

# Solutions to Physics 151 Mid-Term Examination

## Introduction to Quantum Field Theory

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### 1 Problem 1: Vacuum Energy in $\phi^4$ Theory

We consider the action for a real scalar field  $\phi(x^\mu)$  in four spacetime dimensions:

$$S = \int d^4x \left\{ \frac{1}{2}(\partial\phi)^2 - \frac{1}{2}m^2\phi^2 - \frac{1}{4!}\lambda\phi^4 \right\}. \quad (1)$$

#### 1.1 Part (i) & (ii): Connected Vacuum Diagrams and Symmetry Factors

Vacuum diagrams are Feynman diagrams with no external legs. We construct these by contracting all fields at interaction vertices. The symmetry factor  $S$  of a diagram is defined as the number of ways the diagram remains invariant under permutations of its elements.

##### Order $\lambda^1$ : Figure-Eight Diagram

**Topology:** At first order in  $\lambda$ , there will be a single 4-point vertex from the  $\phi^4$  interaction. All four fields must be contracted in pair to form two loops sharing a common vertex, creating a "8" structure.

**Diagram:** Two propagator loops attached to the same vertex.



Figure 1: Vacuum diagram (order  $\lambda^1$ ).

**Symmetry Factor:** We count all permutations leaving the diagram invariant:

- Interchange the two loops: factor of 2
- Within each loop, the two propagators can be swapped: factor of  $2 \times 2 = 4$

Total symmetry factor:  $S = 2 \times 2 \times 2 = 8$ .

##### Order $\lambda^2$ : Two Distinct Topologies

At second order in  $\lambda$ , we have two vertices with eight half-lines total. All must be contracted to form vacuum diagrams.

**Diagram A:**

**Topology:** Two vertices connected by two propagators, with each vertex having an additional self-loop.

**Diagram:**

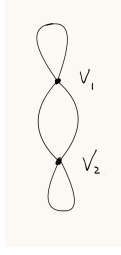


Figure 2: Vacuum diagram A (order  $\lambda^2$ ).

**Symmetry Factor:**

- Interchange the two vertices: factor of 2
- Swap the two propagators connecting the vertices: factor of 2
- Swap the two propagators in the loop at vertex 1: factor of 2
- Swap the two propagators in the loop at vertex 2: factor of 2

Total symmetry factor:  $S = 2^4 = 16$ .

**(b) Diagram B:**

**Topology:** Two vertices connected by four parallel propagators (no self-loops).

**Diagram:**

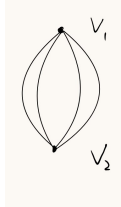


Figure 3: Vacuum diagram B (order  $\lambda^2$ ).

**Symmetry Factor:**

- Interchange the two vertices: factor of 2
- Permute all four propagators: factor of  $4! = 24$

Total symmetry factor:  $S = 2 \times 24 = 48$ .

## 1.2 Part (iii): Mass Dimension of $\lambda$ in $d$ Dimensions

In natural units ( $\hbar = c = 1$ ), the action  $S$  must be dimensionless. We work in  $d = D + 1$  spacetime dimensions.

- The integration measure  $d^d x$  has dimension  $[d^d x] = -d$  (since  $[x] = -1$  in energy units).
- The Lagrangian density must satisfy  $[\mathcal{L}] = d$  to make  $S = \int d^d x \mathcal{L}$  dimensionless.

- From the kinetic term  $(\partial_\mu \phi)^2$ : with  $[\partial_\mu] = 1$ , we have

$$[(\partial\phi)^2] = 2([\partial] + [\phi]) = 2(1 + [\phi]) = d. \quad (2)$$

This gives  $[\phi] = \frac{d-2}{2}$ .

