

Heart failure

Heart failure (HF), often referred to as **chronic heart failure (CHF)**, occurs when the heart is unable to pump sufficiently to maintain **blood flow** to meet the body's needs.^{[1][2][3]} The terms **congestive heart failure (CHF)** or **congestive cardiac failure (CCF)** are often used interchangeably with chronic heart failure.^[4] Signs and symptoms commonly include **shortness of breath**, **excessive tiredness**, and **leg swelling**.^[5] The shortness of breath is usually worse with **exercise**, while **lying down**, and may wake the person at night.^[5] A limited ability to exercise is also a common feature.^[6]

Common causes of heart failure include **coronary artery disease** including a previous **myocardial infarction** (heart attack), **high blood pressure**, **atrial fibrillation**, **valvular heart disease**, **excess alcohol use**, **infection**, and **cardiomyopathy** of an unknown cause.^{[5][7]} These cause heart failure by changing either the structure or the functioning of the heart.^[5] There are two main types of heart failure: *heart failure due to left ventricular dysfunction* and *heart failure with normal ejection fraction* depending on if the ability of the **left ventricle** to contract is affected, or the heart's ability to relax.^[5] The severity of disease is usually graded by the degree of problems with exercise.^[8] Heart failure is not the same as myocardial infarction (in which part of the heart muscle dies) or **cardiac arrest** (in which blood flow stops altogether).^{[9][10]} Other diseases that may have symptoms similar to heart failure include **obesity**, **kidney failure**, liver problems, **anemia** and **thyroid disease**.^[8]

The condition is diagnosed based on the history of the symptoms and a physical examination with confirmation by **echocardiography**.^[11] Blood tests, **electrocardiography**, and **chest radiography** may be useful to determine the underlying cause.^[11] Treatment depends on the severity and cause of the disease.^[11] In people with chronic stable mild heart failure, treatment commonly consists of lifestyle modifications such as **stopping smoking**,^[12] **physical exercise**,^[13] and dietary changes, as well as medications.^[12] In those with heart failure due to left ventricular dysfunction, **angiotensin converting enzyme inhibitors** or **angiotensin receptor blockers** along with **beta blockers** are recommended.^[11] For those with severe disease, **aldosterone antagonists**, or **hydralazine** plus a **nitrate** may be used.^[11] **Diuretics** are useful for preventing fluid retention.^[12] Sometimes, depending on the cause, an implanted device such as a **pacemaker** or an **implantable cardiac defibrillator** may be recommended.^[11] In some moderate or severe cases **cardiac resynchronization therapy (CRT)** may be suggested^[14] or **cardiac contractility modulation** may be of benefit.^[15] A **ventricular assist device** or occasionally a **heart transplant** may be recommended in those with severe disease despite all other measures.^[12]

Heart failure is a common, costly, and potentially fatal condition.^[7] In developed countries, around 2% of adults have heart failure and in those over the age of 65, this increases to 6–10%.^{[7][16]} In the year after diagnosis the risk of death is about 35% after which it decreases to below 10% each year.^[5] This is similar to the risks with a number of types of cancer.^[5] In the United Kingdom the disease is the reason for 5% of emergency hospital admissions.^[5] Heart failure has been known since ancient times with the **Ebers papyrus** commenting on it around 1550 BCE.^[6]

1 Terminology

Heart failure is a physiological state in which **cardiac output** is insufficient to meet the needs of the body and lungs. The termed “congestive heart failure” (CHF) is often used as one of the common symptoms is swelling or water retention.^[17]

Heart failure is divided into two different types: heart failure due to reduced ejection fraction (HFREF) also known as heart failure due to left ventricular systolic dysfunction or systolic heart failure and heart failure with preserved ejection fraction (HFPEF) also known as **diastolic heart failure** or heart failure with normal ejection fraction (HFNEF).^{[5][13]} Heart failure with reduced ejection fraction occurs when the ejection fraction is less than 40%.^[18] In diastolic heart failure, the heart muscle contracts well but the ventricle does not fill with blood well in the relaxation phase.^[5] **Ejection fraction** is the proportion of blood in the heart pumped out of the heart during a single contraction.^[19] It is a percentage with normal being between 50 and 75%.^[19]

The term “acute” is used to mean rapid onset, and “chronic” refers to long duration. Chronic heart failure is a long term situation, usually with stable treated symptomatology. **Acute decompensated heart failure** is worsening or decompensated heart failure, referring to episodes in which a person can be characterized as having a change in heart failure signs and symptoms resulting in death or an urgent need for therapy or hospitalization.^[20] Heart failure may also occur in situations of “high output,” (termed "**high output cardiac failure**") where the

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ventricular systolic function is normal but the heart cannot deal with an important augmentation of blood volume.^[21]

2 Signs and symptoms



A man with congestive heart failure and marked jugular venous distension. External jugular vein marked by an arrow.

Heart failure symptoms are traditionally and somewhat arbitrarily divided into “left” and “right” sided, recognizing that the left and right ventricles of the heart supply different portions of the circulation. However, heart failure is not exclusively *backward failure* (in the part of the circulation which drains to the ventricle).

There are several other exceptions to a simple left-right division of heart failure symptoms. Additionally, the most common cause of right-sided heart failure is leftsided heart failure.^[22] The result is that patients commonly present with both sets of signs and symptoms.

