

Millimeter Wave V2V Beam Tracking using Radar: Algorithms and Real-World Demonstration



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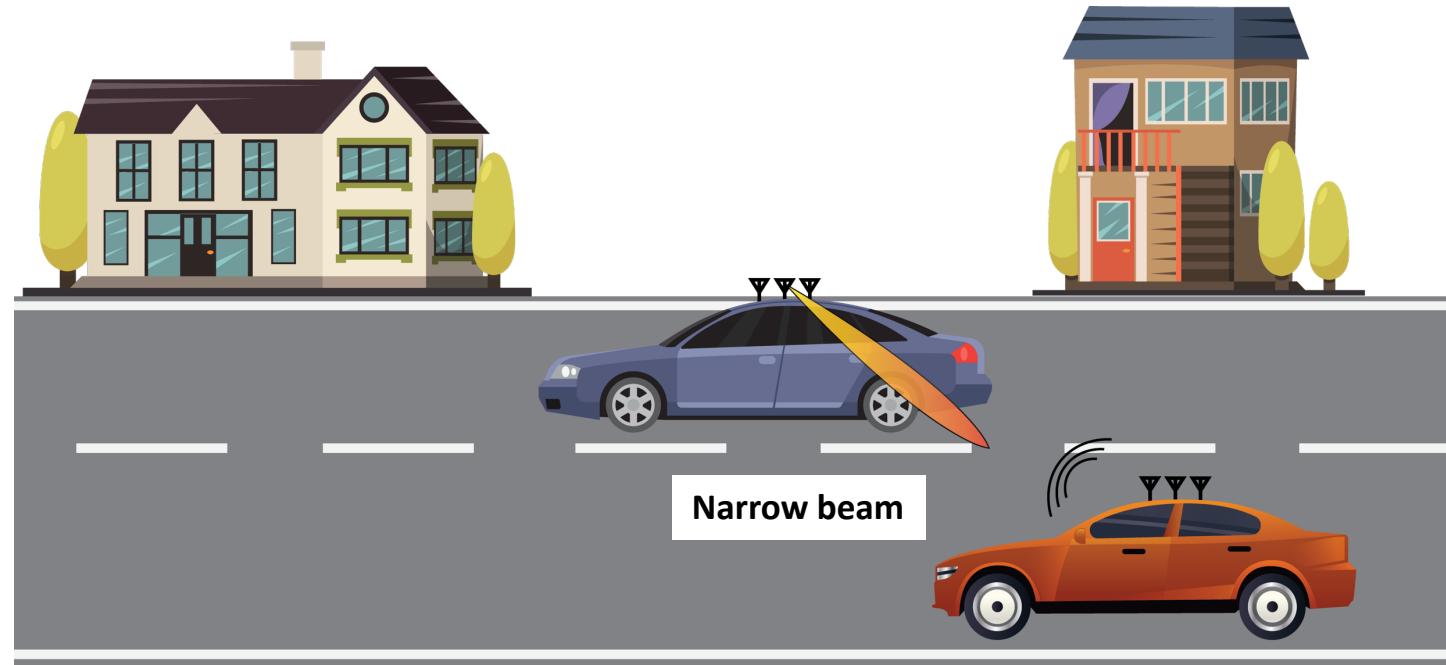
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Challenges with vehicle-to-vehicle (V2V) communications

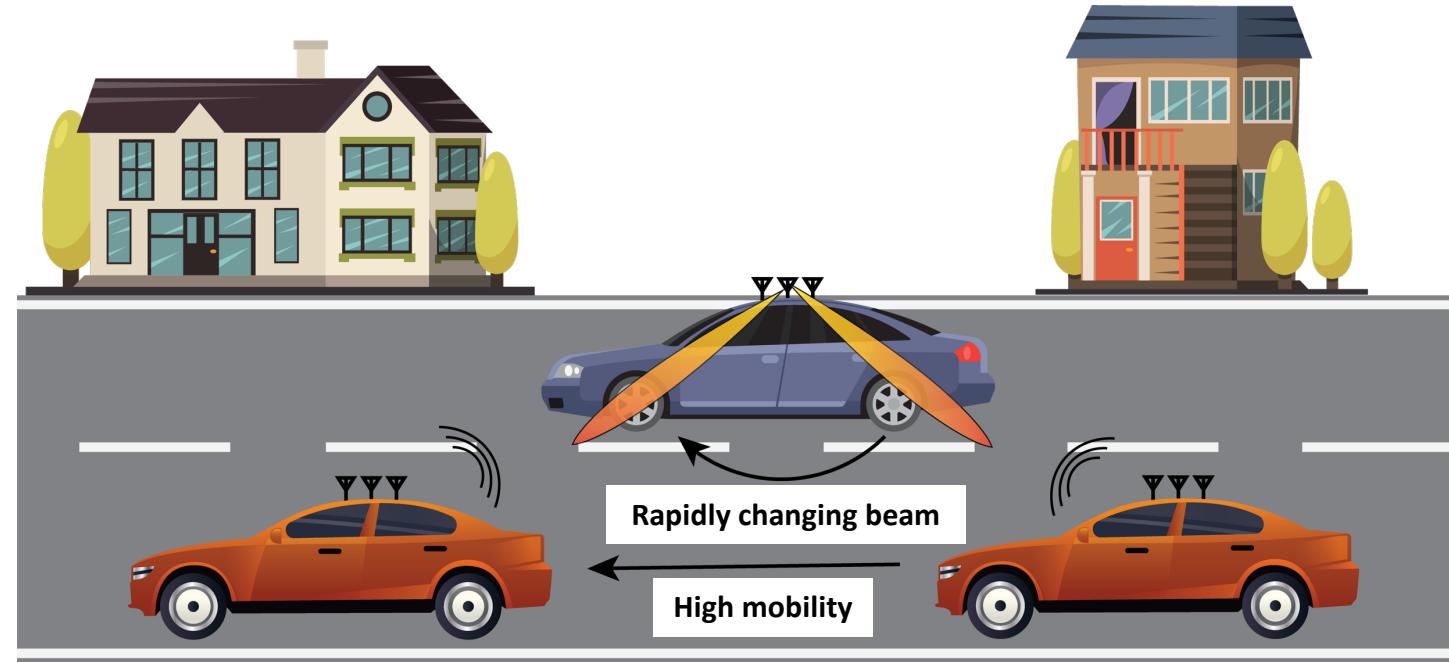
- ▶ Envisioned V2V communications
 - Sensor-supported safety applications
 - Demand high data rate
- ▶ mmWave and THz communications
 - High data transfer speeds
 - Large antenna array and narrow beam
 - Accurate narrow beam alignment



