

# Dissertation Content

Labix

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**Abstract**

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# 1 Excisive Functors between Spaces

## 1.1 Homotopy Pushouts and Homotopy Pullbacks

Work in category CGWH all spaces pointed  $\Sigma$ = reduced suspension Cubical: all spaces assumed well-pointed (1.1.5 cubical) (Cw complex are well based) (same as non-degenerate base point) homotopy colimits should be as 8.2.14 (since well based then unpointed homotopy pullbacks is homotopy equivalent to pointed homotopy pullback ) suspension refers to reduced suspension (of based spaces) (for well based spaces reduced suspension is homotopy equivalent to unreduced suspension) all categorical limits / colimits (such as products) are assumed to be k-ified. (equipped with CGWH topology).

Let me begin by slightly rephrasing what we learned in classical algebraic topology. Recall that if  $X$  is a space and  $X = A \cup B$  are two open sets, then

$$\begin{array}{ccc} A \cap B & \hookrightarrow & A \\ \downarrow & & \downarrow \\ B & \hookrightarrow & X \end{array}$$

is a pushout in **Spaces**.

- Recall that amalgamated products of groups is precisely the pushout in **Grps** (when the two maps are embeddings). The Seifert-Van Kampen theorem then says that if  $A \cap B$  is non-empty, then  $\pi_1 : \mathbf{Spaces} \rightarrow \mathbf{Grp}$  sends pushouts to pushouts.
- If we upgrade the fundamental group  $\pi_1$  into the fundamental groupoid  $\Pi_1$  developed by Brown, again  $\Pi_1 : \mathbf{Spaces} \rightarrow \mathbf{Spaces} \rightarrow \mathbf{Grpoids}$  sends pushouts to pushouts, without the assumption of connectedness of  $A \cap B$ , and removing the dependence of the base point. (6.7.2 R.Brown)
- Applying the singular homology functor does not give a pushout, nor a pullback, but gives a long exact sequence in homology which is useful for computation.
- Similarly, applying the singular cohomology functor gives a useful computational skill.

When we say that  $X$  is a pushout of  $A$  and  $B$  along  $A \cap B$ , we mean that  $X$  is built by the piece  $A$  and  $B$ . The Mayer-Vietoris theorem is the prime example of why we care about this. It says that computing the homology of parts of the space can recover homological information of the overall space. This is similar for the Seifert-van Kampen theorem. But in general, homotopy groups do not enjoy any theorems reminiscent to these properties, unless we are given (co)fibrations in which case there are indeed long exact sequences.

It is natural to look for conditions to relax so that we obtain similar results. The approach that algebraic topologists takes considers the following viewpoint: Most of the invariants in algebraic topology detect differences up to homotopy equivalence, but pushouts and pullbacks are more rigid than homotopy equivalence:

- Their universal properties guarantee that they are unique up to homeomorphism.
- Pushouts and pullbacks are determined by three spaces and two maps. But if we supply homotopy equivalent spaces then the pushout / pullback is not homotopy equivalence.

This means that pushouts and pullbacks (and in general arbitrary limits and colimits!) are quite incompatible with homotopies.

### Example 1.1.1

Consider the following commutative diagram:

$$\begin{array}{ccccc} * & \longleftarrow & S^n & \hookrightarrow & D^{n+1} \\ \downarrow & & \text{id} \downarrow & & \downarrow * \\ * & \longleftarrow & S^n & \longrightarrow & * \end{array}$$

While all the vertical arrows are weak equivalences, the induced map of pushouts is given by  $S^{n+1} \rightarrow *$  which is clearly not a weak equivalence. (dduggar0 (refer))

Therefore we concern ourselves with a homotopy invariant version of this concept. The ordinary pushouts and pullbacks are unique up to homeomorphism. We can explicitly define a set and its topology and show that it satisfies a universal property. We take a similar approach here and first introduce a model for homotopy pushouts.

#### Definition 1.1.2: The Standard Homotopy Pushout

Let  $X, Y, Z \in \mathbf{CGWH}$  be spaces. Let  $f : Z \rightarrow X$  and  $g : Z \rightarrow Y$  be maps. Define the standard homotopy pushout of  $f$  and  $g$  to be the quotient space

$$\mathrm{hocolim}(X \xleftarrow{f} Z \xrightarrow{g} Y) = \frac{X \amalg (Z \times I) \amalg Y}{\sim}$$

where  $\sim$  is the equivalence relation generated by  $f(z) \sim (z, 0)$  and  $g(z) \sim (z, 1)$  for  $z \in Z$ .

Remember that we want our new pushout to be a homotopy invariant, not a homeomorphic invariant. Any homeomorphic spaces has one unique way of writing it down set theoretically, but homotopy equivalent spaces are not determined by its set theoretic representation. Given an arbitrary square in  $\mathbf{Spaces}$ , we also want to know how to compare whether the square exhibits a homotopy pushout. In particular, we use the standard model as an anchor for comparison.

#### Definition 1.1.3: The Canonical Map of Homotopy Pushouts

Let  $X, Y, Z \in \mathbf{CGWH}$  be spaces. Let  $f : Z \rightarrow X$  and  $g : Z \rightarrow Y$  be maps. Define the canonical map of the homotopy pushout of the diagram to be the map

$$s : \mathrm{hocolim}(X \xleftarrow{f} Z \xrightarrow{g} Y) \rightarrow \mathrm{colim}(X \xleftarrow{f} Z \xrightarrow{g} Y)$$

given by the formula

$$u \mapsto \begin{cases} u & \text{if } u \in X \\ f(z) = g(z) & \text{if } u = (z, t) \in Z \times I \\ u & \text{if } u \in Y \end{cases}$$

#### Definition 1.1.4: The Standard Homotopy Pullback

Let  $X, Y, Z \in \mathbf{CGWH}$  be spaces. Let  $f : X \rightarrow Z$  and  $g : Y \rightarrow Z$  be maps. Define the standard homotopy pullback of  $f$  and  $g$  to be the subspace

$$\mathrm{holim}(X \xrightarrow{f} Z \xleftarrow{g} Y) = \{(x, \alpha, y) \in X \times \mathrm{Map}(I, Z) \times Y \mid \alpha(0) = f(x), \alpha(1) = g(y)\}$$

#### Definition 1.1.5: The Canonical Map of Homotopy Pullbacks

Let  $X, Y, Z \in \mathbf{CGWH}$  be spaces. Let  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  be maps. Define the canonical map from the pullback to the homotopy pullback

$$c : \mathrm{lim}(X \xrightarrow{f} Z \xleftarrow{g} Y) \rightarrow \mathrm{holim}(X \xrightarrow{f} Z \xleftarrow{g} Y)$$

to be given by  $(x, y) \mapsto (x, e_{f(x)=g(y)}, y)$  where  $e$  refers to the constant loop at  $f(x) = g(y)$ .

**Proposition 1.1.6**

Let  $X, Y, Z \in \mathbf{CGWH}$  be spaces. Let  $f : X \rightarrow Z$  and  $g : Y \rightarrow Z$  be maps. Then there is a homeomorphism

$$\lim(X \xrightarrow{f} Z \leftarrow P_g) \cong \operatorname{holim}(X \xrightarrow{f} Z \xleftarrow{g} Y)$$

given by the map  $(x, (y, \gamma)) \mapsto (x, \gamma y)$ .

*Proof.* Recall that the limit on the left is given by  $\{(x, (y, \gamma)) \in X \times P_g \mid \gamma(1) = f(x)\}$ . Also  $(y, \gamma) \in P_g$  means that  $\gamma(0) = g(y)$ . So the map defined by  $(x, (y, \gamma)) \mapsto (x, \gamma y)$  is a well defined map and is clearly a homeomorphism.  $\square$

Dually we have a homeomorphism

$$\operatorname{colim}(X \xleftarrow{f} Z \rightarrow M_g) \cong \operatorname{hocolim}(X \xleftarrow{f} Z \xrightarrow{g} Y)$$

Moreover, since the diagram of spaces is symmetric, we could have equally replaced the space on the left by the mapping path space / mapping cylinder and we would yet again obtain a homeomorphism.

**Definition 1.1.7: Homotopy Pushout Squares**

Let  $W, X, Y, Z \in \mathbf{CGWH}$  be spaces such that there is a (not necessarily commutative) diagram

$$\begin{array}{ccc} W & \longrightarrow & Y \\ \downarrow & & \downarrow \\ X & \longrightarrow & Z \end{array}$$

- We say that the square is a homotopy pushout square if the map

$$\beta : \operatorname{hocolim}(X \xleftarrow{f} W \xrightarrow{g} Y) \xrightarrow{s} \operatorname{colim}(X \xleftarrow{f} W \xrightarrow{g} Y) \rightarrow Z$$

is a weak equivalence.

- We say that the diagram is  $k$ -cocartesian if  $\beta$  is  $k$ -connected.

The idea is similar to standard pushouts in the sense that if we have a commutative square

$$\begin{array}{ccc} W & \longrightarrow & Y \\ \downarrow & & \downarrow \\ X & \longrightarrow & Z \end{array}$$

then by the universal property of pushouts one would obtain a comparison map  $W \rightarrow \lim(X \rightarrow Z \leftarrow Y)$ . When this is a homeomorphism we call  $W$  a pushout of the diagram. Similarly we define homotopy pullback squares dually.

**Definition 1.1.8: Homotopy Pullback Squares**

Let  $W, X, Y, Z \in \mathbf{CGWH}$  be spaces such that there is a (not necessarily commutative) diagram

$$\begin{array}{ccc} W & \longrightarrow & Y \\ \downarrow & & \downarrow \\ X & \longrightarrow & Z \end{array}$$

- We say that the diagram is a homotopy pullback if the map

$$\alpha : W \rightarrow \lim(X \xrightarrow{f} Z \xleftarrow{g} Y) \xrightarrow{c} \operatorname{holim}(X \xrightarrow{f} Z \xleftarrow{g} Y)$$

is a weak equivalence.

- We say that the diagram is  $k$ -cartesian if  $\alpha$  is  $k$ -connected.

It may appear weird that we require a weak equivalence instead of a homotopy equivalence. But it turns out this is the correct notion because of the following.

### Theorem 1.1.9: The Matching Lemma

Suppose that we have a commutative diagram of spaces

$$\begin{array}{ccccc} X & \xrightarrow{f} & Z & \xleftarrow{g} & Y \\ e_X \downarrow & & e_Z \downarrow & & \downarrow e_Y \\ X' & \xrightarrow{f'} & Z' & \xleftarrow{g'} & Y' \end{array}$$

in CGWH. Define the map

$$\phi_{X,Z,Y}^{X',Z',Y'} : \text{holim}(X \xrightarrow{f} Z \xleftarrow{g} Y) \rightarrow \text{holim}(X' \xrightarrow{f'} Z' \xleftarrow{g'} Y')$$

by the formula  $(x, \gamma, y) \mapsto (e_X(x), e_Z \circ \gamma, e_Y(y))$ . Then the following are true.

- If each  $e_X, e_Y, e_Z$  are homotopy equivalences, then  $\phi$  is a homotopy equivalence.
- If each  $e_X, e_Y, e_Z$  are weak equivalences, then  $\phi$  is a weak equivalence.

*Proof.* We first prove the case for homotopy equivalence. Consider the following commutative diagram:

$$\begin{array}{ccccc} X & \xrightarrow{f} & Z & \xleftarrow{g} & Y \\ \text{id}_X \downarrow & & \downarrow e_Z & & \downarrow \text{id}_Y \\ X & \xrightarrow{e_Z \circ f} & Z' & \xleftarrow{e_Z \circ g} & Y \\ e_X \downarrow & & \downarrow \text{id}_{Z'} & & \downarrow e_Y \\ X' & \xrightarrow{f'} & Z' & \xleftarrow{g'} & Y' \end{array}$$

We prove that the homotopy pullback of the first row is homotopy equivalent to that of the second, and we prove that the homotopy pullback of the second row is homotopy equivalent to that of the third.

Since  $e_Z$  is a homotopy equivalence, we can find a homotopy inverse  $k$  for  $e_Z$  and a homotopy  $H : Z \times I \rightarrow Z$  such that  $H(-, 0) = \text{id}_Z$  and  $H(-, 1) = k \circ e_Z$ . Define a map

$$\rho : \text{holim}(X \xrightarrow{f} Z' \xleftarrow{g} Y) \rightarrow \text{holim}(X \xrightarrow{e_Z \circ f} Z \xleftarrow{e_Z \circ g} Y)$$

by the formula

$$(x, \gamma', y) \mapsto (x, H(f(x), -) * k(\gamma'(-)) * \overline{H(g(y), -)} : I \rightarrow Z, y)$$

where  $*$  denotes concatenation of paths. The path concatenation is well defined because we have that  $H(f(x), 1) = (k \circ e_Z \circ f)(x) = (k \circ \gamma')(0)$  and  $k(\gamma'(1)) = k(e_Z(g(y))) = H(g(y), 1)$ . This is well defined on the homotopy pullback because we have that

- $H(f(x), -) * k(\gamma'(-)) * \overline{H(g(y), -)}(0) = H(f(x), 0) = \text{id}_Z(f(x)) = f(x)$
- $H(f(x), -) * k(\gamma'(-)) * \overline{H(g(y), -)}(1) = H(g(y), 0) = \text{id}_Z(g(y)) = g(y)$

I claim that this map is the homotopy inverse to the map  $\phi = \phi_{X,Y,Z}^{X',Y',Z'}$ . We have that

$$\begin{aligned} \rho(\phi(x, \gamma, y)) &= \rho(x, e_Z \circ \gamma, y) \\ &= (x, H(f(x), -) * k(e_Z(\gamma(-)) * \overline{H(g(y), -)}), y) \end{aligned}$$

Now I claim that the middle path is homotopic to  $\gamma$ . For the first component of the concatenation, the path  $H(f(x), t) : I \rightarrow Z$  can be contracted to  $H(f(x), 0) = f(x) = \gamma(0)$  so you can homotope the traversal along  $H(f(x), -)$  to the single point  $f(x) = \gamma(0)$ . For the third component of the concatenation, this is similar so we can homotope the traversal of  $H(g(y), -)$  to the single point  $g(y) = \gamma(1)$ . The middle part of the path is homotopic to  $\gamma$  because  $k \circ e_Z$  is homotopic to  $\text{id}_Z$ . Thus we conclude.  $\square$

Note: there is a similar result for pushouts.

We can compute some examples of homotopy pushouts and pullbacks.

#### Example 1.1.10

Let  $f : X \rightarrow Y$  be a map. For any  $y \in Y$  recall that the homotopy fiber of  $f$  is defined to be the space

$$\text{hofiber}_y(f) = \{(x, \gamma) \in X \times \text{Map}(I, Y) \mid \gamma(0) = f(x), \gamma(1) = y\}$$

In fact, it can easily be seen that this is precisely the definition of the homotopy pullback  $\text{holim}(X \xrightarrow{f} Y \leftarrow \{y_0\})$  where  $y_0$  is the base point of  $Y$ . Also note that if we take actual limits instead of homotopy limits then the same diagram would give us the fiber of  $f$ , thus justifying its name.