2.1 Left-sided failure

Common respiratory signs are **increased rate of breathing** and increased *work* of breathing (non-specific signs of respiratory distress). **Rales** or crackles, heard initially in the lung bases, and when severe, throughout the lung fields suggest the development of **pulmonary edema** (fluid in the **alveoli**). **Cyanosis** which suggests severe hypoxemia, is a late sign of extremely severe pulmonary edema. Additional signs indicating left ventricular failure include 2 *SIGNS AND SYMPTOMS*

a laterally displaced **apex beat** (which occurs if the heart is enlarged) and a **gallop rhythm** (additional heart sounds) may be heard as a marker of increased blood flow, or increased intra-cardiac pressure. **Heart murmurs** may indicate the presence of valvular heart disease, either as a cause (e.g. **aortic stenosis**) or as a result (e.g. **mitral regurgitation**) of the heart failure.

Backward failure of the left ventricle causes congestion of the lungs' blood vessels, and so the symptoms are predominantly respiratory in nature. Backward failure can be subdivided into failure of the left atrium, the left ventricle or both within the left circuit. The patient will have **dyspnea** (shortness of breath) on exertion and in severe cases, dyspnea at rest. Increasing breathlessness on lying flat, called **orthopnea**, occurs. It is often measured in the number of pillows required to lie comfortably, and in **orthopnea**, the patient may resort to sleeping while sitting up. Another symptom of heart failure is **paroxysmal nocturnal dyspnea**: a sudden nighttime attack of severe breathlessness, usually several hours after going to sleep. Easy **fatigability** and exercise intolerance are also common complaints related to respiratory compromise.

"Cardiac asthma" or **wheezing** may occur.

Compromise of left ventricular *forward* function may result in symptoms of poor systemic circulation such as **dizziness**, **confusion** and cool extremities at rest.

2.2 Right-sided failure



Severe peripheral edema

Physical examination may reveal pitting peripheral **edema**, **ascites**, and **liver enlargement**. **Jugular venous pressure** is frequently assessed as a marker of fluid status, which can be accentuated by eliciting **hepatojugular reflux**. If the right ventricular pressure is increased, a **parasternal heave** may be present, signifying the compensatory increase in contraction strength.

3.2 Acute decompensation

Backward failure of the right ventricle leads to congestion of systemic capillaries. This generates excess fluid accumulation in the body. This causes swelling under the skin (termed **peripheral edema** or **anasarca**) and usually affects the dependent parts of the body first (causing foot and ankle swelling in people who are standing up, and **sacral edema** in people who are predominantly lying down). **Nocturia** (frequent nighttime urination) may occur when fluid from the legs is returned to the bloodstream while lying down at night. In progressively severe cases, **ascites** (fluid accumulation in the abdominal cavity causing swelling) and liver enlargement may develop. Significant liver congestion may result in **impaired liver function**, and jaundice and even **coagulopathy** (problems of decreased blood clotting) may occur.

2.3 Biventricular failure

Dullness of the lung fields to finger percussion and reduced breath sounds at the bases of the lung may suggest the development of a **pleural effusion** (fluid collection in between the lung and the chest wall). Though it can occur in isolated left- or right-sided heart failure, it is more common in biventricular failure because pleural veins drain into both the systemic and pulmonary venous systems. When unilateral, effusions are often right sided.

3 Causes

3.1 Congestive heart failure

Heart failure may also occur in situations of “high output,” (termed “**high output cardiac failure**”) where the ventricular systolic function is normal but the heart cannot deal with an important augmentation of blood volume.^[21] This can occur in overload situation (blood or serum infusions), kidney diseases, chronic severe anemia, beriberi (vitamin B₁/thiamine deficiency), thyrotoxicosis, Paget’s disease, arteriovenous fistulae, or arteriovenous malformations.

Viral infections of the heart can lead to **inflammation of the muscular layer of the heart** and subsequently contribute to the development of heart failure. **Heart damage** can predispose a person to develop heart failure later in life and has many causes including systemic viral infections (e.g., HIV), **chemotherapeutic agents** such as **daunorubicin** and **trastuzumab**, and **abuse of drugs** such as **alcohol** and **methamphetamine**. Additionally, infiltrative disorders such as **amyloidosis** and **connective tissue diseases** such as **systemic lupus erythematosus** have similar consequences. **Obstructive sleep apnea** (a condition of sleep wherein disordered breathing overlaps with obesity, hypertension, and/or diabetes) is regarded as an independent cause of heart failure.

3.2 Acute decompensation

Main article: **Acute decompensated heart failure**

Chronic stable heart failure may easily **decompensate**. This most commonly results from an intercurrent illness (such as **pneumonia**), **myocardial infarction** (a heart attack), **abnormal heart rhythms**, uncontrolled **hypertension**, or a patient’s failure to maintain a fluid restriction, diet, or medication.^[23] Other well recognized factors that may worsen CHF include the following: **anemia** and **hyperthyroidism** which place additional strain on the heart muscle, excessive fluid or salt intake, and medication that causes fluid retention such as **NSAIDs** and **thiazolidinediones**.^[24] NSAIDs in general increase the risk twofold.^[25]

4 Pathophysiology

Heart failure is caused by any condition which reduces the efficiency of the heart muscle, through damage or overloading. As such, it can be caused by a wide number of conditions, including myocardial infarction (in which the heart muscle is **starved of oxygen** and dies), hypertension (which increases the force of contraction needed to pump blood) and **amyloidosis** (in which misfolded proteins are deposited in the heart muscle, causing it to stiffen). Over time these increases in workload will produce changes to the heart itself:

The heart of a person with heart failure may have a reduced force of contraction due to overloading of the **ventricle**. In a healthy heart, increased filling of the ventricle results in increased contraction force (by the **Frank–Starling law of the heart**) and thus a rise in **cardiac output**. In heart failure this mechanism fails, as the ventricle is loaded with blood to the point where heart muscle contraction becomes less efficient. This is due to reduced ability to cross-link **actin** and **myosin** filaments in overstretched heart muscle.^[26]