- From the interaction term  $\lambda\phi^4$ :

$$[\lambda] + 4[\phi] = d \implies [\lambda] = d - 4[\phi] = d - 4 \cdot \frac{d-2}{2} = d - 2(d-2) = 4 - d. \quad (3)$$

**Summarize:** In  $d$  spacetime dimensions,  $[\lambda] = 4 - d$ . Specifically:

- In  $d = 4$ :  $[\lambda] = 0$  (dimensionless, marginal coupling)
- In  $d < 4$ :  $[\lambda] > 0$  (relevant coupling)
- In  $d > 4$ :  $[\lambda] < 0$  (irrelevant coupling)

### 1.3 Part (iv): Mass Dimension of $g$ for $\phi^3$ Interaction

If we add a cubic interaction  $g\phi^3$  to the Lagrangian, we apply the same dimensional analysis:

**In  $d = 4$  dimensions:**

$$[g\phi^3] = [g] + 3[\phi] = 4 \implies [g] = 4 - 3 \times 1 = 1. \quad (4)$$

**General formula in  $d$  dimensions:**

$$[g] = d - 3[\phi] = d - 3 \cdot \frac{d-2}{2} = d - \frac{3(d-2)}{2} = \frac{2d - 3d + 6}{2} = \frac{6-d}{2}. \quad (5)$$

In  $d = 4$  dimensions,  $[g] = 1$  (dimension of mass). In general  $d$  dimensions,  $[g] = \frac{6-d}{2}$ .

## 2 Problem 2: Scaling Dimensions of Free Fields

We compare the scaling properties of relativistic and non-relativistic free scalar field theories in  $d = D + 1$  spacetime dimensions.

### 2.1 Part (i): Scaling Dimension of Relativistic Field $\phi$

The relativistic free field has action:

$$S_{\text{rel}} = \frac{1}{2} \int d^d x (\partial_\mu \phi)(\partial^\mu \phi). \quad (6)$$

**Dimensional Analysis:**

- The measure  $d^d x$  has dimension  $[d^d x] = -d$ .
- For dimensionless action, we require  $[\mathcal{L}_{\text{rel}}] = d$ .
- The derivative has  $[\partial_\mu] = 1$  (energy units).
- From  $[(\partial\phi)^2] = d$ , we obtain:

$$2([\partial] + [\phi]) = d \implies 2(1 + [\phi]) = d \implies [\phi] = \frac{d-2}{2}. \quad (7)$$

In  $d$  spacetime dimensions, the relativistic scalar field has scaling dimension

$$[\phi] = \frac{d-2}{2}$$

For example, in  $d = 4$ :  $[\phi] = 1$  (dimension of mass).

## 2.2 Part (ii): Scaling Dimension of Non-Relativistic Field $\Phi$

The non-relativistic (Lifshitz) scalar has action:

$$S_{\text{nonrel}} = \frac{1}{2} \int dt d^D x \left\{ (\dot{\Phi})^2 - (\partial_i \partial_i \Phi)(\partial_j \partial_j \Phi) \right\}. \quad (8)$$

The action  $S_{\text{nonrel}}$  exhibits anisotropic scaling where time and space scale differently.

The two kinetic terms must have the same dimension. Setting  $[\partial_t] = 1$  and  $[\partial_i] = z$ , because  $E = -i\partial_t$ :

$$[(\dot{\Phi})^2] = [(\nabla^2 \Phi)^2] \implies 2(1 + [\Phi]) = 2(2z + [\Phi]) \implies z = 1/2. \quad (9)$$

This implies  $[t] = -1$  and  $[x^i] = -1/2$ .

**Field Dimension:** The action must be dimensionless. The integration measure gives  $[dt d^D x] = -1 - D/2$ , so we need  $[\mathcal{L}_{\text{nonrel}}] = 1 + D/2$ . Equating this to the dimension of the time-derivative term:

$$2(1 + [\Phi]) = 1 + D/2 \implies [\Phi] = \frac{D-2}{4}. \quad (10)$$

he non-relativistic Lifshitz scalar has scaling dimension  $[\Phi] = \frac{D-2}{4}$  in  $d = D + 1$  dimensions. For example, in  $d = 4$  (i.e.,  $D = 3$ ):  $[\Phi] = 1/4$ .

## 2.3 Part (iii): Feynman Propagator for the Lifshitz Scalar

### Derivation from the Equation of Motion:

The Euler-Lagrange equation from  $S_{\text{nonrel}}$  gives:

$$\frac{\delta S}{\delta \Phi} = 0 \implies -\partial_t^2 \Phi + \nabla^4 \Phi = 0. \quad (11)$$

In momentum space with Fourier transform  $\Phi(t, \vec{x}) = \int \frac{d\omega d^D k}{(2\pi)^{D+1}} e^{-i\omega t + i\vec{k} \cdot \vec{x}} \tilde{\Phi}(\omega, \vec{k})$ :

