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☐ File No.: EXP202102834

RESOLUTION OF PUNISHMENT PROCEDURE

Of the procedure instructed by the Spanish Agency for Data Protection and based on

to the following

BACKGROUND

FIRST: On 09/20/2021, it had entry in this Spanish Agency of

Data Protection a document presented by A.A.A. (hereinafter referred to as the claimant),

through which he makes a claim against B.B.B. with NIF ***NIF.1 (hereinafter, the

claimed), for the installation of a video surveillance system installed in

***ADDRESS.1, there being indications of a possible breach of the provisions of

the personal data protection regulations.

The reasons underlying the claim are as follows:

"B.B.B. and C.C.C. they have put a fixed video surveillance camera, modifying their area

of focus to record a lane, public transit site, and therefore, capturing the entire

person who passes by even minors, to enter my house.

They have used those videos and images to later spread them illegitimately and without

any consent by WhatsApp on a recurring basis.

They have raised slander about me, revealing protected personal data

as are my name and surnames, in addition to threatening me loudly every time I

I pass through the area to get to my house.

[...]

Attach 16 screenshots of some of the videos dated 05/29/2021.

SECOND: In accordance with the provisions of article 65.4 of the Organic Law

3/2018, of December 5, on the Protection of Personal Data and guarantee of the

digital rights (hereinafter, LOPDGDD), was transferred on 10/01/2021 of

said claim to the claimed party, so that it proceeded to its analysis and inform the this Agency within a month, of the actions carried out to adapt to the requirements set forth in the data protection regulations. The notification is produced through postal mail on 10/15/2021, as stated in the Notice issued by Post.

On 10/21/2021, the respondent filed a brief stating that, for

On the one hand, the camera records only his lane and the farm assigned for his care and, on the other, that the images obtained by the video surveillance system are being used via court order to prove fraud and illegal occupation of a part of his plot.

Provide, among others, the following documents:

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- Copy of the document where the company that owns the property authorizes
 C.C.C. for its temporary occupation in order to "keep the horses that are deem appropriate".
- Copy of the purchase agreement by which C.C.C. buy a portion of two farms located in the area ***ADDRESS.1.
- An image of what the video surveillance camera captures in which you can see part of this farm and the lane that he claims to be his property.

THIRD: On 10/25/2021, the Director of the Spanish Protection Agency

Data agreed to admit the claim filed by the claimant for processing.

FOURTH: On 02/18/2022, the Director of the Spanish Protection Agency

of Data agreed to initiate a sanctioning procedure against the claimed party, for the

alleged infringement of article 5.1.c) of the RGPD, typified in article 83.5 of the GDPR.

FIFTH: On 02/25/2022 the respondent is notified of the agreement to open the present sanctioning procedure and a hearing period of TEN

WORKING DAYS to formulate the allegations and present the evidence that consider convenient, in accordance with the provisions of articles 73 and 76 of the Law 39/2015, of October 1, on the Common Administrative Procedure of the Public Administrations (hereinafter, LPACAP).

SIXTH: After the term granted for the formulation of allegations to the agreement of the beginning of the procedure, it has been verified that no allegation has been received by the claimed party.

Article 64.2.f) of Law 39/2015, of October 1, on Administrative Procedure

Common Public Administrations (hereinafter LPACAP) -provision of which

the party claimed was informed in the agreement to open the proceedingestablishes that if allegations are not made within the stipulated period on the content of the
initiation agreement, when it contains a precise statement about the
imputed responsibility, may be considered a resolution proposal.

In the present case, the agreement to initiate the disciplinary proceedings determined the facts in which the imputation was specified, the infraction of the RGPD attributed to the claimed and the sanction that could be imposed. Therefore, taking into account that the party complained against has made no objections to the agreement to initiate the file and In accordance with the provisions of article 64.2.f) of the LPACAP, the aforementioned agreement of beginning is considered in the present case resolution proposal.

