

# Sorting & Searching

LI Hao 李颢, Assoc. Prof. SPEIT & Dept. Automation of SEIEE





#### Sorting

- data
- priority
  - min-prior: E1<E2 (e.g. 3 is prior to 5)
  - max-prior: E1>E2 (e.g. 5 is prior to 3)
  - ascending-aphabet-prior: E1 before E2 in aphabet (e.g. c is prior to e)
  - descending-aphabet-prior: E1 after E2 in aphabet (e.g. e is prior to c)
  - ad hoc defined prior

#### – swap

swap elements in wrong prior-order

| unsorted  | 37 | 11 | 43 | 59 | 89 | 23 | 67 | 71 |
|-----------|----|----|----|----|----|----|----|----|
| min-prior | 11 | 23 | 37 | 43 | 59 | 67 | 71 | 89 |
| max-prior | 89 | 71 | 67 | 59 | 43 | 37 | 23 | 11 |



#### **Insertion sort**

- insert current element into correct place in previous elements sorted

| 11154     | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | TITO OTOTILI |    | 0011000 | Prace III | provious cientones serve | • |
|-----------|---|--------------|----|---------|-----------|--------------------------|---|
| unsorted  | 37                                      | 11           | 43 | 59      | 89        | 23 67 71                 |   |
| insert 37 | 37                                      |              |    |         |           |                          |   |
| insert 11 | 37                                      | 11           |    |         |           |                          |   |
|           | 11                                      | 37           |    |         |           |                          |   |
| insert 43 | 11                                      | 37           | 43 |         |           |                          |   |
| insert 59 | 11                                      | 37           | 43 | 59      |           |                          |   |
| insert 89 | 11                                      | 37           | 43 | 59      | 89        |                          |   |
| insert 23 | 11                                      | 37           | 43 | 59      | 89        | 23                       |   |



#### **Insertion sort**

- insert current element into correct place in previous elements sorted

| 1110      | 010 00111 | ,110 010111 |    | 0011000 | p1400 111 | Provide | *B CICIIICIICB BOICCG |
|-----------|-----------|-------------|----|---------|-----------|---------|-----------------------|
| unsorted  | 37        | 11          | 43 | 59      | 89        | 23      | 67 71                 |
| insert 23 | 11        | 37          | 43 | 59      | 89        | 23      |                       |
|           | 11        | 37          | 43 | 59      | 23        | 89      |                       |
|           | 11        | 37          | 43 | 23      | 59        | 89      |                       |
|           | 11        | 37          | 23 | 43      | 59        | 89      |                       |
|           | 11        | 23          | 37 | 43      | 59        | 89      |                       |
| insert 67 | 11        | 23          | 37 | 43      | 59        | 89      | 67                    |
|           | 11        | 23          | 37 | 43      | 59        | 67      | 89                    |



#### **Insertion sort**

- insert current element into correct place in previous elements sorted

| unsorted  | 37 | 11 | 43 | 59 | 89 | 23 | 67 | 71 |
|-----------|----|----|----|----|----|----|----|----|
| insert 23 | 11 | 37 | 43 | 59 | 89 | 23 |    |    |
|           | 11 | 23 | 37 | 43 | 59 | 89 |    |    |
| insert 67 | 11 | 23 | 37 | 43 | 59 | 89 | 67 |    |
|           | 11 | 23 | 37 | 43 | 59 | 67 | 89 |    |
| insert 71 | 11 | 23 | 37 | 43 | 59 | 67 | 89 | 71 |
|           | 11 | 23 | 37 | 43 | 59 | 67 | 71 | 89 |
| min-prior | 11 | 23 | 37 | 43 | 59 | 67 | 71 | 89 |



#### **Insertion sort**

- insert current element into correct place in previous elements sorted
- worst case
  - insertion of *k*-th element involves *k*-1 comparison (and swap)
  - complexity: O(n<sup>2</sup>)
- best case
  - insertion of k-th element involves only one comparison
  - complexity: O(n)
- average (probabilistic expectation) case
  - insertion of k-th element involves an expectation of (k-1)/2 comparison (and swap)
  - complexity: O(n<sup>2</sup>)



