REGSim tool documentation

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1. Installation of external python libraries:

1.1 Installing the Platypus package for non-dominated sorting genetic algorithm (NSGA-II):

• To install using pip, run the following command,

```
pip install platypus-opt
```

To install the Platypus package using anaconda,

```
conda config --add channels conda-forge
conda install platypus-opt
```

For more details about the Platypus package,
 https://platypus.readthedocs.io/en/latest/getting-started.html#

1.2 Installing pyDOE module package for Latin Hypercube sampling (LHS) [4]:

To install the package using pip command,

```
pip install --upgrade pyDOE
```

To install using anaconda,

```
conda install -c conda-forge pydoe
```

 To download and install manually, https://pythonhosted.org/pyDOE/index.html

Note: REGSim is under progressive development, and you can download the latest version at https://github.com/LaksE91/REGSim.git

2. Introduction

The tutorial gives an application of the Recharge Estimation and Groundwater Simulation (REGSim) tool to simulate the groundwater level using a simple conceptual model(Box-1). This toolbox helps to understand groundwater behaviour at a regional scale to guide water management. The model works based on the water budget approach with inflow as recharge, lateral inflow, and outflow as pumping, lateral outflow, which influences groundwater storage. We also included geographic information system(GIS) tools in REGSim to automate lateral flow estimation based on the observed groundwater head.

The following section describes the process of the REGSim toolbox to run the framework in the python platform. The first step is to estimate the lateral flow fluxes, which are further used



as input during the model's calibration period (Section 3.1). The second step is about the simulation and optimisation of the groundwater model. In the next level, validation of the model is performed based on the Pareto optimal solutions obtained during the calibration period (Section 3.2). The third part describes the uncertainty and sensitivity analysis used for the model (Section 3.3).

BOX-1:

The groundwater balance equation used in this framework is shown in equation (1) [2], and equation (2)

$$h_t = h_{t-1} + \frac{r * P_t}{S_y} - \frac{Q_{p_t}}{S_y * A} + \frac{Q_{in_t} - Q_{out_t}}{S_y * A}$$
(1)

$$h_{t} = h_{t-1} + \frac{r * (P_{t} - PE_{t})}{S_{y}} - \frac{Q_{p_{t}}}{S_{y} * A} + \frac{Q_{in_{t}} - Q_{out_{t}}}{S_{y} * A}$$
(2)

where, h is the groundwater level [m], r is the recharge factor [-], P is the rainfall [m], PE is the potential evapotranspiration [m], S_y is the specific yield [-], $Q_{in/out}$ is the lateral inflow/outflow [m³/month], Q_p is the pumping rate [m³], A is the aquifer area [m²], and subscript t denotes the current month.

3. Implementation of REGSim tool with an example dataset

The REGSim tool aims to model the time series of regional groundwater levels using a lumped conceptual groundwater model. The working process and methods are illustrated in detail with an application to the aquifer system of the urban agglomerate Hyderabad, India. Here, REGSim tool is incorporated with an example dataset to simulate the groundwater level. The dataset for optimisation and uncertainty analysis is supported by the comma-separated (.csv) file containing five inputs with monthly time steps includes rainfall, potential evapotranspiration, groundwater head, lateral inflow, and outflow.

The toolbox consists of four sets of modules, and each module works with the required input and methods for the simulation (Table T1). Step-1_Calibration_of_the_model.py, describes the calibration of the model with optimisation using NSGA-II, and the input used for the simulation are monthly groundwater level, rainfall and evapotranspiration. Step-2_Validation_of_the_model.py, predict the groundwater head using the optimal parameter sets (specific yield, pumping rate and recharge factor). Step-3a_Uncertainity_analysis.py and Step-3b_Sensitivity_analysis.py, used to predict the uncertainty and sensitivity of the input



parameter using Generalised Likelihood Uncertainty estimation (GLUE) method. Step-4_Simulationmode.py, generate the time series of the groundwater levels for validation (future) of the model. The input required for this process is monthly groundwater level, rainfall and potential evaporation, LHS parameter sets and the list of the function defined in these modules are explained in detail in table T2.

Table T1: Functionalities of the three main modules of REGSim

| Module | Main Function | Input data | Other inputs |
|-------------------------------|----------------|--------------------|-------------------|
| | | requirement | |
| Step- | Optimisation | Groundwater level | Feasible |
| 1_Calibration_of_the_model.py | using NSGA-II | time series, | parameter |
| | | Rainfall time | ranges, number |
| Step- | | series, Potential | of function |
| 2_Validation_of_the_model.py | | evapotranspiration | evaluations |
| | | (monthly scale or | |
| | | coarser) | |
| Step- | Uncertainty | Same as | Number of |
| 3a_Uncertainity_analysis.py | analysis using | Optimisation | random |
| Step- | GLUE | | parameter sets |
| 3b_Sensitivity_analysis.py | | | created (LHS), |
| | | | the definition of |
| | | | the likelihood |
| | | | function (NSE) |
| Step-4_Simulationmode.py | Groundwater | Time series of | Single or |
| | model | rainfall and | Multiple |
| | | potential | parameter sets |
| | | evapotranspiration | |

Table T2: List of functions and their specifications used in REGSim.