A reduced **stroke volume** may occur as a result of a failure of **systole**, **diastole** or both. Increased **end systolic volume** is usually caused by reduced contractility. Decreased **end diastolic volume** results from impaired ventricular filling; this occurs when the compliance of the ventricle falls (i.e. when the walls stiffen). As the heart works harder to meet normal metabolic demands, the amount cardiac output can increase in times of increased

oxygen demand (e.g., exercise) is reduced. This contributes to the exercise intolerance commonly seen in heart failure. This translates to the loss of one's **cardiac reserve**, or the ability of the heart to work harder during strenuous physical activity. Since the heart has to work harder to meet the normal metabolic demands, it is incapable of meeting the metabolic demands of the body during exercise.

A common finding in those with heart failure is an increased heart rate, stimulated by increased **sympathetic activity**^[27] in order to maintain an adequate cardiac output. Initially, this helps compensate for heart failure by maintaining blood pressure and perfusion, but places further strain on the myocardium, increasing coronary perfusion requirements, which can lead to worsening of ischemic heart disease. Sympathetic activity may also cause potentially fatal **abnormal heart rhythms**. An increase in the physical size of the heart's muscular layer may occur. This is caused by the terminally differentiated heart muscle fibers increasing in size in an attempt to improve contractility. This may contribute to the increased stiffness and decreased ability to relax during diastole. Enlargement of the ventricles can also occur and contributes to the enlargement and spherical shape of the failing heart. The increase in ventricular volume also causes a reduction in stroke volume due to mechanical and inefficient contraction of the heart.^[28]

The general effect is one of reduced cardiac output and increased strain on the heart. This increases the risk of cardiac arrest (specifically due to abnormal ventricular heart rhythms), and reduces blood supply to the rest of the body. In chronic disease the reduced cardiac output causes a number of changes in the rest of the body, some of which are physiological compensations, some of which are part of the disease process:

- Arterial blood pressure falls. This destimulates **baroreceptors** in the **carotid sinus** and **aortic arch** which link to the **nucleus tractus solitarii**. This center in the brain increases sympathetic activity, releasing catecholamines into the blood stream. Binding to alpha-1 receptors results in systemic arterial **vasoconstriction**. This helps restore blood pressure but also increases the total peripheral resistance, increasing the workload of the heart. Binding to beta1 receptors in the myocardium increases the heart rate and makes contractions more forceful in an attempt to increase cardiac output. This also, however, increases the amount of work the heart has to perform.
- Increased sympathetic stimulation also causes the posterior pituitary to secrete **vasopressin** (also known as antidiuretic hormone or ADH), which causes fluid retention at the kidneys. This increases the blood volume and blood pressure.
- Reduced **perfusion** (blood flow) to the kidneys stimulates the release of **renin** – an enzyme which catalyses the production of the potent vasopressor **angiotensin**. Angiotensin and its metabolites cause further vasoconstriction, and stimulate increased secretion of the steroid **aldosterone** from the **adrenal glands**. This promotes salt and fluid retention at the kidneys.
- The chronically high levels of circulating neuroendocrine hormones such as **catecholamines**,

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renin, angiotensin, and aldosterone affects the myocardium directly, causing structural remodelling of the heart over the long term. Many of these remodelling effects seem to be mediated by transforming growth factor beta (TGF-beta), which is a common downstream target of the signal transduction cascade initiated by catecholamines^[29] and angiotensin II,^[30] and also by epidermal growth factor (EGF), which is a target of the signaling pathway activated by aldosterone^[31]

- Reduced perfusion of skeletal muscle causes atrophy of the muscle fibers. This can result in weakness, increased fatigueability and decreased peak strength – all contributing to exercise intolerance.^[32]

The increased peripheral resistance and greater blood volume place further strain on the heart and accelerates the process of damage to the myocardium. Vasoconstriction and fluid retention produce an increased hydrostatic pressure in the capillaries. This shifts the balance of forces in favour of **interstitial fluid** formation as the increased pressure forces additional fluid out of the blood, into the tissue. This results in **edema** (fluid build-up) in the tissues. In right-sided heart failure this commonly starts in the ankles where venous pressure is high due to the effects of gravity (although if the patient is bed-ridden, fluid accumulation may begin in the sacral region.) It may also occur in the abdominal cavity, where the fluid build-up is called ascites. In left-sided heart failure **edema** can occur in

the lungs – this is called cardiogenic **pulmonary edema**. This reduces spare capacity for ventilation, causes stiffening of the lungs and reduces the efficiency of gas exchange by increasing the distance between the air and the blood. The consequences of this are **dyspnea** (shortness of breath), **orthopnea** and **paroxysmal nocturnal dyspnea**.

The symptoms of heart failure are largely determined by which side of the heart fails. The left side pumps blood into the systemic circulation, whilst the right side pumps blood into the **pulmonary circulation**. Whilst left-sided heart failure will reduce cardiac output to the systemic circulation, the initial symptoms often manifest due to effects on the pulmonary circulation. In systolic dysfunction, the ejection fraction is decreased, leaving an abnormally elevated volume of blood in the left ventricle. In diastolic dysfunction, end-diastolic ventricular pressure will be high. This increase in volume or pressure backs up to the left atrium and then to the pulmonary veins. Increased volume or pressure in the pulmonary veins impairs the normal drainage of the alveoli and favors the flow of fluid from the capillaries to the lung parenchyma, causing pulmonary edema. This impairs gas exchange. Thus, left-sided heart failure often presents with respiratory symptoms: shortness of breath, orthopnea and paroxysmal nocturnal dyspnea.