#### Bubble sort

- traverse backward & swap adjacent elements that are in wrong prior-order

| – trav                    | erse ba | ckwara | & swap | adjacen | it elemei | nts that a | are in wi | rong pri | ior-oraer |
|---------------------------|---------|--------|--------|---------|-----------|------------|-----------|----------|-----------|
| unsorted                  | 37      | 11     | 43     | 59      | 89        | 23         | 67        | 71       |           |
| 1st traversal             | 37      | 11     | 43     | 59      | 89        | 23         | 67        | 71       |           |
|                           | 37      | 11     | 43     | 59      | 23        | 89         | 67        | 71       |           |
|                           | 37      | 11     | 43     | 23      | 59        | 89         | 67        | 71       |           |
|                           | 37      | 11     | 23     | 43      | 59        | 89         | 67        | 71       |           |
|                           | 11      | 37     | 23     | 43      | 59        | 89         | 67        | 71       |           |
| 2 <sup>nd</sup> traversal | 11      | 37     | 23     | 43      | 59        | 89         | 67        | 71       |           |
|                           | 11      | 37     | 23     | 43      | 59        | 67         | 89        | 71       |           |



#### Bubble sort

- traverse backward & swap adjacent elements that are in wrong prior-order

|                           |    |    | 1  | J  |    |    |    | $\mathcal{L}_{\mathbf{I}}$ |   |
|---------------------------|----|----|----|----|----|----|----|----------------------------|---|
| unsorted                  | 37 | 11 | 43 | 59 | 89 | 23 | 67 | 71                         | ı |
| 1st traversal             | 11 | 37 | 23 | 43 | 59 | 89 | 67 | 71                         | ı |
| 2 <sup>nd</sup> traversal | 11 | 37 | 23 | 43 | 59 | 67 | 89 | 71                         | ı |
|                           | 11 | 23 | 37 | 43 | 59 | 67 | 89 | 71                         | ı |
| 3 <sup>rd</sup> traversal | 11 | 23 | 37 | 43 | 59 | 67 | 89 | 71                         | ı |
|                           | 11 | 23 | 37 | 43 | 59 | 67 | 71 | 89                         | ı |
| 4th traversal             | 11 | 23 | 37 | 43 | 59 | 67 | 71 | 89                         | ı |



#### **Bubble sort**

- traverse backward & swap adjacent elements that are in wrong prior-order

| unsorted       37       11       43       59       89       23       67       71         1st traversal       11       37       23       43       59       89       67       71         2nd traversal       11       23       37       43       59       67       89       71         3rd traversal       11       23       37       43       59       67       71       89         4th traversal       11       23       37       43       59       67       71       89 |  |
|--|--|
| 2nd traversal       11       23       37       43       59       67       89       71         3rd traversal       11       23       37       43       59       67       71       89  |  |
| 3 <sup>rd</sup> traversal 11 23 37 43 59 67 71 89  |  |
|  |  |
| 4th traversal 11 22 27 42 50 67 71 90  |  |
| 4 <sup>th</sup> traversal 11 23 37 43 59 67 71 89  |  |
| 5 <sup>th</sup> traversal  |  |
| 6 <sup>th</sup> traversal 11 23 37 43 59 67 71 89  |  |
| 7 <sup>th</sup> traversal 11 23 37 43 59 67 71 89  |  |



#### Bubble sort

- traverse backward & swap adjacent elements that are in wrong prior-order
- worst case
  - k-th traversal involves (n-k) comparison (and potential swap)
  - complexity: O(n<sup>2</sup>)
- best case
  - *k*-th traversal involves (n-*k*) comparison (and potential swap)
  - complexity: O(n<sup>2</sup>)
- average (probabilistic expectation) case
  - k-th traversal involves (n-k) comparison (and potential swap)
  - complexity: O(n<sup>2</sup>)