SEVENTH: The agreement to initiate the procedure agreed in the third point of the operative part "INCORPORATE to the disciplinary file, for the purposes of evidence, the claims submitted by claimants and the information and documentation

obtained by the Subdirectorate General for Data Inspection in the phase of information prior to the agreement for admission to processing of the claim".

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In view of everything that has been done, by the Spanish Data Protection Agency
In this proceeding, the following are considered proven facts:

PROVEN FACTS

FIRST: Installation of a video surveillance camera outside the building located at ***ADDRESS.1, which could be capturing images of a road public transit. The complainant provides several screenshots showing observes the access road and the entrance door to his farm, appearing in the same identifiable people.

SECOND: The respondent states that the video surveillance camera is oriented only towards the yielded farm and a road, but that lane is his property.

Provides a photograph in which it is observed that the camera captures part of the farm and the path that claims to be private, but analyzed the documentation cannot be determined exactly that this piece of land is within your property.

THIRD: It is identified as responsible for the device B.B.B. with NIF ***NIF.1.

FOURTH: The Spanish Data Protection Agency has notified the respondent the agreement to open this sanctioning procedure, but has not presented allegations or evidence that contradicts the facts denounced.

FOUNDATIONS OF LAW

In accordance with the powers that article 58.2 of Regulation (EU) 2016/679

(General Data Protection Regulation, hereinafter RGPD), grants each

control authority and as established in articles 47 and 48.1 of the Law

Organic 3/2018, of December 5, on the Protection of Personal Data and guarantee of

digital rights (hereinafter, LOPDGDD), is competent to initiate and resolve

this procedure the Director of the Spanish Data Protection Agency.

Likewise, article 63.2 of the LOPDGDD determines that: "The procedures

processed by the Spanish Agency for Data Protection will be governed by the provisions

in Regulation (EU) 2016/679, in this organic law, by the provisions

regulations issued in its development and, as long as they do not contradict them, with a subsidiary, by the general rules on administrative procedures."

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The image of a person, in accordance with article 4.1 of the RGPD, is personal data and its protection, therefore, is the subject of said Regulation. In article 4.2 of the RGPD it is defines the concept of "treatment" of personal data.

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Article 22 of the LOPDGDD includes the specific rules for the treatment of data for video surveillance purposes and states the following:

"1. Natural or legal persons, public or private, may carry out the treatment ment of images through camera systems or video cameras with the purpose to preserve the safety of people and property, as well as its facilities.

dispensable for the purpose mentioned in the previous section.

However, it will be possible to capture public roads to a greater extent when necessary to guarantee the security of assets or strategic installations. services or infrastructures linked to transport, without in any case being able to put the capturing of images of the interior of a private home.

2. Images of public roads may only be captured to the extent that it is im-

3. The data will be deleted within a maximum period of one month from its collection, except when they had to be kept to prove the commission of acts that attend to have against the integrity of people, goods or facilities. In this case, the images must be made available to the competent authority within a maximum period of seventy-two hours since the existence of the recording became known.

The blocking obligation provided for in art. article 32 of this organic law.

4. The duty of information provided for in article 12 of Regulation (EU) 2016/679 is understood to be fulfilled by placing an informative device in a sufficient place ciently visible identifying, at least, the existence of the treatment, the identity of the person in charge and the possibility of exercising the rights provided for in articles 15 to 22 of Regulation (EU) 2016/679. It may also be included in the device information
I attach a connection code or internet address to this information.
In any case, the person in charge of the treatment must keep available to the

5. Under article 2.2.c) of Regulation (EU) 2016/679, it is considered excluded of its scope of application the treatment by a natural person of images that are regretfully capture the interior of your own home.

affected the information referred to in the aforementioned regulation.

This exclusion does not cover processing carried out by a private security entity.

given that she had been hired to guard a home and had access to the images.

