COVID-19 Baseline Risk Score Analysis Report $_{\rm mock\ Study}$

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Chapter 1

Baseline Risk Score (Proxy for SARS-CoV-2 Exposure)

Table 1.1: Variables considered for risk score analysis.

| Variable.Name | Definition | Total.missing.values | Comments |
|--------------------------------|--|----------------------|----------|
| MinorityInd | Baseline covariate underrepresented minority status (1=minority, 0=non-minority) | 0/30000 (0.0%) | NA |
| EthnicityHispanic | Indicator ethnicity = Hispanic (0 = Non-Hispanic) | 0/30000 (0.0%) | NA |
| ${\bf Ethnicity Not reported}$ | Indicator ethnicity = Not reported (0 = Non-Hispanic) | 0/30000 (0.0%) | NA |
| EthnicityUnknown | Indicator ethnicity = Unknown (0 = Non-Hispanic) | 0/30000 (0.0%) | NA |
| Black | Indicator race = Black (0 = White) | 0/30000 (0.0%) | NA |
| Asian | Indicator race $=$ Asian $(0 = White)$ | 0/30000 (0.0%) | NA |
| NatAmer | Indicator race = American Indian or Alaska Native (0 = White) | 0/30000 (0.0%) | NA |
| PacIsl | Indicator race = Native Hawaiian or Other Pacific Islander (0 = White) | 0/30000 (0.0%) | NA |
| Multiracial | Indicator race = Multiracial (0 = White) | 0/30000 (0.0%) | NA |
| Other | Indicator race = Other (0 = White) | 0/30000 (0.0%) | NA |
| Notreported | Indicator race = Not reported (0 = White) | 0/30000 (0.0%) | NA |
| Unknown | Indicator race = unknown (0 = White) | 0/30000 (0.0%) | NA |
| HighRiskInd | Baseline covariate high risk pre-existing condition (1=yes, 0=no) | 0/30000 (0.0%) | NA |
| Sex | Sex assigned at birth (1=female, 0=male) | 0/30000 (0.0%) | NA |
| Age | Age at enrollment in years, between 18 and 85 | 0/30000 (0.0%) | NA |
| BMI | BMI at enrollment (kg/m^2) | 0/30000 (0.0%) | NA |

Table 1.2: All learner-screen combinations (28 in total) used as input to the Superlearner.

| Learner | Screen* |
|--------------------|--|
| SL.mean | all |
| SL.glm | all glmnet univar_logistic_pval highcor_random |
| SL.glm.interaction | glmnet univar_logistic_pval highcor_random |
| SL.glmnet | all |
| SL.gam | glmnet univar_logistic_pval highcor_random |
| SL.xgboost | all |
| SL.ranger.imp | all |

Note:

all: includes all variables

glmnet: includes variables with non-zero coefficients in the standard implementation of SL.glmnet that optimizes the lasso tuning parameter via cross-validation ${\bf r}$

univar_logistic_pval: Wald test 2-sided p-value in a logistic regression model $<0.10\,$

high cor_random: if pairs of quantitative variables with Spearman rank correlation > 0.90, select one of the variables at random

^{*}Screen details:

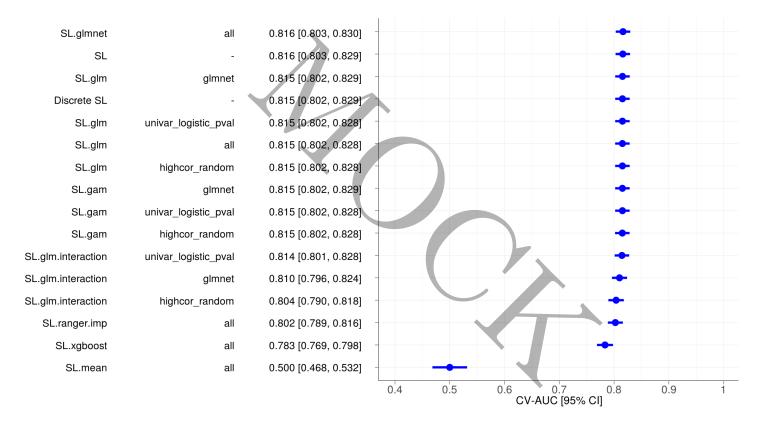


Figure 1.1: Cross-validated AUC (95% CI) of algorithms for predicting COVID-19 disease status starting 7 days after Day 57.