#### Selection sort

| -k-th                     | travers | sal select | ts the <i>k</i> - | th prior | element |    |    |    |
|---------------------------|---------|------------|-------------------|----------|---------|----|----|----|
| unsorted                  | 37      | 11         | 43                | 59       | 89      | 23 | 67 | 71 |
| 1st traversal             | 11      |            |                   |          |         |    |    |    |
| 2 <sup>nd</sup> traversal | 11      | 23         |                   |          |         |    |    |    |
| 3 <sup>rd</sup> traversal | 11      | 23         | 37                |          |         |    |    |    |
| 4th traversal             | 11      | 23         | 37                | 43       |         |    |    |    |
| 5 <sup>th</sup> traversal | 11      | 23         | 37                | 43       | 59      |    |    |    |
| 6th traversal             | 11      | 23         | 37                | 43       | 59      | 67 |    |    |
| 7th traversal             | 11      | 23         | 37                | 43       | 59      | 67 | 71 | 89 |



#### Selection sort

- k-th traversal selects the k-th prior element
- worst case
  - *k*-th traversal involves (n-*k*) comparison (and potential swap)
  - complexity: O(n<sup>2</sup>)
- best case
  - *k*-th traversal involves (n-*k*) comparison (and potential swap)
  - complexity: O(n<sup>2</sup>)
- average (probabilistic expectation) case
  - *k*-th traversal involves (n-*k*) comparison (and potential swap)
  - complexity: O(n<sup>2</sup>)



#### Adjacent exchange sort

- insertion sort  $W:O(n^2) A:O(n^2) B:O(n)$
- bubble sort  $W:O(n^2) \quad A:O(n^2) \quad B:O(n^2)$
- selection sort  $W:O(n^2) A:O(n^2) B:O(n^2)$

#### Reflection

- insertion sort's ability to take advantage of almost sorted status
  - save "routine-administrative" operations & efficient at handling small sequences
  - can be integrated into advanced sorts to handle trivial & small "terminal" sub-tasks
- bubble sort seems to be "stupid", then what is its use?
  - involve purely *local operations* & can be fully parallelized
  - spirit of parallel processing



#### **Shell sort**

hierarchical insertion sort with varying increments

unsorted insertion sort +4 



#### Shell sort

hierarchical insertion sort with varying increments

| 11101             | ai oiii oa | I IIID CI UI |    | *************************************** | <i>J</i> 1115 1110 |    |    |    |
|-------------------|------------|--------------|----|---|--------------------|----|----|----|
| unsorted          | 89         | 11           | 43 | 71                                      | 37                 | 23 | 67 | 59 |
| insertion sort +4 | 37         | 11           | 43 | 59                                      | 89                 | 23 | 67 | 71 |
| insertion sort +2 | 37         |              | 43 |   | 89                 |    | 67 |    |
|                   | 37         |              | 43 |   | 67                 |    | 89 |    |
|                   |            | 11           |    | 59                                      |                    | 23 |    | 71 |
|                   |            | 11           |    | 23                                      |                    | 59 |    | 71 |
| insertion sort    | 37         | 11           | 43 | 23                                      | 67                 | 59 | 89 | 71 |
|                   | 11         | 23           | 37 | 43                                      | 59                 | 67 | 71 | 89 |



#### Adjacent exchange sort

- insertion sort
- bubble sort
- selection sort

```
template <typename T> inline void swap(T s[],int a,int b){
        T tmp=std::move(s[a]);s[a]=std::move(s[b]);s[b]=std::move(tmp);}
template <typename T> inline void swap(T& a,T& b){
        T tmp=std::move(a);a=std::move(b);b=std::move(tmp);}
// adjacent exchange sort: insertion; bubble; selection
// insertion sort | W:O(n^2); A:O(n^2); B:O(n)
template <class T,class P> void insertionsort(T s[],int n){
        for(int i=1;i<n;i++)</pre>
                for(int j=i;(j>0)&&(P::p(s[j],s[j-1]));j--)
                        swap(s,j,j-1);
// bubble sort | W:O(n^2); A:O(n^2); B:O(n^2)
template <class T,class P> void bubblesort(T s[],int n){
        for(int i=0;i<n-1;i++)</pre>
                for(int j=n-1; j>i; j--)
                        if(P::p(s[j],s[j-1])) swap(s,j,j-1);}
// selection sort | W:O(n^2); A:O(n^2); B:O(n^2)
template <class T,class P> void selectionsort(T s[],int n){
        for(int i=0;i<n-1;i++){int imin=i;</pre>
                for(int j=n-1; j>i; j--)
                        if(P::p(s[j],s[imin])) imin=j;
                swap(s,i,imin);}}
   END adjacent exchange sort: insertion; bubble; selection
```



