

# 1 Definition

- Suppose  $U_1, \dots, U_m$  are subsets of  $V$ . The **sum** of  $U_1, \dots, U_m$ , denoted  $U_1 + \dots + U_m$ , is the set of all possible sums of elements of  $U_1, \dots, U_m$ . More precisely,

$$U_1 + \dots + U_m = \{u_1 + \dots + u_m \mid u_1 \in U_1, \dots, u_m \in U_m\}.$$

- Suppose  $U_1, \dots, U_m$  are subspaces of  $V$ . The sum  $U_1 + \dots + U_m$  is called a **direct sum** if each element of  $U_1 + \dots + U_m$  can be written uniquely as a sum  $u_1 + \dots + u_m$ , where each  $u_j$  is in  $U_j$ .
- If  $U_1 + \dots + U_m$  is a direct sum, then  $U_1 \oplus \dots \oplus U_m$  denotes  $U_1 + \dots + U_m$ , with the  $\oplus$  notation serving as an indication that this is a direct sum.

# 2 Theorem

## 2.1 Smallest containing subspace

Suppose  $U_1, \dots, U_m$  are subspaces of  $V$ . Then  $U_1 + \dots + U_m$  is the smallest subspace of  $V$  containing  $U_1, \dots, U_m$ .

### Proof

Given subspaces  $U_1, \dots, U_m$  of  $V$ , we aim to show that  $U_1 + \dots + U_m$  is a subspace of  $V$ , contains  $U_1, \dots, U_m$ , and is the smallest subspace of  $V$  containing  $U_1, \dots, U_m$ .

- Because  $U_1, \dots, U_m$  are subspaces of  $V$ , it is obvious that  $0 \in U_1 + \dots + U_m$  and that  $U_1 + \dots + U_m$  is closed under addition and scalar multiplication.
- In each  $U_j$ , for every  $u_j \in U_j$ , clearly that  $u_j \in U_1 + \dots + U_m$  because we can choose all the other  $u$ 's as 0. Thus, each  $U_j \subseteq U_1 + \dots + U_m$ .
- For every subspace  $W$  of  $V$  that contains  $U_1, \dots, U_m$ , we have  $U_1 + \dots + U_m \subseteq W$ , for the following reason. For every  $u \in U_1 + \dots + U_m$ , we can write

$$u = u_1 + \dots + u_m,$$

where  $u_1 \in U_1, \dots, u_m \in U_m$ . And because  $W$  contains  $U_1, \dots, U_m$ , this means that each  $u_j \in W$ , and so is their sum  $u_1 + \dots + u_m$  since  $W$  is closed under addition. Thus  $u \in W$ .

## 2.2 Condition for a direct sum

Suppose  $U_1, \dots, U_m$  are subspaces of  $V$ . Then  $U_1 + \dots + U_m$  is a direct sum if and only if the only way to write 0 as a sum  $u_1 + \dots + u_m$ , where each  $u_j$  is in  $U_j$ , is by taking each  $u_j$  equal to 0.

### Proof

Given subspaces  $U_1, \dots, U_m$  of  $V$ , we aim to show that both directions are true.

- $\Rightarrow$  Suppose that  $U_1 + \dots + U_m$  is a direct sum. If there were  $u_1 \in U_1, \dots, u_m \in U_m$ , not all 0, such that

$$u_1 + \dots + u_m = 0.$$

Then we would have a different representation that adds to 0, as

$$2u_1 + \dots + 2u_m = 0,$$

which contradicts the definition of the direct sum  $U_1 + \dots + U_m$ .

- $\Leftarrow$  Suppose that the only way to write 0 as a sum  $u_1 + \dots + u_m$ , where each  $u_j$  is in  $U_j$ , is by taking each  $u_j$  equal to 0. For every  $w \in U_1 + \dots + U_m$ , we can write

$$w = u_1 + \dots + u_m,$$

for some  $u_1 \in U_1, \dots, u_m \in U_m$ . To show that this representation is unique, consider that

$$w = v_1 + \dots + v_m,$$

for some  $v_1 \in U_1, \dots, v_m \in U_m$ . Subtracting these two equations gives

$$0 = (u_1 - v_1) + \dots + (u_m - v_m).$$

Because  $(u_1 - v_1) \in U_1, \dots, (u_m - v_m) \in U_m$ , based on the assumption we have each  $u_j - v_j = 0$ . Thus  $u_1 = v_1, \dots, u_m = v_m$ ; that is, there is a unique representation of  $w$ .

## 2.3 Direct sum of two subspaces

Suppose  $U$  and  $W$  are subspaces of  $V$ . Then  $U + W$  is a direct sum if and only if  $U \cap W = \{0\}$ .

