metric space is in fact a topological space with topology given by the metric topology. In fact, we can say more about the metric topology.

## **Proposition 4.1.2**

Let (X, d) be a metric space. Then  $(X, \mathcal{B}_d)$  is Hausdorff.

Readers should stop and start to think about the notions we previously defined on topological spaces such as open sets and interiors, and think about what they mean in this more specific context.

The following notion is unique to metric spaces which is helpful in characterizing compactness in metric spaces.

#### **Definition 4.1.3: Bounded Set**

Let U be a subset of a metric spaces (X,d). We say that U is bounded if there exists  $a \in X$  and r > 0 such that

$$U \subseteq B_r(a)$$

## 4.2 Continuity in Metric Spaces

#### **Proposition 4.2.1**

Let  $f: X \to Y$  be a function between metric spaces. The following are equivalent.

- f is continuous at  $p \in X$
- For every sequence  $x_n$  such that  $x_n \to p$ , we have  $f(x_n) \to f(p)$
- For every  $\epsilon > 0$ , there exists  $\delta > 0$  such that

$$x \in B_{\delta}(p) \implies f(x) \in B_{\epsilon}(f(p))$$

Or equivalently,  $f(B_{\delta}(p)) \subset B_{\epsilon}(f(p))$ .

## **Definition 4.2.2: Lipschitz Continuity**

A function  $f: X \to Y$  is Lipschitz continuous if there exists  $C \ge 0$  such that

$$d_Y(f(x), f(y)) \le Cd_X(x, y)$$

for all  $x, y \in X$ . In this case, C is the Lipschitz constant.

#### Lemma 4.2.3

Lipschitz continuity implies continuity.

*Proof.* Set  $\delta = \frac{\epsilon}{C}$  in the  $\epsilon - \delta$  definition of continuity.

## 4.3 Equivalent Metrics

We now investigate when will different metrics induce the same topology. The answer is reasonably straight forward considering we have the notion of homeomorphism at play.

#### **Definition 4.3.1: Topologically Equivalent Metrics**

Let X be a set. Let  $d_1$  and  $d_2$  be metrics on X. We say that  $d_1$  and  $d_2$  are topologically equivalent if the metric topology the metric topologies coincide:

$$\mathcal{B}_{d_1} = \mathcal{B}_{d_2}$$

### **Proposition 4.3.2**

Let X be a set. Let  $d_1$  and  $d_2$  be metrics on X. Then the following are equivalent.

- $d_1$  and  $d_2$  are topologically equivalent.
- For any metric space  $(Y, d_Y)$ , a function  $g: X \to Y$  is continuous from  $(X, d_1)$  to  $(Y, d_Y)$  if and only if g is continuous from  $(X, d_2)$  to  $(X, d_1)$
- For any metric sapce  $(Y, d_Y)$ , a function  $f: Y \to X$  is continuous from  $(Y, d_Y)$  to  $(X, d_1)$  if and only if f is continuous from  $(Y, d_Y)$  to  $(X, d_2)$

#### Definition 4.3.3: Lipschitz Equivalent Metrics

Two metrics  $d_1, d_2$  on X are said to be Lipschitz equivalent if there exists  $0 < c_1 \le c_2 < \infty$  such that

$$c_1 d_1(x, y) \le d_2(x, y) \le c_2 d_1(x, y)$$

for all  $x, y \in X$ .

#### Lemma 4.3.4

Let X be a set. Let  $d_1$  and  $d_2$  be metrics on X. If  $d_1$  and  $d_2$  are Lipshcitz equivalent then  $d_1$  and  $d_2$  are topologically equivalent.

#### 4.4 Induced Metric from Norms

## **Proposition 4.4.1**

Let  $(V, \|\cdot\|)$  be a normed vector space over  $\mathbb{R}$ . Then the function  $d: V \times V \to \mathbb{R}$  defined by

$$d(x,y) = ||x - y||$$

defines a metric on V.

*Proof.* Can easily be seen that setting  $d(x,y) = \|x-y\|$  allows the norm to become a metric.

# **Definition 4.4.2: Equivalent Norms**

Two norms  $\|\cdot\|_1$  and  $\|\cdot\|_2$  for a vector space V over a field  $F = \mathbb{R}$  or  $\mathbb{C}$  are said to be equivalent if there exists  $c_1, c_2 \in F$  such that for every  $x \in V$ ,

$$c_1 ||x||_1 \le ||x||_2 \le c_2 ||x||_1$$

#### **Proposition 4.4.3**

The equivalence on norms is an equivalent relation.

## **Proposition 4.4.4**

Let V be a vector space over  $\mathbb{R}$ . Let  $\|\cdot\|_1$  and  $\|\cdot\|_2$  be two norms on V. Let  $d_1$  and  $d_2$  be the induced metrics of the two norms respectively. Then  $\|\cdot\|_1$  and  $\|\cdot\|_2$  are equivalent norms if and only if  $d_1$  and  $d_2$  are topologically equivalent metrics.

*Proof.* Suppose that  $\|\cdot\|_1$  and  $\|\cdot\|_2$  are equivalent. Then define their corresponding metrics by  $d_1(x,y) = \|x-y\|_1$  and  $d_2(x,y) = \|x-y\|_2$  for x,y in a normed vector space X. We show that the open sets coincide.

Suppose that  $U\subseteq (X,d_1)$  is open. Then for every  $x\in U$ , there exists r>0 such that  $B_r(x)\subset U$ . From the equivalent norms, we have that there exists c such that  $\|x-y\|_2\leq c\|x-y\|_1$  and thus

$$\left\{ x \in X \middle| \|x - y\|_2 < \frac{r}{c} \right\} \subseteq \left\{ x \in X \middle| \|x - y\|_1 < r \right\}$$

Thus  $B_{\frac{r}{c}}(x)$  in the  $d_2$  metric is a subset of  $B_r(x)$  in the  $d_1$  metric. This means that we have constructed an open ball in  $(X,d_2)$  so that it is contained in U. Thus U is also open in  $(X,d_2)$ .

Mirror this to show that the open sets of  $(X, d_2)$  must also be open sets of  $(X, d_1)$  using the fact that there exists c such that  $||x - y||_1 \le c||x - y||_2$  and we are done.

## 4.5 Metrizability

A common question to ask is that whether every topology can be generated from a metric.

## **Definition 4.5.1: Metrizable Space**

A topological space  $(X, \mathcal{T})$  is said to be metrizable if there is a metric d on X that generates  $\mathcal{T}$ .

