

Homework 8

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Introduction to Analysis II

June 27, 2024

Problem 1.

Given an open ball $B_r((0, 0))$, let $f(x, y) = x + y + e^{-x}\cos(y) : B_r((0, 0)) \rightarrow \mathbb{R}$, find a polynomial $P(x, y)$ such that

$$|f(x, y) - P(x, y)| \leq C(x^2 + y^2)^{\frac{3}{2}}$$

for all $(x, y) \in B_r((0, 0))$.

Proof.

Since $B_r((0, 0))$ is open, by Taylor's theorem,

$$\begin{aligned} f(x, y) &= f(0, 0) + \frac{\partial f}{\partial x}(0, 0)x + \frac{\partial f}{\partial y}(0, 0)y + \frac{1}{2!}\left(\frac{\partial^2 f}{\partial x^2}(0, 0)x^2 + 2\frac{\partial^2 f}{\partial x \partial y}(0, 0)xy + \frac{\partial^2 f}{\partial x \partial y}(0, 0)y^2\right) + \frac{1}{3!}\left(\frac{\partial^3 f}{\partial^3 x}(0, 0)x^3 + \right. \\ &\quad \left. \frac{\partial^3 f}{\partial^2 x \partial y}(0, 0)3x^2y + \frac{\partial^3 f}{\partial x \partial^2 y}(0, 0)3xy^2 + \frac{\partial^3 f}{\partial^3 y}(0, 0)y^3\right) + R_4(x, y) = P(x, y) + R_4(x, y) \end{aligned}$$

We note that since

$$\frac{|R_4(x, y)|}{(x^2 + y^2)^{\frac{3}{2}}} \leq C_1$$

for some $C_1 \in \mathbb{R}$, if $\sqrt{x^2 + y^2} \leq \min\{\delta, r\}$, for some $\delta > 0$. And also since $\frac{|R_4(x, y)|}{(x^2 + y^2)^{\frac{3}{2}}}$ is continuous on $B_r(0, 0) \setminus B_\epsilon(0, 0)$, then

$$\frac{R_4(x, y)}{(x^2 + y^2)^{\frac{3}{2}}} \leq C_2$$

for some $C_2 \in \mathbb{R}$. Take $C = \min\{C_1, C_2\}$, then

$$|f(x, y) - P(x, y)| = |R_4(x, y)| \leq C(x^2 + y^2)^{\frac{3}{2}}$$

Hence

$$\begin{aligned} P(x, y) &= f(0, 0) + \frac{\partial f}{\partial x}(0, 0)x + \frac{\partial f}{\partial y}(0, 0)y + \frac{1}{2!}\left(\frac{\partial^2 f}{\partial x^2}(0, 0)x^2 + 2\frac{\partial^2 f}{\partial x \partial y}(0, 0)xy + \frac{\partial^2 f}{\partial x \partial y}(0, 0)y^2\right) + \frac{1}{3!}\left(\frac{\partial^3 f}{\partial^3 x}(0, 0)x^3 + \right. \\ &\quad \left. \frac{\partial^3 f}{\partial^2 x \partial y}(0, 0)3x^2y + \frac{\partial^3 f}{\partial x \partial^2 y}(0, 0)3xy^2 + \frac{\partial^3 f}{\partial^3 y}(0, 0)y^3\right) = 1 + y + \frac{1}{2}x^2 - \frac{1}{2}y^2 + \frac{1}{6}x^3 + \frac{1}{2}xy^2 \end{aligned}$$

□

Problem 2. Given $f(x, y) = \cos(x^2 + y^2)$. Let $T_m((x, y), (x_0, y_0))$ is the Taylor expansion around (x_0, y_0) of degree m (the combination of linear maps $D^r f(x_0, y_0)$, $0 \leq r \leq m$). Compute $T_2((x, y), (0, 0))$ and estimate the approximation error for $(x, y) \in [0, 0.1]^2$.