In severe cardiomyopathy, the effects of decreased cardiac output and poor perfusion become more apparent, and patients will manifest with cold and clammy extrem-

4.2 Diastolic dysfunction

ities, cyanosis, claudication, generalized weakness, dizziness, and **fainting**.

The resultant hypoxia caused by pulmonary edema causes vasoconstriction in the pulmonary circulation, which results in pulmonary hypertension. Since the right ventricle generates far lower pressures than the left ventricle (approximately 20 mmHg versus around 120 mmHg, respectively, in the healthy individual) but nonetheless generates cardiac output exactly equal to the left ventricle, this means that a small increase in pulmonary vascular resistance causes a large increase in amount of work the right ventricle must perform. However, the main mechanism by which left-sided heart failure causes right-sided heart failure is actually not well understood. Some theories invoke mechanisms that are mediated by neurohormonal activation.^[33] Mechanical effects may also contribute. As the left ventricle distends, the intraventricular septum bows into the right ventricle, decreasing the capacity of the right ventricle.

4.1 Systolic dysfunction

Heart failure caused by systolic dysfunction is more readily recognized. It can be simplistically described as failure of the pump function of the heart. It is characterized by a decreased ejection fraction (less than 45%). The strength of ventricular contraction is attenuated and inadequate for creating an adequate stroke volume, resulting in inadequate cardiac output. In general, this is caused by dysfunction or destruction of cardiac myocytes or their molecular components. In congenital diseases such as **Duchenne muscular dystrophy**, the molecular structure of individual myocytes is affected. Myocytes and their components can be damaged by inflammation (such as in **myocarditis**) or by infiltration (such as in amyloidosis). Toxins and pharmacological agents (such as **ethanol**, **cocaine**, **doxorubicin**, and **amphetamines**) cause intracellular damage and oxidative stress. The most common mechanism of damage is ischemia causing infarction and **scar formation**. After myocardial infarction, dead myocytes are replaced by scar tissue, deleteriously affecting the function of the myocardium. On echocardiogram, this is manifest by abnormal wall motion (hypokinesia) or absent wall motion (akinesia).

Because the ventricle is inadequately emptied, ventricular end-diastolic pressure and volumes increase. This is transmitted to the atrium. On the left side of the heart, the increased pressure is transmitted to the pulmonary vasculature, and the resultant hydrostatic pressure favors extravasation of fluid into the lung parenchyma, causing pulmonary edema. On the right side of the heart, the increased pressure is transmitted to the systemic venous circulation and systemic capillary beds, favoring extravasation of fluid into the tissues of target organs and extremities, resulting in dependent **peripheral edema**.

4.2 Diastolic dysfunction

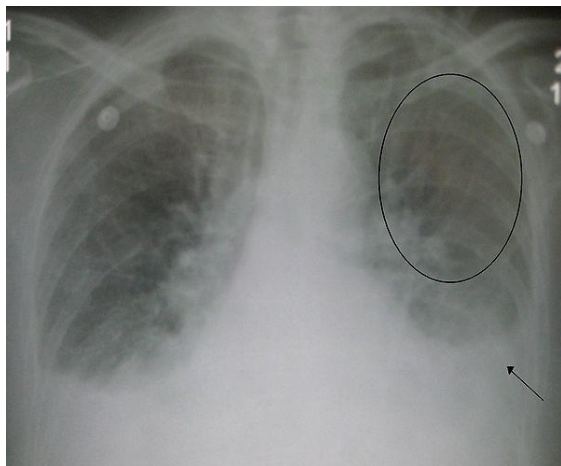
Heart failure caused by diastolic dysfunction is generally described as the failure of the ventricle to adequately relax and typically denotes a stiffer ventricular wall. This causes inadequate filling of the ventricle, and therefore results in an inadequate stroke volume. The failure of ventricular relaxation also results in elevated end-diastolic pressures, and the end result is identical to the case of systolic dysfunction (pulmonary edema in left heart failure, peripheral edema in right heart failure).

Diastolic dysfunction can be caused by processes similar to those that cause systolic dysfunction, particularly causes that affect cardiac remodeling.

Diastolic dysfunction may not manifest itself except in physiologic extremes if systolic function is preserved. The patient may be completely asymptomatic at rest. However, they are exquisitely sensitive to increases in heart rate, and sudden bouts of tachycardia (which can be caused simply by physiological responses to exertion, fever, or dehydration, or by pathological tachyarrhythmias such as **atrial fibrillation with rapid ventricular response**) may result in **flash pulmonary edema**. Adequate rate control (usually with a pharmacological agent that slows down AV conduction such as a calcium channel blocker or a beta-blocker) is therefore key to preventing decompensation.

Left ventricular diastolic function can be determined through echocardiography by measurement of various parameters such as the **E/A ratio** (early-to-atrial left ventricular filling ratio), the **E** (early left ventricular filling) deceleration time, and the **isovolumic relaxation time**.

5 Diagnosis



Acute pulmonary edema. Note enlarged heart size, apical vascular redistribution (circle), and small bilateral pleural effusions (arrow).

