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☐ File No.: EXP202208456

RESOLUTION OF TERMINATION OF THE PROCEDURE FOR PAYMENT

**VOLUNTEER** 

Of the procedure instructed by the Spanish Agency for Data Protection and based on

to the following

**BACKGROUND** 

FIRST: On March 16, 2023, the Director of the Spanish Agency for

Data Protection agreed to start a sanctioning procedure against A.A.A. (onwards,

the claimed party), through the Transcribed Agreement:

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File No.: EXP202208456

AGREEMENT TO START THE SANCTION PROCEDURE

Of the actions carried out by the Spanish Data Protection Agency, and in

based on the following

**FACTS** 

FIRST: B.B.B. (hereinafter, the claimant) on 07/28/2022, filed

claim before the Spanish Data Protection Agency. The claim is

directed against A.A.A. with NIF \*\*\*NIF.1 (hereinafter, the claimed party), for the

installation of a video surveillance system located at \*\*\*ADDRESS.1, with

indications of a possible breach of the provisions of Article 5.1.c) of the GDPR.

The reasons for the claim are the following:

The complaining party declares that he is a neighbor of the claimed party and that he has

installed cameras on his property that would capture images of areas of the yard of the

claiming party that adjoins the property of the claimed party. Bring two

videos sent by the claimed party to the claiming party, of two recordings

from the video surveillance system of the claimed party in which

appreciates the uptake of areas of the home of the claimant.

SECOND: In accordance with article 65.4 of Organic Law 3/2018, of 5

December, Protection of Personal Data and guarantee of digital rights (in

forward LOPDGDD), said claim was transferred to the claimed party, for

to proceed with its analysis and inform this Agency within a month of the

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actions carried out to adapt to the requirements established in the regulations of

Data Protection.

The transfer, which was carried out in accordance with the regulations established in Law 39/2015, of

October 1, of the Common Administrative Procedure of the Administrations

Public (hereinafter, LPACAP), there is no record of whether it was collected, since it did not work in the

file the acknowledgment of receipt. Reiterating the transfer by the same means,

Notifies on 10/28/2022.

On 11/15/2022, this Agency received a written response in which the

indicates that they have not contracted any security company for maintenance

of the system, and that the captured images are saved for a period of 7 days.

It provides images of the field of vision of the cameras, in which it can be seen that

several of the cameras are capturing properties of the neighbors and one of them part

of the public highway (in this case it would be proportional).

THIRD: On October 28, 2022, in accordance with article 65 of the

LOPDGDD, the claim presented by the claimant party was admitted for processing.

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Competence

In accordance with the powers that article 58.2 of Regulation (EU) 2016/679 (General Data Protection Regulation, hereinafter GDPR), grants each control authority and as established in articles 47, 48.1, 64.2 and 68.1 of the Organic Law 3/2018, of December 5, on the Protection of Personal Data and guarantee of digital rights (hereinafter, LOPDGDD), is competent to initiate and resolve this procedure the Director of the Spanish Protection Agency of data.

Likewise, article 63.2 of the LOPDGDD determines that: "The procedures processed by the Spanish Data Protection Agency will be governed by the provisions in Regulation (EU) 2016/679, in this organic law, by the provisions regulations dictated in its development and, insofar as they do not contradict them, with character subsidiary, by the general rules on administrative procedures."

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The image is a personal data

The physical image of a person, according to article 4.1 of the GDPR, is data personnel and their protection, therefore, is the subject of said Regulation. In article 4.2 of the GDPR defines the concept of "processing" of personal data.

""treatment": any operation or set of operations carried out on about personal data or sets of personal data, either by means of automated or not, such as the collection, registration, organization, structuretion, conservation, adaptation or modification, extraction, consultation, use,

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communication by transmission, diffusion or any other form of authorization of access, collation or interconnection, limitation, deletion or destruction;"

The images generated by a system of cameras or camcorders are data of personal nature, so its treatment is subject to the protection regulations of data.

It is, therefore, pertinent to analyze whether the processing of personal data (image of the natural persons) carried out through the denounced video surveillance system is in accordance with the provisions of the GDPR.

