

# Transcendental Algebraic Geometry

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**Abstract**

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# 1 Analytification of a Variety

## 1.1 The Set of Closed Points of a Scheme

Recall that a point  $x \in X$  of a space is said to be closed  $\{x\}$  is a closed set.

### Definition 1.1.1: Closed Points of a Variety

Let  $X$  be a variety over  $\mathbb{C}$ . Denote its set of closed points by

$$X(\mathbb{C}) = \{x \in X \mid x \text{ is a closed point}\}$$

### Definition 1.1.2: Subspace Topology on Closed Points

Let  $X$  be a variety over  $\mathbb{C}$ . Denote

$$\text{Max}(X)$$

the set  $X(\mathbb{C})$  together with the subspace topology inherited from  $X$ . If  $X = \text{Spec}(R)$  for some ring  $R$ , then we simply write  $\text{maxSpec}(R) = \text{Max}(\text{Spec}(R))$ .

Note: For a ring  $R$ ,  $X = \text{Spec}(R)$ , then  $\text{Max}(X) = \text{maxSpec}(R)$  because the closed points are precisely the maximal ideals. Moreover, the Zariski topology of  $\text{maxSpec}(R)$  coincides with the subspace topology of  $\text{Max}(X)$ .

We will first investigate for when  $X$  is affine, before moving on to the general theory of schemes. Therefore much of the following section, we will be working with  $X = \text{Spec}(R)$  for some  $R$  a finitely generated  $\mathbb{C}$ -algebra.

### Theorem 1.1.3

Let  $R$  be a finitely generated  $\mathbb{C}$ -algebra. Then there is a natural bijection

$$\text{maxSpec}(R) = \left\{ \begin{array}{c} \text{Closed points} \\ \text{in } \text{Spec}(R) \end{array} \right\} \xleftrightarrow{1:1} \left\{ \begin{array}{c} \mathbb{C}\text{-algebra homomorphisms} \\ \varphi: R \rightarrow \mathbb{C} \end{array} \right\}$$

The forward map sends  $x \in \text{Spec}(R)$  to the unique  $\varphi$  whose kernel is  $(x)$ . The backward map sends  $\varphi: R \rightarrow \mathbb{C}$  to the image of  $\varphi^*: \text{Spec}(\mathbb{C}) \rightarrow \text{Spec}(R)$ .

Now we pair it up with the natural bijection between  $\mathbb{C}$ -algebra homomorphisms  $\varphi: R \rightarrow \mathbb{C}$  and morphisms of locally ringed spaces

$$(\varphi^*, \varphi^\#): (\text{Spec}(\mathbb{C}), \mathcal{O}_{\text{Spec}(\mathbb{C})}) \rightarrow (\text{Spec}(R), \mathcal{O}_{\text{Spec}(R)})$$

In fact, we can do one step further by starting with an arbitrary scheme  $(X, \mathcal{O}_X)$  locally of finite type over  $\mathbb{C}$ .

### Proposition 1.1.4

### Proposition 1.1.5

Let  $(\Psi, \Psi^\#): (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  be a morphisms of schemes that is locally of finite type over  $\mathbb{C}$ . Then the continuous map  $\Psi: X \rightarrow Y$  takes the subspace  $\text{Max}(X)$  to the subspace  $\text{Max}(Y)$ .

