# Commutative Algebra 1

Labix

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Abstract

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## 1 Basic Notions of Rings

## 1.1 Radical Ideals

#### Definition 1.1.1: Radical of an Ideal

Let I be an ideal of a ring R. Define the radical of I to be

$$\sqrt{I} = \{ r \in R | r^n \in I \text{ for some } n \in \mathbb{N} \}$$

We say that an ideal is radical if  $\sqrt{I} = I$ .

## 1.2 Nilradical and Jacobson Ideals

## **Definition 1.2.1: Nilradicals**

Let R be a ring. Define the nilradical of R to be

$$N(R) = \{r \in R \mid r \text{ is nilpotent}\}$$

Note that this is different from nilpotent ideals. However the Nilradical ideal is a nil ideal and every subideal of the nilradical is a nil ideal.

## Proposition 1.2.2

Let R be a ring and N(R) its nilradical. Then the following are true.

- N(R) is an ideal of R
- N(R/N(R)) = 0

Proof.

- Suppose that r, s are nilpotent, meaning that  $r^n = 0$  and  $s^m = 0$ . Then  $(r + s)^{n+m} = 0$ . Moreover, if  $t \in R$  then  $t \cdot r$  is also nilpotent
- Let  $r \notin N(R)$ . Every element  $r + N(R) \in R/N(R)$  has the property that  $r^n \neq 0$ . Consider  $(r + N(R))^n = r^n + N(R)$ . If  $r^n \in N(R)$  then  $r^n = u$  for some nilpotent u, which means that  $r^n$  is nilpotent and thus r is nilpotent, a contradiction. This means that  $r + N(R) \notin N(R/N(R))$  for all  $r \notin N(R)$  and thus N(R/N(R)) = 0

## **Proposition 1.2.3**

Let R be a commutative ring. The nilradical of R is the intersection of all prime ideals of R.

Proof. We want to show that

$$N(R) = \bigcap_{\substack{P \text{ a prime} \\ \text{ideal of } R}} P$$

Trivially N(R) is a prime ideal. Now suppose that  $r \in R$  is in the intersection of all prime ideals. Then  $r^n$  also lies in every prime ideal.

Recall the notion of the Jacobson radical from Rings and Modules.

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# Definition 1.2.4: Jacobson Radical of a Ring

Let  ${\cal R}$  be a ring. Define the Jacobson radical of  ${\cal R}$  to be

$$J(R) = \bigcap_{\substack{M \text{ is a} \\ \text{maximal ideal} \\ \text{of } R}} M$$

## 2 Basic Notions of Modules

## 2.1 Nakayama's Lemma

## Lemma 2.1.1: Nakayama's Lemma

Let R be a ring and I an ideal of R. Let M be a finitely generated R-module. If IM = M then there exists  $r \in R$  with  $r \equiv 1 \pmod{I}$  such that rM = 0.

## Lemma 2.1.2

Let R be a local ring with maximal ideal m. Let M be a finitely generated R-module. If M=mM, then M=0.

#### Lemma 2.1.3

Let R be a local ring with maximal ideal m. Let M be a finitely generated R-module. Let  $a_1, \ldots, a_n \in M$  such that  $a_1 + mM, \ldots, a_n + mM$  spans M/mM as a vector space over R/m. Then  $a_1, \ldots, a_n$  generate M.

## 2.2 Exact Sequences

## 2.3 Change of Rings

## **Definition 2.3.1: Extension of Scalars**

Let R, S be commutative rings. Let  $\varphi: R \to S$  be a ring homomorphism. Let M be an R-module. Define the extension of M to the ring S to be the S-module

$$S \otimes_R M$$

## **Definition 2.3.2: Restriction of Scalars**

Let R,S be commutative rings. Let  $\varphi:R\to S$  be a ring homomorphism. Let M be an S-module. Define the restriction of M to the ring R to be the R-module M equipped with the action

$$r \cdot_R m = \varphi(r) \cdot_S m$$

for all  $r \in R$ .

#### Theorem 2.3.3

Let R,S be commutative rings. Let  $\varphi:R\to S$  be a ring homomorphism. Then there is an isomorphism

$$\operatorname{Hom}_S(S \otimes_R M, N) \cong \operatorname{Hom}_R(M, N)$$

for any R-module M and S-module N given as follows.