The art. 5.1 c) GDPR provides the following: Personal data will be:

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alleged infringement

"adequate, relevant and limited to what is necessary in relation to the purposes for those who are processed ("data minimization")."

Regarding treatment for video surveillance purposes, article 22 of the LOPDGDD establishes that natural or legal persons, public or private, may carry out carry out the treatment of images through systems of cameras or video cameras in order to preserve the safety of people and property, as well as their facilities.

The processing of personal data is subject to the rest of the principles of the treatment contained in article 5 of the GDPR. We will highlight the principle of minimization of data contained in article 5.1.c) of the GDPR which provides that personal data will be "adequate, relevant and limited to what is necessary in relation to for the purposes for which they are processed".

This means that in a specific treatment only the data can be processed timely personal, that come to the case and that are strictly necessary to fulfill the purpose for which they are processed. Treatment must be adjusted and proportional to the purpose to which it is directed. The relevance in the treatment of data must occur both at the time of data collection and at the time of subsequent treatment carried out on them.

In accordance with the above, the processing of excessive data must be restricted or proceed to their deletion.

The application of the principle of data minimization in the field of video surveillance means that images of the public thoroughfare cannot be captured, since the treatment of images in public places, unless authorized government, can only be carried out by the Security Forces and Corps.

On some occasions, for the protection of private spaces, where cameras installed on facades or inside, may be necessary to ensure the security purpose the recording of a portion of the public thoroughfare.

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That is, cameras and camcorders installed for security purposes may not be obtain images of public roads unless it is essential for said purpose, or it is impossible to avoid it due to their location. And in such a case extraordinary, the cameras will only be able to capture the minimum portion necessary to preserve the safety of people and property, as well as its facilities.

In no case will the use of surveillance practices beyond the environment be admitted.

object of the installation and, in particular, not being able to affect public spaces surroundings, adjoining buildings and vehicles other than those that access the space guarded.

Installed cameras cannot get images from third-party proprietary space and/or public space without duly accredited justified cause, nor can they affect the privacy of passers-by who move freely through the area.

It is not allowed, therefore, the placement of cameras towards the private property of neighbors with the purpose of intimidating them or affecting their private sphere without cause justified.

Nor can images be captured or recorded in spaces owned by third parties without the consent of their owners, or, where appropriate, of the people who find.

Likewise, it is disproportionate to capture images in private spaces, such as such as changing rooms, lockers or rest areas for workers.

Video surveillance obligations

In accordance with the foregoing, the processing of images through a system video surveillance, to comply with current regulations, must comply with the following requirements:

IV.

 Individuals or legal entities, public or private, can establish a system video surveillance in order to preserve the safety of people and property, as well as its facilities.

It must be assessed whether the intended purpose can be achieved in another less intrusive to the rights and freedoms of citizens.

"Personal data should only be processed if the purpose of the processing does not could reasonably be achieved by other means", recital 39 of the

GDPR.

- 2.- The images obtained cannot be used for a subsequent purpose incompatible with the one that motivated the installation of the video surveillance system.
- 3.- The treatment of images through the installation of camera systems or video cameras must be lawful and comply with the principle of proportionality and the principle of minimization of data, in the terms already indicated.

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4.- The images may be kept for a maximum period of one month, except in those cases in which they must be kept to prove the commission of acts that threaten the integrity of people, property or facilities.

In this second case, they must be made available to the authority competent authority within a maximum period of 72 hours from the knowledge of the recording existence.

It should be remembered that individuals are responsible for ensuring that the systems installed felled comply with current legislation, certifying that it complies with all the requirements demanded by the regulations in force.

In any case, the cameras must be oriented towards the particular space, avoiding intimidate neighboring neighbors with this type of device, as well as control areas transit thereof without just cause.

The Spanish Data Protection Agency offers through its website [https://www.aepd.es] access to:

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the legislation on the protection of personal data, including the RGPD and the LOPDGDD (section "Reports and resolutions" / "regulations"), the Guide on the use of video cameras for security and other purposes, the Guide for compliance with the duty to inform (both available at the section "Guides and tools").