Finding the optimal narrow beam results in a large training overhead

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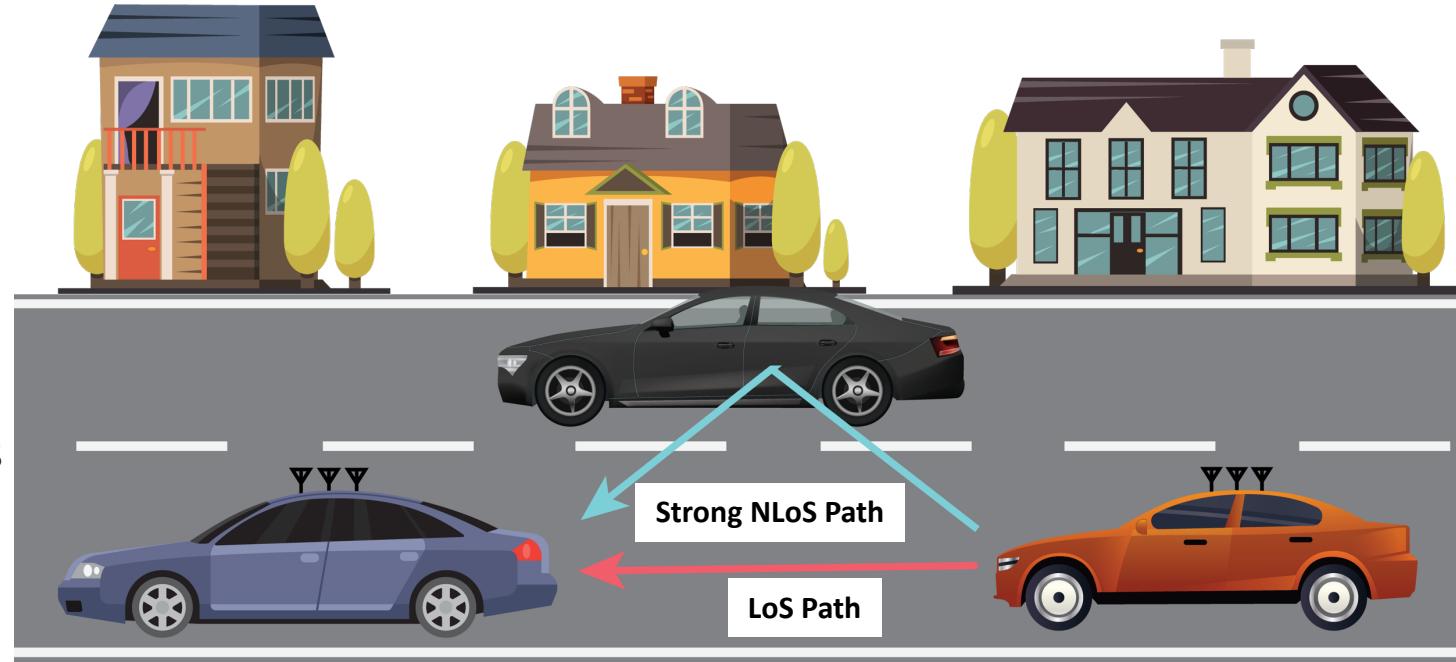


Finding the optimal narrow beam results in a large training overhead

It is challenging to support highly-mobile vehicular scenarios

Key idea: Radar-aided beam tracking

- ▶ Channels are the functions of
 - Geometry of the environment
 - Position/direction of the Tx/Rx
- ▶ Multi-modal vehicular sensors
 - Already available for other applications
 - Example: **Automotive radar sensors**

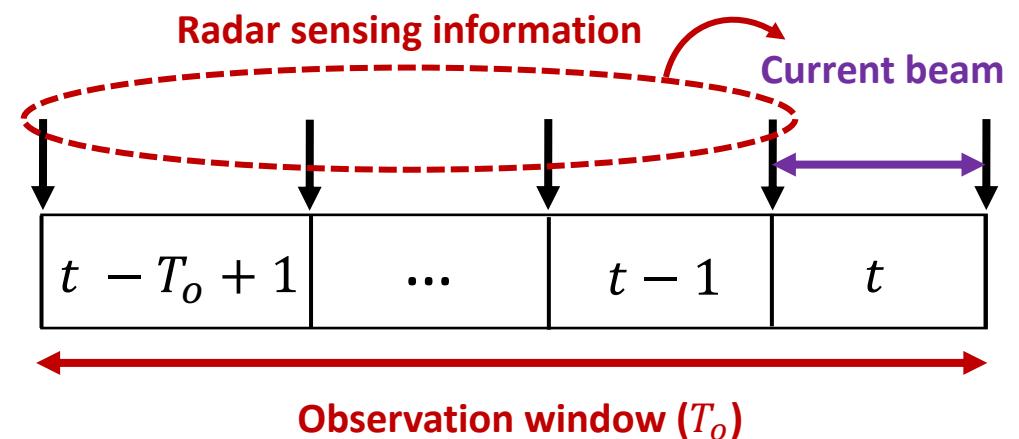
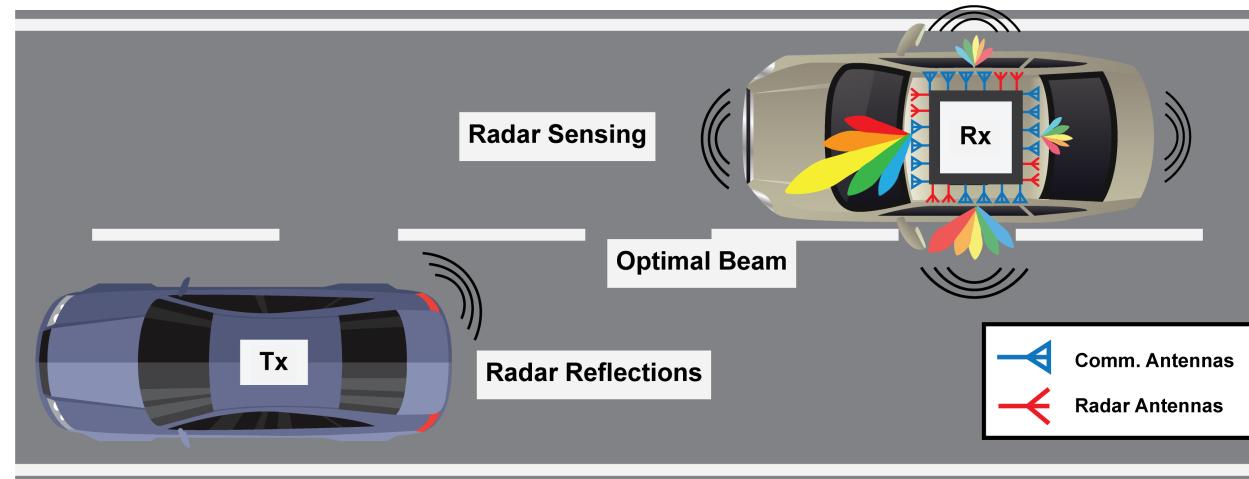