Proof.

We first note that f is C^∞ ; hence, by Clairaut's theorem, every partial derivative is exchangeable. The Taylor expansion around $(0, 0)$ of degree 2 is:

$$\begin{aligned} T_2((x, y), (0, 0)) &= f(0, 0) + \frac{\partial f}{\partial x}(0, 0)x + \frac{\partial f}{\partial y}(0, 0)y + \frac{1}{2} \frac{\partial^2 f}{\partial x^2}(0, 0)x^2 + \frac{\partial^2 f}{\partial x \partial y}(0, 0)xy + \frac{1}{2} \frac{\partial^2 f}{\partial y^2}(0, 0)y^2 \\ &= 1 \end{aligned}$$

For $(x, y) \in [0, 0.1]^2$, (we can deduce some calculation by symmetry)

$$\begin{aligned} \left| \frac{\partial^3 f}{\partial x^3}(x, y) \right| &= \left| -12x \cos(x^2 + y^2) + 8x^3 \sin(x^2 + y^2) \right| \leq 1.2 + 16(0.1)^5 \\ \left| \frac{\partial^3 f}{\partial y^3}(x, y) \right| &= \left| -12y \cos(x^2 + y^2) + 8y^3 \sin(x^2 + y^2) \right| \leq 1.2 + 16(0.1)^5 \\ \left| \frac{\partial^3 f}{\partial x \partial y^2}(x, y) \right| &= \left| -4y \cos(x^2 + y^2) + 8x^2 y \sin(x^2 + y^2) \right| \leq 0.4 + 16(0.1)^5 \\ \left| \frac{\partial^3 f}{\partial x \partial y^2}(x, y) \right| &= \left| -4y \cos(x^2 + y^2) + 8x^2 y \sin(x^2 + y^2) \right| \leq 0.4 + 16(0.1)^5 \end{aligned}$$

The remainder term is :

$$\begin{aligned} |R_2((0, 0), (x, y))| &= \frac{1}{3!} \left| \sum_{i=0}^3 \binom{3}{i} \frac{\partial^3 f}{\partial^i x \partial^{3-i} y}(c_1, c_2) (c_1)^i (c_2)^{3-i} \right| \leq (0.8 + \frac{32}{3}(0.1)^5)(0.1)^3 + (0.4 + 16(0.1)^5)(0.1)^3 \\ &\leq 1.2(0.1)^3 + \frac{80}{3}(0.1)^8 \end{aligned}$$

Hence the error will be less than $1.2(0.1)^3 + \frac{80}{3}(0.1)^8$ □

Problem 3.

Let $f : \mathbb{R} \rightarrow \mathbb{R}$ be given by

$$f(x) = \begin{cases} \frac{1}{2}x^2 + 2x^2 \sin(\frac{1}{x}), & \text{if } x \neq 0 \\ 0, & \text{if } x = 0 \end{cases}$$

Mr. Munchlax says the inverse function f^{-1} exists on the neighborhood of $x = 0$. Explain whether his statement holds true or not.

Proof.

Since

$$\begin{aligned} f'(x) &= \begin{cases} \frac{1}{2}, & \text{if } x = 0 \\ \frac{1}{2} + 4x \sin(\frac{1}{x}) - 2 \cos(\frac{1}{x}), & \text{if } x \neq 0 \end{cases} \\ f''(x) &= 4 \sin(\frac{1}{x}) - 4 \frac{1}{x} \cos(\frac{1}{x}) - \frac{2}{x^2} \sin(\frac{1}{x}), \text{ if } x \neq 0 \end{aligned}$$

We note that since f' is not continuous at $x = 0$, therefore it does not satisfy the condition of the inverse function theorem.