- Adjacent exchange sort
  - insertion sort
  - bubble sort
  - selection sort

```
cout<<"DEMO : adjacent exchange sorts =>\n";
cp<int>(iA,iTab,ni);cp<cptr>(cA,cTab,nc);
cout<<"unsorted sequence: ";show<int>(iA,ni);
cout<<"insertion sort: ";insertionsort<int,IntPriorMin>(iA,ni);show<int>(iA,ni);
cout<<"unsorted sequence: ";show<cptr>(cA,nc);
cout<<"insertion sort: ";insertionsort<cptr,CharsPriorMin>(cA,nc);show<cptr>(cA,nc);
cp<int>(iA,iTab,ni);cp<cptr>(cA,cTab,nc);
cout<<"unsorted sequence: ";show<int>(iA,ni);
cout<<"bubble sort: ";bubblesort<int,IntPriorMin>(iA,ni);show<int>(iA,ni);
cout<<"unsorted sequence: ";show<cptr>(cA,nc);
cout<<"bubble sort: ";bubblesort<cptr,CharsPriorMin>(cA,nc);show<cptr>(cA,nc);
cp<int>(iA,iTab,ni);cp<cptr>(cA,cTab,nc);
cout<<"unsorted sequence: ";show<int>(iA,ni);
cout<<"selection sort: ";selectionsort<int,IntPriorMin>(iA,ni);show<int>(iA,ni);
cout<<"unsorted sequence: ";show<cptr>(cA,nc);
cout<<"selection sort: ";selectionsort<cptr,CharsPriorMin>(cA,nc);show<cptr>(cA,nc);
```



DEMO : adjacent exchange sorts =>

- Adjacent exchange sort
  - insertion sort
  - bubble sort
  - selection sort

```
unsorted sequence: 42 92 96 79 93 4 85 66 68 76 74 63 39 17 71 3 insertion sort: 3 4 17 39 42 63 66 68 71 74 76 79 85 92 93 96 unsorted sequence: machine intelligence system automation program technique computer data insertion sort: automation computer data intelligence machine program system technique unsorted sequence: 42 92 96 79 93 4 85 66 68 76 74 63 39 17 71 3 bubble sort: 3 4 17 39 42 63 66 68 71 74 76 79 85 92 93 96 unsorted sequence: machine intelligence system automation program technique computer data bubble sort: automation computer data intelligence machine program system technique unsorted sequence: 42 92 96 79 93 4 85 66 68 76 74 63 39 17 71 3 selection sort: 3 4 17 39 42 63 66 68 71 74 76 79 85 92 93 96 unsorted sequence: machine intelligence system automation program technique computer data selection sort: automation computer data intelligence machine program system technique
```

```
cout<<"DEMO : adjacent exchange sorts =>\n";
cp<int>(iA,iTab,ni);cp<cptr>(cA,cTab,nc);
cout<<"unsorted sequence: ";show<int>(iA,ni);
cout<<"insertion sort: ";insertionsort<int,IntPriorMin>(iA,ni);show<int>(iA,ni);
cout<<"unsorted sequence: ";show<cptr>(cA,nc);
cout<<"insertion sort: ";insertionsort<cptr,CharsPriorMin>(cA,nc);show<cptr>(cA,nc);
cp<int>(iA,iTab,ni);cp<cptr>(cA,cTab,nc);
cout<<"unsorted sequence: ";show<int>(iA,ni);
cout<<"bubble sort: ";bubblesort<int,IntPriorMin>(iA,ni);show<int>(iA,ni);
cout<<"unsorted sequence: ";show<cptr>(cA,nc);
cout<<"bubble sort: ";bubblesort<cptr,CharsPriorMin>(cA,nc);show<cptr>(cA,nc);
cp<int>(iA,iTab,ni);cp<cptr>(cA,cTab,nc);
cout<<"unsorted sequence: ";show<int>(iA,ni);
cout<<"selection sort: ";selectionsort<int,IntPriorMin>(iA,ni);show<int>(iA,ni);
cout<<"unsorted sequence: ";show<cptr>(cA,nc);
cout<<"selection sort: ";selectionsort<cptr,CharsPriorMin>(cA,nc);show<cptr>(cA,nc);
```