Similarly, the homotopy cofiber of  $f$  is defined to be

$$\text{hocofiber}(f) = \frac{(X \times I) \amalg Y}{\sim}$$

where the relation is generated by  $(x, 1) \sim (x', 1)$  and  $(x, 0) \sim f(x)$  for  $x, x' \in X$ . Again this is precisely the definition of  $\text{hocolim}(* \leftarrow X \xrightarrow{f} Y)$ .

The motivation behind the homotopy fiber is not dissimilar to that of homotopy pullbacks. Namely, the fibers of a map for varying  $y$  are in general not homotopic, but the homotopy fibers of a map are, and are moreover invariant under a homotopy.

#### Example 1.1.11

Let  $X$  be a pointed space. There is a unique map  $X \rightarrow *$  to the terminal object in **Spaces**. The homotopy pushout of  $* \leftarrow X \rightarrow *$  is given by

$$\text{hocolim}(* \leftarrow X \rightarrow *) = \frac{* \amalg (X \times I) \amalg *}{\sim}$$

where  $\sim$  is generated by  $* \sim (x, 0)$  and  $* \sim (x, 1)$ . This is precisely the definition of suspension.

Similarly, there is a unique map  $* \rightarrow X$  sending  $*$  to the base point of  $X$ . The homotopy pullback of  $* \rightarrow X \leftarrow *$  is given by

$$\begin{aligned} \text{holim}(* \rightarrow X \leftarrow *) &= \{(*, \gamma, *) \in * \times \text{Map}(I, X) \times * \mid \gamma(0) = * = \gamma(1)\} \\ &\cong \{\gamma \in \text{Map}(I, X) \mid \gamma \text{ is a loop at the base point}\} \end{aligned}$$

This is precisely the definition of loop space.

**Proposition 1.1.12**

Consider the following (not necessarily commutative) square

$$\begin{array}{ccccc} X_1 & \longrightarrow & X_2 & \longrightarrow & X_3 \\ \downarrow & & \downarrow & & \downarrow \\ Y_1 & \longrightarrow & Y_2 & \longrightarrow & Y_3 \end{array}$$

in **CGWH**. Let the right square be a homotopy pullback square. Then the left square is a homotopy pullback if and only if the rectangle is a homotopy pullback square.

*Proof.*

□

(6.3.3 Arkowitz)

**Proposition 1.1.13**

Let  $W, X, Y, Z \in \mathbf{CGWH}$  be spaces such that there is a (not necessarily commutative) diagram

$$\begin{array}{ccc} W & \longrightarrow & Y \\ \downarrow & & \downarrow \\ X & \longrightarrow & Z \end{array}$$

Then the following are true.

- If the square is a homotopy pullback, and  $Y \rightarrow Z$  is a weak equivalence, then  $W \rightarrow X$  is a weak equivalence.
- If  $Y \rightarrow Z$  and  $W \rightarrow X$  are weak equivalence, then the square is a homotopy pullback.

3.3.11 cubical

**Proposition 1.1.14**

Let  $W, X, Y, Z \in \mathbf{CGWH}$  be spaces such that following is a (not necessarily commutative) square

$$\begin{array}{ccc} W & \longrightarrow & Y \\ \downarrow & & \downarrow \\ X & \longrightarrow & Z \end{array}$$

Then the following are true.

- The square is a homotopy pullback if and only if for all  $x \in X$ , the map

$$\mathrm{hofiber}_x(W \rightarrow X) \rightarrow \mathrm{hofiber}_{f(x)}(Y \rightarrow Z)$$

is a weak equivalence.

- The square is  $k$ -cartesian if and only if for all  $x \in X$ , the map

$$\mathrm{hofiber}_x(W \rightarrow X) \rightarrow \mathrm{hofiber}_{f(x)}(Y \rightarrow Z)$$

is  $k$ -connected.

*Proof.* Begin with the homotopy pullback square

$$\begin{array}{ccc} \mathrm{holim}(X \rightarrow Z \leftarrow Y) & \longrightarrow & Y \\ \downarrow & & \downarrow \\ X & \longrightarrow & Z \end{array}$$



We know that there is a homotopy pullback square given by

$$\begin{array}{ccc} \mathrm{holim}_y(g) & \longrightarrow & Y \\ \downarrow & & \downarrow g \\ * & \longrightarrow & X \longrightarrow Z \end{array}$$

We can view this as a homotopy pullback square where we consider the composition  $\mathrm{holim}_y(g) \rightarrow * \rightarrow X$  as one single map. This gives a comparison of a homotopy pullback square with the standard homotopy pullback. Hence we obtain

$$\begin{array}{ccccc} \mathrm{holim}_y(g) & \longrightarrow & \mathrm{holim}(X \rightarrow Z \leftarrow Y) & \longrightarrow & Y \\ \downarrow & & \downarrow & & \downarrow g \\ * & \longrightarrow & X & \longrightarrow & Z \end{array}$$

By the above prp, we conclude that the square on the left is a homotopy pullback. By the same method, we can glue two more squares to obtain the diagram:

$$\begin{array}{ccccccc} \mathrm{holim}_y(\alpha) & \longrightarrow & \mathrm{holim}_y(f) & \longrightarrow & W & & \\ \downarrow & & \downarrow u & & \downarrow \alpha & & \\ * & \longrightarrow & \mathrm{holim}_y(g) & \longrightarrow & \mathrm{holim}(X \rightarrow Z \leftarrow Y) & \longrightarrow & Y \\ & & \downarrow & & \downarrow & & \downarrow g \\ & & * & \longrightarrow & X & \longrightarrow & Z \end{array}$$

If  $\alpha$  is a weak equivalence, then since the top wide rectangle is a homotopy pullback we have  $\mathrm{holim}_y(\alpha)$  is weakly equivalent to  $*$ . But the top left square is a homotopy pullback hence  $\mathrm{holim}_y(f)$  is weakly equivalent to  $\mathrm{holim}_y(g)$ . Conversely, suppose that  $u$  is a weak equivalence. Since the top left square is a homotopy pullback, this implies that  $\mathrm{holim}_y(\alpha)$  is weakly contractible for all  $y$ . In particular it is  $n$ -connected for all  $n$ . Then this implies that  $\alpha$  is  $(n+1)$ -connected for all  $n$ . Hence  $\alpha$  is a weak equivalence.  $\square$

### Proposition 1.1.15

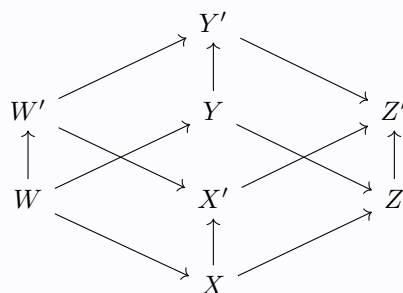
Let  $W, X, Y, Z \in \mathbf{CGWH}$  be spaces such that there is a homotopy pushout square

$$\begin{array}{ccc} W & \longrightarrow & Y \\ \downarrow & & \downarrow \\ X & \longrightarrow & Z \end{array}$$

Then there exists a pushout square

$$\begin{array}{ccc} W' & \longrightarrow & Y' \\ \downarrow & & \downarrow \\ X' & \longrightarrow & Z' \end{array}$$

such that  $W' \rightarrow X'$  and  $W' \rightarrow Y'$  are cofibrations, and a map of squares



where the vertical maps are homotopy equivalences.

### Definition 1.1.16: Excisive Functors

Let  $F : \mathbf{Spaces} \rightarrow \mathbf{Spaces}$  be a functor. We say that  $F$  is excisive if the following are true.

- $F$  is a homotopy functor. This means that if  $f$  is a weak equivalence, then  $F(f)$  is a weak equivalence.
- $F$  is finitary. This means that if  $I$  is a filtered category and  $X : I \rightarrow \mathbf{Spaces}$  is a diagram, then

$$\mathrm{hocolim}_{i \in I} F(X_i) \rightarrow F\left(\mathrm{hocolim}_{i \in I} X_i\right)$$

is a weak equivalence.

- $F$  sends homotopy pushouts to homotopy pullbacks.

The finitary requirement ensures that the functor  $F$  is determined by its value on a finite complexes. Then by taking homotopy colimits the value of  $F$  on spaces generated by colimits of finite complexes are determined. We will say something about this condition again in section 2.

A reasonable question might be to ask why excisive functors send homotopy pushouts to homotopy pullbacks instead to homotopy pushouts. Intuitively, pushouts are how we assemble spaces using smaller pieces. But interestingly enough, homotopy groups work better with homotopy pullbacks rather than homotopy pushouts.

### Theorem 1.1.17

LES of homotopy groups <https://math.stackexchange.com/questions/1262049/long-exact-sequence-of-homotopy-groups-pi-n-for-a-pointed-homotopy-pullback-s> 5.6.9 Martin Arkowitz

## 1.2 The Failure of the Identity Functor to be Excisive

It is natural to ask what kinds of functors are excisive. Instead of giving an example of an excisive functor, allow me to give an example of a functor that is not excisive. There are two reasons for this. The main theorem - Blakers-Massey theorem will assist us in giving a plethora of excisive functors. Moreover, it demonstrates the possibly simplest functor in existence - the identity functor is not excisive.

Blakers-Massey theorem itself is a powerful theorem that implies the connectivity bounds in the Freudenthal suspension theorem. Before the main theorem, we must present preparatory definitions and lemmas, and then prove a special case of the theorem, and finally generalize it to the arbitrary case. Let me first give the statement of the main theorem.

**Theorem 1.2.1: Blakers-Massey Theorem**

Let  $X_0, X_1, X_2, X_{12} \in \mathbf{CGWH}$  be spaces such that the square

$$\begin{array}{ccc} X_0 & \longrightarrow & X_1 \\ \downarrow & & \downarrow \\ X_2 & \longrightarrow & X_{12} \end{array}$$

is a homotopy pushout. Suppose the map  $X_0 \rightarrow X_i$  is  $k_i$ -connected for  $i = 1, 2$ . Then the diagram is  $(k_1 + k_2 - 1)$ -cartesian. Explicitly, this means that

$$\alpha : X_0 \rightarrow \operatorname{holim}(X_1 \rightarrow X_{12} \leftarrow X_2)$$

is  $(k_1 + k_2 - 1)$ -connected.

Firstly, recall that a square is a homotopy pullback if the map  $\alpha$  above is  $n$ -connected for all  $n \in \mathbb{N}$ . The theorem implies that the identity functor is not excisive because after giving connectedness assumptions on maps in the square, we only get an upper bound of the connectedness of  $\alpha$ , which means that the identity functor is excisive up to a certain dimension.

**Definition 1.2.2: (Degenerative) Cubes**

Let  $a = (a_1, \dots, a_n) \in \mathbb{R}^n$ . Let  $\delta > 0$ . Let  $L \subseteq \{1, \dots, n\}$ . A cube in  $\mathbb{R}^n$  is a set of the form

$$W = W(a, \delta, L) = \{x \in \mathbb{R}^n \mid a_i \leq x_i \leq a_i + \delta \text{ for } i \in L \text{ and } x_i = a_i \text{ for } i \notin L\}$$

The notation is making the object more complicated than what it should look like.  $a \in \mathbb{R}^n$  refers to the bottom left coordinate of the cube.  $\delta$  is the length of the cube and  $L$  refers to the number of non-degenerate faces of the cube. In particular, any cube in  $\mathbb{R}^n$  is homeomorphic to the standard cube  $I^k$  for some  $k \leq n$ .

- When  $n = 3$ ,  $W(0, 1, \{1, 2\})$  is the unit square on the  $xy$ -plane.
- When  $n = 3$ ,  $W(0, 1, \{1, 2, 3\})$  is the unit cube.
- When  $n = 4$ ,  $W(0, 1, \{1, 2, 3\})$  is the unit cube with nonzero first three coordinates and zero otherwise.

**Definition 1.2.3: Special Sub-cube of a Cube**

Let  $W = W(a, \delta, L)$  be a cube in  $\mathbb{R}^n$ . Let  $j = 1$  or  $2$ . Suppose that  $1 \leq p \leq |L|$ . Define

$$K_p^j(W) = \left\{ (x_1, \dots, x_n) \in W \mid \frac{\delta(j-1)}{2} + a_i < x_i < \frac{\delta j}{2} + a_i \text{ for at least } p \text{ values of } i \in L \right\}$$

Again the notation is making the object more complicated. Taking  $W = W(0, 1, \{1, 2, 3\}) = I^3$  in  $\mathbb{R}^3$ , we have

- $K_3^1(W) = W(0, 1/2, \{1, 2, 3\})$  is one eighth of the cube  $I^3$  with bottom left corner at the origin.
- $K_3^2(W) = W((1/2, 1/2, 1/2), 1/2, \{1, 2, 3\})$  is one eighth of the cube  $I^3$  with bottom left corner at  $(1/2, 1/2, 1/2)$
- $K_2^1(W)$  allows for one coordinate to go beyond the bottom left one eighth of the cube, and is the union four of the  $1/8$ -sub-cubes that are adjacent to the  $xy$ -plane, the  $yz$ -plane and the  $xz$ -plane.
- $K_1^1(W)$  allows for two coordinate to go beyond the bottom left one eighth of the cube, and is equal to  $W \setminus K_3^2(W)$ .
- $K_0^1(W)$  allows for all coordinate to go beyond the bottom left one eighth of the cube, so the condition becomes vacuous and is equal to  $W$ .

Summarizing, we think of  $K_p^j(W)$  as follows. Subdivide the  $|L|$ -dimensional cube into  $2^{|L|}$  sub-cubes of equal volume.  $K_p^1$  is the union of a number of sub-cubes closest to the bottom left sub-cube.  $K_p^2$  is the union of a number of sub-cubes closed to the upper right sub-cube.

#### Lemma 1.2.4

Let  $Y$  be a space. Let  $B \subseteq Y$  be a subspace of  $Y$ . Let  $W = W(a, \partial, L)$  be a cube in  $\mathbb{R}^n$ . Let  $f : W \rightarrow Y$  be a map. Let  $j = 1$  or  $2$ . Suppose that there exists some  $p \leq |L|$  such that

$$f^{-1}(B) \cap C \subset K_p^j(C)$$

for all cubes  $C \subset \partial W$ . Then there exists a map  $g : W \rightarrow Y$  such that  $g \stackrel{\partial W}{\simeq} f$  and

$$g^{-1}(B) \subset K_p^j(W)$$

*Proof.* (Proof by Munson in Cubical Homotopy Theory) Firstly, notice that any cube  $W$  is homeomorphic to  $I^n$  for some  $n$ , so we can just prove the statement for when  $W = I^n$ . In this case, our parameters of the cube is given by  $I^n = W(a = 0, \delta = 1, L = \{1, \dots, n\})$  and our  $K_p^j(W)$  is given by

$$K_p^j(W) = \left\{ (x_1, \dots, x_n) \in C \mid \frac{j-1}{2} < x_i < \frac{j}{2} \text{ for at least } p \text{ values of } i \in \{1, \dots, n\} \right\}$$

Let  $p_j$  be the center of the sub-cube  $[\frac{j-1}{2}, \frac{j}{2}]^n$  inside  $I^n$  for  $j = 1, 2$ . Let  $R$  be a ray with starting point  $p_j$ . Let  $P(R, p_j)$  be the intersection of  $R$  and  $\partial[\frac{j-1}{2}, \frac{j}{2}]^n$ . Let  $Q(R, p_j)$  be the intersection of  $R$  and  $\partial I^n$ . By construction, the points  $p_j$ ,  $P(R, p_j)$  and  $Q(R, p_j)$  are collinear with  $P(R, p_j)$  always being the mid point and  $P(R, p_j)$  is possibly equal to  $Q(R, p_j)$ . Being a line, we can define a linear homotopy from the line  $[p_j, P(R, p_j)]$  to the line  $[p_j, Q(R, p_j)]$  that fixes the point  $p_j$  and sends  $P(R, p_j)$  to  $Q(R, p_j)$ . Denote the homotopy by  $h(y, t)$  for  $y \in [p_j, P(R, p_j)]$  and  $t$  the time variable.

