

Annex 8.1

**Lao People's Democratic Republic**  
**Peace Independence Democracy Unity Prosperity**

Bank of the Lao PDR  
Anti-Money Laundering Intelligence Office

No. 146 /AMLIO  
Vientiane Capital, Date 31 January 2020

**Meeting Minutes**  
**On Anti-Money Laundering**  
**(AML working Group)**

- Pursuant to the Agreement on Designate Anti-Money Laundering (AML Working Group) No. 19 /NCC, dated 17 October 2017 (Revised);
- Pursuant to the Agreement of the designated committee for drafting legislation for the implementation of Articles 15 and 16 of the Law on Anti-Money Laundering and Counter-Financing of Terrorism (No. 50/NA, dated 21 July 2014) No. 02/ BOL, dated 05 May 2018.

The 1st Ordinary Meeting of Anti-Money Laundering (AML-Working Group “AML-WG”) was held at 8:30 am on 31 January 2020 on the 7th floor of Lao Security Exchange Building was chaired by Mrs. Phengsy Phengmuoang the Director-General of AMLIO. The meeting was attended by numbers of AML-WG committees, divisions, and technical staff, including 45 members.

This meeting was reported and discussed on 1) the preparation of the 2nd Mutual Evaluation on AML/CFT (2020-2021) of Lao PDR, And 2) the Action plan related to the challenges and the other legislation of Lao PDR as follows:

**I. Preparation of the 2nd Mutual Evaluation on AML/CFT (2020-2021) of Lao PDR**

Establish the directions to implement the preparation of the 2nd Mutual Evaluation on AML/CFT (2020-2021) of Lao PDR in accordance with the action plan and schedule of ME. In the AML-WG meeting agreed with the framework propose to NCC Meeting for consideration which was expected to be held at the end of February 2020 as follows:

1. Encourage the relative section to provide the legislation, answer 247 sub-question, 40 recommendations, and outstanding issues to submit to the evaluation team in March 2020;
2. Ensure to submit the reports on TC on March 2020, IO on June 2020 and timely answers all of the questions (English version). For those reports and additional annex all in the electronic file;
3. Amend the recommend 31 (31,2) to be the legal basis for law enforcement officers or investigation authorities to access the information in computer systems and monitoring of suspects' activities;
4. Ensure the implementation of section 3 (supervision of RE) has the risk of money laundering and financing of terrorism by planning to work with the relevant ministries and supervisor section;
5. Legal supporting: 1). Drafting the Law on International cooperation for Criminal Matters; 2). Drafting the Order on Withholding, Freezing, or Seizure of Funds Relating to Proliferation Financing; 3). Drafting the decree on trade management of dual-use goods; 4) Drafting the decree on the operation of the casino and gaming club business; And 5). Drafting the agreement on payment services.

## **II. Preparation to implement the Action plan related to the challenges and the other legislation.**

1. To monitor and encourage to draft the relative legislation of the related section to complete on time, as well as to ensure that the content is in line with international standards and the conditions of the Lao PDR;
2. Continue to prepare to work with the relevant ministries to further expand and implement that legislation;
3. Continue to encourage the related section to provide the information to IO (11 outcomes and 55 sub-items) to be completed as expected;
4. Continue to disseminate the decree to the relevant reporting entities to awarded and comply with their obligations.

The meeting ended at 11:30 a.m. of that day.

Therefore, recorded this meeting minutes to be the basis for implementation.

**Chair,**

[Signature]

Phengsy PHENGMUONG

**Recorder**

[Signature]

Athone MUENTAN

Annex 8.2

**Lao People's Democratic Republic**  
**Peace Independence Democracy Unity Prosperity**

Bank of the Lao PDR

Anti-Money Laundering Intelligence Office

No. 462 /AMLIO

Vientiane Capital, Date 29 April 2020

**Meeting Minutes**  
**On Anti-Money Laundering**  
**(AML working Group)**

- Pursuant to the Agreement on Designate Anti-Money Laundering (AML Working Group) No. 19 /NCC, dated 17 October 2017 (Revised);
- Pursuant to the Agreement of the designated committee for drafting legislation for the implementation of Articles 15 and 16 of the Law on Anti-Money Laundering and Counter-Financing of Terrorism (No. 50/NA, dated 21 July 2014) No. 02/ BOL, dated 05 May 2018.

The 2nd Ordinary Meeting of the Working Group on Anti-Money Laundering (AML-Working Group “AML-WG”) was held at 8:30 am on 29 April 2020 on the 7th floor of Lao Security Exchange Building was chaired by Mrs. Phengsy Phengmuoang the Director-General of AMLIO (chair of AML working Group). The meeting was attended by numbers of AML-WG committees, divisions, and technical staff, including 40 members.

This meeting was reported and discussed on 1) the preparation of the 2nd Mutual Evaluation on AML/CFT (2020-2021) of Lao PDR, And 2) the Action plan related to the challenges and the other legislation of Lao PDR as follows:

**I. The progress of preparation on the 2nd Mutual Evaluation on AML/CFT (2020-2021) of Lao PDR**

There is some work for the preparation of the 2nd Mutual Evaluation on AML/CFT (2020-2021) of Lao PDR that has been completed. However, still have some challenges during the implementation that have to timely address. Which those issues were agreed by the AML-WG meeting as follows:

- 1) The Committee of the evaluation on AML/CFT of Lao PDR held on 25 February 2020 was approved the priorities plan of 04 sections and the sub-committee worked with the relative sector to research the legislation, the mechanism of cooperation, implementation related to the other ministries in accordance with the international standards;
- 2) Completed to provide 388 pages of information to the legal reports (40 recommendations and 247 sub-items) and submitted electronic reports to the evaluation team (including

New Zealand, Thailand, Malaysia, Sri Lanka, Indonesia, China, and Asia/Pacific Group on Money Laundering “APG”) on 27 March 2020;

- 3) For the draft on the Law on International cooperation for Criminal Matters (MLA) in 2012, the Ministry of Public Security is responsible for researching and preparing the report to the Deputy Minister (Head of Supervision), then preparing for the opening and revision of the meeting;
- 4) completed to the first draft of the Order on Withholding, Freezing or Seizure of Funds Relating to Proliferation Financing;
- 5) Conducting to research and compile information IO report (11 IO and 55 sub-items) in accordance with international standards;
- 6) Monitor the supervisor to provide the information into the IO and planning to draft the related regulation on AML/CFT.

