## Linear Algebra Notes

Lance Remigio

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## Chapter 1

## Vector Spaces

## 1.1 Vector Spaces

#### 1.1.1 Basics

**Definition 1.1.1** (Vector Spaces). A **vector space** (or **linear space**) over a field F consists of a set on which two operations (called **addition** and **scalar multiplication**, respectively) are defined so that for each pair of elements x, y, in V there is a unique element ax in V, such that the following conditions hold:

- (VS 1) For all  $x, y \in V$ , x + y = y + x (commutativity of addition).
- (VS 2) For all  $x, y, z \in V$ , (x + y) + z = x + (y + z) (associativity of addition).
- (VS 3) There exists an element in V denoted by O such that x + O = x for each  $x \in V$
- (VS 4) For each element  $x \in V$ , there exists an element  $y \in V$  such that x + y = O.
- (VS 5) For each element  $x \in V$ , we have 1x = x.
- (VS 6) For each  $a, b \in F$  and each element  $x \in V$ , then (ab)x = a(bx).
- (VS 7) For each element  $a \in F$  and each pair  $x, y \in V$ , we have a(x + y) = ax + ay.
- (VS 8) For each pair  $a, b \in F$  and each  $x \in V$ , we have (a + b)x = ax + bx.

The elements x + y and ax are called the **sum** of x and y and the **product** of a and x, respectively.

- The elements of a field F are called **scalars** and the elements of a vector space V are called **vectors** (these should not be confused!).
- Every vector space will always be defined over a given field, mostly defined over the real numbers  $\mathbb{R}$  or the complex numbers  $\mathbb{C}$  unless otherwise noted.
- Every vector space should specify the operations of addition and scalar multiplication.

**Definition 1.1.2** (n-tuples). An object of the form  $(a_1, a_2, \ldots, a_n)$ , where the entries  $a_1, a_2, \ldots, a_n$  are elements of a field F, is called an **n-tuple** with entries from F. The elements  $a_1, a_2, \ldots, a_n$  are called **entries** or **components** of the n-tuple.

**Definition 1.1.3.** We say that two *n*-tuples,  $(a_1, a_2, \ldots, a_n)$  and  $(b_1, b_2, \ldots, b_n)$ , are **equal** if  $a_i = b_i$  for  $i = 1, 2, \ldots, n$ .

**Example 1.** The set of all *n*-tuples with entries from a field F denoted by  $F_n$  is a vector space. To see why, suppose  $u, v \in F_n$  where  $u = (a_1, a_2, \dots a_n)$  and  $v = (b_1, b_2, \dots, b_n)$ . If we take term-by-term

addition of the entries in both u and v, then we end up with

$$u + v = (a_1 + b_1, a_2 + b_2, \dots, a_n + b_n)$$

and likewise,

$$cu = (ca_1, ca_2, \dots, ca_n).$$

These same set of operations define  $\mathbb{R}^3$  as a vector space over  $\mathbb{R}$  and likewise,  $\mathbb{C}^2$  is a vector space over  $\mathbb{C}$ .

• Note that vectors in  $F^n$  can be written as **column vectors** 

$$\begin{pmatrix} a_1 \\ a_2 \\ \vdots \\ a_n \end{pmatrix}$$

rather than **row vectors**  $(a_1, a_2, \ldots, a_n)$ .

• 1-tuples are are just scalars or an just an element from F.

**Definition 1.1.4.** An  $m \times n$  matrix with entries from a field F is a rectangular array of the form

$$\begin{pmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ a_{21} & a_{22} & \cdots & a_{2n} \\ \vdots & \vdots & & \vdots \\ a_{m1} & a_{m2} & \cdots & a_{mn} \end{pmatrix}$$

where each entry  $a_{ij}$  with  $(1 \le i \le m, 1 \le j \le n)$  is an element of F. We call the entries  $a_{ij}$  with i = j the **diagonal entries** of the matrix. The entries  $a_{i1}, a_{i2}, \ldots a_{in}$  compose the ith row of the matrix, and the entries  $a_{1j}, a_{2j}, \ldots a_{mj}$  compose the jth column of the matrix.

- The rows make a vector space which we denote  $F^n$ .
- Likewise, the columns make a vector space we denote  $F^m$

**Definition 1.1.5** (Zero Matrix). The  $m \times n$  matrix in which each entry equals zero is called the **zero** matrix and is denoted by O.

**Definition 1.1.6** (Square Matrix). A matrix is **square** if the number of rows and columns of a matrix are equal.

• Just like our tuple example, the set of all  $m \times n$  matrices with entries from a field F form a vector space. Denote this vector space as  $M_{m \times n}(F)$  endowed with two operations; that is, **matrix** addition and scalar multiplication. Suppose for  $A, B \in M_{m \times n}(F)$  and  $c \in F$ , we have

$$(A+B)_{ij} = A_{ij} + B_{ij}$$

and

$$(cA)_{ij} = cA_{ij}$$

for  $1 \le i \le m$  and  $1 \le j \le n$ . In other words, the two operations can be performed entry-wise.

• The operations from our tuple case extends very naturally to  $M_{m\times n}(F)$ . In other words, if we add two matrices  $A_{ij}$  and  $B_{ij}$ , then we would expect to that  $A_{ij} + B_{ij} \in M_{m\times n}(F)$  as well and likewise for the scalar multiplication case.

**Definition 1.1.7** (Set of All Functions). Let S be any nonempty set and F be any field, and let  $\mathcal{F}(S,F)$  denote the set of all functions from S to F.

**Definition 1.1.8.** Two functions  $f, g \in \mathcal{F}(S, F)$  are called **equal** if f(s) = g(s) for each  $s \in S$ .

The set of functions above forms a vector space with the operations of addition and scalar multiplication defined for every  $f, g \in \mathcal{F}(S, F)$  and  $c \in F$  with

$$(f+g)(s) = f(s) + g(s)$$
 and  $(cf)(s) = cf(s)$ 

**Definition 1.1.9** (Set of All Polynomials). A **polynomial** with coefficients from a field F is an expression of the form

$$f(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0,$$

where n is a nonnegative integer and each  $a_k \in F$  is called the **coefficient** of  $x_k$ .

**Definition 1.1.10** (Zero Polynomial). We call f(x) = 0 the **zero polynomial** if  $a_n = a_{n-1} = \cdots = a_0 = 0$ .

**Definition 1.1.11** (Degree). The **degree** of a given polynomial f is defined to be the largest exponent of x that appears in the representation

$$f(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0.$$

**Definition 1.1.12** (Equality of Polynomials). We call two polynomials f, g, where

$$f(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0$$

and

$$g(x) = b_m x^m + b_{m-1} x^{m-1} + \dots + b_1 x + b_0,$$

are equal if m = n and  $a_i = b_i$  for all i = 0, 1, ..., n.

