# CQRS / ES

Duncan K. DeVore

Typesafe

@ironfish



### Outline

- 1. Introduction
- 2. The "C" in CQRS
- 3. Event Sourcing
- 4. The "Q" in CQRS
- 5. Consistency
- 6. Conclusion

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# It's a different world out there

# Yesterday

# **Today**

Single machines	Clusters of machines	
Single core processors	Multicore processors	
Expensive RAM	Cheap RAM	
Expensive disk	Cheap disk	
Slow networks	Fast networks	
Few concurrent users	Lots of concurrent users	
Small data sets	Large data sets	
Latency in seconds	Latency in milliseconds	

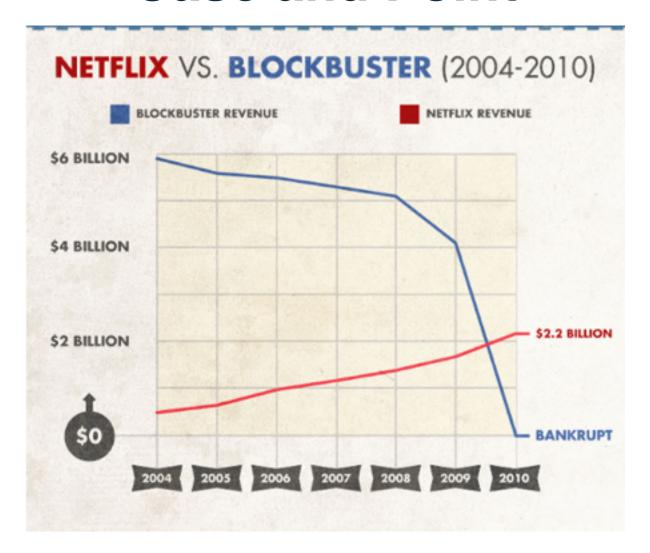


A study by MIT Sloan Management Review and Capgemini Consulting finds that companies now face a digital imperative: adopt new technologies effectively or face competitive obsolescence.

- October 2013



#### **Case and Point**







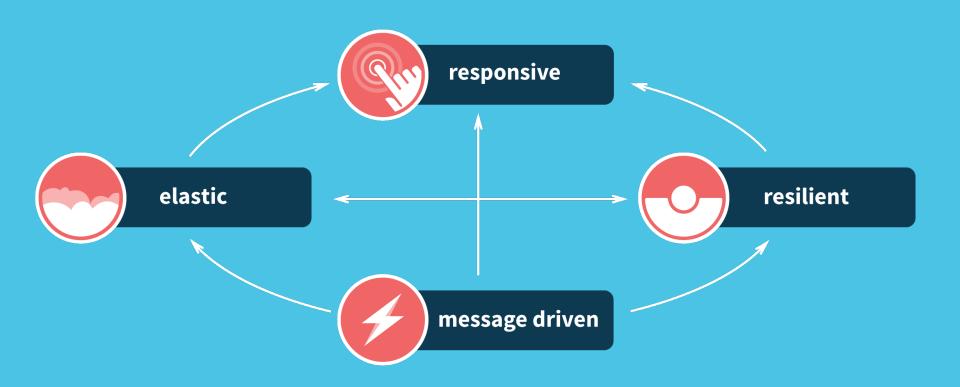
"In today's world, the demand for distributed systems has exploded.

As customer expectations such as an immediate response, no
failure, and access anywhere increase, companies have come to
realize that distributed computing is the only viable solution."