6. The processing of personal data from the images and sounds obtained nests through the use of cameras and video cameras by the Forces and Corps

Security and by the competent bodies for surveillance and control in the centers penitentiaries and for the control, regulation, surveillance and discipline of traffic, will be governed by the legislation transposing Directive (EU) 2016/680, when the treatment for purposes of prevention, investigation, detection or prosecution of violations criminal offenses or the execution of criminal sanctions, including protection and prevention C/ Jorge Juan, 6

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against threats to public safety. Apart from these assumptions, said treatment will be governed by its specific legislation and additionally by the Regulations to (EU) 2016/679 and this organic law.

- 7. What is regulated in this article is understood without prejudice to the provisions of the Law 5/2014, of April 4, on Private Security and its development provisions.
- 8. The treatment by the employer of data obtained through camera systems cameras or video cameras is subject to the provisions of article 89 of this organic law.

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In accordance with the foregoing, the processing of images through a system of video surveillance, to be in accordance with current regulations, must comply with the following requirements:

- Respect the principle of proportionality.

 When the system is connected to an alarm center, you can only be installed by a private security company that meets the requirements contemplated in article 5 of Law 5/2014 on Private Security, of 4 april.

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Camcorders will not be able to capture images of people are outside the private space where the security system is installed. video surveillance, since the processing of images in public places only can be carried out, unless there is government authorization, by the Security Forces and Bodies. They cannot be captured or recorded spaces owned by third parties without the consent of their owners, or, in their case, of the people who are in them.

This rule admits some exceptions since, on some occasions, for the protection of private spaces, where cameras have been installed in facades or inside, it may be necessary to guarantee the purpose of security recording a portion of the public highway. That is, the cameras and video cameras installed for security purposes will not be able to obtain images of public roads unless it is essential for that purpose, or it is impossible to avoid due to their location and extraordinarily

The minimum space for said purpose will also be collected. Therefore, the cameras could exceptionally capture the minimally necessary portion for its intended security purpose.

- The duty to inform those affected provided for in articles
 12 and 13 of the RGPD and 22.4 of the LOPDGDD.
- The person in charge must keep a record of treatment activities carried out under their responsibility, including the information to which

refers to article 30.1 of the RGPD.

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The installed cameras cannot obtain images of private spaces.

third party and/or public space without duly accredited justified cause, or may affect the privacy of passers-by who move freely through the zone. It is not allowed, therefore, the placement of cameras towards the private property of neighbors with the purpose of intimidating them or affecting their private sphere without just cause.

In no case will the use of surveillance practices be admitted beyond the
environment object of the installations and in particular, not being able to affect the
surrounding public spaces, adjoining buildings and vehicles other than those
access the guarded space.

In relation to the foregoing, to facilitate the consultation of interested parties, the Agency Spanish Data Protection offers through its website

[https://www.aepd.es] access to data protection legislation including the RGPD and the LOPDGDD (section "Reports and resolutions" / "regulations"), to the Guide on the use of video cameras for security and other purposes and the Guide for compliance with the duty to inform (both available in the "Guides and tools" section).

It is also of interest in the event that low-level data processing is carried out.
risk, the free tool Facilita (in the "Guides and tools" section) that,

through specific questions, it allows to assess the situation of the person in charge regarding the processing of personal data that it carries out and, where appropriate, generate various documents, informative and contractual clauses, as well as an annex with indicative security measures considered minimal.

IV

In the present case, the respondent has not presented arguments or evidence that contradict the facts denounced within the period given for it.

In accordance with the evidence available and which has not been distorted during the sanctioning procedure, the defendant has installed a video surveillance camera outside your home, located at ***ADDRESS.1, that can get to capture images of a public transit road.

Based on the foregoing, the facts entail a violation of the provisions of the article 5.1 c) of the RGPD, which supposes an infringement typified in article 83.5 a) of the RGPD, which provides the following:

"The infractions of the following dispositions will be sanctioned, in accordance with the section 2, with administrative fines of a maximum of EUR 20,000,000 or, in the case of a company, an amount equivalent to 4% of the turnover global annual total of the previous financial year, choosing the highest amount:

a) The basic principles for the treatment, including the conditions for the consent under articles 5, 6, 7 and 9;

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For the mere purposes of prescription, article 72.1 of the LOPDGDD qualifies as very serious:

 a) The processing of personal data violating the principles and guarantees established in article 5 of Regulation (EU) 2016/679;

(...)

