

Processes

**Program:** A passive collection of instructions

**Process:** The abstraction provided by the OS of a running program

**Machine State:** What a program can read and change when it is running (registers, address spaces, open files, etc.)

**Process Control Block (PCB):** A data structure that contains the state of a process.

**Creation of A Process by OS:**

- Load data from disk to memory
- Allocate space for the run-time stack and initialize the stack with arguments (i.e. fill in the parameters for argc and argv)
- Allocate memory for program's heap. Initially small, but OS may grow the heap as needed.
- Setup initial file descriptors (stdin, stdout, stderr).
- Transfer control of the CPU to the newly-created process (i.e. main() ).

**Context Switch:** the CPU stops running one process (or thread) and starts running another

- Process A executes
- Hardware: generates timer interrupt, save regs(A) to kernel stack, move to kernel mode and jump to trap handler
- OS: Handle the trap, call switch routine, save regs(A) → proc\_t(A), restore regs(B) ← proc\_t(B), switch to k-stack(B), return-from-trap (into B)
- Hardware: restore regs(B) from kernel stack, move to user mode and jump to process B
- Process B executes.

CPU Scheduling

**Running Time Metrics:**

- $T_{\text{turnaround}} = T_{\text{completion}} - T_{\text{arrival}}$
- $T_{\text{response}} = T_{\text{first run}} - T_{\text{arrival}}$

**FIFO/FCFS:** First Come First Served, nonpreemptive

**SJF:** Shortest Job First, nonpreemptive

**STCF:** Shortest Time to Completion First, preemptive. Always run job that will complete the quickest

**MLFQ:** Multi-Level Feedback Queue, preemptive

1. If Priority (A) > Priority (B) then A runs
2. If Priority (A) == Priority (B) then A&B run in RR
3. Processes start at top priority
4. Once a job uses up its time allotment at a given level (regardless of how many times it has given up the CPU), its priority is reduced
5. After some time period S, move all the jobs in the system to the topmost queue.

**Lottery Scheduler:** Randomly selects the next process to run based on ticket probabilities, giving each process CPU time proportional to its number of tickets.

- Ticket Currency: allows a user to allocate tickets among their running processes.
- Ticket Transfer: allows a process to temporarily hand off its tickets to another Process.
- Ticket Inflation: trusted processes can boost tickets to indicate its need for more CPU time.

**Unfairness Metric:**  $U = \frac{T(\text{process1 completion})}{T(\text{process2 completion})}$

**Stride Scheduler**

- Each process is assigned a stride, which is the inverse proportion to the number of tickets the process has.
- Every time a process runs, its pass value is incremented by its stride.
- Scheduler selects the process with the smallest pass value.

**The Linux Completely Fair Scheduler (CFS):**

- Divide a time length evenly among *n* processes.
- Each process has a `vruntime` and a `nice` value.
- The process with the smallest `vruntime` is selected to run.
- Update `vruntime` of the running process by

$$vruntime_i + = \frac{weight_0}{weight_{nice_i}} \times runtime_i$$

- Ready jobs' `vruntime` are kept in a red-black tree.
- When jobs wake up, their `vruntime` is set to the minimum value in the tree.

Virtualizing Memory

**Transparency:** Process is unaware of sharing

**Static Allocation:** Randomly writes each program as it is loaded and placed in memory

- No Protection
- Cannot move addresses space after it has been placed.

**Dynamic Allocation:** Allocates memory at run-time

- Requires hardware support (Memory Management Unit)
- MMU dynamically changes process address at every memory reference

**Sparse Allocation:** Allocates memory in chunks, only allocate physical memory when needed.

**Base+Bounds:**

- MMU compares logical address to bounds register.
- If logical address is out of bounds, raise an error.
- Otherwise, add base register to logical address to get physical address.

- OS sets registers when loading process.
- Process can be moved by updating its base register.

**Running Process with Base+Bounds:**

- OS: allocate memory in process table, alloc memory for process, set base and bounds registers, then return from trap.
- Hardware: Restore registers, move to user mode, jump to process's **PC** (stores the next instruction address).
- Process A: fetch instruction
- Hardware: translate VA, perform fetch.
- Process A: execute instruction.
- Hardware: if explicit load/store, ensure address is legal and translate the VA.

**Segmentation:** Divide the address space into segments (Code, Stack, Heap), each segment has separate base+bounds registers and grows independently.

- Explicit Approach: top bits of address select the segment, remaining are the offset.
- Implicit Approach: entire logical address is the offset, the corresponding segment is determined by how logical address is formed:
  - Formed from PC (Program Counter): code segment.
  - Formed from SP (Stack Pointer): stack segment.
  - Anything else: heap segment.

Segment	Base	Size	GrowsPositive?	Protection
00	32 K	2 K	1	R-X
01	34 K	3 K	1	R-W
11	28 K	2 K	0	R-W

Table 1: Segment Register

**Paging** Divide virtual and physical memory into fixed-size pages Map virtual pages to physical pages with a page table

**TLB Contents**

- **VPN:** used for lookup
- **PFN:** change the Virtual address VPN to PFN
- **G:** global bit (shared by all processes, don't check ASID)
- **ASID:** Address Space Identifier (which process's Page Table)
- **D:** dirty bit (changed when page has been written to)
- **V:** valid bit (valid translation present in entry)

**Sapping Policy:**

- **OPT:** Evict the page that will not be used for the longest time.
- **LRU:** Evict the page that has not been used for the longest time.
- **Random:** Randomly select a page to replace.

### Clock Algorithm: Approximating LRU

- Add use bit to PTE, whenever page is referenced, bit set to 1
- Imagine all the pages of the system arranged in a circular list
- A clock hand points to some particular page, P
- When replacement needs to happen, OS checks use bit of page P
- if 1 , (not good candidate) set use bit to 0 and advance P , keep looking
- if 0 , (good candidate) replace this page

### 2-level Multi-level Paging Example:

```
VA = 0x0214 (15 bits -> 5|5|5)
+-----+-----+-----+
|DirIdx=0x00 |PTIdx=0x10 |Off=0x14 |
+-----+-----+-----+
|
PDBR=13 --> Physical Page #13 (Page Directory)
| Read byte 0 = PDE=0x83
v (V=1, Page Table PFN=0x03)
Physical Page #3 (Page Table)
| Read byte 16 = PTE=0x8E
v (V=1, Data PFN=0x0E)
Physical Page #14 (Data Page)
| Offset 0x14
v
Physical Address = (0x0E<<5) | 0x14 = 0x1D4
```

### VAX/VMS Virtual Memory Layout:

- Page 0 invalid
- Segmentation: P0, P1, S
  - P0, P1: User segments
  - S: System segments(Kernel)
- Context Switch changes P0 & P1 PT Registers

### Segmented FIFO

- RSS (Referenced Set Size): the maximum number of pages in memory for each process
- FIFO: first-in pages are moved to two **global** second-chance lists before actual eviction:
  - Clean-Page Free List
  - Dirty-Page List
- If another process needs free page, take first page off clean list
- If original process needs page before actual eviction, reclaims it from list.
- As the global list grow, it performs similar to LRU.
- Uses Clustering of pages from dirty list to write to disk.

**Lazy Optmization: Demand Zeroing:** To prevent process read sensitive data from previous process, the OS first mark PTE invalid.

Only on page fault (the process trying to use the page), the OS will zero the page.

**Copy-On-Write:** Share physical page across different processes. If one is writing, then copy the page and write to the new page.

**ASLR: Address Space Layout Randomization:** Randomize the address space layout of the process to avoid buffer overflow attacks.