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## **CSB205: SYSTEM DESIGN**

### **Major Project**

**Topic:** Multi-Tenant E-Commerce Marketplace with Search & Recommendations

**Team Names:** Perineni Lakshith, Rachana Reddy, Jashwanth Raparthi,  
Praveen Kumar, Rakesh Reddy

**Team Reg.No's:** 12401218, 12400989, 12406316, 12405070, 12406880

**Section:** K24BX

# 1. Requirements Pack

## 1.1 Stakeholders

A successful marketplace requires satisfying diverse needs across three main user groups and the core technology team.

| Stakeholder Group       | Core Needs / Domain Focus  |
|-------------------------|--|
| Sellers (Tenants)       | Storefront customization, inventory management, order processing, sales analytics, billing.    |
| Buyers (End Users)      | Personalized product search, seamless cart/checkout, order tracking, reviews, secure payments. |
| Platform Administrators | Tenant oversight, policy enforcement, platform-wide configurations, system health monitoring.  |
| Development/DevOps Team | CI/CD automation, easy scaling, robust monitoring, fault isolation, cost efficiency.           |

## 1.2 User Stories (Feature Context)

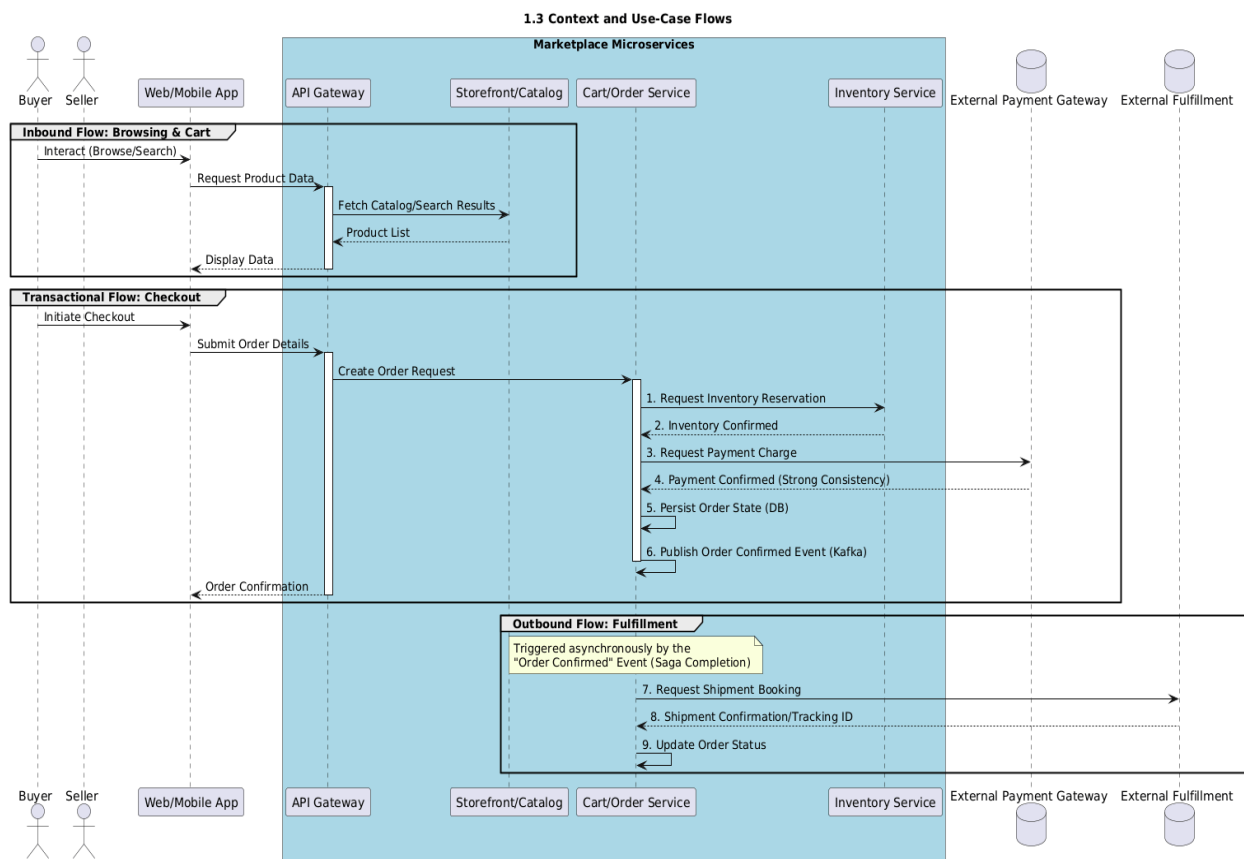
| Persona | User Story   | Core Feature Implied                                 |
|---------|--|--|
| Seller  | "As a Seller, I want to <b>upload bulk inventory via CSV</b> so that I can quickly populate my catalog."                             | Catalog & Inventory Service, Data Ingestion Pipeline |
| Buyer   | "As a Buyer, I want <b>search results to prioritize items based on my browsing history</b> so that I find relevant products faster." | Search Service, Recommendation Engine                |
| Admin   | "As an Admin, I want to <b>disable a tenant's storefront immediately</b> if  | Tenant Service, Identity & Access Control            |