| Function | User/pre- | Description | Operation |
|------------------------------------|----------------------|---|------------------|
| | defined | | |
| data_sep() | | It divides the data into training and testing period. Sort the input data header | NSGA-II, GLUE |
| sortinput() | | generically. | |
| gw_model() | User-defined | To solve the problem using the NSGA-II algorithm. | |
| <pre>sinefunc() linearfunc()</pre> | function | Solve the seasonal | |
| stepfunc() | | pumping rate in the different distribution | |
| trapzfunc() | | function | |
| sim_mod() | | It invokes the groundwater model and returns the metrics | NSGA-II |
| | Per-defined | It calls the NSGA-II | |
| NSGAII() | class of Platypus | algorithm to perform optimisation | |
| paretoplot() | User-defined | It shows the graphical representation of the pareto optimal set. | |
| modelrun() | function | It calls the groundwater model for the simulation. | Model velidation |
| valplot() | | It plots the simulated and observed head. | Model validation |

| | T | T | |
|--------------------------|----------------------------|--|---------------|
| <pre>rmse_metric()</pre> | | The function used to | NSGA-II, GLUE |
| mae_metric() | | invoke the performance | |
| nse_metric() | | metrics. | |
| lhs() | Pre-defined class of pyDOE | To generate a uniform sample of the parameters. | |
| rand() | | To call the LHS sample set for all the parameters considered for the three recharge cases. | |
| uncertain() | | It invokes the GLUE method to estimate predictive uncertainty. | |
| myglueplot() | | To plot the prediction intervals to capture the observed head. | GLUE |
| obsv_inside() | User-defined function | To determine the percentage of the observed head within the prediction interval. | |
| sim_glue() | | It invokes the GLUE method to return the acceptable parameter set. | |
| ecdf() | | To evaluate the empirical cumulative distribution function | |
| eplt() | | The function to plot the CDF of parameter sets. | |

| | | То | simulate | ; | the | |
|---------------------------|--------|--------|------------|---------|-----|------------------|
| aimmun () | nrun() | ground | water mod | del ba | sed | |
| Simrun() | | on the | inputs and | d optii | mal | |
| | | parame | eter sets | | | Model Validation |
| | | | | | | (future) |
| tsplot() | | The fu | nction to | plot | the | |
| | | time | series | of | the | |
| <pre>multi_tsplot()</pre> | | ground | water head | d | | |

The number of parameters (NPAR) required to simulate groundwater vary according to the recharge specification (e.g. 3, 4, or 5). The total number of simulation time periods (N) is divided into calibration (TCAL) and validation (TVAL) time steps. The user can also control the maximum allowable function evaluations (NFE) for NSGA-II, and the final pareto optimal set (POP) are obtained during optimisation. The minimum and maximum values of the parameter specified using PRANGE. The number of random parameter sets generated for GLUE is NLHS. The cumulative distribution function for each recharge scenarios (PCDF) is estimated. Table T3 details the inputs for each function.

Table T3: List of arguments implemented in the REGSim specified functions.

| Arguments | Function used | Description | Size |
|-------------|------------------------------------|---|---|
| input_data | data_sep() | Dataset of the model | Nx4 where N: number of simulation periods |
| input_para | gw_model() | Decision variables | NPAR |
| rech_case | <pre>sim_mod(), paretoplot()</pre> | Recharge scenarios (cases: 1,2,3) | 1 |
| area | | Area of the boundary | 1 |
| input_calib | sim_mod() | Dataset during the calibration period | TCALx4 |
| V, M | NSGAII() | V = the total number of decision variables for each case. | 1 |



| | | M= the total number of | | |
|-------------|-------------------|--|----------|--|
| | | objective functions considered. | | |
| | | problem.types = it assigns | | |
| | | the decision variables | | |
| | | problem.function = | | |
| | | defines the function (here, | | |
| | | gw_model()) that call the model | | |
| | | with a list of decision variables | | |
| | | and list the objective values. | | |
| NI | | Number of iterations during | NICE | |
| Nsim | | simulations | NFE | |
| df_opt | paretoplot() | Dataframe contains pareto | POPxNPAR | |
| | | optimal solutions. | | |
| ontimal set | modelrun() | List of optimal solutions for | NPAR | |
| optimal_set | _set moderrum() | three cases. | NPAK | |
| obsv_head | | Observed groundwater head | Nx1 | |
| | valplot() | data. | | |
| gwhead | | Simulated groundwater head. | Nx1 | |
| tcount | | Duration of the model. | N | |
| .1 | | 77 ' 11 (11 14 14 14 14 14 14 14 14 14 14 14 14 | 1 | |
| months | | Variable to label the month/year | 1 | |
| | | in the graph. | | |
| mv | | model variant scenario (0- for P | 1 | |
| | | and 1 for P-PE) | | |
| NPAR | | Number of parameters used to | 1 | |
| INFAIN | | generate random sample sets | 1 | |
| NLHS | rand(),lhs() | Number of sample sets. | 1 | |
| Qpmax | | Maximum pumping range | PRANGE | |
| Sy | | Specific yield | PRANGE | |



| | | Recharge factor for case-1 | |
|------------|--|--|----------------|
| r1 | | Recharge factor for non-monsoon, case-2 | |
| r11 | | Recharge factor for monsoon, case-2 | |
| r12 | | | PRANGE |
| r21 | | Recharge factor for winter, case-3 | FRANGE |
| r22 r23 | | Recharge factor for summer, case-3 | |
| | | Recharge factor for monsoon, case-3 | |
| Qpmax | <pre>sinefunc(), linearfunc(), stepfunc(),</pre> | Maximum pumping rate | PRANGE |
| Qpmin | trapzfunc() | Minimum pumping rate | TRANCE |
| samp_set | | Random sample parameter set | NLHS x NPAR |
| lb | | The lower limit of the confidence interval | 1 |
| ub | uncertain(), | The upper limit of the | 1 |
| | sim_glue() | confidence interval | |
| | | The threshold for a behavioural | |
| cut_off1 | | set | |
| cut_off2 | | The threshold for a non- | 1 |
| | | behavioural set | |



| h_max | | Maximum groundwater level within the study area (meter) | 1 |
|-----------|--|---|------|
| CI_bounds | <pre>myglueplot(), obsv_inside()</pre> | An input data frame of uncertainty prediction. | Nx5 |
| evar_p | eplt() | Cumulative probability (0-1) | DCDE |
| evar_q | | A sample set of each input parameter | PCDF |
| spara | | Single parameter set | NPAR |
| mpara | simrun() | Multiple parameter set | |
| intH | | Initial head used in the model | 1 |

The input file required for the tutorial is provided in <code>Data/</code> folder, and the expected results of the groundwater model are added in <code>Example_results/</code> folder.

