

14. 牛顿迭代法和带参数的牛顿迭代法求方程  $f(x) = (\sin x - \frac{x}{2})^2 = 0$   
一个近似根精确到  $10^{-5}$ , 初值  $x_0 = \frac{\pi}{2}$

解: ①  $f'(x) = 2(\sin x - \frac{x}{2})(\cos x - \frac{1}{2})$

用牛顿迭代公式为:

$$x_{k+1} = x_k - \frac{(\sin x_k - \frac{x_k}{2})^2}{2(\sin x_k - \frac{x_k}{2})(\cos x_k - \frac{1}{2})}$$

$$= x_k - \frac{\sin x_k - \frac{x_k}{2}}{2(\cos x_k - \frac{1}{2})}$$

取  $x_0 = \frac{\pi}{2}$ ,  $x_1 = \frac{\pi}{2} - \frac{1-\frac{\pi}{2}}{-1} = 1.785398$

$x_2 = 1.844562$

$x_{19} = 1.89549$

$x_{20} = 1.895494$

$|x_{20} - x_{19}| = 0.000004 = 4 \times 10^{-6} < 10^{-5}$

因此近似根为  $x^* = x_{20} = 1.895494$

② 带参数的牛顿迭代法

由  $f(x) = (\sin x - \frac{x}{2})^2 = 0$  可知:  $f(x) = 0$  时,

$x_1 = 0$  或  $x = x^*$  时成立, 因此  $x^*$  为  $f(x)$  的 2 重根.

用求根重根的迭代公式为:

$$x_{k+1} = x_k - m \frac{f(x)}{f'(x)}$$

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$$\Rightarrow x_{k+1} = x_k - \frac{\left(\sin x_k - \frac{x_k}{2}\right)^2}{2\left(\sin x_k - \frac{x_k}{2}\right)\left(\cos x_k - \frac{1}{2}\right)}$$
$$= x_k - \frac{\sin x_k - \frac{x_k}{2}}{\cos x_k - \frac{1}{2}}$$

$$\text{取 } x_0 = \frac{\pi}{2}, \quad x_1 = 2 \quad x_2 = 1.908995594$$

$$x_3 = 1.895511645$$

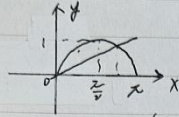
$$x_4 = 1.895494267$$

$$x_5 = 1.895494267$$

$$\text{因此 } x^* = x_4 = 1.895494267 \approx 1.895494$$

14. 用牛顿迭代法和带参数的牛顿迭代法求方程的  $f(x) = (\sin x - \frac{x}{2})^2 = 0$  一个近似根精确到  $10^{-5}$ , 初值  $x_0 = \frac{\pi}{2}$   $x > 0$  时, 有  $y = x$ ,  $y' = \sin x$ .

解:



$$f(x) = 2(\sin x - \frac{x}{2})(\cos x - \frac{1}{2})$$

单根牛顿迭代法:

$$x_{k+1} = x_k - \frac{f(x_k)}{f'(x_k)}$$

$$k=0, 1, 2, \dots$$

$$x_{k+1} = x_k - \frac{\sin x_k - \frac{x_k}{2}}{2(\cos x_k - \frac{1}{2})}$$

初值  $x_0 = \frac{\pi}{2} = 1.57$  和精度  $10^{-5}$ .

$$x_1 = \frac{\pi}{2} - \frac{1 - \frac{\pi}{2}}{2(0 - \frac{1}{2})} = \frac{\pi}{2} + 1 - \frac{\pi}{2} = 1 + \frac{\pi}{2} \approx 1.78540$$

$$x_2 = 1.84456$$

$$x_7 = 1.89399$$

$$x_{12} = 1.89545$$

$$x_3 = 1.87083$$

$$x_8 = 1.89474$$

$$x_{13} = 1.89547$$

$$x_4 = 1.88334$$

$$x_9 = 1.89512$$

$$x_5 = 1.88946$$

$$x_{10} = 1.89531$$

$$x_6 = 1.89449$$

$$x_{11} = 1.89540$$

$$\therefore x^* = x_{13} = 1.89547$$

重根. 精确值  $x^*$

$$\lim_{x \rightarrow x^*} f(x) > 0$$

$$\lim_{x \rightarrow x^*} f'(x) > 0$$

是重根.