• For  $f \in \operatorname{Hom}_S(S \otimes_R M, N)$ , define the map  $f^+ \in \operatorname{Hom}_R(M, N)$  by

$$f^+(m) = f(1 \otimes m)$$

• For  $g \in \operatorname{Hom}_R(M,N)$ , define the map  $g^- \in \operatorname{Hom}_S(S \otimes_R M,N)$  by

$$g^-(s \otimes m) = s \cdot g(m)$$

#### Localization 3

## 3.1 Localization of a Ring

## **Definition 3.1.1: Multiplicative Set**

Let R be a commutative ring.  $S \subseteq R$  is a multiplicative set if  $1 \in S$  and S is closed under multiplication:  $x, y \in S$  implies  $xy \in S$ 

## Definition 3.1.2: Localization of a Ring

Let R be a commutative ring and  $S \subseteq R$  be a multiplicative set. Define the ring of fractions of R with respect to S by

$$S^{-1}R = \left\{ \frac{r}{s} | r \in R, s \in S \right\} / \sim$$

where  $\sim$  is defined by

$$\frac{r}{s} \sim \frac{r'}{s'}$$
 if and only if  $\exists v \in S$  such that  $v(ru' - r'u) = 0$ 

If  $S = \{1, f, f^2, ...\}$  then we write  $S^{-1}R = R_f = R[1/f]$ .

## **Proposition 3.1.3**

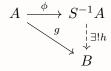
Let  $S^{-1}R$  be a ring of fractions.

- ullet  $\sim$  as defined in the ring of fractions is an equivalence relation
- $(S^{-1}R,+,\times)$  is a ring The map  $\phi:R\to S^{-1}R$  defined by  $\phi(r)\to \frac{r}{1}$  is a ring homomorphism

Proof.

- Define addition by  $\frac{r}{s} + \frac{r'}{s'} = \frac{rs' + r's}{ss'}$  and multiplication by  $\frac{r}{s} \cdot \frac{r'}{s'} = \frac{rr'}{ss'}$ . Clearly addition is abelian, and has identity  $\frac{0}{1}$  and inverse  $\frac{-r}{s}$  for any  $\frac{r}{s} \in S^{-1}R$ . Multiplication also has
- We have that  $\phi(r+s) = \frac{r+s}{1} = \frac{r}{1} + \frac{s}{1} = \phi(r) + \phi(s)$  and  $\phi(rs) = \frac{rs}{1} = \frac{r}{1} \cdot \frac{s}{1} = \phi(r) \cdot \phi(s)$ for any  $r, s \in R$ .

Let  $g:A\to B$  be a ring homomorphism such that g(s) is a unit in B for all  $s\in S$ . Then there exists a unique ring homomorphism  $h: S^{-1}A \to B$  such that  $g = h \circ \phi$ . In other words, the following diagram commutes:



## 3.2 Localization at a Prime Ideal

## Lemma 3.2.1

Let *R* be a ring and *P* a prime ideal of *R*. Then  $R \setminus P$  is a multiplicative set.

*Proof.* By definition,  $xy \in P$  implies  $x \in P$  or  $y \in P$ , since  $R \setminus P$  removes all these elements, we have that  $x \notin P$  and  $y \notin P$  implies that  $xy \notin P$ .

## **Definition 3.2.2: Localization on Prime Ideals**

Let R be a commutative ring. Let P be a prime ideal. Denote

$$R_p = (R \setminus P)^{-1}R$$

the localization of R at P.

## Lemma 3.2.3

Let R be an integral domain. Then the localization

$$(R \setminus (0))^{-1}R$$

is exactly the field of fractions of R.

## 3.3 Properties of Localization

## **Proposition 3.3.1**

Localization commutes with direct sum of modules and quotient modules.

## 4 Local Rings

## 4.1 Local Rings

## **Definition 4.1.1: Local Rings**

A ring R is said to be a local ring if it has a unique maximal ideal m. In this case, we say that R/m is the residue field of R.

## **Proposition 4.1.2**

Let R be a ring and I an ideal of R. Then I is the unique maximal ideal of R if and only if I is the set containing all non-units of R.

*Proof.* Let I be the unique maximal ideal of R. Clearly I does not contain any unit else I=R. Now suppose that r is a non-unit. Suppose that  $r\notin I$ . Define  $J=\{sr|s\in R\}$  Clearly J is an ideal. It must be contained in some maximal ideal. Since I is the unique maximal ideal,  $J\subseteq I$ . But this means that  $r\in I$ , a contradiction. Thus every non-unit is in I.