No system of diagnostic criteria has been agreed on as the **gold standard** for heart failure. The National Institute for Health and Care Excellence recommends measuring **brain natriuretic peptide** followed by ultrasound of the heart if positive.^[34]

5.1 Imaging

Echocardiography is commonly used to support a clinical diagnosis of heart failure. This modality uses **ultrasound** to determine the **stroke volume** (SV, the amount of blood in the heart that exits the ventricles with each beat), the **end-diastolic volume** (EDV, the total amount of blood at the end of diastole), and the SV in proportion to the EDV, a value known as the **ejection fraction** (EF). In pediatrics, the **shortening fraction** is the preferred measure of systolic function. Normally, the EF should be between 50% and 70%; in systolic heart failure, it drops below 40%. Echocardiography can also identify valvular heart disease and assess the state of the **pericardium** (the connective tissue sac surrounding the heart). Echocardiography may also aid in deciding what treatments will help the patient, such as medication, insertion of an **implantable cardioverter-defibrillator** or **cardiac resynchronization therapy**.

Echocardiography can also help determine if acute myocardial ischemia is the precipitating cause, and may manifest as regional wall motion abnormalities on echo.

Chest X-rays are frequently used to aid in the diagnosis of CHF. In a person who is compensated, this may show **cardiomegaly** (visible enlargement of the heart), quantified as the *cardiothoracic ratio* (proportion of the heart size to the chest). In left ventricular failure, there may be evidence of vascular redistribution (“upper lobe blood diversion” or “cephalization”), **Kerley lines**, **cuffing of the areas around the bronchi**, and interstitial edema. Ultrasound of the lung may also be able to detect Kerley lines.^[35]

5.2 Electrophysiology

An **electrocardiogram** (ECG/EKG) may be used to identify arrhythmias, **ischemic heart disease**, **right and left ventricular hypertrophy**, and presence of conduction delay or abnormalities (e.g. **left bundle branch block**). Although these findings are not specific to the diagnosis of heart failure a normal ECG virtually excludes left ventricular systolic dysfunction.^[36]

5.3 Blood tests

Blood tests routinely performed include **electrolytes** (sodium, potassium), measures of renal function, liver function tests, thyroid function tests, a complete blood count, and often **C-reactive protein** if infection is suspected. An elevated **B-type natriuretic peptide** (BNP) is *5 DIAGNOSIS*

a specific test indicative of heart failure. Additionally, BNP can be used to differentiate between causes of dyspnea due to heart failure from other causes of dyspnea. If myocardial infarction is suspected, various **cardiac markers** may be used.

According to a **meta-analysis** comparing BNP and Nterminal pro-BNP (NTproBNP) in the diagnosis of heart failure, BNP is a better indicator for heart failure and left ventricular systolic dysfunction. In groups of symptomatic patients, a diagnostic **odds ratio** of 27 for BNP compares with a **sensitivity** of 85% and **specificity** of 84% in detecting heart failure.^[37]

5.4 Angiography

Heart failure may be the result of coronary artery disease, and its prognosis depends in part on the ability of the **coronary arteries** to supply blood to the **myocardium** (heart muscle). As a result, **coronary catheterization** may be used to identify possibilities for revascularisation through **percutaneous coronary intervention** or **bypass surgery**.

5.5 Monitoring

Various measures are often used to assess the progress of patients being treated for heart failure. These include **fluid balance** (calculation of fluid intake and excretion), monitoring **body weight** (which in the shorter term reflects fluid shifts).^[38]

5.6 Classification

There are many different ways to categorize heart failure, including:

- the side of the heart involved (left heart failure versus right heart failure). Right heart failure compromises pulmonary flow to the lungs. Left heart failure compromises aortic flow to the body and brain. Mixed presentations are common; left heart failure often leads to right heart failure in the longer term.

- whether the abnormality is due to insufficient **contraction (systolic dysfunction)**, or due to insufficient relaxation of the heart (**diastolic dysfunction**), or to both.
- whether the problem is primarily increased venous back pressure (**preload**), or failure to supply adequate arterial perfusion (**afterload**).
- whether the abnormality is due to low cardiac output with high **systemic vascular resistance** or high cardiac output with low vascular resistance (low-output heart failure vs. high-output heart failure).

5.7 Algorithms • the degree of functional impairment conferred by the abnormality (as reflected in the **New York Heart Association Functional Classification**^[39])

- the degree of coexisting illness: i.e. heart failure/systemic hypertension, heart failure/pulmonary hypertension, heart failure/diabetes, heart failure/kidney failure, etc.

Functional classification generally relies on the New York Heart Association functional classification. The classes (I-IV) are:

- Class I: no limitation is experienced in any activities; there are no symptoms from ordinary activities.
- Class II: slight, mild limitation of activity; the patient is comfortable at rest or with mild exertion.
- Class III: marked limitation of any activity; the patient is comfortable only at rest.
- Class IV: any physical activity brings on discomfort and symptoms occur at rest.

This score documents severity of symptoms, and can be used to assess response to treatment. While its use is widespread, the NYHA score is not very reproducible and does not reliably predict the walking distance or exercise tolerance on formal testing.^[40]

In its 2001 guidelines the **American College of Cardiology/American Heart Association** working group introduced four stages of heart failure:^[41]

- Stage A: Patients at high risk for developing HF in the future but no functional or structural heart disorder.

- Stage B: a structural heart disorder but no symptoms at any stage.
- Stage C: previous or current symptoms of heart failure in the context of an underlying structural heart problem, but managed with medical treatment.
- Stage D: advanced disease requiring hospital-based support, a heart transplant or **palliative care**.

The ACC staging system is useful in that Stage A encompasses “pre-heart failure” – a stage where intervention with treatment can presumably prevent progression to overt symptoms. ACC Stage A does not have a corresponding NYHA class. ACC Stage B would correspond to NYHA Class I. ACC Stage C corresponds to NYHA Class II and III, while ACC Stage D overlaps with NYHA Class IV.

