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On the basis of its inspection plan, the Office carried out an inspection in accordance with Regulation (EU) 2016/679, the subject of which was compliance with the obligations in the processing of personal data set for the inspected person in connection with the processing of personal data of clients and potential clients obtained by financial advisors cooperating with the inspected company.

The control examined the fulfillment of the obligations of the personal data administrator arising from Article 5 (principles of personal data processing), Article 6 (legal title for processing), Article 7 point 3 (withdrawal of consent), Article 9 (processing of special categories of personal data), Article 12 (obligation to provide information), Article 21 (objection), Article 28 (obligations when involving processors), Article 32 (security of personal data) and Article 37 (data protection officer) of Regulation (EU) 2016 /679.

The Office did not detect a violation of Regulation (EU) 2016/679 in connection with the processing of personal data for the purposes of this service.

The inspection was conducted by Inspector Mgr. Daniel Rowan.

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