Suppose we have  $c \in F$  and say we evaluated the polynomial  $f \in F$  at c. Then we would have the following

$$f(c) = a_n c^n + a_{n-1} c^{n-1} + \dots + a_1 c + a_0$$

where  $f(c) \in F$ .

**Definition 1.1.13** (Basic Operations of Polynomials). Define polynomial addition f + g as the following:

$$f(x) + g(x) = (a_n + b_n)x^n + (a_{n-1} + b_{n-1})x^{n-1} + \dots + (a_1 + b_1)x + (a_0 + b_0).$$

Let  $c \in F$ . Let scalar **multiplication** be defined by

$$cf(x) = ca_n x^n + ca_{n-1} x^{n-1} + \dots + ca_1 x + ca_0.$$

The operations above form a vector space for P(F) (the set of all polynomials).

**Definition 1.1.14** (Sequences). A **sequence** in F is a function  $\sigma : \mathbb{Z}^+ \to F$ . A given sequence  $\sigma$  such that  $\sigma(n) = a_n$  for n = 1, 2, ... is denoted  $(a_n)$ .

Let V be the set of all sequences  $\sigma(n) \in F$ . For every  $(a_n), (b_n) \in V$  with  $t \in F$ , we have the following operations

$$(a_n) + (b_n) = (a_n + b_n)$$
 and  $t(a_n) = (ta_n)$ .

### 1.1.2 Non-examples

**Example 2.** Let  $S = \{(a_1, a_2) : a_1, a_2 \in R\}$  where R is a field. For every  $(a_1, a_2), (b_1, b_2) \in S$  and  $c \in R$ , define

$$(a_1, a_2) + (b_1, b_2) = (a_1 + b_1, a_2 - b_2)$$
 and  $c(a_1, a_2) = (ca_1, ca_2)$ .

Note that S is **NOT** a vector space since (VS 1), (VS 2), and (VS 8) fail.

**Example 3.** Let S be the same set as in the last example. For  $(a_1, a_2), (b_1, b_2) \in S$  and  $c \in R$ , define

$$(a_1, a_2) + (b_1, b_2) = (a_1 + b_1, 0)$$

and

$$c(a_1, a_2) = (ca_1, 0).$$

Note that S is **NOT** a vector space with these operations since (VS 3), (VS 4), and (VS 5) fail.

#### 1.1.3 Basic Extensions from Definition

**Theorem 1.1.1** (Cancellation Law for Vector Addtion). If  $x, y, z \in V$  such that x + z = y + z, then x = y.

**Proof.** There exists a vector  $v \in V$  such that z + v = O (VS 4). Thus, we have

$$x = x + O$$

$$= x + (z + v)$$

$$= (x + z) + v$$

$$= (y + z) + v$$

$$= y + (z + v)$$

$$= y + O$$

$$= y.$$

Hence, we have x = y.

**Corollary.** The vector described O described in (VS 3) is unique.

**Proof.** Let  $x \in V$ . Suppose there exists two elements  $O, O' \in V$  such that

$$x + O = x \tag{1}$$

and

$$x + O' = x. (2)$$

Our objective is to show that O = O'. Equating (1) and (2), we find that

$$x + O = x + O' \tag{3}$$

Using the Cancellation Law for Vector Addition, (3) implies that O = O'. Hence, O is a unique vector in V.

**Corollary.** The vector y described in (VS 4) is unique.

**Proof.** Let  $x \in V$ . Suppose there exists  $y, y' \in V$  such that

$$x + y = O$$
 and  $x + y' = O$ .

Equating the two equations above, we get that

$$x + y = x + y'.$$

Applying the Cancellation Law for Vector Addition once again, we get that y = y'. Hence, y is a unique vector in V.

**Theorem 1.1.2.** In any vector space V, the following statements are true:

- (a) 0x = O for any  $x \in V$ .
- (b) (-a)x = -(ax) = a(-x) for each  $a \in F$  and each  $x \in V$ .
- (c) a0 = 0 for any  $a \in F$ .

**Proof.** (a) Using (VS 8), (VS 3), and (VS 1), it follows that

$$0x + 0x = (0 + 0)x$$
$$= 0x$$
$$= 0x + O$$
$$= O + 0x.$$

Hence, 0x = O by Theorem 1.1.

(b) The vector -(ax) is the unique element of V such that ax + [-(ax)] = O. If we know that ax + (-a)x = O, we can use Corollary 2 to Theorem 1.1 to state that (-a)x = -(ax). But by (VS 8), we have

$$ax + (-ax) = [a + (-a)]x = 0x = 0$$

by (a). Hence, we have that (-a)x = -(ax). In particular, we have (-1)x = -x. Thus, (VS 6) implies that

$$a(-x) = a[(-1)x] = [a(-1)]x = (-a)x.$$

(c) The proof of (c) is similar to the proof of (a).

1.2 Subspaces

**Definition 1.2.1** (Subspaces). A subset W of a vector space V over a field F is called a **subspace** of V if W is a vector space over F with the operations of addition and scalar multiplication.

The most simple examples of subspaces of V is V itself and the set containing just the zero vector. The latter is denoted as the **zero subspace** of V.

We don't have to check all the vector space properties to prove that a subset W of V is a subspace of V. This is because vectors in any subset of V already satisfy all the properties of a vector space. Hence, we need only check that W is closed under

- 1. Addition:  $x + y \in W$  whenever  $x \in W$  and  $y \in W$ .
- 2. Scalar Multiplication:  $cx \in W$  whenever  $c \in F$  and  $x \in W$ .
- $3. \ W$  contains a zero vector.
- 4. Each vector in W has an additive inverse in W.

**Theorem 1.2.1** (Subspaces). Let V be a vector space and W a subset of V. Then W is a subspace of V if and only if the following three conditions hold for the operations defined in V.

(a)  $O \in W$ .

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- (b)  $x + y \in W$  whenever  $x \in W$  and  $y \in W$ .
- (c)  $cx \in W$  whenever  $c \in F$  and  $x \in W$ .

**Proof.** Suppose W is a subspace of V. Since W is also a vector space with the operations of addition and scalar multiplication defined in V. Hence, we know that W is closed under addition and scalar multiplication. Note that W contains a zero vector  $O' \in W$  such that for any  $x \in W$ , we have x + 0' = x. Since x is also in V (since  $W \subseteq V$ ), we know that x + 0 = x. Using the cancellation, we can see that x + 0' = x + 0 implies O' = O. Hence, properties (a), (b), and (c) are satisfied.

Conversely, suppose conditions (a), (b), and (c) hold. We need to show that W is a subspace. This tells us that W is closed under addition and scalar multiplication in addition to containing the zero vector. Let  $x \in W$ . Since W is closed under scalar multiplication, we know that  $(-1)x \in W$ . By part (b) of theorem 2, we know that  $1(-x) = -x \in W$ . Hence, W contains an additive inverse and we are done.