Suppose that I contains all non-units of R. Let  $r \notin I$ . Then there exists  $s \notin I$  such that rs = 1. Then (r+I)(s+I) = 1+I in R/I. This means that every element of R/I has a multiplicative inverse which means that R/I is a field and thus I is a maximal ideal. Now let  $J \neq I$  be another maximal ideal. Then J contains some unit r. This implies that J = R and thus I is the unique maximal ideal.

## **Proposition 4.1.3**

Let R be a ring and let p be a prime ideal of R. Then  $R_p$  is a local ring.

*Proof.* Let I be the set of all non-units of  $R_p$ . It is sufficient to show that I is an ideal by the above lemma. Clearly if  $i \in I$  then  $r \cdot i$  is also not invertible. Explicitly, we have

$$I = \left\{ \frac{r}{s} \in R_p \middle| r \in p \right\}$$

Let  $\frac{r_1}{s_1}, \frac{r_2}{s_2} \in I$ , then  $\frac{r_1}{s_1} + \frac{r_2}{s_2} = \frac{r_1s_2 + r_2s_1}{s_1s_2}$  is in I since  $r_1, r_2 \in P$  and P being an ideal implies  $r_1s_2 + r_2s_1 \in P$ .

Be wary that in general localizations does not result in a local ring. This happens only when we are localizing with respect to a prime ideal. The importance of prime ideals is not explicit in the above because only using prime ideals P can  $R \setminus P$  be a multiplicative set which ultimately allows localization to make sense.

## 4.2 Localization of a Module

## Definition 4.2.1: Localization of a Module

Let R be a commutative ring and  $S\subseteq R$  be a multiplicative set Let M be a R-module. Define the ring of fractions of M with respect to S by

$$S^{-1}M = \left\{ \frac{m}{s} | m \in M, s \in S \right\} / \sim$$

where  $\sim$  is defined by

$$\frac{m}{s} \sim \frac{m'}{s'}$$
 if and only if  $\exists v \in S \text{ such that } v(mu'-m'u) = 0$ 

If  $S = \{1, f, f^2, \dots\}$  then we write  $S^{-1}M = M_f = M[1/f]$ .

## **Proposition 4.2.2**

Let S be a multiplicative set of a ring R. Then localization at S preservers exact sequences.

## **Proposition 4.2.3**

Let M be an A-module. Then the  $S^{-1}A$  modules  $S^{-1}M$  is isomorphic to  $S^{-1}A\otimes_A M$ . More precisely, there exists a unique isomorphism  $f:S^{-1}A\otimes_A M\to S^{-1}M$  such that

$$f((a/s)\otimes m)=am/s$$

## **Noetherian Rings**

## **Ordering on the Monomials**

Recall that a monomial in  $R[x_1,\ldots,x_n]$  is an element in the polynomial ring of the form  $x_1^{a_1}\cdots x_n^{a_n}$ . For simplicity we write this as  $x^{(a_1,\dots,a_n)}$ .

## **Definition 5.1.1: Monomial Ordering**

A monomial ordering on a polynomial ring  $k[x_1,\ldots,x_n]$  is a relation > on  $\mathbb{N}^n$ . This means that the following are true.

- > is a total ordering on  $\mathbb{N}^n$
- If a > b and  $c \in \mathbb{N}^n$  then a + c > b + c
- > is a well ordering on  $\mathbb{N}^n$  (any nonempty subset of  $\mathbb{N}^n$  has a smallest element)

## Definition 5.1.2: Lexicographical Order

Let  $a=(a_1,\ldots,a_n)$  and  $b=(b_1,\ldots,b_n)$  in  $\mathbb{N}^n$ . We say that  $a>_{\mathrm{lex}} b$  if in the first nonzero entry of a - b is positive.