### Proof

- $\Rightarrow$  Given that  $U$  and  $W$  are subspaces of  $V$ , suppose that  $U + W$  is a direct sum. Then we know that for every  $u \in U$  and  $w \in W$ ,  $u + w = 0$  implies  $u = w = 0$  by 2.2. Now

given any  $v \in U \cap W$ , there exists its additive inverse  $v' \in U \cap W$  such that  $v + v' = 0$ . And since it is also true that  $v \in U$  and  $v' \in W$ , we have  $v = 0$ . Thus,  $U \cap W = \{0\}$ .

- $\Leftarrow$  Given that  $U$  and  $W$  are subspaces of  $V$ , suppose  $U \cap W = \{0\}$ . To prove that  $U + W$  is a direct sum, suppose  $u \in U$  and  $w \in W$  such that  $u + w = 0$ , we want to show that  $u = w = 0$ . Because the additive inverse is unique, we know that  $u = -w \in W$ . This implies that  $u \in U \cap W$ , and thus  $u = 0$ .

## 2.4 Every subspace of $V$ is part of a direct sum equal to $V$

Suppose  $V$  is finite-dimensional and  $U$  is a subspace of  $V$ . Then there is a subspace  $W$  of  $V$  such that  $V = U \oplus W$ .

### Proof

Given that  $V$  is finite-dimensional and  $U$  is a subspace of  $V$ ,  $U$  is also finite-dimensional. Let  $u_1, \dots, u_m$  be a basis of  $U$ . The list can then be extended to be a basis of  $V$ . Let the extended list be

$$u_1, \dots, u_m, w_1, \dots, w_n.$$

Let  $W = \text{span}(w_1, \dots, w_n)$ . We aim to show that  $U + W$  is a direct sum and  $V = U + W$ .

- For every  $v \in U \cap W$ , there exist scalars  $a_1, \dots, a_m, b_1, \dots, b_n \in \mathbf{F}$  such that

$$v = a_1u_1 + \dots + a_mu_m = b_1w_1 + \dots + b_nw_n.$$

Thus

$$a_1u_1 + \dots + a_mu_m + (-b_1)w_1 + \dots + (-b_n)w_n = 0.$$

Since  $u_1, \dots, u_m, w_1, \dots, w_n$  is linearly independent, it must be that  $a_1 = \dots = a_m = -b_1 = \dots = -b_n = 0$ . Thus  $v = 0$ , which concludes that  $U \cap W = \{0\}$ .

- $\boxed{U + W \subseteq V}$  It is trivially true as  $U$  and  $W$  are subspaces of  $V$ .
- $\boxed{V \subseteq U + W}$  For every  $v \in V$ , since the list  $u_1, \dots, u_m, w_1, \dots, w_n$  spans  $V$ , there exist scalars  $a_1, \dots, a_m, b_1, \dots, b_n \in \mathbf{F}$  such that

$$v = a_1u_1 + \dots + a_mu_m + b_1w_1 + \dots + b_nw_n.$$

Let  $u = a_1u_1 + \dots + a_mu_m \in U$  and  $w = b_1w_1 + \dots + b_nw_n \in W$ , we can represent  $v$  as  $v = u + w$ . Thus  $v \in U + W$ .

### 3 Exercise

#### 3.1 1.C.15

Suppose  $U$  is a subspace of  $V$ . What is  $U + U$ ?

##### Solution

$U + U = U$ . For every  $v \in U + U$ , it can be written as  $v = u_1 + u_2$ , where  $u_1, u_2 \in U$ ; thus  $v \in U$  because addition is closed. And For every  $u \in U$ , it is trivial that  $u \in U + U$  as it can be written as  $u = u + 0$ .

#### 3.2 1.C.16

Is the operation of addition on the subspaces of  $V$  commutative? In other words, if  $U$  and  $W$  are subspaces of  $V$ , is  $U + W = W + U$ ?

##### Solution

Yes because addition in vector space is commutative. For every  $v \in U + W$ , we can write  $v = u + w = w + u \in W + U$  for some  $u \in U$  and  $w \in W$ . The other direction is true with the similar reason.

#### 3.3 1.C.17

Is the operation of addition on the subspaces of  $V$  associative? In other words, if  $U_1, U_2, U_3$  are subspaces of  $V$ , is  $(U_1 + U_2) + U_3 = U_1 + (U_2 + U_3)$ ?

##### Solution

Yes because addition in vector space is associative. For every  $v \in (U_1 + U_2) + U_3$ , we can write  $v = (u_1 + u_2) + u_3 = u_1 + (u_2 + u_3) \in U_1 + (U_2 + U_3)$  for some  $u_1 \in U_1, u_2 \in U_2, u_3 \in U_3$ . The other direction is true with the similar reason.

#### 3.4 1.C.18

Does the operation of addition on the subspaces of  $V$  have an additive identity? Which subspaces have additive inverses?

##### Solution

For every subspaces  $U$  of  $V$ , we have  $U + \{0\} = U$ ; thus  $\{0\}$  is an additive identity. For a subspace  $U$  of  $V$  to have a additive inverse, there should be a subspace  $U'$  of  $V$  such that

$U + U' = \{0\}$ . The only  $U$  satisfying this requirement is  $\{0\}$ , as any other  $U$  would lead to  $U + U' \neq \{0\}$  for any  $U'$ .