- Reactive Application Development (Manning)



# **Reactive Systems**





- "Modern applications must embrace these changes by incorporating this behavior into their DNA".
  - Reactive Application Development (Manning)



# what is cars?

## **Origins from CQS**

#### **Command Query Separation**

- Object Oriented Software Construction by Bertrand Meyer
- Methods should be either commands or queries
- A query returns data, does not alter the state
- A command changes the state, does not return data.
- Becomes clear what does and does not change state

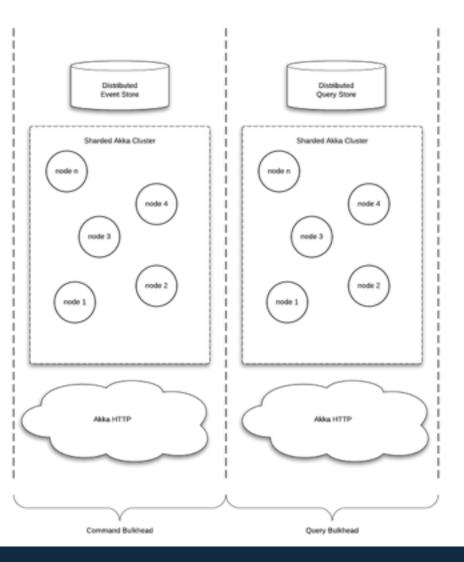


"CQRS is simply the creation of **two objects** where there was previously only one. The separation occurs based upon whether the methods are a command or a query (the same definition that is used by Meyer in Command and Query Separation: a command is any method **(object)** that mutates state and a query is any method **(object)** that returns a value)"

- Greg Young



#### **Two Distinct Paths**



- One for writes (commands)
- One for reads (queries)
- Allows separate optimization
- Simpler reasoning about paths

## Reason for Segregation

- Large imbalance between the number of reads and writes
- Single model encapsulating reads/writes does neither well
- Command side often involves complex business logic
- Read side de-normalized (redundant) for fast queries
- More atomic and easier to reason about
- Read side easily re-creatable



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# what are commands?



#### command I ke'mand I

• [reporting verb] give an authoritative order: [with obj. and infinitive]



#### Commands

Commands are about **behavior** rather than data centricity. This leads to a more true implementation of DDD.

Commands are a **request** of the system to perform a **task** or **action**. They follow a **VerbNoun** format, for example:

```
case class RegisterClient(id: String, . . .)
case class ChangeClientLocale(id: String, expVer: Long, . . .)
```



#### **Commands**

- Commands are imperative
- They are requests to mutate state
- An action one would like to take
- Transfer as messages not DTO's
- Implies task-based UX

#### **Commands**

- Conceptually, performing task
- Not data edits, rather behavior
- Can be rejected
- They do not expose internal state
- Greatly simplified repository layer
- Single command can = multiple events

#### **Command Handler**

In CQRS command handlers are objects that process commands

- Client sends command in form of a message
- Processed by a command handler
- Commands can be rejected
- If valid, become one or more events

#### **Command Handlers**

```
class Client extends PersistentActor {
 val receiveCommand: Receive = { //<- process commands</pre>
    case cmd: RegisterClient => validateRegistration(cmd) fold (
      f => sender ! f,
      s => persist(Event) { e =>
        state = state.update(e)
        // side effects go here
```



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# What is event sourcing?



"The majority of business applications today rely on storing current state in order to process transactions. As a result in order to track history or implement audit capabilities additional coding or frameworks are required." - Greg Young



# **Event Sourcing**

#### This was **not always** the case

- Side-effect of the adoption of RDBMS systems
- High performance, mission critical systems do not do this
- RDBMS's do not do this internally!
- SCADA (System Control and Data Acquisition) Systems



"Event sourcing provides a means by which we can capture the real intent of our users"

- Reactive Application Development (Manning)



# **Event Sourcing**

#### Historical **behavior** is captured

- Behavioral by nature
- Convert valid commands into one or more events
- Current state is not persisted
- Current state is derived
- Append only store



"This pattern can simplify tasks in complex domains by avoiding the requirement to synchronize the data model and the business domain"

- Reactive Application Development (Manning)



# what is an event?



# event l i`vent l noun

• a thing that happens, especially one of importance



#### **Events**

Events are **Indicative** in nature. They serve as a sign or **indication** that something has **happened**.