- $\partial_t \rightarrow -i\omega$
- $\nabla^2 \rightarrow -k^2$  where  $k = |\vec{k}|$

The equation becomes:

$$\omega^2 - k^4 = 0. \quad (12)$$

### Propagator with $i\epsilon$ Prescription:

The Feynman propagator is obtained by inverting the kinetic operator with the standard  $i\epsilon$  prescription for time-ordering:

$$D_F(\omega, \vec{k}) = \frac{i}{\omega^2 - k^4 + i\epsilon}. \quad (13)$$

**Normalization:** The numerator is normalized to  $i$  (not  $-i$ ) for consistency with the canonical commutation relations. The scalar field  $\Phi$  has a single degree of freedom (one independent polarization), justifying the simple numerator.

### Position Space Form:

$$D_F(t - t', \vec{x} - \vec{x}') = \int \frac{d\omega d^D k}{(2\pi)^{D+1}} \frac{i e^{-i\omega(t-t') + i\vec{k} \cdot (\vec{x} - \vec{x}')}}{\omega^2 - k^4 + i\epsilon}. \quad (14)$$

The Feynman propagator is  $D_F(\omega, \vec{k}) = \frac{i}{\omega^2 - k^4 + i\epsilon}$ , with numerator normalized to unity (times  $i$ ) reflecting the single polarization of the scalar field.

### 3 Problem 3: Renormalization Group Flow in Lifshitz Theory

We extend the non-relativistic Lifshitz scalar theory by adding two Gaussian (quadratic) terms:

$$\hat{S}_{\text{nonrel}} = \frac{1}{2} \int dt d^3x \left\{ (\dot{\Phi})^2 - (\partial_i \partial_i \Phi)(\partial_j \partial_j \Phi) - c^2 (\partial_i \Phi)(\partial_i \Phi) - m^2 \Phi^2 \right\}. \quad (15)$$

We now analyze this theory in  $d = 4$  spacetime dimensions (i.e.,  $D = 3$  spatial dimensions) from the perspective of renormalization group (RG) flow.

#### 3.1 Part (i): Relevance of the Couplings $c^2$ and $m^2$

From Problem 2(ii), we established that for the Lifshitz scalar in  $D$  spatial dimensions:

- Dynamical exponent:  $z = 2$
- Field dimension:  $[\Phi] = \frac{D-2}{4}$
- Lagrangian density dimension:  $[\mathcal{L}] = 2 + D$

For  $D = 3$ , we have:

- $[\Phi] = \frac{3-2}{4} = \frac{1}{4}$
- $[\mathcal{L}] = 2 + 3 = 5$
- $[\partial_i] = 1$  (spatial derivative)

**Dimension of  $m^2$ :**

From the mass term  $m^2 \Phi^2$ , requiring  $[m^2 \Phi^2] = 5$ :

$$[m^2] + 2[\Phi] = 5 \implies [m^2] + 2 \times \frac{1}{4} = 5 \implies [m^2] = 5 - \frac{1}{2} = \frac{9}{2}. \quad (16)$$

Since  $[m^2] = \frac{9}{2} > 0$ , the coupling  $m^2$  is **relevant**.

**Dimension of  $c^2$ :**

From the gradient term  $c^2 (\partial_i \Phi)^2$ , requiring  $[c^2 (\partial_i \Phi)^2] = 5$ :

$$[c^2] + 2([\partial_i] + [\Phi]) = 5 \implies [c^2] + 2 \left( 1 + \frac{1}{4} \right) = 5 \implies [c^2] + \frac{5}{2} = 5. \quad (17)$$

Therefore:

$$[c^2] = 5 - \frac{5}{2} = \frac{5}{2}. \quad (18)$$

Since  $[c^2] = \frac{5}{2} > 0$ , the coupling  $c^2$  is also **relevant**.

Both couplings are **relevant**, meaning they grow under RG flow toward the infrared (low energies).

#### 3.2 Part (ii): Qualitative RG Flow Patterns

**Fixed Point Structure:**

The point  $(c^2, m^2) = (0, 0)$  corresponds to the pure Lifshitz theory without the additional perturbations. This is a critical point of the RG flow.

**Flow Behavior:**

- Since both  $c^2$  and  $m^2$  are relevant couplings with positive mass dimensions, they grow as we flow toward the infrared (decreasing energy scale).

