☐ File No.: PS/00306/2021

## RESOLUTION OF PUNISHMENT PROCEDURE

Of the procedure instructed by the Spanish Agency for Data Protection and based on

to the following

**BACKGROUND** 

FIRST: MUNICIPAL POLICE OF \*\*\*LOCALITY.1 (hereinafter, the

claimant) dated June 11, 2021 filed a claim with the Agency

Spanish Data Protection. The claim is directed against A.A.A. (FRUIT STORE)

with NIE \*\*\*NIE.1 (hereinafter, the claimed party). The grounds on which it is based are

that the respondent party is responsible for an establishment that has a

video surveillance system inside, without signaling through the relevant

informative posters of video-surveillance area.

A Complaint Act dated February 5, 2021 from the Municipal Police has been provided.

The claimed party was the subject of a previous file processed by this Agency

Spanish Data Protection, E/00788/2020, for the same facts,

having been notified of the resolution on February 7, 2020, without, at

time of the new claim, stating that the measures have been adopted

corresponding corrective measures, by signaling the video-monitored area.

SECOND: On June 18, 2021, the Director of the Spanish Agency for

Data Protection agreed to admit for processing the claim presented by the party

claimant.

THIRD: On August 12, 2021, the Director of the Spanish Agency for

Data Protection agreed to initiate a sanctioning procedure against the claimed party,

for the alleged infringement of article 13 of the RGPD, typified in article 83.5 of the

GDPR.

FOURTH: The notification of the Initiation Agreement sent to the respondent was received dated August 20, 2021 as stated in the proof of delivery issued by the mail service.

After the period granted for the formulation of allegations to the initial agreement of the procedure, it has been verified that no allegation has been received by the claimed party.

Article 64.2.f) of Law 39/2015, of October 1, on Administrative Procedure

Common Public Administrations (hereinafter LPACAP) -provision of which

the party claimed was informed in the agreement to open the proceeding
establishes that if allegations are not made within the stipulated period on the content of the

initiation agreement, when it contains a precise statement about the

imputed responsibility, may be considered a resolution proposal. In the

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present case, the agreement to initiate the disciplinary proceedings determined the facts in which the imputation was specified, the infraction of the RGPD attributed to the claimed and the sanction that could be imposed. Therefore, taking into account that the party complained against has made no objections to the agreement to initiate the file and In accordance with the provisions of article 64.2.f) of the LPACAP, the aforementioned agreement of beginning is considered in the present case resolution proposal.

In view of everything that has been done, by the Spanish Data Protection Agency
In this proceeding, the following are considered proven facts:

**FACTS** 

FIRST: On June 11, 2021, this Agency received a claim

against A.A.A. (FRUTERIA) for having installed a video surveillance system in the interior of your establishment without signaling by means of the pertinent posters informative video surveillance area.

SECOND: A Complaint Act dated February 5, 2021 of the Municipal police.

THIRD: The notice of the Home Agreement sent to the respondent was received on August 20, 2021 as it appears in the proof of delivery issued by the postal service, without, to date, having received in this Agency allegations by the respondent.

**FOUNDATIONS OF LAW** 

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By virtue of the powers that article 58.2 of the RGPD recognizes to each authority of control, and as established in arts. 47 and 48.1 of the LOPDGDD, the Director of The Spanish Agency for Data Protection is competent to resolve this process.

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The physical image of a person under article 4.1 of the RGPD is personal data and its protection, therefore, is the subject of said Regulation. Article 4.2 of the GDPR defines the concept of "treatment" of personal data.

Article 22 of the LOPDGDD establishes the specificities of data processing for video surveillance purposes, indicating the following:

"1. Natural or legal persons, public or private, may carry out the processing of images through camera systems or video cameras with the purpose of preserving the safety of people and property, as well as their installations.

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2. Images of public roads may only be captured to the extent that is essential for the purpose mentioned in the previous section.
However, it will be possible to capture the public road in an extension superior when necessary to guarantee the security of goods or strategic installations or infrastructures linked to transport, without In no case may it involve capturing images of the interior of a home private.

3. The data will be deleted within a maximum period of one month from its collection, except when they had to be kept to prove the commission of acts that threaten the integrity of persons, property or facilities. In that case, the images must be made available to the competent authority in within a maximum period of seventy-two hours from the date of knowledge of the existence of the recording.

The blocking obligation provided for in article 32 of this organic law.

4. The duty of information provided for in article 12 of the Regulation (EU)
2016/679 will be understood to be fulfilled by placing an informative device
in a sufficiently visible place identifying, at least, the existence of the treatment,
the identity of the person in charge and the possibility of exercising the rights provided for in the
Articles 15 to 22 of Regulation (EU) 2016/679. It may also be included in the
informative device a connection code or internet address to this

information.

In any case, the data controller must keep available to

those affected the information referred to in the aforementioned regulation.

5. Under article 2.2.c) of Regulation (EU) 2016/679, it is considered

excluded from its scope of application the treatment by a natural person of images

that they only capture the interior of their own home.

This exclusion does not cover processing carried out by a security entity

private that had been contracted for the surveillance of a home and had access

to the images.