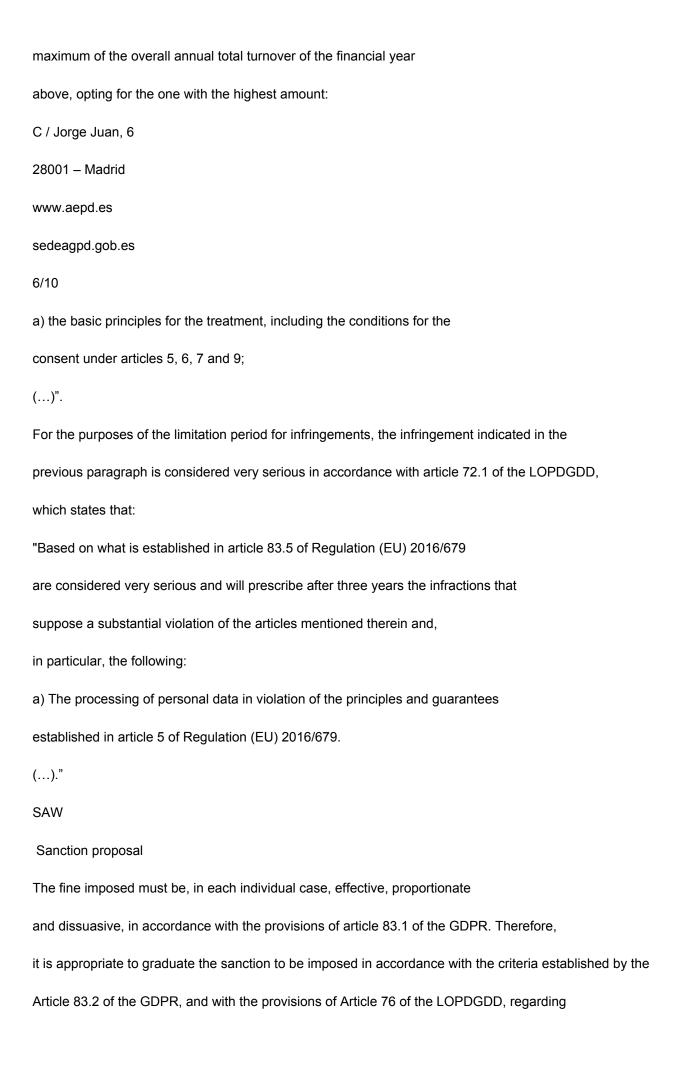
It is also of interest, in case of carrying out low-risk data processing, the free tool Facilitates (in the "Guides and tools" section) that, through specific questions, allows to assess the situation of the person in charge with respect to the processing of personal data that it carries out, and where appropriate, generate various documents, informative and contractual clauses, as well as an annex with measures indicative security considered minimum.

Possible administrative offense

V

In accordance with the evidence available at the present time of agreement to start the disciplinary procedure, and without prejudice to what results from the instruction, it is considered that the claimed party has a system of video surveillance that is capturing images of the claimant's farm, therefore that may be affecting the rights of third parties by processing personal data personnel, which would violate the provisions of Article 5.1.c) of the GDPR, for which reason could involve the commission of an offense classified in article 83.5 of the GDPR, which provides the following:

Violations of the following provisions will be penalized, according to with paragraph 2, with administrative fines of EUR 20,000,000 as maximum or, in the case of a company, an amount equivalent to 4%



to section k) of the aforementioned article 83.2 GDPR.

For all these reasons, it is considered that the corresponding sanction is a fine.

administrative. It must be remembered that the voluntary payment of the proposed amounts does not exempts from accrediting the regularization of the video surveillance system in accordance with the regulations in force.

VII

possible measures

If the infringement is confirmed, it could be agreed to impose on the person responsible the adoption of adequate measures to adjust its performance to the regulations mentioned in this act, in accordance with the provisions of the aforementioned article 58.2 d) of the GDPR, according to the which each control authority may "order the person responsible or in charge of the processing that the processing operations comply with the provisions of the this Regulation, where appropriate, in a certain way and within a certain specified term...".

In such a case, in the resolution adopted, this Agency may require the responsible so that within the period to be determined:

Evidence of having removed the camera system or

camcorders from the current location or to their reorientation, in such a way that the viewing of the images that are observed shows that it is not captured

common areas of the house.

the adjoining house.

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public roads.