- Shell sort
  - hierarchical insertion sort with varying increments

```
// shell sort: hierarchical insertion sort with varying increments \mid A:0(n^1.5)
// insertion sort with flexible increment i.e. a
template <class T,class P> void insertionsort2(T s[],int n,int a){
        for(int i=a:i<n:i+=a)</pre>
                for(int j=i;(j>=a)&&(P::p(s[j],s[j-a]));j-=a) swap(s,j,j-a);}
// division-by-two increments: 1,2,4,8,16,...
template <class T,class P> void shellsort2(T s[],int n,int amin){
        int a=1;while(a<n) a*=2; a/=2;amin=amin<1?1:amin;</pre>
        for(;a>=amin;a/=2)
                for(int j=0; j<a; j++) insertionsort2<T, P>(&s[j], n-j, a);}
// division-by-three increments: 1,4,13,40,121,... (recommended)
template <class T,class P> void shellsort(T s[],int n,int amin){
        int a=1; while (a< n) a=3*a+1; a=(a-1)/3; amin=amin<1?1:amin;
        for(;a>=amin;a=(a-1)/3)
                for(int j=0;j<a;j++) insertionsort2<T,P>(&s[j],n-j,a);}
template <class T,class P> void shellsort(T s[],int n){shellsort<T,P>(s,n,1);}
// END shell sort
```





- Shell sort
  - hierarchical insertion sort with varying increments

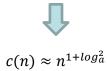
```
DEMO : shell sorts =>
unsorted sequence: 42 92 96 79 93 4 85 66 68 76 74 63 39 17 71 3
shell sort (/2): 42 76 74 63 39 4 71 3 68 92 96 79 93 17 85 66
shell sort (/2): 39 4 71 3 42 17 74 63 68 76 85 66 93 92 96 79
shell sort (/2): 39 3 42 4 68 17 71 63 74 66 85 76 93 79 96 92
shell sort (/2): 3 4 17 39 42 63 66 68 71 74 76 79 85 92 93 96
unsorted sequence: 42 92 96 79 93 4 85 66 68 76 74 63 39 17 71 3
shell sort (/3): 17 71 3 79 93 4 85 66 68 76 74 63 39 42 92 96
shell sort (/3): 17 4 3 63 39 42 74 66 68 71 85 79 93 76 92 96
shell sort (/3): 3 4 17 39 42 63 66 68 71 74 76 79 85 92 93 96
unsorted sequence: machine intelligence system automation program technique computer data
shell sort (/3): automation computer data intelligence machine program system technique
cout<<"DEMO : shell sorts =>\n";int amin;
cp<int>(iA,iTab,ni);cp<cptr>(cA,cTab,nc);amin=1;while(amin<ni) amin*=2;</pre>
cout<<"unsorted sequence: ";show<int>(iA,ni);
for(amin/=2;amin>=1;amin/=2){
cout<<"shell sort (/2): ";shellsort2<int,IntPriorMin>(iA,ni,amin);show<int>(iA,ni);}
cp<int>(iA,iTab,ni);cp<cptr>(cA,cTab,nc);amin=1;while(amin<ni) amin=3*amin+1;</pre>
cout<<"unsorted sequence: ";show<int>(iA,ni);
for(amin=(amin-1)/3;amin>=1;amin=(amin-1)/3){
cout<<"shell sort (/3): ";shellsort<int,IntPriorMin>(iA,ni,amin);show<int>(iA,ni);}
cout<<"unsorted sequence: ";show<cptr>(cA,nc);
cout<<"shell sort (/3): ";shellsort<cptr,CharsPriorMin>(cA,nc);show<cptr>(cA,nc);
```