## **II. The Action plan related to the challenges and the other legislation.**

- 1) Continue to monitor and encourage legal drafting: 1). Drafting the Law on International cooperation for Criminal Matters; 2). Drafting the Order on Withholding, Freezing, or Seizure of Funds Relating to Proliferation Financing; 3). Drafting the decree on trade management of dual-use goods; 4) Drafting the decree on the business operation of casinos and game clubs; And 5). Drafting the agreement on payment services;
- 2) Continue to monitor and update the legislation of the relevant section to complete on time;
- 3) Continue to prepare to work with the relevant Ministry to implement that legislation;
- 4) Continue to encourage the relevant sector to research, certify provide the information into the report (11 IO and 55 sub-items) to be completed as expected;
- 5) Continue to disseminate such legislation to the reporting entities in order to be aware and fulfill their obligations.

The meeting ended at 11:30 a.m. of that day.

Therefore, recorded this meeting minutes to be the basis for implementation.

**Chair,**

**Recorder**

[Signature]

[Signature]

Phengsy PHENGMUONG

Naphoukham KHAMPHOUSENG

Annex 8.3

**Lao People's Democratic Republic**  
**Peace Independence Democracy Unity Prosperity**

Bank of the Lao PDR

Anti-Money Laundering Intelligence Office

No. 793 /AMLIO

Vientiane Capital, Date 15 July 2020

**Meeting Minutes**  
**On Anti-Money Laundering**  
**(AML working Group)**

- Pursuant to the Agreement on Designate Anti-Money Laundering (AML Working Group) No. 19 /NCC, dated 17 October 2017 (Revised);
- Pursuant to the Agreement of the designated committee for drafting legislation for the implementation of Articles 15 and 16 of the Law on Anti-Money Laundering and Counter-Financing of Terrorism (No. 50/NA, dated 21 July 2014) No. 02/ BOL, dated 05 May 2018.

The 3rd designated committee for drafting the legislation relating to article 15 and 16 of the Law on AML/CFT Meeting such Working Group on Anti-Money Laundering (AML-Working Group “AML-WG”) was held at 8:30 am on 15 July 2020 at the 7th floor of Lao Security Exchange Building was chaired by Mrs. Phengsy Phengmuoang the Director-General of AMLIO (chairman of AML working Group). The meeting was attended by numbers of AML-WG committees, divisions, and technical staff, including 47 members.

**I. Meeting content:**

This meeting was reported, discussed, and disseminated on 1) the progressing, deficiencies and the action plan for the preparation of the Mutual Evaluation on AML/CFT (2020-2021) of Lao PDR, which completed the legal evaluation report and in the processing on the Immediate Outcomes report (IO); And 2) the dissemination on Entrust No. 127/NA, Dated 20 Feb 2020, the meeting agreed as follows:

**II. The Meeting Outcome**

**1. For Preparation of the 2nd AML / CFT Evaluation (2020-2021) of Lao PDR**

Preparation of the 2nd AML / CFT Evaluation (2020-2021) of Lao PDR enables us to reach some of the work plans. However, there are some deficiencies that have to timely address and propose to the NCC for consideration such as:

- 1) Encourage the 4 relative sections to provide both case studies and statistics for the past 5 years related to 03 section: Section 2, Section 3, and Section 4 by August 2020 to

AMLIO in order to translate into English and submit to the evaluation team by October 2020;

- 2) Legal supporting: 1). Drafting the Law on International cooperation for Criminal Matters; 2). Drafting the Order on Withholding, Freezing or Seizure of Funds Relating to Proliferation Financing; 3). Approving the 5 years strategy plan on AML/CFT by 2020;
- 3) Propose to designate the staff to practice for answering the question both legal and effective to the evaluation team;
- 4) Propose to continuously implement the evaluation plan with APG and evaluation team by starting with Pre-ME video conference in the middle of August 2020 and prepare to clarify the recommendations, questions on legal reports on 20 July 2020, 16 October 2020, and 21 December 2020;
- 5) Propose to work with consultants from ADB from time to time.

## **2. Decree on Entrust No. 127/NA, Dated 20 Feb 2020**

- 1) Continue to work with the relevant ministries to further expand and implement the decree;
- 2) Continue to provide information on to the AML/CFT Evaluation Committee of Lao PDR in 2020-2021;
- 3) Continue to disseminate the decree to the relevant reporting entities in order to be awarded and comply with their obligations;
- 4) For specific legislation related to the decree, such as AML/CFT (Lao-English) download at [www.aml.io.gov.la](http://www.aml.io.gov.la).

The meeting ended at 11:30 a.m. of that day.

Therefore, recorded this meeting minutes to be the basis for implementation.

**Chair,**

**Recorder**

[Signature]

[Signature]

Phengsy PHENG MUONG

Naphoukham KHAMPHOUSENG