

Lecture 3

Principal values and Principal vectors; Symmetric and Skew-symmetric tensors; Axial vector

Review of L2

I. Tensors: Linear transformation of vectors

1. $A \cdot a = b$ OR $a \cdot A = b$.
2. $C = (A + B); D = A \cdot B$.
3. Understood by their operations.

II. Tensor product $a \otimes b$

1. $(a \otimes b) \cdot c = (c \cdot b)a; c \cdot (a \otimes b) = (c \cdot a)b$
2. $a \otimes b = (a_i b_j) \hat{e}_i \otimes \hat{e}_j$ in $\{\mathcal{P}, P, \hat{e}_i\}$.
3. Tensorial basis in $\{\mathcal{P}, P, \hat{e}_i\}$ is $\hat{e}_i \otimes \hat{e}_j$.
4. $A = A_{ij} \hat{e}_i \otimes \hat{e}_j$, with $A_{ij} = \hat{e}_i \cdot A \cdot \hat{e}_j$;

Matrix of A in \mathcal{P} : $[A]_{\mathcal{P}} = \begin{bmatrix} A_{11} & A_{12} & A_{13} \\ A_{21} & A_{22} & A_{23} \\ A_{31} & A_{32} & A_{33} \end{bmatrix}$

III. Tensor algebra

1. $A^T = A_{ji} \hat{e}_i \otimes \hat{e}_j \implies (A^T)_{ij} = A_{ji}$
2. $\text{tr}(A) = A_{ii} = A'_{ii} = \text{tr}(A^T)$

Principal values, Principal vectors

I. **Definition:** A tensor \mathbf{A} has *principal value* λ with associated *principal vector* $\hat{\mathbf{v}}$ if

$$\mathbf{A} \cdot \hat{\mathbf{v}} = \lambda \hat{\mathbf{v}}, \quad |\hat{\mathbf{v}}| = 1.$$

1. Same as *eigenvalues* and *eigenvectors*.
2. Any *scaling* of $\hat{\mathbf{v}}$ is a principal vector.
3. Principal pair $\{\lambda, \hat{\mathbf{v}}\}$ independent of CS.

II. How to find $\{\lambda, \hat{\mathbf{v}}\}$ for a given \mathbf{A} ?

Find $\{\lambda, [\mathbf{v}]_{\mathcal{P}}\}$ for $[\mathbf{A}]_{\mathcal{P}}$ in *any* $\{\mathcal{P}, P, \hat{\mathbf{e}}_i\}$

III. **Properties:** Real tensor \mathbf{A} on 3D vectors:

1. \mathbf{A} *always* has 3 principal values λ_i :
 - i. *all* real or *one* real+complex conjugates
 - ii. *not* all unique; some may repeat;
2. Real λ_i has a real principal vector $\hat{\mathbf{v}}_i$.
 - i. \mathbf{A} *always* has at least *one* real $\hat{\mathbf{v}}$.
 - ii. A repeated λ may *not* have as many $\hat{\mathbf{v}}$.
 - iii. $\lambda_i \neq \lambda_j \implies \hat{\mathbf{v}}_i, \hat{\mathbf{v}}_j$ linearly independent.
3. \mathbf{A} and \mathbf{A}^T have *same* λ_i but *not* same $\hat{\mathbf{v}}_i$.

Principal values, Principal vectors

I. Examples

II. **Definition:** If A has *three independent* principal vectors $\hat{\mathbf{v}}_i, i = 1 \dots 3$, then they define the *principal axes coordinate system* $\{\mathcal{P}, O, \hat{\mathbf{v}}_i\}$ of A .

1. Generally, $\hat{\mathbf{v}}_i \cdot \hat{\mathbf{v}}_j \neq \delta_{ij}$, i.e. $\{\mathcal{P}, O, \hat{\mathbf{v}}_i\}$ is *not* always a Cartesian CS.

III. **Properties:** A has principal pairs $\{\lambda_i, \hat{\mathbf{v}}_i\}$, $i = 1, 2, 3$ and principal CS $\{\mathcal{P}, O, \hat{\mathbf{v}}_i\}$:

1. Use *similarity transformation* to compute

$$[A]_{\mathcal{P}} = \begin{bmatrix} \lambda_1 & 0 & 0 \\ 0 & \lambda_2 & 0 \\ 0 & 0 & \lambda_3 \end{bmatrix}.$$

A is *diagonalized* in its principal CS!

2. Thus, $A = \sum_{i=1}^3 \lambda_i \hat{\mathbf{v}}_i \otimes \hat{\mathbf{v}}_i$.

Symmetric tensor

I. **Definition:** \mathbf{S} is *symmetric* if $\mathbf{S} = \mathbf{S}^T$.

II. **Properties:** If \mathbf{S} is symmetric with principal values $S_i, i = 1 \dots 3$:

1. S_i are *always* real;

2. There exist *three* principal vectors $\hat{\mathbf{e}}_i$.

i. $\hat{\mathbf{e}}_i$ are *always* orthogonal.

ii. Principal CS $\{\mathcal{P}, C, \hat{\mathbf{e}}_i\}$ is Cartesian.

3. $\mathbf{S} = \sum_{i=1}^3 S_i \hat{\mathbf{e}}_i \otimes \hat{\mathbf{e}}_i$ and $[\mathbf{S}]_{\mathcal{P}} = \begin{bmatrix} S_1 & 0 & 0 \\ 0 & S_2 & 0 \\ 0 & 0 & S_3 \end{bmatrix}$.

Symmetric \mathbf{S} is always diagonalizable.

Action of \mathbf{S} is a stretch by S_i along $\hat{\mathbf{e}}_i$.

III. **Definition:** \mathbf{S} is *positive definite* if \mathbf{S} is symmetric and $\lambda_i > 0, i = 1 \dots 3$. **Example**

Skew-symmetric tensor

I. **Definition:** W is *skew-symmetric* if $W = -W^T$ (also called *anti-symmetric*)

II. In *any* CS $\{\mathcal{E}, O, \hat{\mathbf{E}}_i\}$: $[W]_{\mathcal{E}} = \begin{bmatrix} 0 & W_{12} & W_{13} \\ -W_{12} & 0 & W_{23} \\ -W_{13} & -W_{23} & 0 \end{bmatrix}$

1. W has *three* independent components.
2. W is naturally *equivalent* to a vector \mathbf{w} .
3. $W \cdot \mathbf{a} \equiv$ operation between \mathbf{w} and \mathbf{a} which outputs a *vector*.

III. **Property:** $W \cdot \mathbf{a} = \mathbf{w} \times \mathbf{a}$, if we define

$$\mathbf{w} := -\frac{1}{2}\epsilon_{ijk}W_{jk}\hat{\mathbf{E}}_i = -W_{23}\hat{\mathbf{E}}_1 + W_{12}\hat{\mathbf{E}}_2 - W_{13}\hat{\mathbf{E}}_3$$

IV. **Remarks:**

1. \mathbf{w} is the *axial vector* of W : $\mathbf{w} = \text{ax}(W)$.
2. Any vector \mathbf{w} gives a skew-symmetric tensor: $W = -\epsilon_{ijk}w_i\hat{\mathbf{E}}_j \otimes \hat{\mathbf{E}}_k =: \text{asym}(\mathbf{w})$.

Example

The 5th Wave

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