The theorem above provides a simpler way to determine whether a given subset of a vector space is a subspace. Instead of using the definition to show that a give subset is a subspace, it is more common to use the result above.

**Definition 1.2.2** (Transpose). The **transpose** of  $A^t$  of an  $m \times n$  matrix A is the  $n \times m$  matrix obtained from A by interchanging the rows with the columns; that is,  $(A^t)_{ij} = A_{ji}$ .

### Example 4.

$$\begin{pmatrix} 1 & -2 & 3 \\ 0 & 5 & -1 \end{pmatrix}^t = \begin{pmatrix} 1 & 0 \\ -2 & 5 \\ 3 & -1 \end{pmatrix}$$

and

$$\begin{pmatrix} 1 & 2 \\ 2 & 3 \end{pmatrix}^t = \begin{pmatrix} 1 & 2 \\ 2 & 3 \end{pmatrix}.$$

**Definition 1.2.3** (Symmetric Matrices). A symmetric matrix is a matrix A such that  $A^t = A$ .

- The easiest example of a symmetric matrix would be a square matrix where i = j.
- The set W of all symmetric matrices in  $M_{n\times n}(F)$  is a subspace of  $M_{n\times n}(F)$  since the conditions of Theorem 1.3 hold.

We can show that W is indeed a subspace.

- 1. The zero matrix is equal to its transpose and hence belongs to W.
- 2. Suppose  $A \in W$  and  $B \in W$ . Hence,  $A^t = A$  and  $B^t = B$ . Hence, we have

$$(A+B)^t = A^t + B^t = A + B$$

which implies that  $A + B \in W$ .

3. We have  $A \in W$  implies  $A^t = A$ . Now, let  $a \in F$ . Then we have that  $(aA)^t = aA^t = aA$ . Hence,  $aA \in W$ .

**Example 5.** Let n be non-negative integer, and let  $P_n(F)$  consist of all polynomials in P(F) having degree less than or equal to n. We get that  $P_n(F)$  is a subspace because:

- 1. Zero polynomial has degree -1, it is in  $P_n(F)$ .
- 2. The sum of two polynomials with degrees less than or equal to n is another a polynomial less than or equal to n.
- 3. The product of a scalar and a polynomial of degree less than or equal to n is a polynomial less than or equal to n.

This tells us that  $P_n(F)$  is a subspace of the space of all polynomials.

**Example 6.** Let  $C(\mathbb{R})$  denote the set of all continuous real-valued functions defined on  $\mathbb{R}$ . We know that  $C(\mathbb{R})$  is a subset of the vector space  $\mathcal{F}(\mathbb{R},\mathbb{R})$  defined in Example 3. We will show that  $C(\mathbb{R})$  is a subspace of  $\mathcal{F}(\mathbb{R},\mathbb{R})$ .

- 1. Note that the zero function f(x) = 0 for all  $x \in \mathbb{R}$  of  $\mathcal{F}(\mathbb{R}, \mathbb{R})$  is a continuous real-valued function.
- 2. Let  $f, g \in C(\mathbb{R})$ . Since the sum of f and g is also continuous for all  $x \in \mathbb{R}$ , we have that  $f + g \in C(\mathbb{R})$ .
- 3. Let  $c \in \mathbb{R}$  and  $f \in C(\mathbb{R})$ . We have that for any  $x \in \mathbb{R}$ , (cf)(x) = cf(x) is a continuous function. Hence, property (c) is satisfied.

Hence,  $C(\mathbb{R})$  is a subspace.

**Definition 1.2.4** (Upper Triangular). An  $m \times n$  matrix A is called **upper triangular** if all its entries below the diagonal entries are zero; that is, if  $A_{ij} = 0$  whenever i > j.

**Example 7.** Let B be an upper triangular  $3 \times 4$  matrix

$$B = \begin{pmatrix} 1 & 2 & 3 & 4 \\ 0 & 5 & 6 & 7 \\ 0 & 0 & 8 & 9 \end{pmatrix}$$

**Definition 1.2.5** (Diagonal Matrix). An  $n \times n$  matrix M is called a **diagonal matrix** if  $M_{ij} = 0$  whenever  $i \neq j$ ; that is, if all its non-diagonal entries are zero.

**Example 8.** Let A be diagonal  $3 \times 3$  matrix.

$$A = \begin{pmatrix} 3 & 0 & 0 \\ 0 & -2 & 0 \\ 0 & 0 & 8 \end{pmatrix}$$

**Example 9.** The set of diagonal matrices is a subspace of  $M_{n\times n}(F)$ . To see why, we have

- 1. Let O be the zero matrix of  $M_{n\times n}(F)$ . Since O is also a diagonal matrix, we know that O must be in the set of diagonal matrices.
- 2. Let A, B in the set of diagonal matrices. Let  $i \neq j$  such that

$$(A+B)_{ij} = A_{ij} + B_{ij} = 0 + 0 = 0.$$

Hence, A + B is a diagonal matrix and so addition is closed.

3. Let A be a diagonal matrix as before and let  $c \in F$ . Let  $i \neq j$  again, and observe that

$$(cA)_{ij} = cA_{ij} = c \cdot 0 = 0.$$

Hence, cA is a diagonal matrix and so scalar multiplication is closed.

Since the set of diagonal matrices satisfies all properties of theorem 3, we conclude that it is indeed a subspace of  $M_{n\times n}(F)$ .

**Definition 1.2.6** (Trace). The **trace** of an  $n \times n$  matrix M, denoted tr(M), is the sun of the diagonal entries of M; that is,

$$tr(M) = M_{11} + M_{22} + \dots + M_{nn}.$$

The set of all  $n \times n$  matrices that have a trace equal to zero is a subspace of  $M_{n \times n}(F)$  (proved in Exercise 6).

**Example 10** (Non-example). Denote V as the set of matrices in  $M_{m \times n}(\mathbb{R})$  having non-negative entries. The subset V is not a subspace because it is not closed under scalar multiplication. We can see this by multiplying any matrix in V be a negative number and observe that the entries of said matrix are no longer all non-negative.

We can create subspaces out of other subspaces.

**Theorem 1.2.2.** Any intersection of subspaces of a vector space V is a subspace of V.

**Proof.** Let C be a collection of subspaces of V, and let W denote the intersection of the subspaces in C. Since every subspace contains the zero vector and the intersection  $W \neq \emptyset$ , the zero vector  $0 \in W$ . Let  $a \in F$  and  $x, y \in W$ . Since each subspace of C is closed under addition and scalar multiplication, it follows that x + y and ax are contained each subspace in C and hence W must be closed under addition and closed under scalar multiplication. Thus, W is a subspace of V by theorem 3.