- The origin  $(0, 0)$  is an **ultraviolet (UV) unstable fixed point**—the Lifshitz fixed point is attractive in the UV direction but repulsive in the IR.
- Starting from any point  $(c^2, m^2) \neq (0, 0)$  in the parameter space, RG flow moves away from the origin as energy decreases.

**Flow Pattern Description:**

- Near the origin, both couplings increase under RG flow toward lower energies.
- The flow is radially outward from the Lifshitz point  $(0, 0)$ .
- The eigendirections of the flow are determined by the RG eigenvalues  $\lambda_{c^2} = [c^2] = 5/2$  and  $\lambda_{m^2} = [m^2] = 9/2$ .
- Since  $\lambda_{m^2} > \lambda_{c^2}$ , the  $m^2$  direction is "more relevant" and grows faster.

The RG flow exhibits a UV-stable (IR-unstable) fixed point at the origin. All flow lines move radially outward from  $(0, 0)$  toward the infrared, with  $m^2$  growing faster than  $c^2$ .

### 3.3 Part (iii): Emergent Relativistic Lorentz Symmetry

**Question:** Is there an RG fixed point exhibiting emergent relativistic symmetry?

**Analysis:**

For relativistic symmetry to emerge, the dispersion relation must become  $\omega^2 \sim k^2$  (light-cone structure) rather than  $\omega^2 \sim k^4$  (Lifshitz scaling).

In the full action  $\hat{S}_{\text{nonrel}}$ , the equation of motion in momentum space is:

$$\omega^2 - k^4 - c^2 k^2 - m^2 = 0. \quad (19)$$

At high momenta (UV limit,  $k \rightarrow \infty$ ):

- The  $k^4$  term dominates:  $\omega^2 \approx k^4$ , giving Lifshitz scaling.
- This corresponds to the Lifshitz fixed point  $(c^2, m^2) = (0, 0)$ .

At low momenta (IR limit,  $k \rightarrow 0$ ):

- If  $c^2 > 0$  and  $c^2 \gg m^2/k^2$ , the  $c^2 k^2$  term dominates over both  $k^4$  and  $m^2$ .
- The dispersion becomes  $\omega^2 \approx c^2 k^2$ , which is relativistic with effective "speed of light"  $c$ .
- The  $k^4$  term becomes negligible in comparison (higher-derivative/irrelevant operator).

**Fixed Point Location:**

The emergent relativistic symmetry does not appear at a traditional fixed point but rather in the **infrared regime** where  $c^2$  and  $m^2$  have grown large.

More precisely, we can identify an effective IR theory:

- In the regime where  $c^2 k^2 \gg k^4$  and  $m^2 \ll c^2 k^2$ , the theory reduces to:

$$S_{\text{eff}} \approx \frac{1}{2} \int dt d^3x \left\{ (\dot{\Phi})^2 - c^2 (\partial_i \Phi)^2 \right\}, \quad (20)$$

which is manifestly relativistic with Lorentz symmetry and speed  $c$ .

- This corresponds to a "Lorentz-invariant Gaussian fixed point" in the extended theory.

So yes, emergent relativistic Lorentz symmetry appears in the **infrared (low-energy) limit**. As  $c^2$  grows relevant under RG flow, the theory flows toward an effective relativistic theory where the dispersion relation becomes  $\omega^2 = c^2 k^2 + m^2$ . The Lifshitz  $k^4$  term becomes an irrelevant higher-derivative correction in this regime.

This is an example of **emergent Lorentz symmetry**, where a fundamentally non-relativistic UV theory flows to a relativistic IR fixed point. The phenomenon occurs not at the UV Lifshitz point  $(0, 0)$ , but in the IR regime where  $c^2 \rightarrow \infty$  (in dimensionless RG flow).

## 4 Problem 4: Propagators and Green's Functions

We return to the relativistic  $\phi^4$  theory from Problem 1, focusing on tree-level propagators.

### 4.1 Part (i): Advanced and Feynman Propagators in Momentum Space

The free Klein-Gordon equation is:

$$(\square + m^2)\phi(x) = 0 \quad \text{where} \quad \square = \partial_\mu \partial^\mu. \quad (21)$$

The propagators are Green's functions satisfying:

$$(\square_x + m^2)D(x - y) = -i\delta^{(4)}(x - y). \quad (22)$$

In momentum space, with Fourier convention:

$$D(x - y) = \int \frac{d^4k}{(2\pi)^4} e^{-ik \cdot (x-y)} \tilde{D}(k), \quad (23)$$

we have  $\square \rightarrow -k^2 = -k^\mu k_\mu = -(k_0^2 - \vec{k}^2)$ .