#### **Proposition 4.5.2**

The following are true about metrizability.

- Metrizability is a topological invariant.
- Metrizability is finitely productive.
- Metrizability is hereditary.

#### **Proposition 4.5.3**

The following are true about metric spaces.

• Every metric space is  $T_2, T_3$  and  $T_4$ .

• Every metric space is first countable.

#### Theorem 4.5.4: Urysohn's Lemma

A topological space  $(X,\mathcal{T})$  is normal if and only if for every pair of disjoint non-empty closed subsets  $C,D\subseteq X$  there exists a continuous function  $f:X\to [0,1]$  such that f(x)=0 for all  $x\in C$  and f(x)=1 for all  $x\in D$ .

#### Theorem 4.5.5: Urysohn Metrization Theorem

Every second countable  $T_3$  topological space is metrizable.

# 5 Compactness

## 5.1 Basic Definitions

Compactness is an important topological property that allows us to build contructive proofs. This stems from the fact that any size of open covers can be reduced to a finite number given the space is compact. One can think of compact spaces as being some sort of finite space, but instead of having a finite number of elements, it has a finite number open sets that contain the entirety of the space. With finiteness in play we can do a lot more things such as extremums.

## **Definition 5.1.1: Open Covers**

Let  $(X, \mathcal{T})$  be a topological space, and let  $\mathcal{U} \subseteq \mathcal{T}$  be a collection of open subsets of X. We say that  $\mathcal{U}$  is an open cover of X if

$$X = \bigcup_{U \in \mathcal{U}} U$$

If  $\mathcal{V}$  is also an open cover of  $(X, \mathcal{T})$ , we say that  $\mathcal{V}$  is a subcover of  $\mathcal{U}$  if  $\mathcal{V} \subseteq \mathcal{U}$ .

#### **Definition 5.1.2: Compact Space**

A topological space  $(X, \mathcal{T})$  is said to be compact if every open cover of X has a finite subcover.

Below is a closed set characterization of compactness.

#### Theorem 5.1.3: Finite Intersection Property

Let  $\mathcal{F}$  be a collection of non-empty closed subsets of a space X such that every finite subcollection of  $\mathcal{F}$  has a non-empty intersection (finite intersection property). Then X is compact if and only if the intersection of all sets from  $\mathcal{F}$  is non-empty.

*Proof.* Suppose that X is compact. Suppose for a contradiction that  $\bigcap_{F \in \mathcal{F}} F = \emptyset$ . Then

$$X \setminus \bigcap_{F \in \mathcal{F}} = \bigcup_{F \in \mathcal{F}} X \setminus F = X$$

which means that  $\mathcal{F}$  is an open cover of X. By compactness, there exists a finite subcover of  $\mathcal{F}$ , namely  $F_1, \ldots, F_n$  such that  $\bigcup_{k=1}^n F_k = X$ . But then

$$\bigcup_{k=1}^{n} F_k = X$$

$$X \setminus \bigcup_{k=1}^{n} F_k = \emptyset$$

$$\bigcap_{k=1}^{n} X \setminus F_k = \emptyset$$

which is a contradiction of the finite intersection property.

Now suppose that every finite subcollection of  $\mathcal F$  has a non empty intersection and that  $\bigcap_{F\in\mathcal F} F\neq\emptyset$ . Suppose for a contradiction that X is not compact. Let  $\{U_\alpha|\alpha\in I\}$  be an open cover of X. Since X is not compact, every finite union of the form  $\bigcup_{k=1}^n U_{\alpha_k}\neq X$  for  $\alpha_1,\ldots,\alpha_n\in I$  which implies that

$$\bigcap_{k=1}^{n} U_{\alpha_k} \neq \emptyset$$

This means that  $\{X \setminus U_{\alpha} | \alpha \in I\}$  has the finite intersection property and thus

$$\bigcap_{\alpha \in I} X \setminus U_{\alpha} \neq \emptyset$$

But then taking complements of X means that  $\bigcup_{\alpha \in I} U_{\alpha}$  does not cover X which contradicts our assumption.

## **Proposition 5.1.4**

Compactness is finitely productive.

*Proof.* We show that  $(X, \mathcal{T})$  and  $(Y, \mathcal{S})$  are compact then  $X \times Y$  is compact. Consider the product topology on  $X \times Y$  given by

$$\mathcal{B} = \{U \times V | U \in \mathcal{T}, V \in \mathcal{S}\}$$

Let  $(x,y) \in W \subseteq T \times S$  be open, then by definition of a basis there exists  $U \times V \in \mathcal{B}$  such that

$$(x,y) \in U \times V \subset W$$

Let  $\mathcal{U}$  be an open cover of  $X \times Y$ .

Let  $x \in X$ . Then we can find  $W_x \in \mathcal{U}$  such that  $(x,y) \in W_x$ . By the above, there exists  $U_x \times V_x \in \mathcal{B}$  such that  $(x,y) \in U_x \times V_x \subset W_x$ . The sets  $U_x$  form an open cover of X, thus contains a finite subcover  $U_{x_1}, \ldots, U_{x_n}$ . Let

$$N(y) = \bigcap_{k=1}^{n} V_{x_k}$$

This definition makes sense since  $y \in N(y)$  is a neighbourhood of y that is open. We also have

$$X \times N(y) \subset \bigcup_{k=1}^{n} (U_{x_k} \times V_{x_k}) \subset \bigcup_{k=1}^{n} W_{x_k}$$

And thus  $X \times N(y)$  has a finite subcover.

Since  $\{N(y)|y\in Y\}$  forms an open cover, it has a finite subcover  $N(y_1),\ldots,N(y_m)$  that covers Y. Thus

$$X \times Y = \bigcup_{k=1}^{m} X \times N(y_k) \subset \bigcup_{k=1}^{m} \bigcup_{j=1}^{n} (U_{x_j} \times V_{x_k}) \subset \bigcup_{k=1}^{m} \bigcup_{j=1}^{n} W_{x_j k}$$

and  $X \times Y$  has a finite subcover.

Repeated application of the proof proves that compactness is finitely productive.

#### Theorem 5.1.5: Tychonov's Theorem

The product of any collection of compact spaces is compact.

## 5.2 Compactness, Closed Sets and Continuity

#### **Proposition 5.2.1**

Let  $f: X \to Y$  be continuous. If  $K \subset X$  is compact, then f(K) is compact.