they violate policy."

## 1.3 Context and Use-Case Diagrams

The system operates as a central nervous system coordinating interactions between end-users, tenants, and various external systems.

- **Inbound Flow:** Buyers interact via Web/Mobile App -> API Gateway -> Storefront Service (for browsing) or Cart/Order Service (for transactions).
- **Outbound Flow:** Order Service -> Payment Gateway (e.g., Stripe, PayPal) -> Shipping/Fulfillment Provider (e.g., FedEx, UPS).



## 1.4 Constraints and Assumptions

| Category       | Constraint   | Assumption   |
|----------------|--|--|
| Non-Functional | Elastic scale for traffic spikes, strong consistency for payments/orders, strict PII protection. | APIs (Payments, Fulfillment) are generally reliable and available.     |
| Technical      | Architecture must use Domain-Driven Design (DDD) principles and leverage event choreography.     | The team is proficient in multiple languages (Go/Java) and Kubernetes. |
| Regulatory     | PCI-aware design for payment handling, compliance with data privacy laws (GDPR/CCPA).            | PCI scope is minimized via external payment processors (tokenization). |

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# 2. Architecture

## 2.1 Trade-off Analysis of Styles

The **Microservices Architecture** was chosen to meet the non-functional goals of elastic scale, fault isolation, and technology heterogeneity required by a modern, high-traffic marketplace.

| Style         | Rationale for Rejection/Acceptance   | Trade-offs Accepted                                  |
|---------------|--|--|
| Monolith      | <b>Rejected.</b> Fails to meet elastic scale requirement (e.g., scaling Search independently). Leads to a single point of failure. | N/A  |
| Microservices | <b>Selected.</b> Allows independent deployment,  | <b>Accepted:</b> Operational complexity, distributed |