### 3.5 1.C.24

A function  $f : \mathbf{R} \rightarrow \mathbf{R}$  is called **even** if

$$f(-x) = f(x), \quad \forall x \in \mathbf{R}.$$

A function  $f : \mathbf{R} \rightarrow \mathbf{R}$  is called **odd** if

$$f(-x) = -f(x), \quad \forall x \in \mathbf{R}.$$

Let  $U_e$  denote the set of real-valued even functions on  $\mathbf{R}$  and let  $U_o$  denote the set of real-valued odd functions on  $\mathbf{R}$ . Show that  $\mathbf{R}^{\mathbf{R}} = U_e \oplus U_o$ .

#### Solution

We aim to show that  $U_e + U_o$  is a direct sum, and  $U_e + U_o = \mathbf{R}^{\mathbf{R}}$ .

- It can be shown that  $U_e \cap U_o = \{0\}$  where 0 is the function that is identically zero; thus  $U_e + U_o$  is a direct sum.

Suppose to the contrary that there were non-identically-zero  $f \in U_e \cap U_o$ , then there would exist  $x \in \mathbf{R}$  such that  $f(x) \neq 0$ . By  $f \in U_e$  we have  $f(x) = f(-x)$ ; and by  $f \in U_o$  we have  $f(x) = -f(-x)$ . This leads to  $f(-x) = -f(-x) \neq 0$ , which is a contradiction.

- $U_e + U_o \subseteq \mathbf{R}^{\mathbf{R}}$

For every  $f \in U_e + U_o$ , it is trivial that  $f \in \mathbf{R}^{\mathbf{R}}$ , as  $U_e$  and  $U_o$  are subspaces of  $\mathbf{R}^{\mathbf{R}}$ .

- $\mathbf{R}^{\mathbf{R}} \subseteq U_e + U_o$

For every  $f \in \mathbf{R}^{\mathbf{R}}$ , we want to find  $g \in U_e$  and  $h \in U_o$  such that

$$f(x) = g(x) + h(x), \quad \forall x \in \mathbf{R}.$$

To find the value of  $g(x)$  and  $h(x)$  at each point  $x = x_0$ , we solve the following system.

$$\begin{aligned} & \left\{ \begin{array}{l} f(x_0) = g(x_0) + h(x_0) \\ f(-x_0) = g(-x_0) + h(-x_0) = g(x_0) - h(x_0) \end{array} \right. \\ \implies & g(x_0) = \frac{f(x_0) + f(-x_0)}{2}, \quad h(x_0) = \frac{f(x_0) - f(-x_0)}{2}. \end{aligned}$$

In this way, we find the unique solution (thus also show that it is a direct sum) of  $g(x)$  and  $h(x)$ .

### 3.6 2.B.8

Suppose  $U$  and  $W$  are subspaces of  $V$  such that  $V = U \oplus W$ . Suppose also that  $u_1, \dots, u_m$  is a basis of  $U$  and  $w_1, \dots, w_n$  is a basis of  $W$ . Prove that

$$u_1, \dots, u_m, w_1, \dots, w_n$$

is a basis of  $V$ .

#### Proof

We aim to show that  $u_1, \dots, u_m, w_1, \dots, w_n$  is linearly independent and spans  $V$ .

- Let  $a_1, \dots, a_m, b_1, \dots, b_n \in \mathbf{F}$  be scalars such that

$$a_1u_1 + \cdots + a_mu_m + b_1w_1 + \cdots + b_nw_n = 0.$$

We can write  $u = a_1u_1 + \cdots + a_mu_m \in U$  and  $w = b_1w_1 + \cdots + b_nw_n \in W$ . And since  $U + W$  is a direct sum,  $u + w = 0$  implies  $u = w = 0$ . That is,

$$a_1u_1 + \cdots + a_mu_m = b_1w_1 + \cdots + b_nw_n = 0.$$

Because  $u_1, \dots, u_m$  and  $w_1, \dots, w_n$  are bases,

$$a_1 = \cdots = a_m = b_1 = \cdots = b_n = 0.$$

Thus  $u_1, \dots, u_m, w_1, \dots, w_n$  is linearly independent.

- For every  $v \in V$ , since  $V = U + W$ , there exist  $u \in U$  and  $w \in W$  such that  $v = u + w$ . And we can further write  $u$  and  $v$  as

$$u = a_1u_1 + \cdots + a_mu_m, \quad w = b_1w_1 + \cdots + b_nw_n,$$

for some scalars  $a_1, \dots, a_m, b_1, \dots, b_n \in \mathbf{F}$ . Then

$$v = a_1u_1 + \cdots + a_mu_m + b_1w_1 + \cdots + b_nw_n.$$

Thus  $v \in \text{span}(u_1, \dots, u_m, w_1, \dots, w_n)$ , which concludes that the list spans  $V$ .