The execution of the scripts is supported by the **IDLE/Spyder/command prompt.**

3.1 Estimation of lateral flow:

a. Code name: <u>Estimation_of_slope.py</u>

Description:

Evaluation of slope along the boundary facilitated using the ArcGIS tools, and the manuscript addresses detailed methodology. The input data and the specifications required for this module are given in Table T4. The function and tools used to estimate the average slope are shown in table T5. The **Create Points on Lines** tool for creating a point on the lines is downloaded from http://ianbroad.com/arcgis-toolbox-create-points-polylines-arcpy/.

• Set the current directory where the data and codes are stored in the folder (Fig.T1). Given the user-defined buffer distance (meters) and the number of points, the average gradient along the study area boundary is calculated (Fig.T2).



Table T4: Data and its specification for the module.

| Input data | File format | File name format | Remarks |
|-------------|---------------|----------------------|-------------------------|
| | | | |
| Groundwater | Raster (.tif) | 'YEAR_GWL_MONTH.tif' | '2004_GWL_Jan.tif' |
| elevation | | | |
| Study area | Vector | 'bound_XXXX.shp', | XXXX – study area |
| boundary | (.shp) | 'bndin_XXXX.shp', | name |
| | | 'bndout_XXXX.shp', | Make it as three copies |
| | | | |

```
# work in the current directory
env.workspace=(input("give the current directory:"))
dirpath = os.getcwd()

#assign the buffer distance
buffer_dist = input('Buffer distance between the study area (meters):')
num_pts = input('no. of points considered across the boundary:')
```

Fig. T1: Screenshot of the script with user-defined inputs.

Table T5: Functions and tools used in REGSim to generate gradient across the model boundary.

| - 4 (5) | 1 | Cr boundary. |
|------------------------|------------------------------|---|
| Functions/Tools | Input dataset | Definitions |
| | | |
| buffer() | bound (.shp) | Creates the buffer inside and outside using |
| | | the reference boundary file. |
| ext_pts() | bound, boundin, | Create points across the reference boundary, |
| | boundout, bufin, bufout | buffer inside and buffer outside files |
| | (.shp) | |
| pts_value() | Raster (.tif), list (list of | Extract groundwater elevation raster values |
| | shapefiles) | to the points for three files such as reference |
| | | boundary, buffer inside, and buffer outside. |
| avg_sl() | Raster | Estimate the average slope of the reference |
| | | boundary. |

Output:

```
give the current directory: 'F:\CE15RESCH11013 LAKSHMI\Code\GWM\Code process instruct
 Step_1_Lateralflowestimation
Buffer distance between the study area (meters):1000
no. of points considered across the boundary:1000
Creating buffer inside and outside the boundary area...
Converting polygon to line feature class...
Created points to the feature class...
bound hmda.shp
bndin_hmda.shp
bndou_hmda.shp
buffin1000.shp
bufout1000.shp
Extracting the elevation data from the raster to the point featureclass...
2004_GWL_Jan.tif
buffin1000.shp
bndin hmda.shp
bufout1000.shp
bindou hmda.shp
Estimating slope in each point of the boundary area...
['bndin_Jan_extrpts.dbf', 'bndou_Jan_extrpts.dbf']
        Table:
                        bound1000_Jan_extrpts1000_04.dbf
        Type:
                       dBase III Plus
                    ascii (plain ol' ascii)
        Codepage:
        Status:
                        DbfStatus.CLOSED
        Last updated: 2020-04-09
        Record count: 1000
        Field count:
        Record length: 100
         --Fields--
          0) mem point N(10,0)
          1) mem_point1 F(13,11)
          2) bound hmda N(9,0)
           3) bound_hm_1 N(10,0)
          4) bound hm 2 N(6,0)
5) bound hm 3 F(13,11)
           6) rastervalu F(19,11)
          7) slope F(19,11)
 Saving the output file
```

Fig. T2: Screenshot of the output obtained from Step-1a_Estimation_of_slope.py

b. Code name: <u>Estimation_of_laterflow.py</u>

Description:

Lateral flow fluxes are estimated based on Darcy's law (Box-2). Input data required for the script is the '.csv.' file (*Note: Rearrange the file name month-wise, see the, e.g., figure, slope.csv*), which contains the file names of output ('output.csv') from the previous step. Here, lateral flow divided into lateral inflow (flow enters into the study area boundary) and lateral outflow (flow leave the study area boundary).

```
File Edit Format View Help
bound1000_Jan_extrpts1000_04
bound1000_Feb_extrpts1000_04
bound1000_Mar_extrpts1000_04
bound1000_Apr_extrpts1000_04
bound1000_May_extrpts1000_04
bound1000_Jun_extrpts1000_04
bound1000_Jul_extrpts1000_04
bound1000_Aug_extrpts1000_04
bound1000_Nov_extrpts1000_04
bound1000_Dec_extrpts1000_04
```



Run the script and set the current directory where the data and codes are available. The average slope is generated as output with given user-defined input (Fig.T3).

BOX-2:

The lateral flow can be estimated using Darcy's law as follows (3):

$$Q_{in/out_t} = T * i_t * L \tag{3}$$

Where, $Q_{in/out}$ is the lateral flow (m³/month), i is the hydraulic gradient (m/m), T is the transmissivity (m²/month), l is the length of the study area boundary (m).

