

Annex 4:

Action plan on NRA

Based on the NRA's Outcome Lao PDR comes up with its action plan in order to mitigate the risk by focusing on the issues that need to be solved, responsibilities sector and timeframe of implementation during 2019-2021, to which AML/CFT risk implementation will be reviewed in periodically

Source of risk	The main factor of action	Main responsible agency	Second responsible agency	Details of the plan of action	Implementation / Note	Initial budget	Duration Schedule					
							2018	2019	2020	2021	2022	2023
AML / CFT policy and strategy	Create and endorse action plans on AML / CFT work	NCC and AMLIO	Unit AML-WG and other sectors concerned			AMLIO						
	Encourage the implementation of the Action Plan to progress from time to time.	NCC and AMLIO	Unit AML-WG and other sectors concerned			AMLIO						
Legislation	Develop AML / CFT implementation manual for reporting units.	AMLIO	Managers of reporting units	In order to understand the process of implementing the full set of legislation issued.		AMLIO Coordinate international assistance						

	Draft Law on Anti-Money Laundering and Terrorism Fund Activities (Entrust)	AMLIO	Unit AML-WG	Establishing AML / CFT cooperation mechanism with all stakeholders as defined in Entrust by defining ministries' roles under ...		AMLIO Coordinate international assistance						
	Draft Law on Mutual Legal Assistance (MLA)	AMLIO	Unit AML-WG	Establish the inter-agency legal assistance mechanism of the Investigation Agency and judiciary available to unify		AMLIO Coordinate international assistance						
	Draft Law on Counter Proliferation Financing	AMLIO	Unit AML-WG	Establish mechanisms for confiscation, seizure and sequestration of funds related to proliferation and penalties against persons and entities concerned.		AMLIO Coordinate international assistance						
	Draft Manual on Money Laundering Criminal Procedure	AMLIO	Unit AML-WG	To determine the procedure and be fundamental for the investigating officer, the prosecutor, the People's Court and relevant parties to prosecute money laundering and terrorist financing		AMLIO Coordinate international assistance						

	Review approved AML / CFT legislation	AMLIO	Unit AML-WG and reporting Units	To comply with the actual implementation of the officers, managers and reporting units		AMLIO						
Proceedings	Organize practical training, in particular investigations on money laundering and terrorist financing, for competent authorities at central and local level, as well as along the border between countries.	AMLIO	Investigation and judicial agencies	Disseminate the importance, impact of money laundering and financing of terrorism, and the mechanisms for prosecution in case there is a future prosecution.		AMLIO coordinates with international organization						
	Collaborate with the Investigative Agency, the Public Prosecutor, the People's Court and relevant parties about adding accusation during investigation, prosecution and conviction of money laundering and terrorist financing along with the initial offense.	AMLIO	Investigation and judicial agencies	Hold meetings together periodically		AMLIO						
	Enhance the authorities	AMLIO	Investigation	Enough, in line with		AMLIO						

	and relevant agencies tasked with prosecuting money laundering and terrorist financing, especially at the local level.		and judicial agencies	the work and prosecution of money laundering and terrorist financing, is in line with the initial offense.								
	Build modern technology to assist in data analysis and exchange.	AMLIO	Investigation and judicial agencies, managers of reporting units	To provide, access to information, use information more comfortably and in a timely manner.		AMLIO						
	Improve the identity verification infrastructure so that the reporting units have access to the source.	Ministry of Public Security	AMLIO	To serve as a reference point in verifying the identity of the reporting entities.		Ministry of Public Security						
Effective monitoring, Know Your Customer (KYC) and access to reporting units' sources	Provide training to AML/CFT reporting units throughout the sectors.	AMLIO	Managers of reporting units	In order for them to awaken, organize their rights and obligations.		AMLIO						

	Develop M&E plan and report on the implementation of its reporting units in implementing their obligations under the AML / CFT work.	AMLIO	Managers of reporting units	Evaluate the implementation of AML / CFT, Actual units of reporting units.		AMLIO						
	Establish a mechanism for law enforcement agencies to access the reporting unit's basic information.	AMLIO	Unit AML-WG, Managers of reporting units	To provide efficient and fast access to information and ensure the quality of AML / CFT work.		AMLIO						
Domestic and international coordination	Expand the framework of domestic and international cooperation, especially aimed at the bilateral exchange of information.	AMLIO	Unit AML-WG	MOU signing with internal stakeholders and foreign partners.		AMLIO						
	Prepare to enter the Egmont Group of Financial Intelligence.	AMLIO	Unit AML-WG	To share information (crime) related to AML / CFT work.		AMLIO coordinates with international sponsors						