A natural question to ask is whether or not the union of subspaces of a vector space V is a subspace of V too. We can see that indeed the union of subspaces of V satisfy the first first and third property of theorem 3. However, property 2 need not be satisfied all the time. In fact, the union can only be a subspace of V if and only if one the subspaces is a subset of the other.

## 1.3 Linear Combinations and Systems of Linear Equations

**Definition 1.3.1** (Linear Combinations). Let V be a vector space and S a nonempty subset of V. A vector  $v \in V$  is called **linear combination** of vectors of S if there exist a finite number of vectors  $u_1, u_2, \ldots, u_n \in S$  and scalars  $a_1, a_2, \ldots, a_n \in F$  such that

$$v = a_1 u_1 + a_2 u_2 + \dots + a_n u_n.$$

In this case, we say that v is a linear combination of  $u_1, u_2, \ldots, u_n \in V$  and call  $a_1, a_2, \ldots, a_n \in F$  the **coefficients** of the linear combination.

- An easy example of a vector expressed as a linear combination is the zero vector O where 0v = O for each  $v \in V$ .
- Determining whether a vector is a linear combination of other vectors often involves solving a system of linear equations.

**Example 11.** Suppose we wanted to express the vector  $(2,6,8) \in \mathbb{R}^3$  as a linear combination of

$$u_1 = (1, 2, 1), u_2 = (-2, -4, -2), u_3 = (0, 2, 3), u_4 = (2, 0, -3), \text{ and } u_5 = (-3, 8, 16).$$

Our goal is to find scalars  $a_1, a_2, a_3, a_4$  and  $a_5$  such that

$$(2,6,8) = a_1u_1 + a_2u_2 + a_3u_3 + a_4u_4 + a_5u_5.$$

Doing a bit of algebra, we would need to solve the following system of linear equations

$$a_1 - 2a_2 + 2a_4 - 3a_5 = 2$$
  
 $2a_1 - 4a_2 + 2a_3 + 8a_5 = 6$   
 $a_1 - 2a_2 + 3a_3 + 16a_5 = 8$ 

Solving the system of equations above involves three types of operations:

- 1. Interchanging the order of any two equations in the system;
- 2. multiplying any equation in the system by some non-zero constant;
- 3. adding a constant multiple of any equation to another equation in the system.

We will learn in a later section that the operations listed above do not change the set of solutions to the original system.

### **Example 12.** We claim that

$$2x^3 - 2x^2 + 12x - 6$$

is a linear combination of

$$x^3 - 2x^2 - 5x - 3$$
 and  $3x^3 - 5x^2 - 4x - 9$ 

in  $P_3(\mathbb{R})$ , but that

$$3x^3 - 2x^2 + 7x + 8$$

is not. In the first case we wish to find scalars a and b such that

$$2x^{3} - 2x^{2} + 12x - 6 = a(x^{3} - 2x^{2} - 5x - 3)$$

$$+ b(3x^{3} - 5x^{2} - 4x - 9)$$

$$= (a + 3b)x^{3} + (-2a - 5b)x^{2}$$

$$+ (-5a - 4b)x + (-3a - 9b).$$

Thus, we have the following system of linear equations:

$$a + 3b = 2$$

$$-2a - 5b = -2$$

$$-5a - 4b = 12$$

$$-3a - 9b = -6.$$

Adding the appropriate multiples of the first equation to the others in order to eliminate a, we find that

$$a + 3b = 2$$
$$b = 2$$
$$11b = 22$$
$$0b = 0.$$

Then we get that a = -4, b = 2, 0 = 0, 0 = 0. Hence, we have

$$2x^3 - 2x^2 + 12x - 6 = -4(x^3 - 2x^2 - 5x - 3) + 2(3x^3 - 5x^2 - 4x - 9).$$

Looking at the second case now, we observe that using the preceding technique leads us to the following system of linear equations

$$a + 3b = 3$$

$$-2a - 5b = -2$$

$$-5a - 4b = 7$$

$$-3a - 9b = 8.$$

Eliminating a as before yields the following:

$$a + 3b = 3$$
$$b = 4$$
$$11b = 22$$
$$0 = 17.$$

The presence of the non-sensical result in the last equality tells us that there are no solutions to the system and that the second polynomial cannot be written as a linear combination of the two polynomials.

We can denote the set of all linear combinations of some set of vectors in the following:

**Definition 1.3.2** (Span). Let S be a nonempty subset of a vector space V. The **span** of S, denoted span(S), is the set consisting of all linear combinations of the vectors in S. For convenience, we define span( $\emptyset$ ) =  $\{0\}$ .

Some immediate examples of spans are:

**Example 13.** In  $\mathbb{R}^3$ , the span of the set  $S = \{(1,0,0), (0,1,0)\}$  consist of all vectors in  $\mathbb{R}^3$  such that for some scalars  $a, b \in \mathbb{R}$ , we have

$$a(1,0,0) + b(0,1,0) = (a,b,0).$$

This tells us that S contains all the points in the xy-plane. One can show that S is a subspace of  $\mathbb{R}^3$ .

**Theorem 1.3.1.** The span of any subset S of a vector space v is a subspace of V that contains S. Moreover, any subspace of V that contains S must also contain the span of S.

**Proof.** Suppose  $S = \emptyset$ . Then the span of S is just  $\operatorname{span}(\emptyset) = \{0\}$  which is a subspace in which S is contained in. Moreover,  $\operatorname{span}(\emptyset) = \{0\}$  is always contained in any subspace X of V because every subspace contains the zero vector S. Suppose  $S \neq \emptyset$ , then S contains a vector S. We need to show that  $\operatorname{span}(S)$  is a subspace of S.

- (a) Since  $S \neq \emptyset$ , we know that S contains a vector v such that 0z = 0. Hence,  $0 \in \text{span}(S)$ .
- (b) Let  $x, y \in \text{span}(S)$ . We need to show that  $x + y \in \text{span}(S)$ . If  $x \in \text{span}(S)$ , then we can find  $a_1, a_2, \ldots, a_n \in F$  and  $x_1, x_2, \ldots, x_n \in S$  such that

$$a_1x_1 + a_2x_2 + \cdots + a_nx_n.$$

Likewise,  $y \in \text{span}(S)$  implies that we can find scalars  $b_1, b_2, \dots, b_m$  and vectors  $y_1, y_2, \dots, y_m$  such that

$$b_1y_1+b_2y_2+\cdots+b_my_m.$$

Then

$$x + y = a_1x_1 + a_2x_2 + \dots + a_nx_n + b_1y_1 + b_2y_2 + \dots + b_my_m$$

is a linear combination and thus  $x + y \in \text{span}(S)$ .