#### Shell sort

- hierarchical insertion sort with varying increments
- complexity (depending on shell sequence)
  - Pratt sequence: O(n (log n)<sup>2</sup>)
    - $-1,2,3,4(2^2),6(2x3),9(3^2),8(2^3),12(2^2x3),18(2x3^2),27(3^3),16(2^4),24(2^3x3),36(2^2x3^2),54(2x3^3),81(3^4),\dots$
  - geometric sequence based sequence:  $O(n^{1.5})$ 
    - all-one binary sequence  $1_{(2)}$ ,  $11_{(2)}$ ,  $111_{(2)}$ ,  $111_{(2)}$ , ... namely  $1(2^1-1)$ ,  $3(2^2-1)$ ,  $7(2^3-1)$ ,  $15(2^4-1)$ , 31(25-1), ...
    - the best constant factor turns out to be roughly between 2 and 4
    - **Knuth's recommended sequence** 1,4,13,40,121,364,1093,3280,... (use relatively few increments & do well in empirical studies)

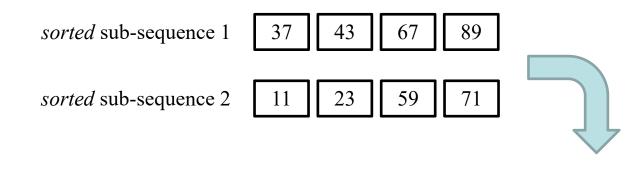
$$c(n) \approx \sum_{k=1}^{\infty} a^k c(\frac{n}{a^k}) + O(n) \ge \sum_{k=1}^{\log_a^n} a^k O(\frac{n}{a^k}) = O(n \log n) \implies c(n) \approx \sum_{k=1}^{\infty} a^k c(\frac{n}{a^k}) + O(n) \ge \sum_{k=1}^{\log_a^n} a^k O(\frac{n}{a^k} \log \frac{n}{a^k}) \approx O(n (\log n)^2)$$





#### Mergesort

- divide & conquer
  - divide into sub-tasks that are much easier and can be "merged" efficiently
- merge two *sorted* sub-sequences into *sorted* one
  - get the min elements of both sub-sequences immediately & pop the smaller one





#### Mergesort

- divide & conquer
  - divide into sub-tasks that are much easier and can be "merged" efficiently
- merge two *sorted* sub-sequences into *sorted* one
  - get the min elements of both sub-sequences immediately & pop the smaller one

 37
 43
 67
 89

11 23 59 71



#### Mergesort

- divide & conquer
  - divide into sub-tasks that are much easier and can be "merged" efficiently
- merge two *sorted* sub-sequences into *sorted* one
  - get the min elements of both sub-sequences immediately & pop the smaller one

 37
 43
 67
 89

23 23 59 71



#### Mergesort

- divide & conquer
  - divide into sub-tasks that are much easier and can be "merged" efficiently
- merge two *sorted* sub-sequences into *sorted* one
  - get the min elements of both sub-sequences immediately & pop the smaller one

37 43 67 89

11 23 37



- Mergesort
  - divide & conquer
    - divide into sub-tasks that are much easier and can be "merged" efficiently
  - merge two *sorted* sub-sequences into *sorted* one
    - get the min elements of both sub-sequences immediately & pop the smaller one







- Mergesort
  - divide & conquer
    - divide into sub-tasks that are much easier and can be "merged" efficiently
  - merge two *sorted* sub-sequences into *sorted* one
    - get the min elements of both sub-sequences immediately & pop the smaller one

67 89

59 | 71

\_ | 2

37

43

59



- Mergesort
  - divide & conquer
    - divide into sub-tasks that are much easier and can be "merged" efficiently
  - merge two *sorted* sub-sequences into *sorted* one
    - get the min elements of both sub-sequences immediately & pop the smaller one

67 69

11 23 37 43 59 67



- Mergesort
  - divide & conquer
    - divide into sub-tasks that are much easier and can be "merged" efficiently
  - merge two *sorted* sub-sequences into *sorted* one
    - get the min elements of both sub-sequences immediately & pop the smaller one

89

71

2.