It is noted that not meeting the requirements of this body may be considered as an administrative offense in accordance with the provisions of the GDPR, classified as an infraction in its article 83.5 and 83.6, being able to motivate such conduct the opening of a subsequent administrative sanctioning procedure.

VIII

Conclusion

Therefore, in accordance with the foregoing, by the Director of the Agency Spanish Data Protection,

HE REMEMBERS:

FIRST: INITIATE SANCTION PROCEDURE against A.A.A., with NIF \*\*\*NIF.1, for the alleged infringement of Article 5.1.c) of the GDPR, typified in Article 83.5 of the GDPR.

SECOND: APPOINT as instructor C.C.C. and, as secretary, to D.D.D., indicating that they may be challenged, if applicable, in accordance with the provisions of the Articles 23 and 24 of Law 40/2015, of October 1, on the Legal Regime of the Sector Public (LRJSP).

THIRD: INCORPORATE into the disciplinary file, for evidentiary purposes, the claim filed by the claimant and its documentation, as well as the documents obtained and generated by the Sub-directorate General of Inspection of Data on actions carried out prior to the start of this sanctioning procedure.

FOURTH: THAT for the purposes provided for in art. 64.2 b) of Law 39/2015, of 1 October, of the Common Administrative Procedure of Public Administrations (LPACAP, hereinafter), the corresponding sanction would be, for the alleged violation of article 5.1.c) of the GDPR, typified in article 83.5 of said regulation, administrative fine amounting to 300.00 euros.

FIFTH: NOTIFY this agreement to A.A.A., with NIF \*\*\*NIF.1, granting it a hearing period of ten business days to formulate the allegations and present the tests it deems appropriate. In your pleadings you must provide your NIF and the number of the procedure that appears in the heading of this document

If, within the stipulated period, he does not make allegations to this initial agreement, the same may be considered a resolution proposal, as established in article

64.2.f) of Law 39/2015, of October 1, on the Common Administrative Procedure of

Public Administrations (hereinafter, LPACAP).

In accordance with the provisions of article 85 of the LPACAP, you may recognize your responsibility within the period granted for the formulation of allegations to the present initiation agreement, which will entail a reduction of 20% of the sanction that should be imposed in this proceeding. With the application of this

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reduction, the sanction would be established at 240.00 euros, resolving the procedure with the imposition of this sanction.

In the same way, it may, at any time prior to the resolution of this

procedure, carry out the voluntary payment of the proposed sanction, which will mean a reduction of 20% of its amount. With the application of this reduction, the sanction would be established at 240.00 euros and its payment will imply the termination of the procedure, without prejudice to the imposition of the corresponding measures.

The reduction for the voluntary payment of the penalty is cumulative to the corresponding apply for acknowledgment of responsibility, provided that this acknowledgment of the responsibility is revealed within the period granted to formulate allegations at the opening of the procedure. Voluntary payment of the referred amount in the previous paragraph may be done at any time prior to the resolution. In

In any case, the effectiveness of any of the two aforementioned reductions will be conditioned to the withdrawal or resignation of any action or appeal via administrative against the sanction.

set at 180.00 euros.

In this case, if both reductions were to be applied, the amount of the penalty would remain

In the event that you choose to proceed with the voluntary payment of any of the amounts indicated above (240.00 euros or 180.00 euros), you must make it effective by entering the account number IBAN: ES00-0000-0000-0000-0000-0000 (BIC/SWIFT Code: CAIXESBBXXX) opened in the name of the Spanish Agency for Protection of Data in the banking entity CAIXABANK, S.A., indicating in the concept the reference number of the procedure that appears in the heading of this document and the reason for the reduction of the amount to which it accepts. Likewise, you must send proof of income to the General Subdirectorate of Inspection to continue with the procedure in accordance with the quantity entered.

The disciplinary procedure will have a maximum duration of nine months from from the date of the start agreement or, where appropriate, the start agreement project.

After this period, its expiration will occur and, consequently, the file of

performances; in accordance with the provisions of article 64 of the LOPDGDD.

Finally, it is noted that in accordance with the provisions of article 112.1 of the

LPACAP, there is no administrative appeal against this act.