The equation becomes:

$$(-k^2 + m^2)\tilde{D}(k) = -i \implies (k^2 - m^2)\tilde{D}(k) = i. \quad (24)$$

**(a) Feynman Propagator  $D_F(x - y)$ :**

The Feynman propagator time-orders the fields and uses the  $+i\epsilon$  prescription in the denominator to specify contour integration around the poles:

$$D_F(x - y) = \int \frac{d^4k}{(2\pi)^4} \frac{i e^{-ik \cdot (x-y)}}{k^2 - m^2 + i\epsilon} \quad (25)$$

Alternatively, in terms of energy-momentum:

$$D_F(x - y) = \int \frac{d^4k}{(2\pi)^4} \frac{i e^{-ik^0(x^0 - y^0) + i\vec{k} \cdot (\vec{x} - \vec{y})}}{k_0^2 - \vec{k}^2 - m^2 + i\epsilon}. \quad (26)$$

The  $i\epsilon$  prescription places both poles slightly below the real axis in the complex  $k^0$  plane, ensuring causality and correct time-ordering.

**(b) Advanced Propagator  $D_A(x - y)$ :**

The advanced propagator vanishes for  $x^0 < y^0$  and propagates disturbances backward in time. The  $i\epsilon$  prescription is modified:

$$D_A(x - y) = \int \frac{d^4k}{(2\pi)^4} \frac{i e^{-ik \cdot (x-y)}}{k^2 - m^2 - i\epsilon} \quad (27)$$

Equivalently:

$$D_A(x - y) = \int \frac{d^4k}{(2\pi)^4} \frac{i e^{-ik^0(x^0 - y^0) + i\vec{k} \cdot (\vec{x} - \vec{y})}}{k_0^2 - \vec{k}^2 - m^2 - i\epsilon}. \quad (28)$$

The sign flip in  $i\epsilon$  places both poles slightly above the real  $k^0$  axis, giving the advanced boundary condition.

## 4.2 Part (ii): Differential Equation Satisfied by the Propagators

**Question:** Do  $D_F(x - y)$  and  $D_A(x - y)$  satisfy the same differential equation?

**Yes**, both propagators satisfy the same inhomogeneous Klein-Gordon equation:

$$\boxed{(\square_x + m^2)D(x - y) = -i\delta^{(4)}(x - y)} \quad (29)$$

**Proof:**

We apply the Klein-Gordon operator to either propagator in momentum space:

$$(\square_x + m^2)D(x - y) = \int \frac{d^4k}{(2\pi)^4} (-k^2 + m^2) \tilde{D}(k) e^{-ik \cdot (x - y)}. \quad (30)$$

For both the Feynman and advanced propagators:

$$\tilde{D}(k) = \frac{i}{k^2 - m^2 \pm i\epsilon}, \quad (31)$$

we compute:

$$(-k^2 + m^2) \tilde{D}(k) = -(k^2 - m^2) \cdot \frac{i}{k^2 - m^2 \pm i\epsilon}. \quad (32)$$

In the limit  $\epsilon \rightarrow 0^+$  (after integration), this becomes:

$$= \frac{-(k^2 - m^2) \cdot i}{k^2 - m^2 \pm i\epsilon} \rightarrow -i, \quad (33)$$

independent of the sign of  $i\epsilon$ . The  $\pm i\epsilon$  prescription affects *how* we integrate (contour choice), not the formal algebraic result.

Therefore:

$$(\square_x + m^2)D(x - y) = \int \frac{d^4k}{(2\pi)^4} (-i) e^{-ik \cdot (x - y)} = -i\delta^{(4)}(x - y). \quad (34)$$

So both  $D_F$  and  $D_A$  are Green's functions for the same differential operator  $(\square + m^2)$ , satisfying identical equations. They differ only in their boundary conditions (time-ordering vs. advanced causality), which is encoded in the  $i\epsilon$  prescription and manifests in different analytic properties, but not in the formal differential equation itself.