Output:

```
give the current directory: F:\CE15RESCH11013_LAKSHMI\Code\GWM\Code_process_inst ruct\Step_1_Lateralflowestimation'

iterating using zip
Transmissivity of the aquifer: (unit m2/day)144
Polyline study area boundary shapefile: bound_hmda_line.shp'

iterating using zip
[2718909.7653732379] [2420892.2911623488]

Lateral inflow and outflow are estimated
```

Fig. T3: Screenshot of the output obtained from the lateral flow estimation script.

The sample dataset to execute the lateral flow scripts (section 3.1 a, b) includes groundwater elevation raster (January 2004) and boundary shapefiles. User can automate the python script with the given monthly groundwater elevation raster and boundary shapefiles.

3.2 Calibration and validation of the model:

a. Code name: <u>Step_1_Calibration_of_the_model.py</u>

Description:

Non dominated sorting genetic algorithm II (NSGA-II) [3], multi-objective optimisation method used during the calibration of the model. The calibrated parameters such as specific yield, recharge factor, and maximum pumping rate and objective function as Root Mean Squared Error, Mean Absolute Error, and Nash-Sutcliffe model efficiency are considered during the optimisation process. Two different model variant condition is provided include, A: P and B: P-PE. The data required to calibrate the model are discussed in table T1 and simulated the model using the function modelrun (Fig. T4).



- We simulate the model under three recharge scenarios, such as constant recharge for all the months (Case 1), two recharge factors for monsoon and non-monsoon seasons (Case 2), and three recharge factors for winter, summer and monsoon seasons (Case 3).
- In the given example, the total number of months considered is 60 and the calibrated period is 48. Run the model with required recharge conditions.
- Set the parameters to range based on the characteristic of the aquifer considered for the analysis. The number of decision variables varies based on the test case is considered. E.g., Case 1 has three decision variables, such as pumping rate, specific yield, recharge factor, and three objective functions as default for all the cases. User can give their required iterations during the simulation.
- The model executed with the specified parameters, and the performance metrics are determined by fitting the observed and simulated groundwater head. Using the NSGA-II algorithm, the groundwater model is calibrated and computes the optimal pareto front. The best optimal solutions are selected based on user decisions. The optimal pareto solutions obtained during the simulation are stored as 'pareto_case{}_modvar{}.txt.'
- The user can edit or add the objective functions in the script 'metrics.py' to obtain the pareto optimal front (Fig. T5).



```
import numpy as np
import numpy.matlib
from metrics import rmse metric, mae metric, nse metric
from Utils import fillrech
from pumpfunc import sinefunc, linearfunc, stepfunc, trapzfunc
## choice of selecting the return output
class choicedata():
    def init (self, rmse, mae, nse, gwhead):
        # you can put here some validation logic
        self.rmse = rmse
        self.mae = mae
       self.nse = nse
        self.gwhead = gwhead
#function to define the model
def modelrun(Pset, var, area, test case, mv, pcase):
# assign the input to the variable
    pdata = np.array(var.P) # rainfall
    gwdata = np.array(var.H) # groundwater head
    pedata = np.array(var.PE) # potential evapotranspiration
#choose model variant (0: Recharge as the function of P; 1: Recharge as the function of P and PE)
    def precp():
        er = pdata/1000
       return er
    def pevap():
        er = (pdata - pedata)/1000
        sort er = [i if i > 0 else 0 for i in er]
        return sort er
    switcher = {
           0: precp,
           1: pevap,
# Switch case function to select the model variant condition
    def model variant(argument):
        # Get the function from switcher dictionary
```

```
func = switcher.get(argument)
       if func is None:
           raise ValueError("test case not found")
       # Execute the function
       return func()
# Check the lateral flow inclusion
   try:
       qin = np.array(var.Qin) # Lateral inflow
       gout = np.array(var.Qin) # lateral outflow
   except:
       qin = np.zeros(len(gwdata))
       gout = np.zeros(len(gwdata))
# Parameterization
   Sy = float(Pset[0]) # specific yield
   Qpmax = float(Pset[1]) # pumping discharge
#get the number of months of avialable data
   nummonths = len(gwdata)
   numyears = nummonths/12
# func call to generate the recharge factor
   rechargetimes = fillrech(test case, var, Pset, summer=6, winter=10, monsoon=None)
# max pumping with 50% less in monsoon and 100% in nonmonsoon season
   if pcase == 1:
       tm = (np.arange(1, 13, 1)) # monthly data
       Qp = np.array(sinefunc(tm, Qpmax, 0.5*Qpmax, phi=0)) # sine function
       pumping = Qp * 10**6 # MCM
   if pcase==2:
       tm = (np.arange(1, 13, 1))
       Qp = np.array(linearfunc(tm, Qpmax, 0.5*Qpmax))
       pumping = Qp * 10**6
   if pcase ==3:
       tm = (np.arange(1, 13, 1))
       Qp = np.array(stepfunc(tm, Qpmax, 0.5*Qpmax))
       pumping = Op *10**6
   if pcase==4:
```



```
tm = (np.arange(1, 13, 1))
        Qp = np.array(trapzfunc(tm, Qpmax))
        pumping = Qp *10**6
# repeat the data for the respective years
    pumptimes = numpy.matlib.repmat(pumping, 1, numyears)
    pumptimes = pumptimes.reshape(nummonths)
#assign Constant and initial variables as an input to the model
    gwhead = np.zeros(nummonths)
    gwhead[0] = gwdata[0]
                                     # initial head
    effectiveer = model variant(mv) # converting millimeter to meter
# iteration of the model starts
    for m in range(1, nummonths):
        rh = (effectiveer[m] *rechargetimes[m])/Sy
        ph = (pumptimes[m]/(Sy*area))
        lh = ((qin[m]-qout[m])/(Sy*area))
        gwhead[m] = (gwhead[m-1]-rh+ph-lh)
 calculate the metrics
    rmse = rmse metric(gwdata, gwhead) # minimize
   mae = mae metric(gwdata, gwhead) # minimize
    nse = -nse metric(gwdata, gwhead) # maximize
    return rmse, mae, nse, gwhead
# Calbration process for the model
def sim mod(Pset, var, area, test case, mv, pcase):
    choice data= modelrun(Pset, var, area, test case, mv, pcase)
    return choice data[0], choice data[1], choice data[2]
```