37

43

59

67

71



- Mergesort
  - divide & conquer
    - divide into sub-tasks that are much easier and can be "merged" efficiently
  - merge two *sorted* sub-sequences into *sorted* one
    - get the min elements of both sub-sequences immediately & pop the smaller one

89



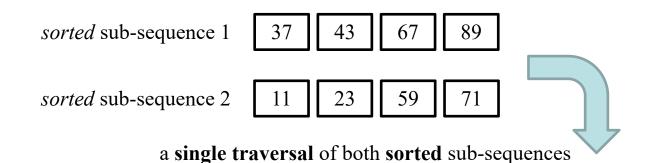
89





#### Mergesort

- divide & conquer
  - divide into sub-tasks that are much easier and can be "merged" efficiently
- merge two *sorted* sub-sequences into *sorted* one
  - get the min elements of both sub-sequences immediately & pop the smaller one





#### Mergesort

- divide & conquer
  - divide into sub-tasks that are much easier and can be "merged" efficiently
- merge two *sorted* sub-sequences into *sorted* one
  - get the min elements of both sub-sequences immediately & pop the smaller one
  - complexity of merging: O(n)

 sorted sub-sequence 1
 37
 43
 67
 89

 sorted sub-sequence 2
 11
 23
 59
 71

a single traversal of both sorted sub-sequences



11

23

37

43

59

67

71

8

89



#### Mergesort

- divide & conquer
  - divide into sub-tasks that are much easier and can be "merged" efficiently
- merge two sorted sub-sequences into sorted one
  - get the min elements of both sub-sequences immediately & pop the smaller one
  - complexity of merging: O(n)
- divide a sequence of n elements into two sub-sequences of n/2 elements
  - suppose previously introduced adjacent exchange sorting methods are used
  - sort the first sub-sequence:  $O((n/2)^2)=O(n^2/4)$
  - sort the second sub-sequence:  $O((n/2)^2)=O(n^2/4)$
  - merge the two sub-sequences: O(n)



#### Mergesort

- divide & conquer
  - divide into sub-tasks that are much easier and can be "merged" efficiently
- merge two *sorted* sub-sequences into *sorted* one
  - get the min elements of both sub-sequences immediately & pop the smaller one
  - complexity of merging: O(n)
- divide a sequence of n elements into two sub-sequences of n/2 elements
  - suppose previously introduced adjacent exchange sorting methods are used
  - sort the first sub-sequence:  $O((n/2)^2)=O(n^2/4)$
  - sort the second sub-sequence:  $O((n/2)^2)=O(n^2/4)$
  - merge the two sub-sequences: O(n)
  - total complexity:  $O(n^2/4)+O(n^2/4)+O(n)=O(n^2/2+n) < O(n^2)$

divide & conquer brings efficiency enhancement



#### Mergesort

- divide & conquer
  - divide into sub-tasks that are much easier and can be "merged" efficiently
- merge two *sorted* sub-sequences into *sorted* one
  - get the min elements of both sub-sequences immediately & pop the smaller one
  - complexity of merging: O(n)
- divide a sequence of n elements into two sub-sequences of n/2 elements
  - suppose previously introduced adjacent exchange sorting methods are used
  - sort the first sub-sequence:  $O((n/2)^2)=O(n^2/4)$
  - sort the second sub-sequence:  $O((n/2)^2)=O(n^2/4)$
  - merge the two sub-sequences: O(n)
  - total complexity:  $O(n^2/4)+O(n^2/4)+O(n)=O(n^2/2+n) < O(n^2)$
- divide sub-sequences further into sub-sub-sequences, sub-sub-sequences ... ...