*Proof.* Let K be compact. Let  $\mathcal{U}$  be an open cover of f(K). Since f is continuous, for all  $U \in \mathcal{U}$ ,  $f^{-1}(U)$  are open and forms a cover of K. Since K is compact, there exists a finite subcover of K, namely  $f^{-1}(U_1), \ldots, f^{-1}(U_n)$ .

Let  $y \in f(K)$ . Then y = f(x) for some  $x \in K$ . But  $x \in f^{-1}(U_k)$  for some k. Thus  $y \in U_k$ . Thus  $U_1, \ldots, U_n$  are a finite subcover of f(K).

#### Lemma 5.2.2

Compactness is a topological invariant but is not hereditary.

*Proof.* From the above, if X, Y is homeomorphic then Y = f(X) and we are done.

Considering  $(0,1) \in \mathbb{R}$  as a metric subspace of  $[0,1] \in \mathbb{R}$ .

### **Proposition 5.2.3**

Let  $(X, \mathcal{T})$  be a compact topological space. Let  $C \subseteq X$  be a closed subset. Then C is compact.

*Proof.* Let U be a cover of C by open subsets of X. Then  $U \cup X \setminus C$  is an open cover of X, thus has a finite subcover. This provides an open subcover of C since  $X \setminus C$  is open and you can remove this element from the subcover.

## **Proposition 5.2.4**

Let  $(X, \mathcal{T})$  be a compact Hausdorff space. Then  $(X, \mathcal{T})$  is regular and normal.

## **Proposition 5.2.5**

Let  $(X, \mathcal{T})$  be a Hausdorff topological space. Let  $K \subseteq X$  be compact. Then K is closed.

*Proof.* Let  $a \in X \setminus K$ . For each  $x \in K$ , there exists disjoint open sets  $U_x$  containing x and  $V_x$  containing a due to Hausdorff. Then  $\{U_x | x \in K\}$  form an open cover of K, and thus has a finite subcover  $U_{x_1}, \ldots, U_{x_n}$  of K. Then

$$V = \bigcap_{k=1}^{n} V_{x_k}$$

is open and contains a and is disjoint from K. This means that  $X \setminus K$  is open and thus K is closed.

The point of the finite subcover in the above proof is to make sure that since the  $U_k$  are covering K,  $V_k$  being disjoint from  $U_k$  means that  $V_k$  will not overlap with K.

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## 5.3 Compactness and the Hausdorff Property

#### **Proposition 5.3.1**

Let  $(X, \mathcal{T})$  be a compact topological space. Let  $(Y, \mathcal{U})$  be a Hausdorff topological space. Then any continuous bijection  $f: X \to Y$  is a homeomorphism.

*Proof.* We want to show that  $f^{-1}$  is continuous. Let  $U \subset X$  be closed. Then U is compact by proposition 5.2.3. By 5.2.1, f(U) is compact. Since Y is Hausdorff, f(U) is closed by the above proposition. By the closed set characterization of continuous functions,  $f^{-1}$  is continuous.

#### **Proposition 5.3.2**

Let X,Y be spaces. Let  $f:X\to Y$  be continuous. If  $K\subseteq X$  is compact and Y is Hausdorff, then f(K) is closed.

*Proof.* Let  $x \in X \setminus K$ . For any  $y \in K$ , there exists open neighbourhoods  $x \in U_y$  and  $y \in V_y$  such that  $U_y \cap V_y = \emptyset$  by the Hausdorff property. The collection  $\{V_y \mid y \in Y\}$  forms an open cover of K. Since K is compact, K has a finite subcover  $\{V_{y_1}, \ldots, V_{y_n}\}$ . Let

$$U = \bigcap_{i=1}^{n} U_{y_i}$$

Then U is an open neighbourhood of x and is disjoint from K. Since this is true for all  $x \in X \setminus K$ , we have that  $X \setminus K$  is open. Hence K is closed.

## Proposition 5.3.3

Let X be a compact space. Let Y be a Hausdorff space. Let  $f: X \to Y$  be a continuous and surjective map. Let  $Z = \{f^{-1}(y) \subseteq X \mid y \in Y\}$  and equipped it with the quotient topology of X. Then the induced map

$$\overline{f} \cdot Z \to Y$$

defined by  $\overline{f}(f^{-1}(y)) = y$  is a homeomorphism.

*Proof.* Let  $C \subseteq X$  be closed. By 5.2.3 we conclude that C is compact in X. By 5.2.1 we have that f(C) is compact in Y. By the above we conclude that f(C) is closed.

Let  $U = \{f^{-1}(y) \mid y \in T\} \subseteq Z$  be open for some subset  $T \subseteq Y$ . By definition of the quotient topology, the set

$$V = \{ x \in X \mid f(x) \in T \}$$

is open in X. Then  $X \setminus V$  is closed in X. By the above digression, we conclude that

$$f(X \setminus V) = Y \setminus T$$

is closed. Hence  $T = \overline{f}(U)$  is open in Y.

Now let  $U \subseteq Y$  be an open set. Then

$$\overline{f}^{-1}(U) = \{ z \in Z \mid \overline{f}(z) \in U \} = \{ f^{-1}(y) \subseteq X \mid y \in U \} = f^{-1}(U)$$

is open in X. We conclude that  $\overline{f}$  is a homeomorphism because it is also clear that  $\overline{f}$  is a bijection.

## 5.4 Compactness in Metric Spaces

This section is dedicated to theorems related to compactness that is unique only to metric spaces. (Notice that metric spaces are Hausdorff thus some of the theorems above are already applicable to metric spaces)

#### **Definition 5.4.1: Lebesgue Number**

Let  $\mathcal{U}$  be an open cover of a metric space X. A number  $\delta > 0$  is called a Lebesgue number for  $\mathcal{U}$  if for any  $x \in X$  there exists  $U \in \mathcal{U}$  such that  $B_{\delta}(x) \subset U$ .

#### Lemma 5.4.2

Every open cover  $\mathcal{U}$  of a compact metric space X has a Lebesgue number.

## **Definition 5.4.3: Sequential Compactness**

Let X be a metric space. Then X is said to be sequentially compact if any sequence of elements in X has a convergent subsequence.

#### Lemma 5.4.4

If *X* is sequentially compact that any open cover of *X* has a Lebesgue number.

#### **Proposition 5.4.5**

Let (X, d) be a metric space. Then the following are equivalent.

- *X* is compact
- *X* is sequentially compact
- *X* is closed and totally bounded

Since there is no notion of boundedness in a general topological space, we have the following theorem special to metric spaces.