使用带参数的牛顿迭代法.  $m=2$

$$x_{k+1} = x_k - m \cdot \frac{f(x_k)}{f'(x_k)}$$

$$\rightarrow x_{k+1} = x_k - 2 \cdot \frac{\sin x_k - \frac{x_k}{2}}{2(\cos x_k - \frac{1}{2})}$$

$$= x_k - \frac{\sin x_k - \frac{x_k}{2}}{\cos x_k - \frac{1}{2}}$$

$$k=0, 1, 2, \dots$$

初值  $x_0 = \frac{\pi}{2}$ .

精度  $10^{-5}$ .

$$x_1 = 2$$

$$x_2 = 1.90100$$

$$x_3 = 1.89551$$

$$|x_4 - x_3| = 0.00002$$

$$x_4 = 1.89549$$

$$\therefore x^* = x_4 = 1.89549$$

①  $f(x)$  的导数  $f'(x) = 2(\sin x - \frac{x}{2})(\cos x - \frac{1}{2})$

牛顿法迭代公式为  $x_{k+1} = x_k - \frac{f(x_k)}{f'(x_k)} \quad k=0,1,2,\dots$

$$\begin{aligned} \text{代入得 } x_{k+1} &= x_k - \frac{(\sin x_k - \frac{x_k}{2})^2}{2(\sin x_k - \frac{x_k}{2})(\cos x_k - \frac{1}{2})} \\ &= x_k - \frac{\sin x_k - \frac{x_k}{2}}{2(\cos x_k - \frac{1}{2})} \end{aligned}$$

初始值  $x_0 = \frac{\pi}{2}$ : 令  $k=0$  代入

$$\text{则 } x_1 = 1.785398$$

$$|x_{k+1} - x_k| < \varepsilon$$

$$x_2 = 1.844562$$

$\vdots$

$$x_{20} = 1.895494$$

$$|x^* - 1.895494| < 10^{-5}$$

②  $f(x)$  的根  $x^*$  为 2 重根

$$\begin{aligned} x_{k+1} &= x_k - \frac{f(x_k)}{f'(x_k)} \quad k=0,1,2,\dots \\ &= x_k - \frac{\sin x_k - \frac{x_k}{2}}{\cos x_k - \frac{1}{2}} \end{aligned}$$

取  $x_0 = \frac{\pi}{2}$ .

$$\text{则 } x_1 = 2.000000$$

$$x_3 = 1.895512$$

$$x_2 = 1.900996$$

$$x_4 = 1.895494$$

$$x_5 = 1.895494 \quad \text{再次迭代到法①上 } x_{20} \text{ 结果}$$



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牛顿迭代法:

$$x_{n+1} = x_n - \frac{f(x_n)}{f'(x_n)} \quad n=0,1,2,\dots$$

$$f(x_n) = (\sin x_n - \frac{x_n}{2})^2 \quad n=0,1,2,\dots$$

$$f'(x_n) = 2\sin x_n \cos x_n - \sin x_n - x_n \cos x_n + \frac{x_n}{2} = \sin 2x_n - \sin x_n - x_n \cos x_n + \frac{x_n}{2} \quad n=0,1,2,\dots$$

通过 excel 迭代运算, 取初值  $x_0 = \frac{\pi}{2}$ .

迭代至  $x_{15} = 1.895488419$  时,  $|x_{15} - x_{14}| = 5.848 \times 10^{-6} < 10^{-5}$ .

取近似根为  $x_{15} = 1.895488419$ .