In practise this means that the we value more powers of  $x_1$ 

#### Definition 5.1.3: Graded Lex Order

Let  $a=(a_1,\ldots,a_n)$  and  $b=(b_1,\ldots,b_n)$  in  $\mathbb{N}^n$ . We say that  $a>_{\mathsf{grlex}} b$  if either of the following

- $\begin{array}{ll} \bullet & |a| = \sum_{k=1}^n a_k > \sum_{k=1}^n b_k = |b| \\ \bullet & |a| = |b| \text{ and } a >_{\operatorname{lex}} b \end{array}$

## **Definition 5.1.4: Graded Lex Order**

Let  $a=(a_1,\ldots,a_n)$  and  $b=(b_1,\ldots,b_n)$  in  $\mathbb{N}^n$ . We say that  $a>_{\mathsf{grlex}} b$  if either of the following

- $|a| = \sum_{k=1}^{n} a_k > \sum_{k=1}^{n} b_k = |b|$  |a| = |b| and the last nonzero entry of a-b is negative.

In practise we value lower powers of the last variable  $x_n$ .

## **Proposition 5.1.5**

The above three orders are all monomial orderings of  $k[x_1, \ldots, x_n]$ .

### **Definition 5.1.6: Multidegree**

Let  $f \in k[x_1, \dots, x_n]$  be a polynomial in the form  $f = \sum_{v \in \mathbb{N}^n} c_v x^v$ . Define the multidegree of

$$\mathsf{multideg}(f) = \max\{v \in \mathbb{N}^n | a_v \neq 0\}$$

where > is a monomial ordering on  $k[x_1, \ldots, x_n]$ .

## **Definition 5.1.7: Leading Objects**

Let  $f \in k[x_1, \dots, x_n]$  be a polynomial in the form  $f = \sum_{v \in \mathbb{N}^n} c_v x^v$ .

- Define the leading coefficient of f to be  $LC(f) = c_{\text{multideg}(f)} \in k$
- Define the leading monomial of f to be  $LM(f) = c_{multideg(f)} \in k$
- Define the leading term of f to be  $LT = LC(f) \cdot LM(f)$

## Proposition 5.1.8: Division Algorithm in $k[x_1, \ldots, x_n]$

## 5.2 Monomial Ideals

## **Definition 5.2.1: Monomial Ideals**

An ideal  $I \subset k[x_1, \dots, x_n]$  is said to be a monomial ideal if I is generated by a set of monomials  $\{x^v|v\in A\}$  for some  $A\subset \mathbb{N}^n$ . In this case we write

$$I = \langle x^v | v \in A \rangle$$

## Lemma 5.2.2

Let  $I = \langle x^v | v \in A \rangle$  be an ideal of  $k[x_1, \dots, x_n]$ . Then a monomial  $x^w$  lies in I if and only if  $x^v | x^w$  for some  $v \in A$ . Moreover, if  $f = \sum_{w \in \mathbb{N}^n} c_w x^w \in k[x_1, \dots, x_n]$  lies in I, then each  $x^w$  is divisible by  $x^v$  for some  $v \in A$ .

#### Theorem 5.2.3: Dickson's Lemma

Every monomial ideal is finitely generated. In particular, every monomial ideal  $I=\langle x^v|v\in A\rangle$  is of the form

$$I = \langle x^{v_1}, \dots, x^{v_n} \rangle$$

where  $v_1, \ldots, v_n \in A$ .

#### 5.3 Groebner Bases

## 5.4 Hilbert's Basis Theorem

## **Proposition 5.4.1**

If A is a Noetherian and  $\phi$  is a homomorphism of A onto a ring B, then B is Noetherian.

#### Theorem 5.4.2: Hilbert's Basis Theorem

If R is a Noetherian ring, then  $R[x_1, \ldots, x_n]$  is a Noetherian ring.

## **Proposition 5.4.3**

Let R be a Noetherian ring and I be an ideal in R. Then R/I is Noetherian.

#### Theorem 5.4.4

Let  $R = \bigoplus_{i=1}^{n} R_i$  be a graded ring. Then R is Noetherian if and only if  $R_0$  is Noetherian and R is finitely generated as an  $R_0$ -module.

## 6 Primary Decomposition

## 6.1 Support of a Module

## Definition 6.1.1: Support of a Module

Let M be an A-module. The support of M is the subset

 $Supp(M) = \{ P \text{ a prime ideal of } A | M_P \neq 0 \}$ 

#### 6.2 Associated Prime

## **Definition 6.2.1: Associated Prime**

Let M be an A-module. An associated prime P of M is a prime ideal of A such that there exists some  $m \in M$  such that  $P = \operatorname{Ann}(m)$ .