Fig. T4: Commented REGSim code to simulate the groundwater model.

```
# Calculate Root Mean Squared Error
def rmse_metric(obs, sim):
    rmse = np.sqrt((np.mean((obs-sim)**2)))
    return rmse

# Calculate Mean Absolute Error
def mae_metric(obs, sim):
    mae = np.mean(np.abs((obs-sim)))
    return mae

# Calculate Nash Sutcliff Efficiency
def nse_metric(obs, sim):
    nse = 1 - sum((sim-obs)**2)/sum((obs-np.mean(obs))**2)
    return nse
```

Fig. T5: Implementation of performance metrics used in REGSim framework (metric.py).

Output:

The function paretoplot() is invoked to perform the specific task, and the output is generated using the python code 'visualplot.py' (Fig.T6).

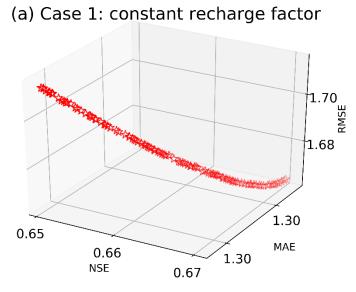


Fig. T6: Pareto optimal front obtained for the model variant B: P-PE as a recharge function for constant recharge factor.

b. Code name: <u>Step_2_Validation_of_the_model.py</u>

Description:

The optimal solutions obtained from the pareto front is further used to validate the model for three recharge scenarios. For the given example, the optimal value of calibrated parameters chosen for the Case 1 recharge scenario.

Output:

The given example plot is generated based on the matplotlib module used in the code. The user can modify the code x-axis range based on the time and month of the graph in 'Step 3 Validation_of_the_model.py' and also the other specification such as annotations, text properties (*visualplot.py*) concerning the requirement (Fig. T7).

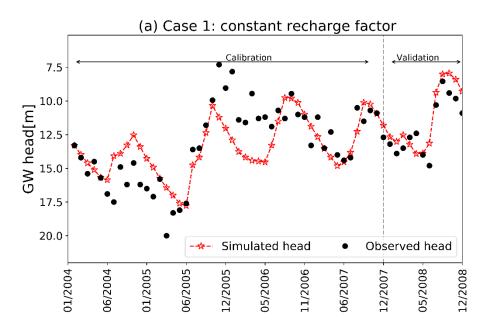


Fig. T7: Validation of the groundwater model for the constant recharge factor (model variant A).

3.3 Uncertainty and sensitivity analysis:

a. Code name: Step_3_Uncertainity_analysis.py

Description:

- Generalised likelihood uncertainty estimation (GLUE) proposed by [1] is employed to predict the uncertainty in the groundwater model (Please refer to the author's paper for the detailed methodology) (Fig.T9). To assess the uncertainty, the model assigns a plausible range of each parameter. Here, random parameter samples obtained using the Latin hypercube sampling method (LHS) (Fig.T8).
- Run the script and give the parameter range for all three cases to generate the random sample sets, as shown in the figure below. The histogram shows the LHS sampling for all the parameter sets.