### Definition 1.1.6: Max Map

Let  $(\Psi, \Psi^\#): (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  be a morphisms of schemes that is locally of finite type over

C. Define the induced map of closed points by

$$\text{Max}(\Psi) : \text{Max}(X) \rightarrow \text{Max}(Y)$$

### Proposition 1.1.7

Let  $\theta : R \rightarrow S$  be a surjective map of finitely generated  $\mathbb{C}$ -algebras. Then the map

$$\text{maxSpec}(\theta) : \text{maxSpec}(S) \rightarrow \text{maxSpec}(R)$$

embeds  $\text{maxSpec}(S)$  homeomorphically into a subspace of  $\text{maxSpec}(R)$ . The image is identified with the set of all  $\varphi : R \rightarrow \mathbb{C}$  such that  $\varphi(\ker(\theta)) = 0$

## 1.2 Complex Topology on Spec

### Lemma 1.2.1

There is a bijective correspondence

$$\mathbb{C}^n \xleftrightarrow{1:1} \left\{ \begin{array}{l} \text{\mathbb{C}-algebra homomorphisms} \\ \varphi : \mathbb{C}[x_1, \dots, x_n] \rightarrow \mathbb{C} \end{array} \right\}$$

The forward map sends  $a = (a_1, \dots, a_n)$  to the map  $\varphi_a : \mathbb{C}[x_1, \dots, x_n] \rightarrow \mathbb{C}$  defined by  $f \mapsto f(a_1, \dots, a_n)$ . The backward map sends  $\varphi : \mathbb{C}[x_1, \dots, x_n] \rightarrow \mathbb{C}$  to  $(\varphi(x_1), \dots, \varphi(x_n))$ .

For the finitely generated  $\mathbb{C}$ -algebra  $\mathbb{C}[x_1, \dots, x_n]$ , we now have a series of correspondences

$$\text{maxSpec}(\mathbb{C}[x_1, \dots, x_n]) = \left\{ \begin{array}{l} \text{Closed points} \\ \text{in } \text{Spec}(\mathbb{C}[x_1, \dots, x_n]) \end{array} \right\} \xleftrightarrow{1:1} \left\{ \begin{array}{l} \text{\mathbb{C}-algebra homomorphisms} \\ \varphi : \mathbb{C}[x_1, \dots, x_n] \rightarrow \mathbb{C} \end{array} \right\} \xleftrightarrow{1:1} \mathbb{C}^n$$

### Definition 1.2.2: Complex Topology on Spec

Let  $S$  be a finitely generated  $\mathbb{C}$ -algebra. Let  $a_1, \dots, a_n$  be generators of  $S$ . Consider the surjection

$$\theta : \mathbb{C}[x_1, \dots, x_n] \rightarrow S$$

defined by  $x_i \mapsto a_i$ . Define the complex topology of  $X = \text{Spec}(S)$  to be the subspace topology of  $\mathbb{C}^n$  via the injective map

$$\text{maxSpec}(\theta) : \text{maxSpec}(S) \rightarrow \text{maxSpec}(\mathbb{C}^n) \cong \mathbb{C}^n$$

Denote  $X^{\text{an}}$  to be the set  $X = \text{maxSpec}(S)$  together with the complex topology.

### Lemma 1.2.3

Let  $S$  be a finitely generated  $\mathbb{C}$ -algebra. Then the complex topology on  $\text{maxSpec}(S)$  is independent of the choice of generators of  $S$ .

### Proposition 1.2.4

Let  $S$  be a finitely generated  $\mathbb{C}$ -algebra. Then the natural inclusion

$$\text{maxSpec}(S) \hookrightarrow \text{Spec}(S)$$

is continuous if we give  $\text{maxSpec}(S)$  the complex topology and  $\text{Spec}(S)$  the Zariski topology.

**Proposition 1.2.5**

Let  $\varphi : R \rightarrow S$  be a homomorphism of finitely generated  $\mathbb{C}$ -algebras. Then the natural map

$$\max\mathrm{Spec}(\varphi) : (\mathrm{Spec}(S))^{\mathrm{an}} \rightarrow (\mathrm{Spec}(R))^{\mathrm{an}}$$

is continuous.

This marks the fact that the passage from affine varieties to topological spaces defined by sending  $X = \mathrm{Spec}(R)$  to  $X^{\mathrm{an}}$  is functorial. The following corollary should be of no surprise.