Now we can define a homotopy  $H_j : I^n \times I \rightarrow I^n$  as follows: For each  $y \in I^n$ , there exists a unique ray  $R$  starting at  $p_j$  and passing through  $y$ . Then we obtain a homotopy  $h$  from  $[p_j, P(R, p_j)]$  to  $[p_j, Q(R, p_j)]$  as above. Define  $H(y, t) = h(y, t)$ . It is clear that  $H_j(q, 1) = q$  for all  $q \in \partial I^n$  so that  $H_j$  is a homotopy from the identity, relative to the boundary  $\partial I^n$ .

Let  $g = f \circ H_j(-, 1)$ . From the properties of the homotopy  $H_j$ , we notice that  $f \circ H_j : I^n \times I \rightarrow Y$  is a homotopy from  $f \circ H(-, 0) = f \circ \text{id} = f$  to  $f \circ H(-, 1) = g$ , relative to the boundary  $\partial I^n$ . Thus we now have a homotopy from  $f$  to a map  $g$  relative to the boundary. It remains to show that  $g^{-1}(B) \subset K_p^j(C)$ .

Let  $z = (z_1, \dots, z_n) \in g^{-1}(B)$ . If  $z \in [\frac{j-1}{2}, \frac{j}{2}]^n$  then clearly  $z \in K_p^j(C)$  is true. So suppose instead that  $z = (z_1, \dots, z_n) \in g^{-1}(B)$  satisfies the fact that either  $z_a \geq \frac{j}{2}$  or  $z_b \leq \frac{j-1}{2}$  for  $1 \leq a, b \leq n$ . Let  $R$  be the ray from  $p_j$  passing through  $z$ . Then the condition on  $z$  means that  $z \in [P(R, p_j), Q(R, p_j)]$ . Hence under the homotopy  $H$ ,  $z$  is mapped to  $\partial I^n$ . But  $\partial I^n$  is a union  $n - 1$  dimensional faces of  $I^n$  which are cubes. So  $H(z, 1)$  lies in some cube  $C \subseteq \partial I^n$ . By construction of  $g$ ,  $g(z) = f(H(z, 1))$  and  $g(z) \in B$  implies that  $H(z, 1) \in f^{-1}(B)$ . Then  $H(z, 1) \in f^{-1}(B)$  and  $H(z, 1) \in C$  implies that

$$H(z, 1) \in f^{-1}(B) \cap C \subseteq K_p^j(C)$$

by the assumption on  $f$ . Write  $H(z, 1) = (w_1, \dots, w_n) \in \partial I^n$ . This means that  $\frac{j-1}{2} < w_i < \frac{j}{2}$  for at least  $p$  of the coordinates of  $H(z, 1)$ .

Now the ray starting at  $p_j$  and passing through  $z$  is parametrized by the line  $p_j + t(z - p_j)$  for  $t \geq 0$ . Since  $H(z, 1)$  lies behind the two points  $z$  and  $p_j$ , we can write

$H(z, 1) = p_j - t_0(z - p_j)$  for some  $t_0 \geq 1$ . By definition,  $p_j$  is the point given in coordinates by  $(\frac{2j-1}{4}, \dots, \frac{2j-1}{4})$ . Hence the  $i$ th coordinate of  $H(z, 1)$  can be written as

$$w_i = \frac{2j-1}{4} + t_0 \left( z_i - \frac{2j-1}{4} \right)$$

Recall that in the previous paragraph we found that  $\frac{j-1}{2} < w_i < \frac{j}{2}$  for at least  $p$  of the coordinates of  $H(z, 1)$ . Substituting  $w_i$  into the inequality and simplifying gives

$$-\frac{1}{4t_0} + \frac{2j-1}{4} < z_i < \frac{1}{4t_0} + \frac{2j-1}{4}$$

Since  $t_0 \geq 1$ , we get

$$-\frac{1}{4} + \frac{2j-1}{4} < -\frac{1}{4t_0} + \frac{2j-1}{4} < z_i < \frac{1}{4t_0} + \frac{2j-1}{4} < \frac{1}{4} + \frac{2j-1}{4}$$

The leftmost and rightmost terms bound  $z_i$  between  $\frac{j-1}{2}$  and  $\frac{j}{2}$  for at least  $p$  amount of coordinates  $z_i$  of  $z$ . Hence  $z \in K_p^j(C)$ . This completes the proof.  $\square$

### Lemma 1.2.5

Let  $X$  be a space. Let  $X_0, X_1, X_2 \subseteq X$  be subspaces of  $X$  such that

$$X = X_1 \cup X_2$$

and  $X_0 = X_1 \cap X_2$  is non-empty. Assume that for each  $i = 1, 2$ ,  $(X_i, X_0)$  is  $k_i$ -connected with  $k_i \geq 0$ . Let  $f : I^n \rightarrow X$  be a map. Let

$$I^n = \bigcup_k W_k$$

be the decomposition of  $I^n$  into cubes  $W_k$  such that  $f(W_k) \subseteq X_i$  for one of  $i = 0, 1, 2$  by the Lebesgue covering lemma. Then there exists a homotopy

$$H : I^n \times I \rightarrow X$$

such that the following are true.

- $f(-) = H(-, 0)$
- If  $f(W) \subset X_i$ , then  $H(W, t) \subset X_i$  for all  $t \in I$ .
- If  $f(W) \subset X_0$ , then  $H(W, t) = f(W)$  for all  $t \in I$ .
- If  $f(W) \subset X_i$ , then  $((H(-, 1))^{-1}(X_i \setminus X_0)) \cap W \subset K_{k_i+1}^i(W)$ .

*Proof.* Let  $C^d$  be the union of all cubes of dimension  $\leq d$ . We induct on  $d$ , the existence of such a homotopy  $H : C^d \times I \rightarrow X$  that holds the required conditions true for all cubes  $W$  with dimension  $\leq d$ .

We first construct the homotopy for all cubes of dimension 0. When  $\dim(W) = 0$ , there are two cases:

- If  $f(W) \subset X_0$ , define  $H|_{W \times I}$  by  $H(w, t) = f(w)$
- If  $f(W) \subset X_j$  and  $f(W) \not\subset X_i$  for  $1 \leq i \neq j \leq 2$ ,  $(X_j, X_0)$  is  $(k_j \geq 0)$ -connected implies that there exists a path  $\gamma : I \rightarrow X$  from  $f(W)$  to a point in  $X_0$ . Define  $H|_{W \times I}$  by  $H(w, t) = \gamma(t)$  (again  $W = \{w\}$  is a one point set).

Thus we now have a well defined map  $H : C^0 \times I \rightarrow X$ . We need to show that this map satisfies the required conditions.

- For each  $z \in C^0$ , either  $H(z, 0) = f(z)$  from the first case or  $H(z, 0) = \gamma(0) = f(z)$ .
- If  $f(W) \subset X_i$ , then by construction  $H(W, t) \subset X_i$  from the second case.
- If  $f(W) \subset X_0$ , then  $H(W, t) = f(W)$  by the first case.

- $K_{k_i+1}^i(W) = \{w\}$  is a one point set and  $(H(-, 1))^{-1}(X_i \setminus X_0) \cap W \subseteq W$  means that this condition is satisfied.

Now  $H$  is built on three pieces: the union of cubes landing in  $X_i$  for  $i = 0, 1, 2$ . The second and third conditions guarantee that each of the three pieces define a homotopy on each piece respectively. Since  $\partial W \hookrightarrow W$  is a cofibration, we can extend these pieces of homotopy from 0-dimensional cubes to 1-dimensional. Recursively we are able to define a homotopy for all cubes of all dimensions inside  $I^n$  that satisfy the first three conditions.

Therefore now we can invoke the inductive hypothesis, so that there exists a homotopy from  $f$  so that the new function satisfy all our required conditions for all cubes of dimension  $< d$ . With abuse of notation, call the restriction of our newly acquired function to  $C^{d-1}$  also by the name  $f$ . Let  $W$  be a cube of dimension  $d$ .

- If  $f(W) \subseteq X_0$ , define  $H|_{W \times I}$  by  $H(w, t) = f(w)$
- If  $f(W) \subset X_1$  and  $f(W) \not\subset X_2$  and  $\dim(W) = d \leq k_1$ ,  $(X_j, X_0)$  is  $(k_j \geq 0)$ -connected implies there exists a homotopy  $K : W \times I \rightarrow X$  from  $f$  relative to  $\partial W$  such that  $K(W, 1) \subseteq X_0$ . Define  $H|_{W \times I}$  by  $H = K$ .
- If  $f(W) \subset X_1$  and  $f(W) \not\subset X_2$  and  $\dim(W) = d > k_1$ , then by induction we have

$$f^{-1}(X_1 \setminus X_0) \cap W' \subset K_d^1(W') \subset K_{k_1+1}^1(W')$$

for all  $W' \subset \partial W$  (induction is applicable since  $\dim(W') < \dim(W)$ ). By the above lemma, there exists a map  $g : W \rightarrow X$  such that  $g$  and  $f$  are homotopic relative to  $\partial W$  such that  $g^{-1}(X_1 \setminus X_0) \subset K_{k_1+1}^1(W)$ . Call this homotopy from  $f$  to  $g$  by

$R : W \times I \rightarrow X$ . Then we define  $H|_{W \times I}$  by  $H = R$ .

(WLOG the cases where  $X_1$  is swapped with  $X_2$  and  $k_1$  is swapped with  $k_2$  and  $K_p^1(W)$  is swapped with  $K_p^2(W)$  in the last two sub-cases has a symmetrical argument). Finally we show that our required conditions are satisfied.

- In all cases,  $H(-, 0) = f$  as one can immediately see.
- The second condition holds for all cubes of dimension  $< d$  by inductive hypothesis. It also holds for our first and second case since  $X_0 \subset X_1, X_2$ . For the third case,  $H$  is a homotopy relative to  $\partial W$ . Since by induction hypothesis  $f(\partial W) \subseteq X_1$ , we also have  $g(\partial W) \subseteq X_1$ . Since  $g$  is continuous then  $H(W, 1) = g(W) \subseteq X_1$ .
- The third condition holds for all cubes of dimension  $< d$  by inductive hypothesis, and holds true for all cubes of dimension  $d$  by the first case.
- The fourth condition holds true by our argument in the third case, and is vacuously true in the second case since  $H(W, 1) \subseteq X_0$  implies that  $(H(-, 1))^{-1}(X_1 \setminus X_0) = \emptyset$ .

Thus the proof is complete.  $\square$

We can now prove a weaker version of Blakers-Massey theorem.

### Proposition 1.2.6

Let  $X$  be a space. Let  $e^{d_i}$  be a cell of dimension  $d_i$  for  $i = 1, 2$ . Then the following diagram

$$\begin{array}{ccc} X & \longrightarrow & X \cup e^{d_1} \\ \downarrow & & \downarrow \\ X \cup e^{d_2} & \longrightarrow & X \cup e^{d_1} \cup e^{d_2} \end{array}$$

given by inclusion maps is  $(d_1 + d_2 - 3)$ -cartesian.

*Proof.* (Proof is by Munson in Cubical Homotopy Theory) Let  $p_1 \in e^{d_1}$  and  $p_2 \in e^{d_2}$  be interior points. Since  $X \cup e^{d_2}$  is weakly equivalent to  $X \cup e^{d_1} \cup e^{d_2} \setminus \{p_1\}$  by inclusion (and similarly for  $X \cup e^{d_1}$ ), the above square admits a weak equivalence to the following square:

$$\begin{array}{ccc}
X \cup e^{d_1} \cup e^{d_2} \setminus \{p_1, p_2\} & \hookrightarrow & X \cup e^{d_1} \cup e^{d_2} \setminus \{p_2\} \\
\downarrow & & \downarrow \\
X \cup e^{d_1} \cup e^{d_2} \setminus \{p_1\} & \hookrightarrow & X \cup e^{d_1} \cup e^{d_2}
\end{array}$$

Let  $Y = X \cup e^{d_1} \cup e^{d_2}$ . By thm???? to show that the square is  $(d_1 + d_2 - 3)$ -cartesian is the same as showing the map

$$\mathrm{hofiber}_y(Y \setminus \{p_1, p_2\} \rightarrow Y \setminus \{p_1\}) \rightarrow \mathrm{hofiber}_y(Y \setminus \{p_2\} \rightarrow Y)$$

is  $(d_1 + d_2 - 3)$ -cartesian. Let

$$C = \mathrm{hofiber}_y(Y \setminus \{p_2\} \rightarrow Y \setminus \{p_2\}) \simeq *$$

Now the intersections below can be calculated to give

$$C \cap \mathrm{hofiber}_y(Y \setminus \{p_1, p_2\} \rightarrow Y \setminus \{p_1\}) = \mathrm{hofiber}_y(Y \setminus \{p_1, p_2\} \rightarrow Y \setminus \{p_1, p_2\})$$

and

$$C \cap \mathrm{hofiber}_y(Y \setminus \{p_2\} \rightarrow Y) = \mathrm{hofiber}_y(Y \setminus \{p_2\} \rightarrow Y \setminus \{p_2\}) \simeq *$$

(because  $C$  and the homotopy fibers are all subspaces of  $Y \times \mathrm{Map}(I, Y)$ ). By thm??? we conclude that the square

$$\begin{array}{ccc}
C \cap \mathrm{hofiber}_y(Y \setminus \{p_1, p_2\} \rightarrow Y \setminus \{p_1\}) & \hookrightarrow & \mathrm{hofiber}_y(Y \setminus \{p_1, p_2\} \rightarrow Y \setminus \{p_1\}) \\
\downarrow & & \downarrow \\
C & \hookrightarrow & C \cup \mathrm{hofiber}_y(Y \setminus \{p_1, p_2\} \rightarrow Y \setminus \{p_1\})
\end{array}$$

is a homotopy pullback. Since the left two terms are contractible, it is a weak equivalence. By thm??? we conclude that the map on the right is a weak equivalence. Similarly, we can consider the diagram

$$\begin{array}{ccc}
C \cap \mathrm{hofiber}_y(Y \setminus \{p_2\} \rightarrow Y) & \hookrightarrow & \mathrm{hofiber}_y(Y \setminus \{p_2\} \rightarrow Y) \\
\downarrow & & \downarrow \\
C & \hookrightarrow & C \cup \mathrm{hofiber}_y(Y \setminus \{p_2\} \rightarrow Y)
\end{array}$$

which is a homotopy pullback. And the fact that the terms on the left are contractible imply that the map on the right (which is in fact equal)

$$\mathrm{hofiber}_y(Y \setminus \{p_2\} \rightarrow Y) \xrightarrow{\cong} \mathrm{hofiber}_y(Y \setminus \{p_2\} \rightarrow Y)$$

is a weak equivalence by the same theorem. We now have a chain of maps

$$\begin{array}{ccc}
\mathrm{hofiber}_y(Y \setminus \{p_1, p_2\} \rightarrow Y \setminus \{p_1\}) & \xrightarrow{\text{weak eq.}} & C \cup \mathrm{hofiber}_y(Y \setminus \{p_1, p_2\} \rightarrow Y \setminus \{p_1\}) \\
& \nwarrow & \\
C \cup \mathrm{hofiber}_y(Y \setminus \{p_2\} \rightarrow Y) & \xrightarrow{\text{weak eq.}} & \mathrm{hofiber}_y(Y \setminus \{p_2\} \rightarrow Y)
\end{array}$$

where the middle map is inclusion. It remains to show that the middle map is  $(d_1 + d_2 - 3)$ -connected. This is the same as showing that the pair

$$(C \cup \mathrm{hofiber}_y(Y \setminus \{p_2\} \rightarrow Y), C \cup \mathrm{hofiber}_y(Y \setminus \{p_1, p_2\} \rightarrow Y \setminus \{p_1\}))$$

is  $(d_1 + d_2 - 3)$ -connected.