Let $t_n = \frac{1}{2n\pi}$ and $r_n = \frac{1}{2n\pi + \pi}$, then

$$\begin{aligned} f'(t_n) &= \frac{1}{2} + 4t_n \sin(\frac{1}{t_n}) - 2 \cos(\frac{1}{t_n}) = \frac{1}{2} - 2 < 0 \\ f'(r_n) &= \frac{1}{2} + 4r_n \sin(\frac{1}{r_n}) - 2 \cos(\frac{1}{r_n}) = \frac{1}{2} + 2 > 0 \end{aligned}$$

By Intermediate value theorem, since $[r_n, t_n]$ is compact and $f'(x)$ is continuous at $x \neq 0$, there exist $x_n \in [r_n, t_n]$ such that $f'(x_n) = 0$. Since

$$f'(x_n) = \frac{1}{2} + 4x_n \sin\left(\frac{1}{x_n}\right) - 2 \cos\left(\frac{1}{x_n}\right) = 0$$

$$\begin{aligned} f''(x_n) &= 4 \sin\left(\frac{1}{x_n}\right) - 4 \frac{1}{x_n} \cos\left(\frac{1}{x_n}\right) - \frac{2}{x_n^2} \sin\left(\frac{1}{x_n}\right) \\ &= 4 \sin\left(\frac{1}{x_n}\right) - \frac{2}{x_n} \left(\frac{1}{2} + 4x_n \sin\left(\frac{1}{x_n}\right)\right) - \frac{2}{x_n^2} \sin\left(\frac{1}{x_n}\right) \\ &= -\frac{1}{x_n^2} - 4 \sin\left(\frac{1}{x_n}\right) - \frac{2}{x_n^2} \sin\left(\frac{1}{x_n}\right) < 0 \end{aligned}$$

, given that $\sin(\frac{1}{x_n}) > 0$. Hence x_n is a local maximum, $\exists \delta_n > 0$ such that $f(x_n) > f(x)$ for all $x \in N_{\delta_n}(x_n)$ and since f is continuous at x_n , take $y \in [x_n - \delta_n, x_n]$ and $z \in [x_n, x_n + \delta_n]$, then by IVT, there exist $r \in [x_n - \delta_n, x_n]$, $s \in [x_n, x_n + \delta_n]$ such that $f(r) = f(s) = \frac{1}{2}(f(x_n) + \max\{f(y), f(z)\})$, hence f is not one to one on $[x_n - \delta_n, x_n + \delta_n]$. Since $x_n \rightarrow 0$, given that $t_n \rightarrow 0$. It follows that we can always find such x_n in any neighborhood of 0 and hence there is no neighborhood of 0 such that f is invertible on this neighborhood. \square

Problem 4.

Let $f(x, y) = (e^x \cos(y), e^x \sin(y))$

- (a) Show that f is C^1 and $Df(x, y)$ is invertible for all $(x, y) \in \mathbb{R}^2$
- (b) Show that f is not one-to-one.
- (c) Why doesn't this contradict the Inverse Function Theorem?

Proof.

- (a) Since $f(x, y)$ is C^1 in its all component, f is differentiable, then $Df(x, y)$ exist and

$$Df(x, y) = \begin{pmatrix} e^x \cos(y) & -e^x \sin(y) \\ e^x \sin(y) & e^x \cos(y) \end{pmatrix}$$

Since every component of $Df(x, y)$ is C^1 , it follows that $Df(x, y)$ is C^1 .

$$J_f = \det(Df(x, y)) = e^{2x} > 0$$

for all $(x, y) \in \mathbb{R}^2$. Therefore, $Df(x, y)$ is invertible.

- (b) Since $f(0, 2n\pi) = (1, 0)$ for all $n \in \mathbb{N}$, then $f(x, y)$ is not one-to-one on \mathbb{R}^2 .
- (c) This does not contradict the inverse function theorem since although $f(x, y)$ is not one-to-one on \mathbb{R}^2 and f satisfy the condition of the inverse function theorem, given a point (x, y) there still exist a neighborhood U such that f is one-to-one on U .

\square