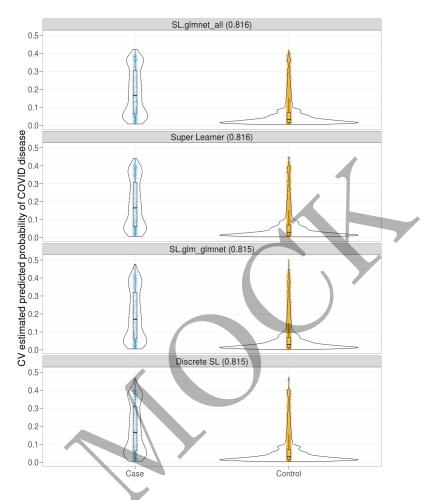


Figure 1.2: CV-estimated predicted probabilities of COVID-19 disease 7 days after Day 57 by case/control status for top 2 learners, SuperLearner and Discrete $^{\rm CT}$

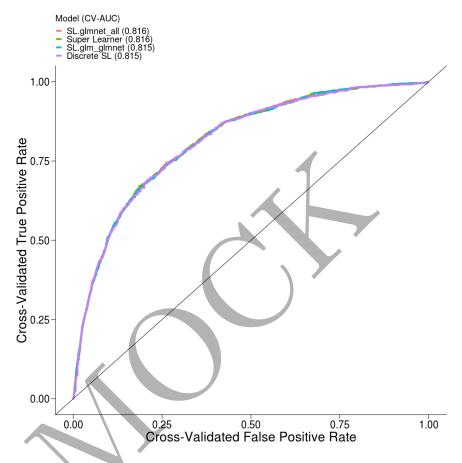


Figure 1.3: ROC curves based off CV-estimated predicted probabilities for the top 2 learners, Superlearner and Discrete SL.

Table 1.3: Weights assigned by Superlearner.

| Learner | Screen | Weight |
|--------------------|---------------------------------|--------|
| SL.glmnet | screen_all | 0.654 |
| SL.ranger.imp | screen_all | 0.125 |
| SL.glm | $screen_glmnet$ | 0.098 |
| SL.glm | screen_univariate_logistic_pval | 0.066 |
| SL.glm | screen_all | 0.057 |
| SL.mean | screen_all | 0.000 |
| SL.xgboost | screen_all | 0.000 |
| SL.glm | screen_highcor_random | 0.000 |
| SL.glm.interaction | screen_glmnet | 0.000 |
| SL.glm.interaction | screen_univariate_logistic_pval | 0.000 |
| SL.glm.interaction | screen_highcor_random | 0.000 |
| SL.gam | screen_glmnet | 0.000 |
| SL.gam | screen_univariate_logistic_pval | 0.000 |
| SL.gam | screen_highcor_random | 0.000 |