To simplify notations let us write the pair as  $(A, B)$ . Let  $\phi : (I^n, \partial I^n) \rightarrow (A, B)$  be a map. Recall that  $A = \{(x, \phi) \in Y \times \mathrm{Map}(I, Y) \mid \phi(0) = y, \phi(1) = x\}$ . The first variable is determined



by the end point of  $\phi$  so giving a map  $I^n \rightarrow A$  is the same as giving a map  $I^n \rightarrow \text{Map}(I, Y)$  for which all paths in the image has starting point  $y$  and ending point in  $Y \setminus \{p_2\}$ . By the hom-product adjunction, this is equivalent to giving a map  $\psi : I^n \times I \rightarrow Y$  such that  $\psi(z, 0) = y$  is the base point and  $\psi(z, 1) \in Y \setminus \{p_2\}$ . Similarly, we can consider the map  $\phi : \partial I^n \rightarrow B$  and deduce that  $\phi(z)$  is a path lying entirely in the codomain of the map of the homotopy fiber  $C = \text{hofib}_y(Y \setminus \{p_2\} \rightarrow Y \setminus \{p_2\})$  or it is a path lying entirely in the codomain of the map of the homotopy fiber  $\text{hofib}_y(Y \setminus \{p_1, p_2\} \rightarrow Y \setminus \{p_1\})$ . By the same adjunction we conclude that our  $\psi$  above must also satisfy for any fixed  $z \in \partial I^n$ ,  $\psi(z, t)$  lies entirely in either  $Y \setminus \{p_1\}$  or  $Y \setminus \{p_2\}$  (and conversely these information give a map  $(I^n, \partial I^n) \rightarrow (A, B)$  by the adjunction).

To summarize: we have a map

$$\psi : I^n \times I \rightarrow Y$$

such that

- $\psi(z, 0) = y$  is the base point for all  $z \in I^n$ .
- $\psi(z, 1) \in Y \setminus \{p_2\}$  for all  $z \in I^n$ .
- For any fixed  $z \in \partial I^n$ ,  $\psi(z, t)$  lies entirely in  $Y \setminus \{p_1\}$  or  $Y \setminus \{p_2\}$  for varying  $t$ .

The goal is to make a homotopy from  $\psi$  to a map whose third condition holds for any  $z \in I^n$  when  $n \leq d_1 + d_2 - 3$ . Then passing through the adjunction again we see that our original map  $(I^n, \partial I^n) \rightarrow (A, B)$  is homotopic to the constant map as required. Apply 5.1.4 to obtain a homotopy  $H : I^n \times I \times I \rightarrow Y$  from  $\psi$  to a new map  $\eta : I^n \times I \rightarrow Y$ , such that we have a decomposition of  $I^n \times I$  into cubes  $W$  and the following are true.

1.  $\psi(W) \subset Y \setminus \{p_2\}$  implies  $H(W, r) \subset Y \setminus \{p_2\}$  for all  $r \in I$ .
2.  $\psi(W) \subset Y \setminus \{p_1\}$  implies  $H(W, r) \subset Y \setminus \{p_1\}$  for all  $r \in I$ .
3.  $\psi(W) \subset Y \setminus \{p_1, p_2\}$  implies  $H(W, r) = \psi(W)$  for all  $r \in I$ .
4.  $\psi(W) \subset Y \setminus \{p_2\}$  then  $(H(-, 1)^{-1}(\{p_1\})) \cap W \subset K_{d_1}^1(W)$
5.  $\psi(W) \subset Y \setminus \{p_1\}$  then  $(H(-, 1)^{-1}(\{p_2\})) \cap W \subset K_{d_2}^2(W)$

We claim that  $H(z, t, r)$  satisfies the three bullet points for all fixed  $r$ .

Firstly, we already know that  $\psi(z, 0) = y$  is the base point for all  $z \in I^n$ . So for all cubes  $W \subseteq I^n \times \{0\}$ , we have  $\psi(W) = \{y\} \subset Y \setminus \{p_1, p_2\}$ . By 3., we conclude that  $H(W, r) = \psi(W) = \{y\}$ . Secondly, we know that  $\psi(z, 1) \in Y \setminus \{p_2\}$  for all  $z \in I^n$ . So for all cubes  $W \subseteq I^n \times \{1\}$ , we have  $\psi(W) \subset Y \setminus \{p_2\}$ . By 1., we conclude that  $H(W, r) \subset Y \setminus \{p_2\}$  for all  $r \in I$ . Finally, according to the third bullet point,  $\psi(z, I)$  lies entirely in  $Y \setminus \{p_1\}$  or  $Y \setminus \{p_2\}$  for  $z \in \partial I^n$  WLOG lets say it lies entirely in  $Y \setminus \{p_i\}$ . Choose cubes  $W_1, \dots, W_k$  in the decomposition of  $I^n \times I$  so that it forms a minimal cover for  $\{z\} \times I \subset W_1 \cup \dots \cup W_k$ . By definition of the decomposition, these cubes firstly contain at least one point in  $\{z\} \times I$ , and  $\psi(\{z\} \times I) \subset Y \setminus \{p_j\}$  implies that  $\psi(W_1), \dots, \psi(W_k) \subset Y \setminus \{p_j\}$ . By 1., we conclude that  $H(W_1, r), \dots, H(W_k, r) \subset Y \setminus \{p_j\}$  so that  $H(W_1 \cup \dots \cup W_k, r) \subset Y \setminus \{p_j\}$ .

It remains to show that  $\eta(-, -) = H(-, -, 1)$  satisfies the stronger condition of the third bullet point as desired. Let  $n \leq d_1 + d_2 - 3$ . We want to show that  $\eta(z, I) \subset Y \setminus \{p_j\}$  for some  $j$ . I claim that this is equivalent to saying

$$\text{proj}(\eta^{-1}(\{p_1\})) \cap \text{proj}(\eta^{-1}(\{p_2\})) = \emptyset$$

where  $\text{proj}$  is the projection to the first coordinate. Indeed if  $\eta(z, I)$  always lie inside one of  $Y \setminus \{p_j\}$ ,  $j = 1, 2$ , then  $\text{proj}(\eta^{-1}(\{p_1\})) = \{z \in I^n \mid \eta(z, I) \subset Y \setminus \{p_1\}\}$  and similarly for the other projection so that their intersection is empty. Conversely if one of  $\eta(z, I)$  does not entirely in  $Y \setminus \{p_2\}$  then the intersection is non-empty.

So it suffices to prove that the intersection given above is empty. So suppose it is non-empty with an element  $z_0$ . Then there exists  $t_1, t_2 \in I$  such that  $\eta(z_0, t_1) \in Y \setminus \{p_2\}$  and  $\eta(z_0, t_2) \in Y \setminus \{p_1\}$ . Choose cubes  $W_1 = W(a_1, \delta_1, L_1)$ ,  $W_2 = W(a_2, \delta_2, L_2)$  in the given decomposition of  $I^n \times I$  so that  $(z_0, t_1) \in W_1$  and  $(z_0, t_2) \in W_2$ . By 4. and 5. we have  $(z_0, t_1) \in \eta^{-1}(\{p_1\}) \cap W_1 \subset K_{d_1}^1(W_1)$  and similarly for  $(z_0, t_2)$ . Then  $(z_0, t_j)$  has at least  $d_j$  coordinates satisfying the inequalities to lie in  $K_{d_j}^1$ . Hence  $z_0 = \text{proj}(z_0, t)$  has at least  $d_j - 1$  coordinates satisfying those inequalities for each  $j = 1, 2$ . For each  $j$ ,  $\text{proj}(W_j)$  is a cube



containing  $z_0$ . Subdivide the cubes  $W_1$  and  $W_2$  further so that  $\text{proj}(W_1) = \text{proj}(W_2)$ . Since  $\text{proj}(z_0, t) = z_0$ , this means that  $z_0$  has at least  $d_j - 1$  coordinates satisfying the inequalities of  $K_{d_1}^1(W_1)$  and  $K_{d_2}^2(W_2)$ . But notice that the inequalities of  $K_{d_1}^1(W_1)$  and  $K_{d_2}^2(W_2)$  are disjoint (one concerns whether the points are at the front of the cube, the other at the back). So these conditions are disjoint and  $z_0$  must have at least  $d_1 + d_2 - 2$  conditions on its coordinates. This is impossible if  $z_0$  has less than  $d_1 + d_2 - 3$  coordinates. Hence we are done.

Notice that the proof required  $d_1, d_2 \geq 1$ . Assume WLOG that  $d_2 = 0$ , then right from the beginning we are considering the map of homotopy fibers

$$\text{hofiber}_y(X \rightarrow X \cup e^{d_2}) \rightarrow \text{hofiber}_y(X \cup ed_1 \rightarrow X \cup e^{d_1} \cup e^{d_2})$$

where  $X \cup e^{d_1}$  is now the disjoint union of  $X$  with a base point. Then  $\text{hofiber}_y(X \rightarrow X \amalg *)$  consists of pairs  $(x, \phi) \in X \times \text{Map}(I, X \amalg *)$  such that  $\phi(0) \in X$  and  $\phi(1) = *$ . But  $*$  is disjoint from  $X$  means that no pairs satisfy this conditions and the homotopy fiber is the empty set. Similarly for the target homotopy fiber. Hence the map of homotopy fibers is the identity and it is trivially true. The proof is similar for  $d_1 = 0$ .  $\square$

### Theorem 1.2.7: Blakers-Massey Theorem for Squares

Let  $X_0, X_1, X_2, X_{12} \in \mathbf{CGWH}$  be spaces such that the square

$$\begin{array}{ccc} X_0 & \longrightarrow & X_1 \\ \downarrow & & \downarrow \\ X_2 & \longrightarrow & X_{12} \end{array}$$

is a homotopy pushout. Suppose the map  $X_0 \rightarrow X_i$  is  $k_i$ -connected for  $i = 1, 2$ . Then the diagram is  $(k_1 + k_2 - 1)$ -cartesian. Explicitly, this means that

$$\alpha : X_0 \rightarrow \text{holim}(X_1 \rightarrow X_{12} \leftarrow X_2)$$

is  $(k_1 + k_2 - 1)$ -connected.

*Proof.* By 3.7.29, we only need to consider squares of the form

$$\begin{array}{ccc} X_0 & \longrightarrow & X_1 \\ \downarrow & & \downarrow \\ X_2 & \longrightarrow & X_{12} = X_1 \amalg_{X_0} X_2 \end{array}$$

By Hatcher 4.16, if  $(X, A)$  is  $k$ -connected CW complex, then there exists a CW pair  $(Z, A)$  such that  $Z \setminus A$  only has cells of dimension  $\geq k$  that is weakly equivalent to  $(X, A)$ . The set up of the theorem now simplifies to the following:  $X_1$  is the obtained from  $X_0$  by gluing cells of dimension  $\geq k_1$ , and likewise for  $X_2$ . Now showing that the above diagram is  $(k_1 + k_2 - 1)$ -cartesian is the same as showing that the map of homotopy fibers of the vertical maps is  $(k_1 + k_2 - 1)$ -connected. This is the same as saying  $(\text{holim}(X_1 \rightarrow X_{12}), \text{holim}(X_0 \rightarrow X_1))$  is  $(k_1 + k_2)$ -connected, which is the same as saying any map from  $(I^n, \partial I^n)$  to the pair of space is homotopic to a map mapping  $I^n$  into  $\text{holim}(X_0 \rightarrow X_1)$  relative boundary. By a similar method in the above lemma, such a map, by the hom product adjunction, is the same as giving a map  $I^n \times I \rightarrow X_{12}$  for which  $I^n \times \{1\}$  lies in  $X_1$ . But  $X_1$  is a CW complex and  $I^n \times \{1\}$  is compact, which means that image of the map is contained in finitely many cells, and so WLOG we can take  $X_1, X_2$  to be the union of finitely many cells of appropriate dimension.

Now by 1.1.10 and the above lemma we are done.  $\square$

This theorem directly generalizes the homotopy excision theorem in the following way. For  $X$  a CW complex and  $A, B$  two subcomplexes with non-empty intersection and  $X = A \cup B$ , consider the following square of inclusions:

$$\begin{array}{ccc} A \cap B & \longrightarrow & A \\ \downarrow & & \downarrow \\ B & \longrightarrow & X \end{array}$$

We have seen that such a square diagram is a homotopy pushout diagram. Now any inclusion map  $W \hookrightarrow Z$  is  $k$ -connected if and only if  $(Z, W)$  is  $k$ -connected. So  $(A, A \cap B)$  is  $k_1$ -connected and  $(X, B)$  is  $k_2$ -connected. Blaker's-Massey theorem implies that

$$\mathrm{hofiber}(A \cap B \rightarrow A) \rightarrow \mathrm{hofiber}(B, X)$$

is  $(k_1 + k_2 - 1)$ -connected. But by definition we have an isomorphism  $\pi_k(\mathrm{hofiber}(U \rightarrow V)) \cong \pi_{k+1}(V, U)$ . So we are really just saying that  $\pi_k(A, A \cap B) \rightarrow \pi_k(X, B)$  given by the inclusion is  $(k_1 + k_2)$ -connected.

### 1.3 Excisive Functors and Spectra

While the identity functor is not excisive, there is still a wide class of functors that are excisive, and these functors arise from spectra.

#### Definition 1.3.1: Spectra

A spectra consists of a collection of spaces  $X_n$  and bonding maps  $\sigma_n : \Sigma X_n \rightarrow X_{n+1}$  for  $n \in \mathbb{N}$  such that  $\sigma_n$  is a weak equivalence.

By the suspension loop adjunction, we will interchangeably refer to the bonding map either as  $\Sigma X_n \rightarrow X_{n+1}$  or as  $X_n \rightarrow \Omega X_{n+1}$ .