As such, they are **immutable** and cannot be **rejected**. They follow a **NounVerb** format, for example:

```
case class ClientRegistered(id: String, ver: Long, . . .)
case class ClientLocaleChanged(id: String, ver: Long, . . .)
```



#### **Events**

- Atomic by nature
- Record of state change
- Immutable
- Cannot be rejected

## **Canonical Example**

One of the best ways to understand event sourcing is to look at the **canonical** example, a bank account register.

In a **mature** business model, the notion of tracking behavior is quite **common**. Consider, for example, a bank accounting system.

- A customer can make deposits
- Write checks
- Make ATM withdrawals
- Transfer monies to other accounts
- Etc.



# **Canonical Example**

Date	Comment	Change	Balance
7/1/2014	Deposit from 3300	+ 10,000.00	10,000.00
7/3/2014	Check 001	- 4,000.00	6,000.00
7/4/2014	ATM Withdrawal	- 3.00	5,997.00
7/11/2014	Check 002	- 5.00	5,992.00
7/12/2014	Deposit from 3301	+ 2,000.00	7,992.00

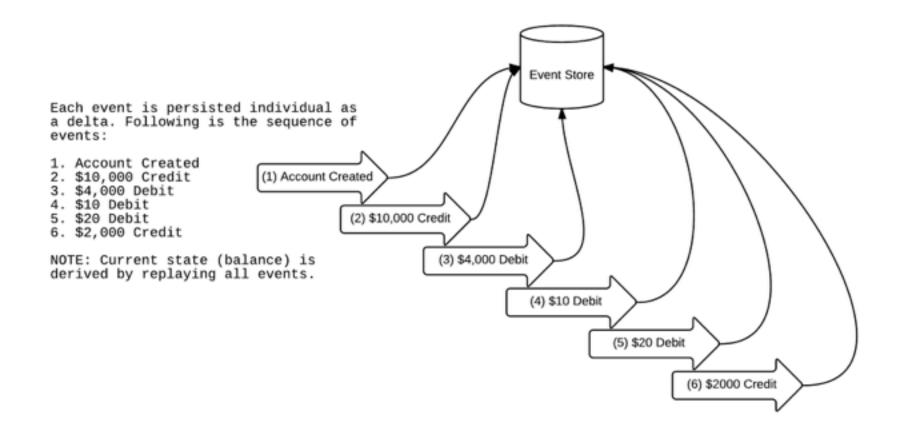


# **Canonical Example**

- We persist each transaction as an independent event
- To calculate the balance, the delta of the current transaction is applied to the last known value
- We have a verifiable audit log that can be reconciled to ensure validity
- The current balance at any point can be derived by replaying all the transactions up to that point
- We have captured the real intent of how the account holder manages their finances



## **Canonical Example**





#### **PersistentActor**

- Persistent, stateful actor that can persist events to a journal
- Reacts to them in a thread-safe manner
- Can be used to implement both command and event sourcing
- When restarted, journaled messages are replayed
- The actor recovers the internal state from these messages



#### **Journal**

- Stores the sequence of messages sent to a persistent actor
- Application controls which messages are journaled
- Application controls which messages are not journaled
- The storage backend of a journal is pluggable
- The default journal storage plugin writes to the local filesystem
- Replicated journals are available as Community Plugins



## **Snapshots**

- A snapshot stores a "moment-in-time"
- It is internal state of the actor
- Used for optimizing recovery times
- The storage backend of a snapshot store is pluggable.
- The default snapshot plugin writes to the local filesystem.
- Replicated snapshots are available as Community Plugins



# **Event Handler (Internal State)**

```
object Client {
  private def empty: Client = Client()
  private case class State(c: Client) {
    def update(e: Event): State = e match {
class Client extends PersistentActor {
 var state = State(empty) //<- mutable state OK!</pre>
```



# **Event Handler (Persist)**

```
class Client extends PersistentActor {
 val receiveCommand: Receive = {
   case cmd: RegisterClient =>
      validateRegistration(cmd) fold (
        f => sender ! f,
        s => persist(s) { e => // <- partial function persist</pre>
          state = state.update(e)
          // side effects go here
```



# **Event Handler (Recover)**

```
class Client extends PersistentActor {
 val receiveRecover: Receive = {
   case e: Event => e match {
      case evt: ClientRegistered =>
        state = state.update(evt)
       // there should be no side effects here
    case SnapshotOffer(_, snapshot: Client) => state = snapshot
```

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# What are queries?