5.7 Algorithms

There are various algorithms for the diagnosis of heart failure. For example, the algorithm used by the **Framingham Heart Study** adds together criteria mainly from physical examination. In contrast, the more extensive algorithm by the **European Society of Cardiology (ESC)** weights the difference between supporting and opposing parameters from the **medical history**, **physical examination**, further medical tests as well as response to therapy.

5.7.1 Framingham criteria

By the Framingham criteria, diagnosis of congestive heart failure (heart failure with impaired pumping capability)^[17] requires the simultaneous presence of at least 2 of the following major criteria or 1 major criterion in conjunction with 2 of the following minor criteria. Major criteria include an **enlarged heart** on a **chest xray**, an **S3 gallop** (a third heart sound), **acute pulmonary edema**, **episodes of waking up from sleep gasping for air**, **crackles on lung auscultation**, **central venous pressure** of more than 16 cm H

20 at the right atrium, **jugular vein distension**, **positive abdominojugular test**, and **weight loss** of more than 4.5 kg in 5 days in response to treatment (sometimes^[42] classified as a minor criterion).^[43] Minor criteria include an **abnormally fast heart rate** of more than 120 beats per minute, **nocturnal cough**, **difficulty breathing** with physical activity, **pleural effusion**, a decrease in the **vital capacity** by one third from maximum recorded, **liver enlargement**, and **bilateral ankle swelling**.^[43]

Minor criteria are acceptable only if they can not be attributed to another medical condition such as **pulmonary hypertension**, **chronic lung disease**, **cirrhosis**, **ascites**, or the **nephrotic syndrome**.^[43] The Framingham Heart Study criteria are 100% sensitive and 78% specific for identifying persons with definite congestive heart failure.^[43]

5.7.2 ESC algorithm

The **ESC** algorithm weights the following parameters in establishing the diagnosis of heart failure:^[44]

5.8 Differential diagnosis

There are several terms which are closely related to heart failure, and may be the cause of heart failure, but should not be confused with it. **Cardiac arrest** and **asystole** refer to situations in which there is *no* cardiac output at all. Without urgent treatment these result in sudden death. **Myocardial infarction** (“Heart attack”) refers to heart muscle damage due to insufficient blood supply, usually as a result of a blocked **coronary artery**. **Cardiomyopathy** refers specifically to problems within the heart muscle, and these problems can result in heart failure. Ischemic cardiomyopathy implies that the cause of muscle damage is **coronary artery disease**. **Dilated cardiomyopathy** implies that the muscle damage has resulted in enlargement of the heart. **Hypertrophic cardiomyopathy** involves enlargement and *thickening* of the heart muscle.

6 Management

Main article: **Management of heart failure**

Treatment focuses on improving the symptoms and preventing the progression of the disease. Reversible causes of the heart failure also need to be addressed (e.g. **infection**, **alcohol** ingestion, **anemia**, **thyrotoxicosis**, **arrhythmia**, **hypertension**). Treatments include lifestyle and pharmacological modalities, and occasionally various forms of device therapy and rarely cardiac transplantation.

6.1 Acute decompensation

Main article: **Acute decompensated heart failure**

In **acute decompensated heart failure** (ADHF), the immediate goal is to re-establish adequate perfusion and oxygen delivery to end organs. This entails ensuring that **airway**, **breathing**, and **circulation** are adequate. Immediate treatments usually involve some combination of vasodilators such as nitroglycerin, diuretics such as furosemide, and possibly non invasive positive pressure ventilation (NIPPV).

6.2 Chronic management

The goals of treatment for people with chronic heart failure are the prolongation of life, the prevention of acute decompensation and the reduction of symptoms, allowing for greater activity.

Heart failure can result from a variety of conditions. In considering therapeutic options, it is important to first exclude reversible causes, including thyroid disease, anemia, chronic tachycardia, alcohol abuse, hypertension and dysfunction of one or more heart valves. Treatment of the underlying cause is usually the first approach in treating heart failure. However, in the majority of cases, either no primary cause is found or treatment of the primary cause does not restore normal heart function. In these cases, behavioral, medical and device treatment strategies exist which can provide significant improvement in outcomes, including the relief of symptoms, exercise tolerance, and a decrease in the likelihood of hospitalization or death.

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6.2.1 Lifestyle

Behavioral modification is a primary consideration in any chronic heart failure management program, with dietary guidelines regarding fluid and salt intake being of particular importance.^[45]

Exercise should be encouraged and tailored to suit individual capabilities. The inclusion of regular physical conditioning as part of a cardiac rehabilitation program can significantly improve quality of life and reduce the risk of hospital admission for worsening symptoms however there is no evidence for a reduction in mortality rates as a result of exercise. Furthermore, it is not clear whether this evidence can be extended to people with heart failure with preserved ejection fraction (HFpEF) or to those whose exercise regimen takes place entirely at home.^[46]

Home visits and regular monitoring at heart failure clinics reduce the need for hospitalization and improve life expectancy.^[47]

6.2.2 Medication

First-line therapy for people with heart failure due to reduced systolic function should include angiotensin-converting enzyme (ACE) inhibitors (ACE-I) or angiotensin receptor blockers (ARBs). Use of medicines from this class are associated with improved survival and quality of life in people with heart failure.^[48]

Beta-adrenergic blocking agents (beta blockers) also form part of the first line of treatment, adding to the improvement in symptoms and mortality provided by ACE-I/ARB.^[48] The mortality benefits of beta blockers in people with systolic dysfunction who also have atrial fibrillation (AF) is more limited than in those who do not have AF.^[49] If the ejection fraction is not diminished (HFpEF), the benefits of beta blockers is more modest; a decrease in mortality has been observed but reduction in hospital admission for uncontrolled symptoms has not been observed.^[50]

In people who are intolerant of ACE-I and ARBs or who have significant renal dysfunction, the use of combined hydralazine and a long-acting nitrate, such as isosorbide dinitrate, are an effective alternative. This regimen has been shown to reduce mortality in people with moderate heart failure.^[51] It is especially beneficial in African Americans (AA).^[51] In AAs who are symptomatic, hydralazine and isosorbide dinitrate (H+I) can be added to ACE-I or ARBs.

