



**Lao People's Democratic Republic**  
**Peace Independence Democracy Unity Prosperity**  
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**The National Coordination Committee on  
Anti-Money Laundering and Counter-Financing of Terrorism**

No.07/NCC  
Vientiane Capital, date 19 May 2015

**Decision**  
**On Designate AML/CFT Supervisor for Security Exchange**

- Per the Decree on the Implementation and Function of the National Coordination Committee on Anti-Money Laundering and Counter-Financing of Terrorism, No. 364/PM, dated 13 October 2014;
- Per the Request by the Anti-Money Laundering Information Unit, No. 438/AMLIU, dated 05 May 2015.

**The Chairman of National Coordination Committee  
on Anti-Money Laundering and Counter-Financing of Terrorism Issues these  
Issues these Decision:**

**Article 1: Objective**

This decision was issued for designate AML/CFT supervisor for security exchange company to make activities such flexibility and effectiveness aimed to supervision in a uniform and centralized.

**Article 2: Supervision of security exchange company**

Assign to Lao Securities Commission Office having responsibility in issue regulation, conduct of inspection and risk assessment of AML/CFT to security exchange company.

**Article 3: Implementation**

Parties concerned must be aware and strictly implement and ensure no impact when active its work with the following content decision this strictly.

**Article 6: Effectiveness**

This decision are effective from the date of signature

**Chairman of the National Coordination Committee on  
Anti-Money Laundering and Counter-Financing of Terrorism**

*[ Seal and signature ]*

**Dr. Bounpone BOUTTANAVONG**