#### query | kwi(ə)rē | noun

 a question, especially one addressed to an official or organization





CRUD & ORM's = ???



CRUD & ORM's =



#### CRUD & ORM's = PAIN

- DTO's projected off domain
- Aggregate getters expose internal state
- DTO's different model than domain
- Usually require extensive mapping
- Large # of read method on repositories
- Optimization of queries becomes difficult
- Query objects not equal to data model
- Object model translated to data model
- Impedance mismatch



## **Thin Read Layer**

- CQRS applies a natural boundary
- DTO's no longer project of the domain
- Reads from the projection friendly data store
- Read side projects DTO's
- No need for complex ORM's
- Data stored/fetched in projection structure
- No more impedance mismatch
- Easier to optimize; much more responsive
- No more looping to construct view



# **Query Handler (Side Effects)**

```
class ClientHistoryView extends Actor with ActorLogging {
 val receive: Receive = {
   case cmd: ClientRegistered =>
      mergeHistory(cmd) fold ( // do other stuff
       f => sender ! f,
       s => persist(s)
```



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# what is consistency?



# consistency | kən'sistənsē | noun

 conformity in the application of something, typically necessary for the sake of logic; accuracy or fairness





"Consistency is often taken for granted when designing traditional monolithic systems as you have tightly coupled services connected to a centralized database" - Reactive Application Development (Manning)



# **Strong Consistency**

Monolithic systems default to <u>Strong Consistency</u> as there is only one path to the data store for a given service and that path is synchronous in nature.

- All accesses are available to all processes
- All accesses are seen in the same sequential order

In distributed computing, however, this is **not the case**. By design, distributed systems are asynchronous and loosely coupled and rely on patterns such as atomic shared memory systems and distributed data stores achieve <u>Availability</u> and <u>Partition Tolerance</u>

Therefore, strongly consistent systems are not distributable as a whole contiguous system as identified by the CAP theorem.

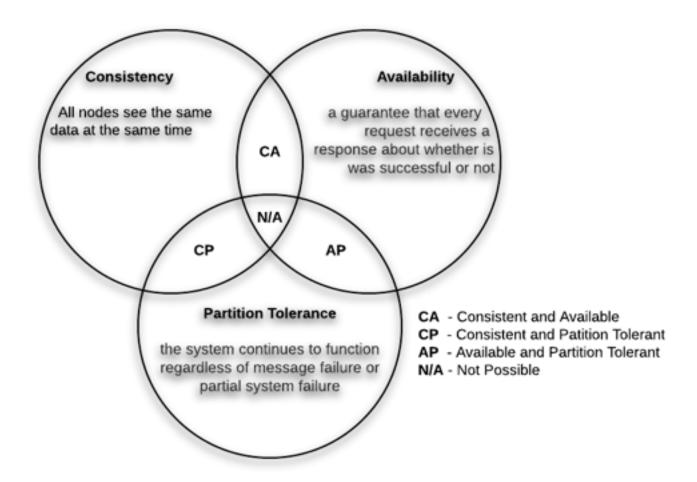
#### **CAP Theorem**

In <u>Theoretical Computer Science</u>, CAP Theorem, also known as Brewer's Theorem, states that its impossible in <u>Distributed</u>

<u>Systems</u> to **simultaneously** provide **all three** of the following **guarantees**:

- Consistency all nodes see the same data at the same time
- Availability a guarantee that every request receives a response about whether successful or not
- <u>Partition Tolerance</u> the system continues to function regardless of message failure or partial system failure

#### **CAP Theorem**





"In distributed computing, a system supports a given consistency model if operations follow specific rules as identified by the model. The model specifies a contractual agreement between the programmer and the system, wherein the system guarantees that if the rules are followed, memory will be consistent and the results will be predictable."