(c) Note that  $c \in F$  implies that

$$cx = c(a_1x_1 + a_2x_2 + \dots + a_nx_n)$$
  
=  $(ca_1)x_1 + (ca_2)x_2 + \dots + (ca_n)x_n$ 

is a linear combination and thus  $cx \in \text{span}(S)$ .

Hence, span(S) is a subspace of V. Now we need to show that S is contained within span(S); that is,  $S \subseteq \text{span}(S)$ . Let  $v \in S$ . Then using (VS 5), we can see that  $1 \cdot v = v$  is a linear combination; so we have  $v \in \text{span}(S)$  and hence, the span of S contains S.

Now let W be any subspace of V that contains S. We need to show that  $\operatorname{span}(S) \subseteq W$ . Let  $v \in \operatorname{span}(S)$ . Then we can find scalars  $a_1, a_2, \ldots, a_n \in F$  and  $x_1, x_2, \ldots, x_n \in S$  such that

$$v = a_1 x_1 + a_2 x_2 + \dots + a_n x_n.$$

Since  $S \subseteq W$ , we know that  $x_1, x_2, \ldots, x_n \in W$ . Using exercise 20 from section 1.3 and using the same set of scalars  $a_1, a_2, \ldots, a_n \in F$ , we have  $v = a_1x_1 + a_2x_2 + \cdots + a_nx_n \in W$ . Hence,  $\operatorname{span}(S) \subseteq W$ .

**Definition 1.3.3.** A subset S of a vector space V **generates** (or **spans**) V if span(S) = V. In this case, we also say that the vectors of S generates (or span) V.

**Example 14** (Vectors in  $\mathbb{R}^3$ ). The vectors (1,1,0),(1,0,1),(0,1,1) generate  $\mathbb{R}^3$  since any given vector  $v \in \mathbb{R}^3$  is a linear combination of the three given vectors. Furthermore, there exists scalars  $r, s, t \in \mathbb{R}$  such that

$$r(1,1,0) + s(1,0,1) + t(0,1,1) = (a_1, a_2, a_3) = v$$

where

$$r = \frac{1}{2}(a_1 + a_2 - a_3), s = \frac{1}{2}(a_1 - a_2 + a_3)$$
 and  $t = \frac{1}{2}(-a_1 + a_2 + a_3)$ .

**Example 15** (Polynomials). The polynomials  $x^2+3x-2$ ,  $2x^2+5x-3$ , and  $-x^2-4x+4$  generate  $P_2(\mathbb{R})$  because each of the three given polynomials belongs to  $P_2(\mathbb{R})$  and each polynomial  $ax^2+bx+c\in P_2(\mathbb{R})$  is a linear combination of these three. It can be shown that we can find  $a,b,c\in\mathbb{R}$  such that

$$a(x^{2} + 3x - 2) + b(2x^{2} + 5x - 3) + c(-x^{2} - 4x + 4) = ax^{2} + bx + c.$$

**Example 16** (Matrices). Note that the matrices

$$\begin{pmatrix} 1 & 0 \\ 1 & 0 \end{pmatrix}$$
,  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ ,  $\begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$ , and  $\begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix}$ 

generate  $M_{2\times 2}(\mathbb{R})$  because an every  $A \in M_{2\times 2}(\mathbb{R})$  can be expressed as a linear combination of the four given matrices found below where there exists scalars  $a, b, c, d \in \mathbb{R}$  such that

$$\begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} = a \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix} + b \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} + c \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix} + d \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix}$$

where it can be show that

$$a = \frac{1}{3}a_{11} + \frac{1}{3}a_{12} + \frac{1}{3}a_{21} - \frac{2}{3}a_{22}$$

$$b = \frac{1}{3}a_{11} + \frac{1}{3}a_{12} - \frac{2}{3}a_{21} + \frac{1}{3}a_{22}$$

$$c = \frac{1}{3}a_{11} - \frac{2}{3}a_{12} + \frac{1}{3}a_{21} + \frac{1}{3}a_{22}$$

$$d = -\frac{2}{3}a_{11} + \frac{1}{3}a_{12} + \frac{1}{3}a_{21} + \frac{1}{3}a_{22}$$

A non-example set of matrices are

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$
,  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ , and  $\begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$ 

do not generate  $M_{2\times 2}(\mathbb{R})$  since all of the matrices have equal diagonal entries. Thus, every the set of matrices above cannot generate every  $A \in M_{2\times 2}(\mathbb{R})$ .

## 1.4 Linear Dependence and Linear Independence

#### 1.4.1 Motivation

Suppose V is a vector space over a field F and that  $W \subseteq V$  is a subspace of V.

- Suppose we have a set S that will generate every vector in V as a linear combination of vectors in S.
- ullet It is desirable to find a subset of S that is as small as possible or rather just enough to generate each vector in V.
- The reason for this is to reduce the amount of computations done to represent a vector in V.
- Finding this subset is a matter of expressing one of the vectors in the spanning set as a linear combination of the other vectors in S.
- This will naturally lead to a system of linear equations for which we have to solve for the coefficients.
- The answer to the question is whether this system of equations leads to a solution or not.
- An easier way to answer this question is to find coefficients (not all zero) for which the zero vector can be written as a linear combination of vectors in S.
- Doing this, guarantees that we can write any one of the vectors in S as a linear combination of the others.

**Definition 1.4.1** (Linearly Dependence). A subset S of a vector space V is called **linearly dependent** if there exists a finite number of distinct vectors in  $u_1, u_2, \ldots, u_n \in S$  and scalars  $a_1, a_2, \ldots, a_n$  not all zero, such that

$$a_1u_1 + a_2u_2 + \dots + a_nu_n = 0.$$

In this case, we also say that the vectors of S are linearly dependent.

- The **trivial representation** of 0 is a linear combination of scalars  $a_1, a_2, \ldots, a_n \in F$  and distinct vectors  $v_1, v_2, \ldots, v_n \in S$  where for all  $1 \le i \le n$ , we have  $a_i = 0$ .
- This tells us that our definition of **linear dependence** implies that 0 is a non-trivial linear combination.
- Any subset of V that contains the zero vector is subsequently linearly dependent since 0 can be written as non-trivial representation; that is,  $1 \cdot 0 = 0$ .

