

Annex 9.1

Lao People's Democratic Republic
Peace Independence Democracy Unity Prosperity

Bank of the Lao PDR

Anti-Money Laundering Intelligence Office

No. 1610 /AMLIO

Vientiane Capital, date 27 November 2019

Minutes of AML/CFT Focal Point Meeting

- Pursuant to Decision on AML/CFT Focal Point No.1112/AMLIO, dated 14 November 2016.

The AML/CFT Focal Point meeting was held at 08:30 am of 27 November 2019 at the 7th floor conference room of Anti-Money Laundering Intelligence Office (AMLIO), the Bank of the Lao PDR, Vientiane Capital, and chaired by Mrs. Phengsy PHENG MUONG, Director General of AMLIO. The participants of this meeting comprise Focal Point members, divisions and officers from AMLIO, in total 15 participants (List of participants is enclosed here within).

This meeting has listened to and consultation with several key issues regarding anti-money laundering and counter financing of terrorism (AML/CFT) work as follows: 1). Progress, Constraints and Action Plan in the Preparation of the Mutual Evaluation of Lao PDR (2020-2021), 2) Preparation of Mock interviews, which following the consultation, the meeting has agreed as follows:

1. Appoint a technical team (who are in the 4th part of the criminal procedure) to practice answering the questions of the Lao PDR in the Mock interviews on 10-13 December 2019;
2. Submit information on the Technical Compliance Report and prepare relevant documents to complete the preliminary report in January 2020;

3. Encourage the LEA authorities to investigate and prosecute the predicate offenses along with money laundering in accordance with the money laundering threat of Lao PDR in order to have money laundering statistics in line with the predicate offenses.

The meeting ended at 11:30 am of the same day.

Therefore, the minutes from the meeting are to be used as a reference for the implementation stage.

Chairman

[Signature]

Phengsy PHENG MUONG

Meeting Recorder

[Signature]

Athone MUENTAN

Annex 9.2

Lao People's Democratic Republic
Peace Independence Democracy Unity Prosperity

Bank of the Lao PDR

Anti-Money Laundering Intelligence Office

No. 223 /AMLIO

Vientiane Capital, date 14 February 2020

Minutes of AML/CFT Focal Point Meeting

- Pursuant to Decision on AML/CFT Focal Point No.1112/AMLIO, dated 14 November 2016.

The AML/CFT Focal Point meeting was held at 08:30 am of 14 February 2020 at the 7th floor conference room of Anti-Money Laundering Intelligence Office (AMLIO), the Bank of the Lao PDR, Vientiane Capital, and chaired by Mr. Chindamay VILAYHONGS, Deputy Director General of AMLIO. The participants of this meeting comprise Focal Point members, divisions and officers from AMLIO, in total 14 participants (List of participants is enclosed here within).

This meeting has listened to and consultation with several key issues regarding Progress, Constraints and Action Plan in the Preparation of the Mutual Evaluation of Lao PDR (2020-2021) which has progressively on the completion of the preliminary draft of the Technical Compliance Report and the publication on the Directive on Money Laundering and Terrorist Financing Prosecution No.01/NCC, dated 08 January. Which following listening to report and consultation, the meeting has agreed as follows:

1. Continue to provide additional information and related documents to the Technical Compliance Report to be submitted to the assessment team in March 2020;
2. Continue to encourage the LEA authorities to investigate and prosecute the predicate offenses along with money laundering in accordance with the Directive on Money

Laundrying and Terrorist Financing Prosecution No.01/NCC, dated 08 January to have money laundering statistics in line with the predicate offense;

3. Disseminate and publish such Directive 01/NCC to the investigating authorities at both central and local levels as reference for the implementation stage.

The meeting ended at 11:30 am of the same day.

Therefore, the minutes from the meeting are to be used as a reference for the implementation stage.

Chairman

[Signature]

Chindamay VILAYHONGS

Meeting Recorder

[Signature]

Vansaly INSYLAPHAN

Annex 9.3

Lao People's Democratic Republic
Peace Independence Democracy Unity Prosperity

Bank of the Lao PDR

Anti-Money Laundering Intelligence Office

No. 626 /AMLIO

Vientiane Capital, date 16 June 2020

Minutes of AML/CFT Focal Point Meeting

- Pursuant to Decision on AML/CFT Focal Point No.1112/AMLIO, dated 14 November 2016.

The AML/CFT Focal Point meeting was held at 13:30 am of 16 June 2020 at the 7th floor conference room of Anti-Money Laundering Intelligence Office (AMLIO), the Bank of the Lao PDR, Vientiane Capital, and chaired by Mrs. Phengsy PHENG MUONG, Director General of AMLIO. The participants of this meeting comprise Focal Point members, divisions and officers from AMLIO, in total 16 participants (List of participants is enclosed here within).

This meeting has listened to and consultation with several key issues regarding Progress, Constraints and Action Plan in the Preparation of the Mutual Evaluation of Lao PDR (2020-2021) which has done with Technical Compliance Report and was submitted to Assessor team in the end of March 2020 and in the process with drafting Effective Report. Which following listening to report and consultation, the meeting has agreed as follows:

4. Provide information on the implementation of the legal mechanism of the authorities investigating, such as access for using of financial information, cooperation, investigation to fill in the Effective Report;
5. Also, provide case studies and statistics for the past 5 years by August 2020 for the AMLIO to translate into English and submit to the Assessor team in October 2020;

6. Continue to encourage the authorities to investigate and prosecute the predicate offenses along with money laundering in order to have the money laundering statistics in line with the predicate offenses as planned.

The meeting ended at 16:30 am of the same day.

Therefore, the minutes from the meeting are to be used as a reference for the implementation stage.

Chairman

[Signature]

Phengsy PHENGMUONG

Meeting Recorder

[Signature]

Vansaly INSYLAPHAN