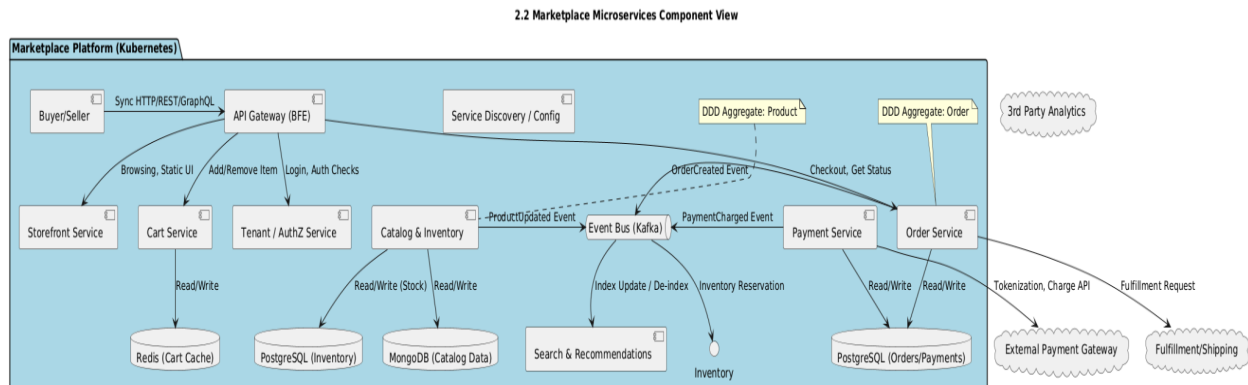
|                   |   |   |
|-------------------|---|---|
|                   | technology specialization (e.g., Go for performance, Java for complex logic), and fault boundaries.   | transaction handling, eventual consistency.                           |
| <b>Serverless</b> | <b>Hybrid Use.</b> Suitable for asynchronous tasks (e.g., image resizing, report generation) but poor for long-running transactional Sagas. | <b>Accepted:</b> Potential cold start latency for non-critical paths. |

## 2.2 Component Diagram

The system is divided into core domains, communicating asynchronously via an Event Bus (Kafka) and synchronously via the API Gateway.

### Key Services/Domains and DDD Contexts:

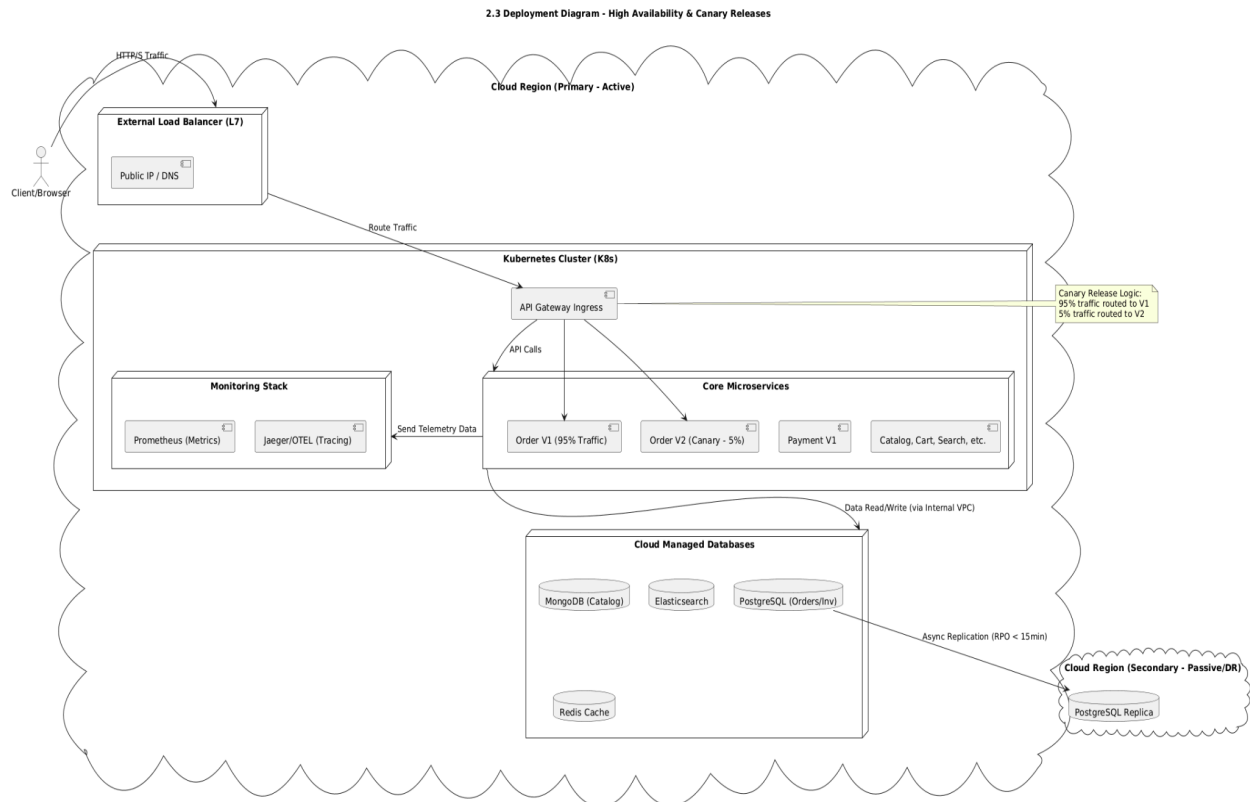
- **API Gateway (BFE):** Acts as a Backend-for-Frontend, responsible for request aggregation (e.g., fetching product, reviews, and inventory in one call for a Product Detail Page), authentication, and rate limiting.
- **Storefront:** Buyer UI/UX, static content delivery.
- **Catalog & Inventory:** Product details (NoSQL), stock levels (Relational). **DDD Detail:** The Product is the Aggregate Root here.
- **Search & Recommendations:** Elasticsearch/AI components for personalization.
- **Cart:** Manages buyer sessions, uses Redis cache.
- **Order:** Manages the order lifecycle (DDD Aggregate Root). All state changes are validated within this boundary.
- **Payment:** Handles tokenization, reconciliation, and provider communication.
- **Tenant/AuthZ:** Manages seller accounts, roles, and permissions.