## 1.4.2 Examples of Linearly Dependent Sets

**Example 17.** Consider a subset in  $\mathbb{R}^4$  defined by

$$S = \{(1, 3, -4, 2), (2, 2, -4, 0), (1, -3, 2, -4), (-1, 0, 1, 0)\}.$$

To show that S is a linear dependent set, we need to find scalars  $a_1, a_2, a_3, a_4 \in \mathbb{R}$  such that  $a_i$  for all  $1 \le i \le 4$  not all zero such that

$$a_1(1,3,-3,2) + a_2(2,2,-4,0) + a_3(1,-3,2,-4) + a_4(-1,0,1,0) = 0.$$

We can represent this as a system of linear equations with each equation being set equal to zero and solving for the scalars. Solving this system of equations leads to the coefficients  $a_1 = 4$ ,  $a_2 = -3$ ,  $a_3 = 2$ , and  $a_4 = 0$ . Thus, we have that S is linearly dependent subset of  $\mathbb{R}^4$  and hence we can write any vector in S as a linear combination of the other vectors contained in S.

**Example 18.** Define a subset of  $M_{2\times 2}(\mathbb{R})$ 

$$S = \left\{ \begin{pmatrix} 1 & -3 & 2 \\ -4 & 0 & 5 \end{pmatrix} \right\}, \begin{pmatrix} -3 & 7 & 4 \\ 6 & -2 & -7 \end{pmatrix}, \begin{pmatrix} -2 & 3 & 11 \\ -1 & -3 & 2 \end{pmatrix} \right\}.$$

This set is **linearly dependent** because we can find coefficients  $a_1, a_2, a_3$  such that  $a_1 = 5, a_2 = 3$ , and  $a_3 = -2$  where

$$5\begin{pmatrix} 1 & -3 & 2 \\ -4 & 0 & 5 \end{pmatrix} + 3\begin{pmatrix} -3 & 7 & 4 \\ 6 & -2 & -7 \end{pmatrix} - 2\begin{pmatrix} -2 & 3 & 11 \\ -1 & -3 & 2 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

**Definition 1.4.2** (Linear Independence). A subset S of a vector space that is not linearly dependent is called **linearly independent**. As before, we also say that the vectors of S are linearly independent.

Whereas linear dependence requires solutions that are not all zero, linear independence **requires** all the solutions to be zero. This provides us an easy way to determine if a finite set is linearly independent.

#### 1.4.3 Examples of Linear Independent Sets

Some facts about linearly independent sets include:

- The empty set is linearly independent since we don't have any vectors to take linear combinations of.
- A set consisting of only one non-zero vector; that is,  $\{v\}$  is linearly independent.
- If  $\{v\}$  is linearly dependent, then it the singleton has to be the zero vector 0. This is because au=0

$$u = 1 \cdot u = (a^{-1}a)u = a^{-1}(au) = a^{-1} \cdot 0 = 0.$$

**Example 19** (A Set of Vectors in  $\mathbb{R}^4$ ). It can be shown that the set

$$S = \{(1,0,0,-1), (0,1,0,-1), (0,0,1,-1), (0,0,0,1)\}$$

is linearly independent by showing that all the coefficients of the linear combination of 0 represent the trivial representation; that is, we have scalars  $a_1 = a_2 = a_3 = a_4 = 0$ .

**Example 20.** For k = 0, 1, ..., n, let  $p_k = x^k + x^{k+1} + ... + x^n$ . The set

$$\{p_0(x), p_1(x), \dots p_n(x)\}$$

is linearly independent in  $P_n(F)$ . It can be shown that for some scalars  $a_0, a_1, \ldots, a_n \in F$ , the following equation

$$a_0 + (a_0 + a_1 + a_2)x^2 + \dots + (a_0 + a_1 + \dots + a_n)x^n = 0$$

has the trivial-representation; that is,  $a_i = 0$  for all  $1 \le i \le n$ .

**Theorem 1.4.1.** Let V be a vector space, and let  $S_1 \subseteq S_2 \subseteq V$ . If  $S_1$  is linearly dependent, then  $S_2$  is linearly dependent.

**Proof.** Let V be a vector space. Suppose  $S_1$  is linearly dependent. Then there exists a finite number of distinct vectors  $v_1, v_2, \ldots, v_n \in S_1$  and scalars  $a_1, a_2, \ldots, a_n \in F$  not all zero such that

$$a_1v_1 + a_2v_2 + \cdots + a_nv_n = 0.$$

Since  $S_1 \subseteq S_2$ , we must have  $v_1, v_2, \dots, v_n \in S_2$  as well. If these vectors are linearly dependent, then these vectors are also linearly dependent in  $S_2$ ; that is, we have scalars  $a_1, a_2, \dots, a_n \in F$  not all zero such that

$$a_1v_1 + a_2v_2 + \dots + a_nv_n = 0.$$

Hence,  $S_2$  is linearly dependent.

**Corollary.** Let V be a vector space, and let  $S_1 \subseteq S_2 \subseteq V$ . If  $S_2$  is linearly independent, then  $S_1$  is linearly independent.

**Proof.** Note that this corollary is just the contrapositive of the theorem before it. Hence,  $S_1$  is linearly independent.

- Determining whether there exists a minimal generating set for the span of S is related to solving the problem of finding whether some vector in S that can be written in terms of a linear combination of other vectors in S.
- We can see that in a given subset of  $\mathbb{R}^3$  defined by

$$S = \{u_1, u_2, u_3, u_4\}$$

where  $u_1 = (2, -1, 4), u_2 = (1, -1, 3), u_3 = (1, 1, -1),$  and  $u_4 = (1, -2, 1).$  Note that this S is linearly dependent. This tells us that any vector in S can be written as a linear combination of the others. Suppose we pick  $u_3$  and write as a linear combination of the vectors  $u_1, u_2,$  and  $u_4$ . We would find that the span of these three vectors (denote this set as S') generates the same spanning set S but with a cardinality one less than S; that is,  $\operatorname{span}(S) = \operatorname{span}(S')$ .

• If we find that there does not exist a proper subset that is equivalent to the span of S, then S must be a linearly independent set.

**Theorem 1.4.2.** Let S be a linearly independent subset of a vector space V, and let  $v \in V$  but not in S. Then  $S \cup \{v\}$  is linearly dependent if and only if  $v \in \text{span}(S)$ .

**Proof.** ( $\Rightarrow$ ) Suppose  $S \cup \{v\}$  is a linearly dependent set. Then there exists a finite number of scalars  $a_1, a_2, \ldots, a_n \in F$  and vectors  $u_1, u_2, \ldots, u_n \in S \cup \{v\}$  such that

$$a_1u_1 + a_2u_2 + \dots + a_nu_n = 0.$$

Since S is a linearly independent set, we must have  $u_i = v$  for some  $1 \le i \le n$ . Choose i = 1 (any choice of i will do) such that

$$a_1v + a_2u_2 + \dots + a_nu_n = 0.$$

Solving for v by subtracting  $a_1v$  on both sides, multiplying by  $a_1^{-1}$  on both sides of the equation,

and distributing by  $a_1^{-1}$  yields the following:

$$v = (-a_1^{-1}a_2)u_2 + (-a_1^{-1}a_3)u_3 + \dots + (-a_1^{-1}a_n)u_n.$$

Since v is a linear combination of vectors  $u_1, u_2, \ldots, u_n \in S$ , we know that  $v \in \text{span}(S)$ .