带参数的牛顿迭代法

$$x_{n+1} = x_n - m \frac{f(x_n)}{f'(x_n)}, \quad n=0,1,2,\dots$$

此时  $m$  不易知

$$\text{则令 } g(x) = \frac{f(x)}{f'(x)} = \frac{(x-x^*)p(x)}{mp(x) + (x-x^*)p'(x)}$$

$$\text{则 } g'(x^*) = \frac{1}{m} \neq 0$$

$$\text{则有 } x_{n+1} = x_n - \frac{g(x_n)}{g'(x_n)} = x_n - \frac{f(x_n)f'(x_n)}{[f'(x_n)]^2 - f(x_n)f''(x_n)}, \quad n=0,1,2,\dots$$

取初值  $x_0 = \frac{\pi}{2}$ , 通过 excel 迭代运算.

迭代至  $x_4 = 1.8954942668$ ,  $x_5 = 1.8954942670$

$$|x_5 - x_4| = 2.335 \times 10^{-10} < 10^{-5}$$

取  $x^* = x_5 = 1.8954942670$

牛顿迭代法

$$f(x) = (\sin x - \frac{x}{2}) = 0 \text{ 的根为重根}$$

$$f'(x) = 2[\sin x - \frac{x}{2}](\cos x - \frac{1}{2})$$

用牛顿迭代公式为

$$x_{k+1} = x_k - \frac{(\sin x_k - \frac{x_k}{2})^2}{2(\sin x_k - \frac{x_k}{2})(\cos x_k - \frac{1}{2})}$$

$$= x_k - \frac{\sin x_k - \frac{1}{2}x_k}{2\cos x_k - 1}, k=0, 1, 2, \dots$$

$$\text{令 } x_0 = \frac{\pi}{2}, x_1 = \frac{\pi}{2} - \frac{1 - \frac{\pi}{2}}{0-1} = \frac{\pi}{2} + 1.785398$$

$$\text{同理 } x_2 = 1.844562, x_3 = 1.870834, x_4 = 1.886389$$

$$\text{一直迭代到 } x_{20} = 1.895494, |x^* - 1.895494| < 10^{-5}.$$

带参数的牛顿迭代法.

$$m=2, x_{k+1} = x_k - 2 \frac{(\sin x_k - \frac{x_k}{2})^2}{2(\sin x_k - \frac{x_k}{2})(\cos x_k - \frac{1}{2})}$$

$$x_{k+1} = x_k - \frac{\sin x_k - \frac{1}{2}x_k}{\cos x_k - \frac{1}{2}}, k=0, 1, 2, \dots$$

$$\text{取 } x_0 = \frac{\pi}{2}, \text{ 则 } x_1 = \frac{\pi}{2} - \frac{1 - \frac{\pi}{2}}{0 - \frac{1}{2}} = 2.000000$$

$$x_2 = 1.900996, x_3 = 1.895512, x_4 = 1.895494, x_5 = 1.895494$$

$$x_4 = 1.895494, |x^* - 1.895494| < 10^{-5}.$$

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解:  $f(x) = (\sin x - \frac{x}{2})$  的根  $x^*$  为 2 重根.

$$f'(x) = 2(\sin x - \frac{x}{2})(\cos x - \frac{1}{2})$$

由牛顿迭代法 
$$x_{k+1} = x_k - \frac{(\sin x_k - \frac{1}{2}x_k)^2}{2(\sin x_k - \frac{1}{2}x_k)(\cos x_k - \frac{1}{2})}$$

$$= x_k - \frac{\sin x_k - \frac{1}{2}x_k}{2\cos x_k - 1}, \quad k=0, 1, 2, \dots$$

令  $x_0 = \frac{\pi}{2}$ , 则  $x_1 = 1.785398$ ,  $x_2 = 1.844562 \dots$

迭代到  $x_{20} = 1.895494$ ,  $|x^* - 1.895494| < 10^{-5}$

由求重根的迭代公式: 
$$x_{k+1} = x_k - \frac{\sin x_k - \frac{1}{2}x_k}{\cos x_k - \frac{1}{2}} \quad k=0, 1, 2, \dots$$

取  $x_0 = \frac{\pi}{2}$ , 则  $x_1 = 2.000000$ ,  $x_2 = 1.900996$ ,  $x_3 = 1.895512$ ,

$x_4 = 1.895494$ ,  $x_5 = 1.895494$ .

4 次迭代可以得到上面  $x_{20}$  的结果.