### **Proposition 5.4.6**

A compact subset of a metric space is bounded.

*Proof.* Let  $a \in X$ . Let  $x \in K$ . Then  $x \in B_r(a)$  for all r > d(a, x). Thus K is covered by the collection of open balls  $B_r(a)$ . Thus it has a finite subcover  $B_{r_1}(a), \ldots, B_{r_n}(a)$ . Thus

$$K \subset \bigcup_{k=1}^{n} B_{r_k}(a) = B_{\max\{r_1, \dots, r_n\}}(a)$$

and we are done.

## **Definition 5.4.7: Uniformly Continuous**

A map  $f:X\to Y$  between metric spaces is uniformaly continuous if for every  $\epsilon>0$ , there exists  $\delta>0$  such that

$$d_X(x,y) < \delta \implies d_Y(f(x),f(y)) < \epsilon$$

for any  $x, y \in X$ .

#### Theorem 5.4.8

A continuous map from a compact metric into a metric space is uniformly continuous.

#### 5.5 Compactness in $\mathbb{R}^n$

We arrive at an important characterization of compact sets in  $\mathbb{R}^n$ .

#### Theorem 5.5.1: Heine-Borel Theorem

A subset of  $\mathbb{R}^n$  is compact if and only if it is closed and bounded.

*Proof.* Let K be a compact subset of  $\mathbb{R}^n$ . K is closed by proposition 5.2.5 and K is bounded by proposition 5.4.6.

Let K be a closed and bounded subset of  $\mathbb{R}^n$ . If K is bounded then  $K \subset [-r,r]^n$  for some r > 0. I claim that  $[-r,r]^n$  is compact. Once it is compact, applying 5.2.3 to the closed subset K of  $[-r,r]^n$ , we have that K is compact.

Let  $(x_n)_{n\in\mathbb{N}}$  be a sequence in [-r,r] by bolzano weierstrass it has a convergent subsequence. Thus [-r,r] is sequentially compact and thus compact. Using the productivity of compact metric spaces, we have that  $[-r,r]^n$  is compact thus we are done.

This concludes the Bolzano weierstrass theorem for  $\mathbb{R}^n$  by considering seuqential compactness. We also have another important theorem upcoming but we need another theorem prior to it.

#### Theorem 5.5.2

Let  $f: X \to \mathbb{R}$  be a continuous function from a non empty compact space X to  $\mathbb{R}$ . Then f is bounded and attains its bounds.

*Proof.* Since X is compact and f is continuous,  $f(X) \subset \mathbb{R}$  is compact. By the Heine-Borel theorem, f(X) is closed and bounded. But every closed and bounded subset of  $\mathbb{R}$  contains its supremum and infinum. Thus f is bounded and attains its bounds.

#### Theorem 5.5.3

All norms on  $\mathbb{R}^n$  are equivalent. This measn that norms on  $\mathbb{R}^n$  induce the same topology, the standard topology.

*Proof.* Let  $\|\cdot\|$  be an arbitrary norm on  $\mathbb{R}^n$ . We show that it is equivalent to  $\|\cdot\|_2$ . Let  $\{e_1,\ldots,e_n\}$  be an orthonormal basis of  $\mathbb{R}^n$ . Then for any  $x\in\mathbb{R}^n$ , we have  $x=\sum_{k=1}^n x_k e_k$ . We have that

$$||x|| = \left\| \sum_{k=1}^{n} x_k e_k \right\|$$

$$\leq \sum_{k=1}^{n} |x_k| ||e_k||$$

$$\leq \left( \sum_{k=1}^{n} |x_k|^2 \right)^{\frac{1}{2}} \left( \sum_{k=1}^{n} ||e_k||^2 \right)^{\frac{1}{2}}$$

$$= \left( \sum_{k=1}^{n} ||e_k||^2 \right)^{\frac{1}{2}} ||x||_2$$

Thus we have that  $||x|| \le c_2 ||x||_2$ .

Now we have that  $\|x-y\| \le c_2\|x-y\|_2$  for  $x,y \in \mathbb{R}^n$ . Define a map  $f:(\mathbb{R}^n,\|\cdot\|_2) \to \mathbb{R})$  by  $f(x) = \|x\|$ . The above criteria means that f is continuous by choosing  $\delta < \epsilon$ . Since  $\partial B_1(0)$  with the standard norm is a closed and bounded subset of  $\mathbb{R}^n$ , it is compact by the Heine-Borel theorem. From the above theorem,  $f(\partial B_1(0))$  is bounded and attains its bounds. This means that  $0 \le c_1 < f(\partial B_1(0))$  for some  $c_1$ . But  $c_1 \ne 0$  since if it is 0, then there exists  $x \in \partial B_1(0)$  such that  $\|x\|_2 = 0$ .

This means that  $||x||_2 = 1$  implies  $||x|| \ge c_1$ . Let  $y \in \mathbb{R}^n$  be arbitrary. Then since  $\left\| \frac{y}{||y||_2} \right\| = 1$ , we have that

 $\left\| \frac{y}{\|y\|_2} \right\| \ge c_1$ 

and  $||y|| \ge c_1 ||y||_2$ . Since this y is arbitrary, we are done.

## 6 Connectedness

#### 6.1 Basic Definitions

#### **Definition 6.1.1: Connected Space**

A topological space  $(X, \mathcal{T})$  is said to be disconnected if there exists disjoint non-empty open subsets  $A, B \subseteq X$  such that  $X = A \cup B$ , and  $A \cap B = \emptyset$ . If  $(X, \mathcal{T})$  is not disconnected, then it is said to be connected.

## **Proposition 6.1.2**

Let  $(X, \mathcal{T})$  be a topological space. The following are equivalent.

- $(X, \mathcal{T})$  is disconnected
- There exists non-empty disjoint closed sets  $A, B \subseteq X$  such that  $X = A \cup B$
- There exists a non-trivial clopen subset of *X*.

Proof.

- (1)  $\Longrightarrow$  (2): Suppose that A, B are the sets satisfying the definition of a disconnceted space. Then since A is open,  $B = X \setminus A$  is closed thus vice versa A is also closed.
- $(2) \implies (3)$ : Again, since  $B = X \setminus A$  is open, B is both open and closed.
- (3)  $\Longrightarrow$  (1): Let  $A \subset X$  be both open and closed. Then  $X \setminus A$  is also open and disjoint to A. Thus X is disconnected by A and  $X \setminus A$ .