## 2.3 Deployment Diagram

The architecture is deployed on Kubernetes (K8s) for orchestration, enabling high availability and controlled releases.

- **Platform:** Kubernetes (K8s) for container orchestration, providing self-healing and service discovery.
- **Load Balancing:** External Load Balancer (L7) routes traffic to the API Gateway.
- **Deployment Strategy (Canary Releases):** For critical services (e.g., Payment, Order), K8s is configured to use **Canary Releases**. New versions are initially rolled out to a small subset (e.g., 5%) of production traffic, monitored extensively for performance and error rates via Prometheus/Jaeger, before proceeding with a full deployment roll-out. This minimizes blast radius during software updates.
- **Database:** Cloud-managed services (e.g., AlloyDB/PostgreSQL, Managed MongoDB/CosmosDB, Elasticsearch Cluster) to reduce operational overhead.



### 3. Design Patterns

The architecture relies heavily on domain-specific patterns to manage complexity and distributed state.

| Pattern        | Type       | Implementation Detail   | Anti-Patterns Avoided   |
|----------------|------------|---|---|
| <b>Saga</b>    | Behavioral | <b>Order Lifecycle:</b><br>Uses event choreography (e.g., OrderCreated -> InventoryReserved -> PaymentCharged). Guarantees eventual consistency for distributed transactions. | Two-Phase Commit (2PC) in a distributed system (too slow/complex).          |
| <b>Outbox</b>  | Behavioral | Ensures that database updates (e.g., Order status change) and the publishing of corresponding events (e.g., OrderConfirmed) are atomic via a dedicated outbox table.          | Dual write problem (inconsistent state between DB and Event Bus).           |
| <b>Factory</b> | Creational | <b>Payment Provider Factory:</b><br>Decouples the Payment Service from concrete payment gateways (Stripe, PayPal) by creating provider-specific                               | Excessive conditional logic (if/else hell) for external system integration. |

|                |            |  |   |
|----------------|------------|--|---|
|                |            | classes via a common interface.  |   |
| <b>Adapter</b> | Structural | <b>Fulfillment Adapter:</b><br>Standardizes the interface for heterogeneous external fulfillment services (FedEx, UPS) so the Order Service doesn't rely on vendor-specific logic.   | Direct reliance on external vendor APIs, making vendor switching difficult. |
| <b>Facade</b>  | Structural | <b>Search Facade:</b><br>Provides a simple search(query) interface to the Storefront, hiding the complexity of the Elasticsearch query language, ranking, and personalization logic. | Clients needing deep knowledge of the underlying search technology.         |

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## 4. Database Design

### 4.1 Polyglot Persistence Rationale

Choosing the right data store per service optimizes performance and consistency for specific domain needs.

