# COVID-19 Baseline Risk Score Analysis Report $_{\rm mock\ Study}$

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#### Chapter 1

Baseline Risk Score (Proxy for SARS-CoV-2 Exposure)

Table 1.1: Variables considered for risk score analysis.

Variable.Name	Definition	Total.missing.values	Comments
MinorityInd	Baseline covariate underrepresented minority status (1=minority, 0=non-minority)	0/30000 (0.0%)	NA
EthnicityHispanic	Indicator ethnicity = Hispanic (0 = Non-Hispanic)	0/30000 (0.0%)	NA
${\bf Ethnicity Not reported}$	Indicator ethnicity = Not reported (0 = Non-Hispanic)	0/30000 (0.0%)	NA
EthnicityUnknown	Indicator ethnicity = Unknown (0 = Non-Hispanic)	0/30000 (0.0%)	NA
Black	Indicator race = Black (0 = White)	0/30000 (0.0%)	NA
Asian	Indicator race $=$ Asian $(0 = White)$	0/30000 (0.0%)	NA
NatAmer	Indicator race = American Indian or Alaska Native (0 = White)	0/30000 (0.0%)	NA
PacIsl	Indicator race = Native Hawaiian or Other Pacific Islander (0 = White)	0/30000 (0.0%)	NA
Multiracial	Indicator race = Multiracial (0 = White)	0/30000 (0.0%)	NA
Other	Indicator race = Other (0 = White)	0/30000 (0.0%)	NA
Notreported	Indicator race = Not reported (0 = White)	0/30000 (0.0%)	NA
Unknown	Indicator race = unknown (0 = White)	0/30000 (0.0%)	NA
HighRiskInd	Baseline covariate high risk pre-existing condition (1=yes, 0=no)	0/30000 (0.0%)	NA
Sex	Sex assigned at birth (1=female, 0=male)	0/30000 (0.0%)	NA
Age	Age at enrollment in years, between 18 and 85	0/30000 (0.0%)	NA
BMI	BMI at enrollment (kg/m^2)	0/30000 (0.0%)	NA

Table 1.2: All learner-screen combinations (28 in total) used as input to the Superlearner.

Learner	Screen*
SL.mean	all
SL.glm	all glmnet univar_logistic_pval highcor_random
SL.glm.interaction	glmnet univar_logistic_pval highcor_random
SL.glmnet	all
SL.gam	glmnet univar_logistic_pval highcor_random
SL.xgboost	all
SL.ranger.imp	all

#### Note:

all: includes all variables

glmnet: includes variables with non-zero coefficients in the standard implementation of SL.glmnet that optimizes the lasso tuning parameter via cross-validation  ${\bf r}$ 

univar\_logistic\_pval: Wald test 2-sided p-value in a logistic regression model  $<0.10\,$ 

high cor\_random: if pairs of quantitative variables with Spearman rank correlation > 0.90, select one of the variables at random

<sup>\*</sup>Screen details:

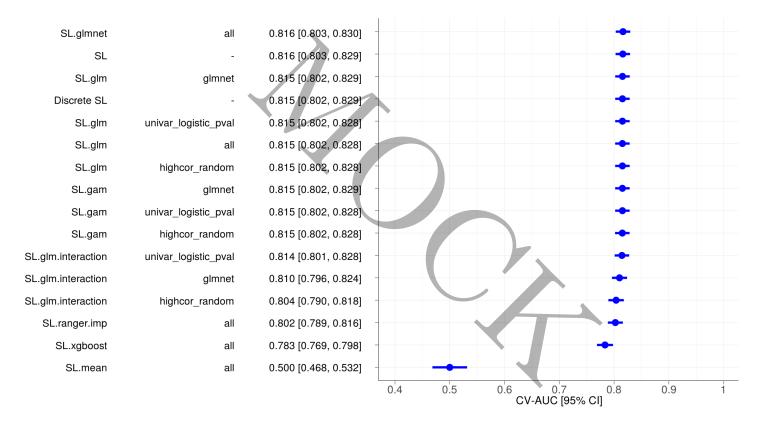


Figure 1.1: Cross-validated AUC (95% CI) of algorithms for predicting COVID-19 disease status starting 7 days after Day 57.