## 6.3 Primary Ideals

## **Definition 6.3.1: Primary Ideals**

Let R be a ring. An ideal Q of R is called primary if

- Q ≠ R
- $fg \in Q$  implies  $f \in Q$  or  $g^m \in Q$  for some m > 0

## Lemma 6.3.2

If Q is primary, then  $\sqrt{Q}$  is prime.

#### Lemma 6.3.3

Let R be a Noetherian ring and I be a proper ideal that is not primary. Then

$$I = J_1 \cap J_2$$

for some ideals  $J_1, J_2 \neq I$ .

## **Definition 6.3.4: P-Primary Ideals**

Let A be a ring and P a prime ideal. An ideal Q is P-primary if Q is primary and  $Q = \operatorname{rad}(P)$ 

### Theorem 6.3.5

Let A be a Noetherian ring and Q an ideal of A. Then Q is P-primary if and only if  $Ann(A/Q) = \{P\}$ .

## 6.4 Primary Decomposition

We want to express ideal I in R as  $I = P_1^{e_1} \cdots P_n^{e_n}$  similar to a factorization of natural numbers, for some prime ideals  $P_1, \dots, P_n$ . However this notion fails and thus we have the following new type of ideal.

## **Definition 6.4.1: Primary Decompositions**

A primary decomposition of an ideal I is an expression  $I=Q_1\cap\cdots\cap Q_r$  with each  $Q_i$  primary.

The decomposition is said to be irredundant if  $I \neq \bigcap_{i \neq j} Q_i$  for any j. The decomposition is said to be minimal if r is the smallest possible such decomposition for I.

Irredundant in this sense means that removing any one primary ideal in the intersection fails to become a decomposition of I.

#### Theorem 6.4.2

Every proper ideal in a Noetherian ring has a primary decomposition.

## Lemma 6.4.3

Let  $\phi:R\to S$  be a ring homomorphism and Q be a primary ideal in S. Then  $\phi^{-1}(Q)$  is primary in R.

## 7 Integral Dependence

## 7.1 Integral Extensions

## **Definition 7.1.1: Integral Elements**

Let B be a ring and let  $A \subseteq B$  be a subring. Let  $b \in B$ . We say that b is integral over A if there exists a monic polynomial  $p(x) = x^n + a_{n-1}x^{n-1} + \cdots + a_0 \in A[x]$  such that p(b) = 0.

## **Proposition 7.1.2**

Let *B* be a ring and let  $A \subseteq B$ . Let  $b \in B$ . Then the following are equivalent.

- $\bullet$  b is integral over A
- The subring  $A[b] \subseteq B$  is finite over A
- There exists an A sub-algebra  $A' \subseteq B$  such that  $A[b] \subseteq A'$  and A' is finite over A.

## **Proposition 7.1.3**

Let B be a ring and let  $A \subseteq B$  be a subring. Let  $b_1, b_2 \in B$  be integral over A. Then  $b_1 + b_2$  and  $b_1b_2$  are both integral over A.

## **Definition 7.1.4: Integral Extensions**

Let B be a ring and let  $A \subseteq B$  be a subring. We say that B is integral over A if all elements of B are integral over A.

## Lemma 7.1.5

Let  $A \subseteq B \subseteq C$  be rings. If C is integral over B and B is integral over A, then C is integral over A.

## **Definition 7.1.6: Integral Closure**

Let *B* be an *A*-algebra. Define the subring

$$\overline{A} = \{b \in B | b \text{ is integral over } A\}$$

to be the integral closure of A in B. If  $\overline{A} = A$ , then we say that A is integrally closed in B.

## Lemma 7.1.7

Let *B* be a ring and let  $A \subseteq B$  be a subring. Then  $\overline{A}$  is an integral extension of *A*.

## **Definition 7.1.8: Normal Domains**

Let R be a domain. We say that R is normal (intergrally closed) if A is integrally closed in its field of fractions.

The integral closure of R in Frac(R) is called the normalization of R.

## 7.2 The Trace and Norm

## 7.3 The Going-Up and Going-Down Theorems

## 7.4 Dedekind Domains

## **Definition 7.4.1: Dedekind Domains**

Let R be a ring. We say that R is a dedekind domain if the following are true.