Mar Spain Marti

Director of the Spanish Data Protection Agency

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SECOND: On April 8, 2023, the claimed party has proceeded to pay

the penalty in the amount of 180 euros making use of the two reductions provided

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in the Commencement Agreement transcribed above, which implies recognition of the

responsibility.

THIRD: The payment made, within the period granted to formulate allegations to

the opening of the procedure, entails the waiver of any action or appeal via

against the sanction and acknowledgment of responsibility in relation to

the facts referred to in the Commencement Agreement.

FOURTH: In the previously transcribed initiation agreement, it was indicated that, if

Once the infringement is confirmed, it could be agreed to impose on the controller the adoption of

adequate measures to adjust its performance to the regulations mentioned in this

act, in accordance with the provisions of the aforementioned article 58.2 d) of the GDPR, according to the

which each control authority may "order the person responsible or in charge of the

processing that the processing operations comply with the provisions of the this Regulation, where appropriate, in a certain way and within a certain specified term...".

Having recognized the responsibility for the infringement, the imposition of the measures included in the Initiation Agreement.

**FUNDAMENTALS OF LAW** 

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Competence

In accordance with the powers that article 58.2 of Regulation (EU) 2016/679 (General Data Protection Regulation, hereinafter GDPR), grants each control authority and as established in articles 47, 48.1, 64.2 and 68.1 of the Organic Law 3/2018, of December 5, on the Protection of Personal Data and guarantee of digital rights (hereinafter, LOPDGDD), is competent to initiate and resolve this procedure the Director of the Spanish Protection Agency of data.

Likewise, article 63.2 of the LOPDGDD determines that: "The procedures processed by the Spanish Data Protection Agency will be governed by the provisions in Regulation (EU) 2016/679, in this organic law, by the provisions regulations dictated in its development and, insofar as they do not contradict them, with character subsidiary, by the general rules on administrative procedures."

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Termination of the procedure

Article 85 of Law 39/2015, of October 1, on Administrative Procedure

Common for Public Administrations (hereinafter, LPACAP), under the heading

"Termination in disciplinary proceedings" provides the following:

"1. Initiated a disciplinary procedure, if the offender acknowledges his responsibility,

The procedure may be resolved with the imposition of the appropriate sanction.

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2. When the sanction has only a pecuniary nature or it is possible to impose a pecuniary sanction and another of a non-pecuniary nature but the inadmissibility of the second, the voluntary payment by the presumed perpetrator, in any moment prior to the resolution, will imply the termination of the procedure, except in relation to the replacement of the altered situation or the determination of the

In both cases, when the sanction is solely pecuniary in nature, the
 The competent body to resolve the procedure will apply reductions of at least
 of the amount of the proposed penalty, these being cumulative among themselves.
 The aforementioned reductions must be determined in the notification of initiation
 of the procedure and its effectiveness will be conditioned to the withdrawal or resignation of

The percentage reduction provided for in this section may be increased according to regulations."

any administrative action or resource against the sanction.

compensation for damages caused by the commission of the offence.

According to what has been stated,

the Director of the Spanish Data Protection Agency RESOLVES:

FIRST: DECLARE the termination of procedure EXP202208456, in in accordance with the provisions of article 85 of the LPACAP.

SECOND: TO ORDER A.A.A. so that within one month notify the Agency adopting the measures described in the fundamentals of law of the Initiation Agreement transcribed in this resolution.

THIRD: NOTIFY this resolution to A.A.A..

In accordance with the provisions of article 50 of the LOPDGDD, this

Resolution will be made public once the interested parties have been notified.

Against this resolution, which puts an end to the administrative process as prescribed by

the art. 114.1.c) of Law 39/2015, of October 1, on Administrative Procedure

Common of Public Administrations, interested parties may file an appeal

administrative litigation before the Administrative Litigation Chamber of the

National Court, in accordance with the provisions of article 25 and section 5 of

the fourth additional provision of Law 29/1998, of July 13, regulating the

Contentious-Administrative Jurisdiction, within a period of two months from the

day following the notification of this act, as provided for in article 46.1 of the

referred Law.

Mar Spain Marti

Director of the Spanish Data Protection Agency

C / Jorge Juan, 6

28001 - Madrid

1259-121222

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