**Proposition 6.1.3** 

A topological space  $(X, \mathcal{T})$  is disconnected if and only if there exists a continuous function  $f: X \to \{0,1\}$  that is surjective.

*Proof.* Suppose that X is disconnected by A and B. Then define

$$f(x) = \begin{cases} 0 & \text{if } x \in A \\ 1 & \text{if } x \in B \end{cases}$$

Then f is continuous since every open set in  $\{0,1\}$  maps to an open set in X. Clearly it is also surjective.

Now suppose that  $f: X \to \{0,1\}$  is a surjective continuous function. Then define  $A = f^{-1}(0)$  and  $B = f^{-1}(1)$ . A, B are open by continuity. Since f is surjective, we have  $A \cup B = X$ . Clearly they are disjoint else their common element will be mapped to both 0 and 1. Thus we are done.

An equivalent formulation of the above proposition is that X is connected if and only if every continuous function from X to  $\{0,1\}$  is constant.

## 6.2 Properties of Connectedness

## **Proposition 6.2.1**

Let  $(X, \mathcal{T})$  be connected. Let  $(Y, \mathcal{U})$  be a topological space. Let  $f: X \to Y$  be continuous. Then f(X) is connected.

*Proof.* Suppose for a contradiction that f(X) is disconnected. Suppose that it is disconnected

by A,B. Then  $f^{-1}(A)$  and  $f^{-1}(B)$  is clearly disjoint since A and B are disjoint. Since  $A \cup B = f(X)$ , we must also have  $f^{-1}(A) \cup f^{-1}(B) = X$ . By continuity,  $f^{-1}(A)$  and  $f^{-1}(B)$  are open. Thus f(X) is disconnected, a contradiction.

## **Proposition 6.2.2**

Let  $(X, \mathcal{T})$  be a topological space. Let  $\{C_i | i \in I\}$  is a non-empty connected subsets of X with the property that  $\bigcap_{i \in I} C_i \neq \emptyset$ . Then  $\bigcup_{i \in I} C_i$  is connected.

*Proof.* Suppose that  $x \in \bigcap_{i \in I} C_i$ . Then  $x \in C_i$  for all i. Let f be a continuous function from X to  $\{0,1\}$ . WLOG take f(x)=0. Then since each  $C_i$  is connected, every  $y \in C_i$  maps to 0. Then every  $y \in \bigcup_{i \in I} C_i$  maps to 0 and thus f is constant and we are done.

The following lemma is slightly different from the one above since we simply require the closure to have nonempty intersection. The two sets can be touching each other instead of intersecting each other to be connected.

#### Lemma 6.2.3

Suppose that  $C_1, C_2$  are connected subsets of a topological space X and  $\overline{C_1} \cap C_2 \neq \emptyset$ . Then  $C_1 \cup C_2$  is connected.

*Proof.* Suppose that  $f: C_1 \cup C_2 \to \{0,1\}$  is continuous. WLOG take  $f(C_1) = \{0\}$ . Suppose for a contradiction that  $f(C_2) = \{1\}$ . Then  $f^{-1}(1)$  is open thus there exists some  $U \in \mathcal{T}$  such that  $f^{-1}(1) = U \cap (C_1 \cup C_2)$ . Now let  $x \in \overline{C}_1 \cap C_2$ . But  $x \in C_2$  means that f(x) = 1 thus  $x \in U \cap (C_1 \cup C_2)$  and  $x \in U$ . Then clearly

$$U \cap C_1 \neq \emptyset$$

since  $x \in \overline{C}_1 \cup C_2$ .

But  $C_1 \subseteq C_1 \cup C_2$  thus we have

$$U \cap (C_1 \cup C_2) \cap C_1 \neq \emptyset$$
$$f^{-1}(1) \cap C_1 \neq \emptyset$$

This is a contradiction since  $f(C_1) = \{0\}$  thus we are done.

#### Theorem 6.2.4

Let C and  $\{C_i|i\in I\}$  be connected subsets of a topological space X and  $C_i\cap \overline{C}\neq\emptyset$  for each i. Then

$$C \cup \bigcup_{i \in I} C_i$$

is connected.

*Proof.* Apply the above lemma to  $C \cup C_i$ . This is the possible since  $C_i \cap \overline{C} \neq \emptyset$ . Thus each  $C \cup C_i$  is connected. Trivially each pair  $(C \cup C_i) \cap (C \cup C_j)$  is nonempty since it contains C. Thus we can apply the proposition above the lemma and we are done.

This theorem is slightly different then the one above since there is a central connected subset linking every other connected subset while in the first one, we simply require pairwise connectedness.

## Corollary 6.2.5

If  $C \subset X$  is a connected subset of a topological space X then so is any set K where  $C \subseteq K \subseteq \overline{C}$ .

*Proof.* Any K between C and  $\overline{C}$  must contain some but not all of the boundary of C. Then we can apply the above theorem and we are done.

## 6.3 Transferal of Connectedness

#### Proposition 6.3.1

Connectedness is not Hereditary.

## **Proposition 6.3.2**

Connectedness is finitely productive.

*Proof.* Let X,Y be connected spaces. Let  $y \in Y$ . Define  $C = X \times \{y\}$  and  $C_t = \{t\} \times Y$ . Then C is homeomorphic to T and  $C_t$  is homeomorphic to S. Thus they both are connected. Clearly we also have that  $C_t \cap C \neq \emptyset$  since they both contain (x,y) and

$$X \times Y = C \cup \bigcup_{t \in X} C_t$$

thus  $X \times Y$  is connected.

## **Proposition 6.3.3**

Connectedness is productive.

#### 6.4 Path Connectedness

#### **Definition 6.4.1: Paths**

Let  $(X, \mathcal{T})$  be a topological space. A path in X is a continuous function  $p : [0,1] \to X$ . More specifically, given two points  $a, b \in X$ , a path p in X such that p(0) = a and p(1) = b is called a path from a to b.

#### **Definition 6.4.2: Path Connectedness**

A topological space  $(X, \mathcal{T})$  is called path connected if for any distinct  $a, b \in X$ , there is a path from a to b.

#### **Proposition 6.4.3**

Every path connected space is connected.

*Proof.* Let  $u \in X$ . Consider any  $v \in X$ . Then there exists a path from u to v. Thus the image of the path is connected since it is the continuous image of [0,1]. Then  $X = \{u\} \cup \bigcup_{v \in X} C_v$  and each  $C_v$  contains u thus X is connected.