Can we use **radar sensing** for beam tracking in **V2V scenarios**?

Can the developed solutions perform well in the **real world**?

System model

- ▶ A transmitter vehicle with a single antenna
- ▶ A receiver vehicle
 - A set of linear mmWave antenna arrays
 - A set of off-the-shelf FMCW radars
 - Cover the whole circular directions
$$d \in \{front, right, back, left\}$$
- ▶ Radar-aided mmWave beam tracking
 1. Observe a sequence of radar measurements
 2. Predict the optimal beam



System model

Communication model

Channel model

$$\mathbf{h}_d = \sum_{l=1}^{L_d} \alpha_{d,l} \mathbf{a}(\theta_{d,l}^{az}, \theta_{d,l}^{el})$$

Number of paths

Complex path gain

Array response vector

Received signal

$$y_d = \sqrt{\mathcal{E}_c} \mathbf{f}_d^H \mathbf{h}_d s + n$$

Data symbol

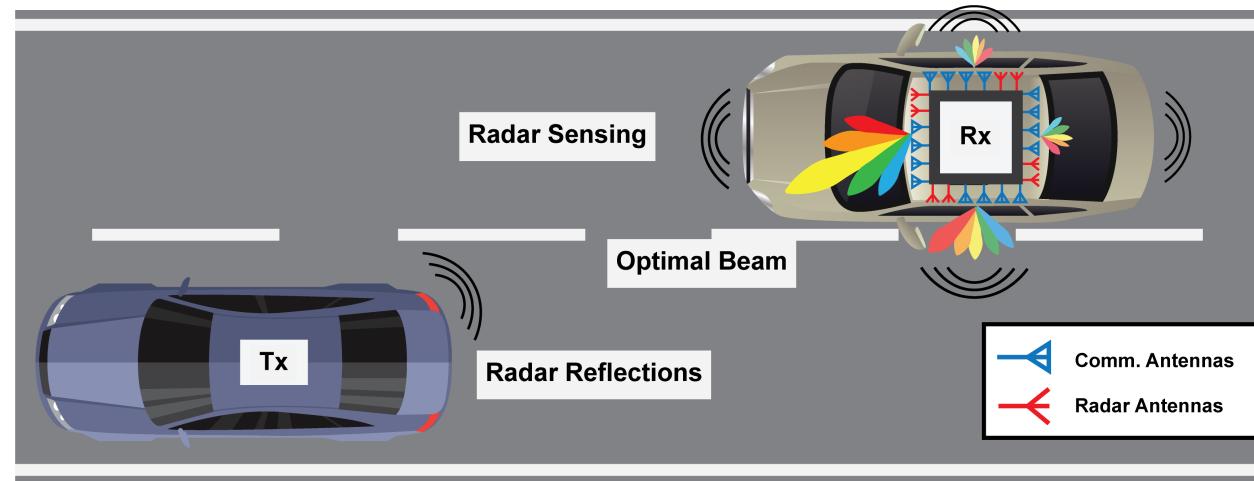
Noise

Power gain

Combining vector

$$\mathcal{F}_d = \{\mathbf{f}_{d,1}, \dots, \mathbf{f}_{d,B}\}$$

Pre-defined codebook



Optimal direction and beam index

$$\max_{d,b} |\mathbf{f}_{d,b}^H \mathbf{h}_d|^2$$

$$\text{s.t. } d \in \{front, right, back, left\}, \\ b \in \{1, \dots, B\}.$$

System model

Radar model

Chirp signal

$$s_{\text{chirp}}^{\text{tx}}(t) = \begin{cases} \sin(2\pi[f_0 t + \frac{S}{2}t^2]) & \text{if } 0 \leq t \leq T_{\text{active}} \\ 0 & \text{otherwise} \end{cases}$$

Transmit signal (Radar frame)

$$s_{\text{frame}}^{\text{tx}}(t) = \sqrt{\mathcal{E}_t} \sum_{c=0}^{M_{\text{chirp}}-1} s_{\text{chirp}}^{\text{tx}}(t - c \cdot T_{\text{PRI}})$$