- **Relational (PostgreSQL/AlloyDB):** Used for **Orders, Payments, User Accounts**.  
*Rationale:* Non-negotiable strong consistency (ACID), transactional integrity, and complex financial reporting where joins are necessary.
- **Document Store (MongoDB):** Used for **Product Catalog**. *Rationale:* Flexible schema to support diverse product attributes (e.g., clothes vs. electronics) across different sellers (tenants) without schema migrations.



- **Search Index (Elasticsearch):** Used for **Product Discovery, Recommendations**.  
*Rationale:* Optimized for full-text search, fuzzy matching, and fast aggregation (faceting) required by the Buyer experience.
- **Cache (Redis):** Used for **Cart Data, Session State, Popular Product Lookup**.  
*Rationale:* High-speed, low-latency key-value store, supporting ephemeral state.

## 4.2 Entity-Relationship Diagram (ERD) / Schema Overview

### 4.3 Normalization/Denormalization Rationale

- **Normalization (3NF):** Applied strictly to **Orders/Payments** tables within Postgres. Ensures data integrity, prevents update anomalies, and supports complex joins for financial audits.
- **Denormalization:** Applied in the **Catalog Service** (embedding product features, reviews summaries) and heavily in the **Search Index** (flattening product data for fast read access). *Rationale:* Optimized for read-heavy operations, trading write complexity for massive read speed improvements.

### 4.4 Indexing, Partitioning/Sharding Plan

- **Indexing:** All relational tables must be indexed on the composite key (`tenant_id`, `primary_key`) for efficient multi-tenant query isolation. Secondary indexes on (`tenant_id`, `created_at`) are critical for tenant-scoped reporting.
  - **Partitioning/Sharding (Horizontal Scaling):**
    - **Strategy:** Shard data primarily by `tenant_id`. This achieves **Tenant Isolation** and distributes the load from large tenants across different database instances, minimizing the "noisy neighbor" effect.
    - **High-Volume Tables (Orders):** Further partitioning by date range (e.g., monthly) within a shard can improve archive and query performance.
  - **Data Synchronization (CDC):** Data is synchronized between the transactional (Postgres/Mongo) and search (Elasticsearch) stores using the **Change Data Capture (CDC)** mechanism. The Outbox pattern ensures every relevant database change generates an event, which is then consumed by the Search Service to maintain the up-to-date index, guaranteeing read-model eventual consistency.
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## 5. Performance & Scale

### 5.1 Caching (In-Memory/CDN)

| Cache Layer      | Technology          | Data Stored  | Strategy  |
|------------------|---------------------|--|---|
| L3 (CDN)         | Cloudflare/Akamai   | Static assets, Seller logos, Product images.                                       | Edge caching, Geo-distribution for global user base.                            |
| L2 (Distributed) | Redis Cluster       | Shopping Carts, Session State, Personalized Recommendations, Hot Product IDs.      | TTL-based expiration, High-speed lookup, handles sudden spikes in read traffic. |
| L1 (In-Memory)   | Service Local Cache | Feature flags, Configuration values, Tenant account details (frequently accessed). | Short TTL, small data sets, eliminates network hop for critical config.         |

### 5.2 Load Balancing Strategy

- **Layer 7 (Application Load Balancer - ALB):** Used at the API Gateway level. It can inspect HTTP headers and hostnames, allowing for intelligent routing based on tenant subdomain or path.
- **Strategy:** Primarily **Least Connections** to ensure new requests are routed to the least-busy service instance, maximizing utilization and minimizing individual instance load.