# **6.5** Connectedness on $\mathbb{R}^n$

#### Theorem 6.5.1

A subset of  $\ensuremath{\mathbb{R}}$  is connected if and only if it is an interval.

Below is a partial converse of path connectedness implying connectedness over  $\mathbb{R}^n$ .

#### Theorem 6.5.2

Connected open subsets of  $\mathbb{R}^n$  are path connected.

#### Theorem 6.5.3

Open subsets of  $\mathbb{R}^n$  have open connected components.

#### Theorem 6.5.4

A subset U of  $\mathbb R$  is open if and only if it is the disjoint union of countably many open intervals

# 7 Completeness

#### 7.1 Motivation and Definitions

Completeness is something that only appears in metric spaces.

#### **Definition 7.1.1: Cauchy Sequence**

We say that  $\{x_n\} \subset (X,d)$  is a Cauchy sequence if for every  $\epsilon > 0$ , there exists some N such that  $d(x_n, x_m) < \epsilon$  for all  $n, m > \epsilon$ .

## **Proposition 7.1.2**

Every convergent sequence is Cauchy.

*Proof.* Let  $(x_n)_{n\in\mathbb{N}}$  be a convergent sequence in a metric space X. Let  $\epsilon>0$ , then from convergence we have that for  $d(x_n,x)<\frac{\epsilon}{2}$  for all n>N for some  $N\in\mathbb{N}$ . Then choosing the same N, we have that

$$d(x_n, x_m) \le d(x_n, x) + d(x, x_m) < \frac{\epsilon}{2} + \frac{\epsilon}{2} = \epsilon$$

thus we are done.

We now give the definition of a complete space in terms of Cauchy sequences.

#### **Definition 7.1.3: Complete Spaces**

A metric space (X,d) is complete if any Cauchy sequence in X converges.

## **Proposition 7.1.4**

Every compact metric space is complete.

*Proof.* Suppose that  $(x_n)_{n\in\mathbb{N}}$  is a Cauchy sequence in a compact metric space X. Then X being sequentially compact means that there exists a subsequence of  $(x_n)_{n\in\mathbb{N}}$  such that it converges in X. But then clearly

$$d(x_n, x) \le d(x_n, x_{n_k}) + d(x_{n_i}, x)$$

implies that  $x_n \to x$  since in the inequality, the first part of the sum corresponds to the sequence being Cauchy and thus tends to 0, while the latter part correponds to the subsequence being convergent and thus tends to 0.

## 7.2 Properties of Complete Spaces

## **Proposition 7.2.1**

A subspace of a metric space is complete if and only if it is closed under a complete metric space.

*Proof.* Suppose that X is a metric space and  $U \subset X$  is a complete metric space. Let  $(x_n)_{n \in \mathbb{N}} \subset U$  and that  $x_n \to x \in X$ . Then  $(x_n)_{n \in \mathbb{N}}$  is Cauchy thus it convergence to some  $y \in U$ . We will show that in fact x = y. This is true from the fact that

$$d|_{U}(x_{n}, y) = d(x_{n}, y)$$

Thus  $(x_n)_{n\in\mathbb{N}}$  is in fact a sequence that converges in U. This shows that U is closed.

Now suppose that U is closed under a complete metric space X. Let  $(x_n)_{n\in\mathbb{N}}$  be a Cauchy sequence in U. Then trivially it is also a Cauchy sequence in X and thus is convergent. Since U is closed, the limit is necessarily in U and thus U is complete.

#### Theorem 7.2.2: Cantor's Intersection Theorem

Let X be a complete metric space. Let  $S_1 \supseteq S_2 \supseteq \ldots$  form a nested sequence of non-empty closed sets in X with the property that  $\operatorname{diam}(S_n) \to 0$  as  $n \to \infty$ . Then

$$\bigcap_{n=1}^{\infty} S_n \neq \emptyset$$

*Proof.* For each  $N \in \mathbb{N}$ , choose  $x_N \in S_N$ . Then for all n > N,  $x_n \in S_N$ . Thus for n, m > N, we have that  $d(x_n, x_m) \le \operatorname{diam}(S_n)$ . It follows that  $(x_n)_{n \in \mathbb{N}}$  is Cauchy. Thus  $x_n \to x$  for some  $x \in X$ . Since each  $S_n$  is closed and  $x_n \in S_N$  for all n > N, we must have that  $x \in S_n$  for each n. Thus  $x \in \bigcap_{k=1}^{\infty} S_n$  is nonempty.

Below are a few examples of complete spaces.

#### **Proposition 7.2.3**

 $\mathbb{R}^n$  and  $\mathbb{C}$  are both complete.

*Proof.* Let  $(x_k)_{k\in\mathbb{N}}$  be a Cauchy sequence in  $\mathbb{R}^n$ . Denote the *i*th component of  $x_k$  by  $x_{k,i}$ . Then for every  $\epsilon > 0$ , there exists N such that

$$||x_k - x_m|| = \left(\sum_{i=1}^n |x_{k,i} - x_{m,i}|^2\right)^{\frac{1}{2}} < \epsilon$$

for k, m > N. In particular, we have that each individual

$$|x_{k,i} - x_{m,i}| < \epsilon$$

for m, n > N. Thus  $(x_{k,i})_{k \in \mathbb{N}}$  is a Cauchy sequence in  $\mathbb{R}$ . But we know that Cauchy sequences in  $\mathbb{R}$  converges, thus  $(x_{k,i})_{k \in \mathbb{N}}$  converges to  $x_i \in \mathbb{R}$ . Now define  $x = (x_1, \dots, x_n)$ , then

$$||x_k - x|| = (|x_{k,i} - x_i|^2)^{\frac{1}{2}} < n\epsilon$$

by convergence of each individual component. Thus  $(x_n)_{n\in\mathbb{N}}$  is a convergent sequence.

The proof for  $\mathbb{C}$  is the same in considering  $\mathbb{R}^2$ .

#### **Proposition 7.2.4**

Every normed vector space is complete.

#### 7.3 Completion

The goal of this section is to attempt to complete a metric space by adding in the missing limits of a metric space.

## Definition 7.3.1: Space of Bounded Real Functions

Denote B(X) the space of all bounded real valued functions on a metric (topological) space X. This means that

$$B(X) = \{ f : X \to \mathbb{R} | |f| \le M \text{ for some } M \in \mathbb{R} \}$$

## **Proposition 7.3.2**

The metric space with distance induced by the supremum norm

$$||f||_{\infty} = \sup_{x \in X} |f(X)|$$

for  $f \in B(X)$  is complete.