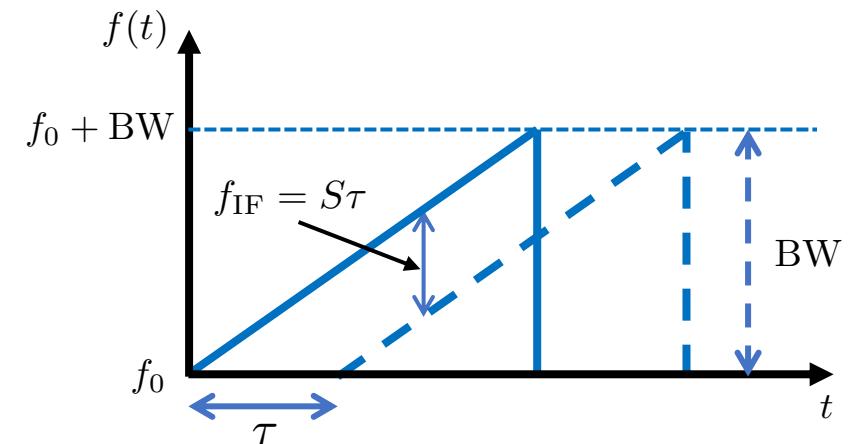
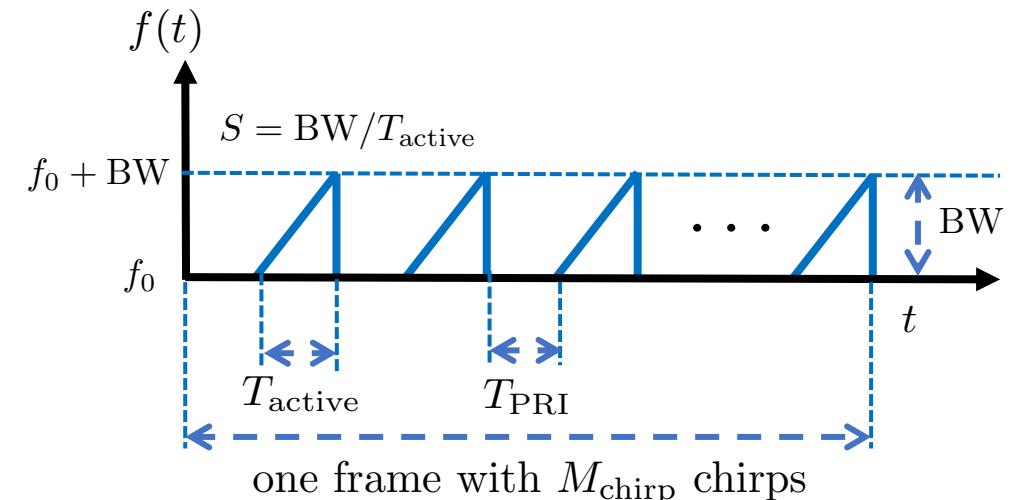
IF signal of a chirp

$$s_{\text{chirp}}^{\text{rx}}(t) = \sqrt{\mathcal{E}_t \mathcal{E}_r} \exp \left(j2\pi \left[S\tau t + f_0 \tau - \frac{S}{2}\tau^2 \right] \right)$$

Transmission power gain

Reflection/scattering gain

Round-trip time of sensing signal



Radar-aided V2V beam tracking problem

- ▶ In this work, we aim to answer the following questions
 - Can we use **radar sensing for beam tracking** in V2V scenarios?
 - Can the developed algorithms work well in the **real world**?

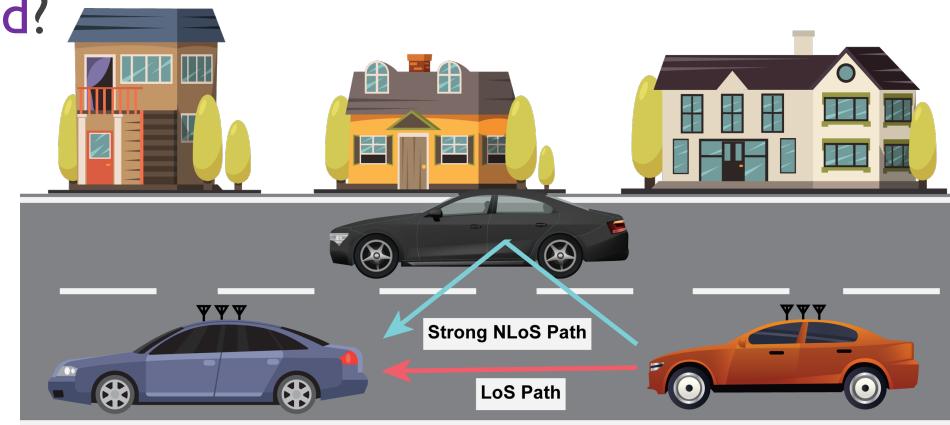
▶ Challenges in the real world

- i. **Multiple objects** in the highly dynamic environment
- ii. **Noisy radar data** from the mobile receiver/radar
- iii. **Multiple potential directions** of linear arrays

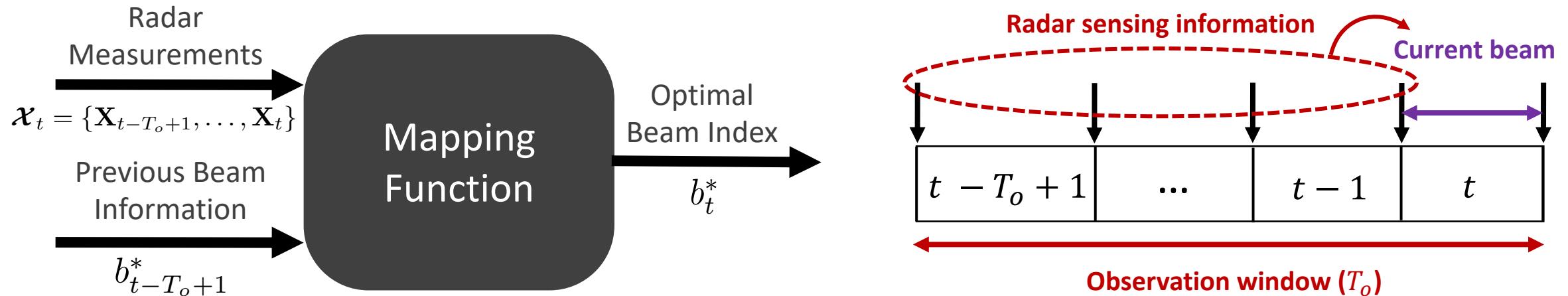


Simplify this challenge

- Focus on the **tracking within a single receive array/radar pair**
 - Assume the receive array/radar pair does not change within the sequence of samples
-
- Induce additional difficulty
- The proposed algorithm needs to **accommodate the data from different array/radar pairs**