- $\bullet$  R is an integral domain
- R is an integrally closed
- R is Noetherian
- ullet Every non-zero prime ideal of R is maximal

## 8 Discrete Valuation Rings

## 8.1 Discrete Valuation Rings

## **Definition 8.1.1: Totally Ordered Group**

A totally ordered group is a group G with a total order " $\leq$ " such that it is

- a left ordered group:  $a \le b$  implies  $ca \le cb$  for all  $a, b, c \in G$
- a right ordered group:  $a \le b$  implies  $ac \le bc$  for all  $a, b, c \in G$

## Definition 8.1.2: Valuation on a Field

Let K be a field. Let G be a totally ordered abelian group. A valuation on K with values in G is a map  $v: K \setminus \{0\} \to G$  such that for all  $x, y \in K^*$ , we have

- $\bullet \ v(xy) = v(x) + v(y)$
- $v(x+y) \ge \min\{v(x), v(y)\}$

We use the convention that  $v(0) = \infty$ .

v is said to be a discrete valuation if  $G = \mathbb{Z}$ .

## **Proposition 8.1.3**

Let K be a field and  $v:K\to\mathbb{Z}$  a discrete valuation. Then

$$\{x \in K | v(x) \ge 0\}$$

is a subring of K.

## **Definition 8.1.4: Discrete Valuation Rings**

The discrete valuation ring of a discrete valuation  $v: K \to \mathbb{Z}$  is the subset

$$A = \{x \in K | v(x) \ge 0\}$$

Alternatively, any ring isomorphic to a discrete valuation ring of some discrete valuation is also called a discrete valuation.

## **Proposition 8.1.5**

Let R be a discrete valuation ring with respect to the valuation v. Let  $t \in R$  be such that v(t) = 1. Then the following are true.

- A nonzero element  $u \in R$  is a unit if and only if v(u) = 0
- Every non-zero ideal of R is a principal ideal of the form  $(t^n)$  for some  $n \geq 0$
- Every  $r \in R \setminus \{0\}$  can be written in the form  $r = ut^n$  for some unit u and  $n \ge 0$ .

Proof.

• Let R be a discrete valuation ring. Suppose that  $x \in R$  is a unit. Then  $v(x^{-1}) = -v(x)$ . Then  $-v(x), v(x) \ge 0$  implies v(x) = 0. Now if v(y) > 0, suppose for contradiction that  $u \in R$  is an inverse of y, then

$$0 = v(1) = v(uy) = v(u) + v(y)$$

But v(y) > 0 implies that v(u) < 0 which implies that  $u \notin R$ , a contradiction.

- Let  $t \in R$  such that v(t) = 1. Let  $x \in m$  where v(x) = n > 0. Then  $v(x) = nv(t) = v(t^n)$  means that every  $x \in m$  is of the form  $t^n$ . Thus m = (t). Since every ideal I is a subset of this maximal ideal, any ideal is of the form  $I = (t^n)$  for some n > 0.
- Follows from the fact that  $(t^n)$  is the unique maximal ideal.

## **Proposition 8.1.6**

Let R be an integral domain. Then the following are equivalent.

- *R* is a discrete valuation ring
- *R* is a UFD with a unique irreducible element up to multiplication of a unit
- $\bullet$  R is a Noetherian local ring with a principal maximal ideal

Proof.

• (1)  $\Longrightarrow$  (3): We have seen that the set of non-units is precisely the set  $m=\{x\in K|v(x)>0\}$ . We show that this is an ideal. Clearly  $x,y\in m$  implies  $v(x+y)=\min\{v(x),v(y)\}>0$ . Let  $u\in R$ . Then v(ux)=v(u)+v(x)>0 since v(x)>0 and  $v(u)\geq 0$ .

We have seen that every ideal is of the form  $(t^n)$  for some n>0. Thus every ascending chains of ideal must be of the form

$$(t^{n_1}) \subset (t^{n_2}) \subset \dots$$

for  $n_1 > n_2 > \dots$ . Since  $n_1, n_2, \dots$  is strictly decreasing, the chain must eventually stabilizes. This proves that R is Noetherian and has principal maximal ideal.