6. The processing of personal data from the images and

sounds obtained through the use of cameras and video cameras by the Armed Forces

and Security Bodies and by the competent bodies for surveillance and control in

penitentiary centers and for the control, regulation, vigilance and discipline of the

traffic, will be governed by the legislation transposing Directive (EU) 2016/680,

when the treatment is for the purposes of prevention, investigation, detection or

prosecution of criminal offenses or execution of criminal sanctions, including

protection and prevention against threats to public safety. Outside

In these cases, said treatment will be governed by its specific legislation and

additionally by Regulation (EU) 2016/679 and this organic law.

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7. What is regulated in this article is understood without prejudice to the provisions of

Law 5/2014, of April 4, on Private Security and its development provisions.

8. The treatment by the employer of data obtained through information systems cameras or video cameras is subject to the provisions of article 89 of this law organic."

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In accordance with the foregoing, the processing of images through a video surveillance system, to be in accordance with current regulations, must comply with the following requirements:

- Respect the principle of proportionality.
- When the system is connected to an alarm center, you can only be installed by a private security company that meets the requirements contemplated in article 5 of Law 5/2014 on Private Security, of April 4.
- The video cameras will not be able to capture images of the people who are outside the private space where the security system is installed.

  video surveillance, since the processing of images in public places can only be carried out, unless there is government authorization, by the Forces and Corps of Security. Nor can spaces owned by third parties be captured or recorded without the consent of their owners, or, as the case may be, of the persons who are find.

This rule admits some exceptions since, on some occasions, for the protection of private spaces, where cameras have been installed on facades or inside, it may be necessary to guarantee the security purpose the recording of a portion of public road. That is, cameras and video cameras installed for the purpose of security will not be able to obtain images of public roads unless it is essential for said purpose, or it is impossible to avoid it due to the location of those and, extraordinarily, the minimum space for said purpose. Therefore, the cameras could exceptionally capture the portion

minimally necessary for the intended security purpose.

- The duty to inform those affected provided for in the articles 12 and 13 of the RGPD, and 22 of the LOPDGDD, in the terms already indicated.
- The person in charge must keep a record of treatment activities
   carried out under its responsibility, including the information to which it makes
   reference article 30.1 of the RGPD.
- The installed cameras cannot obtain images from private space of third party and/or public space without duly accredited justified cause, nor can affect the privacy of passers-by who move freely through the area. No this allowed, therefore, the placement of cameras towards the private property of neighbors with the purpose of intimidating them or affecting their private sphere without just cause.

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In no case will the use of surveillance practices be admitted beyond the
environment object of the installation and in particular, not being able to affect the spaces
surrounding public, adjoining buildings and vehicles other than those accessing the
guarded space.

In summary and to facilitate the consultation of interested parties, the Spanish Agency for Data Protection offers through its website [https://www.aepd.es] access to the legislation on the protection of personal data, including the RGPD and the LOPDGDD (section "Reports and resolutions" / "regulations"), as well as the Guide on the use of video cameras for security and other purposes, as well as the Guide for compliance with the duty to inform (both available in the section "Guides

and tools").

It is also of interest, in the event of carrying out low-risk data processing, the facilitates free tool (in the "Guides and tools" section), which, through specific questions, allows to assess the situation of the person in charge with respect to the treatment of personal data that it carries out, and where appropriate, generate various documents, informative and contractual clauses, as well as an annex with measures guidelines considered minimum.

IV

In the present case, the claim was filed because the respondent has installed a video surveillance system inside your establishment without signaling by means of the pertinent informative posters of the video-monitored area.

As proof of these manifestations, the evidence indicated in the

"Facts" section of this agreement.

The corrective powers available to the Spanish Agency for the Protection of Data, as a control authority, is established in article 58.2 of the RGPD. Among they have the power to issue a warning -article 58.2.b)-, the power to impose an administrative fine in accordance with article 83 of the RGPD - article 58.2 i)-, or the power to order the controller or processor that the treatment operations comply with the provisions of the RGPD, when appropriate, in a certain way and within a specified period -article 58. 2 d)-.

According to the provisions of article 83.2 of the RGPD, the measure provided for in article 58.2 d) of the aforementioned Regulation is compatible with the sanction consisting of a fine administrative.

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In accordance with the evidence available and which has not been

distorted during the sanctioning procedure, the defendant has installed a video surveillance system inside your establishment that does not have a sign informative of the existence of that system, so it is considered that these facts violate the provisions of article 13 of the RGPD, which could mean the

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commission of an offense typified in article 83.5 of the RGPD, which provides the

Next:

"Infringements of the following provisions shall be sanctioned, in accordance with paragraph 2, with administrative fines of a maximum of EUR 20,000,000 or, in the case of a company, an amount equivalent to a maximum of 4% of the global total annual turnover of the previous financial year, opting for the largest amount:

- a) the basic principles for the treatment, including the conditions for the consent under articles 5, 6, 7 and 9;
- b) the rights of the interested parties according to articles 12 to 22;

[...]."