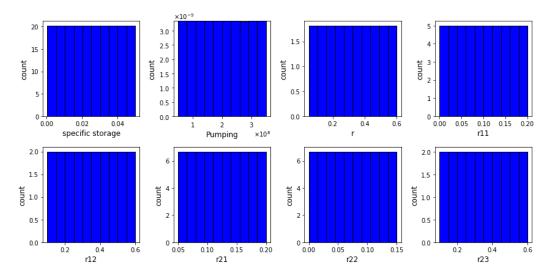


Fig. T8: Screenshot of the generation random samples using LHS method

- Assign the confidence interval limit to predict the uncertainty interval. For example, 90% confidence interval is used, where 5% is the lower limit, and 95% is the upper limit. Also, assign the percentage of the acceptable threshold (behavioural set), say 5% here. Assume the maximum groundwater level (h_max) is feasible in your study area. Here, we considered 25m as the maximum groundwater level to avoid negative values during the simulation process
- The output from rand generates a random sample for the parameter set with a size of NLHS x NPAR matrix. The underlying GLUE method is defined in the gluerun module, and the uncertainty analysis is shown in Fig. T9. The result of the uncertainty function is visualised with the help of glueplot function. The sensitivity of the parameters in the model is mapped using the ecdfplot function, which works based on the cumulative distribution function (CDF).

```
import numpy as np
import numpy.matlib
import pandas as pd
from metrics import nse metric
from Utils import fillrech
from pumpfunc import sinefunc, linearfunc, stepfunc, trapzfunc
# defining class to create object with attributes lower and upper bound
class ChoiceData():
   def init (self, lb, ub):
      # you can put here some validation logic
      self.lower = lb
      self.upper = ub
# function defintion for GLUE simulation
def sim glue (test case, pcase, data, calib, Psets, A, lb, ub, c, h max, mv, tb):
#create a dataframe for metrics
   inf liklhd = pd.DataFrame()
   inf liklhd["nse"] = np.zeros like(Psets.p)
   #Initalize inputs to the model
   ns = len(Psets) # no of simulation
   nm = len(calib)
                    # no of months
   mon = 12 # months in a year
   numyears = nm/mon
                            # no of years
   h int = np.zeros((nm,ns)) #Gw head
   h int[0,:] = calib.H[0] # initial head
# assign the input to the variable
   pdata = calib.P # rainfall
   pedata = calib.PE # potential evapotranspiration
   # choose model variant (0: Recharge as the function of P; 1: Recharge as the function of P and PE)
   def precp():
      er = pdata/1000
```

```
return er
   def pevap():
      er = (pdata-pedata)/1000
      sort er = [i if i > 0 else 0 for i in er]
      return sort er
   switcher ={
          0: precp,
          1: pevap,
# Switch case function to select the model variant condition
   def model variant(argument):
      # Get the function from switcher dictionary
      func = switcher.get(argument)
      if func is None:
          raise ValueError("test case not found")
      # Execute the function
      return func()
# Check the lateral flow inclusion
   try:
      qin = np.array(calib.Qin) # Lateral inflow
      gout = np.array(calib.Qin) # lateral outflow
   except:
      qin = np.zeros(len(calib.H))
      qout = np.zeros(len(calib.H))
effectiveer = model variant(mv) # unit of rainfall mm to m
   if test case==3:
      #case3
      r1 = Psets.r21
      r2 = Psets.r22
      r3 = Psets.r23
      rechargeratio = np.array([r1, r1, r2, r2, r2, r2, r3, r3, r3, r1, r1])
```



```
if test case==2:
      #case2
      r1 = Psets.r11
      r2 = Psets.r12
      rechargeratio = np.array([r1, r1, r1, r1, r1, r1, r2, r2, r2, r2, r1, r1])
  if test case==1:
      #case1
      r = Psets.r
      rechargeratio = np.array([r, r, r])
   # repeat the data for the respective years
  rechargetimes = np.zeros((nm, ns))
  for i in range(ns):
      rechargetimes[:,i] = np.matlib.repmat(rechargeratio[:,i], 1, numyears)
#Assign pumping parameter set
   Qpmax1 = Psets.p
  pumping = np.zeros((mon, ns))
   def pumpcond(ns, pcase, Qpmax,pumping):
      for i in range(ns):
          if pcase ==1:
               tm = (np.arange(1, 13, 1)) # monthly data
              Qp = np.array(sinefunc(tm, Qpmax[i], 0.5*Qpmax[i],phi=0)) # sine function
               pumping[:,i] = Qp*10**6
          if pcase==2:
               tm = (np.arange(1, 13, 1))
               Qp = np.array(linearfunc(tm, Qpmax[i], 0.5*Qpmax[i])) #linear function
               pumping[:,i] = Qp*10**6
          if pcase ==3:
               tm = (np.arange(1, 13, 1))
               Qp = np.array(stepfunc(tm, Qpmax[i], 0.5*Qpmax[i])) # binary function
               pumping[:, i] = Qp*10**6
          if pcase==4:
               tm = (np.arange(1, 13, 1))
               Qp = np.array(trapzfunc(tm, Qpmax[i])) #trapezoidal function
               pumping[:, i] = Qp*10**6
```



```
return pumping
# repeat the data for the respective years
pumptimes = np.zeros((nm, ns))
pumping = pumpcond(ns, pcase, Qpmax1, pumping)
for i in range(ns):
   pumptimes[:, i] = np.matlib.repmat(pumping[:, i], 1, numyears)
# model iteration starts
for i in range(ns):
   for j in range(nm-1):
       rh = (effectiveer[j+1]*rechargetimes[j+1,i])/(Psets.s[i])
       ph = (pumptimes[j+1,i])/(Psets.s[i]*A)
       lh = ((qin[j+1]-qout[j+1])/(Psets.s[i]*A))
       h int[j+1,i] = (h int[j,i] - (rh-ph+lh))
# calculate the metrics
   inf liklhd.nse[i] = nse metric(calib.H, h int[:, i])
###### behavorial set#####
cutoff = c # assigning 100% as behavarial
numBehav = cutoff*len(Psets)
if th ==1:
   metrics = inf liklhd.sort values('nse', ascending=False)
   index = metrics.index.values
    # defining the likelihood
    #index is the reference of the sorted of nse
   behav index = index[0:int(numBehav)]
   behav rank = np.arange(numBehav, 0, -1)
   behav rank = behav rank/1.0
   posterior = behav rank / sum(behav rank)
#here posterior = likelihood(nse) as it is uniform distirubution
if th ==2:
   numnonBehav = cutoff*len(Psets)
   metrics = inf liklhd.sort values('nse', ascending=True)
   index = metrics.index.values
```



```
# defining the likelihood
   behav index = index[0:int(numnonBehav)] # index is the reference of the sorted of nse
   nbehav rank = np.arange(numnonBehav, 0, -1)
   posterior = nbehav rank / sum(nbehav rank)
if test case ==1:
   df case1 = pd.DataFrame()
   df case1["p"] = list(Psets.p[behav index])
   df case1["s"] = list(Psets.s[behav index])
   df case1["r"] = list(Psets.r[behav index])
   db = df case1
if test case==2:
   df case2 = pd.DataFrame()
   df case2["p"] = list(Psets.p[behav index])
   df case2["s"] = list(Psets.s[behav index])
   df case2["r11"] = list(Psets.r11[behav index])
   df case2["r12"] = list(Psets.r12[behav index])
   db = df case2
if test case==3:
   df case3 = pd.DataFrame()
   df case3["p"] = list(Psets.p[behav index])
   df case3["s"] = list(Psets.s[behav index])
   df case3["r21"] = list(Psets.r21[behav index])
   df case3["r22"] = list(Psets.r22[behav index])
   df case3["r23"] = list(Psets.r23[behav index])
   db = df case3
 # assign the input to the variable
pdata = data.P # total rainfall
pedata = data.PE # total potential evapotranspiration
nsims = int(numBehav) # no of simulation
                       # no of months
nmon = len(data)
nyrs = nmon/mon
                       # no of years
gwhead pred = np.zeros((nmon,nsims))
gwhead pred[0,:] = data.H[0] # initial head
```



```
effrech = model variant(mv) # unit of rainfall from mm to m
nse val = np.zeros(nsims)
if test case==3:
# repeat the data for the respective years
    #case3
   r1 = db.r21
   r2 = db.r22
   r3 = db.r23
    rechargeratio1 = np.array([r1, r1, r2, r2, r2, r2, r3, r3, r3, r3, r1, r1])
if test case==2:
   #case2
   r1 = db.r11
   r2 = db.r12
    rechargeratio1 = np.array([r1, r1, r1, r1, r1, r1, r2, r2, r2, r2, r1, r1])
if test case==1:
   #case1
    r = dh.r
    rechargeratio1 = np.array([r, r, r])
rtimes = np.zeros((nmon, nsims))
for i in range(nsims):
    rtimes[:,i] = np.matlib.repmat(rechargeratio1[:, i], 1, nyrs)
# calling the pumping function to use different pumping scenarios
Qpmax2 = db.p
pumping = pumpcond(nsims, pcase, Qpmax2, pumping)
ptimes = np.zeros((nmon, nsims))
for i in range(nsims):
    ptimes[:, i] = np.matlib.repmat(pumping[:, i], 1, nyrs)
# iteration starts for validation
for ii in range(nsims):
    for jj in range (nmon-1):
        rh1 = (effrech[jj+1]*rtimes[jj+1, ii])/(db.s[ii])
        ph1 = (ptimes[jj+1, ii])/(db.s[ii]*A)
        lh = ((data.Qin[jj+1]-data.Qin[jj+1])/(db.s[ii]*A))
```



```
head 1 = (gwhead pred[jj, ii] - (rh1-ph1+lh))
            if head 1 > h max:
                gwhead pred[jj+1, ii] = h \max
            elif head 1 < 0:
                gwhead pred[jj+1, ii] = 0
            else:
                gwhead pred[jj+1, ii] = head 1
        # calculate the metrics
        nse val[ii] = nse metric(data.H, gwhead pred[:, ii])
    lower = []
    upper = []
    # updating the likelihood at every time step
    for k in range(nmon):
        newgwhead = np.sort(gwhead pred[k,:])
        #print (newgwhead)
        indx = np.argsort(newgwhead)
        #print(indx)
        newpost = np.cumsum(posterior[indx])
        lower.append(np.interp(lb, newpost, newgwhead))
        upper.append(np.interp(ub, newpost, newgwhead))
    return lower, upper, db
def uncertain(test case, pcase, data, calib, Psets, A, lb, ub, c, h max, mv, tb):
    Choice data = sim glue(test case, pcase, data, calib, Psets, A, lb, ub, c, h max, mv, tb)
    return Choice data[0], Choice data[1]
```