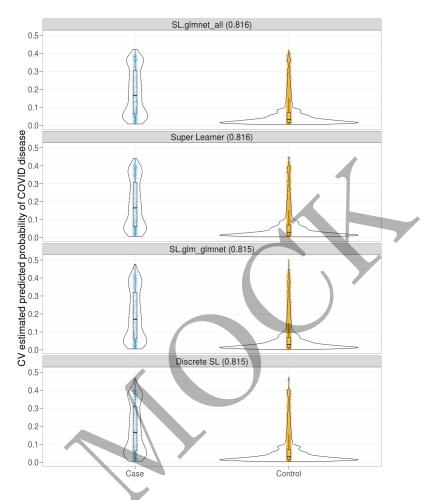


Figure 1.2: CV-estimated predicted probabilities of COVID-19 disease 7 days after Day 57 by case/control status for top 2 learners, SuperLearner and Discrete  $^{\rm CT}$ 

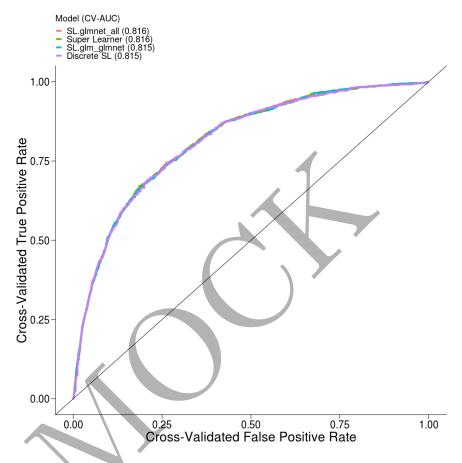


Figure 1.3: ROC curves based off CV-estimated predicted probabilities for the top 2 learners, Superlearner and Discrete SL.

Table 1.3: Weights assigned by Superlearner.

Learner	Screen	Weight
SL.glmnet	screen_all	0.654
SL.ranger.imp	screen_all	0.125
SL.glm	$screen\_glmnet$	0.098
SL.glm	screen_univariate_logistic_pval	0.066
SL.glm	screen_all	0.057
SL.mean	screen_all	0.000
SL.xgboost	screen_all	0.000
SL.glm	screen_highcor_random	0.000
SL.glm.interaction	screen_glmnet	0.000
SL.glm.interaction	screen_univariate_logistic_pval	0.000
SL.glm.interaction	screen_highcor_random	0.000
SL.gam	screen_glmnet	0.000
SL.gam	screen_univariate_logistic_pval	0.000
SL.gam	screen_highcor_random	0.000