*Proof.* Let  $(f_n)_{n\in\mathbb{N}}$  be a Cauchy sequence in B(X). Then for every  $\epsilon>0$ , there exists N such that

$$||f_n - f_m||_{\infty} = \sup_{x \in X} |f_n(x) - f_m(x)| < \epsilon$$

for all n,m>N. In particular, for each  $x\in X$ , the property of supremum implies that  $|f_n(x)-f_m(x)|<\epsilon$  for n,m>N. Thus  $(f_n(x))_{n\in\mathbb{N}}\subset\mathbb{R}$  is Cauchy for each x. Since  $\mathbb{R}$  is complete,  $(f_n(x))_{n\in\mathbb{N}}$  converges for each  $x\in X$ .

Now define the function  $f: X \to \mathbb{R}$  by

$$f(x) = \lim_{n \to \infty} f_n(x)$$

Then fix  $\epsilon > 0$ , we have that

$$|f_n(x) - f(x)| < \epsilon$$

for all n > N by letting  $m \to \infty$  from the fact that  $|f_n(x) - f_m(x)| < \epsilon$ . This N does not depend on x. Fix  $\epsilon = 1$ , then there exists  $N_1 \in \mathbb{N}$  such that

$$|f(x) - f_n(x)| \le |f(x) - f_{N_1}(x)|$$
  
  $\le 1 + |f_{N_1}(x)|$ 

for all  $x \in X$  and  $n > N_1$  thus f is bounded. This means that  $f \in B(X)$  and that  $||f_n - f||_{\infty} < \epsilon$  for all n > N.

## **Proposition 7.3.3**

Any metric space X can be isometrically embedded into the complete metric space B(X).

## 7.4 Compactness, Completeness and Totally Bounded

#### **Definition 7.4.1: Totally Bounded**

A metric space X is totally bounded if for any  $\epsilon > 0$ , there exists  $B_{\epsilon}(p_k)$  for  $k \in \{1, \dots, n\}$  such that

$$X \subseteq \bigcup_{k=1}^{n} B_{\epsilon}(p_k)$$

#### Theorem 7.4.2

A subspace Y of a metric space X that is complete is compact if and only if it is closed and totally bounded.

#### Theorem 7.4.3

A subspace *Y* of a complete metric space is totally bounded if and only if its closure is compact.

## 7.5 Contraction Mapping and Completion

#### **Definition 7.5.1: Lipschitz Continuous**

Let  $(X, d_X)$  and  $(Y, d_Y)$  be metric spaces and suppose that  $f: X \to Y$ . We say that f is a Lipschitz map if there is a constant  $K \ge 0$  such that

$$d_Y(f(x), f(y)) \le Kd(x, y)$$

for all x, y in X.

If Y = X and  $K \in [0, 1)$  then f is a contraction mapping.

## Lemma 7.5.2

If  $f: X \to Y$  is Lipschitz continuous then it is continuous.

## Theorem 7.5.3: Contraction Mapping Theorem

Let X be a nonempty complete metric space and suppose that  $f: X \to X$  is a contraction. Then f has a unique fixed point, meaning there is a unique  $x \in X$  such that f(x) = x.

*Proof.* Let  $x_0 \in X$  and define a sequence by  $x_{n+1} = f(x_n)$  for  $n \in \mathbb{N}$ . Then we have that

$$d(x_{n+1}, x_n) \le Kd(x_n, x_{n-1}) \le \dots \le K^n d(x_1, x_0)$$

Then for any k > n, we have that

$$d(x_k, x_n) \le \sum_{i=n}^{k-1} d(x_{i+1}, x_i)$$

$$\le \sum_{i=n}^{k-1} K^i d(x_1, x_0)$$

$$\le \frac{K^i}{1 - K} d(x_1, x_0)$$

This is Cauchy since we can choose  $\epsilon>0$  such that  $\frac{K^i}{1-K}<\epsilon$ . Since X is complete, we have that  $x_n\to x$  for some  $x\in X$ . Since f is continuous we have that  $f(x_n)\to f(x)$ . Now taking limits on

$$x_{n+1} = f(x_n)$$

we have that x = f(x).

To prove uniqueness, note that if f(x) = x and f(y) = y, then

$$d(x,y) = d(f(x), f(y)) \le Kd(x,y)$$

which implies that (1 - K)d(x, y) = 0. Thus x = y.

Another name for this theorem would be Banach's Fixed Point Theorem.

#### Theorem 7.5.4: Picard-Lindelof Theorem

Let  $f: \mathbb{R}^n \to \mathbb{R}^n$  be Lipschitz continuous with

$$|f(x) - f(y)| \le L|x - y|$$

where  $x, y \in \mathbb{R}^n$ . Then for any  $x_0 \in \mathbb{R}^n$ , the differential equation

$$\frac{dx}{dt} = f(x)$$

with initial condition  $x(0) = x_0$  has a unique solution on [-t, t] for any Lt < 1.

#### 7.6 Cantor's Theorem

#### Theorem 7.6.1

If X is a complete metric space and  $\{F_n|n\in\mathbb{N}\}$  is a collection of open dense subsets of X, then

$$F = \bigcap_{k=1}^{\infty} F_n t$$

is dense in X. Equivalently, if  $\{G_n|n\in\mathbb{N}\}$  is a collection of nowhere dense subsets of a nonempty complete metric space X, then

$$\bigcup_{k=1}^{\infty} F_k \neq X$$

## Lemma 7.6.2

The Cantor set is uncountable.

# 8 Notable Topologies

## 8.1 $\mathbb{R}$ and $\mathbb{C}$ with the Standard Topology

 $\mathbb{R}$  and  $\mathbb{C}$  being metric spaces allows a natural topology to be induced from the metric.

#### Definition 8.1.1: Standard Topology of $\mathbb R$ and $\mathbb C$

The standard topology on  $\mathbb R$  induced by the Euclidean metric d(x,y) = |x-y| consists of  $B_r(a) = \{x \in \mathbb R | |x-a| < r\}$  for  $a \in \mathbb R$  and r > 0 being open sets aside from  $\emptyset$  and  $\mathbb R$ .

The standard topology on  $\mathbb C$  induced by the Euclidean metric d(x,y)=|x-y| consists of  $B_r(a)=\{z\in\mathbb C||z-a|< r\}$  for  $a\in\mathbb R$  and r>0 being open sets aside from  $\emptyset$  and  $\mathbb R$ .