| Learner | Screen | Weight | Predictors | Coefficient | Odds.Ratio | Importance |
|---------------|---------------------------------|--------|----------------------|-------------|------------|------------|
| SL.glmnet | screen_all | 0.654 | (Intercept) | -2.868 | 0.057 | NA |
| SL.glmnet | screen_all | 0.654 | MinorityInd | 0.000 | 1.000 | NA |
| SL.glmnet | screen_all | 0.654 | EthnicityHispanic | 0.000 | 1.000 | NA |
| SL.glmnet | screen_all | 0.654 | EthnicityNotreported | 0.000 | 1.000 | NA |
| SL.glmnet | screen_all | 0.654 | EthnicityUnknown | 0.000 | 1.000 | NA |
| SL.glmnet | screen_all | 0.654 | Black | 0.000 | 1.000 | NA |
| SL.glmnet | screen_all | 0.654 | Asian | 0.000 | 1.000 | NA |
| SL.glmnet | screen_all | 0.654 | NatAmer | 0.000 | 1.000 | NA |
| SL.glmnet | screen_all | 0.654 | PacIsl | 0.000 | 1.000 | NA |
| SL.glmnet | screen_all | 0.654 | Multiracial | 0.000 | 1.000 | NA |
| SL.glmnet | screen_all | 0.654 | Other | 0.000 | 1.000 | NA |
| SL.glmnet | screen_all | 0.654 | Notreported | 0.000 | 1.000 | NA |
| SL.glmnet | screen_all | 0.654 | Unknown | 0.000 | 1.000 | NA |
| SL.glmnet | screen_all | 0.654 | HighRiskInd | 0.615 | 1.850 | NA |
| SL.glmnet | screen_all | 0.654 | Sex | 0.000 | 1.000 | NA |
| SL.glmnet | screen_all | 0.654 | Age | 0.431 | 1.539 | NA |
| SL.glmnet | screen_all | 0.654 | BMI | 0.000 | 1.000 | NA |
| SL.ranger.imp | screen_all | 0.125 | MinorityInd | NA | NA | 11.065 |
| SL.ranger.imp | screen_all | 0.125 | EthnicityHispanic | NA | NA | 10.195 |
| L.ranger.imp | screen_all | 0.125 | EthnicityNotreported | NA | NA | 11.820 |
| SL.ranger.imp | screen_all | 0.125 | EthnicityUnknown | » NA | NA | 9.214 |
| SL.ranger.imp | screen_all | 0.125 | Black | NA | NA | 10.111 |
| SL.ranger.imp | screen_all | 0.125 | Asian | NA | NA | 8.396 |
| SL.ranger.imp | screen_all | 0.125 | NatAmer | NA | NA | 5.002 |
| SL.ranger.imp | screen_all | 0.125 | PacIsl | NA | NA | 4.260 |
| SL.ranger.imp | screen_all | 0.125 | Multiracial | NA | NA | 7.162 |
| SL.ranger.imp | screen_all | 0.125 | Other | NA | NA | 4.091 |
| SL.ranger.imp | screen_all | 0.125 | Notreported | NA | NA | 9.353 |
| SL.ranger.imp | screen_all | 0.125 | Unknown | NA | NA | 4.914 |
| L.ranger.imp | screen_all | 0.125 | HighRiskInd | NA | NA | 144.498 |
| SL.ranger.imp | screen_all | 0.125 | Sex | NA | NA | 16.469 |
| SL.ranger.imp | screen_all | 0.125 | Age | NA | NA | 198.616 |
| SL.ranger.imp | screen_all | 0.125 | BMI | NA | NA | 221.076 |
| SL.glm | screen_glmnet | 0.098 | (Intercept) | -3.234 | 0.039 | NA |
| SL.glm | screen_glmnet | 0.098 | MinorityInd | -0.044 | 0.957 | NA |
| SL.glm | screen_glmnet | 0.098 | Other | -0.089 | 0.914 | NA |
| SL.glm | screen_glmnet | 0.098 | Notreported | 0.036 | 1.036 | NA |
| SL.glm | screen_glmnet | 0.098 | HighRiskInd | 0.862 | 2.367 | NA |
| SL.glm | screen_glmnet | 0.098 | Sex | 0.080 | 1.084 | NA |
| SL.glm | screen_glmnet | 0.098 | Age | 0.763 | 2.145 | NA |
| SL.glm | screen_glmnet | 0.098 | BMI | -0.047 | 0.954 | NA |
| SL.glm | screen_univariate_logistic_pval | 0.066 | (Intercept) | -3.232 | 0.039 | NA |
| SL.glm | screen_univariate_logistic_pval | 0.066 | Other | -0.099 | 0.906 | NA |
| SL.glm | screen_univariate_logistic_pval | 0.066 | HighRiskInd | 0.861 | 2.366 | NA |
| SL.glm | screen_univariate_logistic_pval | 0.066 | Sex | 0.080 | 1.083 | NA |

Table 1.4: Predictors in learners assigned weight > 0.0 by Superlearner.

Table 1.4: Predictors in learners assigned weight > 0.0 by Superlearner. (continued)