Radar-aided V2V beam tracking problem



- ▶ Mapping function – Convert the observed sensing and beam info. into the optimal beam index

$$f_{\Theta}(\mathcal{X}_t, b_{t-T_o+1}^*) = b_t^*$$

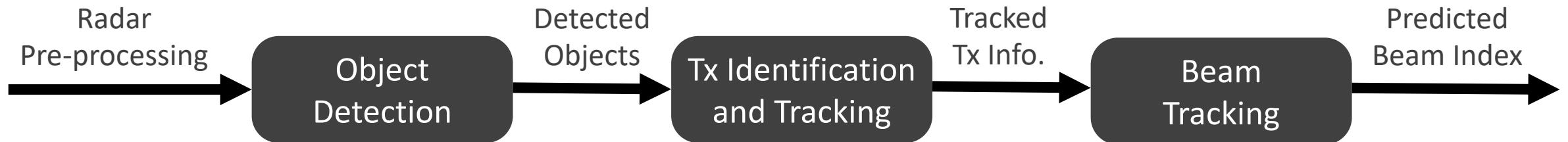
- ▶ Objective – Design a mapping function that targets optimal beam prediction

$$\hat{f}_{\hat{\Theta}} = \arg \max_{f, \Theta} \frac{1}{T} \sum_{t=1}^T \mathbf{1}_{\{b_t^* = f_{\Theta}(\mathcal{X}_t, b_{t-T_o+1}^*)\}}$$

How can we develop an efficient solution for this problem?

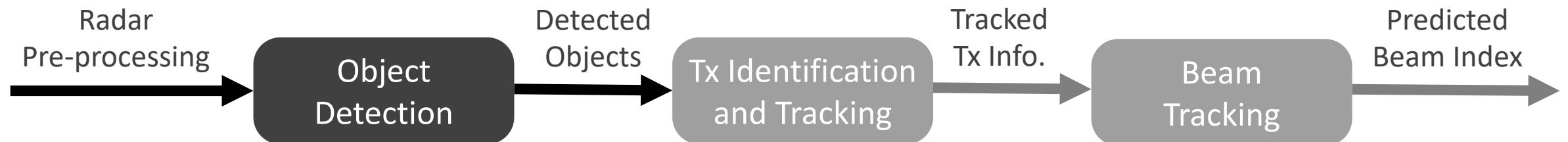
Approach I: Beam tracking with transmitter identification

► Overview



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I. Radar pre-processing

Range-Doppler Map

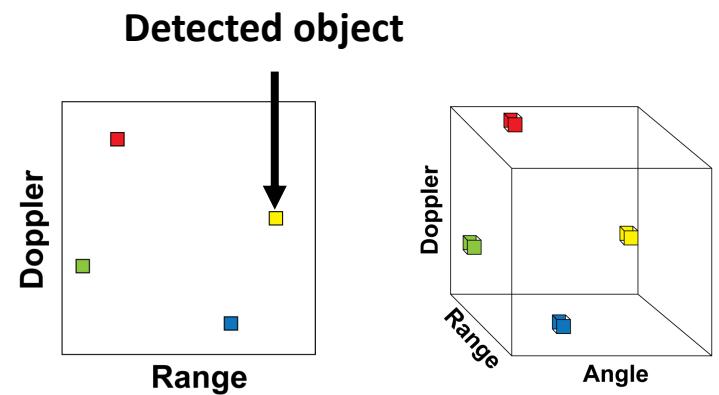
$$\mathbf{H}^{\text{RD}} = \sum_{a=1}^{M_{\text{ant}}} |\mathcal{F}_{2\text{D}}(\mathbf{X}_{a,:,:})|$$

Radar Cube

$$\mathbf{H}^{\text{RC}} = |\mathcal{F}_{3\text{D}}(\mathbf{X})|$$

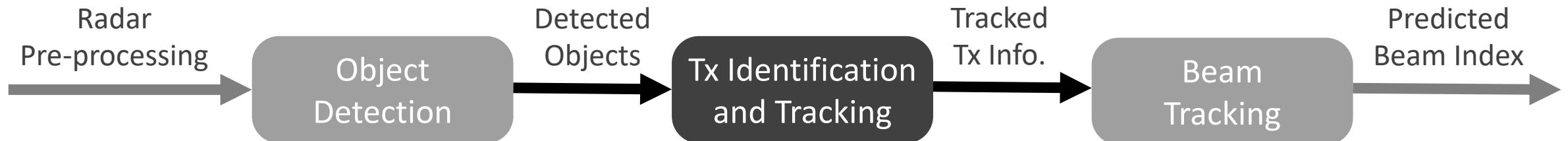
II. Object detection

- Apply **CFAR method** and **clustering algorithm** to range-Doppler maps
- Estimate the angle from the range and Doppler slice in the radar cube