In people with markedly reduced ejection fraction, the use of an aldosterone antagonist, in addition to beta blockers and ACE-I, can improve symptoms and reduce mortality.^{[52][53]}

Second-line drugs for CHF do not confer a mortality benefit. Digitalis is one such drug. Its narrow therapeutic window, high degree of toxicity, and the failure of multi-

6.3 Palliative care

ple trials to show a mortality benefit have reduced its role in clinical practice. It is now used in only a small number of people with refractory symptoms, who are in atrial fibrillation and/or who have chronic low blood pressure.

Diuretics have been a mainstay of treatment for treatment of fluid accumulation, and include diuretics classes such as loop diuretics, thiazide-like diuretic, and potassium-sparing diuretic. Although widely used, evidence on their efficacy and safety is limited, with the exception of spironolactone antagonists.^{[52][54]} A recent Cochrane review found that in small studies, the use of diuretics appeared to have improved mortality in individuals with heart failure.^[55] However, the extent to which these results can be extrapolated to a general population is unclear due to the small number of participants in the cited studies.^[54]

Anemia is an independent factor in mortality in people with chronic heart failure. The treatment of anemia significantly improves quality of life for those with heart failure, often with a reduction in severity of the NYHA classification, and also improves mortality rates.^{[56][57]} The latest European guidelines (2012) recommend screening for iron-deficient anemia and treating with parenteral iron if anemia is found.^[58]

6.2.3 Minimally invasive therapies

In people with severe cardiomyopathy (left ventricular ejection fraction below 35%), or in those with recurrent VT or malignant arrhythmias, treatment with an automatic implantable cardioverter defibrillator (AICD) is indicated to reduce the risk of severe life-threatening arrhythmias. The AICD does not improve symptoms or reduce the incidence of malignant arrhythmias, but does reduce mortality from those arrhythmias, often in conjunction with antiarrhythmic medications. In people with left ventricular ejection (LVEF) below 35%, the incidence of ventricular tachycardia (VT) or sudden cardiac death is high enough to warrant AICD placement. Its use is therefore recommended in AHA/ACC guidelines.^[14]

Cardiac contractility modulation (CCM) is a treatment for people with moderate to severe left ventricular systolic heart failure (NYHA class II–IV) which enhances both the strength of ventricular contraction and the heart's pumping capacity. The CCM mechanism is based on stimulation of the cardiac muscle by nonexcitatory electrical signals (NES), which are delivered by a pacemaker-like device. CCM is particularly suitable for the treatment of heart failure with normal QRS complex duration (120 ms or less) and has been demonstrated to improve the symptoms, quality of life and exercise tolerance.^{[15][59][60][61][62]} CCM is approved for use in Europe, but not currently in North America.^{[63][64]}

About one third of people with LVEF below 35% have markedly altered conduction to the ventricles, resulting in dyssynchronous depolarization of the right and left ventricles. This is especially problematic in people with left bundle branch block (blockage of one of the two primary conducting fiber bundles that originates at the base of the heart and carries depolarizing impulses to the left ventricle). Using a special pacing algorithm, biventricular cardiac resynchronization therapy (CRT) can initiate a normal sequence of ventricular depolarization. In people with LVEF below 35% and prolonged QRS duration on ECG (LBBB or QRS of 150 ms or more) there is an improvement in symptoms and mortality when CRT is added to standard medical therapy.^[65] However, in the two thirds of people without prolonged QRS duration, CRT may actually be harmful.^{[14][15][66]}

6.2.4 Surgical therapies

People with the most severe heart failure may be candidates for ventricular assist devices (VAD). VADs have commonly been used as a bridge to heart transplantation, but have been used more recently as a destination treatment for advanced heart failure.^[67]

In select cases, heart transplantation can be considered. While this may resolve the problems associated with heart failure, the person must generally remain on an immunosuppressive regimen to prevent rejection, which has its own significant downsides.^[68] A major limitation of this treatment option is the scarcity of hearts available for transplantation.

6.3 Palliative care

People with CHF often have significant symptoms, such as shortness of breath and chest pain. Both palliative care and cardiology are trying to get palliative care involved earlier in the course of patients with heart failure, and some would argue any patient with NYHA class III CHF should have a palliative care referral. Palliative care can not only provide symptom management, but also assist with advanced care planning, goals of care in the case of a significant decline, and making sure the patient has a medical **power of attorney** and discussed his or her wishes with this individual.^[69]

Without transplantation, heart failure may not be reversible and cardiac function typically deteriorates with time. The growing number of patients with Stage IV heart failure (intractable symptoms of fatigue, shortness of breath or chest pain at rest despite optimal medical therapy) should be considered for palliative care or hospice, according to American College of Cardiology/American Heart Association guidelines.^[69]

7 Prognosis

Prognosis in heart failure can be assessed in multiple ways including clinical prediction rules and cardiopulmonary exercise testing. Clinical prediction rules use a composite of clinical factors such as lab tests and blood pressure to estimate prognosis. Among several **clinical prediction rules** for prognosing acute heart failure, the 'EFFECT rule' slightly outperformed other rules in stratifying patients and identifying those at low risk of death during hospitalization or within 30 days.^[70] Easy methods for identifying low risk patients are: • **ADHERE Tree rule** indicates that patients with **blood urea nitrogen** < 43 mg/dl and **systolic blood pressure** at least 115 mm Hg have less than 10% chance of inpatient death or complications. • **BWH rule** indicates that patients with systolic blood pressure over 90 mm Hg, respiratory rate of 30 or less breaths per minute, serum sodium over 135 mmol/L, no new ST-T wave changes have less than 10% chance of inpatient death or complications.