Fig. T9: GLUE, uncertainty method used in the REGSim



Output:

In the example, we run the model for the case-1 scenarios with a 90% prediction interval, as shown in the Fig.T10. The grey portion interval is the total range of the parameter set considered. In contrast, the black dotted line is the 90% confidence interval (User can modify the plotting code, *glueplot.py* based on their requirement).

percentage of observation within Confidence interval:50.0%

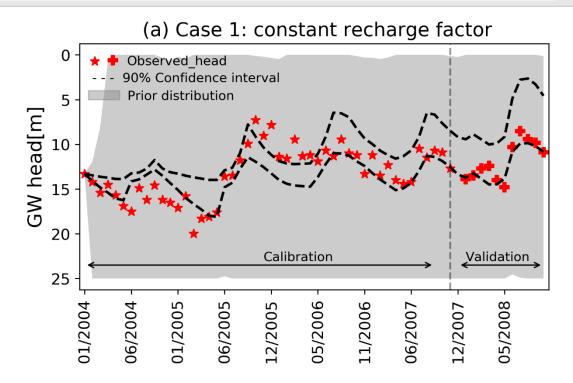


Fig. T10: 90% prediction interval obtained from GLUE method for the constant recharge factor (model variant A).

b. Code name: <u>Step_3b_Senstivity_analysis.py</u> **Description:**

- Cumulative distribution function (CDF) is used to plot the distribution of the datasets to
 identify the sensitivity of the input parameters. The ranges of the input parameters are
 based on the wide range values considered during the uncertainty analysis using the LHS
 method.
- CDF curve for each input parameter is plotted based on the user-defined input variables such as the recharge cases, confidence interval, and behavioural and non-behavioural (Fig. T11). The behavioural set is the acceptable threshold of the performance metrics (say, top

5% of NSE), whereas the non-behavioural set is the remaining dataset of the performance metric (say, 1 - 0.05 = 0.95).

