## **Proof Checker Notes**

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July 24, 2015

# 1 Syntax Grammar

(types) 
$$\tau := bool \mid \tau \to \tau \mid nat \mid list \tau$$
  
(hypotheses)  $A , B := \top \mid \bot \mid A \land B \mid A \lor B \mid A \supset B \mid \forall x : \tau . A \mid \exists x : \tau . A \mid t = t : \tau$   
(terms)  $e , t := x \mid tt \mid true \mid false \mid [\ ] \mid t :: t \mid zero \mid suc(t)$   
(term context)  $\psi := . \mid \psi, x : \tau$   
 $\psi \vdash t : \tau$   
 $\psi \vdash A prop$ 

# 2 Specification rules for terms and propositional hypotheses

Note: functions are included as term types, but not directly as term constructors. Instead, function terms are added into the term context ( $\psi$ ) manually. This simplifies the checker since function type inference is not required.

#### 2.1 Terms

Natural Numbers:

$$\frac{\psi \vdash \text{zero : nat}}{\psi \vdash \text{suc(t) : nat}} \quad \text{(nat-suc-n)}$$

Booleans:

$$\frac{}{\psi \vdash \mathsf{true} : \mathsf{bool}} \quad \mathsf{(bool\text{-}true)} \qquad \frac{}{\psi \vdash \mathsf{false} : \mathsf{bool}} \quad \mathsf{(bool\text{-}false)}$$

Lists:

$$\frac{\psi \vdash [\ ] : \text{list t}}{\psi \vdash [\ ] : \text{list t}} \quad \text{(list-nil)} \qquad \frac{\psi \vdash \mathsf{t}' : \mathsf{t}}{\psi \vdash \mathsf{t}' : \mathsf{t}'' : \text{list t}} \quad \text{(list-cons)}$$

Variables:

$$\frac{x:\tau\in\psi}{\psi\vdash x:\tau}\quad \text{(var)}$$

Application:

$$\frac{\psi \vdash \mathsf{t} : \tau \to \tau' \qquad \psi \vdash \mathsf{t}' : \tau}{\psi \vdash \mathsf{t} \; \mathsf{t}' : \tau'} \quad (\mathsf{app})$$

### 2.2 Propositions

Truth and Falsity Propositions:

$$\frac{}{\psi \vdash \top \text{ prop}} \quad (\top \text{-prop}) \qquad \qquad \frac{}{\psi \vdash \bot \text{ prop}} \quad (\bot \text{-prop})$$

Binary Relation Propositions:

$$\frac{\psi \vdash A \text{ prop} \qquad \psi \vdash B \text{ prop}}{\psi \vdash A \land B \text{ prop}} \quad (\land\text{-prop})$$

$$\frac{\psi \vdash A \operatorname{prop} \qquad \psi \vdash B \operatorname{prop}}{\psi \vdash A \vee B \operatorname{prop}} \quad (\lor \operatorname{-prop})$$

$$\frac{\psi \vdash A \text{ prop} \qquad \psi \vdash B \text{ prop}}{\psi \vdash A \supset B \text{ prop}} \quad (\supset \text{-prop})$$

$$\frac{\psi \vdash t: \tau \qquad \psi \vdash t': \tau}{\psi \vdash (t = t': \tau) \text{ prop}} \quad \text{(eq-prop)}$$

**Quantifier Propositions:** 

$$\frac{\psi, x : \tau \vdash A \text{ prop}}{\psi \vdash \forall x : \tau. A \text{ prop}} \quad (\forall \text{-prop})$$

$$\frac{\psi, x : \tau \vdash A \text{ prop}}{\psi \vdash \exists x : \tau. \text{ A prop}} \quad (\exists \text{-prop})$$

# 3 Implementation rules for type inference and checking

## 3.1 Syntax grammar

(infer) 
$$e := x \mid e \mid true \mid false \mid zero \mid suc(e)$$
  
(check)  $v := v :: v \mid nil \mid e$ 

Type Inferece Rule:

$$\overset{-}{\psi}\vdash\overset{-}{t}\Rightarrow\overset{+}{\tau}$$

Type Checking Rule:

$$\bar{\psi} \vdash \bar{t} \Leftarrow \bar{\tau}$$

## 3.2 Term type inference

Variables:

$$\frac{x:\tau\in\psi}{\psi\vdash x\Rightarrow\tau}\quad \text{(var)}$$

Application:

$$\frac{\psi \vdash t \Rightarrow \tau \rightarrow \tau' \qquad \psi \vdash t' \Leftarrow \tau}{\psi \vdash t \ t' \Rightarrow \tau'} \quad (app)$$