## **Proposition 8.1.2**

The product topology of  $\mathbb{R}^n$  and  $\mathbb{C}^n$  is precisely  $B_r(a) = \{x \in \mathbb{R}^n | |x-a| < r\}$  and  $B_r(a) = \{z \in \mathbb{C} | |z-a| < r\}$ .

## **Proposition 8.1.3**

The subspace topology of  $\mathbb{Z}$  induced by  $\mathbb{R}$  is precisely  $\mathcal{T}_Z = \{\{x_1, \dots, x_n\} | x_k \in \mathbb{Z}, n \in \mathbb{N}\}.$ 

#### **Proposition 8.1.4**

All the above topological spaces are Hausdorff.

## 8.2 Discrete and Indiscrete Topology

#### **Definition 8.2.1: Discrete Topology**

Let X be a set. The discrete topology on X is the topology where every subset of X is part of the topology:

$$\mathcal{T}_X = \{U|U \subseteq X\}$$

## **Proposition 8.2.2**

Under the discrete topology, every set is both open and closed.

#### **Definition 8.2.3: Indiscrete Topology**

The indiscrete topology on a set X is the topology where the only open sets are  $\emptyset$  and X.

# 8.3 Cofinite Topology

## **Definition 8.3.1: Cofinite Topology**

The cofinite topology on a set X is a topology where every open set has its complement being finite:

$$\mathcal{T}_X = \{ U \subseteq X | X \setminus U \text{ is finite } \} \cup \{\emptyset\}$$

## Proposition 8.3.2

The cofinite topology on  $\mathbb{R}$  is not Hausdorff.

## 8.4 The Space of Bounded Functions

## **Definition 8.4.1: Space of Bounded Real Functions**

Denote B(X) the space of all bounded real valued functions on a topological space X.

## **Proposition 8.4.2**

The metric space with distance induced by the supremum norm

$$||f||_{\infty} = \sup_{x \in X} |f(X)|$$

for  $f \in B(X)$  is complete.

# Proposition 8.4.3

The space of all bounded continuous functions from a topological space T to  $\mathbb{R}$ ,  $C_B(T)$  is a closed subspace of B(T) and thus is complete.

## Corollary 8.4.4

Let  $(X,\mathcal{T})$  be a nonempty compact topological space, then C(T) is complete with maximum norm

$$||f||_{\infty} = \max_{x \in T} |f(x)|$$

# 9 Notable Metric Spaces

## 9.1 $\mathbb{R}^n$ on Different Metrics

#### Theorem 9.1.1

Let  $x = (x_1, \dots, x_n) \in \mathbb{R}^n$  and similarly for  $y \in \mathbb{R}^n$ . The following are all metrics of  $\mathbb{R}^n$ .

•  $l_p$  metric:

$$d_p(x,y) = \left(\sum_{k=1}^{n} (x_k - y_k)^p\right)^{1/p}$$

for  $1 \le p < \infty$ 

•  $l_{\infty}$  metric:

$$d_{\infty}(x,y) = \max_{k \in \{1,\dots,n\}} \{|x_k - y_k|\}$$

• Jungle river metric on  $\mathbb{R}^2$ :

$$d_{Jr}(x,y) = \begin{cases} |x_2 - y_2| & \text{if } x_1 = y_1\\ |y_2| + |x_2| + |x_1 - y_1| & \text{if } x_1 \neq y_1 \end{cases}$$

• French Railway Metric (Sunflower metric) on  $\mathbb{R}^2$ :

$$d_{\mathrm{Fr}}(x,y) = \begin{cases} |x-y| & \text{if there exists } \lambda \in \mathbb{R} \text{ such that } y = \lambda x \\ |x| + |y| & \text{otherwise} \end{cases}$$

• Discrete Metric:

$$d_{\text{Discrete}}(x,y) = \begin{cases} 0 & \text{if } x = y \\ 1 & \text{if } x \neq y \end{cases}$$

• British Railway Metric on  $\mathbb{R}^2$ :

$$d(x,y) = \begin{cases} 0 & \text{if } x = y\\ |x| + |y| & \text{if } x \neq y \end{cases}$$

Do try and draw at least the unit ball for each of these metrics and see what happens (at least for  $\mathbb{R}^2$ ).

## **Proposition 9.1.2**

All  $l_p$  metrics are topologically equivalent.

*Proof.* The metric are all induced by the  $l_p$  norms and we know that they are equivalent. Equivalent norms induce topologically equivalent metrics and we are done.

## **Proposition 9.1.3**

Let (X, d) be a metric space. Then the function

$$d_{\rm B}(x,y) = \min\{d(x,y), 1\}$$

for any  $x, y \in X$  is a metric on X.

## 9.2 The Space of Continuous Functions

## **Definition 9.2.1**

We denote C([a,b]) the space of real valued continuous functions whose domain is [a,b].

## **Proposition 9.2.2**

Let  $f \in C([a,b])$ . Define the supremum norm of f to be

$$||f||_{\infty} = \sup_{x \in [a,b]} ||f(x)||$$

Then the supremum norm is a norm on C([a, b]).

## **Proposition 9.2.3**

Let  $f \in C([a,b])$ . Define the  $L^p$  norm of f to be

$$||f||_{L^p} = \left(\int_a^b |f(x)|^p dx\right)^{\frac{1}{p}}$$

for  $p \in [1, \infty)$ . Then the supremum norm is a norm on C([a, b]).

## 9.3 Sequence Space

## **Definition 9.3.1: Sequence Space**

The sequence space  $l^p$  for  $1 \le p < \infty$  consists of all sequences  $\{x_n\}$  such that

$$\sum_{k=1}^{\infty} \left| x_k \right|^p < \infty$$

If  $p = \infty$  then  $l^{\infty}$  is the space of all bound sequences.

## **Proposition 9.3.2**

The function

$$||x||_{l^p} = \left(\sum_{k=1}^{\infty} |x_k|^p\right)^{\frac{1}{p}}$$

on  $l^p$  space defines a norm on it.

If  $p = \infty$  then  $||x||_{l^{\infty}} = \sup_{k \in \mathbb{N}} |x_k|$  defines a norm on  $l^{\infty}$ .

## **Proposition 9.3.3**

 $l^p$  is a complete metric space with metric

$$d(\{x_n\}, \{y_n\}) = ||x - y||_{l^p}$$