

CODE OF CONDUCT AND ETHICS

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EFFECTIVE DATE: January 1, 2024

1. INTRODUCTION

This Code of Conduct defines the standards of behavior expected from all employees. Violation may result in disciplinary action up to and including termination.

2. WORKPLACE BEHAVIOR

Professional Conduct:

- Treat all colleagues with respect and dignity
- No harassment, discrimination, or bullying
- Maintain professional boundaries
- Respect diversity and inclusion

Communication Standards:

- Professional language in all communications
- Constructive feedback and criticism
- Active listening and collaboration
- Timely response to communications (within 24 hours)

Dress Code:

- Business casual Monday-Thursday
- Casual Friday (jeans allowed)
- Client meetings: Business professional
- Safety equipment when required

Attendance:

- Arrive on time for work and meetings
- Notify supervisor of absences/tardiness
- Maintain regular attendance
- Follow leave request procedures

3. HARASSMENT AND DISCRIMINATION

Zero Tolerance Policy:

- No harassment based on protected characteristics
- No sexual harassment or unwanted advances
- No retaliation against complainants
- All complaints investigated promptly

Reporting Procedures:

1. Report to immediate supervisor
2. If unavailable, report to HR
3. Anonymous hotline: 1-800-ETHICS
4. Email: ethics@company.com

4. CONFLICTS OF INTEREST

Disclosure Requirements:

- Outside employment must be approved
- Financial interests in competitors/suppliers
- Family relationships with other employees
- Gifts exceeding \$50 value

Prohibited Activities:

- Using company resources for personal gain
- Competing with company business
- Taking corporate opportunities
- Inside trading

5. CONFIDENTIALITY

Protected Information:

- Customer data and records
- Employee personal information
- Trade secrets and proprietary data
- Strategic plans and financial data

Requirements:

- Sign confidentiality agreement
- Use secure methods for sensitive data
- No sharing passwords or access codes
- Report any data breaches immediately

6. SOCIAL MEDIA POLICY

Guidelines:

- No confidential information sharing
- Personal views must be identified as such
- No defamatory content about company
- Respect colleague privacy

Professional Use:

- LinkedIn encouraged for networking
- Company social media guidelines apply
- Brand ambassador program available

7. SUBSTANCE ABUSE

Drug-Free Workplace:

- No illegal drugs on premises
- No alcohol during work hours
- Prescription drugs must not impair performance
- Random drug testing may apply

Support Resources:

- Employee Assistance Program available
- Confidential counseling services
- Rehabilitation support
- No retaliation for seeking help

8. WORKPLACE SAFETY

Employee Responsibilities:

- Follow all safety procedures
- Report unsafe conditions
- Use required safety equipment
- Participate in safety training

Emergency Procedures:

- Know evacuation routes
- Emergency contacts posted
- First aid kits locations marked
- Report all injuries immediately

9. TECHNOLOGY USE

Acceptable Use:

- Primarily for business purposes
- Limited personal use allowed
- No inappropriate content
- Respect software licenses

Security Requirements:

- Strong passwords required
- Lock screens when away
- No unauthorized software
- Report phishing attempts

10. REPORTING VIOLATIONS

How to Report:

- Immediate supervisor
- HR Department
- Ethics Hotline: 1-800-ETHICS
- Online: ethics.company.com

Whistleblower Protection:

- No retaliation for good faith reports
- Anonymous reporting available
- Investigation process confidential
- Legal protections apply

11. DISCIPLINARY ACTIONS

Progressive Discipline:

1. Verbal warning
2. Written warning
3. Performance improvement plan
4. Suspension
5. Termination

Immediate Termination Offenses:

- Violence or threats
- Theft or fraud
- Gross misconduct
- Serious safety violations

12. ACKNOWLEDGMENT

All employees must:

- Read and understand this Code
- Sign acknowledgment form
- Complete annual training
- Report violations

For questions: Contact HR at hr@company.com or ext. 2050