Natural Numbers:

$$\frac{\psi \vdash \mathsf{zero} \Rightarrow \mathsf{nat}}{\psi \vdash \mathsf{suc}(\mathsf{t}) \Rightarrow \mathsf{nat}} \quad \text{(nat-suc-n)}$$

**Booleans:** 

$$\frac{\phantom{a}}{\psi \vdash \mathsf{true} \Rightarrow \mathsf{bool}} \quad \mathsf{(bool\text{-}false)} \qquad \frac{\phantom{a}}{\psi \vdash \mathsf{false} \Rightarrow \mathsf{bool}} \quad \mathsf{(bool\text{-}false)}$$

## Term type checking

Lists:

$$\frac{\psi \vdash [] \Leftarrow \text{list t}}{\psi \vdash [] \Leftarrow \text{list t}} \quad \text{(list-nil)} \qquad \frac{\psi \vdash t' \Leftarrow t \qquad \psi \vdash t'' \Leftarrow \text{list t}}{\psi \vdash t' :: t'' \Leftarrow \text{list t}} \quad \text{(list-cons)}$$

Inference Case:

$$\frac{\psi \vdash t \Rightarrow \tau' \qquad \tau = \tau'}{\psi \vdash t \Leftarrow \tau} \quad (app)$$

## 3.4 Propositions type checking

Truth and Falsity Propositions:

$$\frac{}{\psi \vdash \top \text{ prop}} \quad (\top \text{-prop}) \qquad \frac{}{\psi \vdash \bot \text{ prop}} \quad (\bot \text{-prop})$$

Binary Relation Propositions:

$$\frac{\psi \vdash A \text{ prop} \qquad \psi \vdash B \text{ prop}}{\psi \vdash A \land B \text{ prop}} \quad (\land \text{-prop})$$

$$\frac{\psi \vdash A \operatorname{prop} \qquad \psi \vdash B \operatorname{prop}}{\psi \vdash A \vee B \operatorname{prop}} \quad (\vee\operatorname{-prop})$$

$$\frac{\psi \vdash A \text{ prop} \qquad \psi \vdash B \text{ prop}}{\psi \vdash A \supset B \text{ prop}} \quad (\supset \text{-prop})$$

$$\frac{\psi \vdash t \Leftarrow \tau \qquad \psi \vdash t' \Leftarrow \tau}{\psi \vdash (t = t' \Leftarrow \tau) \text{ prop}} \quad \text{(eq-prop)}$$

**Quantifier Propositions:** 

$$\frac{\psi, x \Leftarrow \tau \vdash A \text{ prop}}{\psi \vdash \forall x \Leftarrow \tau. A \text{ prop}} \quad (\forall \text{-prop})$$

$$\frac{\psi, x \Leftarrow \tau \vdash A \text{ prop}}{\psi \vdash \exists x \Leftarrow \tau. A \text{ prop}} \quad (\exists \text{-prop})$$

#### 3.5 Function signatures

infer\_term :  $\psi \to {\mathsf t} \to {\mathsf \tau}$  option

check\_term :  $\psi \to t \to \tau \to unit option$  check\_prop :  $\psi \to A \to unit option$ 

val infer\_term : ctx -> term -> tp option

val check\_term : ctx -> term -> tp -> unit option

val check\_prop : ctx -> prop -> unit option

# 4 Well-formedness of proofs

#### 4.1 Syntax grammar

$$(proofs) \quad p\,,q \quad ::= \quad by\,H \\ \quad \mid \quad (p\,,q) \\ \quad \mid \quad let\,(H',H'') = H\,in\,p \\ \quad \mid \quad (p\,,q)\,\text{either} \\ \quad \mid \quad match\, [\,H]\,:\, (A \lor B) \text{ with } (\\ \quad \mid \quad A\, [\,H'\,]:\, p\, -> C \\ \quad \mid \quad B\, [\,H''\,]:\, q\, -> C\,) \\ \\ (hypotheses\,context) \quad \Gamma \quad ::= \quad \cdot \\ \quad \mid \quad \Gamma\,,H:A \\ \quad \mid \quad Assume\,A\, [\,H\,]\,,p \\ \\ \psi;\Gamma \quad \vdash p:A \\ \quad \psi \quad \vdash \Gamma$$

#### 4.2 Rules

Truth and Falsity:

$$\frac{}{\psi;\Gamma\vdash\top:C}\quad (\top R)\qquad \qquad \frac{}{\psi;\Gamma,H:\bot\vdash Absurd\,H:C}\quad (\bot L)$$