 $(\Leftarrow)$  Conversely, suppose  $v \in \text{span}(S)$ . This implies that there exists a finite amount of scalars  $a_1, a_2, \ldots, a_n \in F$  and  $u_1, u_2, \ldots, u_n \in S$  such that

$$v = a_1 u_1 + a_2 u_2 + \dots + a_n u_n$$

Subtracting v from both sides of the equation above gives us the following equation:

$$a_1u_1 + a_2u_2 + \dots + a_nu_n - v = 0.$$

Note that v is not contained in S, so  $v \neq u_i$  for all  $1 \leq i \leq n$ . Since S is a linearly independent set, we know that  $a_i = 0$  for all  $1 \leq i \leq n$ . This implies that the only coefficient that is non-zero is with -v = -1v. Hence, the set of vectors  $S' = \{u_1, u_2, \ldots, u_n, v\}$  is linearly dependent. Since  $S' \subseteq S \cup \{v\}$ , we know that  $S \cup \{v\}$  is also linearly independent by Theorem 6.

## 1.5 Bases And Dimension

- Recall that S is a generating set for a subspace W and no proper subset of S is a generating set for W, then S must be linearly independent.
- Linearly independent sets possess the unique property that every vector that its spanning set generates is unique.
- This is property is what allows generating sets to be the building blocks of vector spaces.

**Definition 1.5.1** (Basis). A basis  $\beta$  for a vector space V is linearly independent subset of V that generates V. If  $\beta$  is a basis for V, we also say that the vectors of  $\beta$  form a basis for V.

**Example 21.** • Recall that the empty set  $\emptyset$  is linearly independent and that span( $\emptyset$ ) =  $\{0\}$ . The empty set  $\emptyset$  in this case is the basis for the zero vector space.

- Note that in  $F^n$ , the vectors  $e_1 = (1, 0, ..., 0)$ ,  $e_2 = (0, 1, 0, ..., 0) ..., e_n = (0, 0, ..., 0, 1)$  form a basis for  $F^n$ .
- The basis for  $M_{m\times n}(F)$  is the set of matrices  $E^{ij}$  such that the only nonzero entry is a 1 in the *i*th and *j*th column.
- As we have seen in the last section, the set  $\{1, x, x^2, \dots, x^n\}$  is a basis for  $P_n(F)$ .
- In P(F), the set  $\{1, x, x, x^2, \dots\}$  is a basis. Bases are not limited to finite sets. They can be infinite.

**Theorem 1.5.1.** Let V be a vector space and  $u_1, u_2, \ldots, u_n$  be distinct vectors in V. Then  $\beta = \{u_1, u_2, \ldots, u_n\}$  is a basis for V if and only if each  $v \in V$  can be unique expressed as a linear combination of vectors in  $\beta$ , that is, expressed in the form

$$v = a_1v_1 + a_2v_2 + \dots + a_nv_n$$

for unique scalars  $a_1, a_2, \ldots, a_n$ .

**Proof.** ( $\Rightarrow$ ) Suppose  $\beta = \{u_1, u_2, \dots, u_n\}$  is a basis for V. Then  $\operatorname{span}(\beta) = V$ . If  $v \in V$ , then  $v \in \operatorname{span}(\beta)$ . Hence, we can write v as a linear combination of vectors in  $\beta$  such that choosing

scalars  $a_1, a_2, \ldots, a_n \in F$  leads to

$$v = \sum_{i=1}^{n} a_i u_i.$$

Suppose there exists another representation of  $v \in V$  such that

$$v = \sum_{i=1}^{n} b_i x_i$$

Hence, observe that

$$\sum_{i=1}^{n} a_i x_i = \sum_{i=1}^{n} b_i y_i$$

$$\Rightarrow \sum_{i=1}^{n} (a_i - b_i) x_i = 0.$$

Since  $\beta$  is linearly independent, we know that  $a_i - b_i = 0$  which implies  $a_i = b_i$  for all  $1 \le i \le n$ . Hence, v can be expressed as a unique linear combination of vectors in  $\beta$ .

 $(\Leftarrow)$  Conversely, let  $v \in V$  be expressed as a unique linear combination of vectors in  $\beta$  such that

$$v = a_1 u_1 + a_2 u_2 + \dots + a_n u_n \tag{1}$$

for unique scalars  $a_1, a_2, \ldots, a_n$ . We want to show that  $\beta$  is a basis for V; that is, we want to show that  $\beta$  is a spanning set for V and  $\beta$  is linearly independent. To show that  $\beta$  is a spanning set for V, we need to show that  $\operatorname{span}(\beta) \subseteq V$  and  $V \subseteq \operatorname{span}(\beta)$ . Note that  $\beta \subseteq V$  and  $\operatorname{span}(\beta)$  is a subspace for V. Hence,  $\operatorname{span}(\beta) \subseteq V$ . On the other hand,  $V \subseteq \operatorname{span}(\beta)$  follows immediately from (1). To show that  $\beta$  is linearly independent, we need to show that for scalars  $\delta_1, \delta_2, \ldots, \delta_n$ , we have

$$\delta_1 u_1 + \delta_2 u_2 + \dots + \delta_n u_n = 0$$

such that  $u_i = 0$  for all  $1 \le i \le n$ . Note that v + 0 = v. Hence, we can write

$$\sum_{i=1}^{n} a_i u_i + \sum_{i=1}^{n} \delta_i u_i = \sum_{i=1}^{n} a_i u_i$$
$$\sum_{i=1}^{n} (a_i + \delta_i) u_i = \sum_{i=1}^{n} a_i u_i$$

Equating each term in the equation above yields the following equality:

$$a_i + \delta_i = a_i \Rightarrow \delta_i = 0$$

for all  $1 \le i \le n$ . But this tells us that  $\beta$  is linearly independent and we are done.

- Any vector  $v \in V$  can be written as a linear combination of vectors from the basis containing  $u_1, u_2, \ldots, u_n \in V$ .
- This determines a unique n-tuple of scalars  $(a_1, a_2, \ldots, a_n)$  and conversely, each n-tuple of scalars determines a unique vector  $v \in V$  such that each coefficient from the linear combination of  $u_1, u_2, \ldots, u_n$  is an entry from said tuple.
- For example, in our vector space  $F^n$ , n is the number of vectors that should be in the basis for  $F^n$  which is indeed the case.
- In this book, we are only concerned with finite bases.

**Theorem 1.5.2** (Finite Spanning Set For a Vector Space). If a vector space V is generated by a finite set S, then some subset of S is a basis for V. Hence, V has a finite basis.