Given a space  $X$  and a spectrum  $\{Y_n, \sigma_n\}$ , we can smash  $X$  with the spectrum at each level and smashing with the identity will give bonding maps

$$\Sigma(Y_n \wedge X) = S^1 \wedge Y_n \wedge X \xrightarrow{\sigma_n \wedge \mathrm{id}_X} Y_{n+1} \wedge X$$

(we work in **CGWH**) which are usually not weak equivalences. We call them pre-spectra. (2.4.1 foundations of stable homotopy theory)

#### Proposition 1.3.2

Let  $\{K_n, \sigma_n\}$  be a spectrum. Then the functor

$$X \mapsto \mathrm{hocolim}(K_0 \wedge X \rightarrow \Omega(K_1 \wedge X) \rightarrow \Omega^2(K_2 \wedge X) \rightarrow \dots)$$

is an excisive functor.

To every spectrum we constructed an excisive functor. Conversely, given an excisive functor we can recover a spectrum by evaluating at the  $n$ -spheres.

#### Proposition 1.3.3

Let  $F$  be an excisive functor. Then there exists structure maps  $(S^n) \rightarrow \Omega F(S^{n+1})$  such that  $\{F(S^n)\}$  is a spectra.

*Proof.* Notice that we have the following homotopy pushout square.

$$\begin{array}{ccc}
S^n & \longrightarrow & * \\
\downarrow & & \downarrow \\
* & \longrightarrow & \Sigma S^n \cong S^{n+1}
\end{array}$$

$F$  sends the square to a homotopy pullback square. Hence the comparison map  $F(S^n) \rightarrow \Omega F(S^{n+1})$  is a weak equivalence.  $\square$

There is now a passage between excisive functors and spectra. Goodwillie 1 gives a proof that shows that the passage in both ways is a weak equivalence  $F(X) \simeq \text{hocolim}_n (\Omega^n (X \wedge F(S^n)))$ , but involves using model structure of  $\mathbf{Sp}$ . Instead I appeal to i-cat because: 1) weak equivalences go both way in i-cat so that we obtain a bijection between equivalence classes of spectra, they moreover have equivalence in i-cat meaning even the hom sets are the equivalent.

Roughly:  $F$  upgrades to a functor  $\mathbf{F} : \mathbf{Spaces} \rightarrow \mathbf{Sp}$  as follows.  $\mathbf{F}(X) = \{F(\Sigma^n X)\}$ , structure maps given by  $F(X) \rightarrow \Omega F(\Sigma X)$ . Then prove that  $T = \{F(\Sigma^n X)\}$  is weakly equivalent to  $S = \{\text{hocolim}_n (\Omega^n (X \wedge F(S^{n+k})))\}$ . There is a map  $S \rightarrow T$  given by:  $X \wedge F(S^{n+k}) \rightarrow F(S^{n+k} \wedge X)$  as follows. Start with the identity map  $S^{n+k} \wedge X \rightarrow S^{n+k} \wedge X$ . This is adjoint to  $X \rightarrow \text{Map}(S^{n+k}, X \wedge S^{n+k})$ , and obtain the map  $X \rightarrow \text{Map}(S^{n+k}, X \wedge S^{n+k}) \rightarrow \text{Map}(F(S^{n+k}), F(X \wedge S^{n+k}))$ . This map is adjoint to  $F(S^{n+k}) \wedge X \rightarrow F(S^{n+k} \wedge X)$ .

## 2 Spectra as Reduced and Excisive Functors

### 2.1 Stable Infinity Categories

#### Definition 2.1.1: Infinity Pushouts

Let  $\mathcal{C}$  be an infinity category. Let  $F : \Delta^1 \times \Delta^1 \rightarrow \mathcal{C}$  be a morphism of simplicial sets. Let  $X \in \mathcal{C}$  be an object. We say that  $X$  is a pushout in  $\mathcal{C}$  if there exists a natural transformation  $u : \Delta X \Rightarrow F$  such that there is a homotopy equivalence of Kan complexes:

#### Definition 2.1.2: Infinity Pullbacks

Why are these the correct analogue?

#### Definition 2.1.3: Stable Infinity Categories

Let  $\mathcal{C}$  be an infinity category. We say that  $\mathcal{C}$  is a stable infinity category if the following are true.

- $\mathcal{C}$  has a zero object  $0$ .
- $\mathcal{C}$  admits all finite limits and colimits.
- A square in  $\mathcal{C}$  of the form

$$\begin{array}{ccc} X & \longrightarrow & Y \\ \downarrow & & \downarrow \\ Z & \longrightarrow & W \end{array}$$

is a pushout if and only if it is a pullback.

The definition given here is not the same as that of Lurie's, but Lurie does show that the two definitions are equivalent.

Example in mind: spectra in ordinary categories: pushout=pullback.

### 2.2 Suspension and Loop Functors

#### Definition 2.2.1: Suspension Functor

Let  $\mathcal{C}$  be an infinity category with a zero object  $0$ . Define the functor  $\Sigma : \mathcal{C} \rightarrow \mathcal{C}$  by sending  $X \in \mathcal{C}$  to the pushout of  $0 \rightarrow X \leftarrow 0$ .

Write  $M^\Sigma$  as the full sub-category of  $\text{Func}(\Delta^1 \times \Delta^1, \mathcal{C})$  spanned by pushout squares of the form

$$\begin{array}{ccc} X & \longrightarrow & 0 \\ \downarrow & & \downarrow \\ 0 & \longrightarrow & Y \end{array}$$

The suspension is a functor because we can also define it to be the composition of the section  $\mathcal{C} \rightarrow M^\Sigma$  with projection  $M^\Sigma \rightarrow \mathcal{C}$  to the bottom right object. The section exists since projection  $M^\Sigma \rightarrow \mathcal{C}$  to the first component is a trivial Kan fibration (Kerodon 1.5.5.5). (Lurie HA p.23)

#### Definition 2.2.2: Loop Functor

Let  $\mathcal{C}$  be an infinity category with a zero object  $0$ . Define the functor  $\Omega : \mathcal{C} \rightarrow \mathcal{C}$  by sending  $X \in \mathcal{C}$  to the pullback of  $0 \leftarrow X \rightarrow 0$ .

We can guarantee that looping is a functor in a similar way to  $\Sigma$ .

### Proposition 2.2.3

Let  $\mathcal{C}$  be a stable infinity category. Then  $\Omega$  and  $\Sigma$  are both equivalence of infinity categories.

*Proof.* Let  $\mathcal{C}$  be stable. Let  $X \in \mathcal{C}$ . Then

$$\begin{array}{ccc} X & \longrightarrow & 0 \\ \downarrow & & \downarrow \\ 0 & \longrightarrow & \Sigma X \end{array}$$

is a pushout in  $\mathcal{C}$ . Since it is also a pullback in  $\mathcal{C}$ , we conclude that  $\Omega\Sigma X$  and  $X$  are equivalent since (co)limits are unique up to equivalence. Similarly, let  $Y \in \mathcal{C}$ . Then

$$\begin{array}{ccc} \Omega Y & \longrightarrow & 0 \\ \downarrow & & \downarrow \\ 0 & \longrightarrow & Y \end{array}$$

is a pullback and a pushout in  $\mathcal{C}$ . We can conclude that  $\Sigma\Omega Y$  is weakly equivalent to  $Y$ . This shows that  $\Sigma$  is a homotopy inverse of  $\Omega$  and vice versa.  $\square$

## 2.3 Excisive Functors between Infinity Categories

### Definition 2.3.1: Excisive Functors

Let  $\mathcal{C}, \mathcal{D}$  be infinity categories. Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  be a functor. We say that  $F$  is excisive if  $F$  sends pushout squares to pullback squares.

Stable infinity categories are infinity categories in which pushouts and pullbacks coincide. In particular, this means that the identity functor is excisive.

### Proposition 2.3.2

Let  $\mathcal{C}, \mathcal{D}$  be infinity categories. Suppose that  $\mathcal{C}$  is pointed and admits all finite colimits. Suppose that  $\mathcal{D}$  admits all finite limits. Then

$$\text{Exc}_*(\mathcal{C}, \mathcal{D})$$

is a stable infinity category.

*Proof.* We first show that  $\text{Exc}_*(\mathcal{C}, \mathcal{D})$  is pointed. Let  $*$  denote a final object of  $\mathcal{C}$ . Since  $\mathcal{D}$  admits all finite limits and final objects are limits of the empty diagram,  $\mathcal{D}$  admits a final object  $*'$ . Let  $X : \mathcal{C} \rightarrow \mathcal{D}$  be the constant functor given by  $X(C) = *'$ . Evidently it is reduced and excisive and is the final object of  $\text{Exc}_*(\mathcal{C}, \mathcal{D})$ .

Now let  $Y \in \text{Exc}_*(\mathcal{C}, \mathcal{D})$ . Since  $X$  and  $Y$  are reduced, the mapping space  $\text{Hom}_{\mathcal{D}}(X(*), Y(*))$  is contractible. Consider the restriction map

$$\text{Hom}_{\text{Func}(\mathcal{C}, \mathcal{D})}(X, Y) \rightarrow \text{Hom}_{\mathcal{D}}(X(*), Y(*))$$

given by sending a natural transformation  $F : X \Rightarrow Y$  to  $F(*) : X(*) \rightarrow Y(*)$ . Clearly

$$\begin{array}{ccc} & \mathcal{C} & \\ \text{incl.} \nearrow & & \searrow X \\ * & \xrightarrow{X|_*} & \mathcal{D} \end{array}$$

is a 2-simplex so by prp7.3.6.1 (Kerodon),  $\text{Hom}_{\text{Func}(\mathcal{C}, \mathcal{D})}(X, Y) \rightarrow \text{Hom}_{\mathcal{D}}(X(*), Y(*))$  is a homotopy equivalence and so  $X$  is an initial object.

prp1.4.2.16 HA

□

We want to give an equivalent characterization of stable infinity categories. Before that we need a technical lemma.

### Proposition 2.3.3

Let  $\mathcal{C}, \mathcal{D}$  be pointed infinity categories. Suppose that  $\mathcal{C}$  admits all finite colimits and  $\mathcal{D}$  admits all finite limits. Then the following are equivalent.

- $F$  is reduced and excisive.
- $F$  is reduced and it satisfies the following. For all  $X \in \mathcal{C}$ , the comparison map

$$\eta_X : F(X) \rightarrow \Omega_{\mathcal{D}}(F(\Sigma_{\mathcal{C}} X))$$

is an equivalence in  $\mathcal{D}$ , where  $\eta_X$  is given as follows. For any  $X \in \mathcal{C}$ , the diagram

$$\begin{array}{ccc} X & \longrightarrow & * \\ \downarrow & & \downarrow \\ * & \longrightarrow & \Sigma_{\mathcal{C}} X \end{array}$$

is a pushout in  $\mathcal{C}$ . Sending the diagram through  $F$  gives the wanted comparison map  $\eta_X$  by the universal property of limits.

*Proof.* Let  $F$  be reduced and excisive. Notice that following diagram on the left

$$\begin{array}{ccc} X & \longrightarrow & * \\ \downarrow & & \downarrow \\ * & \longrightarrow & \Sigma_{\mathcal{C}} X \end{array} \xrightarrow{F} \begin{array}{ccc} F(X) & \longrightarrow & * \\ \downarrow & & \downarrow \\ * & \longrightarrow & F(\Sigma_{\mathcal{C}} X) \end{array}$$

is a pushout diagram in  $\mathcal{C}$ . Applying  $F$  gives a pullback diagram on the right. On the other hand, we know that

$$\begin{array}{ccc} \Omega_{\mathcal{D}} F(\Sigma_{\mathcal{C}} X) & \longrightarrow & * \\ \downarrow & & \downarrow \\ * & \longrightarrow & F(\Sigma_{\mathcal{C}} X) \end{array}$$

is a pullback diagram. Since limits in infinity category are unique up to equivalence, the comparison map  $F(X) \rightarrow \Omega_{\mathcal{D}} F(\Sigma_{\mathcal{C}} X)$  is an equivalence.

Now suppose that  $F$  satisfies the second conditions. Let

$$\begin{array}{ccc} W & \longrightarrow & X \\ \downarrow & & \downarrow \\ Y & \longrightarrow & Z \end{array}$$

be a pushout square. Consider the following diagram in  $\mathcal{C}$ :

$$\begin{array}{ccccccc}
W & \longrightarrow & X & \longrightarrow & 0 & & \\
\downarrow & & \downarrow & & \downarrow & & \\
Y & \longrightarrow & X \amalg_W Y & \longrightarrow & 0 \amalg_W Y & \longrightarrow & 0 \\
\downarrow & & \downarrow & & \downarrow & & \downarrow \\
0 & \longrightarrow & X \amalg_W 0 & \longrightarrow & \Sigma_C W & \longrightarrow & \Sigma_C Y \\
& & \downarrow & & \downarrow & & \downarrow \\
& & 0 & \longrightarrow & \Sigma_C X & \longrightarrow & \Sigma_C (X \amalg_W Y)
\end{array}$$

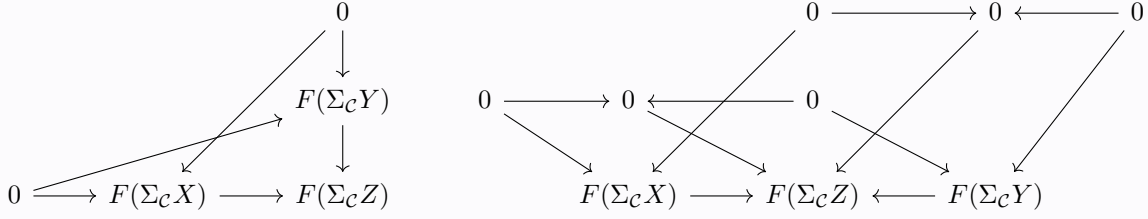
Label the small squares 1 to 7 from left to right and top to bottom. By definition, 1 is a pushout diagram. Since 1 + 2 is a pushout diagram, by the pasting law 2 is a diagram. Similarly, 3 is a pushout diagram. Now 1 + 2 + 3 + 4 is a pushout by definition. Since 1 + 3 is a pushout, 2 + 4 is a pushout diagram. Since 2 is a pushout diagram, 4 is a pushout diagram. Now 2 + 4 + 6 is a pushout diagram by definition. Since 2 + 4 is a pushout, 6 is a pushout. Similarly, 3 + 4 + 5 is a pushout. Since 3 + 4 is a pushout then so is 5. Finally, 4 + 5 + 6 + 7 is a pushout diagram. Since 4 + 6 is a pushout, so is 5 + 7. Since 5 is a pushout, then 7 is a pushout. This proves that all squares 1 to 7 are pushouts. By applying  $F$ , we obtain the following diagram:

$$\begin{array}{ccccccc}
F(W) & \longrightarrow & F(X) & \longrightarrow & 0 & & \\
\downarrow & & \downarrow & & \downarrow & & \\
F(Y) & \longrightarrow & F(X \amalg_W Y) & \longrightarrow & F(0 \amalg_W Y) & \longrightarrow & 0 \\
\downarrow & & \downarrow & & \downarrow & & \downarrow \\
0 & \longrightarrow & F(X \amalg_W 0) & \longrightarrow & F(\Sigma_C W) & \longrightarrow & F(\Sigma_C Y) \\
& & \downarrow & & \downarrow & & \downarrow \\
& & 0 & \longrightarrow & F(\Sigma_C X) & \longrightarrow & F(\Sigma_C (X \amalg_W Y))
\end{array}$$