Conjunction:

$$\frac{\psi; \Gamma, H: A \wedge B, H': A, H'': B \vdash p: C}{\psi; \Gamma, H: A \wedge B \vdash \text{let } (H', H'') = H \text{ in } p: C} \quad (\land L)$$

$$\frac{\psi;\Gamma\vdash p:A\qquad \psi;\Gamma\vdash q:B}{\psi;\Gamma\vdash (p,q):A\wedge B}\quad (\land R)$$

Disjunction:

$$\frac{\psi; \Gamma, H: A \vee B, H': A \vdash p: C \qquad \psi; \Gamma, H: A \vee B, H'': B \vdash q: C}{\psi; \Gamma, H: A \vee B \vdash \mathsf{match} \quad [H] \quad \mathsf{with} \, (\ A \ [H']: p \mid B \ [H'']: q \,): C} \quad (\lor L)$$

$$\frac{\psi; \Gamma \vdash p : A}{\psi; \Gamma \vdash \text{Left } p : A \lor B} \quad (\lor R_1)$$

$$\frac{\psi;\Gamma\vdash q:B}{\psi;\Gamma\vdash \text{Right }q:A\vee B}\quad (\vee R_2)$$

Implication:

$$\frac{\psi; \Gamma, H: A \supset B \vdash p: A \qquad \psi; \Gamma, H: A \supset B, H': B \vdash q: C}{\psi; \Gamma, H: A \supset B \vdash (p, B [H'] \text{ via } H, q): C} \quad (\supset L)$$

$$\frac{\psi; \Gamma, H: A \vdash p: B}{\psi; \Gamma \vdash (Assume A [H], p): A \supset B} \quad (\supset R)$$

Using hypotheses:

$$\psi$$
;  $\Gamma$ ,  $[H]$ :  $A \vdash by H : A$  (by)

$$\frac{\psi; \Gamma \vdash p : A}{\psi; \Gamma \vdash p \text{ Therefore A : A}} \quad \text{(therefore)}$$

### 4.3 Function signature

$$\texttt{check\_proof} \; : \quad \psi \to \Gamma \to \; \mathsf{P} \; \to \; \mathsf{A} \; \to \; \mathsf{unit} \; \mathsf{option}$$

## 5 Quantifiers in proofs

#### 5.1 Rules

**Existentials:** 

$$\frac{\psi; \Gamma \vdash t : \tau \qquad \psi; \Gamma \vdash p : [x \mapsto t] A}{\psi; \Gamma \vdash \text{Choose } t; p : \exists x : \tau.A} \quad (\exists R)$$

$$\frac{\psi, y : \tau; \Gamma, H : \exists x : \tau. A, H' : [x \mapsto y] A \vdash p : C}{\psi; \Gamma, H : \exists x : \tau. A \vdash let (y, H') = H \text{ in } p : C}$$
 (\(\exists L\)

Universals:

$$\frac{\psi, y : \tau; \Gamma, \vdash p : [x \mapsto y] A}{\psi; \Gamma \vdash \text{Assume } y : \tau \cdot p : \forall x : \tau.A} \quad (\forall R)$$

$$\frac{\psi; \Gamma \vdash t : \tau \qquad \psi; \Gamma, H : \forall x : \tau . A, H' : [x \mapsto t] A \vdash p : C}{\psi; \Gamma, H : \forall x : \tau. A \vdash let H' = H \text{ with } t \text{ in } p : C} \quad (\forall L)$$

## 5.2 Substituting terms into variables

$$subs = [x \mapsto z]$$

# 6 α-equivalence

#### 6.1 Terms

Variables: 
$$\frac{x \stackrel{\alpha}{=} x}{} (var \stackrel{\alpha}{=})$$

Booleans: 
$$\frac{\alpha}{\mathsf{true} \stackrel{\alpha}{=} \mathsf{true}} \quad \mathsf{(bool\text{-}true} \stackrel{\alpha}{=} \mathsf{)} \qquad \frac{\alpha}{\mathsf{false} \stackrel{\alpha}{=} \mathsf{false}} \quad \mathsf{(bool\text{-}false} \stackrel{\alpha}{=} \mathsf{)}$$

Natural Numbers:

$$\frac{1}{\text{zero} \stackrel{\alpha}{=} \text{zero}} \quad \text{(nat-zero} \stackrel{\alpha}{=} \text{)} \qquad \frac{t \stackrel{\alpha}{=} t'}{\text{suc(t)} \stackrel{\alpha}{=} \text{suc(t')}} \quad \text{(nat-suc-n} \stackrel{\alpha}{=} \text{)}$$