Learner	Screen	Weight	Predictors	Coefficient	Odds.Ratio	Importance
SL.glmnet	screen_all	0.654	(Intercept)	-2.868	0.057	NA
SL.glmnet	screen_all	0.654	MinorityInd	0.000	1.000	NA
SL.glmnet	screen_all	0.654	EthnicityHispanic	0.000	1.000	NA
SL.glmnet	screen_all	0.654	EthnicityNotreported	0.000	1.000	NA
SL.glmnet	screen_all	0.654	EthnicityUnknown	0.000	1.000	NA
SL.glmnet	screen_all	0.654	Black	0.000	1.000	NA
SL.glmnet	screen_all	0.654	Asian	0.000	1.000	NA
SL.glmnet	screen_all	0.654	NatAmer	0.000	1.000	NA
SL.glmnet	screen_all	0.654	PacIsl	0.000	1.000	NA
SL.glmnet	screen_all	0.654	Multiracial	0.000	1.000	NA
SL.glmnet	screen_all	0.654	Other	0.000	1.000	NA
SL.glmnet	screen_all	0.654	Notreported	0.000	1.000	NA
SL.glmnet	screen_all	0.654	Unknown	0.000	1.000	NA
SL.glmnet	screen_all	0.654	HighRiskInd	0.615	1.850	NA
SL.glmnet	screen_all	0.654	Sex	0.000	1.000	NA
SL.glmnet	screen_all	0.654	Age	0.431	1.539	NA
SL.glmnet	screen_all	0.654	BMI	0.000	1.000	NA
SL.ranger.imp	screen_all	0.125	MinorityInd	NA	NA	11.065
SL.ranger.imp	screen_all	0.125	EthnicityHispanic	NA	NA	10.195
L.ranger.imp	screen_all	0.125	EthnicityNotreported	NA	NA	11.820
SL.ranger.imp	screen_all	0.125	EthnicityUnknown	» NA	NA	9.214
SL.ranger.imp	screen_all	0.125	Black	NA	NA	10.111
SL.ranger.imp	screen_all	0.125	Asian	NA	NA	8.396
SL.ranger.imp	screen_all	0.125	NatAmer	NA	NA	5.002
SL.ranger.imp	screen_all	0.125	PacIsl	NA	NA	4.260
SL.ranger.imp	screen_all	0.125	Multiracial	NA	NA	7.162
SL.ranger.imp	screen_all	0.125	Other	NA	NA	4.091
SL.ranger.imp	screen_all	0.125	Notreported	NA	NA	9.353
SL.ranger.imp	screen_all	0.125	Unknown	NA	NA	4.914
L.ranger.imp	screen_all	0.125	HighRiskInd	NA	NA	144.498
SL.ranger.imp	screen_all	0.125	Sex	NA	NA	16.469
SL.ranger.imp	screen_all	0.125	Age	NA	NA	198.616
SL.ranger.imp	screen_all	0.125	BMI	NA	NA	221.076
SL.glm	screen_glmnet	0.098	(Intercept)	-3.234	0.039	NA
SL.glm	screen_glmnet	0.098	MinorityInd	-0.044	0.957	NA
SL.glm	screen_glmnet	0.098	Other	-0.089	0.914	NA
SL.glm	screen_glmnet	0.098	Notreported	0.036	1.036	NA
SL.glm	screen_glmnet	0.098	HighRiskInd	0.862	2.367	NA
SL.glm	screen_glmnet	0.098	Sex	0.080	1.084	NA
SL.glm	screen_glmnet	0.098	Age	0.763	2.145	NA
SL.glm	screen_glmnet	0.098	BMI	-0.047	0.954	NA
SL.glm	screen_univariate_logistic_pval	0.066	(Intercept)	-3.232	0.039	NA
SL.glm	screen_univariate_logistic_pval	0.066	Other	-0.099	0.906	NA
SL.glm	screen_univariate_logistic_pval	0.066	HighRiskInd	0.861	2.366	NA
SL.glm	screen_univariate_logistic_pval	0.066	Sex	0.080	1.083	NA

Table 1.4: Predictors in learners assigned weight > 0.0 by Superlearner.

Table 1.4: Predictors in learners assigned weight > 0.0 by Superlearner. (continued)