Since  $Z$  is equivalent to  $X \amalg_W Y$ , we can remove the top left object and replace it with the pullback so that we obtain a commutative diagram:

$$\begin{array}{ccccccc}
F(W) & \xrightarrow{\quad \mu \quad} & F(X) \times_{F(Z)} F(Y) & \longrightarrow & F(X) & \longrightarrow & 0 \\
& \searrow & \downarrow & & \downarrow & & \downarrow \\
& & F(Y) & \longrightarrow & F(Z) & \longrightarrow & F(0 \amalg_W Y) \longrightarrow 0 \\
& & \downarrow & & \downarrow & & \downarrow \\
& & 0 & \longrightarrow & F(X \amalg_W 0) & \longrightarrow & F(\Sigma_C W) \longrightarrow F(\Sigma_C Y) \\
& & & & \downarrow & & \downarrow \\
& & & & 0 & \longrightarrow & F(\Sigma_C X) \longrightarrow F(\Sigma_C Z)
\end{array}$$

By considering the large square on the left, we obtain a comparison map  $F(X) \times_{F(Z)} F(Y) \rightarrow \Omega_{\mathcal{D}} F(\Sigma_C W)$  which will be called  $\theta$ . Let  $\mu$  be the comparison map  $F(W) \rightarrow F(X) \times_{F(Z)} F(Y)$ . Finally, notice that the following diagram on the left sits in the bottom right of the above square, and that we can add 0s to the map so that the limits of the two diagrams remain equivalent (coinitial):



Their limit is precisely computed vertically on each slice and hence is the pullback

$$\Omega_{\mathcal{D}} F(\Sigma_{\mathcal{C}} X) \times_{\Omega_{\mathcal{D}} F(\Sigma_{\mathcal{C}} Z)} \Omega_{\mathcal{D}} F(\Sigma_{\mathcal{C}} Y)$$

Since all the diagrams map to each other, we obtain a commutative diagram:

$$\begin{array}{ccc} F(W) & \xrightarrow{\mu} & F(X) \times_{F(Z)} F(Y) \\ & \searrow \simeq & \downarrow \theta \\ & & \Omega_{\mathcal{D}} F(\Sigma_{\mathcal{C}} W) \longrightarrow \Omega_{\mathcal{D}} F(\Sigma_{\mathcal{C}} X) \times_{\Omega_{\mathcal{D}} F(\Sigma_{\mathcal{C}} Z)} \Omega_{\mathcal{D}} F(\Sigma_{\mathcal{C}} Y) \end{array}$$

where by assumption we have equivalences  $F(W) \simeq \Omega_{\mathcal{D}} F(\Sigma_{\mathcal{C}} W)$  hence there are also equivalences on pullbacks. Notice that this implies  $\theta$  has a left and right homotopy inverse, and hence is an equivalence. By the two out of three property,  $\mu$  is also an equivalence. Hence  $F$  sends pushouts to pullbacks.  $\square$

#### Proposition 2.3.4

Let  $\mathcal{C}$  be an infinity category. Then  $\mathcal{C}$  is a stable infinity category if and only if the following are true.

- $\mathcal{C}$  has a zero object  $0$
- $\mathcal{C}$  admits all finite limits and colimits
- The loop functor  $\Omega : \mathcal{C} \rightarrow \mathcal{C}$  is an equivalence of infinity categories.

*Proof.* It suffices to show that the loop functor is an equivalence if and only if pushout squares and pullback squares coincide. Moreover, we have seen that if  $\mathcal{C}$  is stable then  $\Omega$  is an equivalence.

Conversely, suppose that  $\Omega$  is an equivalence of infinity categories. Since  $\Sigma$  is adjoint to  $\Omega$  (HA Lurie p.24), then  $\Sigma$  and  $\Omega$  are both fully faithful. Choosing the identity functor in prp2.3.3 shows that pushout squares and pullback squares coincide.  $\square$

#### Proposition 2.3.5

Let  $\mathcal{C}, \mathcal{D}$  be stable infinity categories. Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  be a functor. Then the following are equivalent.

- $F$  commutes with finite limits.
- $F$  is reduced and excisive.

*Proof.*

- Suppose that  $F$  commutes with finite limits. Given a pushout diagram in  $\mathcal{C}$ , since  $\mathcal{C}$  is stable by prp2.1.3 we know that it is a pullback. Since  $F$  commutes with finite limits,  $F$  sends the pullback to a pullback. Hence  $F$  is excisive. Let  $*$  be a final object of  $\mathcal{C}$ . Since  $F$  is left exact and  $*$  is the limit of the empty diagram,  $F(*)$  is a final object of  $\mathcal{D}$ . Hence  $F$  is reduced.
- Suppose that  $F$  is reduced and excisive. Given  $X, Y \in \mathcal{C}$ , the product  $X \times Y$  is a



pullback so that the following is a pullback squares:

$$\begin{array}{ccc} X \times Y & \longrightarrow & X \\ \downarrow & & \downarrow \\ Y & \longrightarrow & * \end{array}$$

Since  $\mathcal{C}$  is stable, the square is also a pushout. Then  $F$  sends it to a pullback square:

$$\begin{array}{ccc} F(X \times Y) & \longrightarrow & F(X) \\ \downarrow & & \downarrow \\ F(Y) & \longrightarrow & * \end{array}$$

where  $F(*) \simeq *$  since  $F$  is reduced. Thus we obtain an equivalence  $F(X \times Y) \simeq F(X) \times F(Y)$ .

Start with an equalizer. Then write it as a fiber. It is a pullback. It is also a pushout since stable. Then  $F$  sends this to a pullback. □

## 2.4 Stable Infinity Categories

Following Lurie, we write  $\mathcal{S} = N_{\bullet}^{\text{hc}}(\mathbf{Top}_*)$  for the infinity category of spaces.

### Definition 2.4.1: The Infinity Category of Spectra

Define the infinity category of spectra by

$$\text{Sp}(\mathcal{S}) = \lim(\cdots \rightarrow \mathcal{S} \xrightarrow{\Omega} \mathcal{S} \xrightarrow{\Omega} \mathcal{S})$$

Our goal is to show that there is an equivalence between  $\text{Sp}(\mathcal{S})$  and  $\text{Exc}_*(\mathcal{S}_*^{\text{fin}}, \mathcal{S})$ . For that we need to set up some lemmas.

### Definition 2.4.2: The Delooping Functor

Let  $\mathcal{C}$  be an infnty category that admits all finite limits. Define the delooping functor of  $\mathcal{C}$  to be the evaluation functor

$$\Omega_{\mathcal{C}}^{\infty} : \text{Exc}_*(\mathcal{S}_*^{\text{fin}}, \mathcal{C}) \rightarrow \mathcal{C}$$

given on object by  $(F : \mathcal{S}_*^{\text{fin}} \rightarrow F(S^0))$

### Proposition 2.4.3

Let  $\mathcal{C}$  be a pointed infinity category that admits all finite colimits. Let  $\mathcal{D}$  be an infinity category that admits all finite limits. Then post composition with  $\Omega^{\infty}$  gives an equivalence of infinity categories

$$\Omega_{\mathcal{D}}^{\infty} \circ - : \text{Exc}_*(\mathcal{C}, \text{Exc}_*(\mathcal{S}_*^{\text{fin}}, \mathcal{D})) \xrightarrow{\simeq} \text{Exc}_*(\mathcal{C}, \mathcal{D})$$

*Proof.* Notice that there is a canonical isomorphism

$$\begin{aligned} \text{Exc}_*(\mathcal{C}, \text{Exc}_*(\mathcal{S}_*^{\text{fin}}, \mathcal{D})) &\simeq \text{Exc}_*(\mathcal{C} \times \mathcal{S}_*^{\text{fin}}, \mathcal{D}) && \text{(Full subcat + adjoint)} \\ &\simeq \text{Exc}_*(\mathcal{S}_*^{\text{fin}}, \text{Exc}_*(\mathcal{C}, \mathcal{D})) \end{aligned}$$

Under this identification, the functor  $\Omega^{\infty} \circ -$  corresponds to the functor  $\Omega_{\text{Exc}_*(\mathcal{C}, \mathcal{D})}^{\infty}$  since  $\Omega$  are computed term wise (like all limits). By prp2.2.4  $\text{Exc}_*(\mathcal{C}, \mathcal{D})$  is stable. Hence by prp3.2.2 we conclude that  $\Omega_{\text{Exc}_*(\mathcal{C}, \mathcal{D})}^{\infty}$  is an equivalence of infinity categories. Hence  $\Omega_{\mathcal{D}}^{\infty} \circ -$  is an equivalence of infinity categories. □

**Theorem 2.4.4**

There is an equivalence of infinity categories

$$\mathrm{Sp}(\mathcal{S}) \simeq \mathrm{Exc}_*(\mathcal{S}_*^{\mathrm{fin}}, \mathcal{S})$$

*Proof.*

Since  $\mathcal{S}$  is presentable and the infinity category of presentable infinity categories admit all small limits,  $\mathrm{Sp}(\mathcal{S})$  is also presentable. Every presentable infinity category admits all small limits and colimits. Since  $\mathcal{S}$  is pointed,  $\mathrm{Sp}(\mathcal{S})$  is also pointed. Since all limits are computed term-wise, we have that in particular  $\Omega_{\mathrm{Sp}(\mathcal{S})}$  is computed term wise. Given  $X = \{X_n \mid n \in \mathbb{N}\}$  an object of  $\mathrm{Sp}(\mathcal{S})$ ,  $\Omega_{\mathrm{Sp}(\mathcal{S})}X$  is equivalent to  $X$  because we have that  $\Omega X_{n+1}$  is equivalent to  $X_n$  for all  $n$ . By a prp we conclude that  $\mathrm{Sp}(\mathcal{S})$  is stable.

Consider the canonical functor  $F : \mathrm{Sp}(\mathcal{S}) \rightarrow \mathcal{S}$  defined by recovering the first factor:  $(X_0, X_1, \dots) \mapsto X_0$ . It is clear that it commutes with finite limits since limits are computed term-wise. Hence  $F$  is left exact. Using the equivalence of infinity categories

$$\Omega^\infty \circ - : \mathrm{Exc}_*^L(\mathrm{Sp}(\mathcal{S}), \mathrm{Exc}_*(\mathcal{S}_*^{\mathrm{fin}}, \mathcal{S})) \rightarrow \mathrm{Exc}_*^L(\mathrm{Sp}(\mathcal{S}), \mathcal{S})$$

we obtain a factorization

$$\begin{array}{ccc} \mathrm{Sp}(\mathcal{S}) & \xrightarrow{F} & \mathcal{S} \\ & \searrow G \circ - & \nearrow \Omega^\infty \\ & \mathrm{Exc}_*(\mathcal{S}_*^{\mathrm{fin}}, \mathcal{S}) & \end{array}$$

Let  $\mathcal{C}$  be an arbitrary stable infinity category. By functoriality we obtain a similar factorization:

$$\begin{array}{ccc} \mathrm{Exc}_*^L(\mathcal{C}, \mathrm{Sp}(\mathcal{S})) & \xrightarrow{F \circ -} & \mathrm{Exc}_*^L(\mathcal{C}, \mathcal{S}) \\ & \searrow G \circ - & \nearrow \Omega^\infty \circ - \\ & \mathrm{Exc}_*^L(\mathcal{C}, \mathrm{Exc}_*(\mathcal{S}_*^{\mathrm{fin}}, \mathcal{S})) & \end{array}$$

I claim that  $F \circ -$  and  $\Omega^\infty \circ -$  are equivalences so that  $G \circ -$  is an equivalence. The case  $\Omega^\infty \circ -$  is already clear. On the other hand, since  $\Omega$  are computed term-wise (like all limits) and since  $\mathrm{Func}(\mathcal{C}, \mathrm{Sp}(\mathcal{S}))$  is right adjoint to products we know that  $\mathrm{Func}$  commutes with finite limits. Thus we have that

$$\mathrm{Exc}_*^L(\mathcal{C}, \mathrm{Sp}(\mathcal{S})) = \lim(\dots \rightarrow \mathrm{Exc}_*^L(\mathcal{C}, \mathcal{S}) \xrightarrow{\Omega^\infty \circ -} \mathrm{Exc}_*^L(\mathcal{C}, \mathcal{S}) \xrightarrow{\Omega^\infty \circ -} \mathrm{Exc}_*^L(\mathcal{C}, \mathcal{S}))$$

Now  $\Omega_{\mathcal{S}} \circ -$  is an equivalence because  $\mathrm{Exc}_*(\mathcal{C}, \mathcal{S})$  is stable (because  $\mathcal{C}$  is stable and  $\mathcal{S}$  admits all finite (co)limits). We conclude that  $\mathrm{Exc}_*^L(\mathcal{C}, \mathrm{Sp}(\mathcal{S})) \simeq \mathrm{Exc}_*^L(\mathcal{C}, \mathcal{S})$ . Thus evaluation on the first factor  $F \circ - : \mathrm{Exc}_*^L(\mathcal{C}, \mathrm{Sp}(\mathcal{S})) \rightarrow \mathrm{Exc}_*^L(\mathcal{C}, \mathcal{S})$  is an equivalence of infinity categories.