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The corrective powers available to the Spanish Agency for the Protection of Data, as a control authority, is established in article 58.2 of the RGPD. Among they have the power to impose an administrative fine in accordance with article 83 of the RGPD -article 58.2 i)-, or the power to order the person responsible or in charge of the treatment that the treatment operations comply with the provisions of the GDPR, where applicable, in a certain way and within a specified term -article 58. 2 d).

According to the provisions of article 83.2 of the RGPD, the measure provided for in article 58.2 d) of the aforementioned Regulation is compatible with the sanction consisting of a fine administrative.

In the present case, taking into account the exposed facts and without prejudice to what results from the instruction of the procedure, it is considered that the sanction that should be imposed is an administrative fine. The fine imposed must be, in each individual case, effective, proportionate and dissuasive, in accordance with the article 83.1 of the RGPD. In order to determine the administrative fine to be imposed, to observe the provisions of article 83.2 of the RGPD, which indicates:

"two. Administrative fines will be imposed, depending on the circumstances of each individual case, in addition to or as a substitute for the measures contemplated in the Article 58, paragraph 2, letters a) to h) and j). When deciding to impose a fine administration and its amount in each individual case will be duly taken into account:

- a) the nature, seriousness and duration of the offence, taking into account the nature, scope or purpose of the processing operation in question, as well such as the number of interested parties affected and the level of damages that have suffered; b) intentionality or negligence in the infringement; c) any measure taken by the controller or processor to alleviate the damages suffered by the interested parties; d) the degree of responsibility of the person in charge or of the person in charge of the treatment, taking into account the technical or organizational measures that they have applied under of articles 25 and 32; e) any previous infringement committed by the person in charge or the person in charge of the treatment; C/ Jorge Juan, 6 28001 - Madrid www.aepd.es sedeagpd.gob.es 8/10 f) the degree of cooperation with the supervisory authority in order to remedy the infringement and mitigate the possible adverse effects of the infringement; g) the categories of personal data affected by the infringement; h) the way in which the supervisory authority became aware of the infringement, in particular whether the person in charge or the person in charge notified the infringement and, if so, in what measure; i) when the measures indicated in article 58, section 2, have been ordered
- previously against the person in charge or the person in charge in question in relation to the same matter, compliance with said measures; j) adherence to codes of conduct under article 40 or mechanisms of

certification approved in accordance with article 42,

k) any other aggravating or mitigating factor applicable to the circumstances of the case, such as financial benefits obtained or losses avoided, directly or indirectly, through the infringement.

For its part, in relation to letter k) of article 83.2 of the RGPD, the LOPDGDD, in its article 76, "Sanctions and corrective measures", provides:

- "1. The penalties provided for in sections 4, 5 and 6 of article 83 of the Regulation (EU) 2016/679 will be applied taking into account the graduation criteria established in section 2 of the aforementioned article.
- 2. In accordance with the provisions of article 83.2.k) of Regulation (EU) 2016/679 may also be taken into account:
- a) The continuing nature of the offence.
- b) The link between the activity of the offender and the performance of treatment of personal information.
- c) The profits obtained as a result of committing the offence.
- d) The possibility that the conduct of the affected party could have included the commission of the offence.
- e) The existence of a merger by absorption process subsequent to the commission of the infringement, which cannot be attributed to the absorbing entity
- f) Affectation of the rights of minors
- g) Have, when not mandatory, a data protection officer.

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h) Submission by the person in charge or person in charge, on a voluntary basis, to alternative conflict resolution mechanisms, in those cases in which there are controversies between them and any interested party".

In accordance with the precepts transcribed, in order to set the amount of the penalty to

impose in the present case for the infringement typified in article 83.5 a) of the RGPD,

The following aspects are considered concurrent as aggravating factors that reveal
greater unlawfulness and/or culpability in the conduct of the defendant:

-

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The nature of the infringement. The one claimed by having a system of video surveillance that would be oriented to public roads and private areas without justified cause, produces damages to all interested parties affected (art. 83.2 a) RGPD).