### 5.3 Replication

- **Database:** Asynchronous streaming replication (read replicas) for all relational stores (Postgres) to handle heavy read traffic (e.g., reports, catalog views) and ensure database resilience.
- **Services:** All microservices run with a minimum of 3 replicas across multiple Availability Zones (AZs) in K8s to withstand zone-level failures.

## 5.4 Backpressure

- **Mechanism:** Implemented at the API Gateway using the **Token Bucket Algorithm**.
  - **Function:** Enforces **rate limiting** policies configured per tenant\_id and global service limits. This prevents a surge of traffic from one misbehaving tenant (a "noisy neighbor") from degrading service quality for all others, ensuring system stability.
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# 6. Security & Reliability

## 6.1 Threat Model (Core Defenses)

| Threat Category         | Example Threat                                       | Primary Defense Mechanism   |
|-------------------------|--|---|
| Access Control          | Unauthorized tenant accessing another tenant's data. | <b>Row-Level Security (RLS)</b> in databases, enforced checks in services using tenant_id.                |
| Denial of Service (DoS) | Traffic flooding the search endpoint.                | <b>WAF</b> (Web Application Firewall) for L7 attacks, and <b>Rate Limiting</b> at the API Gateway.        |
| Data Exposure           | Payment details interception.                        | <b>PCI-aware design</b> (tokenization), TLS 1.3 encryption end-to-end, Secret Rotation (using KMS/Vault). |

## 6.2 Authentication (AuthN) and Authorization (AuthZ)

- **AuthN:** Handled by a centralized **Identity Provider (IdP)** (e.g., Keycloak). Uses **OIDC** and **JWT (JSON Web Tokens)** for stateless session management.
- **AuthZ: Role-Based Access Control (RBAC)** enforced at two critical levels:
  1. **API Gateway:** Validates JWT structure and scopes/roles before routing.
  2. **Service Level:** Each service validates the user's tenant\_id and role against the

resource being accessed.

- **Tenant Isolation via RLS:** Row-Level Security (RLS) is paramount for multi-tenancy. In PostgreSQL, RLS policies are enforced to ensure that a query originating from a service authenticated with `tenant_id=X` can *only* retrieve or modify rows where the `tenant_id` column equals X. This provides a fundamental security layer against cross-tenant data access attempts.

### 6.3 OWASP Top 10 Defenses

- **A03: Injection:** Use **Parameterized Queries/ORMs** (e.g., Hibernate, GORM) instead of string concatenation for database access to eliminate SQL Injection risk.
- **A04: Insecure Design/Broken Access Control:** Enforce multi-layer access checks, especially using the `tenant_id` filter on all read/write operations.
- **A05: Security Misconfiguration:** Use infrastructure-as-code (IaC) and secret rotation services to manage configuration securely.

### 6.4 Resilience Patterns

- **Circuit Breakers:** Implemented using libraries (e.g., Hystrix, Resilience4j) on every synchronous inter-service call. If the Review Service fails, the Order Service opens the circuit, and the Product Page loads without reviews rather than crashing or freezing (graceful degradation).
- **Retries:** Use exponential backoff with jitter for transient errors (e.g., network timeout) before failing the transaction.

### 6.5 Disaster Recovery (DR) Plan

- **Strategy:** Active-Passive (Warm Standby) Multi-region deployment. The Passive region maintains running instances and up-to-date data replicas.
  - **RPO (Recovery Point Objective):** > 15 minutes (Achieved via asynchronous database replication and frequent hourly backups).
  - **RTO (Recovery Time Objective):** > 1 hour (Achieved via automated K8s failover and DNS switchover scripts, minimizing downtime).
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## 7. API Specification

### 7.1 Protocol Selection

- **REST (Synchronous):** Used for **Admin and Transactional APIs** (/orders, /payments, /inventory). Standard CRUD operations.
- **GraphQL/gRPC (Synchronous):** **GraphQL** is used for the **Storefront API** to allow clients (Web/Mobile) to fetch complex, nested data (Product, Reviews, Related Items) in a single optimized query.
- **Events (Asynchronous):** Used for **Order Orchestration** (OrderCreated, InventoryReserved) via Kafka.