Output:

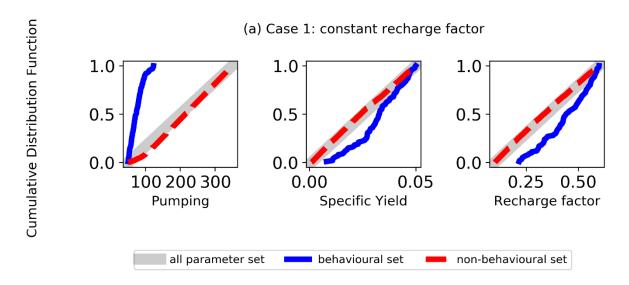


Fig. T11: The cumulative distributio(y-axis) of each parameter as a function of parameter value (x-axis) for behavioural (solid blue), non-behavioural (solid dashed red), and prior distributions (grey solid) for case-1 (model variant A)

3.4 Simulation mode for Validation of the GW analysis:

Code name: <u>Step_5_Simulationmode.py</u>

Description:

- The simulation mode module is used to simulate the time series of groundwater heads for future validation. It is executed with the given inputs such as rainfall, potential evaporation and parameter sets.
- Run the script, give the filename and location of the input dataset and parameter set.
 The user can simulate the model for any combination of three recharge scenarios, two model variants and four pumping functions.
- In giving an example, we include two types of parameter sets containing single (one parameter set for case-1) and multiple parameter sets (100 sets of parameters for Case-1). Run the model based on the user requirements.
- The user can modify or change the options in pumping or recharge scenarios functions in the script 'simrun.py'

Output:



The given example plot (fig. T12) is generated using 'simfutplot.py'. Panel A represent the output simulated for single parameter set and Panel B represent the output for multiple parameter set. The user can modify their own data replacing the parameter set file ('.csv').

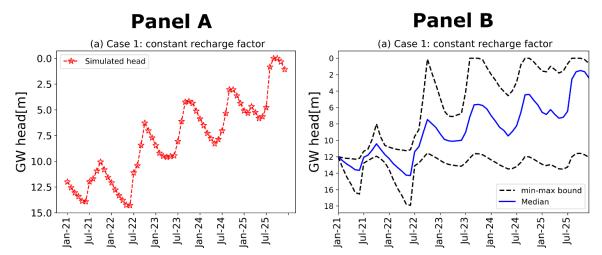


Fig. T12: Validation (future) of the groundwater model for (a) Single parameter set (b) Multiple parameter set

4. Norms of the aquifer properties:

The aquifer properties, such as transmissivity, specific yield, and recharge, can be used for the groundwater assessment based on the report published by the groundwater resource estimation committee (GEC). The following tables (T6, T7, T8) are the recommended values of the aquifer properties and utilised in the area with a lack of sufficient data and information available in the field (Source: http://cgwb.gov.in/documents/gec97.pdf).

Table T6: Transmissivity for different hydrogeological condition

| Type of Aquifer | Transmissivity range (m²/day) |
|--|-------------------------------|
| POROUS ROCK FORMATIONS • Unconsolidated formations • Semi-consolidated formations | 250 to 4000 100 to 2300 |
| HARD ROCK FORMATIONS | |



| • Igneous and metamorphic | 10 to 500 |
|--|-----------|
| rocks excluding volcanic and carbonate rocks | 25 to 100 |
| Volcanic rocks | |

 Table T7: Specific yield for different hydrogeological condition

| S.No | Formation | Recommended value (%) | Minimum value (%) | Maximu m value(%) |
|------|--|-----------------------|----------------------|-------------------------|
| 1. | Alluvial areas | | | |
| | Sandy | 16 | 12 | 20 |
| | Silty | 10 | 8 | 12 |
| | Clayey | 6 | 4 | 8 |
| | Hard rock areas | | | |
| 2. | Weathered granites, gneiss, schist with low clay content | 3 | 2 | 4 |
| | Weathered granites, gneiss, schist with significant clay content | 1.5 | 1 | 2 |
| | Weathered or vesicular, jointed basalt | 2 | 1 | 3 |
| | Laterite | 2.5 | 2 | 3 |
| | Sandstone | 3 | 1 | 5 |
| | Quartzite | 1.5 | 1 | 2 |
| | Limestone | 2 | 1 | 3 |
| | Karstified limestone | 8 | 5 | 15 |
| | Phyllites, shales | 1.5 | 1 | 2 |

| Massive poorly fractured rock | 0.3 | 0.2 | 0.5 |
|-------------------------------|-----|-----|-----|
| | | | |

 Table T8: Recharge due to rainfall for different hydrogeological condition

| S.No | Formation | Recommended value (%) | Minimum value (%) | Maximu m value(%) |
|------|---|-----------------------|----------------------|-------------------------|
| | Alluvial areas | | | |
| 1. | Indo-Gangetic and inland areas | 22 | 20 | 25 |
| | East coast | 16 | 14 | 18 |
| | West coast | 10 | 8 | 12 |
| | Hard rock areas | | | |
| 2. | Weathered granites, gneiss, schist with low clay content | 11 | 10 | 12 |
| | Weathered granites, gneiss, schist with significant clay content | 8 | 5 | 9 |
| | Granulite facies like charnockite etc. | 5 | 4 | 6 |
| | Vesicular and jointed basalt | 13 | 12 | 14 |
| | Weathered basalt | 7 | 6 | 8 |
| | Laterite | 7 | 6 | 8 |
| | Semi-consolidated sandstone | 12 | 10 | 14 |
| | Consolidated sandstone, quartzite, limestone (expect cavernous limestone) | 6 | 5 | 7 |
| | Phyllites, shales | 4 | 3 | 5 |

| Massive poorly fractured rock | 1 | 1 | 3 |
|-------------------------------|---|---|---|
| | | | |

References:

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- [2] Bredenkamp, D. B., Botha, L. J., Van Tonder, G. J., & Van Rensburg, H. J. (1995). Manual on quantitative estimation of groundwater recharge and aquifer storativity: based on practical hydro-logical methods. Water Research Commission.
- [3] Deb, K., Pratap, A., Agarwal, S., Meyarivan, T., & Fast, A. (2002). Nsga-ii. *IEEE transactions on evolutionary computation*, 6(2), 182-197, https://doi.org/10.1109/4235.996017.
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