**Proof.** Suppose  $S = \emptyset$  or  $S = \{0\}$ , then  $V = \{0\}$  and  $\emptyset$  is a subset of S that is a basis for V. If S neither of these choices, then S must contain at least one nonzero vector  $u_1$ ; that is,  $S = \{u_1\}$ . Since  $u_1$  is nonzero, it follows that S is a linearly independent set. We can continue this process of adding vectors  $u_2, \ldots, u_k$  into S such that S is a linearly independent set of K vectors. Since K is a finite set, we must end with the linearly independent set S is a finite set, we must end with the linearly independent set S independent set S is a finite set, we must end with the linearly independent set S independent set S in S in S independent set S in S

- (i) Suppose that  $\beta = S$  (remember that  $\beta$  is a finite set by construction). Then we have S is a linearly independent set and spanning set for V (since S is a finite set that generates V). Hence, S is a finite basis for V.
- (ii) Suppose  $\beta \subseteq S$  is a linearly independent set such that adding  $v \in S$  where  $v \notin \beta$  makes a linearly dependent set. We claim that  $\beta$  is the desired subset of S that is a basis for V. Then we have two cases; that is, either  $v \in \beta$  or  $v \notin \beta$ . Since  $\beta \subseteq S$ , we know by Theorem 1.5 that  $\operatorname{span}(\beta) \subseteq S$  (This applies for both cases). It suffices to show that  $S \subseteq \operatorname{span}(\beta)$ . If  $v \in \beta$ , then surely  $v \in \operatorname{span}(\beta)$ . Hence, we have  $S \subseteq \operatorname{span}(\beta)$ . Suppose  $v \notin \beta$ . Since  $\beta$  is a linearly independent set, then by Theorem 1.7, we have that  $\beta \cup \{v\}$  being linearly dependent implies that  $v \in \operatorname{span}(\beta)$ . Hence,  $S \subseteq \operatorname{span}(\beta)$ . Thus, that both cases implies that  $\beta$  is a spanning set for V.

This theorem tells us that any spanning set of a vector space V can reduced to a finite basis for V. This is illustrated in the following examples.

#### Example 22. Define

$$S = \{(2, -3, 5), (8, -12, 20), (1, 0, -2), (0, 2, -1), (7, 2, 0)\}$$

which can be shown to generate  $\mathbb{R}^3$ . The idea is to create a proper subset of S such that none of the vectors in  $\beta$  are a multiples of each other. In other words, we want a set that is linearly independent; that is, our choices of vectors determine whether a set will be linearly dependent or independent (we want the latter to hold). Say, we pick (2, -3, 5) as our first vector in our subset  $\beta$ . Right away, we can exclude (8, -12, 20) since it is a multiple of (2, -3, 5). Otherwise, including it would make  $\beta$  linearly dependent (see exercise 9 from section 1.5). Next, add the vectors (1, 0, -2) and (0, 2, -1) since they are not multiples of the other. Narrowing down our set to

$$\beta = \{(2, -3, 5), (1, 0, -2), (0, 2, -1)\}.$$

Note that adding the vector (7,2,0) makes  $\beta$  linearly dependent, so (7,2,0) is excluded from the list. Hence, we have arrived at a subset of S such that  $\beta \subseteq S$  is both a linearly independent set and spanning set for  $\mathbb{R}^3$ .

The following theorem and its corollaries are the most important results in the Chapter 1.

**Theorem 1.5.3** (Replacement Theorem). Let V be a vector space that is generated by a set G containing exactly n vectors, and let L be a linearly independent subset of V containing exactly m vectors. Then  $m \le n$  and there exists a subset H of G containing exactly n-m vectors such that  $L \cup H$  generates V.

**Proof.** Let us proceed the proof via induction on m. Let m=0 be our base case. Then we find that  $L=\emptyset$  is linearly independent set with exactly 0 vectors. Letting H=G gives us the desired result (since G contains exactly n vectors). Now suppose that the theorem is holds for some integer  $m\geq 0$ . We will show that the theorem holds for the m+1 case.Let  $L=\{v_1,v_2,\ldots,v_{m+1}\}$  be a linearly independent subset of V consisting of exactly m+1 vectors. By the corollary to Theorem 1.6, we find that  $L'=\{v_1,v_2,\ldots,v_m\}$  is a linearly independent set (because  $L'\subseteq L$  and L is

linearly independent). Using our induction hypothesis, we can conclude that  $m \leq n$  and that there exists a subset  $H' = \{u_1, u_2, \dots, u_{n-m}\}$  of G such that  $L' \cup H'$  generates V. Thus there exists scalars  $a_1, a_2, \dots a_m, b_1, b_2, \dots, b_{n-m}$  such that

$$v_{m+1} = a_1 v_1 + a_2 v_2 + \dots + a_m v_m + b_1 u_1 + b_2 u_2 + \dots + b_{n-m} u_{n-m}. \tag{1}$$

Note that n-m>0, **unless**  $v_{m+1}$  is a linear combination of  $v_1, v_2, \ldots, v_m$  which by Theorem 1.7 contradicts the assumption that L is a linearly independent set. Hence, n>m; that is,  $n\geq m+1$ . Furthermore, some  $b_i$ , say  $b_1$  is nonzero, for otherwise we obtain the same contradiction. Solving (1) for  $u_1$ , we get

$$u_1 = (-b_1^{-1}a_1)v_1 + (-b_1^{-1}a_2)v_2 + \dots + (-b_1^{-1})v_m + (b_1^{-1})v_{m+1} + (-b_1^{-1}b_2)u_2 + \dots + (-b_1^{-1}b_{n-m})u_{n-m}.$$

Let  $H = \{u_2, u_3, \dots, u_{n-m}\}$ . Then  $u_1 \in \text{span}(L \cup H)$  and because  $v_1, v_2, \dots, v_m, u_2, \dots, u_{n-m}$  are clearly in  $\text{span}(L \cup H)$ , we have that

$$L' \cup H' \subseteq \operatorname{span}(L \cup H).$$

Since  $L' \cup H'$  generates V and the fact that  $L' \cup H' \subseteq \operatorname{span}(L \cup H)$  (note that  $\operatorname{span}(L \cup H)$  is also a subspace), we know Theorem 1.5 that  $\operatorname{span}(L' \cup H') \subseteq \operatorname{span}(L \cup H)$ . Since  $\operatorname{span}(L' \cup H')$  generates V, we know that  $V \subseteq \operatorname{span}(L' \cup H') \subseteq \operatorname{span}(L \cup H)$ . Observe that  $\operatorname{span}(L \cup H) \subseteq V$  is true by default. Hence,  $\operatorname{span}(L \cup H)$  generates V and that H contains (n-m)-1=n-(m+1) vectors which concludes our induction proof.