The intentionality or negligence in the infringement. With the system video surveillance carries out excessive control of the area without any justified cause, highlighting the poor orientation of the device (art. 83.2 b) RGPD).

The balance of the circumstances contemplated, with respect to the infraction committed by violating the provisions of articles 5.1 c) of the RGPD, it allows setting a fine of €300 (three hundred euros).

Therefore, in accordance with the applicable legislation and having assessed the criteria for graduation of sanctions whose existence has been proven,

the Director of the Spanish Data Protection Agency RESOLVES:

FIRST: IMPOSE B.B.B., with NIF ***NIF.1, for an infraction of article 5.1.c)
of the RGPD, typified in article 83.5 of the RGPD, a fine of €300 (three hundred euros)

SECOND: ORDER to B.B.B., with NIF ***NIF.1 that, by virtue of article 58.2.d)

of the RGPD, within ten business days, take the following measures:

- Prove that you proceeded to withdraw the camera from the current location, or to reorientation of this towards its particular area or that does not involve an uptake disproportionate

THIRD: NOTIFY this resolution to B.B.B., with NIF ***NIF.1.

FOURTH: Warn the sanctioned party that he must make the imposed sanction effective once Once this resolution is enforceable, in accordance with the provisions of the art. 98.1.b) of Law 39/2015, of October 1, on Administrative Procedure Common Public Administrations (hereinafter LPACAP), within the payment term voluntary established in art. 68 of the General Collection Regulations, approved by Royal Decree 939/2005, of July 29, in relation to art. 62 of Law 58/2003, of December 17, through its entry, indicating the NIF of the sanctioned and the number of procedure that appears in the heading of this document, in the account restricted number ES00 0000 0000 0000 0000, opened on behalf of the Agency C/ Jorge Juan, 6

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Spanish Department of Data Protection in the banking entity CAIXABANK, S.A.. In case Otherwise, it will be collected in the executive period.

Received the notification and once executed, if the date of execution is between the 1st and 15th of each month, both inclusive, the term to make the payment voluntary will be until the 20th day of the following month or immediately after, and if between the 16th and last day of each month, both inclusive, the payment term It will be until the 5th of the second following month or immediately after.

In accordance with the provisions of article 50 of the LOPDGDD, this

Resolution will be made public once it has been notified to the interested parties.

Against this resolution, which puts an end to the administrative procedure in accordance with art. 48.6 of the

LOPDGDD, and in accordance with the provisions of article 123 of the LPACAP, the

Interested parties may optionally file an appeal for reconsideration before the

Director of the Spanish Agency for Data Protection within a month from

counting from the day following the notification of this resolution or directly

contentious-administrative appeal before the Contentious-Administrative Chamber of the

National Court, in accordance with the provisions of article 25 and section 5 of

the fourth additional provision of Law 29/1998, of July 13, regulating the

Contentious-administrative jurisdiction, within a period of two months from the

day following the notification of this act, as provided in article 46.1 of the

aforementioned Law.

Finally, it is pointed out that in accordance with the provisions of art. 90.3 a) of the LPACAP,

may provisionally suspend the firm resolution in administrative proceedings if the

The interested party expresses his intention to file a contentious-administrative appeal.

If this is the case, the interested party must formally communicate this fact by

writing addressed to the Spanish Agency for Data Protection, presenting it through

Electronic Register of the Agency [https://sedeagpd.gob.es/sede-electronica-

web/], or through any of the other registers provided for in art. 16.4 of the

aforementioned Law 39/2015, of October 1. You must also transfer to the Agency the

documentation proving the effective filing of the contentious appeal-

administrative. If the Agency was not aware of the filing of the appeal

contentious-administrative within a period of two months from the day following the

notification of this resolution would end the precautionary suspension.

Sea Spain Marti

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