basic spirit of mergesort



#### Mergesort

- divide & conquer
  - divide into sub-tasks that are much easier and can be "merged" efficiently
- merge two sorted sub-sequences into sorted one
  - get the min elements of both sub-sequences immediately & pop the smaller one
  - complexity of merging: O(n)
- divide a sequence of n elements into two sub-sequences of n/2 elements
- divide sub-sequences further into sub-sub-sequences, sub-sub-sequences ... ...

| unsorted                | 89 | 11 | 43 | 71 | 37 | 23 | 67 | 59 |
|-------------------------|----|----|----|----|----|----|----|----|
| merge every two         | 11 | 89 | 43 | 71 | 23 | 37 | 59 | 67 |
| merge every four        | 11 | 43 | 71 | 89 | 23 | 37 | 59 | 67 |
| merge every eight (all) | 11 | 23 | 37 | 43 | 59 | 67 | 71 | 89 |



- Mergesort divide & conquer
  - divide into sub-tasks that are much easier and can be "merged" efficiently

```
merge sort: divide & conquer
#define MERGESORT SMALL T 3
template <class T,class P> void mergesort(T s[],int iL,int iR,T tmp[],int depth){
        if((iR-iL)<=MERGESORT SMALL T){ // insertionsort for small sub-sequences</pre>
                insertionsort<T,P>(&s[iL],iR-iL);return;}
        int iM=(iL+iR)/2,i,j,k;
        // divide into two sub-sequences for sorting respectively
        mergesort<T,P>(s,iL,iM,tmp,depth-1);mergesort<T,P>(s,iM,iR,tmp,depth-1);
        if(depth>0) return; // not show all but show partial results of mergesort
        for(i=iL;i<iM;i++) tmp[i]=s[i]; // copy the first sub-sequence</pre>
        for(i=iM,j=iR;i<iR;i++) tmp[--j]=s[i]; // copy the second sub-sequence
        for(i=iL, j=iR-1, k=iL; k<iR; k++) // merge the two sorted sub-sequences</pre>
                if (P::p(tmp[i],tmp[i])) s[k]=tmp[i++]; else s[k]=tmp[i--];
template <class T,class P> void mergesort(T s[],int iL,int iR,T tmp[]){ // [iL,iR)
        mergesort<T,P>(s,iL,iR,tmp,0);} // mergesort sequence of s[iL] to s[iR-1]
// END merge sort: divide & conquer
```



- Mergesort divide & conquer
  - divide into sub-tasks that are much easier and can be "merged" efficiently

```
cout<<"DEMO : merge sort =>\n";int itmp[ni];cptr ctmp[nc];
      cp<int>(iA,iTab,ni);cp<cptr>(cA,cTab,nc);
      cout<<"unsorted sequence: ";show<int>(iA,ni);
      cout<<"merge sort: ";mergesort<int,IntPriorMin>(iA,0,ni,itmp,3);show<int>(iA,ni);
      cout<<"merge sort: ";mergesort<int,IntPriorMin>(iA,0,ni,itmp,2);show<int>(iA,ni);
      cout<<"merge sort: ";mergesort<int,IntPriorMin>(iA,0,ni,itmp,1);show<int>(iA,ni);
      cout<<"merge sort: ";mergesort<int,IntPriorMin>(iA,0,ni,itmp);show<int>(iA,ni);
      cout<<"unsorted sequence: ";show<cptr>(cA,nc);
      cout<<"merge sort: ";mergesort<cptr,CharsPriorMin>(cA,0,nc,ctmp);show<cptr>(cA,nc);
DEMO : merge sort =>
unsorted sequence: 42 92 96 79 93 4 85 66 68 76 74 63 39 17 71 3
merge sort: 42 92 79 96 4 93 66 85 68 76 63 74 17 39 3 71
merge sort: 42 79 92 96 4 66 85 93 63 68 74 76 3 17 39 71
merge sort: 4 42 66 79 85 92 93 96 3 17 39 63 68 71 74 76
merge sort: 3 4 17 39 42 63 66 68 71 74 76 79 85 92 93 96
unsorted sequence: machine intelligence system automation program technique computer data
```

merge sort: automation computer data intelligence machine program system technique



# THANK YOU