Learner	Screen	Weight	Predictors	Coefficient	Odds.Ratio	Importance
SL.glm	screen_univariate_logistic_pval	0.066	Age	0.763	2.144	NA
SL.glm	screen_all	0.057	(Intercept)	-3.236	0.039	NA
SL.glm	screen_all	0.057	MinorityInd	-0.102	0.903	NA
SL.glm	screen_all	0.057	EthnicityHispanic	0.060	1.062	NA
SL.glm	screen_all	0.057	EthnicityNotreported	0.018	1.018	NA
SL.glm	screen_all	0.057	EthnicityUnknown	-0.022	0.978	NA
SL.glm	screen_all	0.057	Black	0.023	1.023	NA
SL.glm	screen_all	0.057	Asian	0.025	1.025	NA
SL.glm	screen_all	0.057	NatAmer	-0.013	0.987	NA
SL.glm	screen_all	0.057	PacIsl	0.018	1.018	NA
SL.glm	screen_all	0.057	Multiracial	0.022	1.022	NA
SL.glm	screen_all	0.057	Other	-0.091	0.913	NA
SL.glm	screen_all	0.057	Notreported	0.036	1.037	NA
SL.glm	screen_all	0.057	Unknown	0.027	1.027	NA
SL.glm	screen_all	0.057	HighRiskInd	0.862	2.368	NA
SL.glm	screen_all	0.057	Sex	0.080	1.084	NA
SL.glm	screen_all	0.057	Age	0.765	2.149	NA
SL.glm	screen_all	0.057	BMI	-0.047	0.954	NA
SL.glm	screen_all	0.057	(Intercept)	-3.236	0.039	NA
SL.glm	screen_all	0.057	MinorityInd	-0.102	0.903	NA
SL.glm	screen_all	0.057	EthnicityHispanic	0.060	1.062	NA
SL.glm	screen_all	0.057	EthnicityNotreported	0.018	1.018	NA
SL.glm	screen_all	0.057	EthnicityUnknown	-0.022	0.978	NA
SL.glm	screen_all	0.057	Black	0.023	1.023	NA
SL.glm	screen_all	0.057	Asian	0.025	1.025	NA
SL.glm	screen_all	0.057	NatAmer	-0.013	0.987	NA
SL.glm	screen_all	0.057	PacIsl	0.018	1.018	NA
SL.glm	screen_all	0.057	Multiracial	0.022	1.022	NA
SL.glm	screen_all	0.057	Other	-0.091	0.913	NA
SL.glm	screen_all	0.057	Notreported	0.036	1.037	NA
SL.glm	screen_all	0.057	Unknown	0.027	1:027	NA
SL.glm	screen_all	0.057	HighRiskInd	0.862	2.368	NA
SL.glm	screen_all	0.057	Sex	0.080	1.084	NA
SL.glm	screen_all	0.057	Age	0.765	2.149	NA
SL.glm	screen_all	0.057	BMI	-0.047	0.954	NA

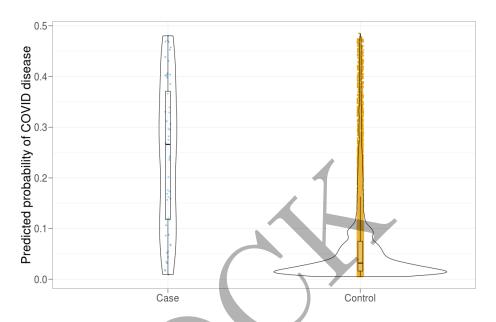


Figure 1.4: Superlearner predicted probabilities of COVID-19 disease in vaccinees 7 days after Day 57 by case/control status.

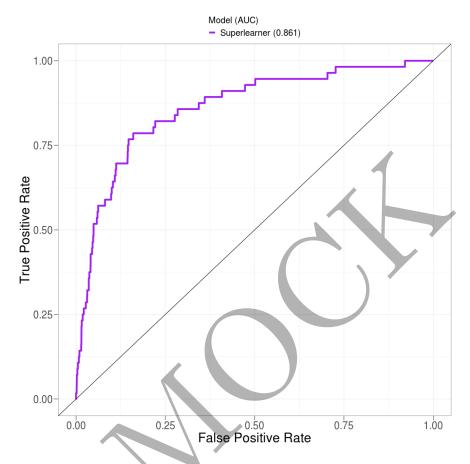


Figure 1.5: ROC curve based off Superlearner predicted probabilities in vaccinees.



#### Chapter 2

#### **Appendix**

- This report was built from the CoVPN/correlates\_reporting repository with commit hash 039420088df38a9c8be48c39d226ff7b7ef46e04. A diff of the changes introduced by that commit may be viewed at https://github.com/CoVPN/correlates\_reporting/commit/039420088df38a9c8be48c39d226ff7b7ef46e04
- The sha256 hash sum of the raw input file, "COVID\_VEtrial\_practicedata\_primarystage1.csv": 45 ff85033 ffbc717462 d678b41bc4060a12c7bc60952e2cb72297bb5500b97b9
- The sha256 hash sum of the processed file, "practice\_data.csv": aaf466d62ce6f25c7c8cd2adfbd6805ad3cb140a363f3cb4b9a9aeca8592eab8