For the purposes of the limitation period for infractions, the infraction indicated in the previous paragraph is considered very serious and prescribes after three years, in accordance with Article 72.1 of the LOPDGDD, which establishes that:

"According to the provisions of article 83.5 of Regulation (EU) 2016/679
are considered very serious and will prescribe after three years the infractions that suppose
a substantial violation of the articles mentioned therein and, in particular, the

following:

- a) The processing of personal data violating the principles and guarantees established in article 5 of Regulation (EU) 2016/679.
- b) The processing of personal data without the concurrence of any of the conditions of legality of the treatment established in article 6 of the Regulation (EU) 2016/679.

(...)

h) The omission of the duty to inform the affected party about the treatment of their personal data in accordance with the provisions of articles 13 and 14 of the Regulation (EU) 2016/679 and 12 of this Organic Law.

(...)»

SAW

The fine imposed must be, in each individual case, effective, proportionate and dissuasive, in accordance with the provisions of article 83.1 of the RGPD. So, It is appropriate to graduate the sanction to be imposed in accordance with the criteria established by the article 83.2 of the RGPD, and with the provisions of article 76 of the LOPDGDD, regarding to section k) of the aforementioned article 83.2 RGPD:

In the initial assessment, the following have been considered:

- The request made by this Spanish Protection Agency was not met

of Data in a previous procedure for the same facts, since it was not

had a video surveillance system that had an information poster

one that indicates the person in charge of the treatment and where the data can be directed.

interested parties to exercise their rights recognized in the RGPD.

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Therefore, in accordance with the applicable legislation and having assessed the criteria for graduation of sanctions whose existence has been proven,

the Director of the Spanish Data Protection Agency RESOLVES

FIRST: IMPOSE A.A.A. (FRUTERIA), with NIE \*\*\*NIE.1, for an infraction of the article 13 of the RGPD, typified in article 83.5 of the RGPD, a fine of €1,000 (A thousand euros).

SECOND: ORDER A.A.A. (FRUTERIA), with NIE \*\*\*NIE.1 which, by virtue of article 58.2.d) of the RGPD, within ten days, adopt the following measures:

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certifies having proceeded to the placement of the informative device in the video-monitored areas or to complete the information offered therein (you must identify, at least, the existence of a treatment, the identity of the responsible and the possibility of exercising the rights provided for in said precepts), locating this device in a sufficiently visible place, both in open and closed spaces.

certifies that it keeps available to those affected the information to which it is refers to the aforementioned RGPD.

THIRD: NOTIFY this resolution to A.A.A. (FRUIT STORE), with NIE \*\*\*NIE.1.

FOURTH: Warn the sanctioned party that he must make the imposed sanction effective once Once this resolution is enforceable, in accordance with the provisions of the article 98.1.b) of the LPACAP, within the voluntary payment term established in article 68 of the General Collection Regulations, approved by Royal Decree 939/2005, of

July 29, in relation to article 62 of Law 58/2003, of December 17,

by entering, indicating the NIF of the sanctioned person and the procedure number that appears at the top of this document, in the restricted account number ES00 0000 0000 0000 0000 0000, opened in the name of the Spanish Protection Agency of Data in the banking entity CAIXABANK, S.A.. Otherwise, it will proceed to its collection in executive period.

Received the notification and once executed, if the date of execution is between the 1st and 15th of each month, both inclusive, the term to make the payment voluntary will be until the 20th day of the following month or immediately after, and if between the 16th and last day of each month, both inclusive, the payment term It will be until the 5th of the second following month or immediately after.

In accordance with the provisions of article 50 of the LOPDGDD, this

Resolution will be made public once it has been notified to the interested parties.

counting from the day following the notification of this resolution or directly

Against this resolution, which puts an end to the administrative procedure in accordance with article 48.6 of the LOPDGDD, and in accordance with the provisions of article 123 of the LPACAP, the Interested parties may optionally file an appeal for reconsideration before the Director of the Spanish Agency for Data Protection within a month from

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contentious-administrative appeal before the Contentious-Administrative Chamber of the National Court, in accordance with the provisions of article 25 and section 5 of the fourth additional provision of Law 29/1998, of July 13, regulating the

Contentious-administrative jurisdiction, within a period of two months from the day following the notification of this act, as provided in article 46.1 of the aforementioned Law.

Finally, it is pointed out that in accordance with the provisions of article 90.3 a) of the LPACAP, The firm resolution may be provisionally suspended in administrative proceedings if the The interested party expresses his intention to file a contentious-administrative appeal. If this is the case, the interested party must formally communicate this fact by writing addressed to the Spanish Agency for Data Protection, presenting it through Electronic Register of the Agency [https://sedeagpd.gob.es/sede-electronica-web/], or through any of the other records provided for in article 16.4 of the aforementioned Law 39/2015, of October 1. You must also transfer to the Agency the documentation proving the effective filing of the contentious appeal-administrative. If the Agency was not aware of the filing of the appeal contentious-administrative within a period of two months from the day following the notification of this resolution would end the precautionary suspension.

Sea Spain Marti

Director of the Spanish Data Protection Agency

938-131120

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