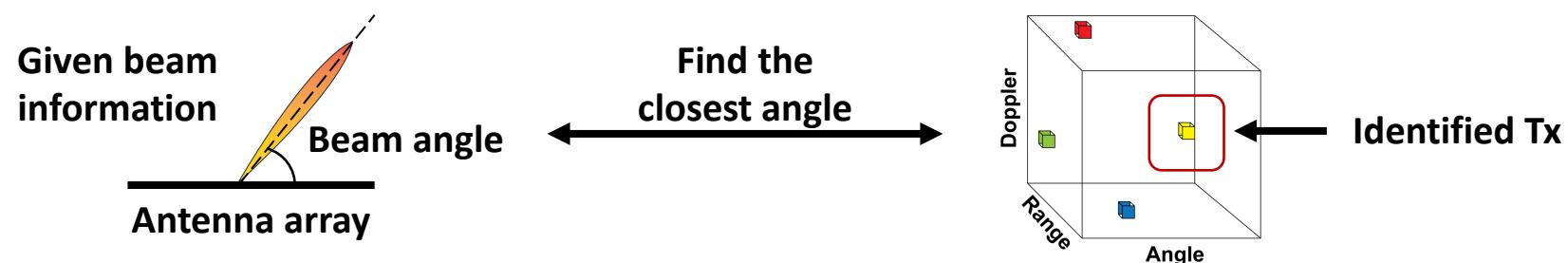


Approach I: Beam tracking with transmitter identification

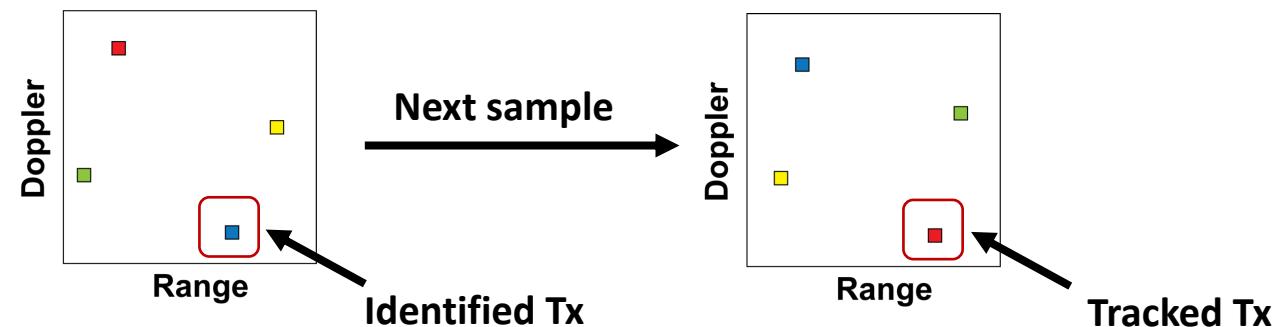
► Overview



III. Transmitter identification with the first radar measurement

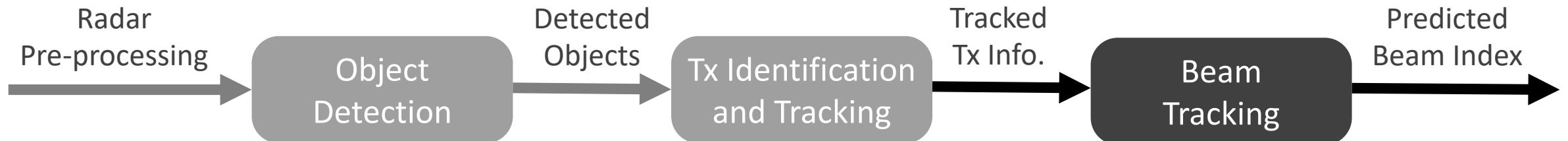


IV. Transmitter tracking – Find the closest object



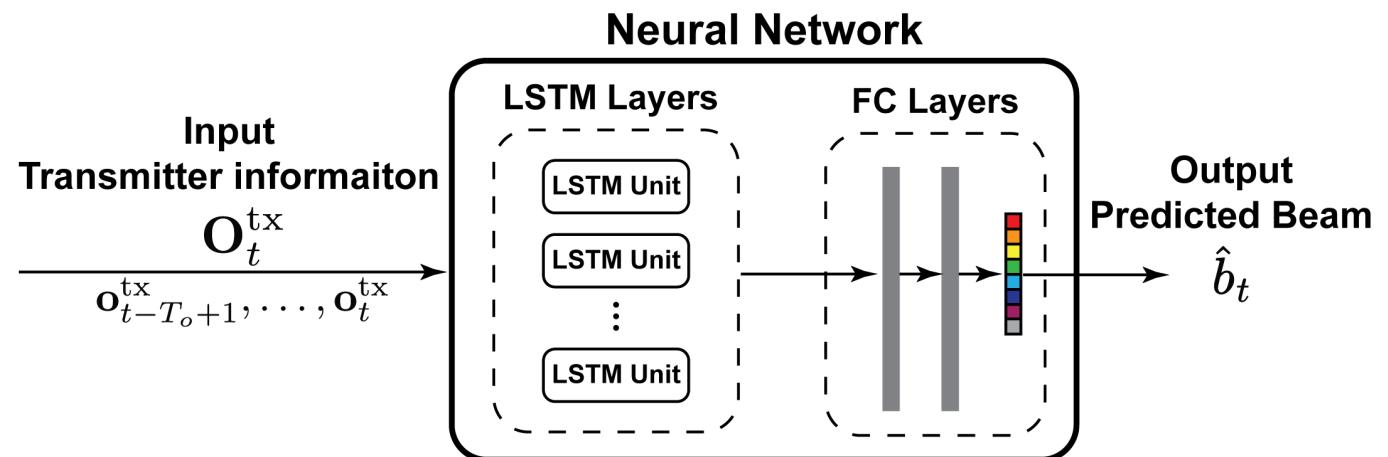
Approach I: Beam tracking with transmitter identification

► Overview



V. Beam tracking

- **Input:** Tracked transmitter information (range, Doppler, angle)
- **Output:** Prediction of current optimal beam index



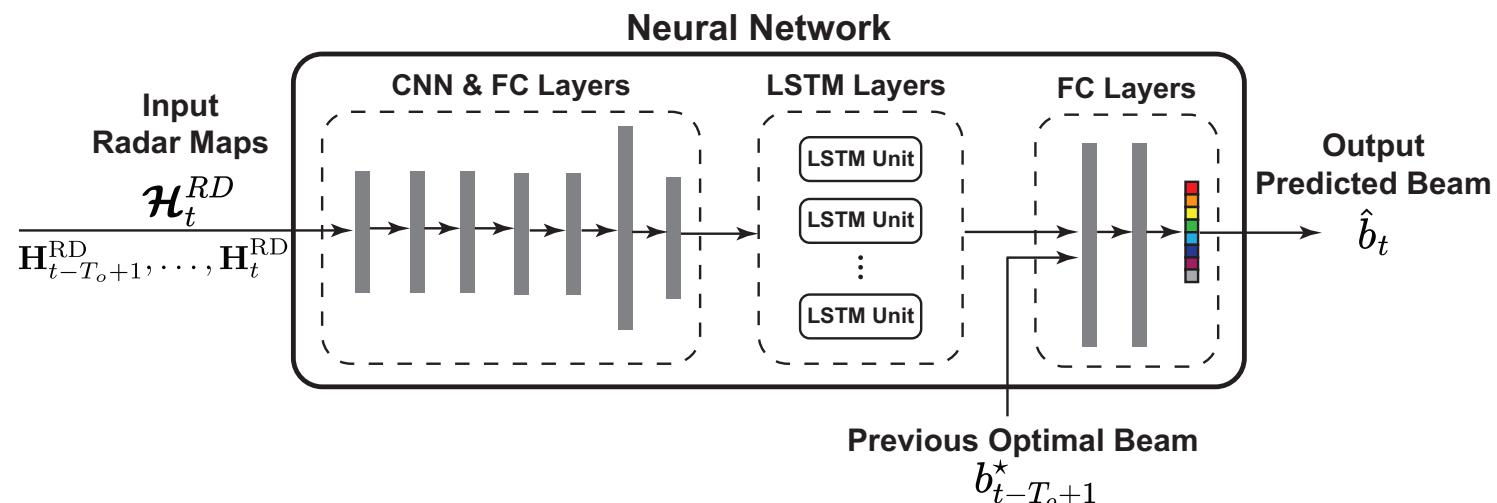
Approach II: Beam tracking with end-to-end ML