| Learner | Screen | Weight | Predictors | Coefficient | Odds.Ratio | Importance |
|---------|---------------------------------|--------|----------------------|-------------|------------|------------|
| SL.glm | screen_univariate_logistic_pval | 0.066 | Age | 0.763 | 2.144 | NA |
| SL.glm | screen_all | 0.057 | (Intercept) | -3.236 | 0.039 | NA |
| SL.glm | screen_all | 0.057 | MinorityInd | -0.102 | 0.903 | NA |
| SL.glm | screen_all | 0.057 | EthnicityHispanic | 0.060 | 1.062 | NA |
| SL.glm | screen_all | 0.057 | EthnicityNotreported | 0.018 | 1.018 | NA |
| SL.glm | screen_all | 0.057 | EthnicityUnknown | -0.022 | 0.978 | NA |
| SL.glm | screen_all | 0.057 | Black | 0.023 | 1.023 | NA |
| SL.glm | screen_all | 0.057 | Asian | 0.025 | 1.025 | NA |
| SL.glm | screen_all | 0.057 | NatAmer | -0.013 | 0.987 | NA |
| SL.glm | screen_all | 0.057 | PacIsl | 0.018 | 1.018 | NA |
| SL.glm | screen_all | 0.057 | Multiracial | 0.022 | 1.022 | NA |
| SL.glm | screen_all | 0.057 | Other | -0.091 | 0.913 | NA |
| SL.glm | screen_all | 0.057 | Notreported | 0.036 | 1.037 | NA |
| SL.glm | screen_all | 0.057 | Unknown | 0.027 | 1.027 | NA |
| SL.glm | screen_all | 0.057 | HighRiskInd | 0.862 | 2.368 | NA |
| SL.glm | screen_all | 0.057 | Sex | 0.080 | 1.084 | NA |
| SL.glm | screen_all | 0.057 | Age | 0.765 | 2.149 | NA |
| SL.glm | screen_all | 0.057 | BMI | -0.047 | 0.954 | NA |
| SL.glm | screen_all | 0.057 | (Intercept) | -3.236 | 0.039 | NA |
| SL.glm | screen_all | 0.057 | MinorityInd | -0.102 | 0.903 | NA |
| SL.glm | screen_all | 0.057 | EthnicityHispanic | 0.060 | 1.062 | NA |
| SL.glm | screen_all | 0.057 | EthnicityNotreported | 0.018 | 1.018 | NA |
| SL.glm | screen_all | 0.057 | EthnicityUnknown | -0.022 | 0.978 | NA |
| SL.glm | screen_all | 0.057 | Black | 0.023 | 1.023 | NA |
| SL.glm | screen_all | 0.057 | Asian | 0.025 | 1.025 | NA |
| SL.glm | screen_all | 0.057 | NatAmer | -0.013 | 0.987 | NA |
| SL.glm | screen_all | 0.057 | PacIsl | 0.018 | 1.018 | NA |
| SL.glm | screen_all | 0.057 | Multiracial | 0.022 | 1.022 | NA |
| SL.glm | screen_all | 0.057 | Other | -0.091 | 0.913 | NA |
| SL.glm | screen_all | 0.057 | Notreported | 0.036 | 1.037 | NA |
| SL.glm | screen_all | 0.057 | Unknown | 0.027 | 1:027 | NA |
| SL.glm | screen_all | 0.057 | HighRiskInd | 0.862 | 2.368 | NA |
| SL.glm | screen_all | 0.057 | Sex | 0.080 | 1.084 | NA |
| SL.glm | screen_all | 0.057 | Age | 0.765 | 2.149 | NA |
| SL.glm | screen_all | 0.057 | BMI | -0.047 | 0.954 | NA |

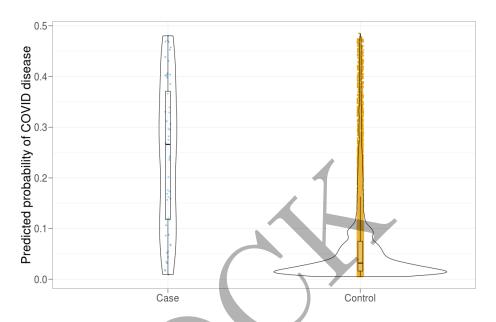


Figure 1.4: Superlearner predicted probabilities of COVID-19 disease in vaccinees 7 days after Day 57 by case/control status.

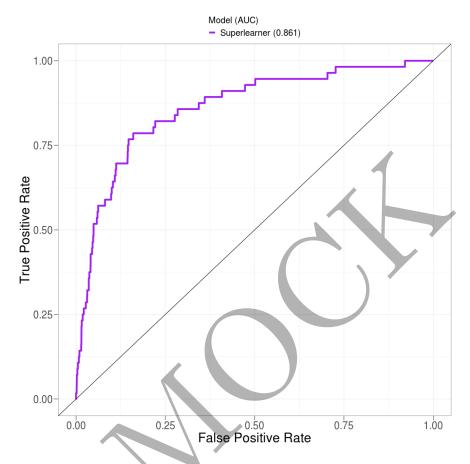


Figure 1.5: ROC curve based off Superlearner predicted probabilities in vaccinees.



Chapter 2

Appendix

- This report was built from the CoVPN/correlates_reporting repository with commit hash 72f994596bc358e8c097920f5e575e118a2791bc. A diff of the changes introduced by that commit may be viewed at https://github.com/CoVPN/correlates_reporting/commit/72f994596bc358e8c097920f5e575e118a2791bc
- The sha256 hash sum of the raw input file, "COVID_VEtrial_practicedata_primarystage1.csv": 45 ff85033 ffbc717462 d678b41bc4060a12c7bc60952e2cb72297bb5500b97b9
- The sha256 hash sum of the processed file, "practice_data.csv": aaf466d62ce6f25c7c8cd2adfbd6805ad3cb140a363f3cb4b9a9aeca8592eab8