**Corollary 1.2.6**

Let  $\varphi : R \rightarrow S$  be an isomorphism of finitely generated  $\mathbb{C}$ -algebras. Then the natural map

$$\max\mathrm{Spec}(\varphi) : (\mathrm{Spec}(S))^{\mathrm{an}} \rightarrow (\mathrm{Spec}(R))^{\mathrm{an}}$$

is a homeomorphism.

**Lemma 1.2.7**

Let  $\varphi : R \rightarrow S$  be a surjective homomorphism of finitely generated  $\mathbb{C}$ -algebras. Then the natural map

$$\max\mathrm{Spec}(\varphi) : (\mathrm{Spec}(S))^{\mathrm{an}} \rightarrow (\mathrm{Spec}(R))^{\mathrm{an}}$$

an embedding.

**1.3 Complex Topology for Schemes Locally of Finite Type**

Recall that a scheme is locally of finite type over  $\mathbb{C}$  if it has an open cover  $X = \bigcup_{i \in I} U_i$  for which  $U_i \cong \mathrm{Spec}(R_i)$  for some  $R_i$  a finitely generated  $\mathbb{C}$ -algebra. Every scheme of finite type is necessarily a scheme that is locally of finite type. And it follows that when we discuss schemes that is locally of finite type, this includes the general theory of varieties.

**Lemma 1.3.1**

Let  $(Y, \mathcal{O}_Y)$  be a scheme locally of finite type over  $\mathbb{C}$ . Let  $X \subseteq Y$  be an open set. Then the inclusion map

$$\Psi : X \rightarrow Y$$

embeds  $\mathrm{Max}(X)$  homeomorphically onto the open subset  $\Psi(X) \cap \mathrm{Max}(Y)$ .

**Corollary 1.3.2**

Let  $X$  be a scheme locally of finite type over  $\mathbb{C}$ . If  $X = \bigcup_{i \in I} U_i$  is an open cover, then  $\mathrm{Max}(U_i)$  is an open cover for  $\mathrm{Max}(X)$ .

This does not help much with respect to the complex topology unfortunately. Therefore we need a technical lemma.

**Lemma 1.3.3**

Let  $(Z, \mathcal{O}_Z)$  be a scheme locally of finite type over  $\mathbb{C}$ . Let  $U$  and  $V$  be open subsets of  $Z$ . Suppose that  $(U, \mathcal{O}_X|_U) \cong (\mathrm{Spec}(R), \mathcal{O}_{\mathrm{Spec}(R)})$  and  $(V, \mathcal{O}_X|_V) \cong (\mathrm{Spec}(S), \mathcal{O}_{\mathrm{Spec}(S)})$ . Then  $\mathrm{Max}(U) \cap \mathrm{Max}(V)$  is open in both  $(\mathrm{Spec}(R))^{\mathrm{an}}$  and  $(\mathrm{Spec}(S))^{\mathrm{an}}$ . Moreover, the subspaces topologies induced with respect to both embeddings agree with each other.

The final ingredient would be the weak topology. Let  $X$  be a set. Let  $\varphi_i : U_i \rightarrow X$  for  $i \in I$  be functions from a topological space  $U_i$  to  $X$ . Then the weak topology of  $X$  with respect to  $\varphi_i$  is the finest topology

such that all  $\varphi_i$  are continuous. This means that a subset  $V \subseteq X$  is open if and only if  $\varphi_i^{-1}(V)$  is open in  $U_i$  for all  $i \in I$ .

#### Definition 1.3.4: Complex Topology

Let  $X$  be a scheme locally of finite type over  $\mathbb{C}$ . Let  $V$  be the set of all open immersions

$$(\Psi_i, \Psi_i^\#) : (\text{Spec}(R_i), \mathcal{O}_{\text{Spec}(R_i)})$$

of ringed spaces over  $\mathbb{C}$  with each  $R_i$  a finitely generated  $\mathbb{C}$ -algebra. Define the complex topology on  $\text{Max}(X)$  to be the weak topology with respect to the maps

$$\text{Max}(\Psi_i) : (\text{Spec}(R_i))^{\text{an}} \rightarrow \text{Max}(X)$$

In this case we denote  $\text{Max}(X)$  together with the complex topology by  $X^{\text{an}}$ .