- Wikipedia



# **Eventual Consistency**

Eventual consistency is a consistency model used in distributed computing that **informally** guarantees that, if no new updates are made to a given data item, **eventually** all accesses to that item will return the last updated value.

- Pillar of distributed systems
- Often under the moniker of optimistic replication
- Matured in the early days of mobile computing



## **Eventual Consistency**

A system that has **achieved** eventual consistency is often said to have converged, or achieved replica convergence.

- While stronger models, like linearizability (Strong Consistency) are trivially eventually consistent, the converse does not hold.



# **Causal Consistency**

Causal consistency is a **stronger** consistency model that **ensures** that the operations processes in the order expected.

More precisely, partial order over operations is **enforced** through **metadata**.

 If operation A occurs before operation B, then any data center that sees operation B must see operation A first.

There are three rules that define potential causality.



# Causal Consistency (3 Rules)

- Thread of Execution: If A and B are two operations in a single thread of execution, then A -> B if operation A happens before B.
- Reads-From: If A is a write operation and B is a read operation that returns the value written by A, then A -> B.
- Transitivity: For operations A, B, and C, if A -> B and B -> C, then A -> C. Thus the casual relationship between operations is the transitive closure of the first two rules.



# What is conflict resolution?



# resolution I rezə'lōōSHən I noun

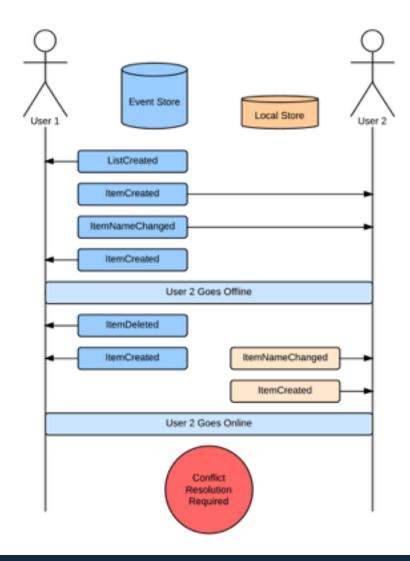
· a firm decision to do or not to do something



"In order to ensure the convergence of replicated data, a reconciliation between the distributed copies is required. This process, often known as [anti-entropy], requires versioning semantics to as part of the data" - Wikipedia



#### **Conflict Resolution**





#### **Conflict Resolution**

The recommended way to solve is the problem for the command side of CQRS, is by **embedding** into the data structure a simple **metadata** attribute, **version number**.

- Known as Current State Versioning
- The system compares the current state version to the version on the incoming command
- If they are not equal, the command is rejected
- First writer wins.

#### **Conflict Resolution**

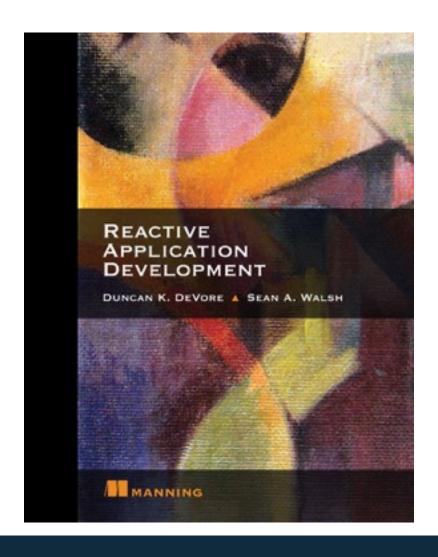
```
object Client {
  def requireVersion[C <: EventableCommand]</pre>
      (c: Client, cmd: C): Either[ErrorMsg, C] =
    if(cmd.expVer == c.ver) Right(cmd)
    else Left(ErrorMsg(List("Expected version mismatch")))
```



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## **Reactive Application Development**





# Questions?

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Typesafe

@ironfish