► Overview



► End-to-end learning

- **Input:** Range-Doppler maps, previous optimal beam index
- **Output:** Prediction of current optimal beam index



Evaluation setup: DeepSense 6G dataset

DeepSense 6G Dataset

- ▶ A large scale real-world multi-modal dataset
- ▶ Co-existing sensing and wireless data



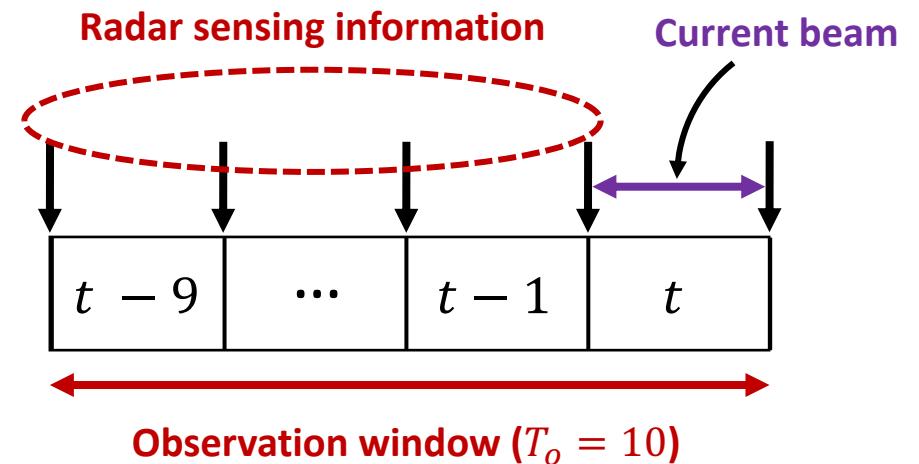
V2V Testbed

- ▶ Mobile receiver (Unit 1)
 - Four FMCW radars – Each radar employs **one transmit antenna** and **four receive antennas**
 - Four **60GHz** mmWave antenna arrays – Each array has an ULA structure with **16 antennas**
 - Oversampled beamforming codebook with **64 beams**
 - FMCW radars operate at a different frequency band (Starting frequency: **77GHz**) than the communication
- ▶ Mobile transmitter (Unit 2)
 - **60GHz omnidirectional** antenna

Evaluation setup: AI-ready dataset and metric

AI-Ready Dataset

- ▶ Max observation window length: $T_o = 10$
- ▶ Keep the sequences with changing beam indices
- ▶ Number of data sequences: 3649
- ▶ Data split (Train/Test): 70/30%



Evaluation Metric

- ▶ Top-k accuracy

$$Acc_{top-k} = \frac{1}{N_{sample}} \sum_{i=1}^{N_{sample}} \sum_{j=1}^k \mathbf{1}_{\{b_i^* = \hat{b}_{i,j}\}}$$

Optimal beam index **Predicted beam index with the j highest confidence score**

A diagram showing the calculation of top-k accuracy. It consists of two parts: a mathematical formula and a conceptual diagram. The formula is $Acc_{top-k} = \frac{1}{N_{sample}} \sum_{i=1}^{N_{sample}} \sum_{j=1}^k \mathbf{1}_{\{b_i^* = \hat{b}_{i,j}\}}$. To the right of the formula is a conceptual diagram. It shows a light blue box labeled "Optimal beam index" with a downward arrow pointing to a box containing b_i^* . Another downward arrow points from this box to a larger bracket below it. This bracket groups the term $\mathbf{1}_{\{b_i^* = \hat{b}_{i,j}\}}$ with another light blue box labeled "Predicted beam index with the j highest confidence score".

Results: Top-k accuracy of beam tracking

Beam-hold method (Baseline)