Lists:

$$\frac{1}{\left[\right] \stackrel{\alpha}{=} \left[\right]} \quad \text{(list-nil} \stackrel{\alpha}{=} \text{)} \qquad \frac{e \stackrel{\alpha}{=} e' \quad v \stackrel{\alpha}{=} v'}{e::v \stackrel{\alpha}{=} e'::v'} \quad \text{(list-cons} \stackrel{\alpha}{=} \text{)}$$

Application:

$$\frac{e \stackrel{\alpha}{=} e' \qquad v \stackrel{\alpha}{=} v'}{e \stackrel{\alpha}{=} e' \stackrel{\alpha}{=} v'} \quad (var \stackrel{\alpha}{=})$$

## 6.2 Propositions

Truth and Falsity:

$$\frac{\phantom{a}}{\top \stackrel{\alpha}{=} \top} \quad (\top \stackrel{\alpha}{=}) \qquad \frac{\phantom{a}}{\perp \stackrel{\alpha}{=} \perp} \quad (\perp \stackrel{\alpha}{=})$$

Binary Relations:

$$\frac{A \stackrel{\alpha}{=} A' \qquad B \stackrel{\alpha}{=} B'}{A \wedge B \stackrel{\alpha}{=} A' \wedge B'} \quad (\wedge \stackrel{\alpha}{=})$$

$$\frac{A \stackrel{\alpha}{=} A' \qquad B \stackrel{\alpha}{=} B'}{A \vee B \stackrel{\alpha}{=} A' \vee B'} \quad (\vee \stackrel{\alpha}{=})$$

$$\frac{A \stackrel{\alpha}{=} A' \qquad B \stackrel{\alpha}{=} B'}{A \supset B \stackrel{\alpha}{=} A' \supset B'} \quad (\supset \stackrel{\alpha}{=})$$

Equality:

$$\frac{t_1 \stackrel{\alpha}{=} t_1' \qquad t_2 \stackrel{\alpha}{=} t_2' \qquad \tau \stackrel{\alpha}{=} \tau'}{(t_1 = t_2 : \tau) \stackrel{\alpha}{=} (t_1' = t_2' : \tau')} \quad (=\stackrel{\alpha}{=})$$

Quantifiers:

$$\frac{\mathsf{M}\,z\,.\,(x\,z)\,\mathsf{B}\stackrel{\alpha}{=}(x\,z)\,\mathsf{B}'\qquad\tau\stackrel{\alpha}{=}\tau'}{\exists x:\tau.\,\mathsf{B}\stackrel{\alpha}{=}\exists y:\tau'.\,\mathsf{B}'}\qquad(\exists\stackrel{\alpha}{=})$$

$$\frac{\forall z . (x z) B \stackrel{\alpha}{=} (x z) B' \qquad \tau \stackrel{\alpha}{=} \tau'}{\forall x : \tau. B \stackrel{\alpha}{=} \forall y : \tau'. B'} \quad (\forall \stackrel{\alpha}{=})$$

#### 6.3 Swapping variable names

$$swap = (x z)$$

swap\_term : 
$$x \rightarrow z \rightarrow t \rightarrow t$$
  
swap\_prop :  $x \rightarrow z \rightarrow A \rightarrow A$ 

val swap\_term : var -> var -> term -> term
val swap\_prop : var -> var -> prop -> prop

# 7 Induction in proofs

## 7.1 Rules through predicates

Natural Numbers:

$$\frac{\psi; \Gamma \vdash p : P(zero) \qquad \psi, n : nat; \Gamma, H : P(n) \vdash q : P(suc(n))}{\psi; \Gamma \vdash (Induction on nat: case zero : p; case suc(n) : [H], q) : (\forall m : nat . P(m))}$$
 (induction-nat)

Lists:

$$\frac{\psi; \Gamma \vdash p : P([]) \qquad \psi, x : \tau, xs : \text{list } \tau; \Gamma, H : P(xs) \vdash q : P(x :: xs)}{\psi; \Gamma \vdash (\text{Induction on list: case } [] : p ; \text{case } (x :: xs) : [H], q) : (\forall ys : \text{list } \tau . P(ys))}$$
 (induction-list)

**Booleans:** 

$$\frac{\psi; \Gamma \vdash p : P(\text{ true }) \qquad \psi; \Gamma \vdash q : P(\text{ false })}{\psi; \Gamma \vdash (\text{Induction on bool: case true } : p ; \text{ case false } : q) : (\forall b : \text{bool } . P(b))}$$
 (induction-bool)