#### Lemma 1.3.5

Let  $X$  be a scheme locally of finite type over  $\mathbb{C}$ . Suppose that there is an open immersion

$$(\Psi, \Psi^\#) : (\text{Spec}(R), \mathcal{O}_{\text{Spec}(R)})$$

Then the map  $\text{Max}(\Psi) : (\text{Spec}(R))^{\text{an}} \rightarrow X^{\text{an}}$  is a homeomorphism onto its image, and the image is open in  $X$ .

#### Lemma 1.3.6

Let  $X$  be a scheme locally of finite type over  $\mathbb{C}$ . Then the inclusion

$$X^{\text{an}} \hookrightarrow X$$

is a continuous map where  $X$  has the Zariski topology and  $X^{\text{an}}$  has the complex topology.

#### Corollary 1.3.7

Let  $X, Y, Z$  be schemes locally of finite type over  $\mathbb{C}$ . Suppose that there are morphisms of schemes  $\Phi : X \rightarrow Y$  and  $\Psi : Y \rightarrow Z$ . Then

$$\Psi^{\text{an}} \circ \Phi^{\text{an}} = (\Psi \circ \Phi)^{\text{an}}$$

#### Corollary 1.3.8

Let  $(\Psi, \Psi^\#) : (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  be a morphism of schemes locally of finite type over  $\mathbb{C}$ . Then the following square commutes:

$$\begin{array}{ccc} X^{\text{an}} & \xrightarrow{\Psi^{\text{an}}} & Y^{\text{an}} \\ \lambda_X \downarrow & & \downarrow \lambda_Y \\ X & \xrightarrow{\Psi} & Y \end{array}$$

where  $\lambda_X : X^{\text{an}} \rightarrow X$  is the inclusion.

We are almost done with complex analytification. We even showed that analytification is functorial, and more over there is a natural transformation from the analytification functor to the forgetful functor. Given a scheme locally of finite type, we constructed a topological space that is a subspace of  $\mathbb{C}^n$ . We also want to produce a sheaf on the subspace so that the resulting construct is an analytic space.

## 1.4 The Analytic Sheaf

Once again, we first work with the affine case.

## 1.5 The Functorial Conclusion

### Definition 1.5.1: Complex Analytification Functor

Define the complex analytification functor  $(\cdot)^{\text{an}} : \text{Var}_{\mathbb{C}} \rightarrow \text{ASpace}$  as follows.

- For each variety  $(X, \mathcal{O}_X)$  over  $\mathbb{C}$ , it is sent to  $(X^{\text{an}}, \mathcal{O}_X^{\text{an}})$
- For each morphism  $(\Psi, \Psi^{\#}) : (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$ , it is sent to the morphism

$$(\Psi^{\text{an}}, (\Psi^{\#})^{\text{an}}) : (X^{\text{an}}, \mathcal{O}_X^{\text{an}}) \rightarrow (Y^{\text{an}}, \mathcal{O}_Y^{\text{an}})$$

### Proposition 1.5.2

Let  $I_V : \text{Var}_{\mathbb{C}} \rightarrow \text{RSpace}$  and  $I_A : \text{ASpace} \rightarrow \text{RSpace}$  be inclusion functors. Then there is a natural transformation  $\lambda : I_A \circ (\cdot)^{\text{an}} \rightarrow I_V$

### Theorem 1.5.3: GAGA I

Let  $X$  be a projective complex algebraic variety. The restricted complex analytification functor from the category of coherent sheaves on  $X$  to the category of coherent analytic sheaves on  $X$  defines an equivalence of categories.