### 7.2 Endpoints (REST Example)

| Path                | Method | Purpose                            | Idempotency         | Error Codes                              |
|---------------------|--------|------------------------------------|---------------------|--|
| /api/v1/orders      | POST   | Create a new order.                | <b>Key Required</b> | 400, 403, 409 (Conflict - if key exists) |
| /api/v1/orders/{id} | GET    | Retrieve specific order details.   | N/A                 | 404, 403                                 |
| /api/v1/payments    | POST   | Process payment for a transaction. | <b>Key Required</b> | 402 (Payment Failed)                     |

### 7.3 Versioning

- **Strategy: URI Versioning** (/api/v1/orders).
  - **Rationale:** Provides clear, non-breaking contracts to external consumers and simplifies parallel deployment for new major feature releases.
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## 8. Observability

Observability is built upon the three pillars to ensure rapid detection and diagnosis of issues in the distributed environment.

| Pillar  | Technology/Tool                                       | Data Collected  | Use Case   |
|---------|---|---|--|
| Logs    | ELK Stack (Elasticsearch, Logstash, Kibana) / Datadog | Structured JSON logs from all services.   | Root cause analysis, detailed debugging of error states.                       |
| Metrics | Prometheus & Grafana                                  | <b>RED</b> (Rate, Errors, Duration) metrics for every service, CPU/Memory utilization, Queue depth. | Health monitoring, capacity planning, SLO tracking.                            |
| Traces  | OpenTelemetry / Jaeger                                | Trace ID and Span context propagation across all service calls.                                     | Identifying latency bottlenecks in distributed Sagas across multiple services. |

### 8.1 SLOs/SLIs and Alerting Runbook

- **SLI (Service Level Indicators):**
    - **Availability:** Success rate of critical API calls (e.g., POST /orders success rate  $\geq 99.9\%$ ).
    - **Latency:** P95/P99 latency of API calls (e.g., P99 of /search must be  $\leq 200$ ms).
  - **SLO (Service Level Objective):** Critical service availability target set at **99.9%**.
  - **Alerting Runbook:** Automated alerts (e.g., PagerDuty) triggered when SLI breaches SLO. Each alert contains a link to a runbook detailing immediate triage steps (e.g., check database replication lag, scale up Cart Service replicas, analyze Kafka consumer lag).
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## 9. Tech Stack Justification

| Tier                     | Selected Option(s)                    | Alternatives Considered     | Trade-offs / Justification   |
|--------------------------|---------------------------------------|-----------------------------|--|
| Backend                  | Go, Java/Spring Boot                  | Node.js, Python             | <b>Go</b> for high-throughput, low-latency services (Checkout, Cart). <b>Java/Spring</b> for complex, long-running business logic (Order Orchestration, Admin) due to its maturity and strong enterprise features. |
| Frontend                 | React                                 | Vue.js, Angular             | Rich ecosystem, strong component model, largest pool of experienced developers for rapid development.  |
| Data/Search              | Postgres, Mongo, Elasticsearch, Redis | MySQL, Couchbase, Cassandra | <b>Polyglot Persistence:</b> Optimal performance/consistency profile achieved by matching the right tool to the domain need.   |
| Messaging (Event Fabric) | Kafka                                 | RabbitMQ, ActiveMQ          | High throughput, durability, persistent event log required for event choreography and <b>replayability</b>   |

|                       |                         |                   |  |
|-----------------------|-------------------------|-------------------|--|
|                       |                         |                   | (Sagas), making it the central nervous system.   |
| <b>Infrastructure</b> | <b>Kubernetes (K8s)</b> | Docker Swarm, ECS | Industry standard for microservices orchestration, provides advanced networking, auto-scaling, and operational resilience features, reducing vendor lock-in. |