A very important method for assessing prognosis in advanced heart failure patients is cardiopulmonary exercise testing (CPX testing). CPX testing is usually required prior to heart transplantation as an indicator of prognosis. Cardiopulmonary exercise testing involves measurement of exhaled oxygen and carbon dioxide during exercise. The peak oxygen consumption (VO₂ max) is used as an indicator of prognosis. As a general rule, a VO₂ max less than 12–14 cc/kg/min indicates a poor survival and suggests that the patient may be a candidate for a heart transplant. Patients with a VO₂ max < 10 cc/kg/min have clearly poorer prognosis. The most recent International Society for Heart and Lung Transplantation (ISHLT) guidelines^[71] also suggest two other parameters that can be used for evaluation of prognosis in advanced heart failure, the heart failure survival score and the use of a criterion of VE/VCO₂ slope > 35 from the CPX test. The heart failure survival score is a score calculated using a combination of clinical predictors and the VO₂ max from the cardiopulmonary exercise test.

Heart failure is associated with significantly reduced physical and mental health, resulting in a markedly decreased **quality of life**.^{[72][73]} With the exception of heart failure caused by reversible conditions, the condition usually worsens with time. Although some people survive many years, progressive disease is associated with an overall annual mortality rate of 10%.^[74]

8 Epidemiology

Heart failure is associated with a high health expenditure, mostly because of the cost of hospitalizations; costs have been estimated to amount to 2% of the total budget of the **National Health Service** in the United Kingdom, and more than \$35 billion in the United States.^{[75][76]}

Heart failure is the leading cause of hospitalization in people older than 65.^[77] In developed countries, the mean 8

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age of patients with heart failure is 75 years old. In developing countries, two to three percent of the population have heart failure, but in those 70 to 80 years old, it occurs in 20–30 percent.

More than 20 million people have heart failure worldwide.^{[78][79]} The prevalence and incidence of heart failure are increasing, mostly because of increasing life span, but also because of increased prevalence of risk factors (hypertension, diabetes, dyslipidemia, and obesity) and improved survival rates from other types of cardiovascular disease (myocardial infarction, valvular disease, and arrhythmias).^{[79][80]}

In the United States, heart failure affects 5.8 million people, and each year 550,000 new cases are diagnosed.^[78] In 2011, congestive heart failure was the most common reason for hospitalization for adults aged 85 years and older, and the second most common for adults aged 65–84 years.^[81] It is estimated that one in five adults at age 40 will develop heart failure during their remaining lifetime and about half of people who develop heart failure die within 5 years of diagnosis.^[82] Heart failure is much higher in African Americans, Hispanics, Native Americans and recent immigrants from the eastern bloc countries like Russia. This high prevalence in these ethnic minority populations has been linked to high incidence of diabetes and hypertension. In many new immigrants to the U.S., the high prevalence of heart failure has largely been attributed to lack of **preventive health care** or substandard treatment.^[83] Nearly one out of every four patients (24.7%) hospitalized in the U.S. with congestive heart failure are readmitted within 30 days.^[84] Additionally, more than 50% of patients seek re-admission within 6 months after treatment and the average duration of hospital stay is 6 days.

In tropical countries, the most common cause of HF is **valvular heart disease** or some type of **cardiomyopathy**. As underdeveloped countries have become more affluent, there has also been an increase in the incidence of **diabetes**, **hypertension** and **obesity**, which have in turn raised the incidence of heart failure.^[85]

Congestive heart failure is a leading cause of hospital readmissions in the U.S. In a study of 18 States, Medicare patients aged 65 and older were readmitted at a rate of 24.5 per 100 admissions in 2011. In the same year, Medicaid patients were readmitted at a rate of 30.4 per 100 admissions, and uninsured patients were readmitted at a rate of 16.8 per 100 admissions. These are the highest readmission rates for both patient categories. Notably, congestive heart failure was not among the top ten conditions with the most 30-day readmissions among the privately insured.^[86]

8.1 Sex

Men have a higher incidence of heart failure, but the overall prevalence rate is similar in both sexes, since women survive longer after the onset of heart failure.^[87] Women tend to be older when diagnosed with heart failure (after **menopause**), they are more likely than men to have diastolic dysfunction, and seem to experience a lower overall quality of life than men after diagnosis.^[87]

9 Economics

In 2011, non-hypertensive congestive heart failure was one of the ten most expensive conditions seen during inpatient hospitalizations in the U.S., with aggregate inpatient hospital costs of more than \$10.5 billion.^[88]

10 Research

There is low quality evidence that **stem cell therapy** may help.^[89] Although this evidence positively indicated benefit, the evidence was of lower quality than other evidence that does not indicate benefit.^[90]

A previous claim, which came from a 2012 article published by the British Journal *Heart*, stated that a low salt diet increased the risk of death in those with congestive heart failure. This claim has since been withdrawn. The paper was retracted by the journal in 2013 because two of the cited studies contained duplicate data that could not be verified, and the data has since been lost.^{[91][92][93]}