From the fact that  $G \circ -$  is an equivalence, we have an equivalence

$$\mathrm{Exc}_*(\mathcal{C}, \mathrm{Sp}(\mathcal{S})) = \mathrm{Exc}_*^L(\mathcal{C}, \mathrm{Sp}(\mathcal{S})) \simeq \mathrm{Exc}_*^L(\mathcal{C}, \mathrm{Exc}_*(\mathcal{S}_*^{\mathrm{fin}}, \mathcal{S})) = \mathrm{Exc}_*(\mathcal{C}, \mathrm{Exc}_*(\mathcal{S}_*^{\mathrm{fin}}, \mathcal{S}))$$

for all stable infinity categories  $\mathcal{C}$ . By the Yoneda lemma we conclude that  $\mathrm{Exc}_*(\mathcal{S}_*^{\mathrm{fin}}, \mathcal{S})$  and  $\mathrm{Sp}(\mathcal{S})$  are equivalent.  $\square$

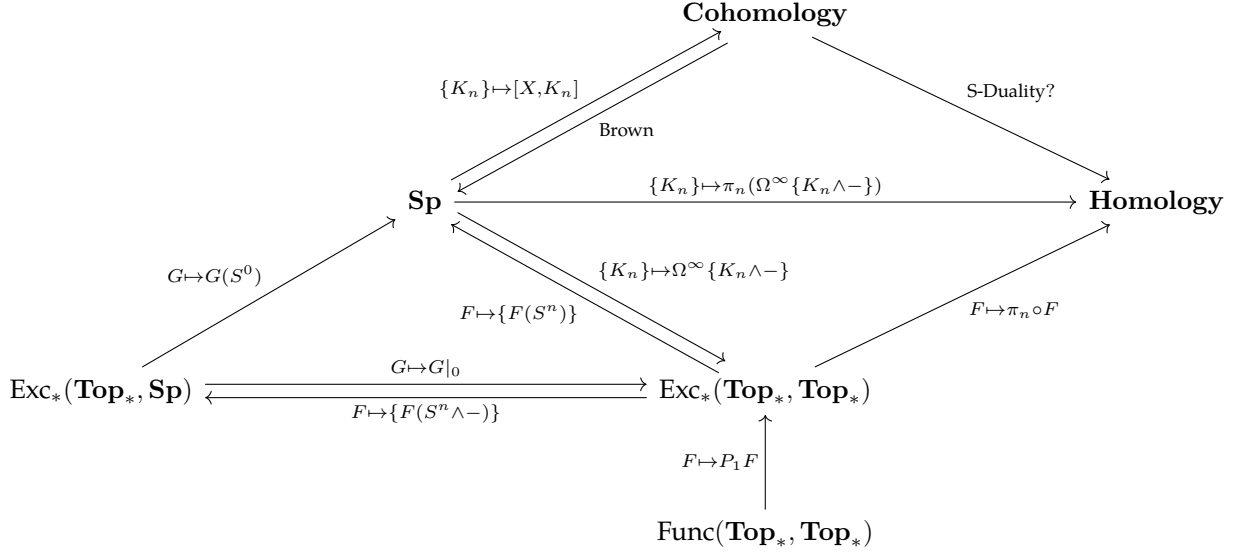
Here notice that we are taking excisive functors from  $\mathcal{S}_*^{\mathrm{fin}}$  to  $\mathcal{S}$  instead of functors from  $\mathcal{S}$ . This has the same effect as taking finitary functors in the ordinary-categorical case. In both cases we would like the functor to be determined on finite colimits.

More:  $F$  in the proof is precisely  $\Omega^\infty$  once we prove equivalence  $\mathrm{Sp}(\mathcal{S}) \simeq \mathrm{Exc}_*(\mathcal{S}_*^{\mathrm{fin}}, \mathcal{S})$ . It has an adjoint  $\Sigma^\infty$  sending  $X$  to its suspension spectrum. Through the composition we see that  $F \in \mathrm{Exc}_*(\mathcal{S}_*^{\mathrm{fin}}, \mathcal{S})$  corresponds to the spectrum  $\Sigma^\infty F(S^0) \simeq \{F(S^n)\}$ .

### 3 From Functors to Excisive Functors

#### 3.1 Goodwillie Calculus

The heart of the exposition lies in the following commutative diagram:



The triangle on the right commutes by definition. The triangle on the left also commutes with by definition. The bijection **Sp** and **Homology** does not give an equivalence of categories.

##### Definition 3.1.1: $T_1$ of a Functor

Let  $F$  be a homotopy functor. Let  $X$  be a space. Define

$$T_1 F(X) = \text{holim}(F(CX) \rightarrow F(\Sigma X) \leftarrow F(CX))$$

It is clear that given a map  $X \rightarrow Y$ , this induces maps  $CX \rightarrow CY$  and  $\Sigma X \rightarrow \Sigma Y$ . And the definition of the homotopy pullback tells us that  $T_1 F$  is a functor. Any natural transformation  $F \Rightarrow G$  gives maps  $F(CX) \rightarrow G(CX)$  and  $F(\Sigma X) \rightarrow G(\Sigma X)$  so that  $T_1$  itself is a functor that sends functors to functors. Finally, there is a natural transformation  $t_1(F) : F \Rightarrow T_1 F$  since for each  $X$  there is a natural map  $F(X) \rightarrow \text{holim}(F(CX) \rightarrow F(\Sigma X) \leftarrow F(CX))$ .

##### Definition 3.1.2: $P_1$ of a Functor

Let  $F$  be a homotopy functor. Let  $X$  be a space. Define

$$P_1 F(X) = \text{hocolim}(F(X) \xrightarrow{t_1(F)(X)} T_1 F(X) \xrightarrow{t_1(T_1 F)(X)} T_1(T_1 F)(X) \rightarrow \cdots)$$

Since  $t_1$  is natural transformation, we again obtain appropriate commutative diagrams so that  $P_1 F$  becomes a functor.

##### Example 3.1.3

If  $F$  is reduced, then there is an easy way to describe the two functors. Namely,  $CX$  is contractible so  $T_1 F(X) = \Omega F(\Sigma X)$ . Then

$$T_1(T_1(F))(X) = \Omega(T_1 F)(\Sigma X) = \Omega(\Omega F(\Sigma(\Sigma X))) = \Omega^2 F(\Sigma^2 X)$$

Generalizing, we obtain

$$P_1 F(X) = \text{hocolim}_{n \in \mathbb{N}} \Omega^n F(\Sigma^n X)$$

We borrow terminology from Goodwillie

#### Definition 3.1.4

Let  $F : \mathbf{Spaces} \rightarrow \mathbf{Spaces}$  be a homotopy functor. We say that  $F$  is  $E(c, k)$  if the following are true. For all homotopy pushout squares

$$\begin{array}{ccc} X_0 & \longrightarrow & X_1 \\ \downarrow & & \downarrow \\ X_2 & \longrightarrow & X_{12} \end{array}$$

such that the top horizontal map is  $k_1$ -connected and the left vertical map is  $k_2$ -connected for  $k_1, k_2 \geq k$ , then  $F$  of the square is  $(k_1 + k_2 - c)$ -cartesian.

Since any map is  $(-1)$ -connected, every homotopy functor is  $E(-1, -1)$ .  
id is  $E(1, -1)$

If  $F$  is  $E(-\infty, -1)$  then  $F$  is excisive.

If  $F$  is  $E(c, k)$ , then  $F$  is  $E(c, k - 1)$ . This follows from the fact that every  $n$ -connected map is  $(n - 1)$ -connected.

#### Proposition 3.1.5

Let  $F : \mathbf{Spaces} \rightarrow \mathbf{Spaces}$  be a homotopy functor. Suppose that  $F$  is  $E(c, k)$ , then  $T_1 F$  is  $E(c - 1, \max\{k - 1, -1\})$ .

The maximum in the formula is to prevent  $(-n)$ -connected maps to appear in the discussion for large  $n$ .

#### Theorem 3.1.6

Let  $F : \mathbf{Spaces} \rightarrow \mathbf{Spaces}$  be a homotopy functor. Then  $P_1 F$  is excisive.

*Proof.* All maps are trivially  $(-1)$ -connected. Hence  $F$  is  $E(-1, -1)$ . Induct on  $n$  to see that  $T_1^n F$  is  $E(-n, -1)$ . Since  $P_1 F$  is the homotopy colimit of  $T_1^n F$  as  $n \rightarrow \infty$ , we conclude that  $P_1 F$  is  $E(-\infty, -1)$ .  $\square$

#### Example 3.1.7

Id  $\rightarrow$  Infinite loop suspension

## 3.2 Spectra and (Co)Homology Theories

#### Definition 3.2.1: Reduced Homology Theory

A reduced homology theory is a collection of functors and natural transformations

$$H_n : \mathbf{CGWH} \rightarrow \mathbf{Ab} \quad \text{and} \quad s_n : H_n \Rightarrow H_{n+1} \circ \Sigma$$

such that the following axioms are satisfied.

- If  $f \simeq g : X \rightarrow Y$  are homotopic, then  $H_n(f) = H_n(g)$
- If  $f : X \rightarrow Y$  is a map then there is an exact sequence

$$H_n(X) \xrightarrow{f_*} H_n(Y) \xrightarrow{j_*} H_n(C_f)$$

where  $j : X \rightarrow C_f$  is the inclusion.

- The natural transformation gives an isomorphism

$$s_n(X) : H_n(X) \rightarrow H_{n+1}(\Sigma X)$$

- for all  $n$ .
- For any wedge product  $X = \bigvee_k X_k$ , the inclusion maps induces an isomorphism

$$\bigoplus_k H_n(X_k) \cong H_n(X)$$

- If  $f : X \rightarrow Y$  is a weak homotopy equivalence, then  $H_n(f)$  is an isomorphism.

(Davies / Switzer)

### Definition 3.2.2: Homology Theory Associated to Spectra

Let  $K = \{K_n \mid n \in \mathbb{N}\}$  be a spectrum. Define a functor  $E_n : \mathbf{Spaces} \rightarrow \mathbf{Ab}$  by

$$E_n(X; K) = \lim_{k \rightarrow \infty} \pi_{n+k}(X \wedge K_k)$$

and natural transformations  $s_n : E_n \Rightarrow E_{n+1} \circ \Sigma$  given by the structure maps of the spectrum.

Here, the maps defining the direct limit  $\pi_{n+k}(X \wedge K_k) \rightarrow \pi_{n+1+k}(X \wedge K_{k+1})$  are given by

$$\pi_{n+k}(X \wedge K_k) \xrightarrow{\Sigma} \pi_{n+1+k}(S^1 \wedge X \wedge K_k) \xrightarrow{\text{id}_X \wedge \sigma_n} \pi_{n+1+k}(X \wedge K_{k+1})$$

(we are working in **CGWH**) Moreover, it is a functor since any map  $f : X \rightarrow Y$  wedges with the identity to give a map  $X \wedge K_k \rightarrow Y \wedge K_k$ . The homotopy group functor gives a map of homotopy groups. And the universal property of direct limits give the induced map. (Davies p.229)

### Theorem 3.2.3

Let  $K = \{K_n \mid n \in \mathbb{N}\}$  be a spectrum. Then  $E_n(X) = \lim_{k \rightarrow \infty} \pi_{n+k}(X \wedge K_k)$  defines a reduced homology theory.

*Proof.* We check the axioms of reduced homology theory. Suppose that  $f$  and  $g$  are homotopy equivalent. Then since  $\pi_n$  sends homotopic maps to equal maps, the limit of these equal maps are also equal. Hence  $E_n$  sends homotopic maps to equal maps.

Let  $X$  be a space. We want to construct a natural map  $E_n(X) \rightarrow E_{n+1}(X)$  that is an isomorphism. To give a map of limits, it suffices to give a natural map between the defining diagram of spaces of the limit. This means that we want maps  $\pi_{n+k}(X \wedge K_k) \rightarrow \pi_{n+k+1}(\Sigma X \wedge K_k)$  for all  $k$  such that the following diagram commutes:

$$\begin{array}{ccc} \pi_{n+k}(X \wedge K_k) & \longrightarrow & \pi_{n+k+1}(X \wedge K_{k+1}) \\ \downarrow & & \downarrow \\ \pi_{n+k+1}(\Sigma X \wedge K_k) & \longrightarrow & \pi_{n+k+2}(\Sigma X \wedge K_{k+1}) \end{array}$$

But we can just take the top horizontal map to be our map. Then we are effectively shifting the indexing diagram of space by 1, hence the diagram is commutative and moreover the colimits are isomorphic.

Given a map  $f : X \rightarrow Y$ , we know that  $X \xrightarrow{f} Y \rightarrow C_f$  is a cofiber sequence. Since smashing is left adjoint to the mapping space it preserves colimits and in particular pushouts. Hence for each  $n$ ,  $X \wedge K_n \xrightarrow{f \wedge \text{id}_{K_n}} Y \wedge K_n \rightarrow C_f \wedge K_n$  is a cofiber sequence. Functoriality of the limit and the homotopy groups give a sequence of homomorphisms:

$$\lim_{n \rightarrow \infty} \pi_{k+n}(X \wedge K_n) \xrightarrow{i_*} \lim_{n \rightarrow \infty} \pi_{k+n}(Y \wedge K_n) \xrightarrow{j_*} \lim_{n \rightarrow \infty} \pi_{k+n}(C_f \wedge K_n)$$

where  $j$  is the inclusion of  $Y \wedge K_n$  into  $C_f \wedge \text{id}_{K_n}$ . By the universal property of cofibers we

can easily see that  $C_{f \wedge \text{id}_{K_n}} \cong C_f \wedge K_n$  using the fact that  $-\wedge -$  preserves cofibers. Suppose that  $\phi \in \ker(j_*)$ . We can represent  $\phi$  as an element  $\alpha : S^{n+k} \rightarrow Y \wedge K_n$  for some  $k$ , and it must satisfy  $j \circ \alpha : S^{n+k} \rightarrow C$  is homotopic to the constant map to the base point. Suppose that  $H : S^{n+k} \times I \rightarrow C_f \wedge K_n$  witnesses this homotopy. Since  $H(-, 1)$  is the constant map,  $H$  descends to a well defined map  $CS^{n+k} \rightarrow C_f \wedge K_n$  (by abuse of notation we also call this map  $H$ ). We now have a commutative diagram

$$\begin{array}{ccc} S^{n+k} & \hookrightarrow & CS^{n+k} \\ g \downarrow & & \downarrow H \\ Y \wedge K_n & \hookrightarrow & C_f \wedge K_n \end{array}$$

It is commutative since  $g = H(-, 0)$ . By the universal property of cofibers, this induces a map  $k$  such that the following diagram commutes:

$$\begin{array}{ccccc} S^{n+k} & \hookrightarrow & CS^{n+k} & \longrightarrow & \Sigma(CS^{n+k}) \cong \Sigma S^{n+k} \\ g \downarrow & & \downarrow H & & \downarrow k \\ Y \wedge K_n & \hookrightarrow & C_f \wedge K_n & \longrightarrow & \Sigma(C_f \wedge K_n) \cong \Sigma(X \wedge K_n) \end{array}$$

Then  $[k]$  gives an element of  $E_{n+1}(\Sigma X)$ . We can now take cofibers of the horizontal maps of the right hand side square and extend it to

$$\begin{array}{ccccc} CS^{n+k} & \longrightarrow & \Sigma(CS^{n+k}) \cong \Sigma S^{n+k} & \longrightarrow & \Sigma S^{n+k} \\ H \downarrow & & \downarrow k & & \downarrow \Sigma g \\ C_f \wedge K_n & \longrightarrow & \Sigma(C_f \wedge K_n) \cong \Sigma(X \wedge K_n) & \xrightarrow{\Sigma i} & \Sigma(Y \wedge K_n) \end{array}$$

coming from the Puppe sequence. The natural isomorphism  $E_{n+1}(\Sigma X) \cong E_n(X)$  sends  $[k]$  to a map we call  $[k']$ , so that  $i \circ k' = g$  as according to the right square on the above diagram. Passing to limits gives that fact that  $i_*([k']) = [g]$  and so the second axiom is proved.

If  $f$  is a weak equivalence, then  $\pi_{n+k}(f \wedge \text{id}_{K_k})$  is an isomorphism for all  $n$  and  $k$  so that  $f$  induces an isomorphism  $E_n(f)$ .