 $\bullet$  (1)  $\Longrightarrow$  (3):

## 9 Dimension Theory for Rings

## 9.1 Dimension and Height

## **Definition 9.1.1: Krull Dimension**

Let R be a commutative ring. Define the Krull dimension of R to be

$$\dim(R) = \sup\{t \in \mathbb{N} | p_0 \subset \cdots \subset p_t \text{ for } p_0, \ldots, p_t \text{ prime ideals } \}$$

## Definition 9.1.2: Height of a Prime Ideal

Let p be a prime ideal in a ring R. Define the height of p to be

$$\mathsf{ht}(p) = \sup\{t \in \mathbb{N} | p_0 \subset \dots \subset p_t = p \text{ for } p_0, \dots, p_t \text{ prime ideals } \}$$

#### Lemma 9.1.3

Let p be a prime ideal in a ring R. Then

$$ht(p) = \dim(R_p)$$

## Theorem 9.1.4: Krull's Principal Ideal Theorem

Let R be a Noetherian ring. Let I be a proper and principal ideal of R. Let p be the smallest prime ideal containing I. Then

$$ht_R(p) \leq 1$$

## 9.2 Length of a Module

## Definition 9.2.1: Length of a Module

Let R be a ring and let M be an R-module. Define the length of M to be

$$l_R(M) = \sup\{n \in \mathbb{N} \mid 0 = M_0 \subset M_1 \subset \cdots \subset M_n = M\}$$

## Lemma 9.2.2

Let R be a ring. Let  $0 \to M' \to M \to M'' \to 0$  be a short exact sequence of R-modules. Then

$$l_R(M) = l_R(M') + l_R(M'')$$

## Lemma 9.2.3

Let (A, m) be a local ring and let M be an A-module. If mM = 0, then

$$l_A(M) = \dim_{A/m}(M)$$

## **Proposition 9.2.4**

Let R be a ring and let M be an R-module. Then the following are equivalent.

- $\bullet$  M is simple
- $l_R(M) = 1$
- $M \cong A/m$  for some maximal ideal m of A

## 9.3 The Hilbert Polynomial

## **Definition 9.3.1: The Hilbert Polynomial**

Let  $R=\bigoplus_{k=0}^{\infty}R_k$  be a Noetherian graded ring. Let  $M=\bigoplus_{k=0}^{\infty}M_k$  be a graded R-module. Define the Hilbert function  $H_M:\mathbb{N}\to\mathbb{N}$  of R to be the function defined by

$$H_M(n) = l_{R_0}(M_n)$$

## **Definition 9.3.2: The Hilbert Series**

Let  $R=\bigoplus_{k=0}^\infty R_k$  be a Noetherian graded ring. Let  $M=\bigoplus_{k=0}^\infty M_k$  be a graded R-module. Define the Hilbert series  $HS_M\in\mathbb{Z}[[t]]$  of M to be the formal series

$$HS_M(t) = \sum_{k=0}^{\infty} H_M(k)t^k = \sum_{k=0}^{\infty} l_{R_0}(M_k)t^k$$

#### Theorem 9.3.3

Let  $R = \bigoplus_{k=0}^{\infty} R_k$  be a Noetherian graded ring such that  $R_0$  is Artinian. Let  $M = \bigoplus_{k=0}^{\infty} M_k$  be a graded R-module. Let  $\lambda : \{M_i \mid i \in I\} \to \mathbb{Z}$  be an additive function Then the function

$$g(t) = \sum_{k=0}^{\infty} \lambda(M_k) t^k$$

is a rational function and can be written in the form

$$g(t) = \frac{f(t)}{\prod_{i=1}^{r} (1 - t^{d_i})}$$

for some  $f(t) \in \mathbb{Z}[t]$  and  $d_i \in \mathbb{N}$ .

#### Theorem 9.3.4: The Fundamental Theorem of Dimension Theory

Let (R,m) be a local Noetherian ring. Let I be an m-primary ideal. Then the following numbers are equal.

- Let  $J = \bigoplus_{k=0}^{\infty} \frac{I^k}{I^{k+1}}$ . The order of the pole at 1 of the rational function  $HS_J$ .
- The minimum number of elements of R that can generate an m-primary ideal of R
- The dimension  $\dim_{R/m}(R)$

The following is a generalization of Krull's principal ideal theorem. Both of the theorems can actually be deduced directly from the fundamental theorem.

#### Theorem 9.3.5: Krull's Height Theorem

Let R be a Noetherian ring. Let I be a proper ideal generated by n elements. Let p be the smallest prime ideal containing I. Then

$$\operatorname{ht}_R(p) \leq n$$

#### Theorem 9.3.6

Let (R, m) be a Noetherian local ring and let k = R/m be the residue field. Then

$$\dim(R) \le \dim_k(m/m^2)$$

# 9.4 Global Dimension of a Ring