- ▶ Previous beam is used as the predicted beam

$$\hat{b}_t = b_{t-T_o+1}^*$$

- ▶ ± 1 and ± 2 indices are used for top-3 and -5 predictions

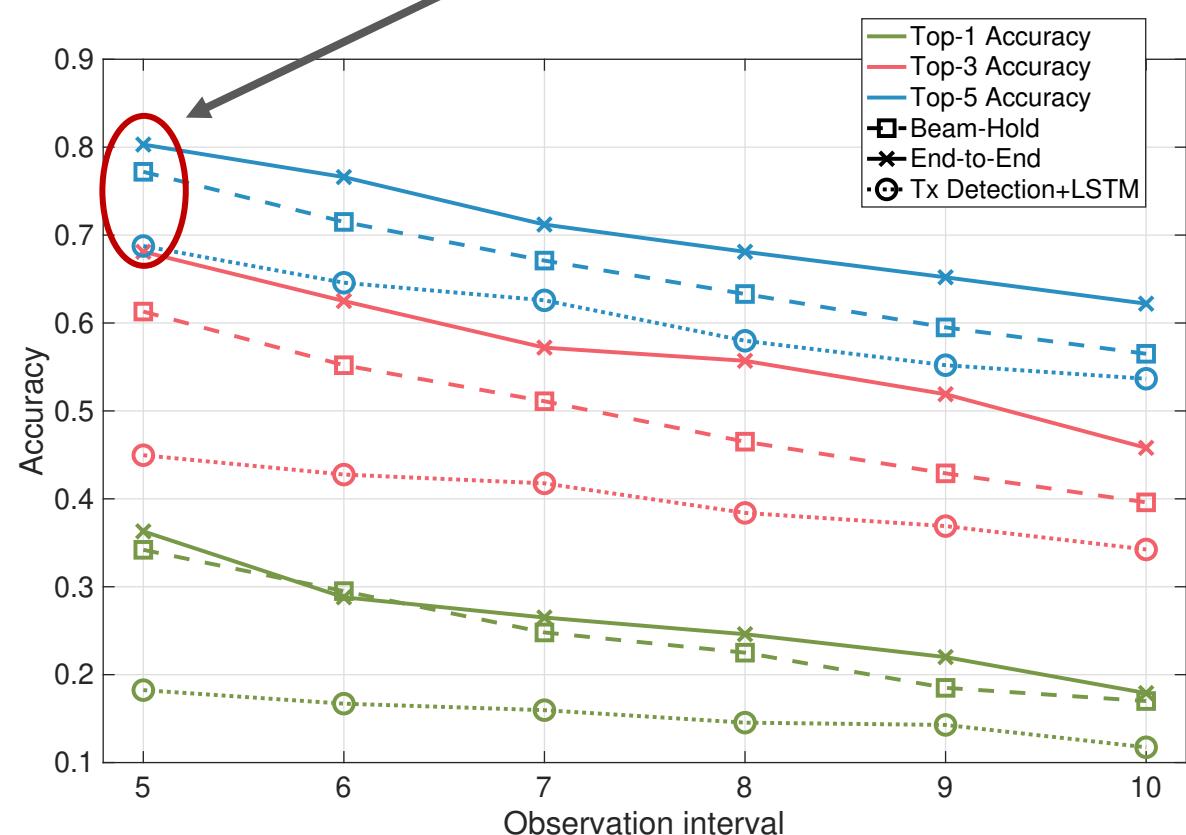
End-to-end solution

- ▶ Outperform the baseline method
- ▶ Provide gain by using the radar-aided beam tracking

Transmitter identification based solution

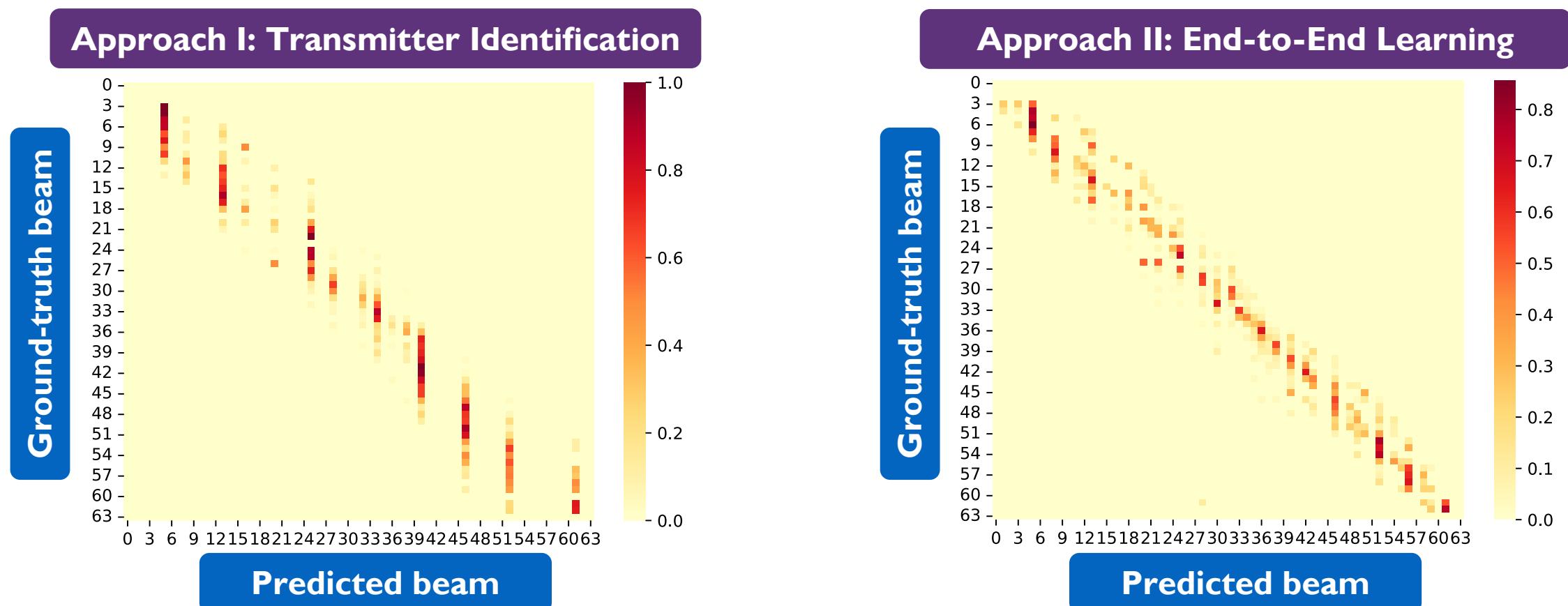
- ▶ Limited by the low angular resolution of the radar

Gain provided by end-to-end solution in top-5 prediction



The end-to-end solution shows the potential of radar-aided beam tracking

Results: Confusion matrix of predictions



- ▶ The low resolution of the radar causes a bias towards specific bins in the Tx tracking
- ▶ The end-to-end learning refines the given beam index with the radar information

The end-to-end solution is able to overcome the low radar resolution

Conclusions and future work

- ▶ Radar sensing and machine learning can improve the V2V communication
- ▶ Radar-aided beam tracking in V2V scenarios
 - We developed **machine learning based approaches** for beam tracking with radar measurements
 - We evaluated the performance on the data collected with a **real-world V2V testbed**
 - The results highlight the potential of the **end-to-end solution** in radar-aided beam tracking
- ▶ Future work
 - Generalization of the proposed radar-aided beam tracking framework
 - Extension to **multi-modal** sensing-aided beam tracking in V2V scenarios

The dataset and implementation are available at deepsense6g.net

Thank you