### 7.2 Rules through substitution

Natural Numbers:

$$\frac{\psi; \Gamma \vdash p : [\mathsf{m} \mapsto \mathsf{zero}] C \qquad \psi, n : \mathsf{nat}; \Gamma, H : [\mathsf{m} \mapsto \mathsf{zero}] C \vdash q : [\mathsf{m} \mapsto \mathsf{suc}(n)] C}{\psi; \Gamma \vdash (\mathsf{Ind}\text{-Nat}: \mathsf{zero} : p ; \mathsf{suc}(n) : [H], q) : (\forall m : \mathsf{nat} : C)}$$
 (induction-nat)

Lists:

$$\frac{\psi; \Gamma \vdash p : [ys \mapsto []] C \qquad \psi, x : \tau, xs : \text{list } \tau; \Gamma, H : [ys \mapsto xs] C \vdash q : [ys \mapsto x :: xs] C}{\psi; \Gamma \vdash (\text{Ind-List: }[] : p ; (x :: xs) : [H], q) : (\forall ys : \text{list } \tau . C)}$$
 (induction-list)

**Booleans:** 

$$\frac{\psi; \Gamma \vdash p : [b \mapsto \text{true}] C}{\psi; \Gamma \vdash (\text{Ind-Bool: true} : p ; \text{false} : q) : (\forall b : \text{bool} . C)}$$
 (induction-bool)

# 8 Equality in proofs

## 8.1 Abstract congruence closure [1, p. 4–7]

#### 8.1.1 Definition

Rewrite-Rules:

D-rule: 
$$f(c_0,...c_k) \to c$$
 where  $f$  is a term constructor and  $c_i$  are constants in  $K$   $C$ -rule:  $c \to d$  where  $c$  and  $d$  are constants in  $K$ 

Sets:

$$D: \{D-rule\}$$

$$C: \{C-rule\}$$

$$E: \{(t=t): \tau\}$$

$$K: \{x \mid x \notin E\}$$

$$R: D \cup C$$

Closure Construction:

$$\texttt{build\_acc:} \quad \frac{(\varnothing, E, \varnothing)}{(K, \varnothing, R)} \quad \textit{where $R$ is the abstract congruence closure (ACC) of $E$}$$

#### 8.1.2 Sate transition rules

Extension: 
$$\frac{(K, E[t], R) \qquad t = f(c_0, ..., c_k) \qquad c_i \in K \land c \notin K}{(K \cup \{c\}, E[c], R \cup \{t \rightarrow c : D\})}$$
 (Ext)

Simplification: 
$$\frac{(K, E[t], R \cup \{t \to c : D\})}{(K, E[c], R \cup \{t \to c : D\})}$$
 (Sim)

Orientation1: 
$$\frac{(K \cup \{c\}, E \cup \{t = c\}, R\})}{(K \cup \{c\}, E, R \cup \{t \rightarrow c : D\})}$$
 (Ori1)

Orientation2: 
$$\frac{(K \cup \{c,d\}, E \cup \{c=d\}, R\}) \qquad c < d}{(K \cup \{c,d\}, E, R \cup \{c \rightarrow d : C\})}$$
 (Ori2)

Orientation3: 
$$\frac{(K \cup \{c,d\}, E \cup \{c=d\}, R\})}{(K \cup \{c,d\}, E, R \cup \{d \rightarrow c : C\})}$$
 (Ori2)

Deletion: 
$$\frac{(K, E \cup \{t = t\}, R\})}{(K, E, R\})}$$
 (Del)

Deduction: 
$$\frac{(K, E, R \cup \{t \to c : D, t \to d : D\})}{(K, E \cup \{c = d\}, R \cup \{t \to d : D\})}$$
 (Ded)

Collapse: 
$$\frac{(K, E, R \cup \{s[c] \rightarrow c' : D, c \rightarrow d : C\})}{(K, E, R \cup \{s[d] \rightarrow c' : D, c \rightarrow d : C\})}$$
(Col)

Composition: 
$$\frac{(K, E, R \cup \{t \to c : D, c \to d : C\})}{(K, E, R \cup \{t \to d : D, c \to d : C\})}$$
 (Com)

#### 8.2 Equality through congruence

$$\frac{\psi ; \Gamma \vdash H_i : (t_i = t'_i) : \tau_i \qquad \forall i \in \{1...n\} . \overrightarrow{t_i = t'_i} \vDash t = t'}{\psi ; \Gamma \vdash \text{By Equality } (H_0, \dots, H_n) : (t = t' : \tau)}$$
 (eq)

## References

[1] Leo Bachmair, Ashish Tiwari, and Laurent Vigneron. Abstract congruence closure. *J. Autom. Reasoning*, 31(2):129–168, 2003.