It remains to show the fourth axiom of reduced homology theory. Using CW approximation theorem we reduce to the special case of CW complexes. Recall that any element in  $E_n(X)$  is an equivalence class of maps  $S^{n+k} \rightarrow X$ . Since  $S^{n+k}$  is compact, its image is compact. Therefore it suffices to prove additivity with a finite number of wedges of based spaces, and so it suffices to prove additivity with the wedge of two spaces  $X$  and  $Y$ . By Freudenthal's suspension theorem,  $\Sigma^{k+1}X$  and  $\Sigma^{k+1}Y$  are  $k$ -connected since all spaces are  $(-1)$ -connected. <https://homepages.math.uic.edu/~mholmb2/stable.pdf> (strom, davies, foundations of stable homotopy theory)  $\square$

#### Theorem 3.2.4: Brown's Representability Theorem

Let  $H_n :$

<https://mathoverflow.net/questions/63974/is-every-homology-theory-given-by-a-spectrum>  
switzer 14.35

#### Example 3.2.5: Reduced Singular Homology Groups

Let  $G$  be an abelian group. Recall (from Hatcher probably) that there are homotopy equivalences  $K(G, n)$  and  $\Omega K(G, n+1)$  so that we obtain the Eilenberg-MacLane spectrum  $K =$

$\{K(G, n)\}$ . Its associated reduced homology theory is given by

$$E_n(X; K) = \lim_{n \rightarrow \infty} \pi_{n+k}(X \wedge K(G, k))$$

(Davies p.229) Since reduced homology theories are determined uniquely up to isomorphism by  $E_0(S^0)$ , we see that  $E_0(S^0; K) \cong G$  implies that  $E_n$  is naturally isomorphic to reduced singular homology  $\tilde{H}_n(-; G)$  with coefficients in  $G$ .

### Example 3.2.6: Stable Homotopy Groups

Consider the sphere spectrum  $S = \{S^n\}$  whose structure maps are given by weak equivalences  $S^n \rightarrow \Omega S^{n+1}$ . These maps come from the identity  $\text{id} : S^1 \wedge S^n \rightarrow S^1 \wedge S^n$ , adjuncting to a map  $S^n \rightarrow \text{Map}(S^1, S^1 \wedge S^n) \cong \Omega S^{n+1}$ . It is a weak equivalence simply because  $\Omega$  lowers the homotopy groups by 1. Its associated spectrum is given by the formula

$$E_k(X; S) = \lim_{n \rightarrow \infty} \pi_{n+k}(X \wedge S^n) = \lim_{n \rightarrow \infty} \pi_{n+k}(\Sigma^n X)$$

so the stable homotopy groups are associated to the sphere spectrum.

### Example 3.2.7: Topological Complex K-Theory

Let  $U = \lim_{n \rightarrow \infty} U(n)$  be the colimit of the orthogonal groups where the maps in the colimit is given by inclusion  $U(n) \hookrightarrow U(n+1)$  sending  $M$  to  $\begin{pmatrix} M & 0 \\ 0 & 0 \end{pmatrix}$ . Let  $BU$  be the classifying space of  $U$ . ??????

$$K_{2n}(X) \cong K_0(X) = \lim_{n \rightarrow \infty} \pi_n(X \wedge BU \times \mathbb{Z}) \text{ and } K_{2n+1}(X) \cong K_1(X).$$

### Example 3.2.8: Landweber-exact Spectra

### Theorem 3.2.9: Landweber exact functor theorem

## 3.3 A Map From Functors to (Co)Homology Theories

Given an excisive functor  $F$ , we pass it to the spectrum  $F(S^n)$  and we can associate to it a reduced homology theory defined by

$$X \mapsto \lim_{n \rightarrow \infty} \pi_{n+k}(X \wedge F(S^k))$$

But there is a more direct way that an excisive functor  $F$  give rise to a reduced homology theory.

### Theorem 3.3.1

Let  $F$  be an excisive functor. Then  $E_n(X) = \pi_n(F(X))$  defines a reduced homology theory.

*Proof.* Firstly, note that  $\pi_0(F(X))$  and  $\pi_1(F(X))$  has the structure of an abelian group. Indeed using the weak equivalence  $F(X) \rightarrow \Omega F(\Sigma X)$ , we see that  $\pi_1(F(X)) \cong \pi_2(F(\Sigma X))$  so that  $\pi_1(F(X))$  is abelian, and  $\pi_0(F(X)) \cong \pi_1(\Omega F(\Sigma X))$  so that  $\pi_0(F(X))$  is abelian. Now we prove that  $\pi_n \circ F$  satisfies the axioms for being a reduced homology theory.

Since  $F$  is homotopy functor and  $\pi_n$  sends weak equivalences to isomorphisms, we know that  $\pi_n \circ F$  sends weak equivalences to isomorphisms. Also, if  $f : X \rightarrow Y$  and  $g : X \rightarrow Y$  are homotopic via the homotopy  $H(x, t)$ , then  $F(f)$  and  $F(g)$  are homotopic via ?????

If  $f : X \rightarrow Y$  is a map, then  $X \rightarrow Y \rightarrow C_f$  is a cofiber sequence, and we have a homotopy



pushout

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ \downarrow & & \downarrow \\ * & \longrightarrow & C_f \end{array}$$

$F$  sends this to a homotopy pullback, so we obtain a fiber sequence  $F(X) \rightarrow F(Y) \rightarrow F(C_f)$ . Then  $\pi_n$  sends the fiber sequence to the desired exact sequence.

Recall that for any space  $X$ , the following diagram

$$\begin{array}{ccc} X & \longrightarrow & * \\ \downarrow & & \downarrow \\ * & \longrightarrow & \Sigma X \end{array}$$

is a homotopy pushout square.  $F$  sends the square to a homotopy pullback and we obtain a weak equivalence  $F(X) \rightarrow \Omega F(\Sigma X)$  taking homotopy groups give

$$\pi_n(F(X)) \cong \pi_n(\Omega F(\Sigma X)) \cong \pi_{n+1}(F(\Sigma X))$$

Recall that the wedge product is a coproduct of a diagram with no morphisms in **Spaces**. Hence  $\bigvee_{i \in I} F(X_i)$  is homotopy equivalent to  $\text{hocolim}(F(X_i))$ . Since  $F$  is finitary and  $\pi_n$  sends homotopy colimits to colimits, we have

$$\begin{aligned} \pi_n \left( F \left( \bigvee_{i \in I} X_i \right) \right) &\cong \pi_n(F(\text{hocolim}_{i \in I} X_i)) \\ &\cong \pi_n(\text{hocolim}_{i \in I} (F(X_i))) \\ &\cong \text{colim}_{i \in I} \pi_n(F(X_i)) \\ &\cong \bigoplus_{i \in I} \pi_n(F(X_i)) \end{aligned}$$

since colim of an empty diagram in  $F$  the direct sum.

□

In some sense the association from spectra to reduced homology theories factors through the above association because  $F(X)$  is weakly equivalent  $\text{hocolim}_n \Omega^n(X \wedge F(S^n))$ .

### Example 3.3.2

The stable homotopy groups arise naturally as a reduced homology theory in the following way. While the identity functor is not excisive, we can take its excisive approximation  $P_1 F(X) = \text{hocolim}_k \Omega^k \Sigma^k X$  to give a reduced homology theory

$$X \mapsto \pi_n(\text{hocolim}_k (\Omega^k \Sigma^k X))$$

Since  $\pi_n$  sends homotopy colimits to colimits, the group on the right is given as  $\lim_{k \rightarrow \infty} \pi_n(\Omega^k \Sigma^k X) \cong \lim_{k \rightarrow \infty} \pi_{n+k}(\Sigma^k X)$ . This is precisely the definition of the stable homotopy groups of  $X$ .

## 4 Appendix

### 4.1 Homotopical Connectivity

#### 2.6 cubical homotopy theory

##### Definition 4.1.1: $n$ -Connected Spaces

Let  $X$  be a space. Let  $n \in \mathbb{N}$ . We say that  $X$  is  $n$ -connected if for all  $-1 \leq k \leq n$ , any map  $S^k \rightarrow X$  is homotopic to the constant map to the base point.

Every non-empty space is  $(-1)$ -connected.

##### Definition 4.1.2: $n$ -Connected Spaces

Let  $(X, A)$  be a pointed pair of spaces. Let  $n \in \mathbb{N}$ . We say that  $(X, A)$  is  $n$ -connected if for all  $-1 \leq k \leq n$ , any map  $(D^k, \partial D^k) \rightarrow (X, A)$  is homotopic to a map  $(D^k, \partial D^k) \rightarrow (A, A)$  relative to boundary.

##### Definition 4.1.3: $n$ -Connected Maps

Let  $X, Y$  be spaces. Let  $f : X \rightarrow Y$  be a map. We say that  $f$  is  $n$ -connected if  $(M_f, X)$  is  $n$ -connected.

##### Proposition 4.1.4

Let  $X$  be a space. Let  $n \in \mathbb{N}$ . Then the following are equivalent.

- $X$  is  $n$ -connected.
- $\pi_k(X) = 0$  for all  $0 \leq k \leq n$ .
- For all  $-1 \leq k \leq n$ , every map  $S^k \rightarrow X$  extends to a map  $D^{k+1} \rightarrow X$ .
- $(CX, X)$  is  $(n+1)$ -connected.

##### Proposition 4.1.5

Let  $(X, A)$  be a space. Let  $n \in \mathbb{N}$ . Then the following are equivalent.

- $(X, A)$  is  $n$ -connected
- For all  $0 < k \leq n$ ,  $\pi_k(X, A) = 0$  and  $\pi_0(A) \rightarrow \pi_0(X)$  is surjective.
- $\iota : A \hookrightarrow X$  is  $n$ -connected.

##### Proposition 4.1.6

Let  $X, Y$  be spaces. Let  $f : X \rightarrow Y$  be a map. Let  $n \in \mathbb{N}$ . If  $X$  is not empty, then the following are equivalent.

- $f$  is  $n$ -connected
- $\text{hofiber}_y(f)$  is  $n$ -connected for all  $y \in Y$ .
- For all  $0 < k \leq n$ ,  $\pi_k(f)$  is an isomorphism and  $\pi_n(f)$  is surjective.

Every map is  $(-1)$ -connected since every non-empty space is  $(-1)$ -connected.

### 4.2 Weak Equivalences

**Definition 4.2.1: Weak Equivalences**

Let  $X, Y$  be spaces. Let  $f : X \rightarrow Y$  be a map. We say that  $f$  is a weak equivalence if

$$\pi_n(f) : \pi_n(X) \rightarrow \pi_n(Y)$$

is an isomorphism for all  $n$ .

Clearly  $f$  is a weak equivalence if and only if  $f$  is  $n$ -connected for all  $n$ .

**Example 4.2.2: No Going Backwards**

$S^1$  with pseudocircle.

**Proposition 4.2.3: Two-Out-Of-Three Property**

Let  $X, Y, Z$  be spaces. Let  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  be maps. If any two of  $f, g, g \circ f$  are weak equivalences, then so is the third one.

*Proof.* If  $f$  and  $g$  are weak equivalences then it is clear that  $g \circ f$  is a weak equivalence. If  $g$  and  $g \circ f$  are weak equivalence but  $f$  is not, then there exists  $n$  such that  $\pi_n(f) : \pi_n(X, x_0) \rightarrow \pi_n(Y, f(x_0))$  is not an isomorphism for some  $x_0 \in X$ . Since  $\pi_n(g) : \pi_n(Y, f(x_0)) \rightarrow \pi_n(Z, g(f(x_0)))$  is an isomorphism, this means that  $\pi_n(g) \circ \pi_n(f)$  is not an isomorphism. This is a contradiction since  $\pi_n(g \circ f)$  is a weak equivalence.

Finally suppose that  $f$  and  $g \circ f$  are weak equivalences. If  $f$  is surjective, suppose for a contradiction that  $\pi_n(g) : \pi_n(Y, y_0) \rightarrow \pi_n(Z, g(y_0))$  is not an isomorphism for some  $n$  and  $y_0 \in Y$ . Then  $\pi_n(f)$  being an isomorphism implies that for any  $x_0 \in f^{-1}(y_0)$  ( $f$  is surjective) then  $\pi_n(g) \circ \pi_n(f)$  is not an isomorphism. This is a contradiction since  $\pi_n(g \circ f)$  is an isomorphism. For the case that  $f$  is not surjective, there exists  $y_0 \in Y$  that does not lie in  $\text{im}(f)$ . Since  $\pi_0(f)$  is an isomorphism, there exists some  $x \in X$  such that  $f(x)$  and  $y$  lie in the same path component. Let  $\gamma : I \rightarrow Y$  be a path from  $f(x)$  to  $y$ . Then the following diagram commutes:

$$\begin{array}{ccc} \pi_n(Y, f(x)) & \longrightarrow & \pi_n(Z, g(f(x))) \\ \cong \downarrow & & \downarrow \cong \\ \pi_n(Y, y_0) & \longrightarrow & \pi_n(Z, g(y_0)) \end{array}$$

where the vertical maps are induced by conjugation with  $\gamma$ , and therefore the diagram is commutative. From the case where we assumed that  $f$  is surjective, we can conclude that if  $f$  and  $g \circ f$  are weak equivalences, then  $g|_{\text{im}(f)}$  is a weak equivalence. Hence the top arrow is an isomorphism. Since three out of the four arrows in the commutative diagram is an isomorphism, the last arrow is also an isomorphism. Hence  $g$  is a weak equivalence.  $\square$

**Theorem 4.2.4: Restatement of Feudenthal's Suspension Theorem**

Let  $X$  be a space. Let  $n \in \mathbb{N}$ . Then for all  $k > n + 1$ , the suspension map induces isomorphisms

$$\pi_{n+k}(\Sigma^k X) \cong \pi_{n+k+1}(\Sigma^{k+1} X)$$

### 4.3 Some Important Facts

#### Proposition 4.3.1

The infinity categories  $\mathcal{S}$  and  $\mathbf{Cat}_\infty$  is complete and cocomplete.

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Limits of functors can be computed pointwise:

#### Proposition 4.3.2

Let  $\mathcal{C}, \mathcal{D}, \mathcal{E}$  be infinity categories. Let  $F : \mathcal{D} \rightarrow \mathcal{E}$  be a functor. Then the induced functor

$$- \circ F : \mathbf{Func}(\mathcal{E}, \mathcal{C}) \rightarrow \mathbf{Func}(\mathcal{D}, \mathcal{C})$$

preserves limits and colimits.

In particular, by choosing the inclusion functor  $\{d\} \hookrightarrow \mathcal{D}$ , we obtain the (co)limit preserving functor

$$\mathrm{ev}_d : \mathbf{Func}(\mathcal{D}, \mathcal{C}) \rightarrow \mathbf{Func}(\{d\}, \mathcal{C}) \simeq \mathcal{C}$$

Now given any diagram  $X : K \rightarrow \mathbf{Func}(\mathcal{D}, \mathcal{C})$  that admits a limit,  $\lim_K X$  is an object  $\mathbf{Func}(\mathcal{D}, \mathcal{C})$ . To compute the value of this functor at  $d \in \mathcal{D}$ , we use the evaluation map to get

$$\left( \lim_K X \right) (d) = \mathrm{ev}_d \left( \lim_K X \right) = \lim_K (\mathrm{ev}_d \circ X)$$

where the limit on the right is now an object in  $\mathcal{C}$ , and the diagram of the limit is given on objects by  $F(d)$  for all  $F$  in the image of  $X$ . (I.39 Fabian)